

Effects of Magnetically Targeted Iron Oxide@Polydopamine-Labeled Human Umbilical Cord Mesenchymal Stem Cells In Cerebral Infarction In Mice

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1 Effects of Magnetically Targeted Iron Oxide@polydopamine-labeled
2 Human Umbilical Cord Mesenchymal Stem Cells in Cerebral Infarction
3 in Mice

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16 **Abstract**

17 Mesenchymal stem cells are a potential therapeutic candidate for cerebral
18 infarction due to their anti-inflammatory proprieties. However, ensuring the
19 engraftment of sufficient cells into the affected brain area remains a challenge. Herein,
20 magnetic targeting techniques were used for the noninvasive transplantation of a large
21 number of cells noninvasively. Mice subjected to permanent middle cerebral artery
22 occlusion surgery were administered mesenchymal stem cells labeled or not with iron
23 oxide@polydopamine nanoparticles by tail vein injection. Iron oxide@polydopamine
24 particles were characterized by transmission electron microscopy, and labeled
25 mesenchymal stem cells were characterized by flow cytometry and their
26 differentiation potential was assessed *in vitro*. Following the systemic injection of iron
27 oxide@polydopamine-labeled mesenchymal stem cells into permanent transient
28 middle cerebral artery occlusion-induced mice, magnetic navigation increased the
29 MSCs localization to the brain lesion site and reduced the lesion volume. Treatment
30 with iron oxide@polydopamine-labeled mesenchymal stem cells also significantly
31 inhibited M1 microglia polarization and increased M2 microglia cell infiltration.
32 Furthermore, western blotting and immunohistochemical analysis demonstrated that
33 microtubule-associated protein 2 and NeuN levels were upregulated the brain tissue of
34 mice treated with iron oxide@polydopamine-labeled mesenchymal stem cells. Thus,
35 iron oxide@polydopamine-labeled mesenchymal stem cells attenuated brain injury
36 and protected neurons by preventing pro-inflammatory microglia activation. Overall,
37 the proposed iron oxide@polydopamine-labeled mesenchymal stem cells approach

38 may overcome the major drawback of the conventional MSCs therapy for the
39 treatment of cerebral infarction.

40

41 **Keywords:** Human umbilical cord mesenchymal stem cell, cerebral infarction, iron
42 oxide nanoparticle, inflammation

43

44 **Introduction**

45 In the last decade, cerebral infarction become the second leading cause of adult
46 death and long-term disability worldwide, in particular in developing countries, which
47 imposes a heavy financial burden on the affected individual as well as on the
48 society.¹⁻⁴ Blood-vessel occlusion and subsequent neuronal damage is a main
49 pathological event associated with cerebral infarction, of which neuroinflammation is
50 a major consequence.^{2, 4} Neuroinflammation, as a key mechanism behind secondary
51 injury of cerebral infarction, is caused by dead cells and debris due to the infarction
52 injury,⁵ and is characterized by microglia-induced peripheral leukocyte influx into the
53 brain parenchyma and the release of proinflammatory cytokines. Indeed, microglia,
54 which have long been considered one of the earliest and important participants in
55 neuroinflammation of the central nervous system, is considered a critical factor in the
56 inflammatory response after cerebral infarction.^{6, 7} Altogether these events are
57 detrimental to trigger and support a pro-inflammatory status in the brain
58 microenvironment.⁸⁻¹⁰ Therefore, investigations on the regulatory mechanisms of the

59 inflammatory response during cerebral infarction has received more attention in
60 recent years.

61 Mesenchymal stem cells (MSCs) are believed to hold therapeutic potential to
62 ameliorate the effects of cerebral infarction owing to their multifaceted functions,
63 such as secretion of numerous trophic factors that can modulate inflammation and
64 angiogenesis¹¹, apoptosis,^{12, 13} and the immune response.^{12, 14-19} It is generally believed
65 that the ability of MSCs to target brain lesions and the number of functional MSCs
66 transplanted can determine their therapeutic effectiveness.²⁰ The intracerebral
67 implantation of cells into the infarcted brain is invasive and may cause additional
68 damage to the healthy tissues. Intravenous administration is simpler and less invasive
69 in comparison with direct implantation; however, only a small proportion of MSCs
70 transplanted intravenously can effectively reach the brain lesion site *in vivo*, which
71 hampers the clinical use of MSCs for treatment of cerebral infarction. Several
72 strategies have been applied to enhance the migration and maintain the function of
73 these cells at targeted sites. For example, genetic modifications have been used to
74 overexpress receptors that recognize chemoattractants and promote cell migration, but
75 only few of the injected MSCs are delivered to its targets.²¹ Nonetheless, the
76 migration efficiency of MSCs *in vivo* remains is unsatisfactory. Therefore, enhancing
77 the homing strategy of MSCs to the ischemic brain may help enhance the treatment
78 outcome. Magnetic iron oxide nanoparticles (MIONs) are a conventional magnetic
79 resonance imaging contrast agent that holds application value for MSCs labeling.
80 MIONs are approved for clinical use due to their pronounced biocompatibility, and

81 have garnered increased attention because of their unique response features to
82 external magnetic fields. Polydopamine (PDA) is highly biocompatible and
83 biodegradable, and therefore, it is widely used to coat nanoparticles for numerous
84 biomedical applications. Hence, PDA-capped Fe_3O_4 (MIONs@PDA) and their
85 composites are among the safest nanomaterials used for clinical diagnosis and therapy.
86 To obtain MSCs with a suitable targeting ability, magnetic MSCs were prepared using
87 MIONs@PDA-coated MSCs (MIONs@PDA-MSCs). These nanoparticles contain
88 Fe_3O_4 that mediates their magnetic navigation to the target brain infarction lesion with
89 the assistance of an external magnetic field (MF).

90 The present study describes an animal model of permanent middle cerebral
91 artery occlusion (pMCAO) that was established to explore the effects of
92 MIONs@PDA-MSCs(MF) on cerebral infarction and assess the impact of MSCs in
93 microglia activation and inflammation.

94 **Materials and Methods**

95 **Preparation of MIONs and transmission electron microscopy (TEM) analysis**

96 MIONs were synthesized by the thermal decomposition method as previously
97 described.²² Briefly, the Fe_3O_4 nanoparticles were injected into sodium dodecyl
98 sulfate (SDS, 99%), which was heated to obtain SDS-capped Fe_3O_4 super particles.
99 Next, the separation of oleic acid stable nanoparticles was achieved using a magnet.
100 The capped Fe_3O_4 nanoparticles were dispersed in Tris buffer (10 mM, pH = 8.5),
101 which contained 6 mg/mL PDA aqueous solution and stirred for 3 h. The obtained

102 MIONs@PDA were detected using an H-800 transmission electron microscope
103 (Hitachi Ltd., Tokyo, Japan) with a charge coupled device camera.

104

105 **Culture, expansion, identification, and MIONs-labeling of human umbilical cord**
106 **MSCs (HUMSCs)**

107 Briefly, HUMSCs were obtained after normal deliveries following 38–40 week
108 gestations. HUMSCs were cultured in Dulbecco's modified Eagle medium (Gibco,
109 Waltham, MA, USA) containing 10% fetal bovine serum (Gibco) and negative for
110 mycoplasma contamination. All experiments were conducted using HUMSCs at
111 passages 5–8. After reaching 80% confluency, various concentrations of
112 MIONs@PDA (0, 10, 25, 50, 100, and 200 µg/mL) were added to the medium for 12
113 h. The Cell Counting Kit-8 (Sigma-Aldrich, St. Louis, MO, USA) assay was used to
114 detect the cytotoxicity of MIONs@PDA. HUMSCs were stained with the Prussian
115 blue iron staining kit (Solarbio, Beijing, China), according to the manufacturer's
116 instructions. The phenotype of HUMSCs was confirmed by the expression of surface
117 markers (positive for CD44-fluorescein isothiocyanate (FITC) and
118 CD105-phycoerythrin (PE), and negative for CD45-FITC) (all from BD Biosciences,
119 San Jose, CA, USA) using a FACSCanto II flow cytometer (FC500; Beckman
120 Coulter Brea, CA, USA), and the data were analyzed with the CXP software
121 (Beckman Coulter). Differentiation capacity of the HUMSCs was assessed by
122 inducing osteocyte and adipocyte differentiation using the StemPro

123 Osteogenesis/Adipogenesis Differentiation Kit (Invitrogen, Waltham, MA, USA) and
124 evaluated by Alizarin Red S, Oil red O, and Alcian blue staining, respectively.

125 **Mouse treatment and transplanted HUMSCs**

126 Male C57/BL6 mice were purchased from the Beijing Weitong Lihua
127 Experimental Animal Technology Co. (Beijing, China) and were housed in the animal
128 facilities of the animal center of the College of Basic Medical Sciences, Jilin
129 University, China. The study was approved by the Ethics Review Committee of Basic
130 Medical College of Jilin University, and all studies were conducted in accordance
131 with the United States Public Health Service's policy on humane care and use of
132 laboratory animals.

133 The mice were anesthetized with 1.5% isoflurane (RWD Life Science, Shenzhen,
134 China). Through a midline skin incision, the right common carotid artery (CCA),
135 external carotid artery, and internal carotid artery (ICA) were isolated and ligated.
136 Monofilament nylon suture was inserted from the right CCA to the ICA through a
137 small incision in the CCA, and then advanced to the Circle of Willis to occlude the
138 origin of the right middle cerebral artery. Subsequently, a silk suture was then
139 tightened around the right common carotid artery stumps and nylon filament and then
140 sutured the skin incision. Sham-operated mice underwent the same procedures except
141 for the pMCAO. Behavioral evaluations of the mice were performed 24 h after
142 surgery, using the Bederson 4-point rating scale scored as: 0, no deficit; 1, failing to
143 stretch right forepaw during tail suspension test; 2, decreasing ability of forelimb
144 resistance to contralateral thrust; and 3, circling to the right after holding the tail. The

145 standard PMCAO model was defined as a Bederson scale score >1 point, and animals
146 that did not meet this criterion were excluded from the study. ²³The rats were
147 randomly divided into five groups (n = 5 in each group): non-treated sham, pMCAO
148 surgery, and pMCAO treated with HUMSCs, MIONs@PDA-MSCs, or
149 MIONs@PDA-MSCs(MF). A total of 5×10^5 HUMSCs were injected through the tail
150 vein 24 h after the surgery. The non-treated groups were given equal volume of
151 phosphate-buffered saline (PBS).

152 **Calculation of infarct volume**

153 Frozen sections were made every 2 mm along the sagittal axis of the brain. Then,
154 TTC (2,3,5-triphenyl-2H-tetrazolium chloride) staining of tissue sections was carried
155 out in a conventional manner. The percent infarct (mm²) was measured as
156 follows: $\%_{\text{infarct}} = [(V_C - V_L)/V_C] \times 100$, where V_C and V_L represent the volume of the
157 control hemisphere and the noninfarcted tissue in the lesioned hemisphere,
158 respectively.

159 **Near-infrared fluorescence (NIRF) imaging**

160 CM-Dil-labeled HUMSC were used for NIRF imaging. For the targeting study,
161 12 h and 5 d after intravenous HUMSCs administration, the mice were anesthetized
162 and euthanized. An IVIS Spectrum imaging system (PerkinElmer, Waltham, MA,
163 USA) was employed to capture the NIRF images, and the CM-Dil-related fluorescent
164 signals were discriminated using the Living Image software (PerkinElmer).

165 **Histopathological, immunohistochemical (IHC), and immunofluorescence** 166 **evaluation of brain tissues**

167 The mice were decapitated and their organs (heart, liver, spleen, lung, kidney,
168 and brain) were fixed in 4% paraformaldehyde overnight at 4 °C and embedded in
169 paraffin. The organs were sectioned into 5 µm thick pieces and partial dewaxing was
170 immediately performed with xylene (5 mm tissue) followed by washing using a
171 graded ethanol series (100%, 95%, 80%, and 75% diluted in distilled water). For
172 histopathological examination, the samples were stained with hematoxylin (2 g/L) for
173 5 min and eosin (1%) for 2 min before washing with distilled water. For IHC analysis,
174 the paraffin sections were blocked for 1 h and then incubated with antibodies against
175 microtubule-associated protein 2 (MAP2) and NeuN (both at 1:200; ProteinTech,
176 Chicago, IL, USA) at 4 °C, overnight. After washing, the sections were incubated
177 with a biotin-labeled secondary antibody and streptavidin-peroxidase for 30 min.
178 Color development was achieved upon incubation with diaminobenzidine (MaiXin,
179 Fuzhou, China), after which hematoxylin staining, dehydration, and neutral resin
180 mounting were performed. For immunofluorescence, the sections were incubated with
181 a fluorescent-dye-conjugated secondary antibody. Next, dehydration was carried out
182 with absolute ethanol, and the tissue was sealed with a neutral resin. Images were
183 collected at ×200 amplification with a microscope (Olympus Corporation, Tokyo,
184 Japan).

185 **Quantitative real-time polymerase chain reaction (qRT-PCR) and western** 186 **blotting**

187 Total RNA from the tissues corresponding to the lesion region was extracted
188 using the Trizol reagent (Life Technologies, Waltham, MA, USA) and cDNA

189 synthesis was performed using Reverse Transcriptase II (Invitrogen) according to the
190 manufacturer's instructions. QRT-PCR reactions were carried out in an ABI 7500
191 system in 10 μ L reactions, with 1 μ L cDNA samples and SYBR Premix ExTaq
192 (TaKaRa, Kusatsu, Japan). Relative mRNA expression was calculated and analyzed
193 using the comparative $2^{-\Delta\Delta C_t}$ method. All experiments were performed independently
194 at least three times. The primers used were the following: *TNF- α* , (FW)
195 3'-CCCCAGTCTGTATCCTTCTA-5' and (RV) 3'-CACTGTCCCAGCATCTTGT;
196 *IL-1 β* , (FW) 3'-AAGGGCTGCTTCCAAAC-5' and (RV)
197 3'-TGTGCTGCTGCGAGATT-5'; *IL-6*, (FW) 3'-TACCACTCCCAACAGACC-5'
198 and (RV) 3'-TTTCCACGATTTCCAGA-5'; *β -actin*, (FW)
199 3'-ATGTGGATCAGCAAGCAGGA-5' and (RV)
200 3'-AAGGGTGTAACGCAGCTCA-5'; *CD206*, (FW)
201 3'-GCCGTCTGTGCATTTCCATTCAAG-5' and (RV)
202 3'-TTTGTCGTAGTCAGTGGTGGTTCC-5'; *ARG1*, (FW)
203 3'-GTGAGAGACCACGGGGACCTG-5' and (RV)
204 3'-CCACACCAGCCAGCTCTTCATTG-5'; *iNOS*, (FW)
205 3'-ACAGGAACCTACCAGCTCACTCTG-5' and (RV)
206 3'-ACCACTGGATCCTGCCGATGC-5'; *IL-10*, (FW)
207 3'-CTGCTATGCTGCCTGCTCTTACTG-5' and (RV)
208 3'-TGGGAAGTGGGTGCAGTTATTGTC-5'; *TGF- β* , (FW)
209 3'-ACTTGCACCACGTTGGACTTCG-5' and (RV)
210 3'-TGGGTCATCACCGATGGCTCAG-5'; *MAP2*, (FW)

211 AAGGCACCTCACTGGACCTCAG–5' and (RV)
212 ACCCTCTTCATCCTCCCTGTATGG–5'; *NeuN*, (FW)
213 3'–AGACAGACGAGGCGGCACAG–5' and (RV)
214 3'–AGGGGATGTTGGAGACGTGTAGC–5'.

215 For western blot, equal amounts of protein were extracted using RIPA buffer
216 (Sigma-Aldrich) and separated in SDS polyacrylamide gel. After electrophoresis, the
217 proteins were transferred onto polyvinylidene difluoride membranes, which were then
218 blocked with milk for 1 h. Afterwards, the proteins were labeled with the following
219 primary antibodies overnight at 4 °C: anti-MAP2, anti-CD206, anti-CD11b,
220 anti-IBA-1, anti- β -actin (ProteinTech, Chicago, IL, USA) and anti-NeuN (Cell
221 Signaling Technology, Danvers, MA, USA). After washing, the membranes were
222 incubated for 1 h with a fluorescently labeled secondary antibody (1:5,000; Thermo
223 Fisher Scientific, Waltham, MA, USA). β -actin was used as internal reference. The
224 labeled proteins were observed using Odyssey (LI-COR Biosciences, Lincoln, NE,
225 USA). ImageJ software (National Institutes of Health, Bethesda, MD, USA) was used
226 for quantitative analysis of the protein bands.

227 **Statistical analysis**

228 Statistical analyses were conducted using SPSS software v.16 (SPSS Inc.,
229 Chicago, IL, USA) and analysis of variance was used. All results were considered
230 significant at $p \leq 0.05$ and expressed as mean \pm standard deviation (SD, $n = 6$).
231 Image analysis was performed using GraphPad Prism v.6 (GraphPad Software, San
232 Diego, CA, USA).

233 **Results**

234 **Characterization and toxicity of MIONs@PDA**

235 Nanoparticles larger than 100 nm can scarcely penetrate cells by cellular
236 phagocytosis. TEM images showed that the average diameter of MIONs was about 45
237 – 50 nm, which slightly increased to 50–60 nm after evenly encapsulated within the
238 PDA, with MIONs@PDA being appropriately sized nanoparticles for labeling cells
239 (Fig. 1A). Viability experiments indicated that different concentrations of
240 MIONs@PDA had a small negative effect on HUMSCs (Fig. 1B). To investigate the
241 internalization potential of MIONs@PDA by HUMSCs, Prussian blue staining was
242 performed (Fig. 1C), revealing that 50 µg/mL MIONs@PDA efficiently induced
243 blue-stained deposits and cell labeling.

244 Figure 1. Characterization, viability, and internalization potential of polydopamine-capped
245 Fe₃O₄ nanoparticles (MIONs@PDA). (A) Transmission electron microscopy imaging of
246 MIONs@PDA. Scale bar = 50 nm. (B) Proliferation of human umbilical cord mesenchymal stem
247 cells (HUMSCs) labeled with MIONs@PDA at concentrations of 0, 6.25, 12.5, 25, 50, 100, 150,
248 and 200 µg/mL by Cell Counting Kit-8 assay. (C) Morphology of HUMSCs labeled with the
249 MIONs@PDA at concentrations of 0, 25, 50, 75, 100, and 150 µg/mL. Scale bars = 100 µm.

250 **Characteristics of HUMSCs labeled with MIONs@PDA**

251 To determine whether the MIONs@PDA-MSCs did not lose differentiation
252 potential, control HUMSCs and MIONs@PDA-MSCs were subjected to a
253 differentiation assay. Von Kossa, Oil red O, and Alcian blue staining confirmed that
254 both MIONs@PDA-labeled and unlabeled HUMSCs maintained their differentiation

255 potential into osteocyte and adipocyte, respectively. Flow cytometry analysis showed
256 that cultured HUMSCs and MIONs@PDA-MSCs highly expressed the cell surface
257 markers of typical MSCs (cluster of differentiation CD105: $95.8 \pm 1.7\%$, CD44:
258 $100 \pm 0.1\%$) but not hematopoietic cell markers (CD45: $0.1 \pm 0.1\%$) (Fig. 2A).
259 Differences noted between the control and labeled cells were without statistical
260 significance. These results indicated that the MIONs do not affect the characteristics
261 of the HUMSCs. Therefore, these cells were used in the subsequent experiments (Fig.
262 2B).

263 Figure 2. Characterization of human umbilical cord mesenchymal stem cells (HUMSCs)
264 labeled or not with polydopamine-capped Fe_3O_4 nanoparticles (MIONs@PDA). (A) Similar to
265 normal HUMSCs, MIONs@PDA-MSCs highly expressed the typical surface markers CD44 and
266 CD90, but not the hematopoietic cell marker CD45. (B) Osteocyte and adipocyte differentiation of
267 MIONs@PDA-MSCs vs. control HUMSCs. All cells exhibited adipogenic and osteogenic
268 differentiation potential similar to that of control HUMSCs. Scale bars = 50 μm

269 **Ability of HUMSCs to target the lesion region and reduce the volume of the** 270 **infarct zone**

271 To evaluate the therapeutic efficacy of transplanted HUMSCs at 5 d
272 post-transplantation, a pMCAO *in vivo* model was achieved through surgery and
273 infarct areas were confirmed based on TTC-stained brain sections. In this
274 well-established animal model, the cortex was the mainly affected region (Fig. 3A).
275 The percentage of infarct zone was significantly lower in all HUMSCs-transplanted
276 mice than in the PBS group, and was significantly lower in mice treated with

277 MIONs@PDA-MSCs(MF) group than in the other two groups. There was no
278 significant difference between the HUMSCs and MIONs@PDA-MSCs treated groups
279 (Fig 3B-C). For fluorescence imaging in vivo, MSCs were labeled with cm-dil before
280 injection. Fluorescence imaging at 12 h after injection showed that in the absence of
281 MF (MF-), a small amount of MSCs accumulated in the brain, whereas in the
282 presence of MF, a large number of cells targeted the brain tissue. After 5 days, the
283 fluorescence content in brain tissue of the magnetic target group was significantly
284 higher than that of the non-MF group (Fig 3D-E).

285 Figure 3. Effects of HUMSCs on infarct volume and behavioral improvement. (A)
286 Representative brain slices with infarction volume shown by TTC staining. (B-C) HUMSCs
287 treatment significantly reduced infarct volume. (D-E) Bio-distribution of the MSCs following their
288 intravenous injection into the pMCAO-induced mice with or without the MF, evaluated by the
289 IVIS imaging of major organs. Data are presented as the means \pm standard deviation. HUMSC,
290 human umbilical cord mesenchymal stem cell; MIONs@PDA-MSCs, HUMSCs labeled with
291 polydopamine-capped Fe₃O₄ nanoparticles; MIONs@PDA-MSC(MF), MIONs@PDA-MSCs with
292 external magnetic field; PBS, middle cerebral artery occlusion with phosphate-buffered saline
293 administration; Sham, sham operation; TTC, 2,3,5-triphenyl-2H-tetrazolium chloride. * $p < 0.05$ vs.
294 Sham group, # $p < 0.05$ vs. PBS group, & $p < 0.05$ vs. HUMSCs group, \$ $p < 0.05$ vs.
295 MIONs@PDA-MSCs group.

296 **Histopathological Changes induced by HUMSCs**

297 To evaluate the potential toxicity of the nanoparticles, hematoxylin and eosin
298 staining showed no noticeable morphological changes in the heart, liver, spleen, lungs,

299 and kidney in both treatment groups compared with the control group after 5 days of
300 HUMSCs therapy, further indicating the low toxicity of the MIONs *in vivo*. As shown
301 in Figure 4, cortical neurons and glial cells in brain tissues of the sham group were
302 normally arranged and had normal structure. The cortical cells in the PBS group were
303 disorganized, showing large areas of necrotic neurons, and glial cells proliferated
304 significantly around the necrotic foci, with slight proliferation of small blood vessels.
305 The neurons showed different degrees of ischemic changes, with the cytoplasm of the
306 swollen neurons being tinged and having damaged membranes, whereas the shrunken
307 neurons were deeply stained, with small and triangular cell bodies. The gap around
308 the nerve cells and glial cells was widened, and the neurons were fixed and contracted.
309 In comparison to the PBS group, neuronal and glial cell necrosis, cell membrane and
310 cell structure destruction were significantly reduced after HUMSCs transplantation,
311 and new small blood vessels were observed. In particular, most HUMSCs were
312 magnetically targeted to the infarction area, where more residual neurons were and
313 scattered glial cell proliferation was active.

314 Figure 4. Histopathological changes observed in different organs of mice in each group. (A)
315 The organs of each group were stained with hematoxylin and eosin. scale bars = 100 μm .(B)
316 Pathological changes in cortical tissue. scale bars = 50 μm .

317 **Stem cell differentiation potential**

318 To verify whether HUMSCs could differentiate into neural cells, tissue slices are
319 stained with different cells type markers: NeuN for neurons, GFAP for neurogliocyte

320 and Nestin for neural stem cells. The results showed no overlap between
321 CM-Dil+HUMSCs and NeuN⁺, GFAP⁺, or Nestin⁺ cells.

322 Figure 5. Human umbilical cord mesenchymal cells (HUMSCs) differentiate into neural cells.
323 Immunofluorescence analysis showed no overlap between CM-Dil+HUMSCs and neurons
324 (NeuN⁺), neuroglia (GFAP⁺), or neural stem cells (Nestin⁺). scale bars = 20 μm.

325 **Anti-inflammatory and neuroprotective effects of HUMSCs are promoted by the** 326 **transition of microglia from M1 to M2 phenotype**

327 Next, it was investigated whether HUMSCs could exert enhanced
328 anti-inflammatory effects *in vivo*. Immunofluorescence staining showed that Iba1⁺
329 microglia was increased in the cortex (infarct region) of mice treated with PBS. In
330 comparison, HUMSCs inhibited pMCAO-induced microglia activation and
331 MIONs@PDA-MSCs(MF) also revealed a clear inhibition (Fig. 6A). qRT-PCR,
332 immunofluorescence, and western blotting analysis of the brain following pMCAO
333 were performed to study the effect of HUMSCs on pro-inflammatory and cytotoxic
334 (M1), and anti-inflammatory and regenerative (M2) states (Fig. 6). The mRNA
335 expression of M1 macrophage markers (inducible nitric oxide synthase (iNOS),
336 interleukin (IL)-1β, and tumor necrosis factor (TNF-α)), as well as M2 macrophage
337 markers (arginase 1 (Arg-1), cluster of differentiation 206 (CD206), and IL-10) were
338 evaluated (Fig. 6C). The PBS group exhibited highly upregulated expression of the
339 M1 markers, whereas the HUMSCs treatment significantly downregulated these genes,
340 especially in the MIONs@PDA-MSCs(MF) group. In turn the M2 markers levels
341 were considerably increased upon HUMSCs treatment. Western blotting and

342 immunofluorescence analysis of M1 macrophage markers confirmed that the levels of
343 CD11b and Arg-1 were increased after pMCAO, but reduced after HUMSCs
344 transplantation, especially in the MIONs@PDA-MSCs(MF) group. The levels of M2
345 macrophage markers CD206 and iNOS were found to be decreased after pMCAO, but
346 increased after HUMSCs transplantation, especially in the MIONs@PDA-MSCs(MF)
347 group. These results suggest that HUMSCs can affect microglial phenotype transition
348 to promote neurological functional recovery after pMCAO.

349 Figure 6. *In vivo* anti-inflammatory effects of the HUMSCs and MIONs@PDA-MSCs. (A)
350 Immunofluorescence analysis and quantification for M1 (CD11b) and M2 (CD206) macrophage
351 markers in the cortex tissues of mice. scale bars=20 μ m. (B) Western blot analysis and
352 quantification of M1 (iNOS) and M2 (Arg-1) macrophage markers in the cortex tissues of mice.
353 (C) Relative expressions of M1 (*IL-6*, *IL-1 β* and *CD11b*) and M2 (*ARG-1*, *IL-10* and *CD206*)
354 genes in the brain after treatment. * $p < 0.05$ vs. Sham group, # $p < 0.05$ vs. PBS group, & $p < 0.05$
355 vs. MSCs group, \$ $p < 0.05$ vs. MIONs@PDA-MSCs group.

356 The effects of HUMSCs on cortical neurons survival were then investigated. The
357 results showed that after pMCAO treatment, neuronal activity was significantly
358 decreased and HUMSCs transplantation significantly improved neuronal survival,
359 especially when more cells were targeted at the infarct site (Fig. 7).

360 Figure 7. HUMSCs exert neuroprotective effects *in vivo*. (A) Immunofluorescence analysis,
361 (B) relative mRNA expression, and (C) western blot analysis of neuronal markers (MAP2 and
362 NeuN) in the cortex tissues of mice. * $p < 0.05$ vs. Sham group, # $p < 0.05$ vs. PBS group, & $p < 0.05$
363 vs. MSCs group, \$ $p < 0.05$ vs. MIONs@PDA-MSCs group. scale bars = 20 μ m.

364 **Discussion**

365 MSCs were shown to protect several organs from damage and have been
366 proposed as a promising strategy for patients with cerebral infarction who do not
367 respond to other therapeutic strategies. Transplanted MSCs can migrate to the injury
368 site and mediate tissue regeneration, primarily by the delivery of trophic and paracrine
369 factors. As a result, *in vivo* persistence and secretory functions of transplanted MSCs
370 are critical to the therapeutic outcome. Nevertheless, only few MSCs can effectively
371 migrate to and engraft into the brain, with <0.001% of total administrated cells being
372 able to survive and migrate to the infarcted cortex. Moreover, MSCs administrated to
373 rodent models showed a higher mortality rate due to their tendency to adhere and
374 aggregate, leading to capillary blockage.²⁴ Therefore, the main goal of this study was
375 to improve the ability of stem cells to target and repair the site of cerebral infarction in
376 mice.

377 To obtain MSCs with a good targeting capability, magnetic nanoparticles were
378 prepared using PDA-capped Fe₃O₄. Since the 1970s, MIONs have been widely
379 studied in the field of biomedicine, and their magnetic targeting properties have been
380 developed for many biophysical and medical applications.²⁵ For example, MIONs
381 bind to proteins, nucleotides, viral vectors, and immune and stem cells, and remotely
382 control the distribution of drug molecules and cells in the body through MFs, to
383 accumulate in target tissues.^{26, 27} Indeed, MIONs, such as ferumoxytol, have been
384 approved by the Food and Drug Administration for the treatment of iron-deficiency
385 anemia. The high aggregation of Fe₃O₄ nanoparticles often impedes their uptake by

386 cells.²⁸ Therefore, the present study used PDA encapsulation to reduce Fe₃O₄
387 aggregation and increase the nanoparticle uptake by MSCs. The results indicated that
388 the PDA shell reduces the toxicity of the nanoparticles and greatly improved its
389 superparamagnetism (the physiological stability and biocompatibility of Fe₃O₄ with
390 the nucleus).²⁹ Moreover, the collected data showed that 50 g/mL MIONs had
391 minimal toxicity and good labeling effect on HUMSCs, without changing the
392 characteristics and differentiation potential of the cells. HUMSCs internalized MIONs
393 and mediated their magnetic navigation to the target infarction lesion in the brain with
394 the assistance of an external MF. In this study, after more cells were targeted to the
395 lesion site, the cerebral infarction volume was significantly reduced, and the
396 pathological tissue was significantly repaired. In addition, the activity of glial cells
397 was inhibited after MIONs@PDA-MSCs(MF) transplantation, and the survival
398 activity of neurons was significantly improved in the magnetically targeted brain
399 tissue. Therefore, MIONs@PDA-MSCs(MF) has great potential to repair cerebral
400 infarction. However, MIONs@PDA-MSCs(MF) transplanted into mice were unable
401 to differentiate into nerve cells; thus, HUMSCs may function through other
402 mechanisms.

403 Microglia, as the resident immune cells of the central nervous system, can
404 dynamically exist in surveillance (M0), pro-inflammatory (M1), and
405 anti-inflammatory (M2) states.³⁰⁻³² Microglia are the first cells that respond to
406 ischemic insult.³³ During the experimental infarction, the M1 phenotype largely
407 increased in the peri-infarct regions and secreted pro-inflammatory mediators

408 including TNF- α , IL-1 β , and IL-6, and interferon (IFN)- γ that promote the secretion
409 of reactive oxygen/nitrogen species and proteolytic enzymes, such as matrix
410 metalloproteinase-9, which will in turn exacerbate inflammation and neuronal
411 injury.³⁴ The phenotypic transition of M1 toward the M2 subtype is a promising
412 therapeutic strategy for cerebral infarction.³⁵ It is recognized that the balance between
413 M1 and M2 phenotypes determines the detrimental or beneficial effects of
414 neuroinflammation on cerebral infarction. In the present, *in vivo* experiments showed
415 that HUMSCs clearly promote the transformation of microglia from the M1 into the
416 M2 phenotype. Moreover, CD11b⁺ M1 cells with amoeboid morphology were
417 reduced, whereas CD206⁺ M2 microglia were promoted to exert anti-inflammatory
418 function upon treatment with MION@PDA-MS(MF). In particular, M2 microglia
419 was found to protect the nervous tissues by the enhanced production of Arg-1, CD206,
420 TGF- β , and IL-10.

421 In summary, this study revealed that MSCs targeted at the site of cerebral
422 infarction can reduce the volume of cerebral infarction and promote microglial shift
423 from the M1 to the M2 phenotype for neuroprotection and pro-neuroinflammation,
424 thereby representing a potential novel approach for cerebral infarction therapy.

425 **Ethics approval and consent to participate**

426 All experiments included in this article were ethically approved and agreed.

427 **Authors' Contributions**

428 Jun Yan have contributed to the conception, design, and drafting a significant
429 portion of the manuscript or figures. Te Liu, Jun Zhang, and Bo Shi performed the *in*

430 *vivo* experiments. Fuqiang Zhang performed the MSCs culture. Hongyu Jiang,
431 Huiying Lv, Xuejia Hou, Wanxing Cui, Gang Yao and Xiaowen Zhang performed the
432 molecular biology experiments.

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439 **Acknowledgements**

440 Not applicable

441 **Consent for publication**

442 All authors consent for publication.

443 **Availability of data and materials**

444 All data analyzed during this study are included in this published article.

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Figures

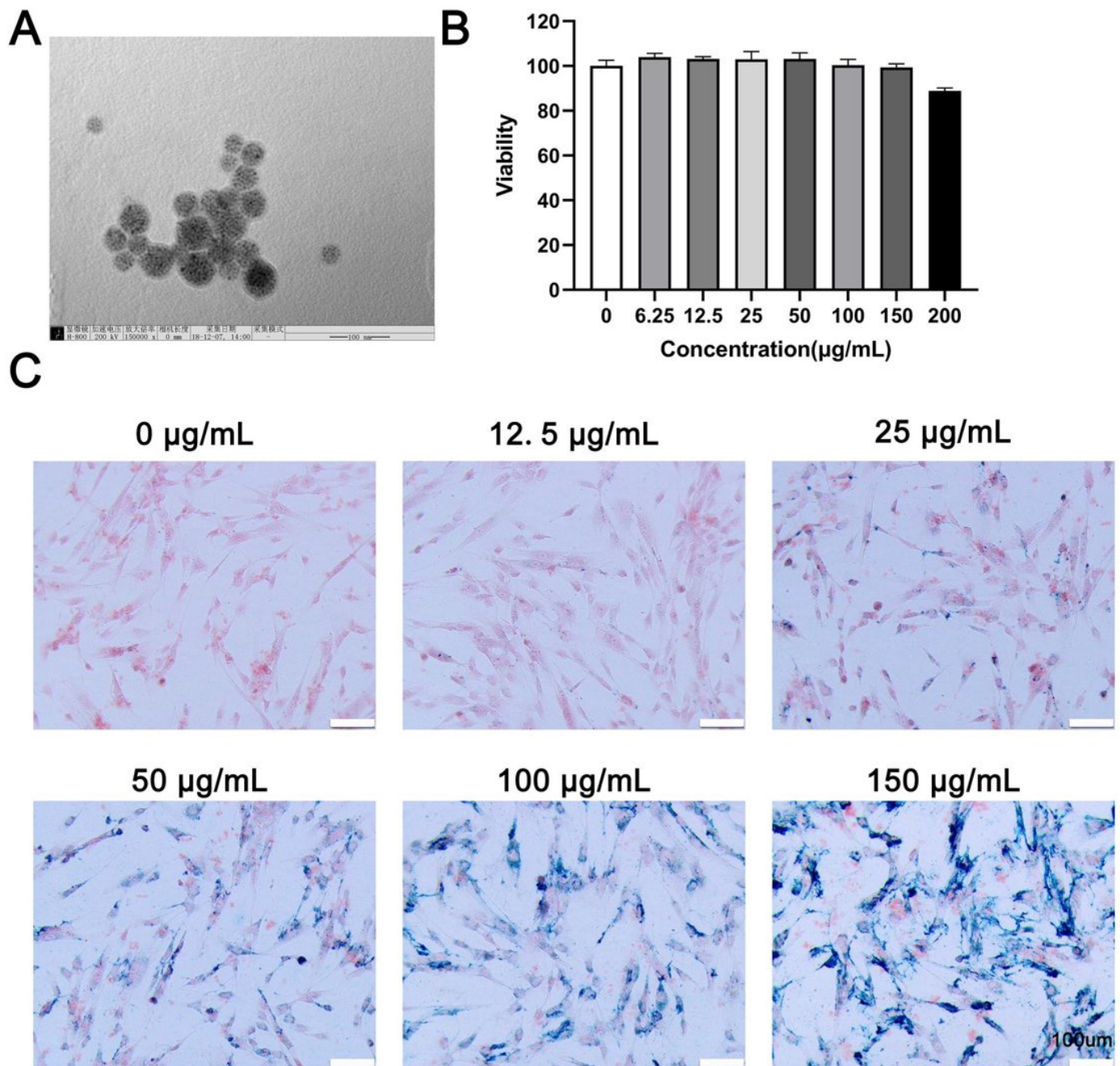


Figure 1

Characterization, viability, and internalization potential of polydopamine-capped Fe_3O_4 nanoparticles (MIONs@PDA). (A) Transmission electron microscopy imaging of MIONs@PDA. Scale bar = 50 nm. (B) Proliferation of human umbilical cord mesenchymal stem cells (HUMSCs) labeled with MIONs@PDA at concentrations of 0, 6.25, 12.5, 25, 50, 100, 150, and 200 $\mu\text{g/mL}$ by Cell Counting Kit-8 assay. (C) Morphology of HUMSCs labeled with the MIONs@PDA at concentrations of 0, 25, 50, 75, 100, and 150 $\mu\text{g/mL}$. Scale bars = 100 μm

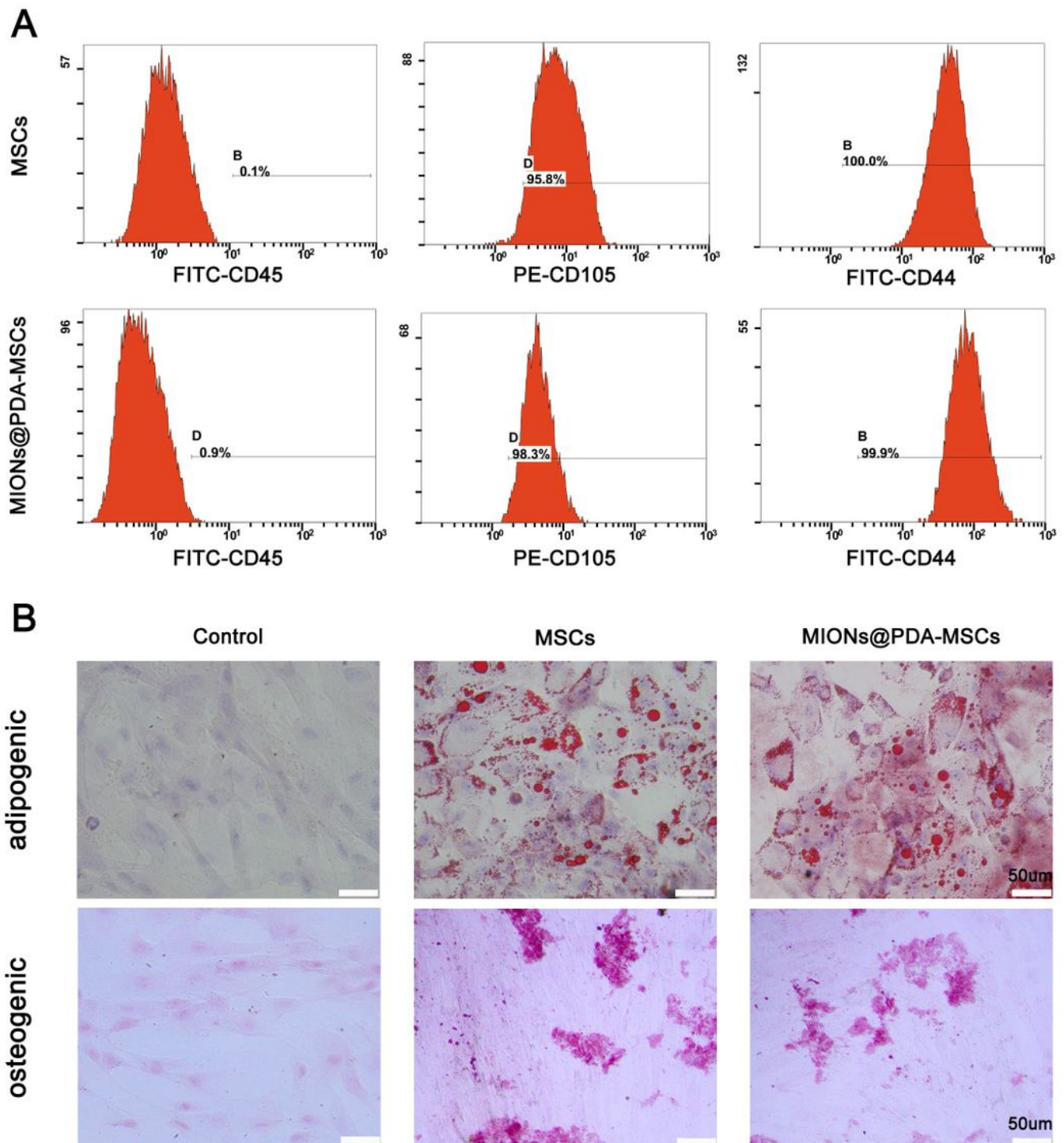


Figure 2

Characterization of human umbilical cord mesenchymal stem cells (HUMSCs) labeled or not with polydopamine-capped Fe₃O₄ nanoparticles (MIONs@PDA). (A) Similar to normal HUMSCs, MIONs@PDA-MSCs highly expressed the typical surface markers CD44 and CD90, but not the hematopoietic cell marker CD45. (B) Osteocyte and adipocyte differentiation of MIONs@PDA-MSCs vs. control HUMSCs. All

cells exhibited adipogenic and osteogenic differentiation potential similar to that of control HUMSCs. Scale bars = 50 μ m

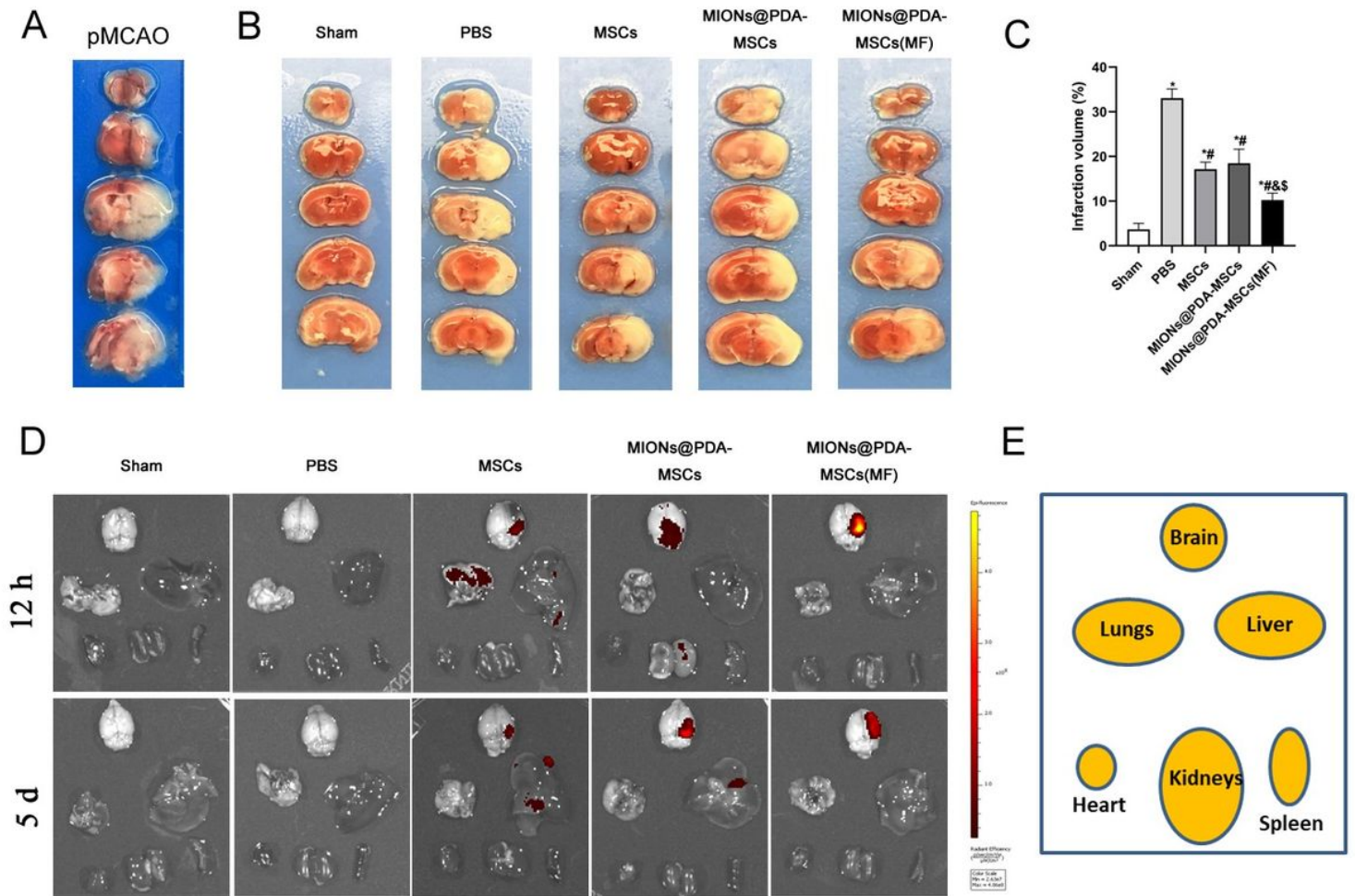


Figure 3

Effects of HUMSCs on infarct volume and behavioral improvement. (A) Representative brain slices with infarction volume shown by TTC staining. (B-C) HUMSCs treatment significantly reduced infarct volume. (D-E) Bio-distribution of the MSCs following their intravenous injection into the pMCAO-induced mice with or without the MF, evaluated by the IVIS imaging of major organs. Data are presented as the means \pm standard deviation. HUMSC, human umbilical cord mesenchymal stem cell; MIONs@PDA-MSCs, HUMSCs labeled with polydopamine-capped Fe₃O₄ nanoparticles; MIONs@PDA-MSC(MF), MIONs@PDA-MSCs with external magnetic field; PBS, middle cerebral artery occlusion with phosphate-buffered saline administration; Sham, sham operation; TTC, 2,3,5-triphenyl-2H-tetrazolium chloride. * $p < 0.05$ vs. Sham group, # $p < 0.05$ vs. PBS group, & $p < 0.05$ vs. HUMSCs group, \$ $p < 0.05$ vs. 294 $p < 0.05$ vs. 295 MIONs@PDA-MSCs group.

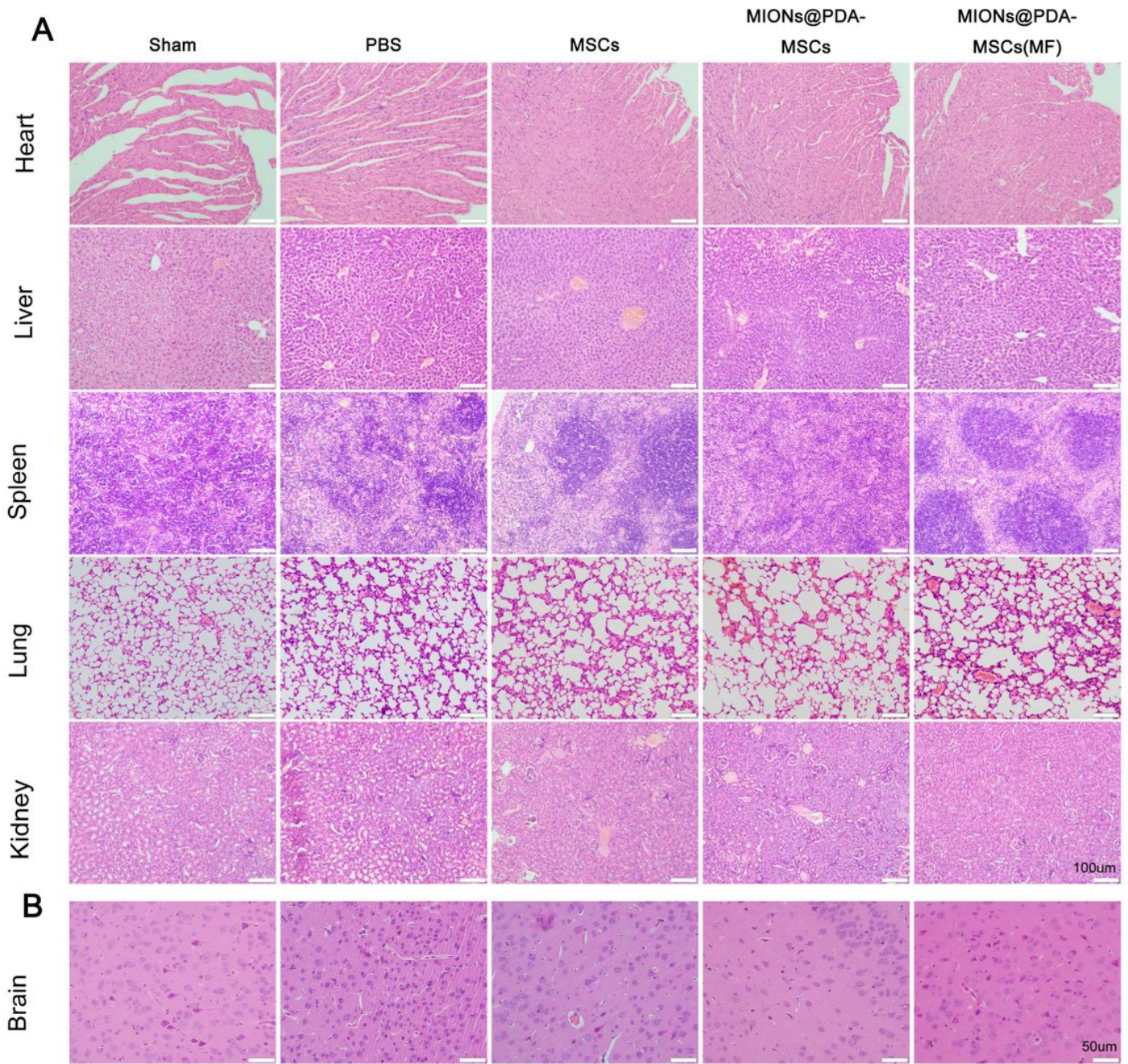


Figure 4

Histopathological changes observed in different organs of mice in each group. (A) The organs of each group were stained with hematoxylin and eosin. scale bars = 100 μ m.(B) Pathological changes in cortical tissue. scale bars = 50 μ m.

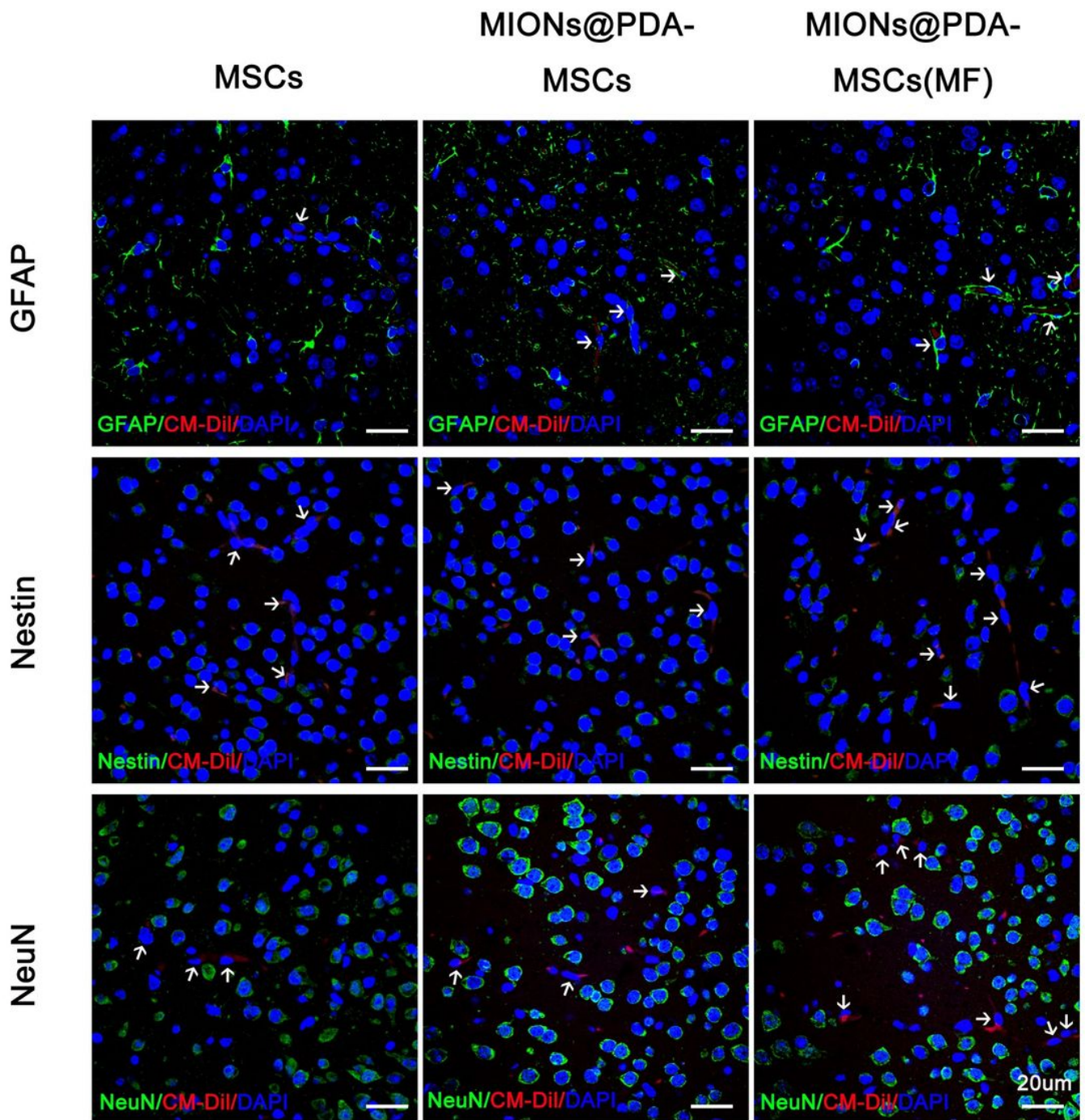


Figure 5

Human umbilical cord mesenchymal cells (HUMSCS) differentiate into neural cells. Immunofluorescence analysis showed no overlap between CM-Dil+HUMSCs and neurons (NeuN+), neurogliaocyte (GFAP+), or neural stem cells (Nestin+). scale bars = 20 μ m.

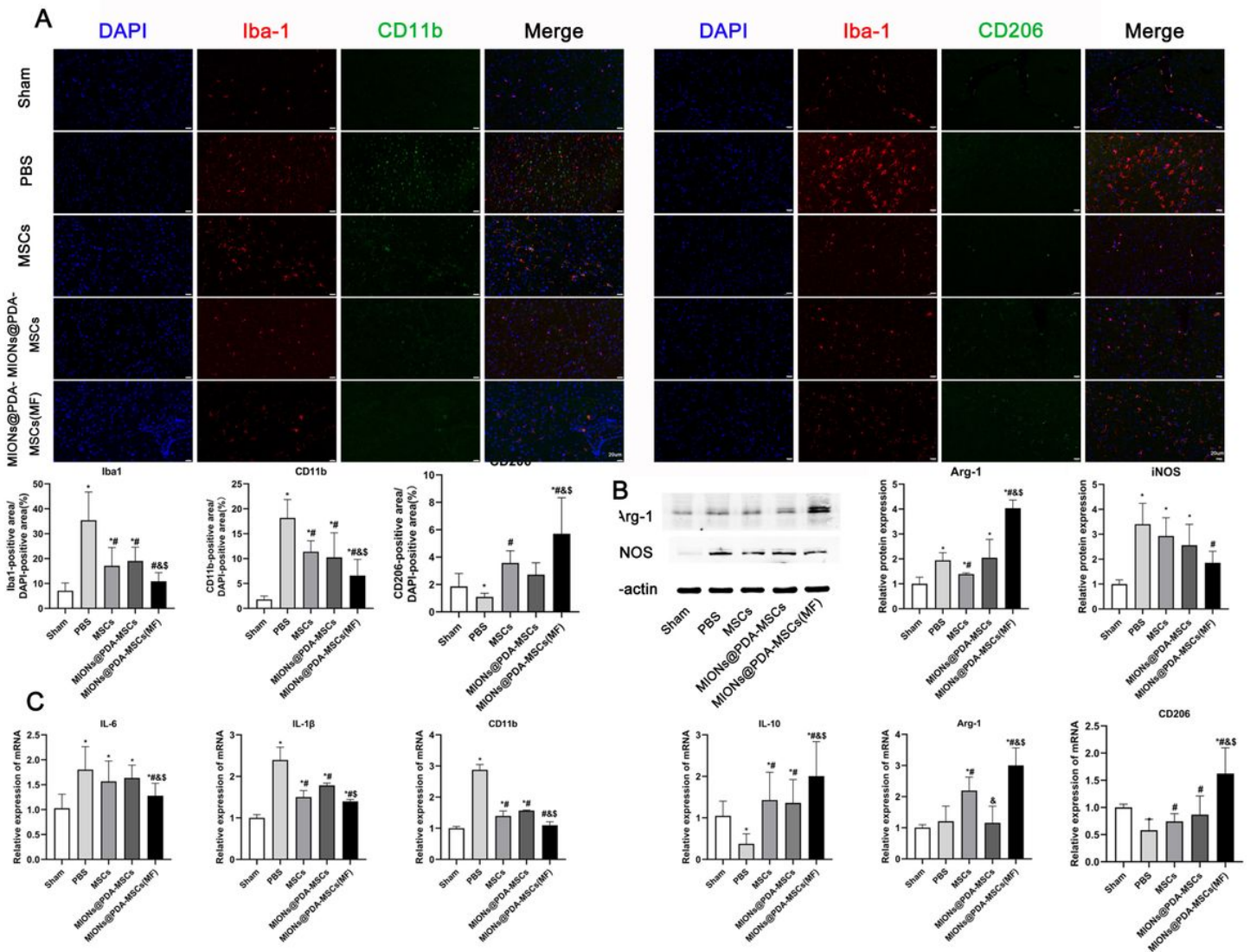


Figure 6

In vivo anti-inflammatory effects of the HUMSCs and MIONs@PDA-MSCs. (A) Immunofluorescence analysis and quantification for M1 (CD11b) and M2 (CD206) macrophage markers in the cortex tissues of mice. scale bars=20 μ m. (B) Western blot analysis and quantification of M1 (iNOS) and M2 (Arg-1) macrophage markers in the cortex tissues of mice. (C) Relative expressions of M1 (IL-6, IL-1 β and CD11b) and M2 (ARG-1, IL-10 and CD206) genes in the brain after treatment. * $p < 0.05$ vs. Sham group, # $p < 0.05$ vs. PBS group, & 354 $p < 0.05$ vs. MSCs group, \$ 355 $p < 0.05$ vs. MIONs@PDA-MSCs group.

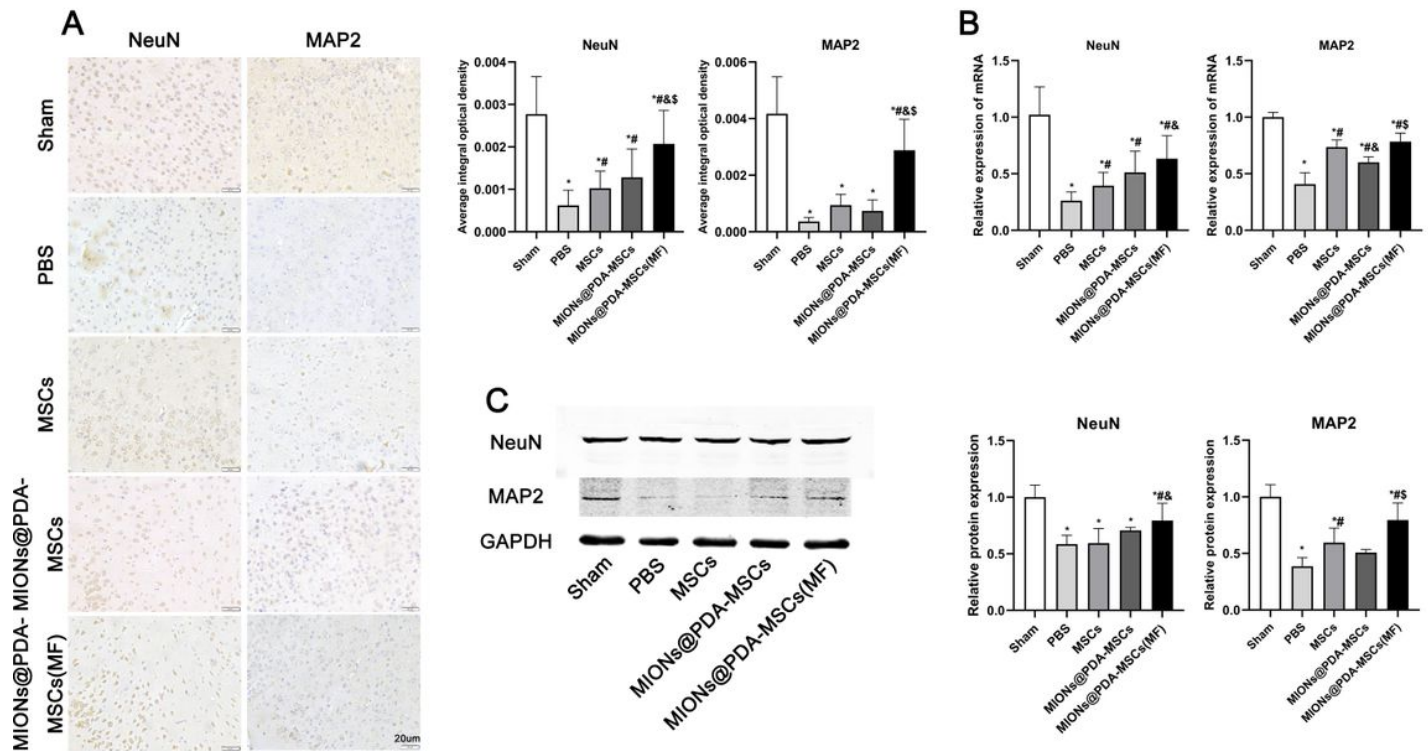


Figure 7

HUMSCs exert neuroprotective effects in vivo. (A) Immunofluorescence analysis, (B) relative mRNA expression, and (C) western blot analysis of neuronal markers (MAP2 and NeuN) in the cortex tissues of mice. * $p < 0.05$ vs. Sham group, # $p < 0.05$ vs. PBS group, & $p < 0.05$ vs. MSCs group, \$ $p < 0.05$ vs. MIONs@PDA-MSCs group. scale bars = 20 μm

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