

# Drivers of Carbon Flux in Drip Irrigation Maize Fields in Northwest China

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## Research

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1   **Drivers of carbon flux in drip irrigation maize fields in northwest China**

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15    **Abstract**

16    **Background:** Under the escalating threat to sustainable development from the global increase in  
17    carbon dioxide concentrations, the variations in carbon flux in the farmland ecosystem and their  
18    influencing factors have attracted global attention. Over the past few decades, with the development  
19    of eddy covariance technology, the carbon fluxes of forests and grasslands have been determined in  
20    many countries. However, studies are very limited for the arid regions in northwestern China, which  
21    covers a large area where a mixed mode of agriculture and grazing is practiced.

22    **Results:** To study the effects of drip irrigation on the net ecosystem productivity (NEE), ecosystem  
23    respiration (ER), gross primary production (GPP) and net biome productivity (NBP) in the arid  
24    regions of northwestern China, we measured the carbon flux annually from 2014 to 2018 using an  
25    eddy covariance system. Our results showed that the maize field carbon flux exhibited single-peak  
26    seasonal patterns during the growing seasons. During 2014-2018, the NEE, ER and GPP of the  
27    drip-irrigated maize field ranged between -407~729 g C m<sup>-2</sup>, 485.46~975.46 g C m<sup>-2</sup>, and  
28    1068.23~1705.30 g C m<sup>-2</sup>. In four of the five study years, the ER released back to the atmosphere  
29    was just over half of the carbon fixed by photosynthesis. The mean daily NEE, ER and GPP were  
30    significantly correlated with the net radiation (Rn), air temperature (Ta), leaf area index (LAI) and  
31    soil moisture (SWC). The results of path analysis showed that leaf area index is the main driving  
32    force of seasonal variation of carbon flux. When harvested removals were considered, the annual  
33    NBP was -234 g C m<sup>-2</sup>, and the drip-irrigated maize field was a carbon source.

34    **Conclusions:** This study shows the variation and influencing factors of NEE, ER and GPP in the  
35    growth period of spring maize under film drip irrigation in arid areas of northwest China. The  
36    ecosystem was a carbon sink before maize harvest, but it was converted into a carbon source  
37    considering the carbon emissions after harvest. The variation of carbon flux was influenced by both

38 environmental and vegetation factors, and its leaf area index was the main driver that affects the  
39 seasonal variation of carbon flux.

40 **Key words:** carbon flux; drip-irrigated maize field; gross primary productivity; net ecosystem  
41 productivity; ecosystem respiration; net biome productivity

42 **1 Background**

43 With the increasing global atmospheric carbon dioxide (CO<sub>2</sub>) concentration, the carbon cycle has  
44 become a hot issue in all fields of research. According to FAO statistics, croplands account for  
45 approximately 11% of the world's total land area (Food and Agriculture Organization, 2001). The  
46 carbon fluxes in farmland ecosystems are directly affected by human activities, such as irrigation  
47 methods, planting patterns and agronomic measures, and these activities in turn influence global  
48 carbon fluxes due to the relatively high percentage of land areas devoted to farming (Han et al.,  
49 2007; Suddick et al., 2013; Li et al., 2018). Therefore, reducing carbon emissions from farmland  
50 ecosystems can have a significant impact on mitigating climate change. In agriculture, to date,  
51 reducing CO<sub>2</sub> emissions has primarily meant prohibiting the burning of straw and other crop wastes  
52 as well as changing farming practices (Smith, 2004; Ciais et al., 2010; Lal, 2020; Lal et al., 2018).  
53 In recent years, the fluctuation in farmland ecosystem carbon emissions has become a major  
54 concern (Janssens et al., 2005; Kutsch et al., 2010; Schulze et al., 2010). Eddy covariance system is  
55 an observation technique based on the theory of atmospheric turbulence, which can be used to  
56 measure the earth-air exchange process. With the development of eddy covariance technology over  
57 a long period of time, so far, technicians have developed a high-precision eddy covariance system,  
58 which can realize high frequency and long-term observation of water and carbon fluxes. Studying  
59 how to maintain the balance in the carbon budget in arid areas in particular has important scientific  
60 significance.

61 Carbon flux has obvious daily seasonal variations. Previous studies have shown that the net  
62 ecosystem exchange (NEE) exhibits clear daily variations, generally following a "U" curve over the  
63 course of the day with a downward flux (Carrara et al., 2003). With respect to seasonal variations,  
64 the NEE is closely related to crop growth (Schmidt et al., 2012; Li et al., 2016). Soil respiration or

65 ecosystem respiration (ER) varies throughout the day/night cycle, peaking around midday. This  
66 pattern is influenced by different latitudes and different environmental conditions. Previous studies  
67 have shown that the maximum respiration value generally occurred at noon, while the minimum  
68 value generally occurred in the early morning (Zhang et al., 2003; Wang et al., 2006). Gross  
69 primary production (GPP) is the world's most important mode of carbon flux and is closely related  
70 to ER and biomass accumulation (Beer et al., 2010). The daily variation in the GPP follows a "Λ"  
71 shape, with a sharp peak at noon (Flanagan et al., 2002). Factor affecting the carbon flux can be  
72 divided into biological and non-biological factors, biological factors mainly refers to the associated  
73 with plant growth. The study about carbon flux of grassland found that the canopy greenness and  
74 coverage is closely related to the spatial and temporal variations of ecosystem carbon flux (Wagle et  
75 al., 2015), and plant growth period length to a certain extent determines the value of carbon flux in  
76 different seasons (Du et al., 2019). The response of carbon flux to environmental factors is different.  
77 In the early growth stage of grassland in arid regions, precipitation is the main factor affecting net  
78 ecosystem exchange (Nakano and Shinoda, 2018).

79 In recent years, drip irrigation has been actively promoted in the arid areas of northwestern  
80 China as a water-saving agricultural technology. Drip irrigation under film can provide a timely and  
81 appropriate amount of fertilizer and irrigation according to different needs, and it is one of the  
82 important measures used to couple water and fertilizer. According to the published statistics, drip  
83 irrigation can save 40~60% water and 30~50% fertilizer (Lin et al., 2019). At present, using drip  
84 irrigation technology under film has been popularized on more than four million hectares in China,  
85 and it has been applied to the cultivation of more than 40 crops, among which wheat, maize, cotton  
86 and other major field crops have an average yield increase of more than 30% (Gu et al., 2017). Drip  
87 irrigation under film is expected to replace the traditional method of border irrigation. Gansu

88 Province is the largest seed production base for hybrid maize in China. At present, there are few  
89 studies on the changes in NEE, ER and GPP in the farmland ecosystem under drip irrigation.  
90 Research on the global carbon balance must cover all types of biomes, including maize-production  
91 areas in the arid regions of northwestern China.

92 The purpose of the study is to quantify the NEE, GPP and ER of the maize crop ecosystem in  
93 the arid regions of northwestern China using eddy covariance systems. The specific goals are as  
94 follows: (1) quantify the seasonal and interannual variations in carbon flux in this region, (2)  
95 identify the primary environmental factors affecting the seasonal variations of carbon flux and (3)  
96 Quantify the growing season carbon budget of drip-irrigated spring maize fields.

## 97 **2 Materials and methods**

### 98 **2.1 Site description**

99 This study was conducted east of Hexi Corridor in the arid area of Northwest China ( $37^{\circ}52'N$ ,  
100  $102^{\circ}50'E$ , 1,585 m elevation) at the border of Tengger Desert. This area has a typical continental  
101 climate and strong temperature differences among the four seasons. The annual average temperature  
102 is  $7.8^{\circ}C$ . Water resources are scarce in this region; the annual total precipitation is 160 mm, the  
103 annual evaporation is more than 2,000 mm, and the groundwater depth is 40-50 m. The soil in the  
104 test area is sandy loam (Li et al., 2014). The soil texture at 0-0.8 meters deep is silty loam (Li et al.,  
105 2013; Li et al., 2015), the average soil dry bulk is  $1.52\text{ g cm}^{-3}$ , and the average field capacity is 0.29  
106  $\text{m}^3\text{ m}^{-3}$ . Before maize sowing, the PH of 0-30 cm in the experimental area was 8.1, and SOC was  
107 9.6 g/kg. The entire experimental area has been cultivated for many years, its length is 400 m and  
108 its width is 200 m. The crop cultivated here for seed production is spring maize, and it is sown in  
109 late April and harvested in mid-September. The irrigation method is drip irrigation under film mulch.  
110 The irrigation and fertilization conditions from 2014 to 2018 are shown in Table 1 and Table 2.

111 **Table 1 and Table 2 goes here, please**

112 **2.2 Flux and climatic-factor measurements**

113 As a common technique for monitoring water vapor flux and carbon dioxide flux between the  
114 surface and the atmosphere, eddy covariance technology has become increasingly refined after  
115 decades of theoretical development and practical application (Baldecki et al., 1988). An open-path  
116 eddy covariance system was installed in the center of a homogenously vegetated area covering  
117 approximately 8 ha. The eddy covariance system consisted of an open-path infrared gas analyzer  
118 (EC150, Campbell Scientific Inc., USA) and a three-dimensional anemometer (CSAT3, Campbell  
119 Scientific Inc., USA), both installed at a height of 3 m above the ground.

120 Routine meteorological factors were measured simultaneously. Air temperature and relative  
121 humidity were measured by a temperature and humidity probe at 3 m (HMP155A, CSI, USA).  
122 Radiation was monitored by a radiation meter (CNR4, Kipp & Zonen, Holland). Soil temperature  
123 probes (109L, Campbell Scientific Inc., USA) and soil moisture probes (CS616, Campbell  
124 Scientific Inc., USA) were installed to monitor the variations in the soil temperature and soil water  
125 content (SWC), respectively, at depths of 20 cm, 40 cm, 60 cm, 80 cm and 100 cm. All the data  
126 were collected with a CR3000 (Campbell Scientific Inc., USA) data logger.

127 To compensate for the heterogeneity of the underlying vegetation and the error due to  
128 instrument installation variability, the raw data were normalized to improve the comparability of the  
129 final results. We used Loggernet (Campbell Scientific Inc., USA) to convert the collected data with  
130 a sampling frequency of 10 Hz into data with a frequency of 30 minutes. Eddy Pro software  
131 (Li-COR, USA) was then used to perform the stability test, atmospheric turbulence heat verification,  
132 and other analyses. The stability of the nighttime atmospheric conditions lowered the data quality. In  
133 the case of weak turbulence, the results obtained using the eddy covariance method do not

134 accurately reflect the real carbon exchange of the underlying surface. The criterion that reflects the  
135 strength of the turbulence in the atmosphere is the frictional wind speed. According to the average  
136 values test method (Zhu et al., 2006), for each year from 2014-2018, the critical frictional wind  
137 speed values were  $0.15 \text{ m s}^{-1}$ ,  $0.15 \text{ m s}^{-1}$ ,  $0.20 \text{ m s}^{-1}$ ,  $0.18 \text{ m s}^{-1}$  and  $0.12 \text{ m s}^{-1}$ , respectively.  
138 Therefore, when the data were processed, the nighttime carbon flux data associated with a  
139 corresponding frictional wind speed that was less than the critical frictional wind speed were  
140 removed. The data outliers were removed since they were often caused by external factors such as  
141 rain and snow or unstable voltage. In field operations, the installation of the instrument cannot be  
142 guaranteed to be absolutely perpendicular to the ground, so the data must be tilted for correction,  
143 that is, there must be a coordinate rotation. Finally, a frequency loss correction and an air density  
144 correction are required.

145 Due to instrument failure, extreme weather, power supply issues and data processing errors,  
146 there were missing data. To achieve data continuity and integrity, the data were interpolated. Data  
147 gaps due to turbulent fluxes or instrument malfunction were divided into short gaps ( $<2 \text{ h}$ ) and long  
148 gaps ( $>2 \text{ h}$ ) (Gao et al., 2017<sup>a</sup>). The former were filled by linear interpolation, and the latter were  
149 filled using statistical and empirical models (Baldocchi, 2003). The Michaelis–Menten equation  
150 was used for daytime data gaps (Michaelis and Menten, 1913):

$$151 \quad NEE = ER - \frac{\alpha \cdot PAR \cdot P_{\max}}{P_{\max} + \alpha \cdot P_{\max}} \quad (1)$$

152 where  $ER$  is the dark respiration,  $PAR$  is the photosynthetically active radiation,  $\alpha$  ( $\mu\text{mol CO}_2$   
153  $\mu\text{mol PAR}^{-1}$ ) is the apparent quantum efficiency, and  $P_{\max}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is the maximum  
154 ecosystem photosynthesis rate.

155 The vant Hoff equation was used for nighttime data gaps (Collatz et al., 1991):

$$ER = ER_{ref} \exp(B(T_s - T_{ref})) \quad (2)$$

157 where  $ER_{ref}$  is the reference ER at 10°C,  $B$  is the regression parameter,  $T_s$  is the surface temperature  
 158 and  $T_{ref}$  is the reference surface temperature at 10°C.

## 159 2.3 Calculation of the leaf area index (LAI)

160 The leaf surface area was measured every seven to ten days from the seedling stage to crop harvest.  
161 In the field, we chose six different locations with nine representative plants at each site. A  
162 measuring tape (Minimum scale: mm) was used to measure the length and width of each leaf. The  
163 LAI of the maize leaves can then be obtained using the following formula (Eq. 1) (Guo et al.,  
164 2019):

$$LAI = 0.74 \times \frac{\sum_{i=1}^n L_{ix} W_i}{D \times S} \quad (3)$$

where  $LAI$  is the leaf area index of maize, 0.74 is the empirical constant,  $Li$  is the length of leaf  $i$ ,  $Wi$  is the width of leaf  $i$ , and  $D$  and  $S$  are the distance between two rows and the space between two plants, respectively.

169 2.4 Flux partitioning

170 NEE is the net ecosystem exchange (it has a negative value in this context, representing net  
171 CO<sub>2</sub> fixation by the ecosystem), and it is the sum of ER and GPP. GPP represents the amount of  
172 CO<sub>2</sub> assimilated by the maize plants during photosynthesis. ER includes both autotrophic and  
173 heterotrophic respiration (Buchmann, 2000). Autotrophic respiration includes the respiration of both  
174 the underground and aerial parts of the maize plant, and heterotrophic respiration refers to the  
175 respiration of the soil organisms.

176 Crops do not conduct photosynthesis at night, i.e., GPP=0. Therefore, the NEE measured by  
177 the eddy covariance system at night is the ER of the farmland ecosystem. Once the relationship

178 between the nighttime NEE and surface temperature ( $T_s$ ) was established, the daytime ER was  
179 obtained by plugging the daytime  $T_s$  data into the equation.

180 The most commonly used method is to use the respiratory model to interpolate the missing data. We  
181 used the van't Hoff model to simulate the nighttime  $\text{CO}_2$  flux of the maize with drip irrigation under  
182 film mulch as equation (2).

183 The difference between the NEE and the calculated ER is GPP.

184 
$$GPP = ER - NEE \quad (4)$$

185 **2.5 Net biome productivity**

186 Net biome productivity (NBP) is defined as:

187 
$$NBP = C_i - C_e - NEE \quad (5)$$

188 where  $C_i$  is imported carbon,  $C_e$  is exported carbon. When the value of NBP is positive, it means the  
189 ecosystem is a carbon sink. Otherwise, it is the carbon source.

190 The amount of exported carbon can be calculated based measured data for biomass, as follows:

191 
$$C_e = D_g * a_1 + D_c * a_2 + D_l * a_3 + D_s * a_4 \quad (6)$$

192 where  $D_g$  is the dry grain,  $D_c$  the dry cob,  $D_l$  the dry leaf and  $D_s$  the dry stem,  $a_1$ ,  $a_2$   $a_3$  and  $a_4$  is the  
193 carbon percentage of different organs, the values of  $a_1$ ,  $a_2$   $a_3$  and  $a_4$  were 0.447, 0.468, 0.452 and  
194 0.452, respectively (Jans et al., 2010; Wang et al., 2015). In our experimental area, the grain and cob  
195 were harvested completely, the roots was left in the field, and about 10% of the leaves and stems  
196 were left in the field. The values of  $D_g$ ,  $D_c$ ,  $D_l$ ,  $D_s$  and  $C_e$  are shown in Table 3.

197 **Table 3 goes here, please**

198 **2.6 Statistical analysis**

199 All the statistical analyses were performed using SPSS for Windows Software (Version 18.0, SPSS  
200 Inc., Chicago, IL, USA). Simple linear regression was used to evaluate the relationships between

201 daily NEE, ER and GPP. Multiple regression analysis was used to analyze the relationships between  
202 various environmental factors and leaf area index and NEE, ER and GPP.

203 **3 Results**

204 **3.1 Seasonal variations in meteorological and vegetation factors**

205 Figure 1 shows the seasonal variation characteristics of net radiation (Rn), air temperature (Ta)  
206 saturated water vapor pressure difference (VPD), soil water content at 0-20 cm (SWC) and  
207 precipitation (P) and irrigation (I) in the study site from 2014 to 2018.

208 The seasonal fluctuations in Rn were small. Rn remained at a high level from May to August  
209 but began to decline slowly after September (**Figure 1(a)**). The average Rn over the entire growing  
210 period were 141.14, 150.26, 136.51, 132.28 and 133.27  $\text{w m}^{-2}$  in 2014~2018, respectively. Among  
211 then, the average Rn values peaked at the heading stage or shooting stage. The average air  
212 temperatures for each of the five growing seasons were 19.02, 19.12, 21.01, 19.58 and 20.14°C,  
213 respectively (**Figure 1(b)**). During maize growth and development, the pattern of temperature  
214 during each growth period differed slightly among years. In 2014, 2017 and 2018, the air  
215 temperature peaked during the heading stage, while in 2015 and 2016, it peaked during the filling  
216 stage. The difference in the VPD between the years was rather small. However, in 2017, the  
217 seasonal average VPD was the highest because that year had the lowest amount of precipitation and  
218 irrigation, which led to dry air. The soil water content for the five growing seasons were consistent  
219 with precipitation and irrigation events, and the values were 0.17, 0.20, 0.23, 0.25 and 0.24  $\text{cm}^3$   
220  $\text{cm}^{-3}$ , respectively. The sum of precipitation and irrigation were 545.40 mm, 519.40 mm, 542.22  
221 mm, 502.28 mm and 578.32 mm, respectively. Since this is an arid region, precipitation played a  
222 very minor role in supplying the water required for crop growth in comparison to irrigation.

223 **Figure 1 goes here, please**

224       Figure 2 shows the seasonal variation patterns in the maize LAI from 2014 to 2018. Throughout  
225       the growing season, the change in LAI assumed a parabolic curve. Starting from the seedling stage,  
226       LAI increased with the crop growth and peaked at the heading stage. During the mid and late stages  
227       of maize growth, LAI decreased significantly because of the special management measures that  
228       were implemented for seed maize, i.e., after pollination, the male plants were cut, which led to a  
229       significant decrease in LAI. For each year from 2014 to 2018, the maximum LAI values were 3.09,  
230       5.53, 5.28, 4.26 and 4.93 m<sup>2</sup> m<sup>-2</sup>, respectively. The maximum LAI for 2015 was the highest among  
231       all five years, which was primarily due to the best crop growth, which was observed in 2015.

232       **Figure 2 goes here, please**

### 233       **3.2 Seasonal and interannual variations of NEE, ER and GPP**

234       The seasonal dynamics of NEE, GPP and ER during 2014-2018 are illustrated in **Figure 3(a)**. With  
235       the growth of the maize and variations of climate, NEE, ER and GPP showed clear seasonality. The  
236       variations in ER were relatively weak, whereas large fluctuations in GPP during crop growth were  
237       frequent. In the early growth stage, ER was low, mainly due to the low air temperature. Daily ER  
238       increased significantly in mid-June due to both the increase in air temperature and crop growth. The  
239       maximum value of ER occurred during the heading or filling stage; with crop decline and the  
240       reduction of temperature, ER began to slowly decline. Daily GPP and NEE peaked in the heading  
241       stage in all five years; at this stage, leaf area reached its maximum value, and the meteorological  
242       conditions were optimal for growth.

243       During the early stage of crop growth, i.e., from April to May, the NEE was positive, indicating  
244       that the total respiration per sq. m. for the maize field was higher than the total photosynthesis.  
245       Thus, the maize field released CO<sub>2</sub> into the atmosphere. During the fast-growth stage, i.e., from  
246       June to August, the total photosynthesis exceeded the respiration, and the NEE peaked over a range

247 from -10 to -20 g C m<sup>-2</sup> d<sup>-1</sup> between 2014 and 2018. Thus, at this stage of growth, maize field was  
248 most capable of sequesturing CO<sub>2</sub> from the atmosphere. During the late stage of crop growth, i.e.,  
249 from September to October, the NEE value gradually decreased but remained negative, indicating  
250 that the carbon absorption capacity of the maize field was weak during this time (**Figure 3**). The  
251 findings demonstrate that during the early growth stage, the maize field released CO<sub>2</sub> into the  
252 atmosphere. Its CO<sub>2</sub> absorption capacity gradually increased as the growing season progressed,  
253 reaching a peak and then slowly declining at the end of the growing season. From 2014 to 2018, the  
254 GPP and NEE values decreased significantly on rainy or cloudy days when the photosynthetic  
255 intensity of the crop decreased significantly, thus resulting in a significant reduction in the GPP.

256 **Figure 3 goes here, please**

257 NEE, ER and GPP were not subjected to large inter-annual variability (**Table 4**). On the annual  
258 scale, comparing to NEE and GPP, the cumulative ER in five years showed a more significant  
259 interannual variability, and the value of CV was 0.22. The cumulative NEE, ER and GPP for the 5  
260 years ranged from to -406.76 to -729.89 g C m<sup>-2</sup>, 661.84 to 975.46 g C m<sup>-2</sup> and 1705.30 to 1068.63  
261 g C m<sup>-2</sup>, respectively ( **Table 4**). The maximum value of NEE, ER, and GPP occurred in the bloom  
262 period of crop growth, and the coefficient of variation was similar to the annual , with CV values of  
263 20%, 24%, and 14%, respectively.

264 **Table 4 goes here, please**

265 The ratio of the ER to the GPP ranged from 44 to 62% in growing seasons of the five years,  
266 indicating that over half of the carbon fixed via photosynthesis was released back to the atmosphere  
267 by respiration. In the early stage of crop growth, the photosynthetic capacity of maize was relatively  
268 weak, and the field was mainly dominated by soil respiration. Therefore, the ratio of ER/GPP was  
269 relatively high at the seedling stage, exceeding 100% in four of five years. As crops growing and

development, the ability to photosynthesize increased, and the ratio begins to decline slowly. However, at the later stage of growth, the aging and falling of leaves caused by crop ripening increased the ER/GPP ratio. The year 2014 was an exception in that the ER/GPP ratio was below 50%. During the seedling stage, the ER/GPP ratio exceeded 100% in all years except 2015, which indicated that the amount of CO<sub>2</sub> released into the atmosphere by ER was greater than the amount fixed by photosynthesis. The five-year mean values of ER/GPP at different fertility stages were 119%, 45%, 43%, 55% and 68%, respectively (**Figure 4**).

**277 Figure 4 goes here, please**

We used a linear regression model to explore the relationship between NEE, ER and GPP. Figure 6 showed that the variation of NEE and ER were significantly correlated with GPP, and both R<sup>2</sup> were higher (P<0.0001). The value of NEE decreased with the increase of GPP, which indicated that the carbon sequestration capacity of crops increased with the increase of photosynthetic capacity. ER increased with the increase of GPP, which also indicated that when the photosynthetic capacity of crops increased, the respiration capacity of maize fields also increased. According to the regression equation (**Figure 5**), the variation of GPP contributed 68% and 32% to the variation of NEE and ER, respectively.

**286 Figure 5 goes here, please**

**287 3.3 Relationships between environmental variables and NEE, ER and GPP**

Analyses of the relationships between each of NEE, ER and GPP and various environmental factors and plant physiology are key to interpreting the seasonal and interannual variations in NEE, ER and GPP in maize fields. The results from the statistical analyses of daily average NEE, ER, GPP, Rn, Ta, VPD, SWC, IP and LAI from 2014 to 2018 are shown in Table 5.

292       The results of the five-year study showed that NEE was correlated with R<sub>n</sub>, T<sub>a</sub> and LAI. As R<sub>n</sub>,  
293      T<sub>a</sub> and LAI increased and decreased, the smaller the negative value of NEE was, the stronger the  
294      ability to fix CO<sub>2</sub> in the atmosphere was. VPD in 2016 and 2017 also showed a very significant  
295      correlation with NEE ( $p<0.01$ ), and SWC also had a significant impact on NEE in 2014, 2016 and  
296      2018. However, the effect of irrigation and precipitation on NEE was not significant. The research  
297      results on ER showed that during the growing season of maize from 2014 to 2018, ER was  
298      significantly positively correlated with Rn, Ta and LAI ( $p<0.01$ ). The seasonal variations of ER in  
299      2015-2018 were also influenced by VPD ( $p<0.01$ ). In addition to 2016, the influence of SWC on  
300      ER is also not negligible. Therefore, Rn, Ta and LAI have important effects on ecosystem  
301      respiration. Examination of the relationships between ER and environment/physiological factors  
302      showed high correlation coefficients between ER and Ta and ER and LAI (**Table 5**), indicating that  
303      the ER of the farmland ecosystem is sensitive to crop growth and Ta. With crop growth and  
304      development, the ER capacity also increased.

305      **Table 5 goes here, please**

306       During the period of crop growth, LAI was the most important factor affecting GPP, followed  
307      by T<sub>a</sub> and R<sub>n</sub>, which showed a significant positive correlation ( $P<0.01$ ). The coefficient of  
308      correlation between the GPP and LAI was the highest, indicating that a higher LAI corresponds to  
309      more photosynthetic activity by the crop. In addition, the correlation between the GPP and T<sub>a</sub> was  
310      high, revealing that within a given range, the photosynthetic ability of the plants was affected by air  
311      temperatures. The 2016 and 2017 VPD also affected the seasonal variations in the GPP.

312       NEE, ER and GPP in the spring maize growing season all showed significant correlations with  
313      net radiation (Rn), air temperature (Ta) and leaf area index (LAI). In addition, we also found that

314 soil water content has a significant effect on GPP. Among all the investigated potential drivers, we  
315 found that the leaf area index was the most important controls.

316 In order to more accurately predict the seasonal variations of NEE, ER and GPP, according to  
317 the results in Table 5, we selected the factors with highly significant correlations ( $p < 0.01$ ) with NEE,  
318 ER and GPP for multi-factor fitting. As can be seen from the results in Table 5, when multi-factor  
319 regression was adopted, the seasonal variations of NEE, ER and GPP could be well simulated  
320 during the growing seasons, among which GPP has the best simulation effect, with goodness of fit  
321 values of 0.72-0.78 for NEE, 0.76-0.90 for ER and 0.70-0.90 for GPP (**Table 6**).

322 **Table 6 goes here, please**

### 323 **3.4 Carbon budget**

324 Net biome productivity was analyzed to determine whether drip-irrigated maize fields were carbon  
325 sources or carbon sinks. According to equation 5, it can be known that NBP is the difference between  
326 the carbon input and the carbon output and the net ecosystem exchange. Since no organic fertilizer  
327 was applied in our experimental area, the total input carbon was 0. From 2014 to 2018, the NBP of the  
328 maize field in the experiment site was -165.12, -202.09, -378.55, -192.51 and -230.08 g C m<sup>-2</sup>,  
329 respectively. In 2016, because the water, fertilizer, light and heat conditions were more suitable for  
330 the growing of maize, the maximum yield of maize was 1095 g C m<sup>-2</sup>, so the output of carbon was  
331 also the largest. During the drip-irrigated maize field was a carbon source and the average NBP was  
332 -233.77 g C m<sup>-2</sup> (**Figure 7**).

333 **Figure 7 goes here, please**

## 334 **4 Discussion**

### 335 **4.1 Factors controlling seasonal variations in carbon flux**

Many studies showed that radiation, air temperature, precipitation, soil moisture content and LAI are the main factors affecting carbon flux during the growing season in different ecosystems (Alberto et al., 2013). Our study showed that LAI had significant effects on NEE, ER and GPP, which indicated that the growth status of crops plays a crucial role in influencing carbon flux during the growth period (**Figure 7**). Through path analysis, the study showed that LAI is the leading factor (59%) affecting the change of NEE in the maize growing season, followed by net radiation. In addition to LAI, air temperature was also a major control factor that drives the seasonal change of ER. GPP is mainly affected by LAI and net radiation. This is consistent with many published studies (Li et al., 2016; Wagle et al., 2015; Gao et al., 2017<sup>b</sup>). LAI is an indicator closely related to the growth process of crops and directly determines the intensity of photosynthesis and autotrophic respiration of crops. Environmental factors affect seasonal variations in carbon fluxes by influencing crop processes and providing available energy. A field experiment with winter wheat showed that LAI, air temperature, photosynthetic effective radiation and biomass weight accounted for approximately 80% of ER and GPP (Wagle et al., 2018). In another experimental study on farmland ecosystems, soil respiration in a winter field was found to be controlled by temperature, soil moisture and LAI (Tong et al., 2017). This result is consistent with the previous finding of a positive impact of soil moisture on vegetation activity (Li et al., 2016). In a model-based study, temperature was identified as the major abiotic factor affecting soil carbon flux (Gonzalez-Real et al., 2018). In addition to being influenced by temperature, soil respiration is controlled by LAI and soil moisture. Another factor that has strong impacts on carbon fluxes is management practices (Beziat et al., 2009). As tillage supplies substrates to the soil, the decomposition of soil microorganisms is enhanced, which leads to an increase in soil respiration.

## 4.2 Annual carbon flux

359       The 5-year mean value of NEE in our study was  $-579.09 \text{ g C m}^{-2}$  (**Table 4**). We compiled data  
360       from published papers to compare NEE of maize among different areas. We compared the NEE of  
361       maize among regions with different climate and management practices. Research in the arid region  
362       of northwest China, Yingke station observations showed the mean value of NEE in 2007-2008 was  
363        $-626 \text{ g C m}^{-2}$ , which was higher than the mean value of  $-527.09 \text{ g C m}^{-2}$  during 2014-2018 in our  
364       research (Wang et al., 2012). This is due to the fact that the observation time at yingke included  
365       fallow periods, whereas our study was conducted only during maize growing season. In Europe,  
366       NEE in the Netherlands and Italy was  $-597 \text{ g C m}^{-2}$ , respectively, while the value of NEE was  $-186$   
367        $\text{g C m}^{-2}$  in France, in Italy the NEE was  $-473 \text{ g C m}^{-2}$ . Due to the different growing environment of  
368       maize, there are great differences between maize field NEE. In order to analyze the impact of  
369       farmland management measures on carbon fluxes, some researchers have conducted analyses. In  
370       Nebraska, USA, the results of the study showed that the NEE value of irrigated maize was lower  
371       than that of rainfed maize (Suyker and Verma, 2012). The results of the study on mulching and  
372       non-mulching showed that the NEE of maize field after mulching was smaller, indicating that the  
373       mulching could absorb more  $\text{CO}_2$  from the atmosphere (Gao<sup>b</sup> et al., 2017).

374       **Table 6 goes here, please**

375       The five-year average ER/GPP ratio was 57% (**Table 4**), which is similar to the value of 60%  
376       reported in the Heihe River basin (Wang et al., 2012). Research in the Wageningen, Netherlands,  
377       and Lamasquere, France, showed that the ER/GPP ratios in these regions were higher than those in  
378       other regions, with both sites having ratios of more than 80% (Jans et al., 2010; Beziat et al., 2009).  
379       Suyker et al. (2012) showed that despite differences in NEE, GPP and ER between irrigated maize  
380       and rain-fed maize, the ER/GPP ratio of both types of maize was 57% (Suyker et al., 2012). This  
381       value is very close to our results. Another study showed that the ratio of ER to GPP in mulching

382 spring maize was lower than that in non-mulching spring maize; although film mulching increased  
383 ER, GPP also increased (Gao et al., 2017<sup>b</sup>). Studies of summer maize in northern China have found  
384 that the ratio of ER to GPP was greater in summer maize than in spring maize, exceeding 70% (Lei  
385 and Yang, 2010; Wang et al., 2015).

386 Farmland is different from forest, when the crops are ripe, crops have to be harvested.  
387 Therefore, when determining whether the farmland is a carbon source or a carbon sink, we need to  
388 consider the carbon emission exported by harvesting crops. During the growing seasons, 579 g C  
389 m<sup>-2</sup> (Table 3) was sequestered by uptake of CO<sub>2</sub> from atmosphere. The amount of carbon exported  
390 from field was 813 g C m<sup>-2</sup> (Table 2). this implies a carbon loss of 234 g C m<sup>-2</sup> from soil during the  
391 growing season. It should be noted that the amount of carbon input to the soil from the harvested  
392 residues has been taken into account in the calculation of the carbon output when ploughed into the  
393 soil. Compared with other regions, we found that all the other sites except shouyang were  
394 represented as carbon sources (NBP is positive) to varying degrees. This was because in shouyang,  
395 straw was used for returning to the field, leaving the rest of the field except the seeds. It can be  
396 inferred that straw mulching can effectively reduce carbon loss.

397 The above results show that both growing environment and farmland management measures  
398 had significant impacts on carbon fluxes. More than half of the carbon dioxide fixed by crops  
399 through photosynthesis was returned to the atmosphere through ecosystem respiration in maize  
400 ecosystem. In agriculture, straw mulching can effectively reduce carbon loss.

## 401 **5 Conclusion**

402 We measured the carbon flux annually from 2014 to 2018 using an eddy covariance system.  
403 This 5-year study showed that carbon flux exhibited single-peak seasonal patterns during the  
404 growing seasons. The ratio of the ER to the GPP ranged from 44 to 62% in growing seasons of the

405 five years, indicating that over half of the carbon fixed via photosynthesis was released back to the  
406 atmosphere by respiration. The seasonal variation of GPP significantly affected the variation of  
407 NEE and ER in the growing season. Leaf area index was the most significant factor to control the  
408 seasonal variation of carbon flux in the growing season, followed by Rn and Ta. In addition, soil  
409 water content has a significant effect on GPP. The 5-year mean values of NEE, ER and GPP in our  
410 study were -527, 734 and 1313g C m<sup>-2</sup>. Taking into account C<sub>e</sub>, the annual NBP was -234 g C m<sup>-2</sup>.  
411 These results confirmed that the use of straw to raise livestocks in the arid areas of northwest China  
412 had increased carbon emissions, leading to an increase in carbon dioxide emissions in the region.  
413 As the carbon balance of farmland varies greatly, it is highly sensitive to management measures  
414 such as tillage, mulching, fertilization and straw mulching. Future research should focus on the  
415 carbon fluxes of different farmland systems and their responses to management measures and  
416 climate change.

## 417 **Declaration**

### 418 **Availability of data and materials**

419 The observed meteorological data are shown in Figure 1, crop growth information is shown in  
420 Figure 2, and carbon flux data is shown in Figure 3.

### 421 **Competing interests**

422 We declare that We have no known competing financial interests or personal relationships that  
423 could have appeared to influence the work reported in this paper.

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### 427 **Authors' contributions**

428 Sien Li and Hon-Ming Lam conceived and designed the study. Hui Guo conducted the analyses. All  
429 authors read and approved the final manuscript.

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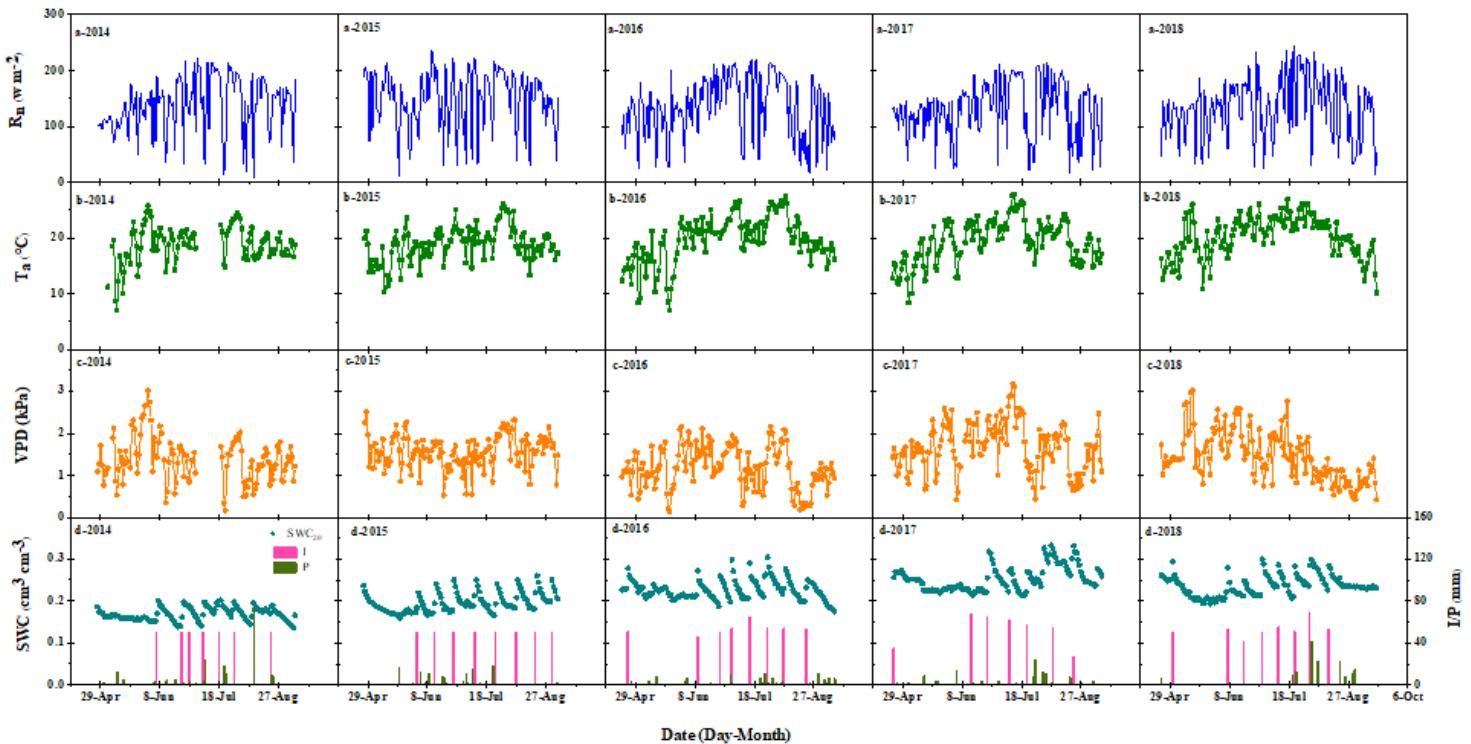
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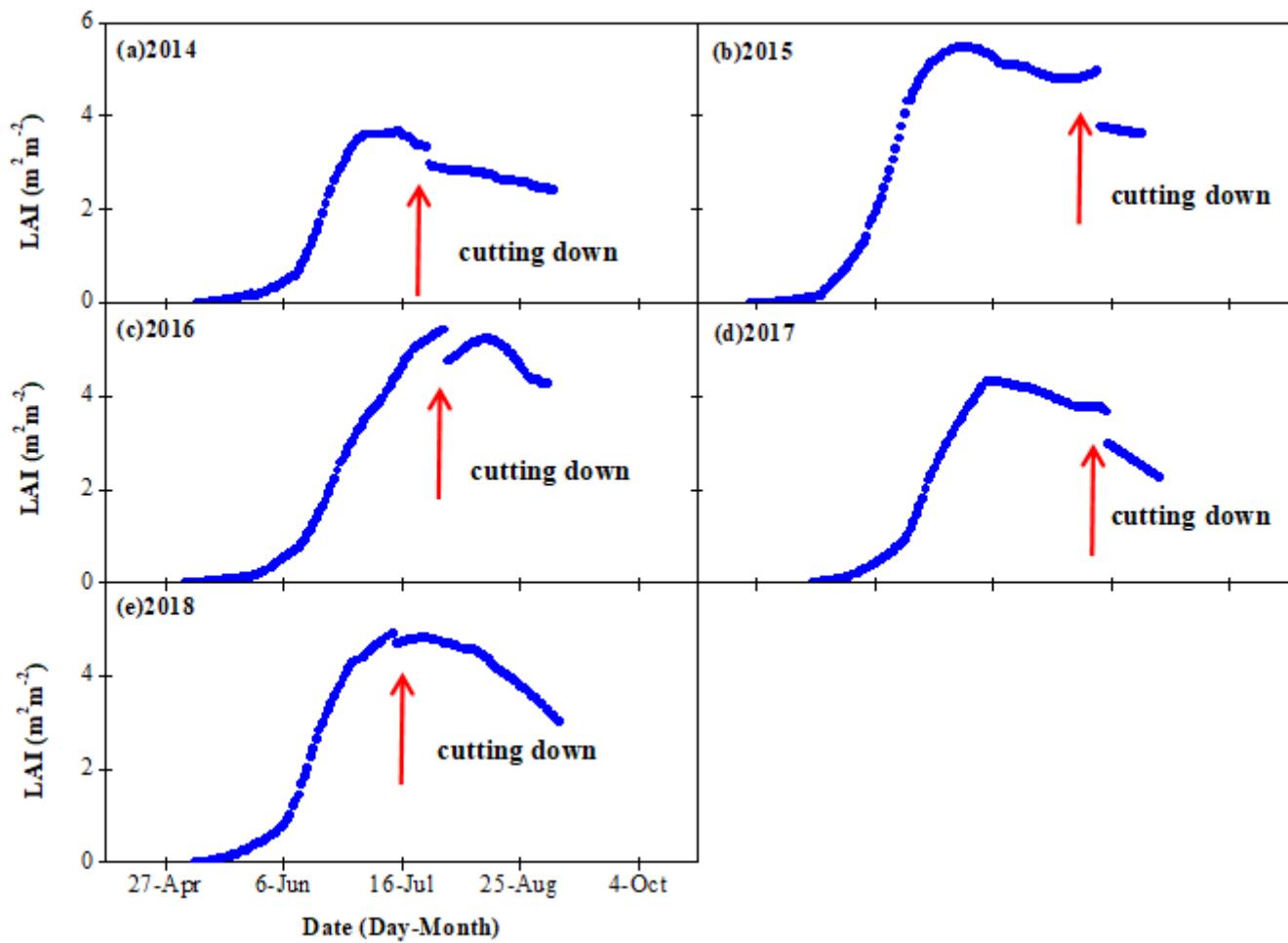
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# Figures



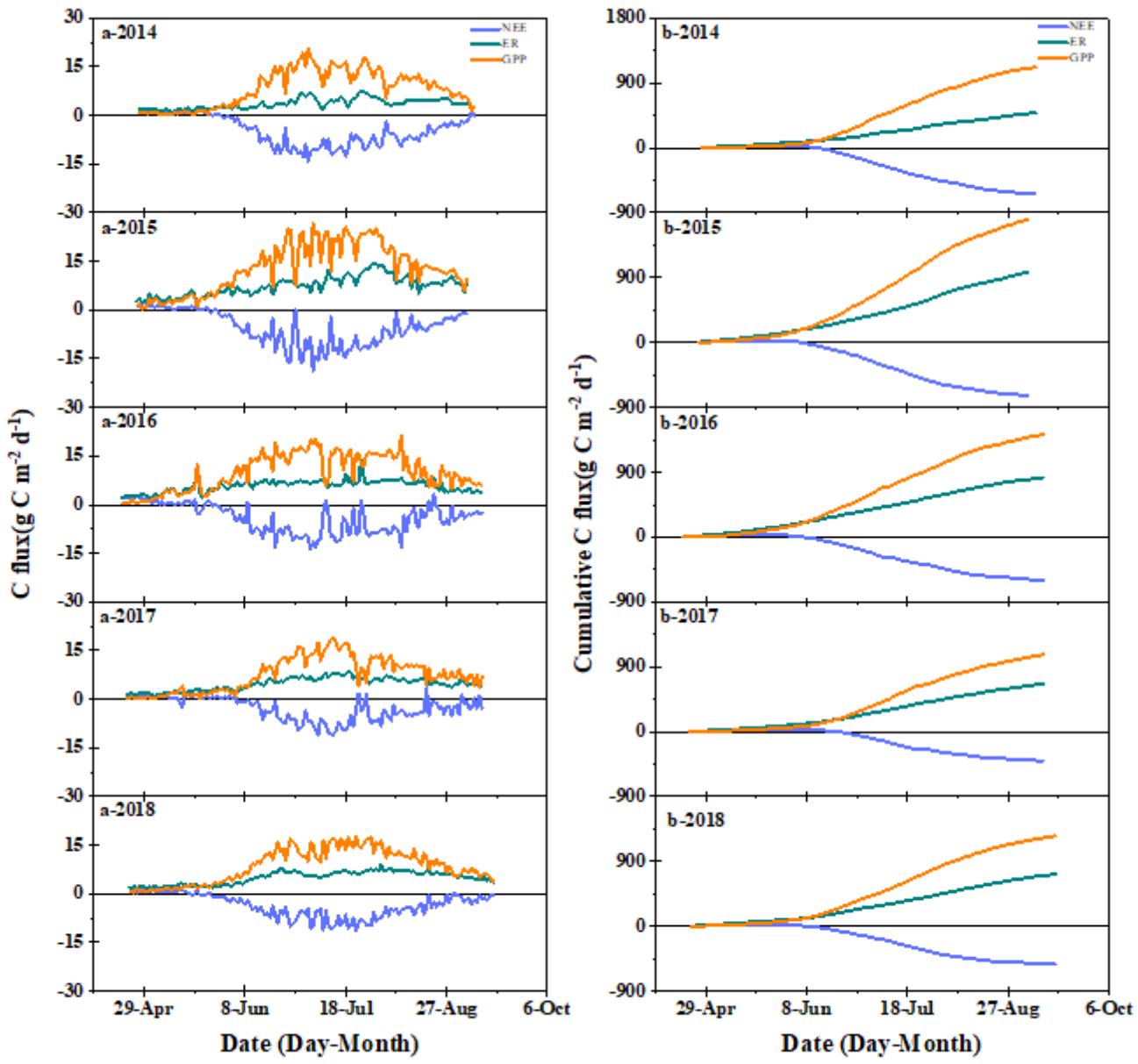
**Figure 1**

Mean daily values of meteorological factors measured at the experimental sites in growing seasons during 2014-2018: (a) net radiation ( $R_n$ ), (b) air temperature ( $T_a$ ), (c) saturated vapor pressure deficit (VPD), (d) soil water content (SWC) and precipitation and irrigation (P+I)



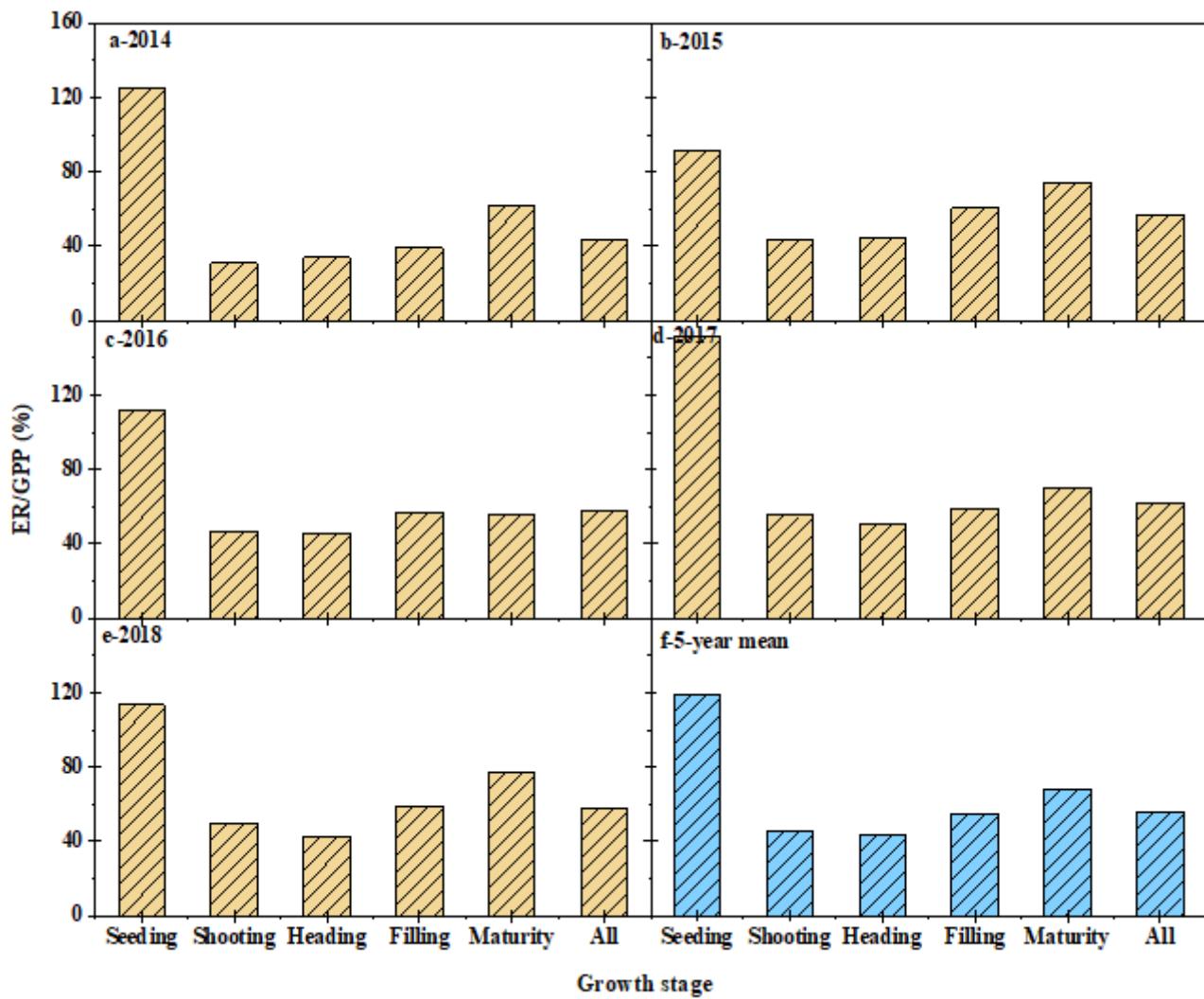
**Figure 2**

Variations in the leaf area index (LAI) of maize during the growing seasons, 2014-2018



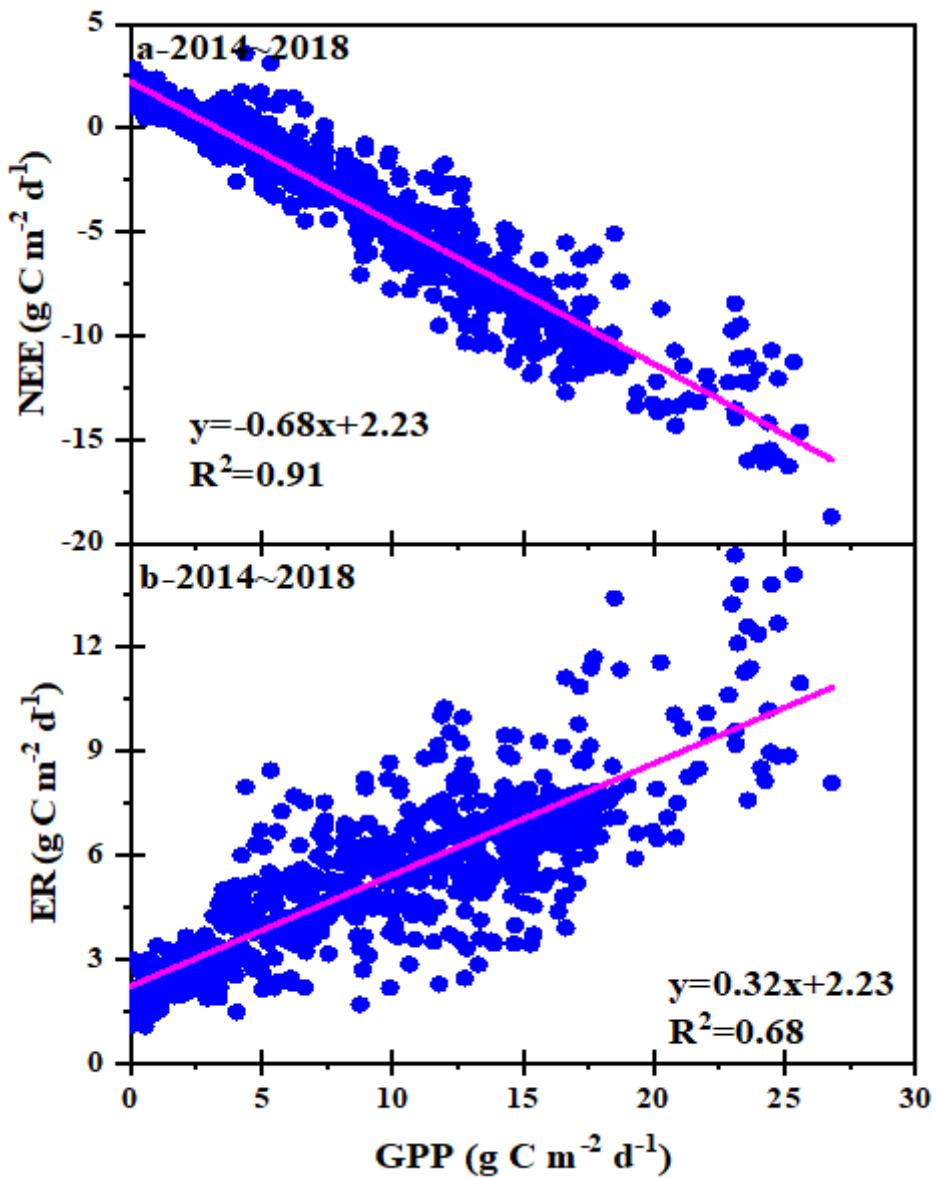
**Figure 3**

Seasonal fluctuations in daily average (a) net ecosystem exchange (NEE), ecosystem respiration (ER) and gross primary productivity (GPP), (b) the cumulative NEE, ER and GPP over the period of 2014-2018.



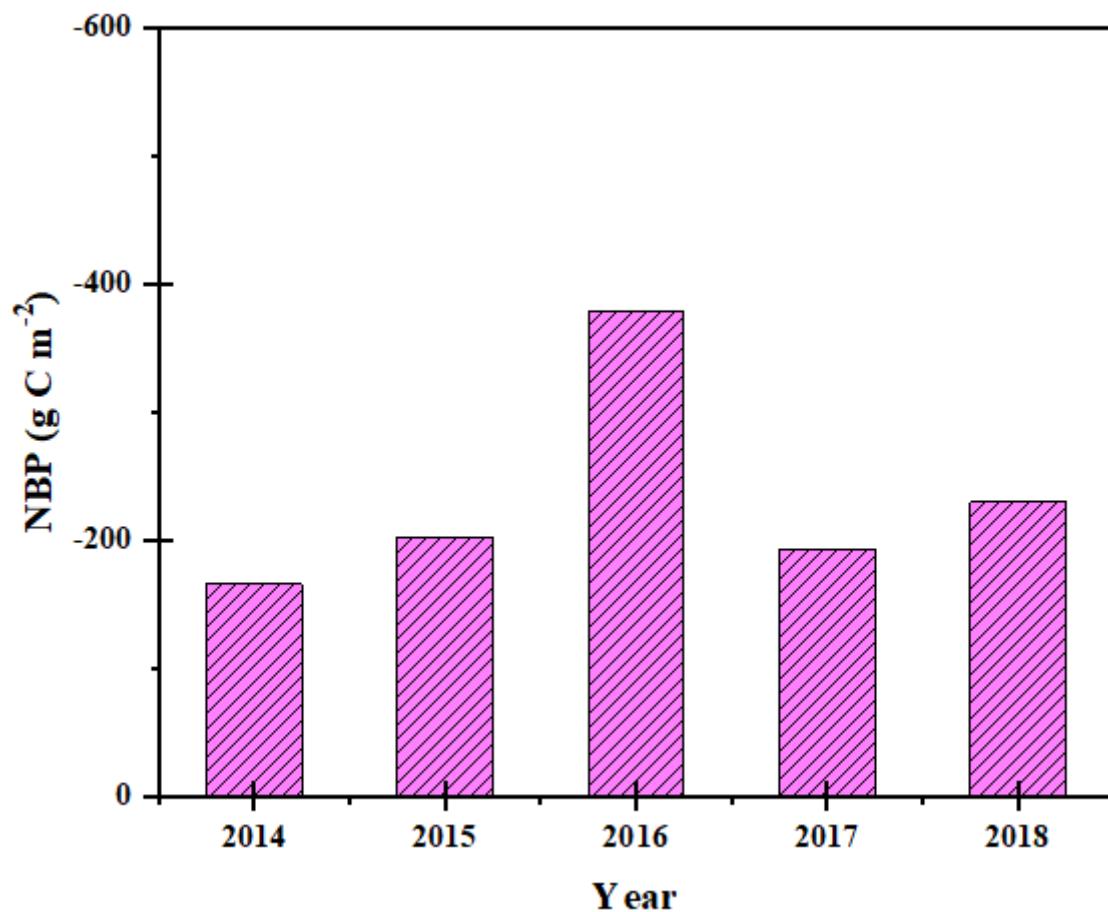
**Figure 4**

The variation of the ratio of ER/GPP in different growing stages during 2014-2018



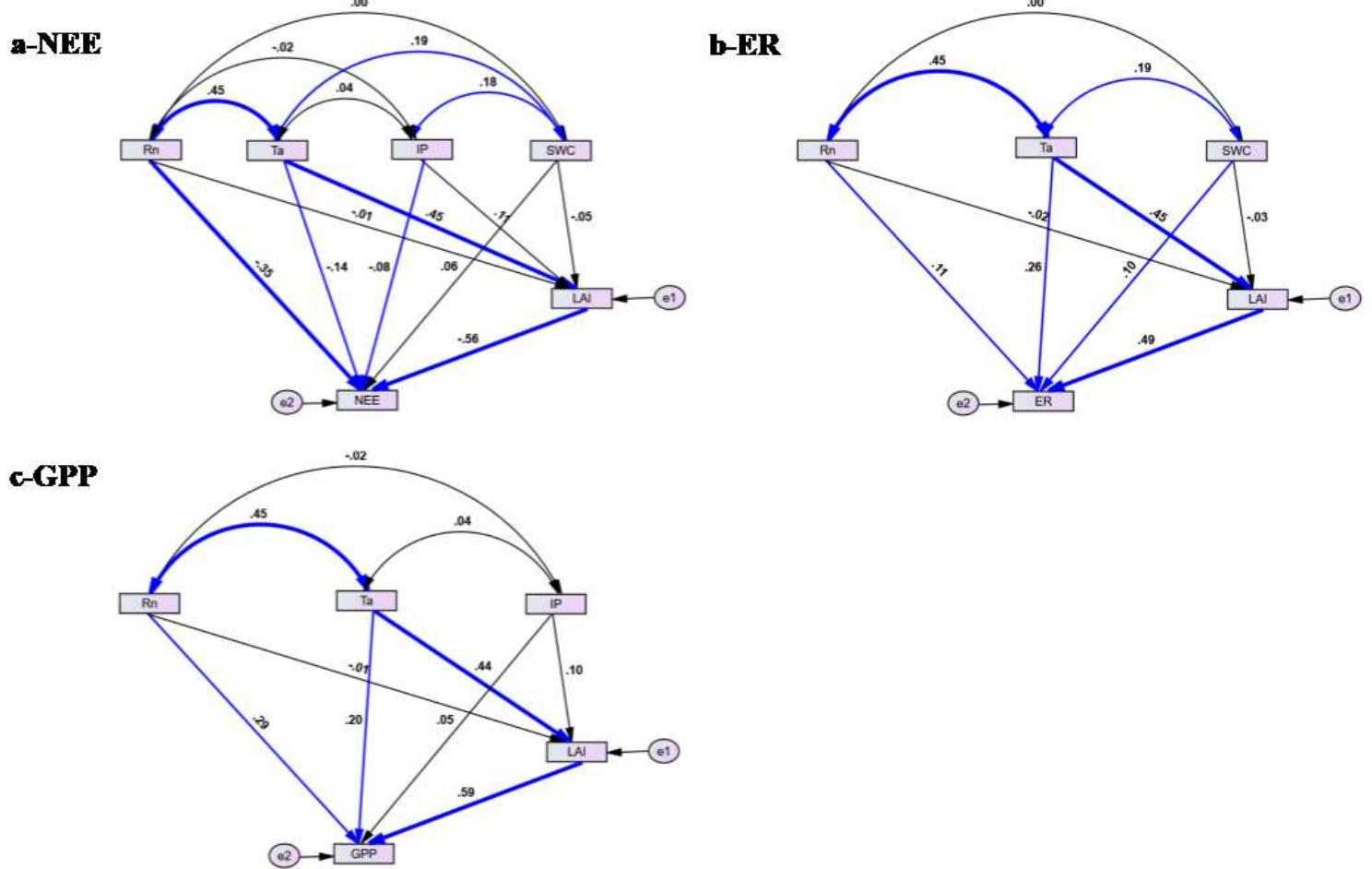
**Figure 5**

The seasonal variation in ecosystem respiration (ER) and net ecosystem exchange (NEE) in response to gross primary productivity (GPP) during 2014-2018. \* represents a significant level ( $p < 0.001$ ).



**Figure 6**

The variation of net biome productivity (NBP) in growing seasons during 2014-2018



**Figure 7**

Structural equation models for daily net ecosystem exchange (NEE), ecosystem respiration (ER) and gross primary production (GPP) during the growing season in 2014–2018.