

A Probabilistic Landslide Risk Assessment (LRA) on NH31A and Settlement in Rorachu Watershed, East Sikkim, India by using Bivariate Models and Geospatial Techniques

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27 **Abstract**

28 The Sikkim Himalaya has been recognized as region enormously susceptible slope instability.
29 The NH 31A road falls with east Sikkim Himalaya which has highly deformed by numerous
30 landslide events. Over the few years the NH 31A road sections and settlement with its
31 surrounding areas are invaded by landslide events. To resolve the problem connected to
32 landslide, landslide susceptibility zones (LSZ) and landslide risk assessment (LRA) is an urgent
33 and safe mitigation measure to helping the strategic planning for local people. The present study
34 is an endeavor to take advantage of bivariate statistical method called frequency ratio (FR),
35 information value (IV) and certainty factor (CF) analysis for LSZ and LRA map and attempt to
36 get out the triggering factors for the LSI and LRA in Rorachu watershed, East Sikkim. The
37 landslide inventory map was made by the more premature reports, aerial photograph, Google
38 Earth image and multiple field visits. A total 153 landslides location were mapped using GIS
39 software and divided into 70 % (107) for training data for the modeling using FR, IV and CF
40 models and remaining 30 % (46) were used for validating the models. The thirteen landslide
41 causative factors Geology, Soil, Elevations, Slope, Curvature, drainage Density (DD), Road
42 Density (RD), Rainfall, Normalize Difference Vegetation Index (NDVI), Land Use Land Cover
43 (LULC), Topographic Position Index (TPI), Stream Power Index (SPI) and Topographic
44 Wetness Index (TWI) were extracted from spatial database for the LSZ mapping using FR, IV
45 and CF models. The landslide susceptibility zonation (LSM) map also tested by the histogram
46 and density plot, this is elicited most of the triggering factors for the landslides in Rorachu
47 watershed. The results have been showing that the slope (35° to 50°), elevations (2,500 – 4,100
48 m) and rainfall (2000- 2,500 mm and 3,000 – 3,300 mm) is the intensest concentration and
49 density for the landslides. The predictive frequency ratio (FR), information value (IV) and
50 certainty factor (CF) model has been validated by receive operating characteristics (ROC) curve,
51 Success rate curve (SRC) and landslide density (LD) method analysis. The result shows that
52 AUC for success rate curves (SRC) are 0.925 (92.50 %), 0.846 (84.60 %) and 0.868 (86.80 %),
53 respectively for frequency ratio (FR), information value (IV) and certainty factor (CF) models.
54 And the result shows that AUC for prediction rates are 0.828 (82.80 %), 0.750 (75 %) and 0.836
55 (83.60 %), respectively for the FR, IV and CF models. The element-at-risk (Settlement and
56 Road) is revealed the landslide risk assessment (LRA) have been showing that the most

57 significant risk of settlements areas by the model of FR (9%), IV (38.59%) and CF (20.90%) and
58 the most significant risk of NH 31A road is FR (20.72%), IV (40.91%) and CF (18.78%). These
59 landslide susceptibility maps and landslide risk assessment (LRA) map can be used for the
60 development of land use planning strategies, saves human loss and important for the planners
61 and mitigation purpose. So remarkable attention should be taken into consideration for the
62 highway construction, deforestation and urbanization.

63

64 **Key words:** Landslide Susceptibility Zone (LSZ), Landslide Risk Assessment (LRA), Success
65 Rate Curve (SRC), Receive Operating Characteristics (ROC), Landslide density (LD),

66 *1. Introduction*

67 Disaster causes by Landslides are one of the most significant geo-environmental disasters of
68 mountain area in the world which has been affected so many human lives around the world and
69 change the evolution of landform. Landslide causes death in the Himalaya approximately more
70 than 200 human live every year (Naithani 1999). According to Centre for Research on the
71 Epidemiology of Disasters (CRED 2009), landslides enumerate for approximately 4.4 % of
72 natural disasters worldwide from 1990 to 2009, with 2.3 % of reported landslides take place in
73 Asia. There are more than 25 % of the mountains in this world where more than 12 % of the
74 people live. Sikkim is one of the Indian states where there are more than 97 % mountains.
75 Massive landslide in Sikkim Himalayas are mainly attributed to frequent rainfall and in many
76 cases associated with deforestation, monsoon rainfalls, soil, geology and human interface. The
77 landslides are increasing trend of vulnerabilities related to unplanned urbanization and
78 agriculture, rapid growth of population, fast paced industrialization, environmental degradation
79 and climate change. For all those reasons, everyone's study landslides which has drawn global
80 attention.

81

82 Within last few decades, numerous attempts to landslide susceptibility mapping methods and
83 techniques have been developed by different scientist, geologists, and geomorphologists for the
84 assessment of landslide vulnerability. The landslide susceptibility preparing in three ways, like 1.
85 Deterministic approach 2. Qualitative or heuristic approach and 3. Probabilistic approach.
86 Generally the different landslide susceptibility and hazard mapping given by Varnes (1984),

87 Carrara et al. (1995), Soeters and van Westen (1996), Aleotti and Chowdhury (1999), Guzzetti et
88 al. (1999) and Wang et al. (2005). Many of these used probabilistic models (Lee and Pradhan
89 2006; Dahal et al. 2008; Oh et al. 2009; Ozdemir 2009; Yilmaz 2010; Oh and Lee 2011; Demir
90 et al. 2012; Pourghasemi et al. 2012a, b; Mohammady et al. 2012; Xu et al. 2012c). a deterministic
91 approach envisage slope geometry, characteristics of slope materials and pressure which
92 generated by surface and subsurface water in a physical equation. These approaches are
93 commonly used by (Chowdhury 1976; Chowdhury and Bertoldi 1977; Wu and Sidle 1995;
94 Gokceoglu and Aksoy 1996). This deterministic approach will be applied where ground surface
95 are homogenous, landslides known as simple and surface as well as subsurface hydrological data
96 are available. The approach is not effected where landslide area so complex and complicated.
97 That's why we applied qualitative model for landslide vulnerability assessment zonation.
98 Qualitative methods are subjected to researcher knowledge of understanding susceptibility level
99 and their expertise opinion. Quantitative methods based on numerical expression of the
100 relationship between landslides controlling factors and existing landslide areas in that place.
101 There are different quantitative methods applied by (Zeze, 2002; Saha et al., 2005; Lee and
102 Pradhan, 2007; Lee, Ryu, and Kim, 2007), distribution-free methods (Lee et al., 2007; Choi et
103 al., 2011), deterministic analysis methods (Xie et al., 2004; Zorn and Komac, 2004; Claessens et
104 al., 2006; Tazik et al., 2014). And statistical index model also apply some researcher (Van
105 Westen 1997; Rautela and Lakhera 2000; Cevik and Topal 2003; Tien Bui et al. 2011a; Raman
106 and Punia 2012; Regmi et al. 2013).

107
108 Sikkim Himalaya faces frequent landslides per year turn out in thousands of fatalities (Bhasin et
109 al. 2002). In Sikkim, around 36,000 people were killed by landslides (Choubey 1992) in 1968
110 alone. There are many factors responsible for this slope instability, but the primary controlling
111 factors are slope, rainfall, seismic activity, topographic positioning index (TPI) and
112 anthropogenic activities (Lin et al. 2006; Gupta et al., 2018; Zhang et al., 2018). That's why
113 landslide susceptibility mapping is immensely important for disaster prevention and mitigation,
114 and it will be important for future planning. With the background of the study lots of application
115 landslide vulnerability modeling around the world. We applied three statistical modeling for the
116 landslide vulnerability mapping in Rorocho watershed (Frequency Ratio model, Certainty Factor
117 model and Information value model). Frequency Ratio (FR) has also been applied by (Lee and

118 Sambath 2006; Lee and Pradhan 2007; Akgun et al. 2008; Yilmaz 2010b; Choi et al. 2012;
119 Ozdemir and Altural 2013; Regmi et al. 2013; Solaimani et al. 2013; Pradhan and Lee 2010a, b).
120 A variety of multivariate approach exist, but those methods commonly used for discremenent
121 analysis and logistic regression (Lee 2007a; Pradhan 2010a). Different other methods have been
122 proposed by different researcher, including Certainty Factor (CF) methods (Binaghi et al., 1998),
123 information values (Wan et al., 2008, Saha et al., 2005;), fuzzy logic (Ercanoglu and Gokceoglu
124 2002; Kanungo et al. 2006, 2008; Lee 2007b; Muthu et al. 2008; Pradhan and Lee 2009; Pradhan
125 2010c, c; Pradhan 2011a, b; Pourghasemi et al. 2012c), artificial neural networks (Kanungo et al.
126 2006; Melchiorre et al. 2008; Chen et al. 2009; Pradhan and Lee 2009, 2010a, b; Pradhan et al.
127 2010a, b; Pradhan and Buchroithner 2010; Pradhan and Pirasteh 2010; Poudyal et al. 2010;
128 Yilmaz 2009a, b; Yilmaz 2010a, b; Pradhan 2011c; Zare et al. 2012; Bui et al. 2012a), analytical
129 hiarachi process applied by (Ercanoglu et al., 2008; Komac, 2006; Yalcin, 2008; Akgun and
130 Turk, 2010; Mandal and Maiti, 2013).

131

132 In this study, although various numerical, statistical, deterministic techniques used for landslide
133 susceptibility and Landslide Risk (LR) analysis have been proposed and implemented. In our
134 study there all data layers of Geology, elevation(DEM), slope, curvature, road density, land use
135 land cover (LULC), NDVI, soil, drainage, drainage density, topographic wetness index and
136 topographic positioning index were taken into account and each class was estimated accordingly
137 with the different mathematical and statistical equation, Combine with the observed landslide
138 inventory was made to perform by FR, CF and IV model process and assess the landslide
139 vulnerability and landslide risk mapping in Rorachu watershed. Frequency Ratio (FR), Certainty
140 Factor (CF) and Information Value (IV) model was successfully applied in this watershed. It is
141 very important to study along this NH31A roads and Settlement landslide vulnerability and
142 landslide risk (LR) assessment mapping because of that there was a national highway which is
143 too much affected by slope instability. The outcomes of the present research will be helpful for
144 the planning and management of this watershed livelihood and national highway.

145 ***2. Study area***

146 The study area lies in east Sikkim district which is located in Sikkim Himalaya. It is bounded by
147 the latitudes 27⁰17'14.67" N to 27⁰23'48.50" N and the longitudes 88⁰35'51.40" E to

148 88043'11.98" E covering an area of around 69.125 km² (Fig. 1). High relative reliefs, steep slope
149 along with immensely rugged surfaces are important physiographic characteristics in this state.
150 The maximum and minimum elevation of this Rorachu watershed is 4100 and 816 m
151 respectively. We have said before that there is a very rugged surface here so this Rorachu
152 watershed is no exception. The altitude of this watershed is highly diverse from southwestern
153 periphery (Ranipool) to its northwestern boundary (Pandramaile). The Rorachu watershed feel
154 like tranquil temperature throughout the year with an average maximum temperature 21 °C
155 during summer session and average minimum temperature 1 °C during winter session. The slope
156 angle varies from 0⁰ to as much as 71⁰. The important town of this study area are Gangtok and
157 Tadong whereas Samdong, Ranipool, Deorali are the main small markets. The area is undergoing
158 fast development to urbanization in Gangtok and Ranipool town. Many local roads and highways
159 (NH 31A) going through this watershed areas and new roads will be constructed. The area
160 mostly covers by forest, rocky and barren land, cultivated area and settlements. The
161 physiographic and climatic diversity of this Rorachu watershed and fast developments are
162 increasing the rate of slope instability. That's why we should be analysis the landslide
163 vulnerability modeling for the management practice and future development.

164

165 Fig. 1. Location map of the study area

166

167 **3. Materials and methods**

168 Landslide is one of the most complex movements of the earth. It's very difficult to Identification
169 and mapping of suitable landslide factors for the vulnerability modeling and assessment. The
170 critical point was the selection of accurate pixel size for positional accuracy and precision of the
171 landslide susceptibility levels in the study area (Sahabi et al., 2014). So we must be proper
172 expertise knowledge for the identification of prime factors for the Landslide Susceptibility Index
173 (LSI) and Landslide Risk (LR) modeling. Also, there are no standard guidance for selecting the
174 parameters for slope instability modeling (Ayalew et al., 2005), the nature of the study area, the
175 scale of the analysis, and data availability were taken into account (Yalcin 2008). In this respect,
176 thirteen factors are considering for Landslide susceptibility Index (LSI) and Landslide risk (LR)
177 modeling and analysis (Fig. 2). It is important to compile a digitized database for execution the
178 landslide vulnerability modeling map using GIS. The spatial database has been design and

179 execute for the landslide vulnerability modeling of this study area as shown in (Table 1) In this
180 study, both categorical and continuous data were used for the landslide modeling and ArcGIS
181 10.2, SPSS 23 and R was applied for the entire analysis.

182 Fig. 2. Methodological flow chart

183 Table 1. Sources of data layers of various landslide causative factors

184 ***3.1 Landslide Inventory preparation***

185 Landslide inventory map is that in which it had to be rebuilt by looking at the previous imprint of
186 landslide in this area (Rorachu watershed). In this landslide susceptibility assessment, acquire
187 information about the landslides that have been occurred in the past and this historical
188 information represents the backbone of landslide vulnerability modeling of this study area. This
189 stage envisages as the fundamental part of the landslide vulnerability studies (Guzzetti et al.,
190 1999). Landslide inventory maps serve as a prerequisite for landslide vulnerability modeling
191 study. Accurate detection and identification of landslide is most significant for probabilistic
192 landslide susceptibility analysis. As most of the landslide inventories further verified by (Rawat
193 M.S and Joshi V. 2016; Mondal S. and Mandal K. 2017a). For landslide inventory mapping,
194 address the historical data, detect the remote sensing image and field study were performed. To
195 prepare the Landslide inventory map were performed by the analysis aerial photographs,
196 LANDSAT 8 OLI (30 m) image, Google Earth (Quickbird image, 0.60 m) and GPS survey, at
197 last all the data were vectorized in ArcGIS 10.3 software. In total 153 major and minor
198 landslides were identified in the Rorachu watershed with total area coverage 0.644 sq. km (Fig.
199 3). All the landslides data has been converted vector to raster format for the model preparation.
200 About 107 landslides (70 %) out of the 153 were randomly selected for model training, and
201 remaining 46 (30 %) were used for the model validation purpose. Most of the landslides in this
202 Rorachu watershed area are rock slide, debris slide and earth slide.

203 Fig. 3. Landslide inventory map of Rorachu watershed

204 ***3.2 Selection of landslide conditioning factors***

205 Although enormous studies have been contacted regarding landslide vulnerability analysis been
206 done to develop landside susceptibility map of the Rorachu river basin. There are no such criteria
207 for selecting factors for landslide vulnerability analysis (Ayalew and Yamagishi 2005). The

208 factors controlling slope instability modeling considered in the present study are including
209 Elevation, Geology, Slope, Soil, Drainage Density (DD), Road Density (RD), Rainfall,
210 Normalize Difference Vegetation Index (NDVI), and Slope curvature, Topographic Position
211 Index (TPI), Stream Power Index (SPI), Topographic Wetness Index (TWI) and Land Use Land
212 Cover (LULC). A particular parameter may be important controlling factors for landslide
213 occurrence in one area but not in another place. All the factors are applied by different researcher
214 in across the globe (Wu et al., 2017).

215

216 **3.2.1 Geology**

217 It is extensively accepted that geology plays an important role in the occurrence of slope
218 instability because the lithological and structural variations often leads to difference in strength
219 of soil and rocks (Pradhan and Lee 2010a) of this Rorachu watershed. Geologically the study
220 area is characterized by the process of five lithological units including 1.Basic Intrusive, 2.
221 Chungthang Formation, 3.Gorubathan Formation, 4.Lingtse Gnesis, 5.Kanchenjunga Gnesis or
222 Darjeeling Gnesis (undifferentiated) (Fig. 4. Table 2). The geology map of Rorachu watershed
223 was prepared by district resource map of east Sikkim collected from geological survey of india
224 (GSI), Kolkata. Large part of this watershed is covered by Kanchenjunga Gnesis or Darjeeling
225 Gnesis. Lithological unit of basic Kanchenjunga gnesis cover large area (43.02 %) ranked first
226 and followed by Basic intrusive (21.10 %), Chungthang formation (18.48 %), Gorubathan
227 formation (12.12 %) and Lingtse Gnesis (5.35 %). Due to different sets of structural disturbance
228 numerous fractures, faults, cracks and joints are much more probable to slope instability.

229

Fig. 4. Geology map of the study area

230

Table 2. Description of geological parameters of Rorachu watershed

231 **3.2.2 Elevation**

232 Elevation or altitude is one of the significant parameter that has been frequently used for
233 landslide conditioning parameters. Altitude control the another parameters of the geographical
234 area. It is controlled by different geological and geomorphological process (Ayalew et al., 2005;
235 Pourghasemi, 2008). In the present study area, the elevation ranges between 816 m to 4100 m
236 (Fig. 5. a). The elevation values were classified into 5 categories with 30 * 30 meter resolution.
237 During the field visit we noticed that most of the landslides are seen in medium and high
238 elevation of the Rorachu watershed area.

239

240 **3.2.3 Slope**

241 Slope gradient is one of the most significant factors for slope stability assessment (Lee and Min,
242 2001). Stability of the slope is the interaction between angle of the slope and materials properties
243 of the slope (friction angle, cohesion, porosity, permeability and bonding). Gentle slopes have
244 less probability for slope instability due to lower shear stress (Dai et al., 2001). In contrast,
245 higher the slope gradient higher the shear stresses. In the current study slope map classified five
246 categories using natural breaks method in ArcGIS 10.3. Slope angle ranges from 0° to 70° (Fig. 5.
247 b) and there are more than 30 % area under 35° to 70° slope angle in this Rorachu watershed.

248

249 **3.2.4 Soil**

250 Soil is a very important factor for slope instability in mountain area due to soil saturation. Soil
251 saturation becomes significant and affective of any high slope area when it's affective by
252 extensive rainfall. Saturation of soil depends on two factors 1. Intensity, duration and amount of
253 precipitation of this area 2. Soil physical characteristics like, soil texture, structure, porosity,
254 permeability, compactness etc. Soil formation usually takes a long time to develop in
255 mountainous areas that's why soil is not so important for Sikkim Himalaya for landslide
256 vulnerability analysis. Soil develops in very low or gentle slope where geo-environment
257 processes are not so much active. In Rorachu watershed more than 90 % becomes a hilly region.
258 Soil of the Rorachu watershed was divided into six several categories (Fig. 5. c, Table 3) such as
259 1. Coarse loamy humic dystrodepts, 2. Coarse loamy humic lithic dystrodepts, 3. Coarse loamy
260 typic hapludolls, 4. Fine loamy fluventic eutrodepts, 5. Fine skeletal cumuli hapludolls and 6.
261 Loamy skeletal entic hapludolls. In Rorachu watershed, all soil categories are converted vector
262 polygon to raster format into 30 *30 meter grids.

263

264 Table 3. Soil characteristics map of Rorachu watershed (According to Mandal S, Mandal K
265 2017a)

266 **3.2.5 Drainage Density (DD)**

267 Drainage density is the total length of all streams and rivers of that grid divided by the total area
268 of that grid (Horton, 1932, 1945; Strahler, 1952). Drainage density (DD) indicates the measure of
269 how well or how poorly a river watershed is drained by stream channels. Drainage density

270 depends on both physical environment and climatic environments of this particular area.
271 Drainage density helps to determine the degree of reducing the shear strength of this slope which
272 has affective for slope instability. In this study, drainage density was assessed by this formula (eq
273 1)

$$Dd = (L_t / A_{\text{basin}}) \quad (1)$$

274
275
276 Where, D_d represents drainage density, L_T represents total length of the streams in that grid and
277 A_{basin} represent total length of the grid area. Drainage density of the Rorachu river basin was
278 prepared by the method of Euclidean distance in ArcGIS 10.3 into 30 * 30 meter grids (Fig. 5.
279 d). It was classified into five classes by natural breaking method.

280
281 Fig. 5. Landslide conditioning factors a. elevation map, b. Slope, c. Soil map and d. Drainage
282 density

283 **3.2.6 Road Density (RD)**

284 In alliance with all the anthropogenic activities are responsible for slope instability, construction
285 and extension of roads networks are major threat in slope instability in mountain areas. Roads
286 modify the natural gradient of the slope and create an obstacle for surface water flow (Marcini,
287 F., 2010). Road map was prepared by different source like, Topographical map and Google
288 Earth. In this Rorachu watershed area, road density was prepared by ArcGIS 10.3 into 30 * 30
289 meter grid cell (Fig. 6. e).

290 **3.2.7 Normalize Difference vegetation Index (NDVI)**

291 Normalize difference vegetation index (NDVI) is a numerical indicator that uses for the
292 vegetation conditions of the surface. NDVI was calculating by the formula of $NDVI = \{(NIR -$
293 $R) / (NIR + R)\}$, where NIR is the Near Infrared band and R is the Red band of satellite image.
294 In this Rorachu watershed, calculated NDVI by the LANDSAT 8 OLI image in ERDAS 9.2
295 image processing software (Fig. 6. f) and ranges the NDVI value -0.11 to 0.64. Positive value
296 indicates the healthy vegetation cover in which useful for slope stability and also reduces soil
297 erosion and slope failure. Negative NDVI values delimitate the no vegetation cover in Rorachu
298 watershed areas which is more vulnerable for slop instability and excessive soil erosion and
299 slope failure.

300 **3.2.8 Slope Curvature**

301 Slope curvature is used to indicate the steepness of a curve at a particular point. Slope curvature
302 is significant parameters for landslide susceptibility mapping (Lee and Sambath, 2006 and Greco
303 et al., 2007). In this Rorachu watershed slope curvature was calculated by the ASTER GDEM
304 data (Fig. 6. g). Slope curvature values illustrate the morphology of the topography (Lee and
305 Min, 2001) that has described the surface condition of this Rorachu river basin. Positive
306 curvature values represent a convex slope which is more probable to slope failure and less
307 drainage concentration. Negative curvature values represent as concave slope which has more
308 chance to drainage concentration and less landslide vulnerability. Zero curvature values represent
309 the flat surface. Mathematically, it is the reciprocal of the radius of a circle that is tangent to a
310 point on a curve (Roberts 2001). It helps us to identify the zones that exhibit instinct to landslide
311 vulnerability. The curvature map of this Rorachu watershed was prepared with five classes.

312 **3.2.9 Topographic position index (TPI)**

313 Topographic position index (TPI) is an algorithm in which increasingly used to measure
314 topographic slope positions and automated landform classifications. Topographic position index
315 (TPI) calculation as proposed by (Guisan et al., 1999).TPI is a topographic position classification
316 identifying upper, middle and lower part of the landscape. Positive TPI values represent
317 locations that are higher than the surroundings (ridges) .Negative TPI values represents locations
318 that are lower than the surroundings (valleys).TPI values near zero are either flat areas or
319 constant slope. In this study area TPI was calculated by SAGA GIS software, and the value of
320 TPI ranges in between -63.51 to 65.13. Topographic position index also important factors for the
321 landslide vulnerability assessment analysis (Fig. 6. h).

322

323 Fig. 6. Landslide conditioning factors e. Road density f. NDVI g. Curvature h. TPI

324

325 **3.2.10 Stream power index (SPI)**

326 Stream power index (SPI) is a measured of the erosive power of the flowing water. Calculation
327 of the stream power index (SPI) based on slope and Specific catchment area (SCA). The stream
328 power index (SPI) can be defined as (Moore and Grayson1991):

329

$$330 \quad \text{SPI} = A_s \tan\beta \quad (2)$$

331 Where, a is the specific catchment area (SCA) and β is the local slope gradient measured in
332 degrees, respectively. In this Rorachu watershed SPI values was represented in between 0 and
333 145.37 and classified into five classes (Fig. 7. i).

334 **3.2.11 Topographic Wetness Index (TWI)**

335 Topographic wetness index (TWI) another important factors for landslide susceptibility
336 modeling. It is commonly used for to quantify of the topographic control or hydrological process.
337 TWI refers to the accumulation of water in a particular point at a time of any grid cell. For the
338 shallow landslide modeling, using the TWI by different researcher (Gokceoglu et al. 2005; and
339 Yilmaz, 2009). In this study area TWI map was prepared by SAGA GIS software using the
340 following equation (3). TWI map was classified into five categories (Fig. 7. j). TWI model
341 (Beven and Kirkby 1979) defined as

342

$$343 \quad \text{TWI} = \ln\left(\frac{a}{\tan\beta}\right) \quad (3)$$

344 Where, a is the cumulative upslope area draining through a point (per unit contour length) and
345 $\tan\beta$ is the slope angel at the point, which is used to replace approximately the hydraulic gradient
346 under steady state conditions (Poudyal et al., 2010). In the present study, TWI classified into five
347 classes (fig 6 k), which ranges between 5.83 and 15.25.

348 **3.2.12 Land Use Land Cover (LULC)**

349 Land Use Land Cover (LULC) is one of the most important parameters and significant for the
350 role of slope stability and instability. LULC map was derived LANDSAT 8 OLI satellite image
351 (2019) data, and verified by Google earth image and field verification using supervised
352 classification techniques by ERDAS 9.2 software. The land cover by forest area promotes
353 infiltrate and drainage is considered safe to slope failure. Whereas the cultivated land affects the
354 slope stability owing to saturation of covered soil (Devkota et al., 2012). The study area is
355 exhibit various types of land use land cover such as step cultivation, open forest, settlement, bare
356 soil, landslide area, river and dense forest. In the Rorachu watershed most of the LULC covered
357 by Forest (open and dense) 59 % area followed by settlement 3.47 % and bare land 3.23 % (Fig.
358 7. k).

359 Fig. 7. Landslide conditioning factors i. Stream power index (SPI), j. TWI, k. LULC and l.
360 Rainfall (mm)

361 **3.2.13 Rainfall**

362 Rainfall is one of the most important factors for landslide in Rorachu watershed areas. In this
363 mountain area abrupt rainfall causes shallow landslide. Rainfall map was prepared by world
364 climatic data and applied Inverse distance weighted (IDW) modeling for the rainfall mapping
365 and classified into 5 categories. Rorachu watersheds represent the ranges between 1847 mm to
366 3657 mm rainfall (according to <http://www.geog.ucsb.edu/~bodo/TRMM/#tif>). Maximum
367 rainfall occurrence in between June and august (according to IMD data, Table 4. Fig.7. 1).

368 Table 4. Monthly Rainfall distribution in the East Sikkim area (2009 – 2015). *Source:* Indian
369 Meteorological Department (IMD) Gangtok, Sikkim

370

371 **3.3 Modelling landslide susceptibility and Risk**

372 This study summarizes the outcomes of landslide susceptibility mapping in the Rorachu
373 watershed, east Sikkim, through GIS techniques. Although there are several bivariate,
374 multivariate statistical approach for landslide susceptibility or slope instability mapping. For this
375 purpose, among various statistical approaches of slope LSM, we have adopted three different
376 approaching statistical models (FR, IV and CF) for this landslide susceptibility analysis. Details
377 of each statistical approach are describing in the following subsections.

378 **3.3.1 Frequency Ratio (FR) Model**

379 Various bivariate statistical methods were applied previously for landslide susceptibility analysis
380 in different parts of the world where frequency ratio (FR) model is too much popular (Luzi et al.
381 2000; Lee and Choi 2003; Lee and Talib 2005; Porghasemi 2007; Lee and Pradhan 2007; Akgun
382 et al. 2008; Jadda 2009; Pradhan and Lee 2009). Frequency ration (FR) model is a simple
383 statistical method in which to calculate the probabilistic relationship between present landslide
384 and landslide conditioning factors. This model based on the observed relationships between each
385 factor and appeared landslides in this Rorachu watershed. Frequency ratio (FR) model is the ratio

386 of the probabilities of landslide occurrence to a nonoccurrence for a given attribute (Bonhan –
 387 Carter, 1994; Pradhan and Lee 2009). The frequency ration (FR) model can be expressed as:

388
 389

$$390 \quad FR = \frac{\frac{Npix(SXi)}{\sum_{i=1}^m SXi}}{\frac{Npix(Xj)}{\sum_{j=1}^n Npix(Xj)}} \quad (4)$$

391

392 Where, $Npix(SXi)$ is the number of pixel with landslides within class I of parameter variable X ,
 393 $Npix(Xj)$ is the number of pixel within parameter variable Xj , m is the number of classes in the
 394 parameter variable Xi . And N is the number of parameters in the study area (Regmi et al., 2014).
 395 The landslide susceptibility index (LSI) can be propagating by summation of each factors of FR
 396 value as:

$$397 \quad LSI = \sum_{ij=1}^N FR \quad (5)$$

398 Where, LSI is the landslide susceptibility index, N is the total number of variables, ij is the
 399 frequency ratio value of each class and FR is the frequency ratio values. A FR value greater than
 400 1 indicates the higher probability of landslide occurrence and less than the value 1 is indicating
 401 the lower probability of landslide occurrence or low correlation. To calculate the frequency ratio
 402 (FR) values in all factors are given (Table 5).

403 **3.3.2 Information Value (IV) model**

404 The information value (IV) is a bivariate statistical method that was develops from information
 405 theory. Information model employed for the spatial prediction on an event based on the
 406 parameter and event relationships. It has been very useful method for landslide susceptibility
 407 modeling by determining the influence of parameter. This information value (IV) model was
 408 originally introduced by Yin and Yan (1988) and modified slightly by Van Westen (1993). This
 409 model was first applied for geological hazard and disaster risk assessment. The information value
 410 (IV) can be expressed by:

$$411 \quad Ii = \log \frac{Si/Ni}{S/N} \quad (6)$$

412 Where, I_i is the information value (IV), N = total number of data points (Grid cells), S = number
 413 of grid cells with landslides, S_i = number of grid cells involving the parameter and containing
 414 landslide, N_i = number of grid cells involving the parameter. The landslide susceptibility index
 415 (LSI) can be represented by the summation of total information value (IV) in a grid cell j is:

$$416 \quad LSI(I_j) = \sum_{i=1}^M X_{ji} \times I_i \quad (7)$$

$$417 \quad LSI(I_j) = \sum_{i=1}^M X_{ji} \times \log \frac{S_i/N_i}{S/N} \quad (8)$$

418 Where, X_{ji} is the value parameter I , $j = 1, 2, 3, \dots, M$; $= 1$, if parameter i exists in grid cell j and
 419 $= 0$, if parameter does not exist in grid cell j ; M = number of parameter considered. The above
 420 model was prepared for each class of parameter variables for landslide susceptibility analysis and
 421 total calculate total information value (IV) of grid cell of this Rorachu watershed. More the
 422 information value (IV) high probability of landslide susceptibility and less the information value
 423 (IV) lowers the probability of landslide susceptibility. To calculate the information value (IV) in
 424 all variables in (Table 5).

425 **3.3.3 Certainty Factor (CF) model**

426 Certainty factor (CF) is one of the most probabilistic models in used for landslide susceptibility
 427 analysis. Certainty Factor (CF) is a probabilistic model that has been applied by different
 428 researchers in landslide susceptibility mapping in different parts of the world (Kanungo et al.
 429 2011; Gokceolu et al. 2005). The certainty factor (CF) model is one of the most possible
 430 proposed functions that handle and combine different type of data and heterogeneity and
 431 uncertainty of the input data. Higher the percentages of landslides correctly predicted, greater the
 432 validity of the CF model which is based on the assumptions. Certainty factor (CF) is calculated
 433 on the basis of landslide inventory and landslide frequency occurrence probability of each class
 434 in thematic layers. The certainty factor as a function of probability was originally proposed by
 435 Shortliffe and Buchanan (1975) and modified by Heckerman (1986). The certainty factor (CF)
 436 was calculated in the following equations:

$$437 \quad CF = \begin{cases} \frac{PPa - PPs}{PPa(1 - PPs)} & \text{if } PPa \geq PPs \\ \frac{PPa - PPs}{PPs(1 - PPa)} & \text{if } PPa < PPs \end{cases} \quad (9)$$

439 Where, CF is the certainty factor, PPa is the conditional probability of having a number of
 440 landslides in the class 'a' (e.g., forest in land use land cover layer, concave curvature in curvature
 441 layer, etc.) and PPs is the prior probability of having the total number of landslides in the study
 442 area 'A'. The certainty factor (CF) value ranges between +1 to -1. Positive values intimate an
 443 increase of certainty whereas negative value corresponding decrease the certainty. A value close
 444 to 0 indicates that the prior probability is very similar to conditional probability. The layers are
 445 combined brace wise according to the integration rules (Chung and Fabbri 1993; Binaghi *et al.*
 446 1998). The combination of CF values of two thematic layers 'z' is revealed in the following
 447 equation as given by Binaghi *et al.* (1998):

448
 449

$$450 \quad Z = \begin{cases} x + y - xy, & x, y \geq 0 \\ \frac{x+y}{1-\min(x,y)} & x, y \text{ opposite sign} \\ x + y + xy & x, y < 0 \end{cases} \quad (10)$$

451

452 The certainty factor (CF) values were calculated in Rorachu watershed by overlaying each factor
 453 with the landslide map and computed the landslide frequencies. Every thematic layer reclassified
 454 according to their certainty factor (CF) values and amalgamated pairwise to propagate the
 455 landslide susceptibility map of Rorachu watershed area using the integrating rule of equation (9).
 456 Calculations of certainty factor (CF) in each landslide factors are representing in (Table 5).

457 Table 5. Spatial relationship between each landslide conditioning factors and observed landslides
 458 Using Frequency Ratio (FR), Information Value (IV) and Certainty Factor (CF) models for all
 459 landslide causative factors classes.

460 **3.4 Multicollinearity test**

461 Multicollinearity is a statistical testing phenomenon in which one predictor variable in a multiple
 462 regression model can be linearly predicted from the others with a substantial degree of accuracy.
 463 Multicollinearity does not reduce the predictive power or reliability of the model as a whole; it
 464 only effects calculations regarding individual predictors. Before using the landslide causative
 465 factors for the landslide susceptibility index (LSI) and landslide risk modeling, it is necessary to

466 test the multicollinearity of all the landslide causative factors (Zhou et al., 2018; Arabameri et al,
467 2019; Chen et al., 2018). Tolerance (TOL) and the Variance influencing factors (VIF) are both
468 important indexes for multicollinearity diagnostic. VIF is simply the reciprocal of tolerance
469 (TOL). A tolerance (TOL) of less than 0.20 or 0.10 and/or a VIF of 5 or 10 and above implies a
470 multicollinearity problem (O'Brien 2007). According to the table 6, the smallest tolerance (TOL)
471 of different models (FR, IV and CF) were 0.20, 0.33 and 0.176 showing the elevation parameter
472 respectively. The variance influencing factors (VIF) of these models (FR, IV and CF) are 1.11,
473 1.16 and 1.109 values showing Road density parameter respectively. So there is no
474 multicollinearity between independent landslide causative factors and current research. The
475 variance influencing factors (VIF) and the tolerance (TOL) as calculated following equations
476 which is as follows

477

$$478 \quad TOL = 1 - Ri^2 \quad (11)$$

479

$$480 \quad VIF = 1 / (1 - Ri^2) \quad (12)$$

481

482 TOL is the tolerance; VIF is the variance influencing factors and Ri^2 is the coefficient of
483 determination of landslide conditioning factors i . The multicollinearity statistics of all the models
484 (FR, IV and CF) are shows in Table 6.

485 Table 6. Multicollinearity analysis of FR, IV and CF approach.

486 **3.5 Model validation/Performances**

487 The landslide susceptibility mapping was substantiated by different bivariate statistical (FR, IV
488 and CF) model in the Rorachu watershed. Without any proper validations of this statistical model
489 performances are not compatible for landslide susceptibility analysis of any place in the world.
490 The overall ascertainment of the landslide analysis is commonly justified on the number of
491 correctly classified pixels. In this study, the validation process was performed using total
492 observed landslides (716 pixel) are split into two categories 1. 70 % (500) landslides were used
493 for training the model and 2. Remaining 30 % (216) landslides were entrusted for the landslide
494 validations. There exist several approaches for the landslide susceptibility modeling. The

495 methods are 1. Success rate curve (Van Westen et al., 2003; Chung and Fabbri, 1999). 2.
496 Landslide density (Gupta et al., 2008; Sarkar and Kanungo, 2004), 3. Spatially agreed area
497 analysis and 4. Receiver operating characteristics (ROC) curve applied by different researchers. Here
498 all the validation processes were applied for the proper landslide susceptibility mapping in the
499 Rorachu watershed.

500

501 **3.6 Landslide Risk (LR) mapping**

502 The risk is the maximum expected degree of loss due to particular landslide events in a particular
503 area and during certain time of period is called landslide risk. Landslide risk assessment (LRA)
504 modeling aims to determine the probability of element-at-risk that a specific hazard will cause
505 harm, and it investigates the relationship between the recurrence of damaging events and the
506 intensity of the consequences (Guzzetti et al. 2009). The risk analysis is very much significant in
507 the mountainous buildings, population and roads for the planning, land use management and
508 other means. There is several researchers used landslide risk analysis (Varnes DJ. 1984; Fell
509 1994; Leroi 1996; Xu et al., 2012). According to Xu et al. (2012), risk is defined as the
510 probability of damage caused by a particular hazard to a specific element is followed by this
511 equation as

$$512 \quad \text{Risk} = H \times V \quad (13)$$

513

514 Here, H denotes the Hazard expressed as probability of occurrence within a reference period and
515 V defines the Physical vulnerability of a particular type of element-at-risk (from 0 = not
516 vulnerable and 1 = vulnerable) for a specific type of hazard and for a specific element-at-risk. In
517 order to determine the landslide risk mapping in the Rorachu watershed (Figure 10),

518 **4. Result and Discussion**

519 In the present study, we have used three models for landslide susceptibility analysis in Rorachu
520 watershed areas, 1. Frequency Ratio (FR) model, 2. Information Value (IV) model and 3.
521 Certainty Factor (CF) model. These three models (FR, IV and CF) were used for the slope
522 instability analysis using thirteen aforementioned landslide susceptibility factors. From the
523 analysis we get the following results:

524 **4.1 Frequency Ratio (FR) model, Susceptibility zones and causative factors**

525 The frequency ratio (FR) model has been valuable in ranking the preferred etiological factors
526 based on their efficiency for landslide susceptibility analysis in past few years and, it's widely
527 been used by different researcher throughout the world. In this study we calculate the landslide
528 susceptibility index (LSI), each frequency ratio (FR) values were summed (Lee and min, 2001;
529 Lee and Pradhan, 2007) of each factors as expressed in equation (14). The result LSI map is
530 depicted in (Fig. 8).

531

$$\left(\begin{aligned} \text{LSM}_{\text{FR}} = \sum & (\text{FR}_{\text{Elevation}} + \text{FR}_{\text{Geology}} + \text{FR}_{\text{Slope}} \\ & + \text{FR}_{\text{Soil}} + \text{FR}_{\text{Drainage density}} + \text{FR}_{\text{Road density}} \\ & + \text{FR}_{\text{Rainfall}} + \text{FR}_{\text{NDVI}} + \text{FR}_{\text{Curvature}} \\ & + \text{FR}_{\text{TPI}} + \text{FR}_{\text{SPI}} + \text{FR}_{\text{TWI}} + \text{FR}_{\text{LULC}}) \end{aligned} \right) \quad (14)$$

532
533
534
535
536

537 Therefore the FR values was greater than 1, the stronger the probability of landslide occurrence
538 and vice versa (table 5). To describe the level of correlation between the landslide causative
539 factors and the frequency ratio (FR) model is that where the slope class 45° to 70° has the highest
540 value of FR (1.1568) followed by the slope of 35° to 45° class has the value of CF (1.4369)
541 whereas the other slope classes has lower FR. As slope angle increases, the shear stress and other
542 unconsolidated material increases. For the curvature factor, convex curvature has the highest FR
543 (1.469) due to the higher erosional activity, road construction in Rorachu watershed and human
544 activity. In case of elevation, class of 3110 – 4100 m has the highest frequency ratio (FR) value
545 of 3.418 and 2516 – 3110 m has the FR (2.251). Below the 2500 m altitude there was less
546 relationship of FR. It indicates that the Rorachu watershed area has very high probability of
547 landslide in above the value of 2500 meters. In this study area geology also important factors for
548 slope instability. For the geology, it can be seen that Chungthang formation (FR = 1.937),
549 Kanchanjangha formation (FR = 1.301), Basic intrusive (FR = 0.357), Gorubathan formation
550 (FR = 0.069) and lingtse gnesis (FR = 0) are found in this Rorachu river basin. In the case of
551 land use land cover, positive value of FR is seen bare soil (FR = 4.076) and open forest (FR =
552 1.348) and the lowest value seen in settlement (FR = 0) and river (FR = 0).

553 The impression of other factors were also analyze for the landslide susceptibility analysis. For
554 the soil, it can be seen accordingly loamy skeletal (FR = 3.6), coarse loamy (FR = 1.999), coarse
555 loamy holithic (FR = 1.394), coarse loamy distrudeptic (FR = 0.414), fine skeletal (FR = 0.02)
556 and fine loamy (FR = 0). In the case of normalize difference vegetation index (NDVI), the class
557 of -0.111 to 0.142 has represented the highest FR (2.263) value and the high NDVI value
558 represent the lowest FR (0.455) value. The road densities also important for landslide
559 susceptibility, in this study the moderate road density class represent the highest FR (3.203)
560 value and high class represent FR (2.368) value. The lowest road density class has the lowest FR
561 (0.438) value, because of that this Rorachu watershed not only coverage too many roads but also
562 coverage the one state highway in NE position. It May be this state highway one of the major
563 causes for landslide in this Rorachu watershed. The stream power index (SPI) range of low (FR
564 = 1.30) and moderate (FR = 1.23) has the highest positive value, followed by very high (FR = 0)
565 has the lowest probability of landslide. Because of that the highest SPI value observed in the
566 very high elevation where rocks are very compact and hard. The final result of certainty factor
567 (FR) model is landslide susceptibility index (LSI), in which the LSI values ranges between 4.02
568 and 27.1. This map was classified by natural breaking method. According to landslide
569 susceptibility map procreate with the frequency ratio (FR) model by the equation 13 (Fig. 8.
570 Table 5), there was found that 6.10 % and 16.64 % of the total landslides area fall in very high
571 and high susceptible class, respectively. Moderate, low and very low susceptible zones narrate
572 25.30 %, 32.91 % and 19.03 % of the total landslide area, respectively. The high and very high
573 landslide susceptible area includes about 22.74 % of the total susceptible area in the Rorachu
574 watershed.

575

576 Fig. 8. Landslide susceptibility map emanated by frequency ratio (FR) model

577

578 ***4.2 Information Value (IV) model, Susceptibility zones and causative factors***

579 The landslide inventory map was overlaid with the landslide causative factors to dispose the
580 significance of each factor class for landslide occurrence. Using the information value (IV)
581 model, we computed landslide susceptibility map of Rorachu watershed by the equation (15).
582 The final landslide susceptibility map was performed by the IV model is shown (Fig. 9). All the
583 thirteen landslide variables were discerned for the landslide modelling (Table 5).

584

$$\text{LSM}_{IV} = \sum (\text{IV}_{\text{Elevation}} + \text{IV}_{\text{Geology}} + \text{IV}_{\text{Slope}} + \text{IV}_{\text{Soil}} + \text{IV}_{\text{Drainage density}} + \text{IV}_{\text{Road density}} + \text{IV}_{\text{Rainfall}} + \text{IV}_{\text{NDVI}} + \text{IV}_{\text{Curvature}} + \text{IV}_{\text{TPI}} + \text{IV}_{\text{SPI}} + \text{IV}_{\text{TWI}} + \text{IV}_{\text{LULC}}) \quad (15)$$

589

590 To perform the landslide susceptibility mapping using the information value (IV) model. In the
591 case of slope the majority of landslide probability occurrence in moderate (25° to 35°) and high
592 slope (35° to 45°) has the highest value of IV (1.51) and IV (1.49) accordingly. However, the IV
593 of gentle slope is lower which implies no effect on slope instability. This IV model indicates the
594 ranges between 25° to 45° slope are major probability of landslide in this Rorachu watershed. In
595 terms of slope curvature, the highest (1.74) and lowest (1.12) information value (IV) are located
596 in the slope curvature class of concave and flat area, and also the convex curvature class
597 represent the IV (1.57). In this watershed convex and concave curvature both are uniformly
598 affected by the landslide susceptible area. . The relationship between elevation and the landslide
599 occurrence probability is the highest seeing the 2500 meter to 3110 meters where the information
600 value (IV) is (1.70) and also the highest probability of landslide occurrence is also seeing very
601 high elevation (3110 to 4100 m) where information value (IV) is (1.51). And the elevation
602 between 816 and 1500 m represent the lowest information value (IV) is -0.125. For the geology,
603 it can be seen that Chungthang formation (IV = 1.58), Kanchanjangha formation (IV = 1.77),
604 Basic intrusive (IV = 0.9), Gorubathan formation (IV = -0.04) and lingtse gnesis (IV = 0) are
605 found in this Rorachu watershed. In the case of land use land cover, positive value of IV is seen
606 bare soil (IV = 1.34) and open forest (IV = 1.57) and the lowest value seen in settlement (IV =
607 0.02).

608 Fig. 9. Landslide susceptibility map emanated by Information value (IV) model

609 ***4.3 Certainty Factor (CF) model, Susceptibility zones and causative factors***

610 Landslide susceptibility map delineate areas, identifying areas with the same probable
611 circumstance of slope failure. In this study we applied certainty factor (CF) model for final
612 landslide susceptibility analysis in Rorachu watershed area (Fig. 10). Certainty factor (CF) is the

613 probabilistic study in which provides the favorable function value of each class of landslide
 614 susceptibility factors. The thematic layers are integrated pairwise using the integration rules
 615 (Binaghi et al. 1998). The certainty values were enumerated for all landslides conditioning
 616 factors by overlaying and considerate the landslide frequency (Table 5). Then, thirteen landslide
 617 conditioning factors were ascertained using Eq. 16. In order to calculate a LSI map (Fig. 10)

$$\begin{aligned}
 \text{LSM}_{CF} &= \sum (CF_{\text{Elevation}} + CF_{\text{Geology}} + CF_{\text{Slope}} \\
 &+ CF_{\text{Soil}} + CF_{\text{Drainage density}} + CF_{\text{Road density}} \\
 &+ CF_{\text{Rainfall}} + CF_{\text{NDVI}} + CF_{\text{Curvature}} \\
 &+ CF_{\text{TPI}} + CF_{\text{SPI}} + CF_{\text{TWI}} + CF_{\text{LULC}})
 \end{aligned}
 \tag{16}$$

623
 624 It can be observed in (table) the slope class 45° to 70° has the highest value of CF (0.366)
 625 followed by the slope of 35° to 45° class has the value of CF (0.307). The lowest value of CF (-
 626 0.601) is for slope class 0° to 15°. From this it is clearly indicating that landslides occurrence
 627 augmentation by the increases of slope factors upto certain extent, and then, it decreases.
 628 Landslide occurrence decreases as the slopes becomes higher then 45° (Devkota, K., 2012) but in
 629 this Rorachu watershed occurrence of landslide probability value of CF (0.366) upto 70° slope,
 630 and the reason for that is the high human activity, state highway construction and development in
 631 that hilly slope. In the case of plane curvature in Rorachu watershed, the convex curvature was
 632 representing maximum CF (0.322) value and flat curvature represent the minimum CF (-0.516).
 633 That means the landslide probability highest in the convex curvature and concave and flat
 634 curvature are not responsible landslides in this area. The relationship between elevation and the
 635 landslide occurrence probability is seeing the 3110 meter to 4100 meters where the certainty
 636 factor (CF) value is (0.714). And the elevation between 816 m to 1500 m represent the lowest CF
 637 (-0.963) and also the positive CF value ranges between 2500 m to 4100 m and negative CF value
 638 ranges between 816 m to 2500 m. This shows the probability of landslide occurrence decreases
 639 at the altitudes lower than 2500 m in the Rorachu river basin. For the geology, it can be seen that
 640 Chungthang formation (CF = 0.488), Kanchanjanga formation (CF = 0.234), Basic intrusive
 641 (CF = -0.645), Gorubathan formation (CF = -0.931) and lingtse gnesis (CF = -1) are found in this
 642 Rorachu watershed. In the case of land use land cover, positive value of CF is seen bare soil (CF

643 = 0.762) and open forest (CF = 0.261) and the highest negative value seen in settlement (CF = -
644 0.807).

645
646 The impression of other factors were also been analyzing for the landslide susceptibility analysis.
647 The road densities also important for landslide susceptibility, in this study the moderate road
648 density class represent the highest CF (0.694) value and high class represent CF (0.583) value.
649 The lowest road density class has the lowest CF (-0.564) value, because of that this Rorachu
650 watershed not only coverage too many roads but also coverage the one state highway in NE
651 position. It May be this state highway one of the major causes for landslide in this Rorachu
652 watershed. The final result of certainty factor (CF) model is landslide susceptibility index (LSI),
653 in which the LSI values ranges between – 5.98 and 13.58.

654
655 Fig. 10. Landslide susceptibility map emanated by Certainty factor (CF) model

656 Fig. 11. Google earth map showing the very high (VH) and high (H) landslide susceptibility of
657 various models (FR, IV and CF).

658 ***4.3 Results of models validation***

659 ***4.3.1 Landslide Density (LD) method and model's validation***

660 Landslide density is the ratio between the observed landslides in that area and the area of each
661 landslide susceptible classes. In the present study we calculate the landslide density on the basis
662 of the number of landslides pixels having present in this study area and the landslides susceptible
663 map having pixels (table 7). In an ideal landslide susceptible zonation map is where he is called
664 the higher landslide density present in higher landslide susceptibility classes and vice versa.
665 From the table 6, it was conspicuous that landslide densities gradually increases from the low
666 landslide susceptible to class to high susceptible classes. In this study it can be observed that the
667 very high landslide susceptible class represent the highest landslide density values 0.04607 (FR
668 model), 0.021 (IV model) and 0.0403 (CF model). Furthermore, there was a continuous
669 decrement of landslide density values from very high to low landslide susceptibility zonation
670 map and also there was also a considered different landslide density values for different landslide
671 susceptible classes (Fig.12). The comparison of the all three statistical model for landslide
672 susceptibility mapping discloses that the maps produced from two statistical models are
673 noticeably better than that of the information value (IV) model. This may be due to additional

674 objectivity in the landslide model weight assignment process of the frequency ratio (FR) and
675 certainty factor (CF) method than the information value (IV) method.

676 Table 7. The comparison between observed landslide and landslide susceptibility model with the
677 landslide density (LD).

678 Fig. 12. The landslide density (LD) has been showing the increasing trend to the highest
679 vulnerable areas.

680

681 **4.3.2 Result of Success rate curve (SRC) and models validation**

682 Landslide susceptibility zonation maps can also be validated from the success rate curve (SRC)
683 (Chung and Fabbri, 1999; Van Westen et al., 2003) and try to find out the best probable
684 relationship between observed landslides and landslide susceptible zones. The percentage of
685 phenomenon of landslides in any susceptible zones gives the successive rate. In this study area
686 the cumulative percentage of observed landslides plotted against the cumulative percentage of
687 landslide index (LSI) susceptibility zonation map to obtain the successive rate curve (SRC) for
688 each bivariate statistical model of the Rorachu watershed (Fig. 13). In this study the analysis
689 exhibit that the first 20 % of this area comprise about 0.42 %, 2.23 % and 0.41 % of observed
690 landslides for the frequency ratio (FR), Information value (IV) and certainty factor (CF) model
691 and landslide susceptibility index (LSI) respectively. The area under curve (AUC) was assessing
692 for the accuracy of the landslide analysis method qualitatively. In the present study, the areas
693 under curves (AUC) are 0.868, 0.846 and 0.925 which means that the overall success rates are
694 86.8 %, 84.6 % and 92.5 % for the certainty factor (CF), information value (IV) and frequency
695 ratio (FR) models, respectively. These result also validate the landslide susceptibility mapping in
696 the Rorachu watershed, indicates that the susceptibility maps obtained by the certainty factor
697 (CF), information value (IV) and frequency ratio (FR) models are qualitatively similar or better
698 for the modelling in Rorachu watershed.

699 Fig. 13. Success rate curve (SRC) for the three models (FR, IV and CF) in the Rorachu
700 watershed

701

702

703 **4.3.3 Result of Receive operating characteristics (ROC) curve method and model's validation**

704 An appropriate validation is required to prepare a certain landslide susceptibility map of the
705 study area. In the current study, the validations of the landslide susceptibility map was restrained
706 by receive operating characteristics (ROC) curve (Akgun et al., 2012; Regmi et al., 2014;
707 Ozdemir and Altural, 2013). A receive operating characteristics (ROC) curve is a graphical plot
708 in which illustrate the diagnostic caliber of a binary classifier system as its discrimination
709 threshold is varied. The ROC curve is created by plotting the true positive (TP) rate against the
710 false positive (FP) rate at various threshold settings. The ROC curve is an efficient method for
711 the representing the quality of probability and deterministic detection and forecasting system.
712 The area under curve (AUC) represents the quality of the landslide probabilistic models to
713 feasible predict of the occurrence and non-occurrence of landslides. A proper fit model has an
714 AUC values ranges between 0.5 to 1, while values below 0.5 represent the random fit and less
715 reliable for the landslide susceptibility modelling (Yilmaz 2009a, b)

716
$$\text{Sensitivity} = \text{TP} / (\text{TP} + \text{FN}) \quad (17)$$

717
$$\text{Specificity} = \text{TN} / (\text{TN} + \text{FP}) \quad (18)$$

718
$$\text{Specificity} = 1 - \text{Sensitivity} \quad (19)$$

719 Generally there were three methods used to validate the model. In this study, the AUC values for
720 the FR model are 0.828 and, we could say that the 82.80 % prediction accuracy for this landslide
721 susceptibility modelling in Rorachu watershed. In the case of information value (IV) model, the
722 AUC curve area is 0.750 and, we could say that the 75 % prediction accuracy for the landslide
723 modeling. In the case of applying certainty factor (CF) model, the AUC curve area is 0.836 and,
724 we could say that 83.60 % prediction accuracy shows the landslide modelling in the Rorachu
725 watershed (Fig 14) with the standard error (FR = 0.016, IV = 0.019 and CF = 0.015) in Table 8.
726 To compare the result of all three bivariate models as quantitatively, the areas under curve
727 (AUC) were recalculated acceptance the total area as 1, which means the perfect probabilistic
728 and deterministic prediction accuracy. In this study, a comparison between FR, IV and CF model
729 and observed landslides were conducted. The all statistical model results show that a strong fit

730 between landslide susceptibility zonation maps and tangible location landslides in the Rorachu
731 watershed.

732 Fig. 14. Receive operating characteristics (ROC) curve for FR, IV and CF models.

733 Table 8. The comprehensive statistics in the various model (FR, IV and CF) of the ROC curve.

734

735

736 **4.4 Analysis of landslide risk (LR)**

737 The various bivariate statistical approaches were used. For this purpose, firstly landslide
738 susceptibility or hazard map was emanated by the FR, IV and CF bivariate statistical model. The
739 landslide vulnerability map was produced as vulnerability of settlement and road (vulnerability =
740 1 and non-vulnerability = 0). Finally, the landslide hazard and landslide vulnerability of which
741 element-at-risk are combined by the equation (13) to obtained final landslide risk map. Based on
742 this method the landslide risk (LR) map was classified into five categories, very low (VL), low
743 (L), moderate (M), high (H) and very high (VH) (Fig. 15). According to the landslide risk
744 assessment (LRA) map of road and settlement, the 9.05% (0.67 km²), 38.59% (1.32 km²) and
745 20.09% (0.67km²) of the settlement areas occupied very high (VH) risk obtained by FR, IV and
746 CF statistical model, respectively. The 20.72% (0.69 km²), 40.91% (1.38 km²) and 18.79% (0.63
747 km²) of the road areas brought under control very high (VH) risk attained by FR, IV and CF
748 bivariate statistical model, respectively. It has been observed that, the most of the settlements and
749 road have been built up on very high risk area which is located in east side of the Rorachu
750 watershed. Those high risk areas requirements to be brought to the notice of the public so that
751 can government and people can realize the possibility of future risk vulnerability.

752 The increasing population pressure has been forcing people to multiplication their activities in
753 mountain areas. In this study the, the landslide risk (LR) analysis have been employed in
754 Rorachu watershed that indicates the 9.05 % (FR), 38.59 % (IV) and 20.09 % (CF) settlement
755 area has been showing the highest landslide risk (LR) probability zone and 20.52 % (FR), 40.91
756 % (IV) and 18.78 % (CF) road area has the highest landslide risk (LR) probability (Fig. 16). The
757 triggering factors has major role for the landslide susceptibility in this area. In this study area, the
758 NH 31A road is more vulnerable to landslide and landslide risk (LR) zonation map also indicates
759 the highest vulnerability. The population is located in west side is safer than the east side.

760

761

762 Fig. 15. Landslide risk map of two variables (Settlement and Road) by the various models (a)
763 Road risk map by Frequency Ratio (FR) model, (b) Road risk map by Information Value (IV)
764 model, (c) Road risk map by Certainty Factor (CF) model, (d) Settlement risk map by Frequency
765 Ratio (FR) model, (e) Settlement risk map by Information Value (IV) model and (f) Settlement
766 risk map by Certainty Factor (CF) model

767

768 Fig. 16. The comparative Bar graph revealing the areal distribution of numerous models (FR, IV
769 and CF) a. observed landslide area situated in various landslide susceptibility zones b. Areal
770 distribution of landslide susceptibility zones c. Areal distribution of Roads in various landslide
771 risk zones (LRZ) d. Areal distribution of Settlement in various landslide risk zones (LRZ).

772

773 ***4.5 Triggering factors***

774 Landslides may be a consequence of several geomorphic, climatic, litho-tectonic and
775 anthropogenic factors. But the fact is that, which factors are leading role for the instance of
776 landslides in any place in the world even in Rorachu watershed. There are several researchers to
777 employ the landslide susceptibility mapping in different part of the world. Many researchers
778 have excellent recite to which factors are more effective for landslide susceptibility mapping or
779 slope instability mapping (Pradhan and Kim 2014; Lee and Min 2001; Melchiorre et al. 2008;
780 Chen et al. 2009). Slope degree is a very important parameter in the slope instability analysis,
781 and it is frequently used in preparing landslide susceptibility maps (Lee and Min 2001; Saha et
782 al. 2005; Gorsevski et al. 2012). Altitude is another frequently conditioning factor for landslide
783 susceptibility analysis because it is controlled by several geologic and geomorphological
784 processes (Gorsevski et al. 2012; Pourghasemi et al. 2012b; Pradhan and Kim 2014). Earthquake
785 and rainfall also an important factor for landslides susceptibility mapping. In this study we
786 considered rainfall, slope and elevation as triggering factors for the landslides susceptibility
787 mapping of Rorachu watershed. In this study area the ultimate probability of landslide
788 vulnerabilities and encumbrance of landslides is related with high altitude, rainfall and slope. In
789 Rorachu watershed, the altitude (2500 – 4110 m), slope (35° – 70°) and rainfall (2300 – 3000

790 mm) has excessive affect for the landslides. The direct impact of monsoonal rainfall on
791 landslides in this watershed (Fig. 17).

792
793 Fig. 17. The probability of landslide vulnerability in various ranges (Elevation, Slope and
794 Rainfall).

795
796 ***Conclusion***

797 Landslides are the profuse numerous natural hazards in all over the world causing significant
798 threat to life and property. Several techniques and statistical models have been used for the
799 landslide susceptibility mapping of Rorachu watershed. The present study demonstrates that FR,
800 IV and CF models are successfully applied for the landslide susceptibility mapping and landslide
801 risk assessment (LRA) mapping in the tectonically active Rorachu watershed. The validations
802 have been determined by using the ROC curve method in which accuracies are showing 82.80
803 %, 75 % and 83.60 % for the frequency ratio (FR), information value (IV) and certainty factor
804 (CF) models, accordingly. Respectively the success rate curves (SRC) are showing accuracies
805 92.5 %, 84.6 % and 86.8 %, respectively for predictive rate techniques. In this study the
806 frequency ratio (FR) and certainty factor (CF) models provided better result for this slope
807 instability modeling where information value (IV) models could not provide very much satisfied
808 result for this Rorachu watershed. It highlights the influence of some triggering factors (Geology,
809 Elevation, Slope and rainfall) in which maximum contribution for the slope instability in the
810 Rorachu watershed. The results revel that the probability of slope instability instance is higher
811 for the landslide causative factors in which concentrate in geology (chungthang and
812 kanchanjonga formation), slope (35° to 70°), elevation (2000 – 4000 m) and rainfall (1800 –
813 3000 mm) in this watershed. So we must distinguish attention should be taken into consideration
814 for these factors (geology, slope, elevation, rainfall and earthquake) and the NH 31A
815 constructions and development (urbanization, deforestation,) works in this watershed which has
816 to be special preparations needed. The north and northeast of the Rorachu watershed was
817 generally identified high susceptible to landslides, whilst south and southwest was determine the
818 low susceptible areas. Because the north and northeast side having high slope with lower forest
819 area and orderly human activity with NH31A road construction has been turned to higher
820 probability landslide prone zone and the south and southwest portion of this Rorachu watershed

821 has low slope with high vegetation cover and lowest human activity turned into a lowest
822 probability landslide susceptibility zones.

823 The landslide susceptible zonation map reveals that the 22.7 % (FR) of the study area lies on very
824 high to high LSI zones in which predicts 76.9 % of the past landslides, 45.6 % (IV) of the study
825 area lies on very high to high LSI zones in which predicts 85.06 % of the past landslides and
826 31.75 % of the study area lies on very high to high LSI zones map predicts 85.05 % of the past
827 landslides. In this study the, the landslide risk (LR) analysis have been employed in Rorachu
828 watershed that indicates the 9.05 % (FR), 38.59 % (IV) and 20.09 % (CF) settlement area has
829 showing the highest landslide risk (LR) probability zone and 20.52 % (FR), 40.91 % (IV) and
830 18.78 % (CF) road area has the highest landslide risk (LR) probability (fig 13). In this study
831 such results can be used for the mitigating hazard associated with the landslides in this Rorachu
832 watershed. This susceptibility map of Rorachu watershed can be used in future for slope
833 management, land use planning, urban planning, disaster management planning and road
834 construction, etc., by the concerned authorities. The landslide risk (LR) map will help for the
835 producing sustainable products; maintain site equality and sustainably reducing the risk of this
836 settlement and road of any adverse impact.

837

838 **Conflicts of interest**

839

840 The authors declare that they have no competing interests

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1311 Table 1. Sources of data layers of various landslide causative factors

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feature layer	source	thematic data layer	resolution
Topographical map	Survey of India, Kolkata. Map no. 78A/11,	Drainage Density	1:50,000
Google Earth image	http://www.earth.google.com	Road Density	30 * 30 m
Geological map	Geological survey of India (GSI)	Geological map	1:250,000
Soil map	NBSS & LUP Regional Centre, Kolkata	Soil map	1:400,000
LANDSAT 8 OLI	http://www.earthexplorer.usgs.gov	Land Use Land Cover (LULC) map NDVI map	30 * 30 m 30 * 30 m
Rainfall data	http://www.worldclim.org	Rainfall Distribution map	1 km * 1 km
ASTER GDEM	http://www.earthexplorer.usgs.gov	Elevation map Slope map Topographic Wetness Index(TWI) map Topographic Position Index(TPI) map Stream Power Index(SPI) map	30 * 30 m 30 * 30 m 30 * 30 m 30 * 30 m 30 * 30m
Topographical map, Google earth image, Satellite data and GPS survey	Field study using GPS and internet	Landslide Inventory map	

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1322 Table 2. Description of geological parameters of Rorachu watershed

ERA	FORMATION	CHARACTERISTICS	LITHOLOGY
Meso-Proterozoic	Lingtse gneiss	The gneisses are sheet like bodies of coarse to medium grained, foliated to strongly lineated granite mylonite. These are streaky, banded, augen gneisses or porphyroblastic gneisses and are traversed by concordant and discordant pegmatite veins. Amphibolite intrusives with sharp contacts are also recorded within gneisses. The most characteristic feature of the Lingtse granite is the presence of a stretching lineation.	Granite gneiss (mylonite)
Proterozoic (Undifferentiated)	Basic intrusive	Basic Intrusive rocks are characterized by large crystal sizes, and as the individual crystals are visible, the rock is called phaneritic. This is formed as the magma cools underground and while cooling may be fast or slow; cooling is slower than on the surface, so larger crystals grow.	Tourmaline / biotite leuco granite, schroll rock/ pegmatite, aplite (Undifferentiated)
	Gorubathan formation	The formation consists of mappable, monotonous sequence of inter banded chlorite sericite schist / phyllite, quartzite, meta greywacke, pyritiferous black slate/ carbon phyllite, basic meta volcanics. Chlorite phyllite is dark green to light green whereas the quartz chlorite phyllite is only light green in color.	Interbanded chlorite-sericite schist / phyllite and quartzite, meta-greywacke (quartzofeldspathic greywacke), pyritiferous black slate, biotite phyllite / mica schist, biotite quartzite, mica schist with garnet, with / without staurolite, chlorite quartzite
	Kanchenjunga gneiss/Darjeeling gneiss	The gneisses, dominantly comprising quartz, feldspar and biotite (with minor amounts of other minerals) have been classified into three types, ie.1) banded / streaky gneisses / migmatites, 2) augen bearing biotite gneiss with/without garnet, kyanite, sillimanite and 3) sillimanite granite gneisses. Mapping of these rocks as individual units is very difficult	Banded / streaky migmatite, augen bearing (garnet) biotite gneiss with/ without kyanite, sillimanite with palaeosomes of staurolite, kyanite, mica schist, biotite gneiss, sillimanite granite gneiss

		because they are characterized by frequent interchanging and gradational features among themselves.	
	Chungthang formation	The main rock types of this formation are quartzites, garnet-kyanite-staurolite bearing biotite schist, calc silicate rock, graphitic schist and amphibolite.	Quartzite 2. Garnet kyanite sillimanite biotite schist / Garnetiferous mica schist Chungthang 3. Calc-silicate, carbonaceous schist Formation

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1324 Table 3. Soil characteristics map of Rorachu watershed (According to Mandal S, Mandal K

1325 2017a)

Mapping unit	Soil name	Soil code	characteristics
Inceptisols	Coarse loamy humic dystrodepts	S001	Very deep, well drained, moderately rapid permeable coarse loamy soil is found in structural benches and Foot slope of mountain associated with moderately shallow to deep, little stony, excessively drained coarse loamy soil with moderate erosion
	Coarse loamy humic Pachic dystrodepts	S002	Moderately rapid permeability is occurred in upland slopes associated with moderately deep, well drained coarse loamy soil with medium run-off, , little stony, excessively drained fine loamy soils with moderate erosion
	Coarse loamy typic hapludolls	S003	Excessively drained, deep coarse loamy soil having little stoniness and slight to moderate erosion is found mainly in the ridges associated with moderate deep to deep coarse loamy soil with little stoniness and moderate erosion
	Fine-loamy fluventic eutrodepts	S004	moderate permeability with Moderately shallow to deep, well drained fine loamy soil is found in steep slope, moderately high saturated hydraulic conductivity and moderate erosion associated with very deep, well drained fine loamy upland soils
Mollisols	Fine-skeletal cumilic hapludolls	S005	Moderately deep to very deep, excessively drained soils with gravelly surface, little stoniness and moderate erosion is found in very steep slope associated with moderately shallow to deep, slight stoniness, excessively drained, moderately erosion prone coarse loamy soil
	Loamy skeletal entic hapludolls	S006	Excessively drained, gravelly loamy soil mainly found in very steep hill side with small stoniness and moderate erosion associated with moderately shallow to deep, slight stoniness, moderately deep to deep, excessively drained, moderately erosion prone gravelly loamy soil

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1328 Table 4. Monthly Rainfall distribution in the East Sikkim area (2009 – 2015). *Source:* Indian
 1329 Meteorological Department (IMD) Gangtok, Sikkim

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Year	Jan	Feb	Mar	Apr	May	June	July	Aug	Sep	Oct	Nov	Dec
2009	5.7	4.2	87.3	251.7	335.4	355.4	408.6	454.1	180.1	201.6	1.7	5.4
2010	5.7	18	187	359.4	272.7	504.6	601	493.8	375.8	95.6	23.6	0.1
2011	21.6	40.5	68.5	14.7	278.8	515.9	587.3	459.1	376.7	44.9	60.8	2.3
2012	17.8	21.5	28.4	312.2	201.6	614.4	481.3	442.2	410.9	72.4	0.1	1
2013	4.3	32.1	128	256.1	409	382.6	412.1	325.1	195.5	191.8	40.7	7.9
2014	0	5.4	68.2	96.1	441.4	472.7	478.7	522.3	273	16.7	2.4	4.2
2015	7.4	17.4	73.3	270.3	387.8	603.1	561	284.7	316.1	99.6	55.8	1

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1332 Table 5. Spatial relationship between each landslide conditioning factors and observed landslides
 1333 Using Frequency Ratio (FR), Information Value (IV) and Certainty Factor (CF) models for all
 1334 landslide causative factors classes.

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Factors	Class	Class pixel	Landslide pixel	$N_{pix}(X_j)$	$N_{pix}(SX_i)$	FR	S_i/N_i	S/N	IV	PP_a	PP_s	CF
Elevation (m)	4100 – 3110	6841	218									
				0.30	0.09	3.42	0.30	0.01	1.51	0.0319	0.0093	0.714
	3110 – 2516	16104	338	0.47	0.21	2.25	0.47	0.01	1.70	0.0210	0.0093	0.561
	2516 – 1993	20031	139	0.19	0.26	0.74	0.19	0.01	1.32	0.0069	0.0093	-0.257
	1993 – 1495	19285	16	0.02	0.25	0.09	0.02	0.01	0.38	0.0008	0.0093	-0.912
	1495 - 816	14545	5	0.01	0.19	0.04	0.01	0.01	-0.13	0.0003	0.0093	-0.963
Geology	gorubathan formation	9312	6	0.01	0.12	0.07	0.01	0.01	-0.05	0.0006	0.0093	-0.931
	lingtse genesis	4112	0	0	0.05	0	0	0.01	0	0.0000	0.0093	-1.000
	basic intrusive	16210	54	0.08	0.21	0.36	0.08	0.01	0.91	0.0033	0.0093	-0.645
	chungthang formation	14123	255	0.36	0.18	1.94	0.36	0.01	1.58	0.0181	0.0093	0.488
	kanchanjangha formation	33049	401	0.56	0.43	1.30	0.56	0.01	1.78	0.0121	0.0093	0.234
Slope (in degree)	70.09 – 45.57											
		7799	114	0.16	0.10	1.57	0.16	0.01	1.23	0.0146	0.0093	0.366
	45.57 – 35.14	15677	210	0.29	0.20	1.44	0.29	0.01	1.50	0.0134	0.0093	0.307
	35.14 – 25.53	20253	218	0.30	0.26	1.15	0.30	0.01	1.51	0.0108	0.0093	0.135
	25.53 – 15.37	20229	126	0.18	0.26	0.67	0.18	0.01	1.28	0.0062	0.0093	-0.334
	15.37 - 0	12848	48	0.07	0.17	0.40	0.07	0.01	0.86	0.0037	0.0093	-0.601
Soil	fine skeletal	5224	1	0.00	0.07	0.02	0.00	0.01	-0.82	0.0002	0.0093	-0.980

	coarse loamy distrudeptic	32878	127	0.18	0.43	0.41	0.18	0.01	1.28	0.0039	0.0093	-0.588
	coarse loamy holithic	11997	156	0.22	0.16	1.39	0.22	0.01	1.37	0.0130	0.0093	0.286
	fine loamy	6534	0	0	0.09	0	0	0.01	0	0.0000	0.0093	-1
	loamy skeletal	3754	126	0.18	0.05	3.60	0.18	0.01	1.28	0.0336	0.0093	0.729
	coarce loamy	16419	306	0.43	0.21	2.00	0.43	0.01	1.66	0.0186	0.0093	0.505
Drainage Density	9.55 – 6.25	12033	62	0.09	0.16	0.55	0.09	0.01	0.97	0.0052	0.0093	-0.450
	6.25 – 4.92	18190	73	0.10	0.24	0.43	0.10	0.01	1.04	0.0040	0.0093	-0.572
	4.92 – 3.62	17869	140	0.20	0.23	0.84	0.20	0.01	1.32	0.0078	0.0093	-0.161
	3.62 – 2.17	16626	312	0.44	0.22	2.01	0.44	0.01	1.67	0.0188	0.0093	0.508
	2.17 – 0.09	12088	129	0.18	0.16	1.14	0.18	0.01	1.29	0.0107	0.0093	0.128
Road Density	11.17 – 6.86	2051	13	0.02	0.03	0.68	0.02	0.01	0.29	0.0063	0.0093	-0.322
	6.86 – 4.48	4530	100	0.14	0.06	2.37	0.14	0.01	1.18	0.0221	0.0093	0.583
	4.48 – 2.55	8439	252	0.35	0.11	3.20	0.35	0.01	1.58	0.0299	0.0093	0.694
	2.55 – 0.88	14317	157	0.22	0.19	1.18	0.22	0.01	1.37	0.0110	0.0093	0.151
	0.88 – 0	47469	194	0.27	0.62	0.44	0.27	0.01	1.46	0.0041	0.0093	-0.564
Rainfall (mm)	1847 – 2386	6624	263	0.37	0.09	4.26	0.37	0.01	1.60	0.0397	0.0093	0.758
	2386 – 2791	5199	95	0.13	0.07	1.96	0.13	0.01	1.15	0.0183	0.0093	0.485
	2791 – 3096	12076	137	0.19	0.16	1.22	0.19	0.01	1.31	0.0113	0.0093	0.177
	3096 – 3323	31797	195	0.27	0.41	0.66	0.27	0.01	1.47	0.0061	0.0093	-0.515
	3323 – 3657	21110	26	0.04	0.27	0.13	0.04	0.01	0.59	0.0012	0.0093	-6.508
NDVI	0.64 – 0.43	9717	205	0.29	0.13	2.26	0.29	0.01	1.49	0.0211	0.0093	0.563
	0.43 – 0.34	13283	160	0.22	0.17	1.29	0.22	0.01	1.38	0.0120	0.0093	0.228
	0.34 – 0.24	17463	90	0.13	0.23	0.55	0.13	0.01	1.13	0.0052	0.0093	-0.816
	0.24 – 0.14	21584	92	0.13	0.28	0.46	0.13	0.01	1.14	0.0043	0.0093	-1.198
	0.14 - -0.11	14759	169	0.24	0.19	1.23	0.24	0.01	1.40	0.0115	0.0093	0.188
Curvature	CONCAVE	43887	375	0.52	0.57	0.92	0.52	0.01	1.75	0.0085	0.0093	-0.084
	FLAT	14592	90	0.13	0.19	0.66	0.13	0.01	1.13	0.0062	0.0093	-0.516
	CONVEX	18327	251	0.35	0.24	1.47	0.35	0.01	1.58	0.0137	0.0093	0.322
TPI	15.25 – 11.26	6669	67	0.09	0.09	1.08	0.09	0.01	1.00	0.0100	0.0093	0.073
	11.26 – 10.15	18765	173	0.24	0.24	0.99	0.24	0.01	1.41	0.0092	0.0093	-0.011
	10.15 – 9.19	25823	228	0.32	0.34	0.95	0.32	0.01	1.53	0.0088	0.0093	-0.053
	9.19 – 8.31	18956	171	0.24	0.25	0.97	0.24	0.01	1.41	0.0090	0.0093	-0.033
	8.31 – 5.83	6593	77	0.11	0.09	1.25	0.11	0.01	1.06	0.0117	0.0093	0.204
SPI	145.4 – 47.88	133	0	0	0.002	0	0	0.01	0	0	0.0093	-1
	47.88 – 20.52	1060	7	0.01	0.01	0.71	0.01	0.01	0.02	0.0066	0.0093	-0.294
	20.52 – 9.12	4966	57	0.08	0.06	1.23	0.08	0.01	0.93	0.0115	0.0093	0.190
	9.12 – 2.85	21030	255	0.36	0.27	1.30	0.36	0.01	1.58	0.0121	0.0093	0.233
	2.85 – 0	49617	397	0.55	0.65	0.86	0.55	0.01	1.77	0.0080	0.0093	-0.143
TWI	65.13 – 15.18	5334	20	0.03	0.07	0.40	0.03	0.01	0.48	0.0037	0.0093	-0.600
	15.18 – 4.59	13142	65	0.09	0.17	0.53	0.09	0.01	0.99	0.0049	0.0093	-0.472
	4.59 - - 4.48	21264	206	0.29	0.28	1.04	0.29	0.01	1.49	0.0097	0.0093	0.038
	-4.5 - - 14.57	22952	263	0.37	0.30	1.23	0.37	0.01	1.60	0.0115	0.0093	0.188
	-14.6 - - 63.5	14114	162	0.23	0.18	1.23	0.23	0.01	1.39	0.0115	0.0093	0.190

LULC	Step cultivation	1648	0	0	0.02	0	0	0.01	0	0	0.0093	-1
	dense forest	45962	309	0.43	0.60	0.72	0.43	0.01	1.67	0.0067	0.0093	-0.281
	settlement	3865	7	0.01	0.05	0.19	0.01	0.01	0.02	0.0018	0.0093	-0.807
	bare soil	3921	149	0.21	0.05	4.08	0.21	0.01	1.35	0.0380	0.0093	0.762
	river	1439	0	0	0.02	0	0	0.01	0	0	0.0093	-1
	open forest	19971	251	0.35	0.26	1.35	0.35	0.01	1.58	0.0126	0.0093	0.261

1336

1337 Table 6. Multicollinearity analysis of FR, IV and CF approach.

Variable	FR		IV		CF	
	TOL	VIF	TOL	VIF	TOL	VIF
TPI	0.29	3.42	0.30	3.39	0.315	3.177
NDVI	0.53	1.88	0.51	1.98	0.536	1.865
SPI	0.69	1.45	0.69	1.45	0.818	1.222
WI	0.52	1.92	0.52	1.92	0.675	1.481
slope	0.59	1.69	0.59	1.70	0.573	1.744
RD	0.90	1.11	0.86	1.16	0.902	1.109
Curvature	0.38	2.66	0.37	2.67	0.376	2.658
DD	0.50	1.99	0.59	1.69	0.509	1.963
Lulc Class	0.87	1.15	0.87	1.15	0.857	1.166
Geology	0.38	2.63	0.39	2.58	0.38	2.628
Soil	0.65	1.54	0.56	1.78	0.656	1.524
Rainfall	0.25	4.02	0.39	2.57	0.249	4.023
Elevation	0.20	4.96	0.33	3.00	0.176	5.677

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1349 Table 7. The comparison between observed landslide and landslide susceptibility model with the
 1350 landslide density (LD).

Model	Susceptibility Zones	No. of pixel	Area(Sq.km)	Area (%)	No. of landslide pixel	Area (Sq.km)	Area (%)	Landslide Density
FR_M	Very low	14615	13.15	19.02	3	0.0027	0.42	0.0002
	Low	25282	22.75	32.91	45	0.0405	6.28	0.00178
	Moderate	19438	17.49	25.30	118	0.1062	16.48	0.00607
	High	12783	11.50	16.64	334	0.3006	46.64	0.02612
	Very high	4688	4.21	6.10	216	0.1944	30.16	0.04607
IV_M	Very low	10306	9.27	13.42	0	0	0	0
	Low	16678	15.01	21.71	16	0.14	2.24	0.00096
	Moderate	14742	13.26	19.19	91	0.082	12.71	0.0061
	High	26396	23.75	34.36	423	0.38	59.07	0.016
	Very high	8684	7.81	11.31	186	0.167	25.98	0.021
CF_M	Very low	15337	13.80	19.97	3	0.003	0.42	0.0002
	Low	25564	23.01	33.28	47	0.042	6.6	0.0018
	Moderate	11509	10.35	14.98	57	0.051	7.9	0.005
	High	12740	11.46	16.59	139	0.125	19.41	0.0109
	Very high	11656	10.49	15.18	470	0.423	65.68	0.0403

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1352 Table 8. The overall statistics in the various model of the ROC curve.

Test result models	Area under curve	Standard error	Asymptotic significance	Asymptotic 99 % confidence level	
				Lower bound	Upper bound
FR model	0.828	0.016	0.000	0.788	0.868
IV model	0.836	0.015	0.000	0.797	0.875
CF model	0.750	0.019	0.000	0.701	0.799

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Figures

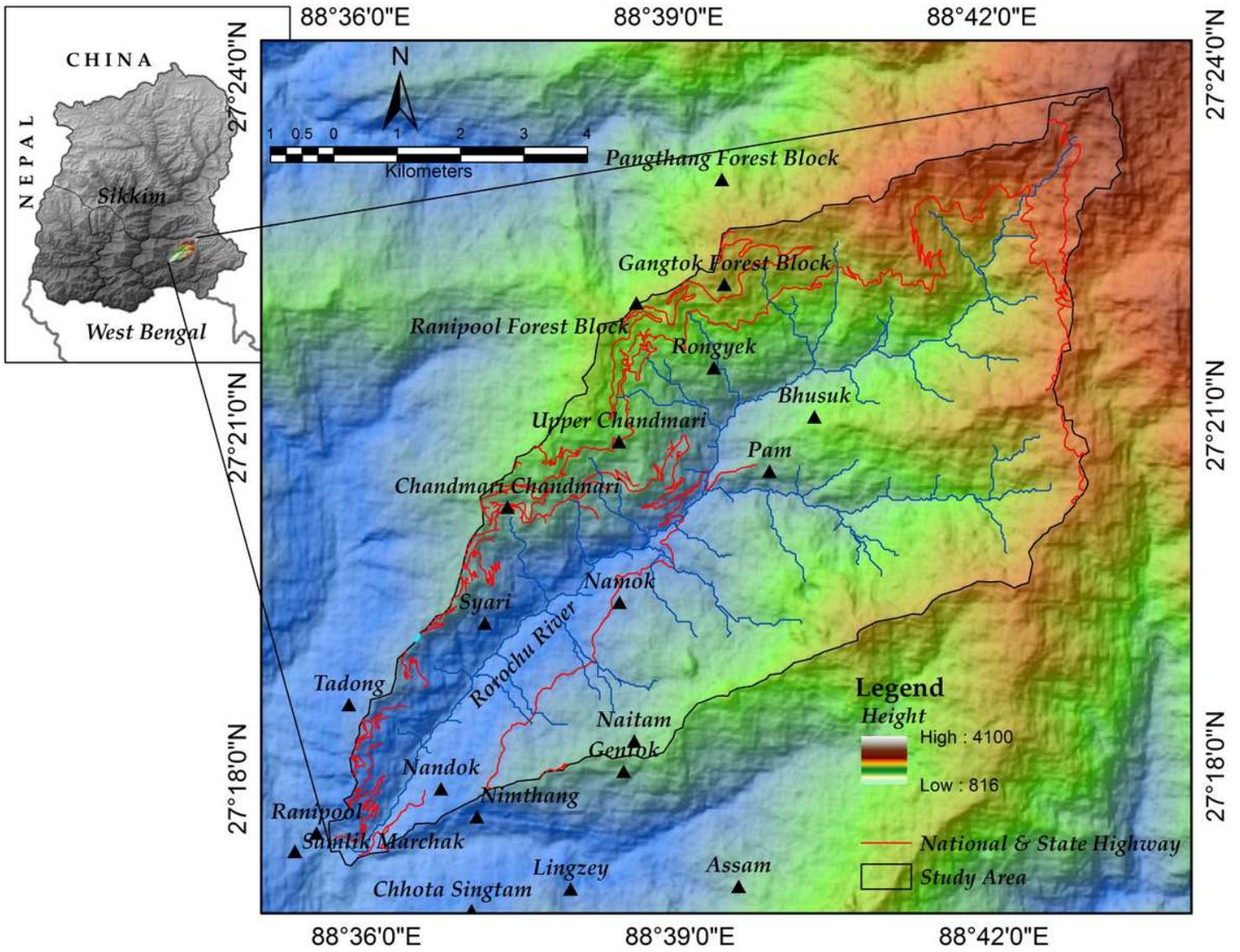


Figure 1

Location map of the study area

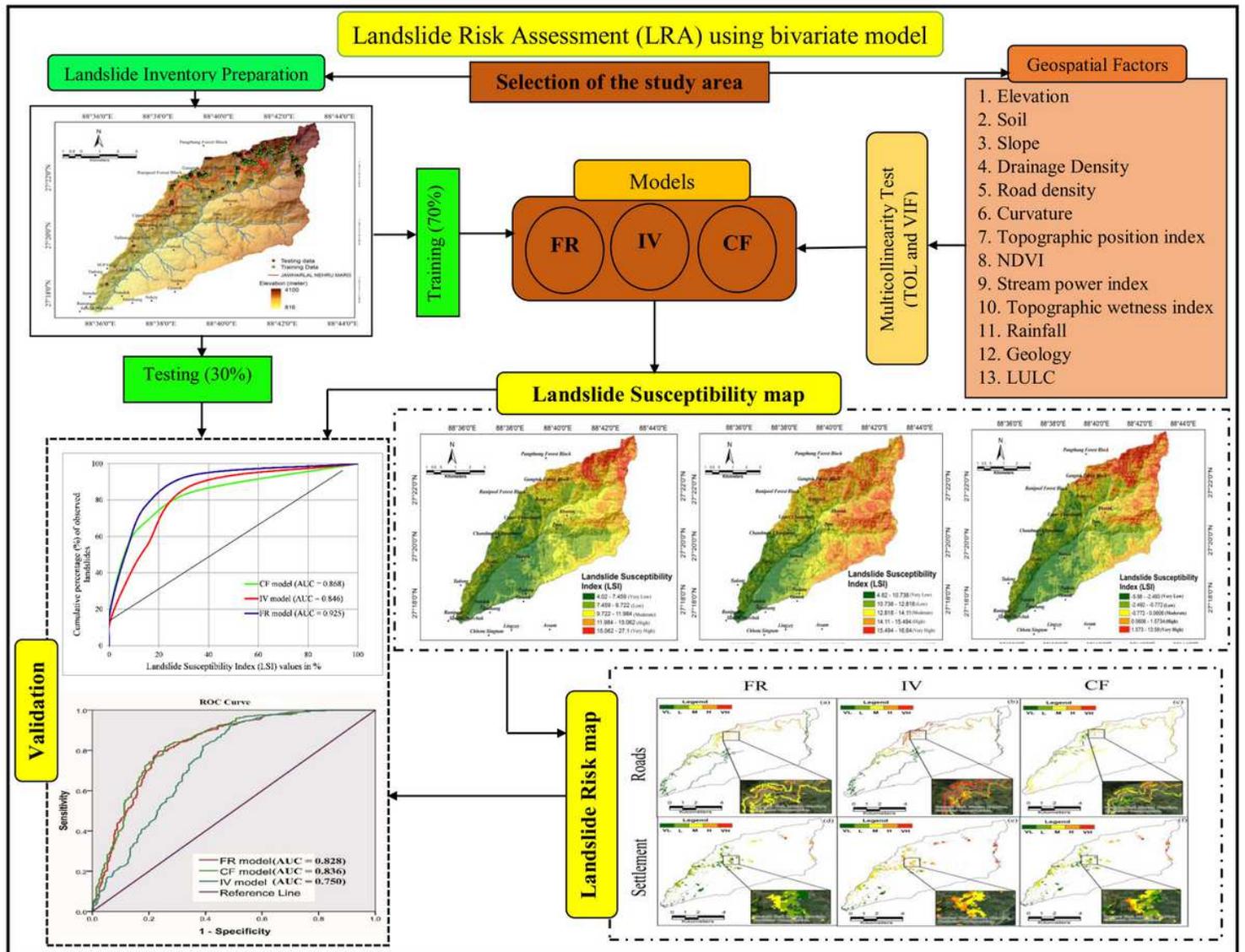


Figure 2

Methodological flow chart

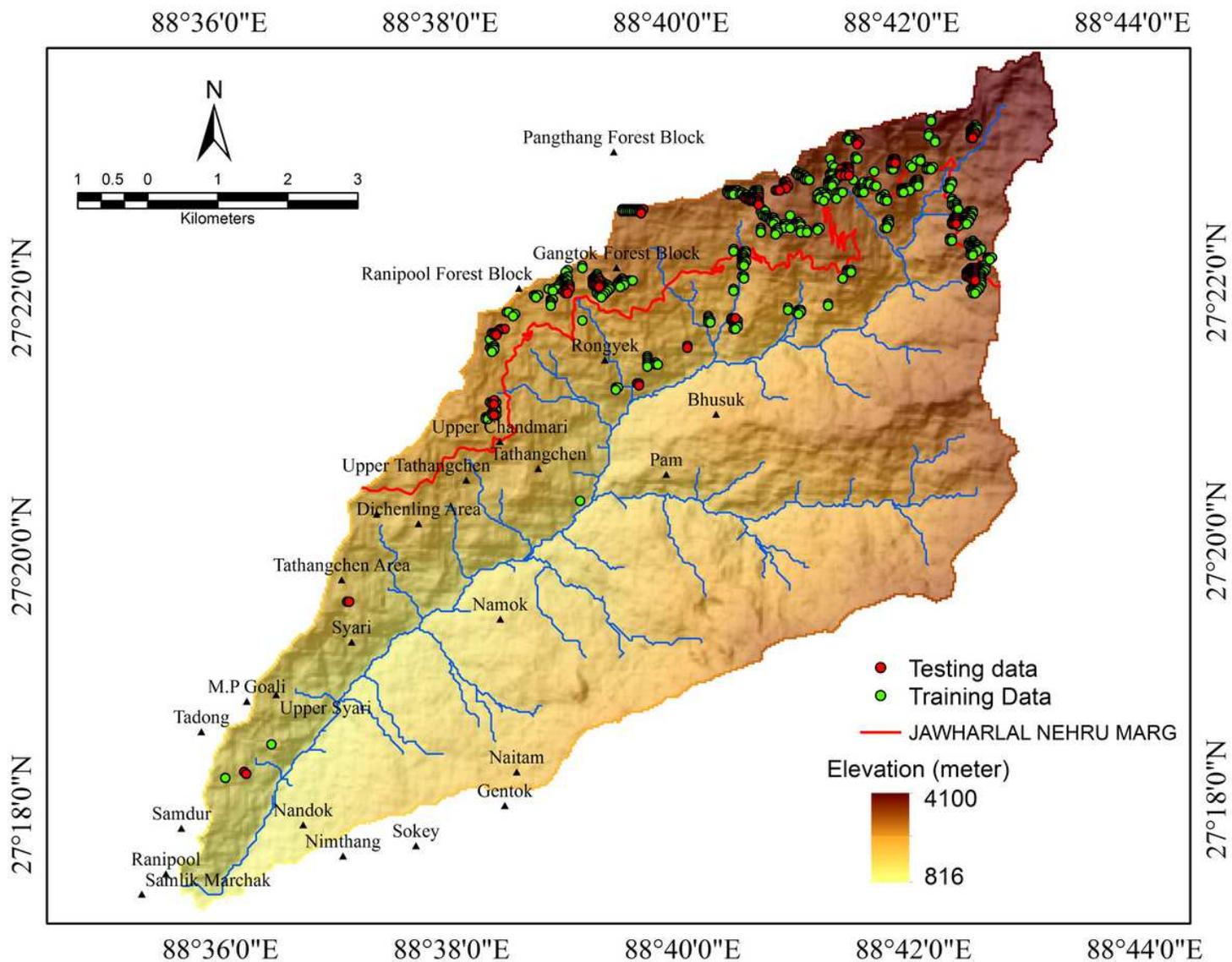


Figure 3

Landslide inventory map of Rorachu watershed

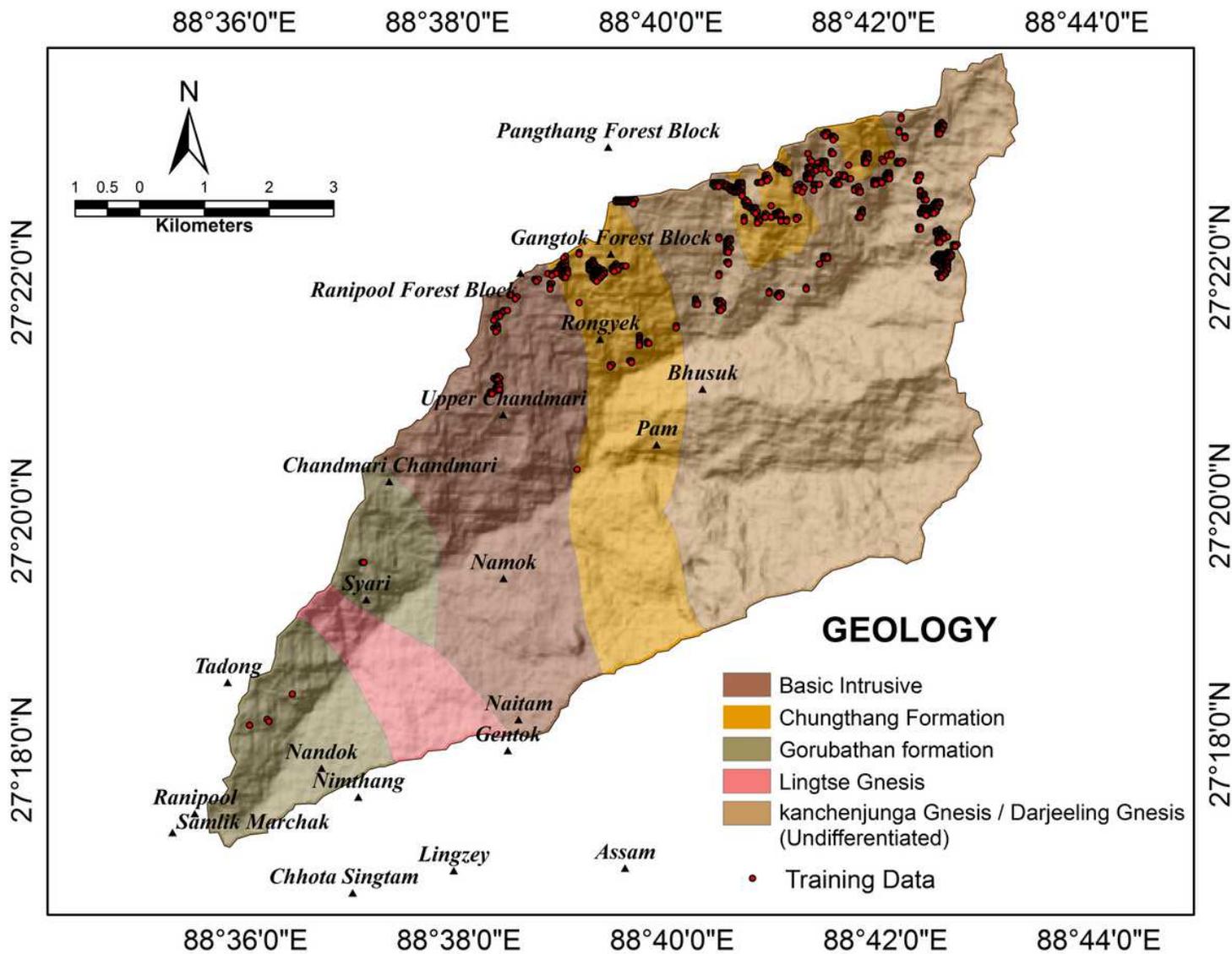


Figure 4

Geological map of the study area

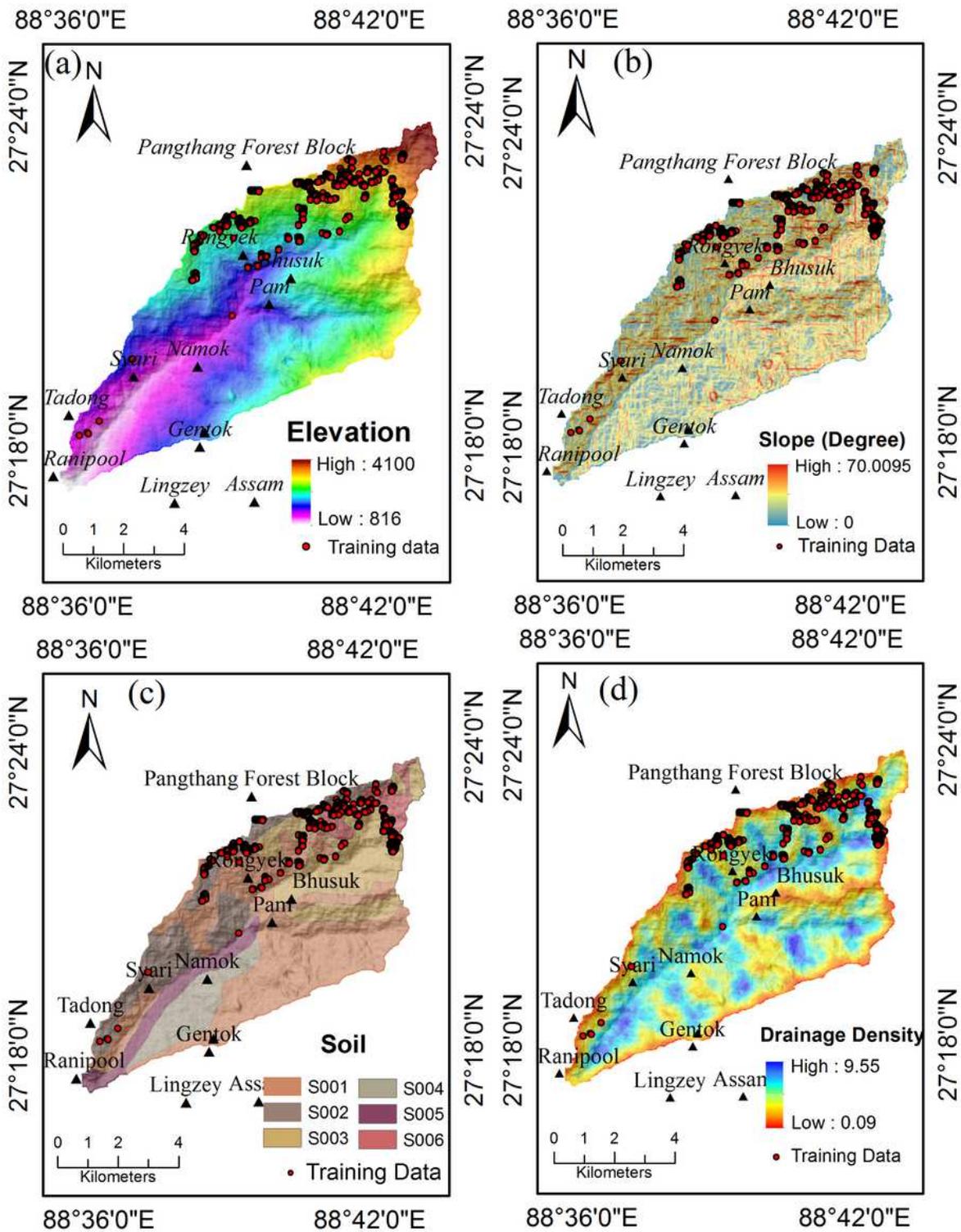


Figure 5

Landslide conditioning factors a. Elevation b. Slope c. Soil d. Drainage density

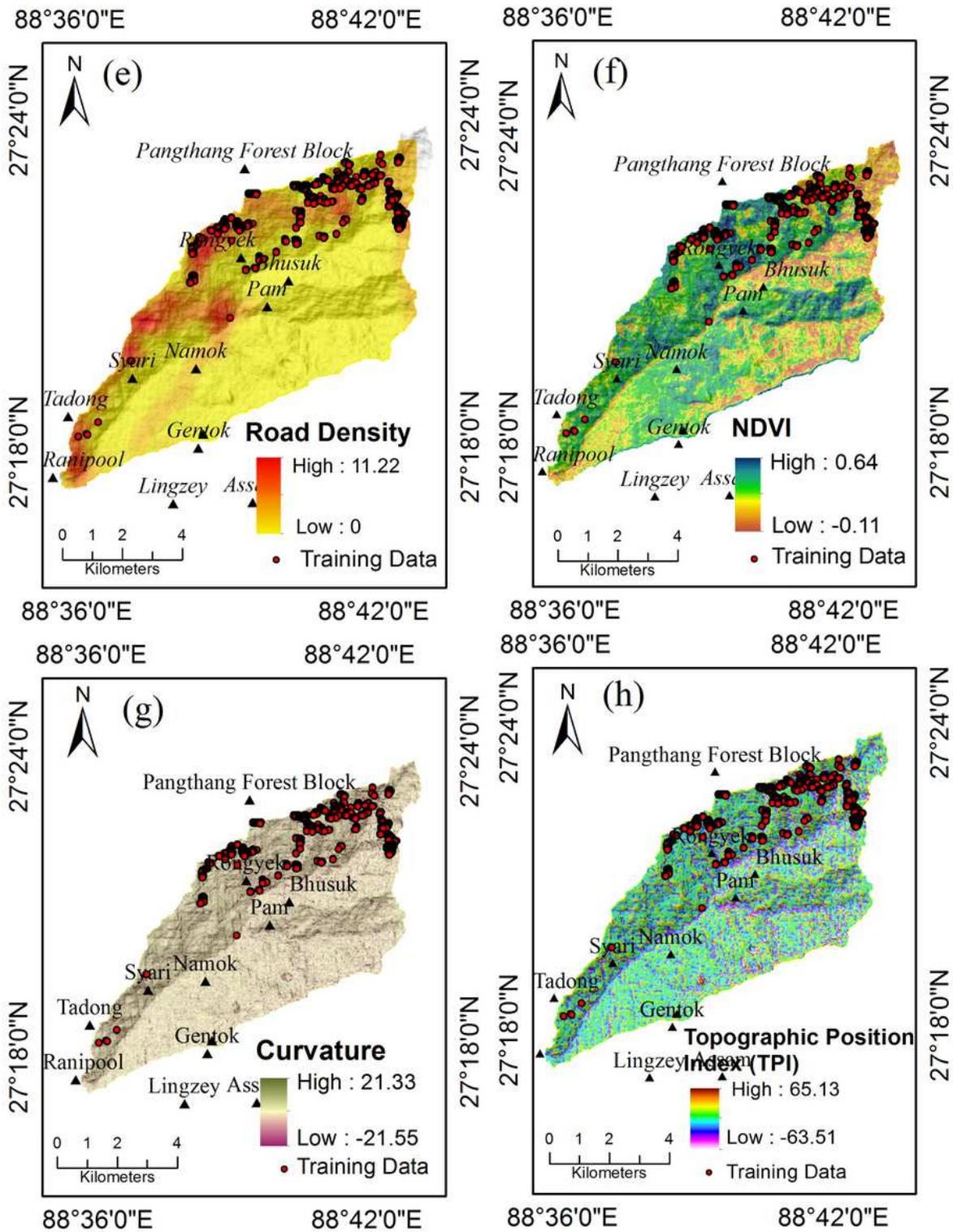


Figure 6

Landslide conditioning factors e. Road density f. NDVI g. Aspect h. Topographic position index (TPI)

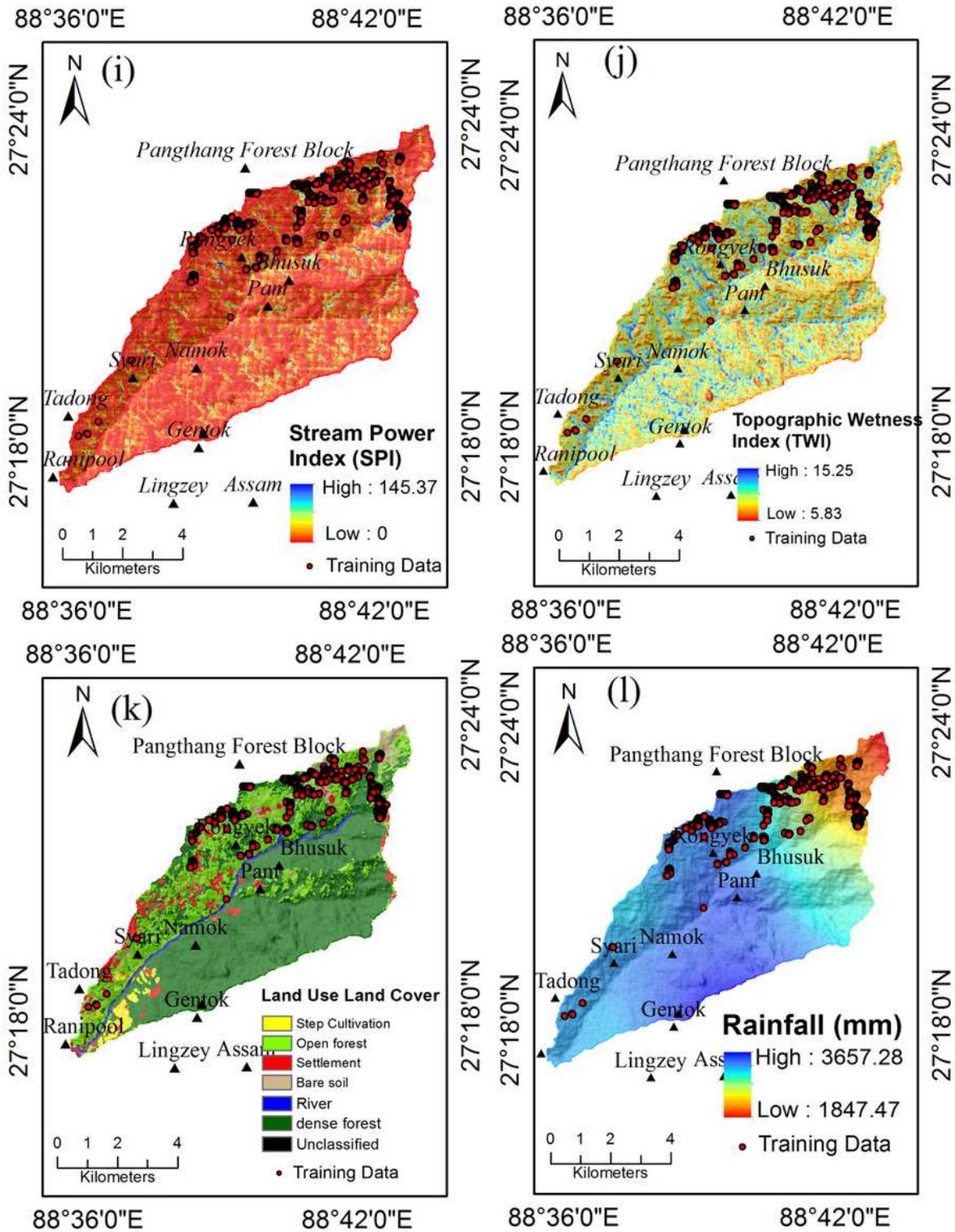


Figure 7

Landslide conditioning factors i. Stream power index (SPI) j. Topographic position index (TPI) k. Land use land cover (LULC) l. Rainfall

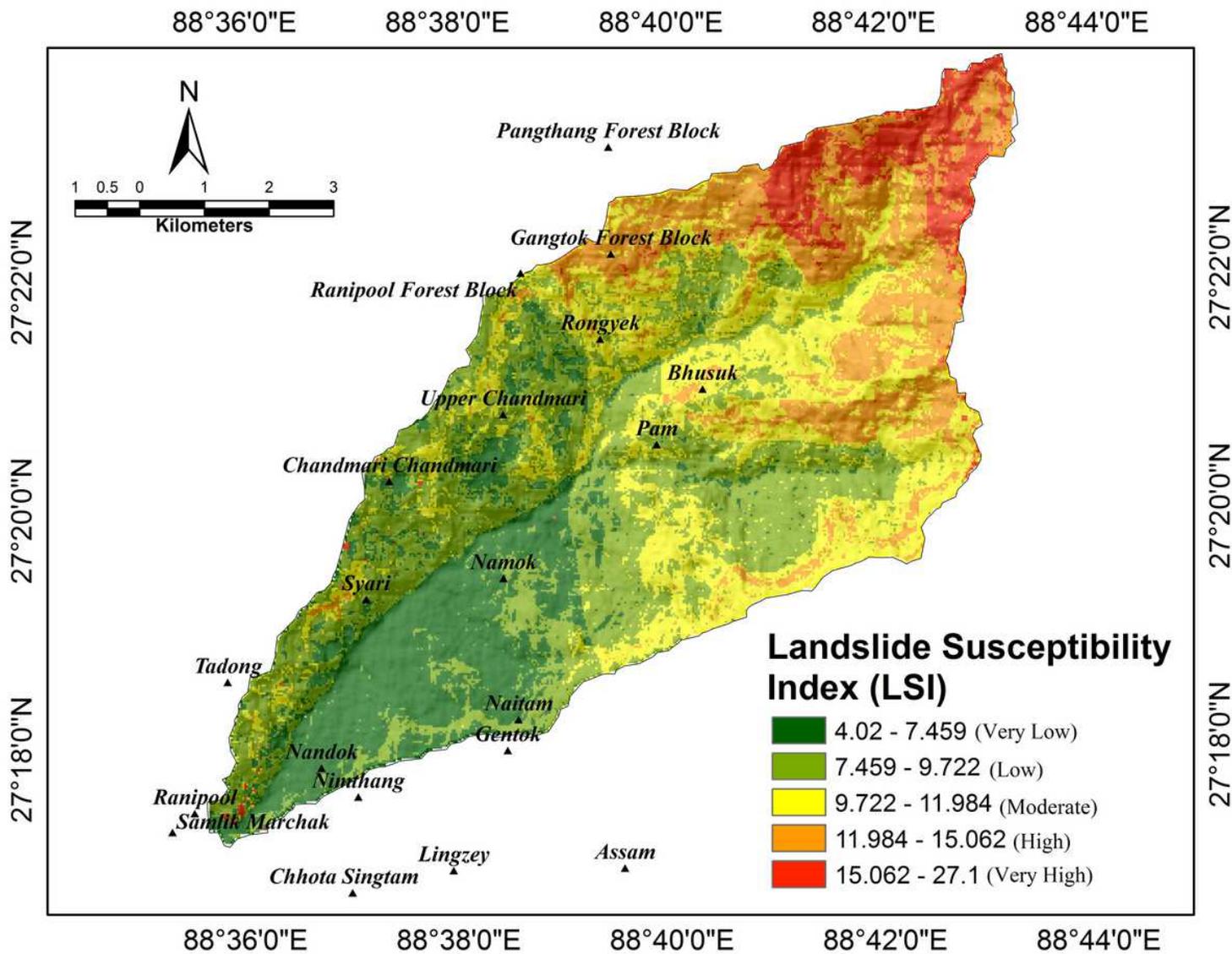


Figure 8

Landslide susceptibility map emanated by Frequency Ratio (FR) model

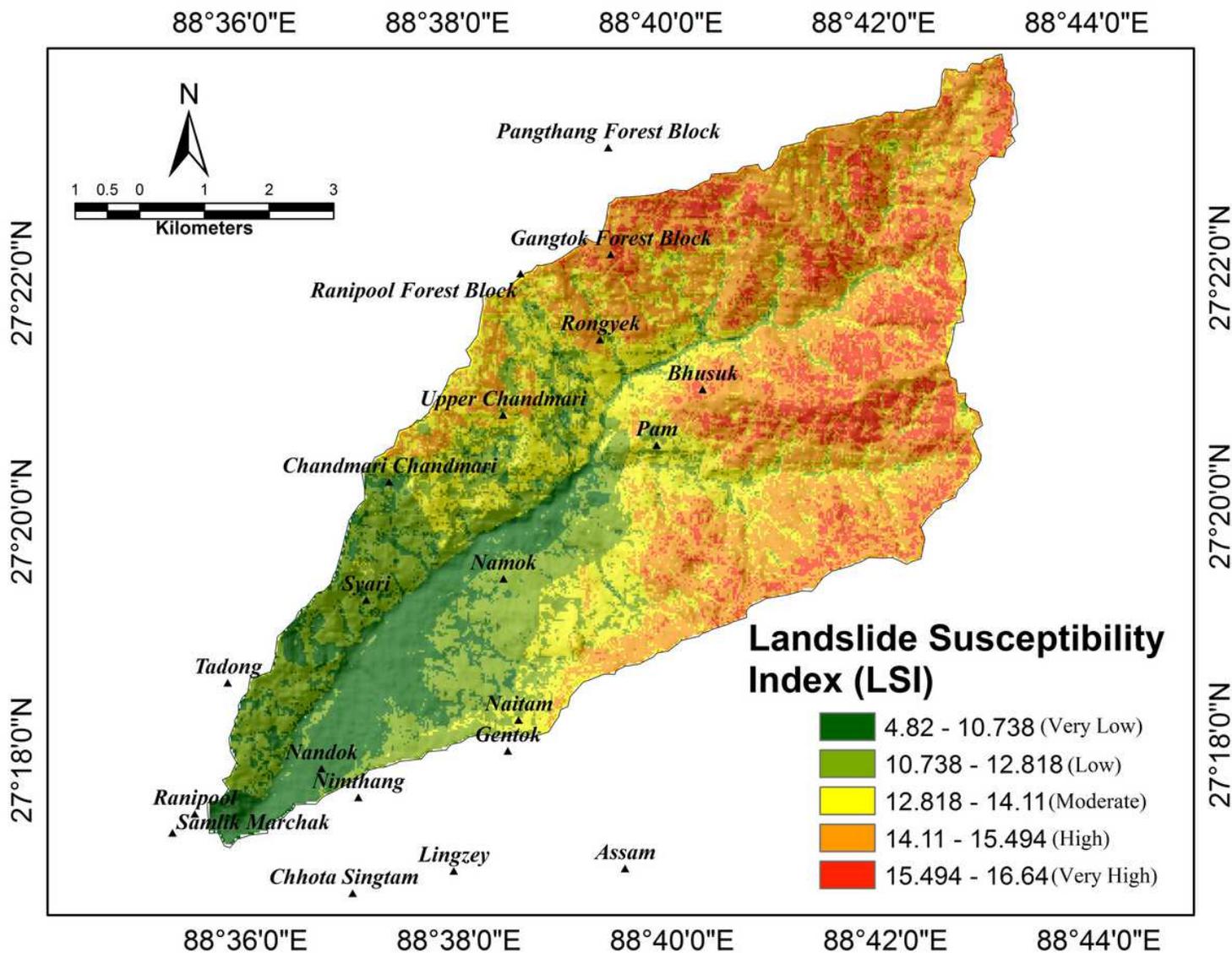


Figure 9

Landslide susceptibility map emanated by Information Value (IV) model

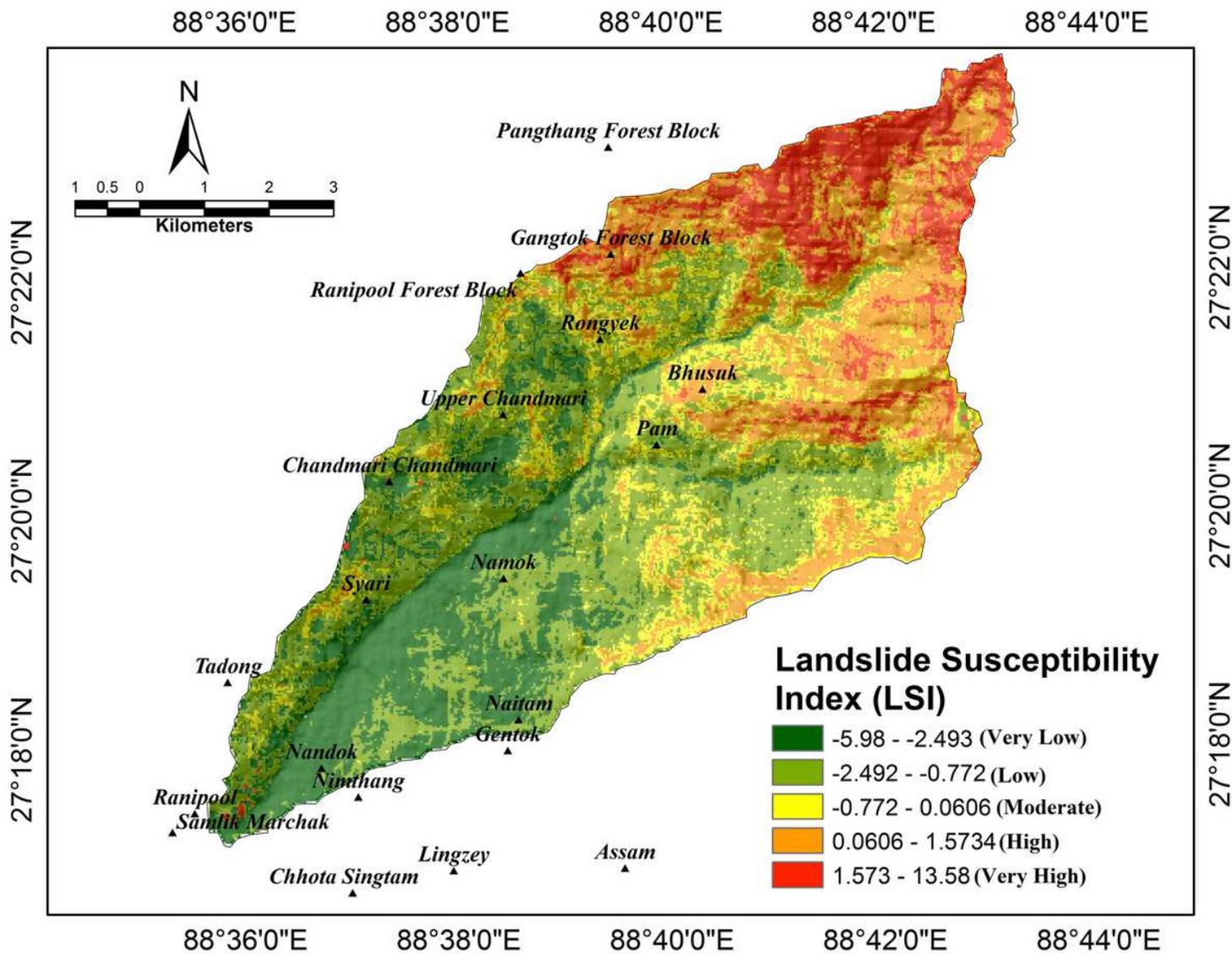


Figure 10

Landslide susceptibility map emanated by Certainty Factor (CF) model

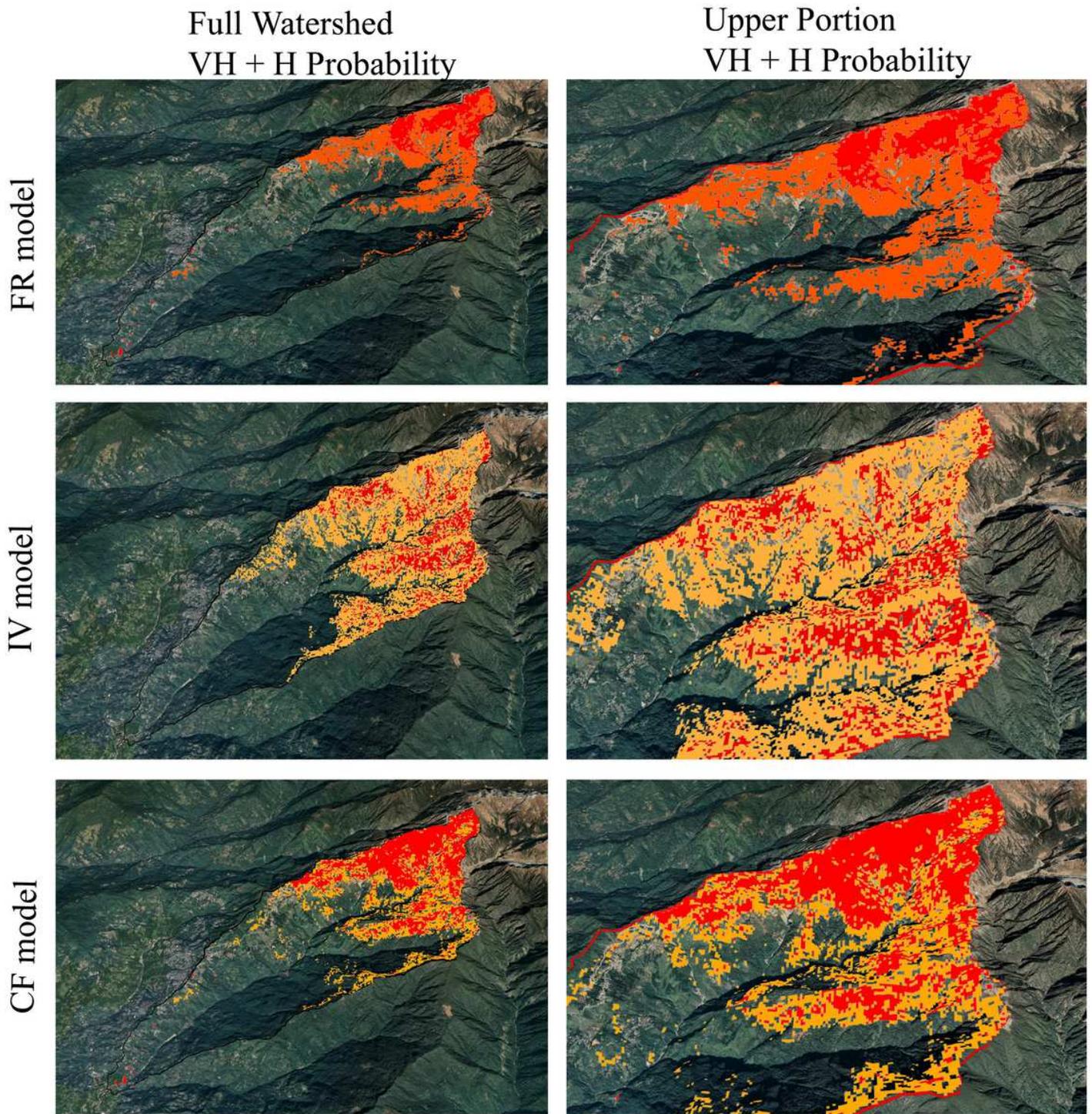


Figure 11

Google earth map showing the very high (VH) and high (H) landslide susceptibility of various models (FR, IV and CF).

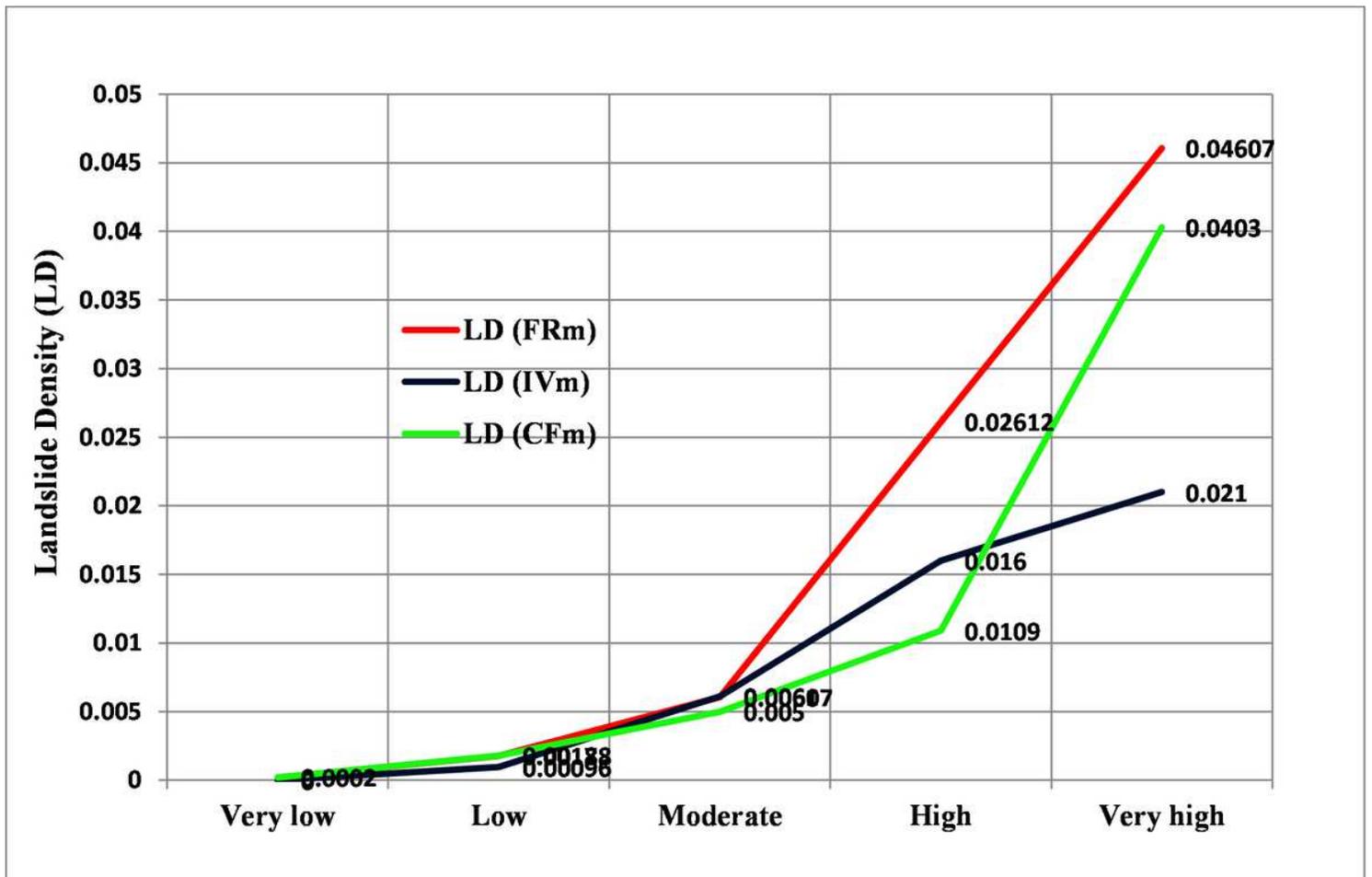


Figure 12

The landslide density (LD) has been showing the increasing trend to the highest vulnerable areas.

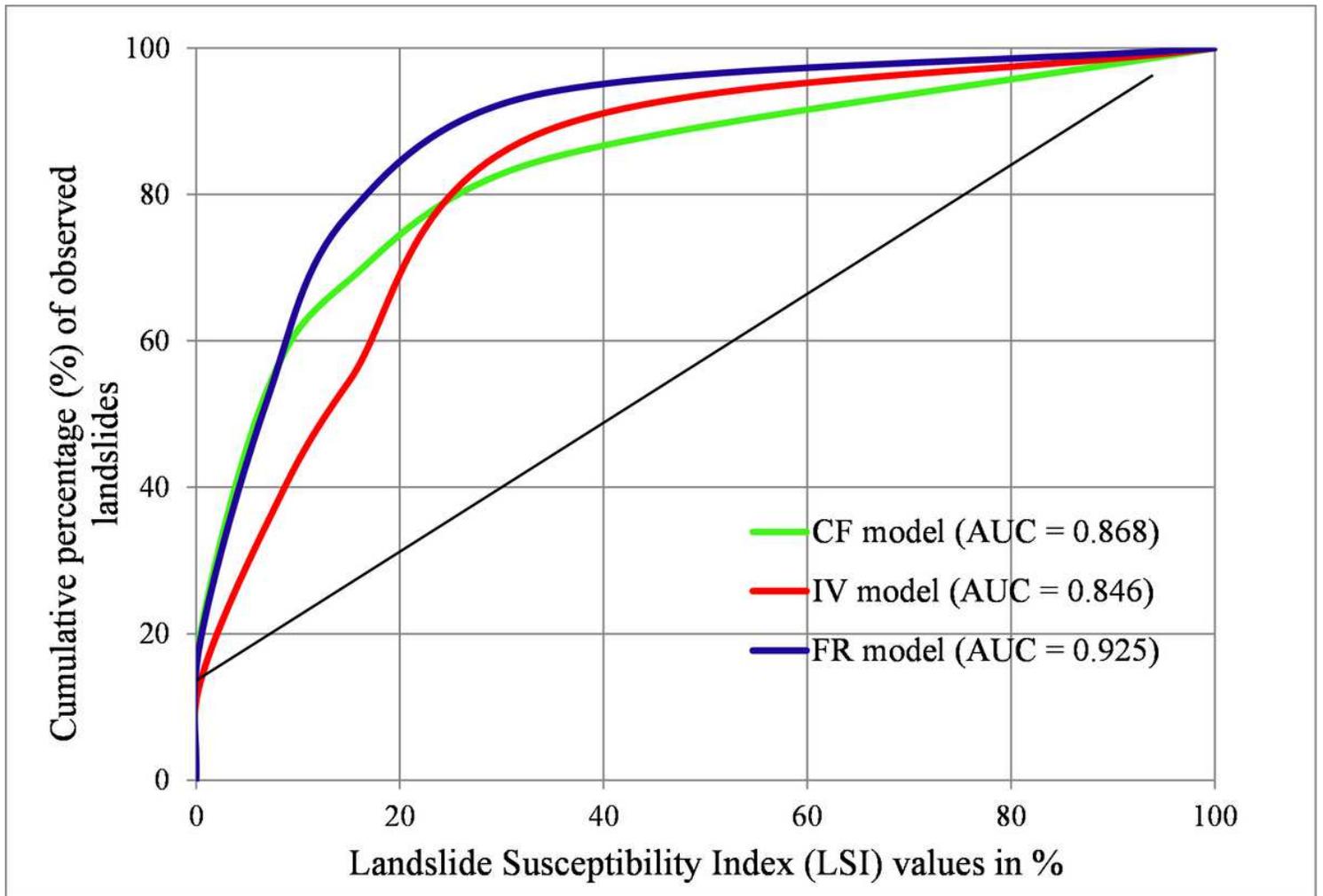


Figure 13

Success rate curve (SRC) for the three models (FR, IV and CF) in the Rorachu watershed

ROC Curve

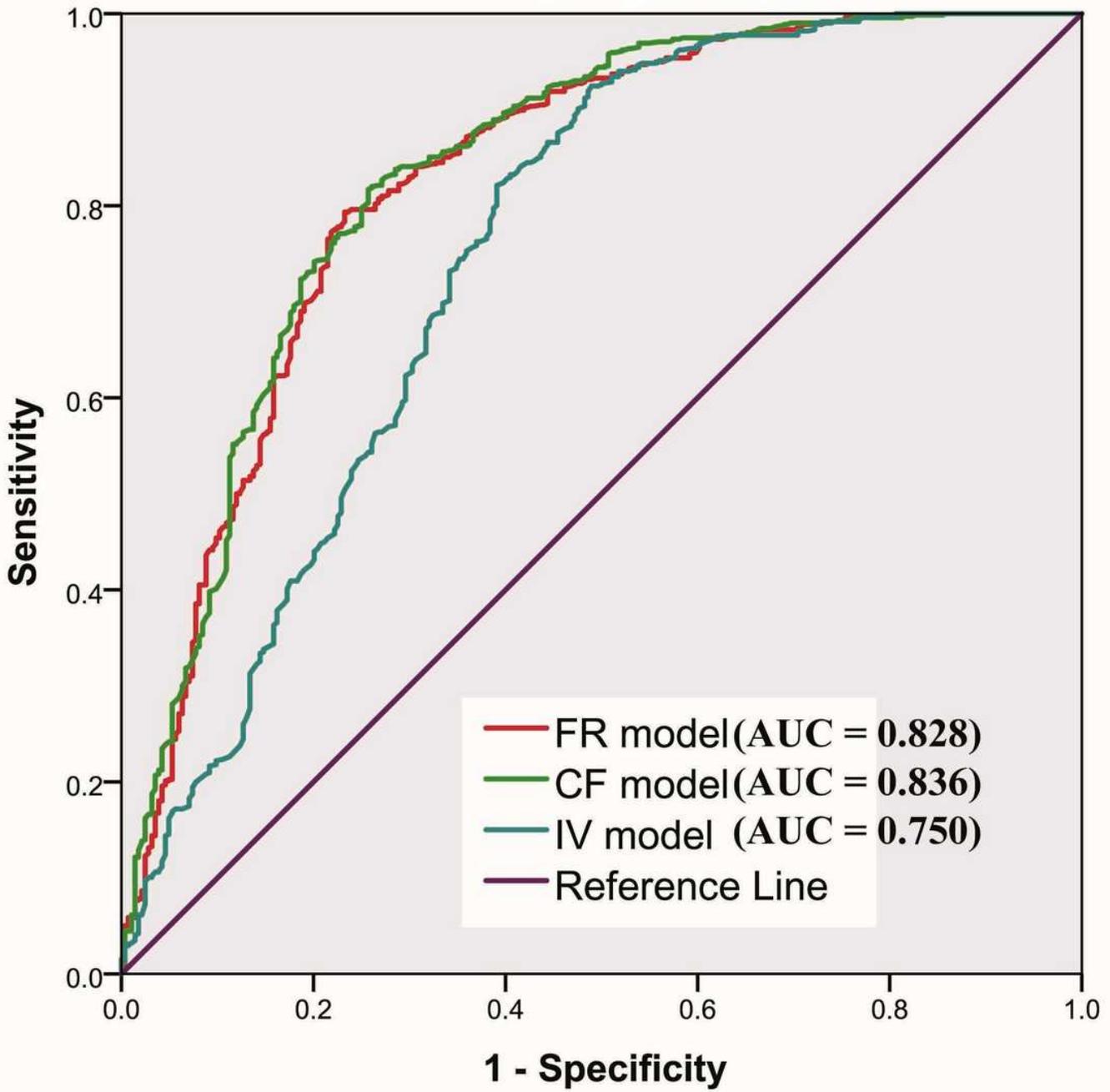


Figure 14

Receive operating characteristics (ROC) curve for the FR, IV and CF models.

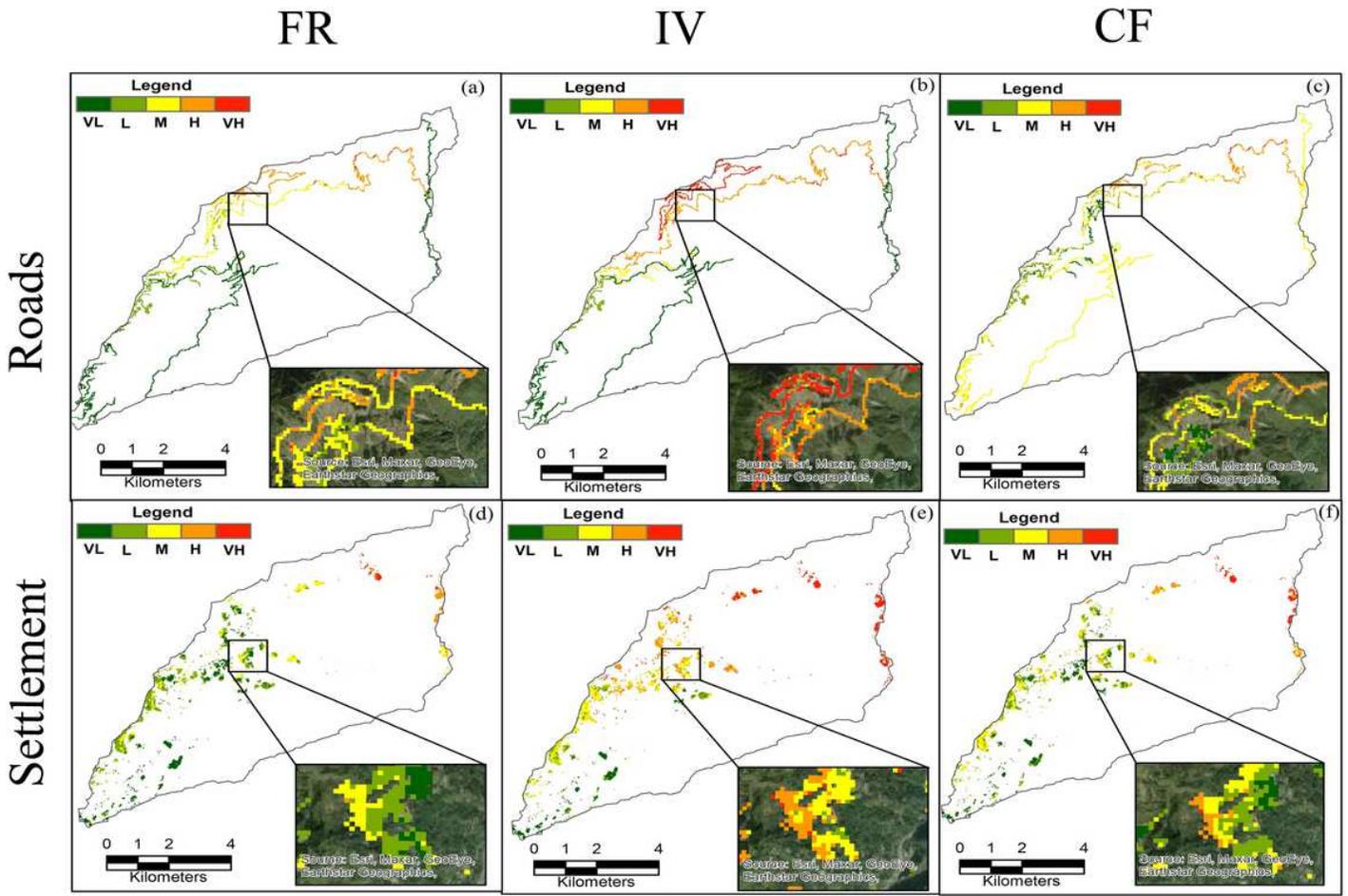


Figure 15

Landslide risk map of two variables (Settlement and Road) by the various models (a) Road risk map by Frequency Ratio (FR) model, (b) Road risk map by Information Value (IV) model, (c) Road risk map by Certainty Factor (CF) model, (d) Settlement risk map by Frequency Ratio (FR) model, (e) Settlement risk map by Information Value (IV) model and (f) Settlement risk map by Certainty Factor (CF) model

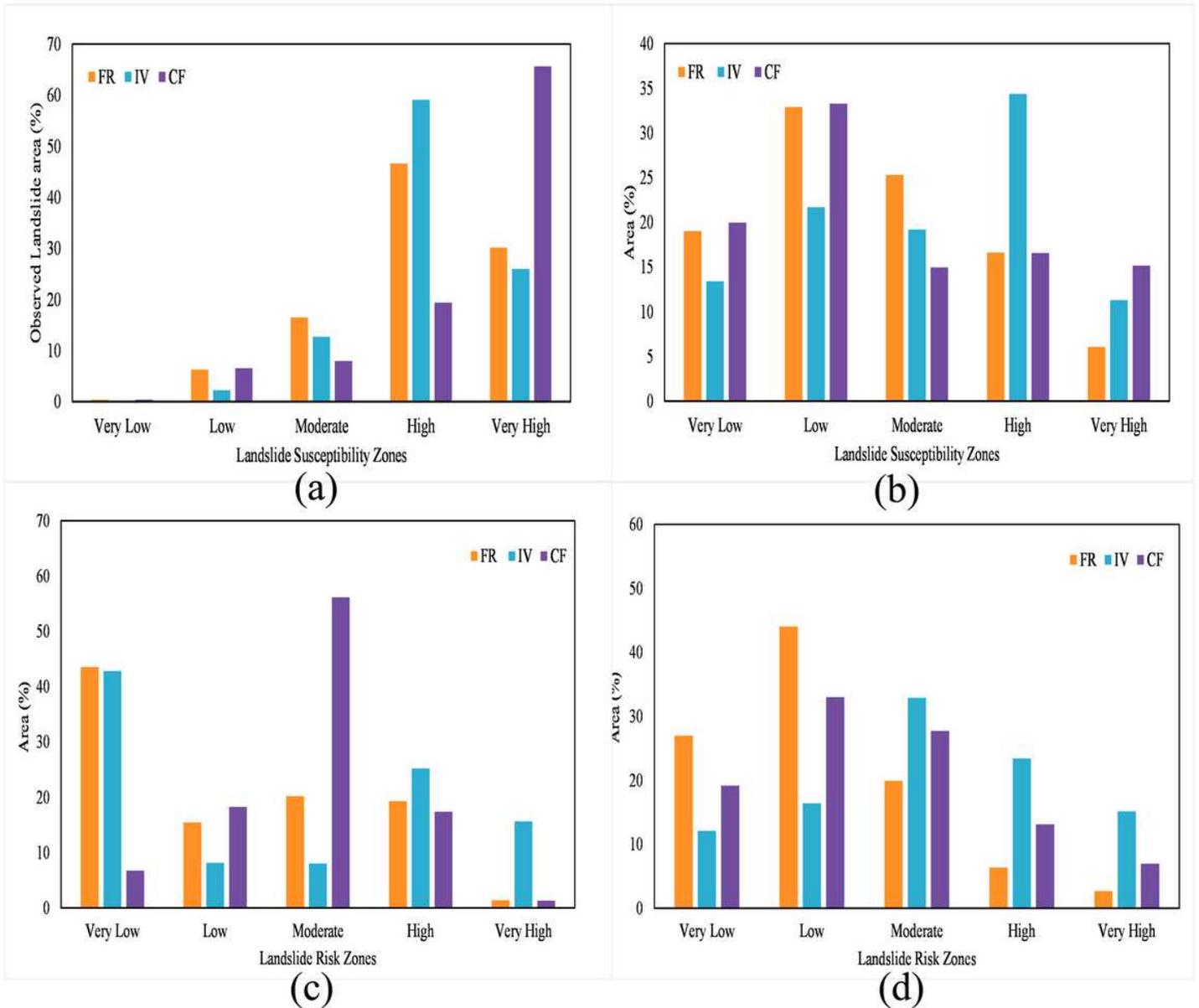


Figure 16

The comparative Bar graph revealing the areal distribution of numerous models (FR, IV and CF) a. observed landslide area situated in various landslide susceptibility zones b. Areal distribution of landslide susceptibility zones c. Areal distribution of Roads in various landslide risk zones (LRZ) d. Areal distribution of Settlement in various landslide risk zones (LRZ).

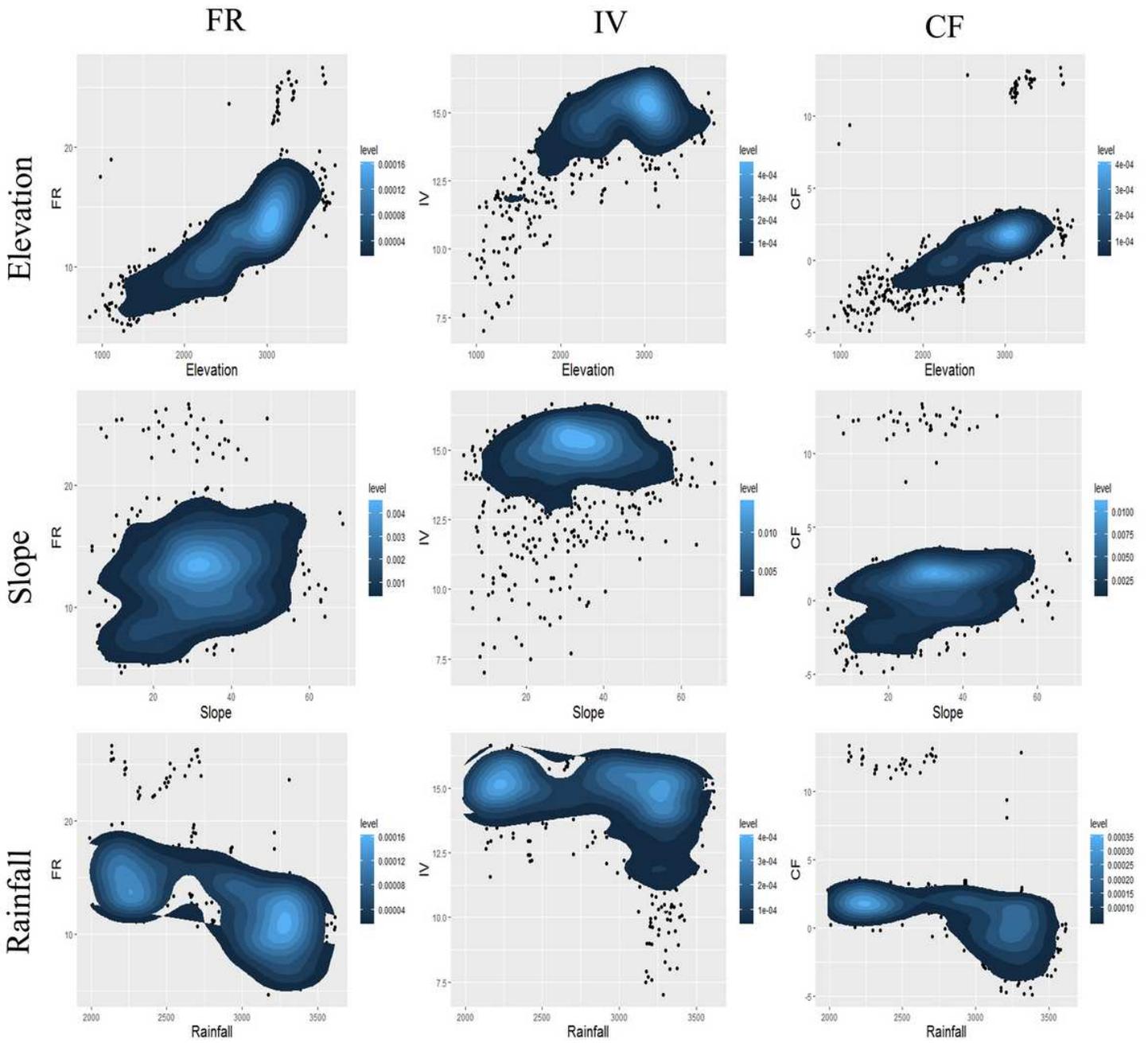


Figure 17

The probability of landslide vulnerability in various ranges (Elevation, Slope and Rainfall).