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Electronic structure, Mechanical and Thermodynamic properties of CoYSb (Y= Cr, Mo, W) half-Heusler compounds as potential spintronic materials

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Abstract

We used Density Functional Theory (DFT) calculations to investigate the structural, electronic, magnetic, mechanical and thermodynamic properties of CoYSb (Y = Cr, Mo and W) compounds. These are XYZ type half-Heusler alloys, which also exist in the face centred cubic MgAgAs-type structure and conform to $F\bar{4}3m$ space group. We computed these properties in three different atomic arrangements known as Type-I, Type-II and Type-III phases. In all these phases, the alloys were found to be in the ferromagnetic state. Furthermore, the calculated electronic band structure and the total electronic density of states indicated a metallic behaviour in CoWSb, nearly half-metallic in CoMoSb and half-metallic in CoCrSb, with a minority-spin band gap of 0.81 eV. Furthermore, the calculated mechanical properties predicted an anisotropic behaviour of these alloys in their stable phase. Finally, due to its high Debye temperature value, CoCrSb shows stronger covalent bonding than CoMoSb and CoWSb, respectively.

Keywords: nearly half-metal, Spin-polarization, Poisson's ratio, thermodynamic properties, Electronic band Structure

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1. Introduction

Ternary half-Heusler (HH) compounds involving Co-atom have recently attracted attention due to their high curie temperature and structural similarity with binary semiconductors with zinc-blende (ZB) structure that makes them potential candidates in optoelectronic and spintronic applications such as quantum sensors, resistors and computers devices[1]-[4], topological insulators [5]-[6], and thermoelectric devices [7], [14]. The wide range of usage of HH in applications is due to its excellent electrical, mechanical and electronic properties as well as thermal stability.

The crystal structure, $C1_b$, of any HH alloy is similar to the structure, $L2_1$, of a full-Heusler alloy (X_2YZ) but missing one X atom. The absence of inversion symmetry due to an empty X site and the low coordination number of the d-band metals in the HH alloys are believed to be essential for these materials novel electronic and magnetic properties. Some research groups have reported three possible distinct atomic arrangements, called Type-I, Type-II, and Type-III phases, due to this missing X atom in the HH alloy [1], [8]-[18].

Using Density Functional Theory, some Co-based HH compounds have been predicted to be semiconductor, metallic and half-metallic depending on the valence electron count (VEC) of the alloys[7], [23]-[18]. Nanda and Dasgupta [13] reported CoMoSb to be metallic from the series of HH compounds XMZ ($X=Fe, Co, Ni$; $M=Ti, V, Nb, Zr, Cr, Mo, Mn$ and $Z=Sb, Sn$) they investigated. Zhong-Yu *et al.* [16] studied the structural and electronic properties of CoCrZ ($Z= Sb$ and Te) using the full-potential linearized augmented plane wave(FP-LAPW) method. They showed that CoCrSb is half-metallic and has a spin-minority gap.

In this paper, we have investigated the structural,electronic, magnetic, mechanical and thermodynamics properties of a series of half-Heusler compounds, CoYSb ($Y=Cr, Mo, W$). We identify the most stable phases and investigate the impact of the lattice parameter on the magnetic properties for each stable phase of HH CoYSb ($Y=Cr, Mo, W$). To shed some light on the metallic behaviour, electronic transport, mechanical stability and strength of chemical bonding between their atoms, we have computed and analyzed the electronic spin bands and spin density of states (DOS), the response to shear deformation and unidirectional compression and the Debye temperature

36 2. computational details

37 Our calculations were performed using the Quantum Espresso *Ab-Initio*
38 simulation package [24]-[26]. The generalized gradient approximation (GGA)
39 within the Perdew-Burke-Ernzerhof (PBE) formulation [27] was used to treat
40 electronic exchange and correlation effects. The plane wave energy cutoff
41 was set to 680 eV and a k-point mesh of the Monkhorst-Pack type[30] and
42 with $14 \times 14 \times 14$ grid was used to sample the irreducible Brillouin zone. For
43 electronic properties calculations (band structure and DOS), a denser mesh
44 grid $20 \times 20 \times 20$ was used. The Plane-waves pseudopotential (PWPP) basis
45 functions set consists of the $3d^7 4s^2$, $3d^5 4s^1$, $4d^5 5s^1$, $5d^7 6s^2$ and $5s^2 5p^3$
46 for Co, Cr, Mo, W and Sb, respectively. The Thermo_pw code [28], [29] was
47 used to calculate the mechanical and thermodynamic properties.

48 3. Results

49 3.1. Structural Properties

50 We started by calculating the equilibrium lattice constant of the HH com-
51 pound CoYSb (Y, Cr, Mo, W) considering the three possible site arrangement
52 of X and Y atoms shown in fig 1.

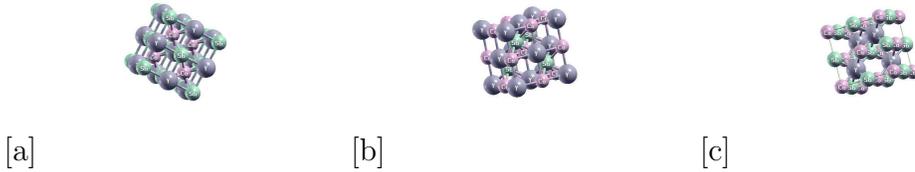
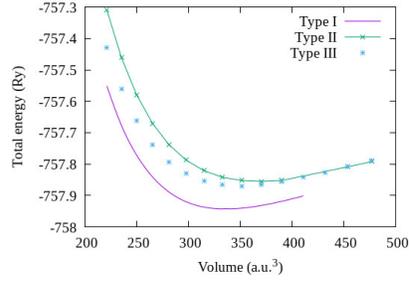


Figure 1: The optimized crystal structure of CoYSb (Y=Cr, Mo and W) for (a) Type-I (b) Type-II and (c) Type-III. In Table 1, the Wyckoff [19] positions of atoms and vacancies are given. The alloys have been studied in Type-I, Type-II and Type-III phases which conform to $F\bar{4}3m$ space group.

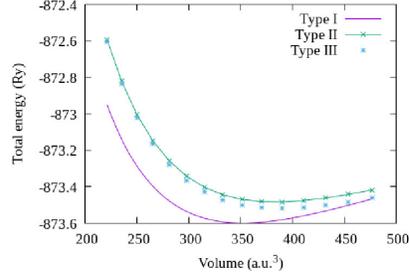
53 Fig. 2 shows the energy–volume curves of the considered systems plotted
54 by fitting to Murnaghan equation of states [20]. From there, the equilibrium
55 lattice constant (a_o), bulk modulus (B), the minimum energy (E_{min}) and pres-
56 sure derivative (B') were derived and are reported in Table 2. The minimum
57 energies obtained from the fitted energy–volume curves of CoYSb (Y = Cr,
58 Mo, W) alloys show that the Type-I phase is the most stable structural phase

Table 1: The Wyckoff positions of the three atoms, X, Y, and Z: 4a = (0, 0, 0) a, 4b = (0.5, 0.5, 0.5) a and 4c = (0.25, 0.25, 0.25) a, with the 4d site vacant

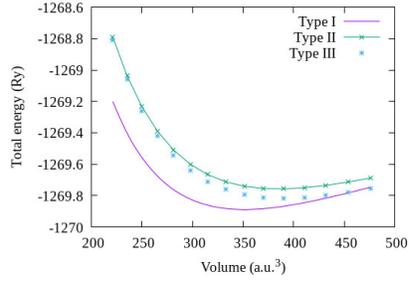
Structural phase	X	Y	Z
Type I	4c	4b	4a
Type II	4b	4a	4c
Type III	4a	4c	4b



[a]



[b]



[c]

Figure 2: Calculated total energy as a function of volume in ferromagnetic state for the three possible structural phases (a) CoCrSb (b) CoMoSb and (c) CoWSb respectively.

59 (Fig.1 and Table 2). Also, the lattice constants are smaller in this phase and
60 bulk moduli more significant than in other structural phases. However, large
61 pressure derivatives of bulk moduli are observed in all structural phases,
62 which indicates that these alloys display strong sensitivity against pressure
63 change. Henceforth, all other results were obtained based on the stable state
64 Type-I CoYSb phase apart from the magnetic properties.

Table 2: The optimized lattice constants, a_o (Å), equilibrium energies, E_{min} (Ry), bulk modulus, B (GPa) and pressure derivative for the bulk modulus, B' for CoYSb (Y= Cr, Mo, W) for the three possible structural phases.

Alloys	Calculations	Structural phase	a_o (Å)	B (GPa)	B'	E_{min} (Ry)
CoCrSb	This work	Type I	5.848	121.4	4.66	-757.944
			5.79 ^a			
			5.820 ^b	135.4 ^b		
	other calculations	Type II	5.800 ^c			
			6.031	97.6	4.33	-757.856
			5.935	98.6	4.71	-757.869
CoMoSb	other calculations	Type III	5.935 ^d			
		Type I	5.937	152.2	4.62	-873.598
		Type II	6.134	124.1	4.13	-873.484
		Type III	6.140	131.6	3.94	-873.520
CoWSb		Type I	5.939	164.9	4.32	-1269.888
		Type II	6.133	138.7	4.01	-1269.758
		Type III	6.145	148.2	3.81	-1269.819

a Ref.[14]

b Ref.[15]

c Ref.[16]

d Ref.[13]

65 3.2. Magnetic Properties

66 The calculated total and partial magnetic moments for all phases are
67 listed in Table 3. It is seen that for CoCrSb, irrespective of the structural
68 phase, the major contribution to the total magnetic moment comes from
69 the Y (Cr) atom. Whereas, for the other two materials, i.e. CoYSb (Y =
70 Mo and W), it is only in the Type-I phase that the major contributors to

71 the magnetic moment come from the Y atom. As shown in Table 3, their
 72 primary contributions come from Co-atom for the structural phases Type-II
 73 and Type-III phases. This discrepancy is attributed to the higher lattice
 74 parameters as well as unstable phases in the atomic positions for Type-II
 75 and Type-III used in the calculations. However, irrespective of the struc-
 76 tural phase, the total magnetic moments for CoYSb (Y = Cr, Mo and W)
 77 are greater than 1, which indicates that these materials have ferromagnetic
 78 properties.

79 Many half-Heusler alloys follow the Slater-Pauling (SP) rule $M_t = Z_t - 18$
 80 [31], [32] where Z_t is the total number of valence electrons and 18 means that
 81 there are 9 electrons occupied spin-down states per unit cell. CoMoSb and
 82 CoWSb, just like CoCrSb alloy, have 20 valence electrons, indicating that the
 83 total magnetic moment M_t should be $2 \mu_B$. For our calculated values, this is
 84 in accord only with Type-I alloys. Although, the magnetic moment increase
 85 by $0.1 \mu_B$ and $0.49 \mu_B$ for CoCrSb and CoWSb respectively. Whereas it is
 86 reduced by $0.21 \mu_B$ for CoMoSb alloy. This slight discrepancy is attributed
 87 to the position at the Fermi level. For CoCrSb and CoWSb, the pseudogap
 88 is slightly below the Fermi level, while for CoMoSb, the pseudogap is slightly
 89 higher than the Fermi level. These results are compared with the work of
 90 Galanakis and Dederichs [32] where Rh_2MnIn and Rh_2MnTl also show similar
 91 trends. In general, our calculated values for the magnetic moments are in
 92 good agreement with other calculated values available for Type-I CoCrSb
 93 and CoMoSb [16], [13] with a minimal deviation of less than 1 %.

94 3.3. *Electronic band structure*

95 We performed the spin-polarized energy band structure calculations for
 96 structural phase Type-I in CoYSb (Y= Cr, Mo, W). This was carried out us-
 97 ing the calculated equilibrium lattice constants as well as the high symmetry
 98 directions in the first Brillouin zone, as shown in Figs. 3 and 4. In both Figs.
 99 3 and 4, the minority-spin (down) states lie within the semiconductor region
 100 and the majority (up) states in the metallic region. In Figs. 3a and 4a,
 101 the majority-spin channels energy bands exhibit metallic properties where
 102 orbitals overlap from the valence band to the conduction bands. Whereas,
 103 in the minority-spin, there is a gap separating the valence band from the
 104 conduction bands, as shown in Figs 3b and 4b. These gaps in the minority
 105 spin revealed the Half-metallic nature in CoCrSb and CoMoSb Half-Heusler
 106 alloys. For CoCrSb alloy, the valence band maximum (VBM) occurs at the
 107 Γ -point and the conduction band minimum (CBM) is located at the X-point,

Table 3: The calculated spin magnetic moments in μ_B for CoYSb (Y=Cr, Mo, W) compounds for the three possible structural phases comparing with available data.

$m^{spin}(\mu_B)$	Calculations	Structural phase	Co	Y	Sb	Void	Total
CoCrSb	This work	Type I	-0.4473	2.3766	-0.0573	0.138	2.01
		Type II	-0.4917	3.0659	-0.0625	0.328	2.84
	other calculations	Type III	1.1219	1.8089	-0.0177	0.01169	3.03
CoMoSb		Type I	0.6685	0.9017	-0.0148	0.2346	1.79
		Type II	1.0329	0.4100	0.0136	0.0435	1.20
		Type III	0.9274	0.0711	0.0297	0.0082	1.02
	other calculations		0.650 ^b	1.111 ^b	-0.037 ^b		1.82 ^b
CoWSb		Type I	1.0274	1.2957	-0.0285	0.1955	2.49
		Type II	0.8804	0.1896	-0.0178	0.0078	1.06
		Type III	1.6376	0.4698	0.0133	0.0293	2.15

a Ref.[16]

b Ref.[13]

108 resulting in an energy band gap of 0.81 eV for this alloy. This band gap is in
109 good agreement with the previously calculated value of 0.77 eV by Zhong-Yu
110 *et al.*[16]. Meanwhile, for CoMoSb, minority-spin gaps become broader, and
111 the Fermi level is pushed closer to the conduction bands of the minority-spin
112 electrons. Here, the VBM is located at the L-point, and the CBM is at the
113 X-point, which leads to an energy band gap of 0.32 eV, as presented in Fig.
114 4b. This also indicates that CoMoSb is half-metallic since the alloy behaves
115 like metal in the majority spin and shows semiconducting properties in the
116 minority spin. We did not report on the metallic nature in CoWSb alloy be-
117 cause, at both majority and minority spin channels, the material has metallic
118 properties.

119 To further confirm the possibility of the half-metallicity of the CoYSb
120 (Y = Cr, Mo, W) compounds, we calculated the total spin density of state
121 (TDOS) and spin polarization percentage for CoCrSb, CoMoSb and CoWSb,
122 respectively. As a result, all these mentioned alloys show various degrees of
123 half-metallic behaviours based on the spin-polarized calculation. The half-
124 metallicity decreases from 100 % for CoCrSb to 33 % for CoWSb and further
125 confirm half-metallicity for CoCrSb, nearly half-metallic for CoMoSb, and
126 metallic for CoWSb as shown in table 4. The spin polarization (P) at the
127 Fermi energy (E_F) was calculated via the following expression:

Table 4: The calculated minority-spin band gap, half-metallic (HM) gap and % spin polarization (SP) of Type I CoYSb (Y= Cr, Mo, W).

Compound	Calculations	Band gap (eV)	HM gap (ev)	SP %
CoCrSb	This work	0.81	0.21	100
	others	0.77 ^a	0.22 ^a	
CoMoSb		0.32		72
	others			23 ^b
CoWSb				33

a Ref.[16]

b Ref.[13]

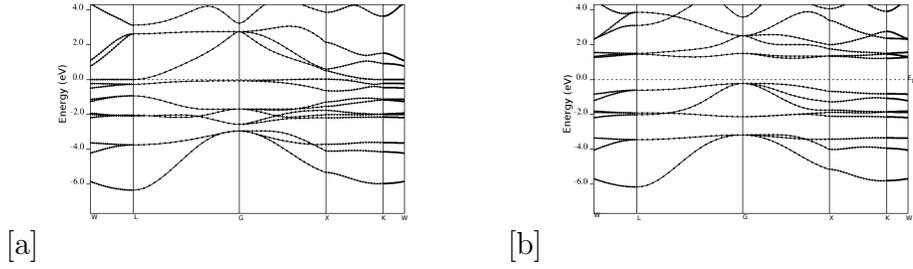


Figure 3: Band structures for CoCrSb (a) majority-spin and (b) minority-spin. The Fermi level is indicated by the dashed horizontal line.

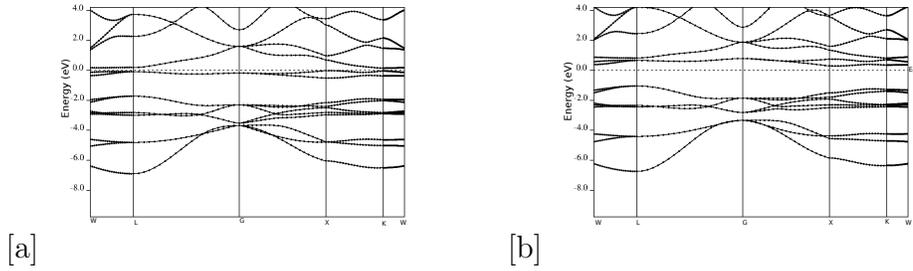


Figure 4: Band structures for CoMoSb (a) majority-spin and (b) minority-spin. The Fermi level is indicated by the dashed horizontal line.

$$P = \frac{n \uparrow (E_F) - n \downarrow (E_F)}{n \uparrow (E_F) + n \downarrow (E_F)} \times 100\% \quad (1)$$

128

Figure 5 displays the total DOS in which for the majority-spin (up spin)

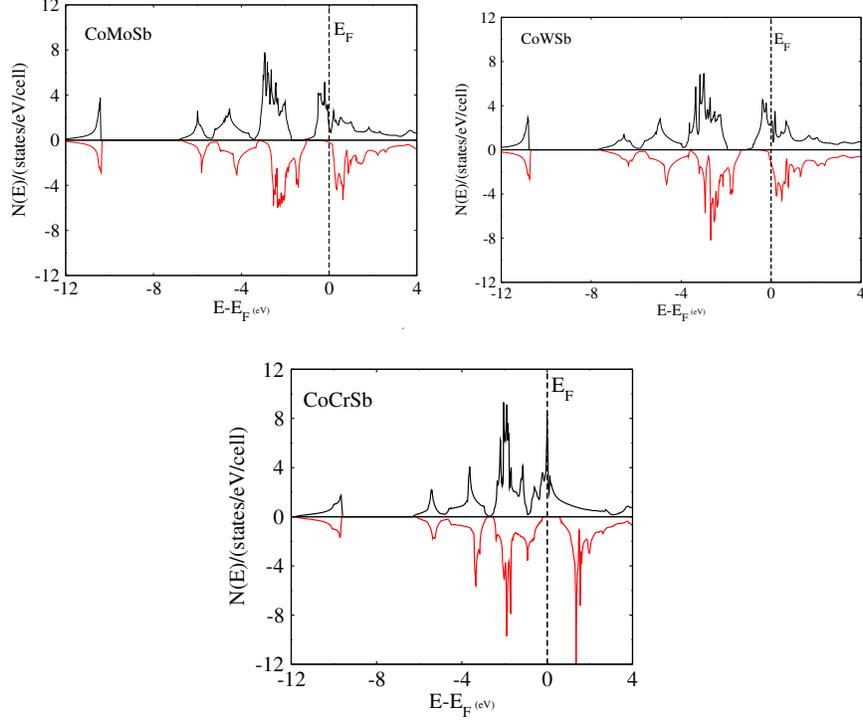


Figure 5: Calculated total spin density of states (DOS) of Type-I CoYSb alloy.

129 channel, the energy bands exhibit a metallic overlap with the E_F for all the
 130 alloys, whereas in the minority-spin (down spin) direction, an energy gap
 131 is opened and the E_F locates within the gap for CoCrSb, slightly close to
 132 the conduction band for CoMoSb and into the conduction band for CoWSb.
 133 Hence, CoCrSb is half-metallic (with spin polarized of 100%), CoMoSb is
 134 nearly half-metallic, and CoWSb is metallic, respectively.

135 3.4. Mechanical Properties

136 In this section, we discuss the mechanical properties and phase stability of
 137 the stable structure (Type-I), such as the elastic constants for a cubic struc-
 138 ture which are reduced into three independent elastic constants C_{11} , C_{12} , and
 139 C_{44} , respectively. The link between mechanical and dynamic behaviour of a
 140 material such as shear modulus (G), bulk modulus (B), and young modulus
 141 (E) is usually obtained through the stress-strain method [33]. We test the
 142 mechanical stability of these compounds based on the durability of the crystal
 143 against external forces, which is a desirable property to ensure its sustain-

144 ability in any application. The mechanical stability is evaluated according
 145 to the Born and Huang stability criteria for cubic structure [35].

$$C_{11}>0, C_{44}>0, C_{11} - C_{12}>0, \text{ and } C_{11} + 2C_{12}>0. \quad (2)$$

146 The calculated elastic constants values for the stable Type-I structures
 147 of CoYSb (Y=Cr, Mo, and W) satisfy the above stability criteria. Hence,
 148 these compounds are mechanically stable, as shown from our results in Table
 149 5. Furthermore, using the Voigt-Reuss-Hill approximation [36]-[37] the shear
 150 modulus (G), bulk modulus (B), Poisson's ratio (ν), and Young modulus (E)
 151 were calculated by using the following equations:

$$G = \frac{C_{11} + 2C_{12}}{3} \quad (3)$$

$$B = \frac{C_{11} - C_{12} + 3C_{44}}{5} \quad (4)$$

$$\nu = \frac{3B - 2G}{2(3B + G)} \quad (5)$$

$$E = \frac{9BG}{3B + G} \quad (6)$$

152 The shear anisotropy (A), The Pugh's [34] ratio and the inverse which is
 153 Frantsevich's ratio given by the expression

$$A = \frac{2C_{44}}{C_{11} - C_{12}} \quad (7)$$

154 The bulk (B) and shear (G) are important in alloy applications due to
 155 the empirical rule that materials with high B and G tend to have a high
 156 melting point and high Debye temperature. Generally, B and G show how
 157 resistive alloys are when subjected to fracture and plastic deformation, re-
 158 spectively. The higher the value B, the more its resistance to deformation
 159 due to pressure. CoWSb resistance to pressure is stronger than that of Co-
 160 MoSb and CoCrSb alloy, respectively, as shown in Table 5. The value of
 161 shear modulus G shows the resistance of a material to deformation by shear
 162 stress. The higher the value G, the higher its resistance to shear stress.
 163 Hence, CoCrSb>CoMoSb>CoWsb. The Young's modulus E characterizes
 164 the material's stiffness, and the higher the value E, the stiffer is the material.

165 Therefore, as shown in Table 5, CoCrSb is stiffer than CoMoSb, and CoWSb
 166 is the least stiffer. Also, the unidirectional elastic constant C_{11} is much higher
 167 than C_{44} indicating that these compounds present weaker resistance to pure
 168 shear deformation compared to resistance to unidirectional compression.

Table 5: Various mechanical properties of CoYSb (Y= Cr, Mo, W) stable phase obtained from the calculated lattice.

Calculated properties	CoCrSb	CoMoSb	CoWSb
C_{11} (GPa)	202.83	250.02	264.14
C_{12} (GPa)	79.61	117.98	131.46
C_{44} (GPa)	55.11	42.30	30.16
$C_{11}-C_{12}$ (GPa)	123.22	132.04	123.68
$C_{11} + 2C_{12}$ (GPa)	362.04	485.97	527.05
B (GPa)	120.66	161.99	175.68
G (GPa)	57.63	50.59	41.61
E (GPa)	149.19	137.45	115.64
A	0.49	0.64	0.45
ν	0.29	0.36	0.39
Pugh's ratio	2.09	3.20	4.22

169 We also deduced the cubic Shear anisotropy factor [40] for these com-
 170 pounds based on equation 7. The calculated result shows anisotropy fac-
 171 tors as 0.49, 0.64 and 0.45 for CoCrSb, CoMoSb and CoWSb, respectively.
 172 From these values, one can deduce that these compounds are substantially
 173 anisotropic in nature. The degree of ductility of a material is explained by
 174 the Pugh ratio, which is the ratio of the bulk and shear modulus of the ma-
 175 terial. The material is said to be more ductile if the Pugh's ratio increases
 176 more and it is greater than 1.75 ($G/B < 0.57$) [41], otherwise, it is brittle.
 177 As shown in Table 5, we can see that the compounds are ductile in nature
 178 because their values are greater than 1.75. The Poisson's ratio (ν) charac-
 179 terises the bonding forces in material and its compression against external
 180 forces [42]-[43]. The alloys reported in this work are central-force solid (ν is
 181 generally between 0.25 to 0.5) and incompressible because ν is due to their
 182 values that lie within this range. Hence, indicating that the metallic bonding
 183 contribution to the atomic bond is dominant.

184 *3.5. Thermodynamic Properties*

185 The effects of temperature at constant pressure on the thermodynamic
 186 properties of the CoYSb (Y= Cr, Mo, W) material from the state equation,
 187 considering the quasi-harmonic approximation of the Debye model, were an-
 188 alyzed as presented below. Fig. 6 shows the behaviour of specific heat at
 189 constant volume, C_V , as a temperature function, which varied from 0 K to
 190 800 K at constant pressure.

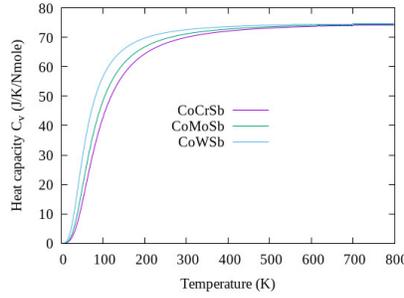


Figure 6: Heat capacity C_v against temperature for Type-I CoYSb alloy

191 It can be seen from Fig. 6 the trend of the specific heat towards the
 192 Dulong-Petit limit, which is the specific heat value independent of tem-
 193 perature. From this limit value of Dulong-Petit, as the temperature in-
 194 creases, each of the atoms in the material absorbs the same amount of en-
 195 ergy proportional to the temperature increase. This value corresponds to
 196 72.63 J/Nmol.K for CoCrSb and CoMoSb, respectively, while it reads 73.47
 197 J/Nmol.K for CoWSb. The Debye temperature is a fundamental parameter
 198 of thermodynamic, which is linked with many physical properties of the ma-
 199 terial such as the melting temperature, lattice vibrations and specific heat at
 200 low temperature[44]. These properties listed in table 6 were obtained from
 201 the calculated elastic constants using the following equations.

$$v_l = \sqrt{\frac{3B + 4G}{3\rho}} \quad (8)$$

$$v_s = \sqrt{\frac{G}{\rho}} \quad (9)$$

202 Where (v_l) is the compressional velocity and (v_s) the shear sound velocity.

203 The average sound velocity (v_m) is expressed in terms of compressional and
 204 shear sound velocities, as stated below.

$$v_m = \left(\frac{1}{3}\right)^{1/3} \left[\frac{2}{v_s^3} + \frac{1}{v_l^3} \right] \quad (10)$$

205 The Debye temperature θ_D is thus expressed as

$$\theta_D = \frac{\hbar}{\kappa} \left(\frac{3n}{4\pi} \left(\frac{\rho N_A}{M} \right) \right)^{1/3} v_m \quad (11)$$

206 Where \hbar is the reduced Planck's constant, κ is Boltzmann's constant, N_A is
 207 Avogadro's number, M is the atomic mass of a unit cell, n is the number of
 208 atomic per formula unit, and ρ is the density.

Table 6: Average sound velocity (v_m), compressional velocity (v_l), shear sound velocity (v_s), Debye temperature (θ_D) and predicted melting temperature (T_m) for the stable phase CoYSb (Y= Cr, Mo, W).

Compound	v_l (m/s)	v_s (m/s)	v_m (m/s)	θ_D (K)	T_m (K)
CoCrSb	5027.79	2723.03	3037.67	354.68	1751.73±300
CoMoSb	5105.36	2397.30	2688.55	308.93	2030.62±300
CoWSb	4459.49	1891.93	2113.76	243.05	2114.07±300

209 The Covalence bonds strength in solids is characterized by Debye tem-
 210 perature, which is listed in the table above along with the predicted melting
 211 temperature estimated from our elastic constant C_{11} calculated using the
 212 following expression [45].

$$T_{(melting)} = [555K + \left(\frac{5.91K}{GPa} \right) C_{11} \pm 300K] \quad (12)$$

213 Our analysis how that CoCrSb has stronger bonds than CoMoSb and
 214 CoWSb due to its higher Debye temperature.

215 4. Conclusions

216 We have investigated the structural, mechanical, electronic and thermo-
 217 dynamic properties of Co-based half-Heusler CoYSb (Y= Cr, Mo, W) alloys.
 218 These alloys conform to $F\bar{4}3m$ space group in the three possible atomic ar-
 219 rangements - the so called Type-I, Type-II and Type-III structural phases.

220 We determined and reported the stable atomic positions of these alloys. The
221 optimized structures and calculated total magnetic moments show that these
222 alloys are ferromagnetic in all three phases. Electronic band structures analy-
223 sis show that CoCrSb and CoMoSb are half-metallic and nearly half-metallic,
224 respectively in nature. This is complemented with their percentage of spin
225 polarization and confer to these materials potential uses in spintronic. We
226 also confirmed their mechanical stability and predicted their anisotropy.

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