

# AGLR is a Novel Index for the Prognosis of Hepatocellular Carcinoma Patients: A Retrospective Study

**Yan Liao**

Laboratory of Hepatobiliary and Pancreatic Surgery, Affiliated Hospital of Guilin Medical University

**Rongyu Wei**

Laboratory of Hepatobiliary and Pancreatic Surgery, Affiliated Hospital of Guilin Medical University

**Renzhi Yao**

Laboratory of Hepatobiliary and Pancreatic Surgery, Affiliated Hospital of Guilin Medical University

**Liling Qin**

Laboratory of Hepatobiliary and Pancreatic Surgery, Affiliated Hospital of Guilin Medical University

**Jun Li**

Laboratory of Hepatobiliary and Pancreatic Surgery, Affiliated Hospital of Guilin Medical University

**Junxiong Yu**

Department of Anesthesiology, The Second Affiliated Hospital of Guilin Medical University

**Weijia Liao** (✉ [liaoweijia288@163.com](mailto:liaoweijia288@163.com))

Laboratory of Hepatobiliary and Pancreatic Surgery, Affiliated Hospital of Guilin Medical University

<https://orcid.org/0000-0002-8906-8612>

---

## Research article

**Keywords:** Hepatocellular carcinoma, AGLR, Prognosis, Biomarker

**Posted Date:** November 12th, 2020

**DOI:** <https://doi.org/10.21203/rs.3.rs-103565/v1>

**License:** © ⓘ This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

---

**Version of Record:** A version of this preprint was published on February 3rd, 2021. See the published version at <https://doi.org/10.1186/s12893-020-01037-7>.

# Abstract

**Background:** Most hepatocellular carcinoma (HCC) patients' liver function indexes are abnormal. We aimed to investigate the relationship between (alkaline phosphatase + gamma-glutamyl transpeptidase) / lymphocyte ratio (AGLR) and the progression as well as the prognosis of HCC.

**Methods:** A total of 495 HCC patients undergoing radical hepatectomy were retrospectively analyzed. We randomly divided these patients into the training cohort (n = 248) and the validation cohort (n = 247). In the training cohort, receiver operating characteristic (ROC) curve was used to determine the optimal cut-off value of AGLR for predicting postoperative survival of HCC patients, and the predictive value of AGLR was evaluated by concordance index (C-index). Further analysis of clinical and biochemical data of patients and the correlation analysis between AGLR and other clinicopathological factors were finished. Univariate and multivariate analyses were performed to identify prognostic factors for HCC patients. Survival curves were analyzed using the Kaplan-Meier method.

**Results:** According to the ROC curve analysis, the optimal predictive cut-off value of AGLR was 90. The C-index of AGLR was 0.637 in the training cohort and 0.654 in the validation cohort, respectively. Based on this value, the HCC patients were divided into the low-AGLR group (AGLR  $\leq$  90) and the high-AGLR group (AGLR > 90). Preoperative AGLR level was positively correlated with  $\alpha$ -fetoprotein (AFP), tumor size, tumor-node-metastasis (TNM) stage, and microvascular invasion (MVI) (all  $p < 0.05$ ). In the training and validation cohorts, patients with AGLR > 90 had significantly shorter OS than patients with AGLR  $\leq$  90 ( $p < 0.001$ ). Univariate and multivariate analyses of the training cohort (HR, 1.79; 95% CI, 1.21-2.69;  $p < 0.001$ ) and validation cohort (HR, 1.82; 95% CI, 1.35-2.57;  $p < 0.001$ ) had identified AGLR as an independent prognostic factor. A new prognostic scoring model was established based on the independent predictors determined in multivariate analysis.

**Conclusions:** The elevated preoperative AGLR level indicated poor prognosis for patients with HCC; the novel prognostic scoring model had favorable predictive capability for postoperative prognosis of HCC patients, which may bring convenience for clinical management.

## Introduction

Cancer is a significant threat to public health worldwide, and the incidence rate of hepatocellular carcinoma (HCC) has been in a rising trend in recent years [1]. Southeast Asia and sub-Saharan Africa are the high distribution regions of HCC, where chronic hepatitis B virus (HBV) infection is prevalent [2]. Despite the considerable improvement on HCC diagnosis, advancement in surgical resection and liver transplantation in clinical practice, the prognosis of postoperative HCC patients remains unsatisfactory due to the high metastasis and recurrence rates. Therefore, researches on the critical factors affecting prognosis of liver cancer are of great significance to improve the therapeutic efficacy of HCC patients, and promote patient management.

Unlike other cancers, the prognosis of HCC depends not only on tumor malignancy, but also on the remaining liver function. Liver function test is a routine biochemical test used to evaluate liver dysfunction. Alkaline phosphatase (ALP) and gamma-glutamyl transpeptidase (GGT) are the representative enzymes in serum, as well as the parameters for liver function. Previous studies have reported that ALP, GGT and lymphocyte count were independent prognostic predictors for liver cancer [3–5]; and ALP to lymphocyte count ratio or GGT to lymphocyte count ratio could serve as prognostic factors as well [5, 6]. It was found that, the normal references of serum ALP and GGT level were roughly equal in clinical, and a complementary effect was speculated between these two factors; meanwhile, the limitation of a single factor for predicting HCC prognosis should be considered. Therefore, it was assumed that a parameter composed of the two factors may have more favorable prognostic predictive capacity, and a prognosis prediction model made up of multiple factors was constructed:  $[\text{ALP (U/L)} + \text{GGT (U/L)}] / \text{lymphocyte count} (\times 10^9/\text{L})$  (AGLR), and this model may have great potential for postoperative prognosis prediction for HCC patients.

## Materials And Methods

### Patients

495 HCC patients undergoing surgical resection at the Affiliated Hospital of Guilin Medical University (Guilin, People's Republic of China) from February 2005 to December 2012 conformed to the inclusion criteria of this study. The pathologic examination of HCC was implemented based on the Primary Liver Cancer Clinical Diagnosis and Staging Criteria (Ministry of Health, Beijing, China). The baseline information includes: 1) demographics characteristics: age, gender, drinking, etc.; 2) preoperative laboratory tests: hepatitis B surface antigen (HBsAg),  $\alpha$ -fetoprotein (AFP), aspartate transaminase, alanine aminotransferase, ALP, GGT, etc.; 3) tumor characteristics: combined with liver cirrhosis, the size and the number of tumors, clinical tumor node metastasis (TNM) stage, microvascular invasion (MVI), recurrence after radical resection, etc. Patients who lost contact during follow-up or with incomplete data were excluded. All methods were carried out abode by the Affiliated Hospital of Guilin Medical University's guidelines and regulations. This study was approved by the research ethics committee of the Affiliated Hospital of Guilin Medical University and complied with the Declaration of Helsinki Principles. Informed consents were obtained from all patients.

Postoperative long-term follow-up included serum AFP level and abdominal ultrasonography every two months and chest radiography every six months in the first two years and at 3- and 6-month intervals respectively after that. Patients would undergo computerized tomography or magnetic resonance imaging scan if recurrence was suspected [7]. Overall survival (OS) was defined as the time from the date of surgery to the date of death or the last follow-up. Disease-free survival (DFS) refers to the time from radical resection to recurrence, metastasis, death or the last follow-up.

### Statistical analysis

Statistical analyses were performed using SPSS 18.0 (SPSS Inc, Chicago, IL). The receiver operating characteristic (ROC) curve was used to analyze and calculate the area under the curve (AUC), and the optimal cut-off value was determined by calculating the largest Youden index (sensitivity + specificity - 1). C-index was determined to predict probability that predicted results were in accordance with the actual results, and C-index greater than 0.5 suggested a certain predictive value of this model. Continuous variables conforming to the normal distribution were expressed as mean  $\pm$  standard deviation (SD). The comparison of categorical variables was evaluated using the Chi-square test. Univariate analysis was performed to identify significant prognostic factors. Variables with  $p < 0.05$  in the univariate analysis were included in the multivariate analysis. The Cox proportional hazards regression model was carried out to identify independent prediction factors. The survival curves were performed using the Kaplan-Meier method, and the statistical difference of survival distributions between different groups was compared using the log-rank test. Statistical significance was considered if  $p < 0.05$ .

## Results

### Clinical and biochemical data

We recruited 495 HCC patients and randomly divided them into the training cohort (248 patients) and the validation cohort (247 patients). The mean postoperative follow-up time was 51.6 months (median, 46.0 months; range, 2.0 to 120.0 months). In the training and validation cohorts, the median age of patients was 49.33 and 50.96 years, respectively. The proportion of male patients was much higher than that of female patients, and there were 219 male cases (88.3%) in the training cohort and 213 male cases (86.2%) in the validation cohort, which may be caused by the higher proportion of male liver cancer patients in Asian countries. Clinical and biochemical data were further statistically compared between the training and validation cohorts. The results were shown in Table 1.

Table 1  
Clinical and biochemical data of examined patients.

Parameter	Training cohort		Validation cohort	P value
	(n = 248)		(n = 247)	
Basic information				
Age (years)		49.33 ± 11.35	50.96 ± 11.80	0.119
Gender: n (%)	female	29 (11.7)	34 (13.8)	0.489
	male	219 (88.3)	213 (86.2)	
Family history: n (%)	no	216 (87.0)	219 (88.7)	0.435
	yes	32 (13.0)	28 (11.3)	
Drinking: n (%)	no	140 (56.5)	133 (53.8)	0.560
	yes	108 (43.5)	114 (46.2)	
Smoking: n (%)	no	148 (59.7)	152 (61.5)	0.577
	yes	100 (40.3)	95 (38.5)	
HBsAg: n (%)	negative	41 (16.5)	34 (13.8)	0.391
	positive	207 (83.5)	213 (86.2)	
Lab check data				
WBC (× 10 <sup>9</sup> /L)		6.04 ± 2.01	6.39 ± 2.20	0.061
NEUT (× 10 <sup>9</sup> /L)		3.65 ± 1.71	3.92 ± 1.73	0.081
LYMPH (× 10 <sup>9</sup> /L)		1.64 ± 0.57	1.73 ± 0.65	0.105
Platelets (× 10 <sup>9</sup> /L)		173.96 ± 75.18	181.23 ± 79.27	0.096
Albumin (g/L)		39.07 ± 4.59	39.65 ± 4.66	0.220
Globulin (g/L)		31.01 ± 5.80	30.35 ± 6.18	0.215
TBIL (μmol/L)		15.91 ± 14.33	16.45 ± 16.07	0.747
DBIL (μmol/L)		6.33 ± 12.38	6.92 ± 13.17	0.689
ALT (U/L)		45.12 ± 42.93	51.08 ± 46.33	0.783
AST (U/L)		49.98 ± 48.83	51.91 ± 57.49	0.697

N, number of patients; HBsAg, hepatitis B surface antigen; WBC, white blood cell; LYMPH, lymphocyte count; TBIL, total bilirubin; DBIL, direct bilirubin; ALT, alanine aminotransferase; AST, aspartate aminotransferase; ALP, alkaline phosphatase; GGT, gamma-glutamyl transpeptidase; AGLR, ALP plus GGT to LYMPH; AFP, alpha-fetoprotein; TNM, tumor-node-metastasis; MVI, microvascular invasion.

Parameter	Training cohort		Validation cohort	P value
	(n = 248)		(n = 247)	
ALP (U/L)	95.69 ± 65.26		92.29 ± 42.60	0.493
GGT (U/L): median, range	67.62, 10.7-335.1		72.19, 10.0-351.76	0.854
AGLR level: median, range	90.63, 19.43-441.72		88.83, 16.07-462.16	0.521
AFP (ng/ml): median, range	246.7, 0.20-32800		220.7, 0.60-25410	0.363
Pathological features				
Cirrhosis: n (%)	no	24 (10.0)	13 (5.3)	0.062
	yes	224 (90.0)	234 (94.7)	
Tumor size (cm)	7.81 ± 4.68		7.12 ± 4.11	0.085
Tumor number: n (%)	single	190 (76.6)	188 (76.1)	0.896
	multiple	58 (23.4)	59 (23.9)	
TNM stage: n (%)	I-II	136 (54.8)	124 (50.2)	0.302
	III-IV	112 (45.2)	123 (49.8)	
MVI: n (%)	no	201 (81.0)	188 (76.1)	0.181
	yes	47 (19.0)	59 (23.9)	
Recurrence: n (%)	no	158 (63.7)	148 (59.9)	0.385
	yes	90 (36.3)	99 (40.1)	
N, number of patients; HBsAg, hepatitis B surface antigen; WBC, white blood cell; LYMPH, lymphocyte count; TBIL, total bilirubin; DBIL, direct bilirubin; ALT, alanine aminotransferase; AST, aspartate aminotransferase; ALP, alkaline phosphatase; GGT, gamma-glutamyl transpeptidase; AGLR, ALP plus GGT to LYMPH; AFP, alpha-fetoprotein; TNM, tumor-node-metastasis; MVI, microvascular invasion.				

### The relationship between preoperative AGLR level and clinical pathologic characteristics in patients with HCC

Using the receiver operator characteristics (ROC) analysis, the optimal predictive cut-off value of AGLR was 90, with the sensitivity of 75.1%, the specificity of 64.8% and the area under the curve (AUC) was 0.735 (95% CI: 0.679–0.786), according to the postoperative survival of HCC patients in the training cohort. Based on this cut-off value, our patients could be divided into two groups by dichotomy: AGLR ≤ 90 and AGLR > 90 groups. Given that serum AFP level is a prognostic factor of liver cancer either, thus we performed a comparison analysis between AFP and AGLR. Interestingly, it was revealed that the AUCs of AGLR were higher than that of AFP in both training cohort and validation cohort (Fig. 1A, S1A).

Meanwhile, C-index of AGLR suggested that both AGLR (C-index = 0.637, 95%CI, 0.597–0.684) and AFP (C-index = 0.624, 95%CI, 0.585–0.671) had predictive value in the training cohort, and more importantly, AGLR had a higher accuracy than AFP; and the value of AGLR (C-index = 0.654, 95%CI, 0.613–0.707) and AFP (C-index = 0.577, 95%CI, 0.532–0.633) were both verified in the validation cohort. The relationships between preoperative AGLR level and clinicopathologic characteristics were investigated and results were shown in Table 2. In the training cohort (248 patients), high preoperative AGLR level was positively correlated with serum AFP level (> 20 ng/ml) ( $p < 0.001$ ), tumor size > 5 cm ( $p < 0.001$ ), multiple tumors ( $\chi^2 = 86.367$ ,  $p = 0.035$ ), TNM stage III-IV ( $p < 0.001$ ), presence of MVI ( $p < 0.001$ ). And in the validation cohort (247 patients), high preoperative AGLR level was positively correlated with serum AFP level (> 20 ng/ml) ( $p < 0.001$ ), tumor size > 5 cm ( $p < 0.001$ ), TNM stage III-IV ( $p < 0.001$ ), presence of MVI ( $p < 0.001$ ). However, there were no obvious correlations between AGLR > 90 and age, gender, drinking, HBsAg, liver cirrhosis and recurrence (all  $p > 0.05$ ). Moreover, higher AGLR level was found in tumor size > 5 cm, TNM stage III-IV and MVI patients ( $p < 0.05$ , Fig. 1B, S1B). These results suggested that elevated serum AGLR level may be related to poor progression and microvascular invasion of HCC.

Table 2  
Correlation between clinical pathologic characteristics and AGLR level in HCC patients.

Variables		AGLR level					
		Training cohort (n = 248)			Validation cohort (n = 247)		
		≤ 90 n (%)	> 90 n (%)	<i>P</i> value	≤ 90 n (%)	> 90 n (%)	<i>P</i> value
Age (years)	≤ 60	92 (46.2)	107 (53.8)	0.347	81 (41.3)	115 (58.7)	0.815
	> 60	19 (38.8)	30 (61.2)		22 (43.1)	29 (56.9)	
Gender	Female	18 (60.0)	12 (40.0)	0.073	18 (52.9)	16 (47.1)	0.152
	Male	93 (42.7)	125 (57.3)		85 (39.9)	128 (60.1)	
Drinking	No	62 (44.6)	77 (55.4)	0.958	58 (43.3)	76 (56.7)	0.583
	Yes	49 (45.0)	60 (55.0)		45 (39.8)	68 (60.2)	
HBsAg	Negative	14 (38.9)	22 (61.1)	0.444	17 (43.6)	22 (56.4)	0.794
	Positive	97 (45.8)	115 (54.2)		86 (41.3)	122 (58.7)	
AFP (ng/ml)	≤ 20	54 (62.8)	32 (37.2)	<b>&lt; 0.001</b>	43 (58.6)	30 (41.1)	<b>&lt; 0.001</b>
	> 20	57 (35.2)	105 (64.8)		60 (34.5)	114 (65.5)	
Liver cirrhosis	No	8 (57.1)	6 (42.9)	0.337	10 (43.5)	13 (56.5)	0.856
	Yes	103 (44.0)	131 (56.0)		93 (41.5)	131 (58.5)	
Tumor size (cm)	≤ 5	66 (65.3)	35 (34.7)	<b>&lt; 0.001</b>	61 (56.5)	47 (43.5)	<b>&lt; 0.001</b>
	> 5	45 (30.6)	102 (69.4)		42 (30.2)	97 (69.8)	
Tumor number	Single	90 (48.6)	95 (51.4)	<b>0.035</b>	84 (43.5)	109 (56.5)	0.272

AGLR, alkaline phosphatase plus gamma-glutamyl transpeptidase to lymphocyte ratio; HBsAg, hepatitis B surface antigen, AFP, alpha-fetoprotein, TNM, tumor-node-metastasis.

	Multiple	21 (33.3)	42 (66.7)		19 (35.2)	35 (64.8)	
TNM stage	I- II	82 (68.9)	37 (31.1)	<b>&lt; 0.001</b>	81 (57.4)	60 (42.6)	<b>&lt; 0.001</b>
	III- IV	29 (22.5)	100 (77.5)		22 (20.8)	84 (79.2)	
Microvascular invasion	No	95 (52.2)	87 (47.8)	<b>&lt; 0.001</b>	96 (46.2)	112 (53.8)	<b>0.001</b>
	Yes	16 (24.2)	50 (75.8)		7 (17.9)	32 (82.1)	
Recurrence	No	70 (47.0)	79 (53.0)	0.388	71 (45.2)	86 (54.8)	0.138
	Yes	41 (41.4)	58 (58.6)		32 (35.6)	58 (64.4)	

AGLR, alkaline phosphatase plus gamma-glutamyl transpeptidase to lymphocyte ratio; HBsAg, hepatitis B surface antigen, AFP, alpha-fetoprotein, TNM, tumor-node-metastasis.

### Survival analysis based on different preoperative AGLR levels

In the training cohort, the average survival time for DFS patients with AGLR  $\leq 90$  was 77.42 months (95% CI, 67.70-87.13), and for DFS patients with AGLR  $> 90$ , the average survival time was 39.52 months (95% CI, 31.90-47.15) ( $p < 0.001$ , Fig. 2A). Among OS patients, the average survival time of patients with AGLR  $\leq 90$  was 83.60 months (95% CI, 75.18–92.03) and the 1-, 3- and 5-year survival rates were 86.3%, 71.6% and 63.1%, respectively; while for AGLR  $> 90$  patients, they had an average OS of 47.39 months (95% CI, 40.26–54.53) and the 1-, 3- and 5-year survival rates were 77.6%, 44.8% and 27.4%, respectively ( $p < 0.001$ , Fig. 2B).

In the validation cohort, the average survival time for DFS patients with AGLR  $\leq 90$  was 75.58 months (95% CI, 66.12–85.03), and for patients with AGLR  $> 90$ , the average survival time was 49.28 months (95% CI, 41.42–57.14) ( $p < 0.001$ ; Fig. S2A). In OS patients, for HCC patients with AGLR  $\leq 90$ , the average survival time was 83.66 months (95% CI, 75.65–91.66) and the 1-, 3- and 5-year survival rates were 88.7%, 73.0% and 60.8%, respectively; and for patients whose AGLR  $> 90$ , they had a mean OS of 59.30 months (95% CI, 52.10–66.50) and the 1-, 3- and 5-year survival rates were 73.5%, 46.9% and 36.1%, respectively ( $p < 0.001$ , Fig. S2B). Therefore, the results clearly suggested that high AGLR level may predict poor prognosis for HCC patients.

### Prognostic factors of survival for patients with HCC

The Cox univariate and multivariate regression analyses were applied to evaluate the prognostic value of AGLR and other factors. In the training cohort, it was found that AGLR  $> 90$  (HR = 1.79, 95% CI, 1.21–2.69,

$p < 0.001$ ), tumor size (HR = 1.91, 95% CI, 1.27–2.61,  $p < 0.001$ ), TNM stage (HR = 1.52, 95% CI, 1.03–2.31,  $p = 0.025$ ), MVI (HR = 1.61, 95% CI, 1.23–2.39,  $p = 0.007$ ) and recurrence (HR = 2.01, 95% CI, 1.47–2.83,  $p < 0.001$ ) were five crucial independent predictors of OS for HCC patients (Table 3), and similar result was found in the validation cohort either (Table S1).

Table 3  
Univariate and multivariate analysis of overall survival (training cohort, n = 248).

Clinical character	Univariate analysis		Multivariate analysis	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
AGLR level (> 90 vs ≤ 90)	2.66 (2.01–3.88)	<b>&lt; 0.001</b>	1.79 (1.21–2.69)	<b>&lt; 0.001</b>
Age, years (> 60 vs ≤ 60)	1.24 (0.81–1.83)	0.308		
Gender (male vs female)	1.23 (0.72–1.91)	0.441		
Drinking (yes vs no)	1.03 (0.74–1.41)	0.862		
HBsAg (positive vs negative)	1.29 (0.78–2.06)	0.303		
AFP, ng/ml (> 20 vs ≤ 20)	1.71 (1.19–2.47)	<b>0.003</b>	1.13 (0.77–1.67)	0.514
Liver cirrhosis(yes vs no)	1.03 (0.51–2.09)	0.930		
Tumor size, cm (> 5 vs ≤ 5)	2.86 (1.97–3.91)	<b>&lt; 0.001</b>	1.91 (1.27–2.61)	<b>&lt; 0.001</b>
Tumor number (multiple vs single)	1.60 (1.13–2.26)	<b>0.006</b>	1.12 (0.81–1.53)	0.460
TNM stage (III–IV vs I–II)	1.96 (1.39–2.77)	<b>&lt; 0.001</b>	1.52 (1.03–2.31)	<b>0.025</b>
MVI (yes vs no)	2.57 (1.93–3.74)	<b>&lt; 0.001</b>	1.61 (1.23–2.39)	<b>0.007</b>
Recurrence (yes vs no)	2.70 (1.69–3.59)	<b>&lt; 0.001</b>	2.01 (1.47–2.83)	<b>&lt; 0.001</b>

CI, confidence interval; HR, hazard ratio; AGLR, alkaline phosphatase plus gamma-glutamyl transpeptidase to lymphocyte ratio; HBsAg, hepatitis B surface antigen; AFP, alpha-fetoprotein; TNM, tumor-node-metastasis; MVI, microvascular invasion.

Then, each of the above five independent predictors were assigned, such as AGLR ≤ 90 was assigned 0 point and AGLR > 90 was assigned 1 point, and other four predictors were assigned in the same manner. Thus, all HCC patients would be divided into six groups of different scores, ranging from 0 to 5 points, based on their accumulated total scores. As the result, a new prognostic scoring model consisted of multiple variables was constructed. However, some comparisons between two of these new groups had no statistical different. For instance, in the training cohort, for DFS patients with a score of 2 vs. 3 ( $p = 0.173$ ) (Fig. 3A) and for OS patients with a score of 2 vs. 3 ( $p = 0.126$ ), score 3 vs. 4 ( $p = 0.062$ ) and score 4 vs. 5 ( $p = 0.079$ ) (Fig. 3B). Similar result was also found in the validation cohort (Fig. S3A-B). In view of these circumstances and in order to obtain better application value of this new model, we further divided these groups according to the scores: 0–1 points (low-risk group), 2–3 points (medium-risk group) and

4–5 points (high-risk group). Surprisingly, the survival analyses revealed that in both training cohort (Fig. 3C-D) and validation cohort (Fig. S3C-D), HCC patients' postoperative survival time had significant differences between the low-, medium- and high-risk groups, which was an obviously decreasing trend, suggesting that this novel scoring model may have potential application value in predicting postoperative prognosis for liver cancer patients.

## Discussion

In this study, we established a simple and evidence-based prognostic model, named AGLR, in order to predict the risk of survival for HCC patients undergoing radical resection, which incorporated routinely available laboratory parameters: ALP, serum GGT level and lymphocyte count. And this prognostic model was repeatable and accurate. Several studies have shown that elevated serum ALP level may be related to some pathological conditions [8–10], and other studies have revealed that ALP was a cancer-associated serum enzyme [11–13]. Moreover, according to the electron microscopic cytochemistry, ALP was observed to contain the nuclear localization signal and was linked to the proliferation of cancer cells [14]. Therefore, the elevation of serum ALP may play an essential role in cancer proliferation. GGT is an ubiquitous epithelial enzyme that associated with higher mortality in many diseases, including liver disease, pancreatic disease, renal failure, myocardial infarction and diabetes [15]. Lymphocytes may play an important role in immune regulation of tumor; T cells could be activated by phytohemagglutinin (PHA), Ionomycin (Iono) and other factors; in the meanwhile, T cells could be induced to apoptosis through a variety of ways [16]. Moreover, some researches revealed that reduced CD8<sup>+</sup> T lymphocytes might have relation to unfavorable prognosis of liver cancer [17, 18]. Therefore, all the three factors mentioned above are adverse factors for HCC patients; if all of them can be taken into consideration when predicting liver cancer patients' postoperative prognosis, more reliable prediction and preciser medical treatment will realize.

In this retrospective study, we first analyzed the clinical and biochemical data of training cohort and validation cohort, as well as the relationship between preoperative AGLR level and clinical characteristics of patients with HCC. It is noteworthy that elevated preoperative AGLR level is positively related to tumor size > 5 cm, TNM stage III-IV and MVI. This result was further confirmed in the validation cohort. However, MVI, as a unique way for HCC cells to invade the blood vessel, depends on the invasive and metastasizing potential of liver cancer cells. Therefore, it was speculated that elevated AGLR level may endow cancer cells with the possibility of invasion and metastasis through changing its micro-environment for metabolism, and further lead to the deterioration of HCC.

In addition to AGLR, AFP, tumor size, TNM stage, MVI and recurrence were also associated with a shorter OS for HCC patients. Previous studies have found that AFP promoted the invasion and metastasis of HCC cells by up-regulating the expression of metastasis related proteins [5], therefore, AFP was an unfavorable prognostic predictor. Tumor size is an important prognostic marker for HCC [3, 19]. Patients with a single tumor > 5 cm or multiple tumors would have a higher probability of bilobar involvement, invasion of microvascular and adjacent organs as well as the histologically positive margins [20]. MVI,

which can lead to early postoperative recurrence and metastasis, is a significant risk factor of poor prognosis for HCC patients after radical resection as well as an independent predictor of long-term postoperative survival [21].

The following five variables were identified as independent predictors of survival for HCC patients by the multivariate analyses in both training and validation cohort: elevated AGLR level (> 90), tumor size > 5 cm, TNM stage III-IV, presence of MVI and recurrence. Nowadays, the molecular signature is prevalent among the researchers, and indeed, molecular classification has undoubtedly improved the prognosis estimation of some malignancies [22–24]. Multiple molecular models for HCC were reported in recent years [25–27]. Considering the heterogeneity of prognosis, the predictive value of a single factor has certain of limitations, we established a simple prognostic scoring model based on the five independent predictors, which can be readily available in daily practice. All the 495 HCC patients were randomly divided into the training cohort and validation cohort, and patients were further separated into the six different scoring groups. After that, we optimized this scoring model by changing the six scoring groups into the low-, medium- and high-risk groups, which could better predict different risks of survival. This new prognostic scoring model can better predict outcomes for HCC patients and help determine appropriate interventions after radical resection.

There are some limitations in this study yet. Firstly, this is a retrospective study based on limited data of HCC patients from a single hospital, and only HCC patients accepted radical resection were enrolled in this study; thus, AGLR's prognostic prediction value for patients accepted liver transplant or TACE needs further study. Secondly, eastern and western countries' opinions on the surgical indications for HCC are still controversial[28], Third, the environmental background of HCC patients from different regions varies with each other. For instance, in China, the proportion of HBV-related HCC is nearly 90%; whereas in western countries, most HCC are caused by alcoholic cirrhosis, non-alcoholic fatty liver disease and HCV infection [29]. Therefore, patients enrolled may suffer from obvious limitations of regional factors. For future researches, multicenter external validations and prospective studies are needed, so as to verify that this novel model may be widely available for HCC patients.

## Conclusions

High preoperative AGLR level predicted poor prognosis for HCC patients; the simple and novel prognostic scoring model could effectively identify the higher risk of poor survival and early recurrence and may help select an appropriate treatment based on different risk stratification.

## List Of Abbreviations

HCC, hepatocellular carcinoma; HBV, hepatitis B virus; ALP, alkaline phosphatase; GGT, gamma-glutamyl transpeptidase; HBsAg, hepatitis B surface antigen; AFP, α-fetoprotein; TNM, tumor node metastasis; MVI, microvascular invasion; DFS, Disease-free survival; OS, Overall survival; CI, confidence interval; HR, hazard ratio; ROC, receiver operating characteristic; VS, versus.

# Declarations

## **Ethics approval and consent to participate:**

This study was approved by the research ethics committee of the Affiliated Hospital of Guilin Medical University and complied with the Declaration of Helsinki Principles. Informed consents were obtained from all patients.

## **Consent for publication:**

Written informed consent for publication was obtained from all participants.

## **Availability of data and materials:**

All data generated or analysed during this study are included in this published article.

## **Acknowledgments:**

This work was supported in part by the the National Natural Science Foundation of China (No. 81372163), the National Key Sci-Tech Special Project of China (No.2018ZX10302207), the Technology Planning Project of Guilin (No. 20190218-1) and Basic Ability Enhancement Program for Young and Middle-aged Teachers of Guilin Medical University (No. 2018glmcy073).

## **Competing interests:**

The authors declare that they have no competing interests.

## **Author Contributions:**

WL and RW designed the research; JL and WL collected data; YL and RW performed the data analysis and model development; JY composed the first draft of the manuscript. RY commented on and critically revised the manuscript. YL, LQ and WL critically edited and reviewed the final draft of the manuscript. All the authors contributed to the conception of the study and approved the final manuscript.

# References

1. Siegel RL, Miller KD, Jemal A. Cancer statistics. *CA Cancer. J Clin.* 2016;66:7-30.
2. El-Serag HB. Hepatocellular carcinoma. *N Engl J Med.* 2011;365:1118-1127.
3. Liu PH, Hsu CY, Hsia CY, Lee YH, Su CW, Huang YH, et al. Prognosis of hepatocellular carcinoma: Assessment of eleven staging systems. *J Hepatol.* 2016;64:601-608.
4. Fu SJ, Zhao Q, Ji F, Chen MG, Wu LW, Ren QQ, et al. Elevated preoperative serum gamma-glutamyl transpeptidase predicts poor prognosis for hepatocellular carcinoma after liver transplantation. *Sci Rep.* 2016;6:28835.

5. Zhu Y, Xu D, Zhang Z, Dong J, Zhou Y, Zhang WW, et al. A new laboratory-based algorithm to predict microvascular invasion and survival in patients with hepatocellular carcinoma. *Int J Surg*. 2018;57:45-53.
6. Liao MJ, Qin WY, Liao Y, Yao RZ, Yu JX, Liao WJ. Prognostic value of gamma-glutamyl transpeptidase to lymphocyte count ratio in patients with single tumor size  $\leq$  5 cm hepatocellular carcinoma after radical resection. *Front Oncol*. 2019;9:347.
7. Huang J, Liu FC, Li L, Yuan SX, Yang Y, Jiang BG, et al. Prognostic nomogram for hepatitis B Virus-related hepatocellular carcinoma with adjuvant transarterial chemoembolization after radical resection. *Am J Clin Oncol*. 2020;43:20-27.
8. Webber M, Krishnan A, Thomas NG, Cheung BM. Association between serum alkaline phosphatase and C-reactive protein in the United States National Health and Nutrition Examination Survey 2005-2006. *Clin Chem Lab Med*. 2010;48:167-173.
9. Pike AF, Kramer NI, Blaauboer BJ, Seinen W, Brands R. A novel hypothesis for an alkaline phosphatase 'rescue' mechanism in the hepatic acute phase immune response. *Biochim Biophys Acta*. 2013;1832:2044-2056.
10. Kunutsor SK, Apekey TA, Seddoh D, Walley J. Liver enzymes and risk of all-cause mortality in general populations: a systematic review and meta-analysis. *Int J Epidemiol*. 2014;43:187-201.
11. Li G, Gao J, Tao YL, Xu BQ, Tu ZW, Liu ZG, et al. Increased pretreatment levels of serum LDH and ALP as poor prognostic factors for nasopharyngeal carcinoma. *Chin J Cancer*. 2012;31:197-206.
12. Clancy TE, Sengupta TP, Paulus J, Ahmed F, Duh MS, Kulke MH. Alkaline phosphatase predicts survival in patients with metastatic neuroendocrine tumors. *Dig Dis Sci*. 2006;51:877-884.
13. Ji F, Fu SJ, Guo ZY, Pang H, Ju WQ, Wang DP, et al. Prognostic value of combined preoperative lactate dehydrogenase and alkaline phosphatase levels in patients with resectable pancreatic ductal adenocarcinoma. *Medicine (Baltimore)*. 2016;95:e4065.
14. Yamamoto K, Awogi T, Okuyama K, Takahashi N. Nuclear localization of alkaline phosphatase in cultured human cancer cells. *Med Electron Microsc*. 2003;36:47-51.
15. Pratt DS, Kaplan MM. Evaluation of abnormal liver-enzyme results in asymptomatic patients. *N Engl J Med*. 2000;342:1266-1271.
16. Jiao J, Zhao X, Hou R, Wang Y, Chang W, Liang N, et al. Comparison of two commonly used methods for stimulating T cells. *Biotechnol Lett*. 2019;41:1361-1371.
17. Unitt E, Marshall A, Gelson W, Rushbrook SM, Davies S, Vowler SL, et al. Tumour lymphocytic infiltrate and recurrence of hepatocellular carcinoma following liver transplantation. *J Hepatol*. 2006;45:246-253.
18. Sideras K, Biermann K, Verheij J, Takkenberg BR, Mancham S, Hansen BE, et al. PD-L1, Galectin-9 and CD8 tumor-infiltrating lymphocytes are associated with survival in hepatocellular carcinoma. *Oncoimmunology*. 2017;6:e1273309.
19. Xu XS, Wan Y, Song SD, Chen W, Miao RC, Zhou YY, et al. Model based on  $\gamma$ -glutamyl transferase and alkaline phosphatase for hepatocellular carcinoma prognosis. *World J Gastroenterol*.

- 2014;20:10944-10952.
20. Lu Y, Zhu M, Li W, Lin B, Dong X, Chen Y, et al. Alpha fetoprotein plays a critical role in promoting metastasis of hepatocellular carcinoma cells. *J Cell Mol Med*. 2016;20:549-558.
  21. Bruix J, Gores GJ, Mazzaferro V. Hepatocellular carcinoma: clinical frontiers and perspectives. *Gut*. 2014;63:844-855.
  22. Ng KK, Vauthey JN, Pawlik TM, Lauwers GY, Regimbeau JM, Belghiti J, et al. Is hepatic resection for large or multinodular hepatocellular carcinoma justified? Results from a multi-institutional database. *Ann Surg Oncol*. 2005;12:364-373.
  23. Sumie S, Nakashima O, Okuda K, Kuromatsu R, Kawaguchi A, Nakano M, et al. The significance of classifying microvascular invasion in patients with hepatocellular carcinoma. *Ann Surg Oncol*. 2014;21:1002-1009.
  24. Bueno-de-Mesquita JM, van Harten WH, Retel VP, van 't Veer LJ, van Dam FS, Karsenberg K, et al. Use of 70-gene signature to predict prognosis of patients with node-negative breast cancer: a prospective community-based feasibility study (RASTER). *Lancet Oncol*. 2007;8:1079-1087.
  25. Mlecnik B, Tosolini M, Kirilovsky A, Berger A, Bindea G, Meatchi T, et al. Histopathologic-based prognostic factors of colorectal cancers are associated with the state of the local immune reaction. *J Clin Oncol*. 2011;29:610-618.
  26. Perry AM, Cardesa-Salzmann TM, Meyer PN, Colomo L, Smith LM, Fu K, et al. A new biologic prognostic model based on immunohistochemistry predicts survival in patients with diffuse large B-cell lymphoma. *Blood*. 2012;120:2290-2296.
  27. Lee JS, Heo J, Libbrecht L, Chu IS, Kaposi-Novak P, Calvisi DF, et al. A novel prognostic subtype of human hepatocellular carcinoma derived from hepatic progenitor cells. *Nat Med*. 2006;12:410-416.
  28. Berardi G, Morise Z, Sposito C, Igarashi K, Panetta V, Simonelli I, et al. Development of a nomogram to predict outcome after liver resection for hepatocellular carcinoma in Child-Pugh B cirrhosis. *J Hepatol*. 2020;72:75-84.
  29. Choo SP, Tan WL, Goh BKP, Tai WM, Zhu AX. Comparison of hepatocellular carcinoma in Eastern versus Western populations. *Cancer*. 2016;122:3430-3446.

## Figures

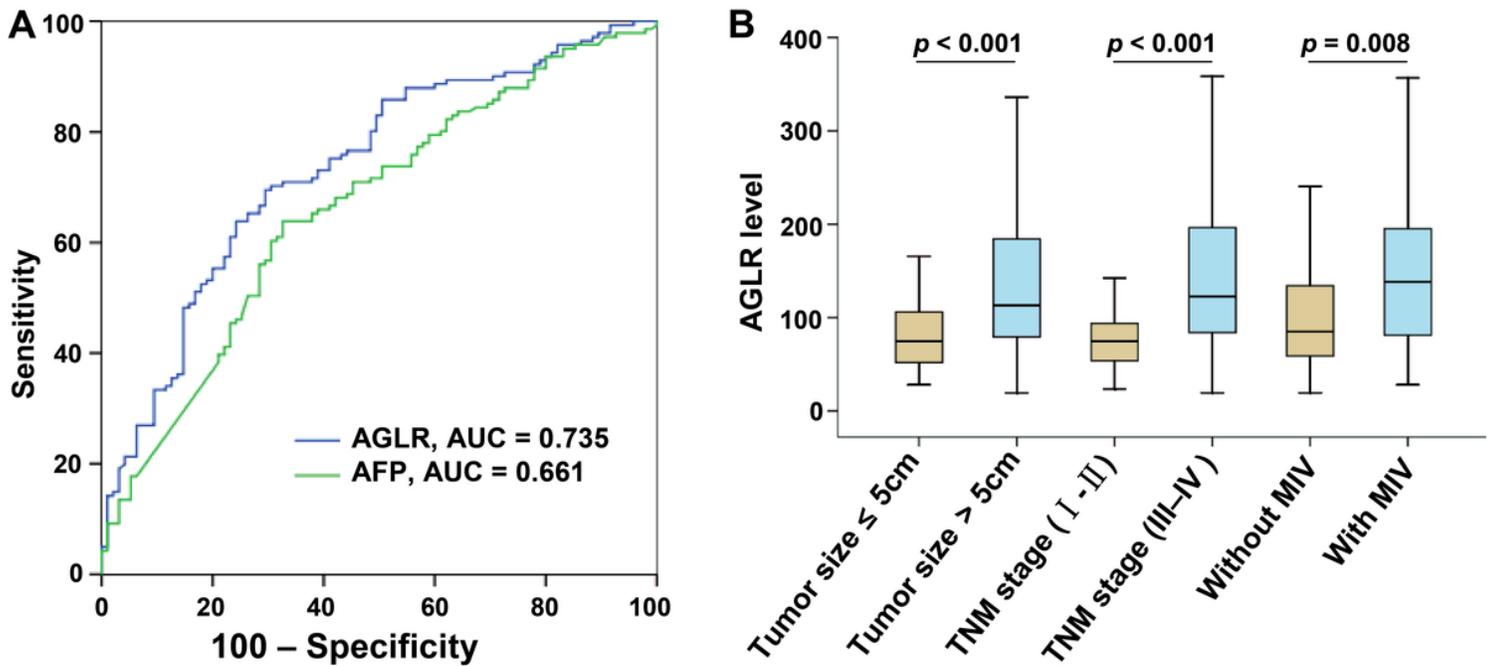


Figure 1

AGLR's predictive capability and its comparison with AFP. ROC of AGLR in the training cohort (A) and the relationships between AGLR level and tumor size, TNM stage and MVI in the training cohort (B).

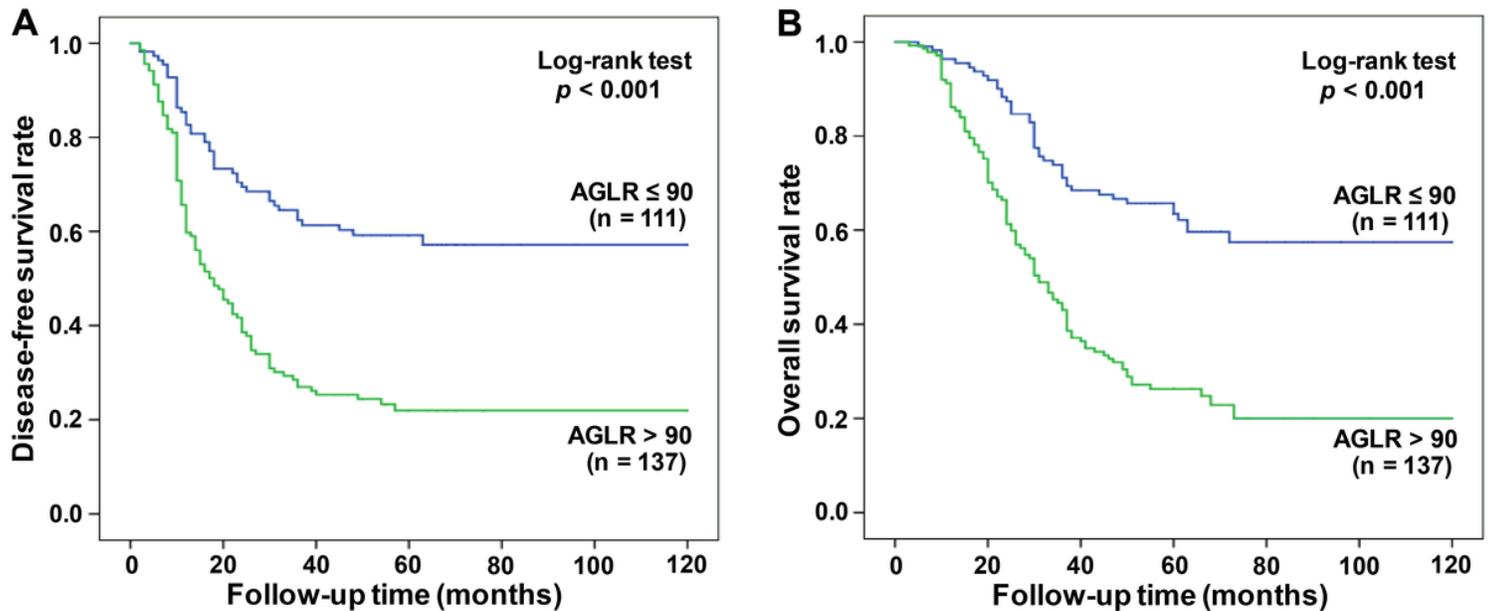
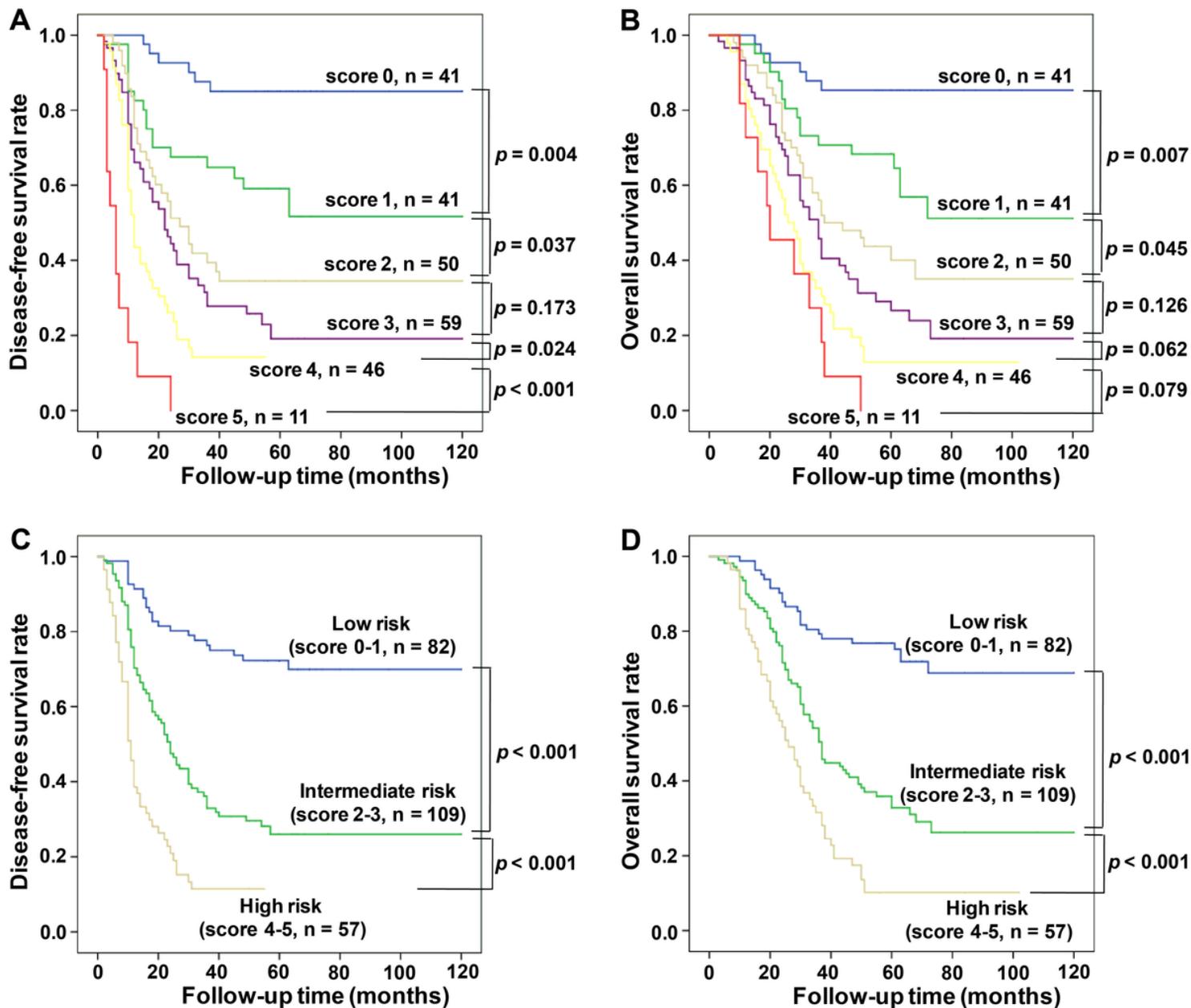


Figure 2

Prognostic significance of AGLR in patients with HCC. Kaplan-Meier analysis of survival in the training cohort. High AGLR level was closely associated with a worse prognosis. The green line represents AGLR level  $>$  90, whereas the blue line represents AGLR level  $\leq$  90. Kaplan-Meier curves depict OS (A) and DFS (B) in HCC patients with AGLR  $>$  90 or  $\leq$  90.



**Figure 3**

In the training cohort, comparison of prognostic effects of different scoring groups, there was no statistical significance of survival between patients with a score of 2 vs. 3, for both DFS (A) ( $p = 0.173$ ) and OS (B) ( $p = 0.126$ ). Kaplan-Meier analysis of survival for different risks groups. There were statistical significance between the low-, medium- and high-risk groups (all  $p < 0.001$ ) for both DFS (C) and OS (D).

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [FigureS1.tif](#)
- [FigureS2.tif](#)

- [FigureS3.tif](#)
- [TableS1.doc](#)