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## Research article

**Keywords:** Initiation of plate tectonics, Hadean and Eoarchean tectonics, Late Heavy Bombardment, Mixing of Impactor Material, Three-dimensional

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## RESEARCH

# Hadean/Eoarchean tectonics and mantle mixing induced by impacts: A three-dimensional study

Xavier Borgeat<sup>1\*</sup> and Paul J. Tackley<sup>2</sup>

## Abstract

The timing of the onset of plate tectonics on Earth remains a topic of strong debate, as does the tectonic mode that preceded modern plate tectonics. Understanding possible tectonic modes and transitions between them is also important for other terrestrial planets such as Venus and rocky exoplanets. Recent two-dimensional modelling studies have demonstrated that impacts can initiate subduction during the early stages of terrestrial planet evolution - the Hadean and Eoarchean in Earth's case. Here, we perform three-dimensional simulations of the influence of ongoing multiple impacts on early Earth tectonics and its effect on the distribution of compositional heterogeneity in the mantle, including the distribution of impactor material. We compare two-dimensional and three-dimensional simulations to determine when geometry is important. Results show that impacts can induce subduction in both 2-D and 3-D and thus have a great influence on the tectonic regime. The effect is particularly strong in cases that otherwise display stagnant-lid tectonics: impacts can shift them to having a plate-like regime. In such cases, however, plate-like behaviour is temporary: as the impactor flux decreases the system returns to what it was without impacts. Impacts result in both greater production of oceanic crust and greater recycling of it, increasing the build-up of subducted crust above the core-mantle boundary and in the transition zone. Impactor material is mainly located in the upper mantle, at least at the end of the modelled 500 million year period. In 2-D simulations, in contrast to 3-D simulations, impacts are less frequent but each has a larger effect on surface mobility, making the simulations more stochastic. These stronger 2-D subduction events can mix both recycled basalt and impactor material into the lower mantle. These results thus demonstrate that impacts can make a first-order difference to the early tectonics and mantle mixing of Earth and other large terrestrial planets, and that three-dimensional simulations are important so that effects are not over- or under-predicted.

## Keywords

Initiation of plate tectonics; Hadean and Eoarchean tectonics; Late Heavy Bombardment; Mixing of Impactor Material; Three-dimensional;

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## 1 Introduction

The time of onset of modern-style plate tectonics is still heavily debated. Large uncertainties exist over its

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2  
3

4 time of appearance, and what tectonic mode(s) oc- 42  
5 curred before (for reviews see Cawood et al. (2018); 43  
6 Condie (2018); Korenaga (2013); Palin and Santosh 44  
7 (2020)). Other planets may also undergo changing 45  
8 tectonic mode - of particular interest here is Venus, 46  
9 which is proposed to have experienced episodic resur- 47  
10 facing, perhaps caused by global lithospheric over- 48  
11 turn/subduction events (e.g. (Armann and Tackley, 49  
12 2012; Turcotte, 1993)).

13 The tectonic mode of the early Earth is often de- 50  
14 scribed as stagnant lid (e.g. (O'Neill and Debaille, 51  
15 2014)), with the idea that the lithosphere was basically 52  
16 rigid for a long time until some mechanism managed 53  
17 to break it and initiate plate tectonics (e.g. (Bercovici 54  
18 and Ricard, 2014; Tang et al., 2020)). However, re- 55  
19 cent works have questioned this view of the Hadean. 56  
20 Hot mantle temperatures would have caused exten- 57  
21 sive melting and igneous intrusion, as indicated by 58  
22 widespread reworking of the Hadean and Eoarchean 59  
23 crust inferred from zircons (Kirkland et al., 2021), and 60  
24 this intrusion would have weakened the lithosphere 61  
25 and allowed it to deform, accommodating the lateral 62  
26 motion of sections of it. Such behaviour has been 63  
27 demonstrated in both regional models (Fischer and 64  
28 Gerya, 2016; Piccolo et al., 2019; Sizova et al., 2010), 65  
29 where it was named "plume lid" and global models, 66  
30 where it was named "plutonic squishy lid" (Lourenço 67  
31 et al., 2020; Lourenço et al., 2018), occurring for in- 68  
32 trusion fractions of  $\geq 80\%$ . Thus, while there is gen- 69  
33 eral agreement that any subduction that started under 70  
34 early Earth conditions would not result in long-lived 71  
35 subduction zones due to the weakness of the litho- 72  
36 sphere (Moyen and Van Hunen, 2012; Sizova et al., 73  
37 2010; van Hunen and van den Berg, 2008), consider- 74  
38 able crustal deformation might well have taken place. 75  
39 This is also consistent with evidence for underthrust- 76  
40 ing from  $>4$  Gyr zircons (Hopkins et al., 2008). Large 77  
41 plumes might also have had the capability to initiate 78  
79

transient subduction events (Gerya et al., 2015; Pic- 42  
colo et al., 2020). 43

During that time, the Earth was accreting around 44  
0.5% of its current mass, the so-called late veneer, 45  
through impacts. Currently there is much debate over 46  
whether there was a late peak of impacts, the so-called 47  
Late Heavy Bombardment (LHB) (e.g. (Bottke and 48  
Norman, 2017; Marchi et al., 2014)), which is proposed 49  
to have been caused by a destabilization of the E-belt 50  
and asteroid belt caused by a change in Jupiter's or- 51  
bit (Morbidelli et al., 2012), or whether the impact 52  
rate declined monotonically (e.g. (Brasser et al., 2020; 53  
Michael et al., 2018; Morbidelli et al., 2018)). In ei- 54  
ther case, the lunar cratering record shows that there 55  
was a flux of large impactors extending throughout 56  
the Hadean and Eoarchean eons, and thus the Earth 57  
should also have been hit by such impactors during 58  
this time period. 59

A number of studies have addressed the influences 60  
of impacts on relatively small stagnant lid bodies such 61  
the Moon (Ghods and Arkani-Hamed, 2007; Rolf et al., 62  
2017) and Mars (e.g. (Monteux and Arkani-Hamed, 63  
2014; Reese et al., 2010, 2002; Roberts et al., 2009)), 64  
but relatively few have studied impacts on larger plan- 65  
etary bodies such as the Earth and Venus, despite their 66  
potential importance (e.g. (Maruyama et al., 2018)). 67  
Gillmann et al. (2016) showed that a large impact 68  
can have a substantial influence on the tectonics of 69  
a stagnant-lid Venus-like planet, causing an episode 70  
of subduction that rolls back from the impact loca- 71  
tion. Multiple impacts continuing for some period of 72  
time could thus potentially mobilise the lithosphere for 73  
a corresponding time period. This was first demon- 74  
strated by O'Neill et al. (2017), who presented two- 75  
dimensional calculations with an impact flux based on 76  
Marchi et al. (2014) to show the importance of im- 77  
pacts in early Earth tectonics. The importance of such 78  
multiple impacts on inducing outgassing on Venus was 79

demonstrated also using two-dimensional models by Gillmann et al. (2020), although their influence on tectonics was not analysed.

However, impacts may have an exaggerated effect on two-dimensional models because they are effectively infinite in the out-of-plane direction. Thus, we here analyse three-dimensional simulations and compare and contrast them to identical two-dimensional simulations in order to determine whether the same conclusions apply in 3-D. Additionally, we analyse the effect of multiple impacts on mantle compositional structure, focussing on the radial distribution of recycled basaltic crust and on the distribution of impactor material.

## 2 Methods Summary

Full details of the physical and numerical model are given in the later Methods section; here is a brief overview. We couple 3-D or 2-D simulations of mantle convection with a parameterized impact model, with the rate of impacts vs. time based on (Marchi et al., 2014), which is itself based on the sawtooth bombardment time history proposed by Morbidelli et al. (2012), with a clear spike in the impact rate starting 4.1 Gyr ago. This impact history has a lower peak impactor flux than the classical LHB as well as a commonly-used monotonically-decreasing model (see Figure 1 in (Hopkins and Mojzsis, 2015)). We assume a total mass addition of  $1.0 * 10^{20}$  kg, a power-law distribution of impact sizes with an exponent -3.5 and a maximum size of 1000 km diameter. Impacts are assumed to add heat according to a commonly-used parameterisation; their direct mechanical effects are not modelled here.

Convection and lithospheric dynamics is simulated using StagYY (Tackley, 2000) with assumed physical parameters similar to those in recent papers (Lourenço et al., 2020; Tackley et al., 2013). The model includes strongly temperature-, pressure- and stress-dependent viscosity that combines diffusion creep at low stress with plastic failure at high stress. Physical proper-

ties vary with depth under the compressible anelastic approximation. Compositional variations between the endmembers basalt and harzburgite are included, with partial melting that can produce basaltic crust.

We simulate a time period of 500 Myr, from 4.2 to 3.7 Gyr before present.

## 3 Results

In this section, we first present the effects of a single impact, and then analyse the long-term evolution of the simulations, contrasting cases with impacts and without impacts, and in 3-D versus 2-D. Both visual aspects and quantitative measures (such as surface mobility and heat flux) are presented. Finally, we focus on compositional aspects, in particular the radial distribution of basaltic material and the radial distribution of impactor material.

### 3.1 Effect of an impact

Figure 1 shows the effect of a 172 km radius impact in a two-dimensional simulation. The heat addition generates some melt, some of which produces more crust and depleted harzburgitic material beneath. The buoyancy of the hot, depleted, partially molten material produces high stresses and low viscosity, which allows subduction to start at the edge of the impact site. The buoyant material upwells and spreads laterally while the subduction zones roll back. This process occurs very quickly; the second and third columns span only 1 Myr. This sequence of events is in line with previous simulations (Gillmann et al., 2016; O'Neill et al., 2017). In this case, continuous subduction is already taking place (at about 2 o'clock in the images) due to a prior impact (281 km radius impactor at a colatitude of 47 degrees). This subduction directly brings lithospheric material, including basaltic crust, into the lower mantle.

Figure 2 shows the effect of a 402.8 km radius impactor on surface fields of a three-dimensional simula-

tion. Two smaller impacts (100 km radius) can be seen in the second and third columns. A cross-section of this major impact is shown in Figure 3. As in 2-D cases, the lateral spreading causes subduction. The majority of the subducted material flows along the 660km discontinuity and only a small fraction reaches the lower mantle. Thus, the deeper mantle contains very little basaltic crust and impactor material. In contrast to the two-dimensional simulations, no continuous subduction events lasting for several million years were observed in 3-D. This is the first main difference observed between the two geometries.

### 3.2 Long-term evolution

Simulations with impacts can evolve quite differently from simulations without impacts, as we first explore visually for cases with a yield stress of 55 MPa. Figures 4, 5 and 6 show, respectively, the long term evolution of two-dimensional simulations, three-dimensional simulations and cross-sections of three-dimensional simulations. Cases with (top panels) and without (lower panels) impacts are compared and contrasted. Furthermore, two movies are attached showing the time-evolution of 3-D cases.

In two dimensions, the non-impact case displays a stagnant lid over the illustrated time, although there was some subduction earlier on. The basalt field shows a gradually-thickening crust and some basalt accumulation in the transition zone due to the "basalt barrier" mechanism (Davies, 2008; Yan et al., 2020). In contrast, the impacts case shows much evidence of short-lived subduction events, with many slab segments in the lower mantle. As a result, basaltic material accumulates above the core-mantle boundary (CMB) as well as in the transition zone. The crust is thinner than in the no-impact case but there is a thicker layer of depleted material below the crust.

In three dimensions the effect of impacts on tectonics is dramatic (Figure 5). The no-impact case again

displays a stagnant lid the entire time, while the impacts case displays considerable tectonic activity. In the basalt field, circular impact features are clearly visible while at later times, linear regions of thin crust caused by spreading zones are visible. The crustal thickness is on average much lower than in the no-impacts case. The viscosity field displays a complex mixture of low-viscosity impact features and at later times, linear low-viscosity features corresponding to zones of extension or subduction, i.e. tectonic plate boundaries. Zones of extension are characterized by higher stress, lower viscosity and a higher temperature.

In the three-dimensional cross-sections (Figure 6), it is clear that the no-impacts case is stagnant lid while in the impacts cases there is substantial subduction, although the lower mantle does not contain as many slab fragments as in the 2-D case. The impacts case again shows more basalt accumulation above the CMB and above the 660 km discontinuity.

### 3.3 Effect of the yield stress

The key parameter controlling the tectonic mode in visco-plastic mantle convection simulations is the yield stress, as has been much studied in the past (e.g. (Lourenço et al., 2020; Moresi and Solomatov, 1998; Tackley, 2000)). Here we study the influence of the yield stress on surface mobility, which is the ratio of the rms. surface velocity to the volume-averaged rms. velocity (Tackley, 2000). A ratio larger than about 1 means that the surface is mobile and that subduction is occurring while a value close to zero means that the surface is immobile, indicating the stagnant-lid regime (O'Neill et al., 2017; Tackley, 2000).

Figure 7 shows the relationship between the percentage of time that the surface is mobile (Mobility >1) and the yield stress for cases with both geometries and with and without impacts. Simulations without impacts display a clear and quite sharp transition from

mobile lid (plate) tectonics to a stagnant-lid regime at a yield stress of around 50 MPa. In strong contrast, cases with impacts maintain a mobile surface over a much wider range of yield stress (note that the horizontal scale of these graphs is different), with some periods of mobility even at yield stress exceeding 150 MPa. Thus, it is clear that impacts promote surface mobility for yield stress values that would normally cause a stagnant lid regime.

Comparing 2-D and 3-D, the trends are very similar both qualitatively and quantitatively, but there is more stochastic variation (randomness) in 2-D. This is something that is also apparent from other diagnostics discussed later.

Figure 8 shows the surface mobility vs. time for the three different cases in the both dimensions, with and without impacts. The sawtooth bombardment ramps up at 0.1 Gyr. For the lowest yield stress (45 MPa), all cases are generally mobile, although the no-impact cases revert to stagnant lid during for the last  $\sim 0.1$  Gyr of the simulations. The higher yield stress cases (85 and 125 MPa) display a clearer distinction. In these, the no-impact cases are stagnant lid except for an early burst of subduction in the 85 MPa cases. The impact cases, in contrast, are mostly mobile after 0.1 Gyr when the impact-rate sawtooth kicks in. Comparing 2-D and 3-D cases, the 2-D cases display more variability, with mobility sometimes being 0 and sometimes above 2. The 3-D cases are also quite time-dependent but the mobility is almost never 0 and the peaks are lower than those of the 2-D cases. Particularly in the 85 and 125 MPa 3-D cases, the mobility gradually decreases with time as the impact rate decreases, approaching 0 by the end of the simulations. This indicates that once the impacts stop, the tectonic mode returns to what it was before the impacts - there is no permanent kick-starting of plate tectonics.

The relationship between periods of surface mobility and individual large impacts is shown in Figure 9. Spikes in mobility are often associated with impacts, as expected. Not all the  $\sim 12'000$  impacts occurring during the simulations are shown; instead only the largest ones as indicated by the right-hand vertical axes. In 2-D, only the impactors that influence the equatorial annulus, i.e. occur with their own generated heat anomaly of the equator, are shown. Clearly, the number of impacts influencing the 2-D cases is far lower than the total number of impacts in 3-D. On the other hand, each impact has a stronger influence on the 2-D slice than it does in a 3-D domain, causing mobility that lasts for several tens of millions of years. When there hasn't been an impact for some time, the system returns to a stagnant lid state. These two points: fewer impacts each with more influence, explain the difference in mobility time series between 2-D and 3-D models.

### 3.4 Radial distribution of basalt

As basaltic crust is produced at the surface, the extent to which basalt is present at various depths in the mantle gives a clear indication of crustal recycling and mantle mixing. Figure 10 shows radial profiles of the azimuthally-averaged basalt fraction of the mantle at the end of each simulation. A composition of 1 is basalt and 0 is harzburgite. All simulations start homogeneous with basalt fraction 0.2.

The compositional profiles of the mantle are similar between all the cases except above the CMB, around the 660 km discontinuity and near the top. At the CMB and 660 km discontinuity, the amount of basalt is dependent on the amount of subducted basalt and thus on the yield stress. The lower the yield stress, the more basalt accumulates above the CMB and 660 km discontinuity. 2-D and 3-D cases are somewhat similar but 2-D models display much more basalt accumulation above the CMB. The no-impacts case is also

306 shown for 85 MPa yield stress. In both geometries,  
307 compared to the equivalent impacts case this displays  
308 much less basalt accumulation above the CMB. It also  
309 displays less depletion (harzburgite) below the crust.  
310 Impacts generate melt in this region, thereby depleting  
311 it.

312 The top panels of Figure 11 show the time-evolution  
313 of the mean basaltic composition around the 660 km  
314 discontinuity (between 640 and 690 km depth) over the  
315 simulated 0.5 Gyr. The basalt fraction clearly increases  
316 with time, even in the no-impact cases. In no-impact  
317 cases, dripping/erosion is responsible for removing the  
318 base of the crust. Sudden increases and decreases are  
319 due to a slab flowing for a while along the disconti-  
320 nuity and then sinking into the lower mantle, which  
321 can cause the basaltic composition to drop suddenly.  
322 As the yield stress increases and surface mobility de-  
323 creases, such sudden changes become rarer. The 45  
324 MPa cases indicate that impacts increase crustal recy-  
325 cling and radial mixing even in cases that are anyway  
326 mobile lid.

327 The lower panels of Figure 11 show the time evo-  
328 lution of the mean basalt fraction of the bottom 225  
329 km of the mantle. The simulations with impacts gen-  
330 erally contain more above-CMB basalt than the sim-  
331 ulations without impacts, particularly when the no-  
332 impact cases are stagnant lid. This is particularly pro-  
333 nounced in the 2-D impact cases with 85 and 125 MPa  
334 yield stress. This indicates that impact-induced sub-  
335 duction is more likely to happen in 2D and that more  
336 material is subducted during such an event. Simula-  
337 tions without impacts generally have less basalt in  
338 the deep mantle. Sudden increases of the deep man-  
339 tle basalt fraction are linked to a sudden decrease of  
340 the basaltic composition at the 660 km discontinuity,  
341 indicating a causal linkage.

To sum up, the amount of recycled basalt at vari- 342  
ous depths in the mantle is dependent on the surface 343  
mobility and on the geometry of the model. 344

### 3.5 Distribution of impactor material 345

Whether or not late veneer material was mixed 346  
throughout the mantle has implications for geochem- 347  
ical observations. Thus, we track impactor material, 348  
starting with the simple assumption that impactor 349  
material initially exists at the impactor site. In real- 350  
ity, impactor material might be distributed in a more 351  
complex manner that is dependent on the impact an- 352  
gle (e.g. (Golabek et al., 2018)) but that is beyond the 353  
scope of the present study. 354

The radial distribution of impactor material at the 355  
end of the simulated period (Figure 12) is different be- 356  
tween the 2-D and 3-D models. In 3-D simulations the 357  
impactor material resides mostly in the upper man- 358  
tle with only a small fraction of it reaching the lower 359  
mantle, particularly for higher yield stresses, for which 360  
a substantial amount of impactor material also stays 361  
in the crust. In contrast, in 2-D cases, impactor mate- 362  
rial is distributed fairly evenly throughout the mantle 363  
regardless of yield stress, with even a peak above the 364  
CMB. This is consistent with earlier observations that 365  
in 2-D, impact-induced subduction events are stronger 366  
and easily reach the lower mantle whereas in 3-D they 367  
are weaker and tend to get trapped by the 660 km 368  
discontinuity. 369

The spatial distribution of impactor material is 370  
shown in Figure 13, which helps to explain some obser- 371  
vations from Figure 12. In 3-D the impactor material 372  
is much more prevalent and better mixed in the upper 373  
mantle than the lower mantle, as compared to in 2-D. 374  
In neither geometry could the material be described 375  
as well mixed - the distributions are still very hetero- 376  
geneous. In most cases, there is an accumulation of 377  
impactor above the 660 km discontinuity just as there 378  
is with subducted crust: the two often travel together. 379

380 The bottom right plot (2-D, 125 MPa) is somewhat  
 381 anomalous in its distribution of impactor material. In  
 382 this case the system alternates between stagnant lid  
 383 and impact-induced subduction, with only few sub-  
 384 duction events taking place during the period of sim-  
 385 ulations, but each subduction event can bring a lot  
 386 of surface material into the deep mantle, as seen here:  
 387 In the left part of the simulations plane a recent sub-  
 388 duction event has brought much impactor in the deep  
 389 mantle.

### 390 3.6 Time series of surface heat flux

391 Impacts can also greatly influence the thermal evolu-  
 392 tion. Here we analyse their influence on the surface  
 393 heat flux, including both conductive heat flux and  
 394 eruptive heat flux. Eruptive heat flux, which is the  
 395 sum of heat loss by cooling of erupted magma from  
 396 its initial temperature to the surface temperature and  
 397 latent heat release due to its solidification (Armann  
 398 and Tackley, 2012; Nakagawa and Tackley, 2012) is a  
 399 potentially important heat loss mechanism during the  
 400 Hadean even when impacts are not present (Lourenço  
 401 et al., 2018; Moore and Webb, 2013; Nakagawa and  
 402 Tackley, 2012). Eruptive heat flux is directly related  
 403 to crustal production rate, so we do not separately  
 404 plot that here.

405 The conductive heat flux is plotted in the top panels  
 406 of Figure 14. For non-impact cases, the heat flux is sim-  
 407 ilar in 2-D and 3-D: low (10-30 TW) for the stagnant-  
 408 lid 75 MPa yield stress case and high (about 80 TW)  
 409 for the mobile-lid 35 MPa yield stress cases. Impacts  
 410 greatly increase the conductive heat flux for the cases  
 411 that would normally be stagnant lid (75 and 125 MPa  
 412 yield stress). As with other diagnostics considered ear-  
 413 lier, the effect is higher and more time-dependent in  
 414 2-D cases. Impacts also slightly increase the conduc-  
 415 tive heat flux for the normally mobile-lid 35 MPa yield  
 416 stress cases.

Eruptive heat flux (lower panel of Figure 14) shows  
 similar trends. For non-impact cases it is of similar  
 magnitude to the conductive heat flux for mobile-lid  
 (35 MPa) cases but substantially lower for the stagnant  
 lid (75 MPa) cases. Impacts bring all cases into the  
 same range as for mobile-lid cases, with much more  
 variability for the 2-D case.

Higher surface heat flux in the cases with impacts  
 does not necessarily mean faster mantle cooling be-  
 cause much of the additional heat is that added by  
 the impacts, although we do not attempt to decom-  
 pose this here. At first glance, the low eruptive heat  
 flux in the stagnant-lid cases (no impacts 75 MPa)  
 seems to contradict previous findings cited above that  
 eruptive "heat pipe" heat loss could be important in  
 young stagnant-lid planets, but actually what happens  
 in models of such planets is that the mantle heats up  
 with time because conductive heat loss is less than ra-  
 diogenic heat addition (as it is here) until magmatic  
 heat loss becomes high enough to prevent further heat-  
 ing up (as in e.g. the simulations of Armann and Tack-  
 ley (2012)). This heating up does not have time to  
 happen in the 500 Myr simulated here.

## 400 4 Discussion

401 A robust finding from the above analyses is that the  
 402 effects of impacts is different in 2-D models than in  
 403 3-D models. In 2-D models the effect of each impact is  
 404 larger because the impact is effectively infinite in the  
 405 out-of-plane direction. Induced subduction is stronger,  
 406 more likely, and more able to penetrate the 660 km dis-  
 407 continuity into the lower mantle. Acting against this,  
 408 the number of major impacts that influences the 2-D  
 409 equatorial plane is much less than the total number  
 410 hitting the planet. The net result is that 2-D models  
 411 display more time-dependence with larger variability  
 412 in mobility, heat flux and eruption (crustal produc-  
 413 tion) rate, and display more deep recycling of basaltic  
 414 crust and of impactor material.



In 3-D, impact-induced subduction tends to be weaker and less material penetrates the 660 km discontinuity to reach the lower mantle. This has consequences for the radial distribution of impactor material and recycled basaltic crust. The lower mantle is, in 3-D simulations, lacking in these two types of material compared to the two-dimensional simulations. The main consequence is that the impactor material is not as well mixed in 3-D, with a lot of impactor material staying in the upper mantle.

Thus, the choice of model geometry has an influence on the results. A three-dimensional model should be more realistic, giving a better guide as to the influence of impacts on subduction and the possible initiation of plate tectonics. It also gives richer predictions since it predicts two-dimensional surface fields rather than just sections. However, there are many similarities between the results in 2-D and in 3D so if the limitations are borne in mind, two-dimensional models are a useful exploratory tool, allowing one to run more simulations because they run much faster and produce much less data.

In recent years there has been much debate over the time history of impactor flux, with the previously often-accepted idea of a Late Heavy Bombardment (e.g. (Bottke and Norman, 2017; Morbidelli et al., 2012)) losing ground to that of a monotonically-decreasing impactor flux (e.g. (Brasser et al., 2020; Michael et al., 2018; Morbidelli et al., 2018; Zhu et al., 2019))). Therefore, it is important to consider what a different impactor history might imply for these calculations. Firstly, we note that the peak impact rate of the "Lunar Sawtooth Bombardment" (Morbidelli et al., 2012) assumed here is already lower than that of the classical LHB concept (see Figure 1 in (Hopkins and Mojzsis, 2015)) and that the impact rate coincides with that of the often-used exponential decay model of (Neukum and Ivanov, 1994) after 4.1 Gyr before

present (figure 3 in (Morbidelli et al., 2012)). Thus, if the simple exponential decay model was assumed, there would be more impacts during the first 100 Myr of the simulation and the same number otherwise. A recent probabilistic estimate of impact flux vs. time (Brasser et al., 2020) suggests lower impact fluxes by a factor of several at earlier times. This would result in less frequent induced subduction in the simulations.

## 5 Conclusions

Here we have examined the influence of Hadean to Eoarchean impacts on subduction and plate tectonics and mantle mixing using three- and two-dimensional numerical models. The simulations show that impacts can have a huge effect on tectonics, inducing subduction and resulting in a higher surface mobility, greater crustal production and greater recycling of crust. In both geometries, impacts can induce subduction in cases that would otherwise have a stagnant lid, facilitating lid mobility over a large range of yield stress values. In such models, subduction is a direct consequence of impacts and after the impact flux dies down, subduction stops and the system returns to being stagnant lid. Thus, impacts do not influence the long-term tectonic mode: they cannot permanently start plate tectonics.

These broad conclusions are consistent with those presented by O'Neill et al. (2017) based on two-dimensional models (the paper mentions a 3-D model, but no 3-D results are presented). It is encouraging that similar results are obtained using two completely different numerical codes with somewhat different model setups. For example, O'Neill et al. (2017) included dislocation creep and Peierl's creep, which we do not; while we have a more detailed parameterisation of phase transitions. Furthermore, we analyse three-dimensional models, include melting and crustal production, and study compositional mixing, focussing

530 on the distribution of recycled basaltic crust and of im-  
531 pactor material.

532 It is important to highlight the differences between  
533 the two- and three-dimensional numerical models.  
534 Firstly, while the average surface mobility behaves sim-  
535 ilarly in 2-D and 3-D models, the implications for the  
536 deep mantle are quite different. In 2-D models, subduc-  
537 tion of basaltic crust and impactor material into the  
538 lower mantle occurs over a much wider range of yield  
539 stress values in 2-D compared to 3-D. In 2-D, sub-  
540 duction is easier for an impact to trigger, lasts longer  
541 and tends to reach the lower mantle, whereas in 3-D,  
542 impact-induced subduction is weaker, short-lived and  
543 tends to reach only the upper mantle.

544 Secondly, there is less recycled basaltic crust in the  
545 lower mantle in three-dimensional cases than in two-  
546 dimensional cases with identical parameters. This is  
547 linked to the absence of continuous subduction in 3-D.  
548 Thirdly, the radial distribution of impactor material  
549 differs with geometry. In 2-D, the impactor material  
550 spreads throughout the mantle, allowing the mantle to  
551 be enriched in HSEs and other elements coming from  
552 the impactor material, whereas in 3-D it mainly stays  
553 in the upper mantle. This is again a consequence of  
554 the absence of continuous subduction in 3D. Thus, the  
555 consequences of an impact are more important in 2D.

556 To sum up, the results show that Hadean and  
557 Eoarchean impacts delivering the late veneer are very  
558 important for Hadean and Eoarchean tectonics, crustal  
559 production and mantle mixing on Earth. In some cases,  
560 the addition of impacts can shift the tectonics regime  
561 from a stagnant-lid towards a plate-like regime. Such  
562 impacts were also likely to have been important on  
563 Venus, as was already argued for Venus' outgassing  
564 history (Gillmann et al., 2020). Thus, in order to un-  
565 derstand the first billion years of Earth's and Venus'  
566 history, it is essential to consider impacts.

## 6 Methods 567

568 First, we detail the impact model, then the convection  
569 model and finally the cases run.

### 6.1 Impact History Model 570

571 As discussed earlier, several chronologies for impactor  
572 flux versus time have been proposed. Here, for compar-  
573 ison with previous results, we assume the sawtooth-like  
574 impact history proposed by Morbidelli et al. (2012) for  
575 the Moon. This model predicts a sudden increase in  
576 impact rate 4.1 Gyr before present. Before the start  
577 of the LHB, Morbidelli et al. (2012) use two different  
578 curves to bracket their estimate. The equation for the  
579 lower bracket is:

$$\frac{dN_{20}}{dt} = 0.02 * e^{-\left(\frac{4.5-t}{0.01}\right)^{0.5}} \quad (1) \quad 580$$

581 and the equation of the upper bracket is:

$$\frac{dN_{20}}{dt} = 0.025 * e^{-\left(\frac{4.5-t}{0.003}\right)^{0.34}} \quad (2) \quad 582$$

583 After the increase in impactor flux at 4.1 Gyr, the  
584 equation becomes:

$$\frac{dN_{20}}{dt} = 2.7 * 10^{-16} * e^{6.93*t} + 5.9 * 10^{-7} \quad (3) \quad 585$$

586 These equations give the number of craters larger than  
587 20 km per  $km^2$  per Gyr.

588 This lunar impact history was re-scaled for the Earth  
589 by Marchi et al. (2014). The key parameters are the  
590 total mass of LHB impactors and the size distribu-  
591 tion. Spatially, impacts are assumed to be equally dis-  
592 tributed over Earth's surface. Additionally, a model  
593 for the effect of an impact on Earth's interior is neces-  
594 sary, which depends on the velocity and density of the  
595 impactor and its impact angle.

#### 6.1.1 Total mass of impactors 596

597 The total mass accreted by the Earth after initial  
598 formation, or "Late Veneer", has been estimated us-

ing geochemical constraints. Marchi et al. (2014) estimated this mass using highly siderophile elements (HSEs) and found that it lies between  $\sim (0.7 - 3.0) * 10^{22} \text{ kg}$ , representing up to 0.5% of Earth's mass. Here we assume that a value at the lower end of this range: a total mass of  $1 * 10^{22} \text{ kg}$  (only 0.17% of Earth's mass), was added by the later stage of bombardment. For simplicity we assume that the density of the impactors is equal to the density of the planet.

### 6.1.2 Size distribution of impacts

We assume a simple power-law

$$\frac{dN}{dr} = a \left( \frac{r}{r_0} \right)^{-\alpha} \quad (4)$$

with  $\alpha = -3.5$ , a commonly cited value (de Pater and Lissauer, 2015). For this exponent, most of the mass is delivered by the largest impactors, so the largest impactor size is an important parameter. We assume a largest impactor diameter of 1000 km, roughly the size of Ceres, the largest asteroid, following O'Neill et al. (2017). The smallest impactor diameter is 20 km, arbitrarily chosen in order to avoid having to process a large number of very small impacts that have negligible effect. Given the total mass, size distribution and time history, impacts are generated randomly at each time step. Each simulation has a different random impact history. The normalized size distribution of 30 simulations is shown in Figure 15. Impacts larger than 100 km radius are rare, therefore the distribution above this size is rather stochastic.

### 6.1.3 Spatial distribution of impacts

It is assumed that each point on Earth's surface has an equal probability of being hit by an impact. Thus, the probability distribution is flat with respect to longitude and sinusoidal with respect to colatitude. Impact locations are generated randomly over the full sphere even for 2-D simulations; the 2-D domain is assumed

to be an annulus around the equator and thus only impacts that are close to this plane will influence it.

## 6.2 Effects of an impact

As in previous studies on the influence of impacts on the mantle, we consider only the thermal effect of an impact, not its mechanical effect such as the formation of craters, ejecta and the redistribution of mass. Our model is based on that of Senshu et al. (2002) and later used by (Monteux et al., 2007) and others (e.g. (Gillmann et al., 2016; Golabek et al., 2011)). An impact creates a shock wave that propagates spherically. Around the impactor position, the pressure rises and is homogeneous, forming an isobaric core.

The ratio,  $\gamma_{ic}$ , between the radius of the isobaric core ( $r_{ic}$ ) and the impactor size ( $r_{imp}$ ) is given by the following formula from Senshu et al. (2002):

$$\gamma_{ic} = \frac{r_{ic}}{r_{imp}} = 3^{\frac{1}{3}} \approx 1.44 \quad (5)$$

The pressure increase caused by the impact decreases away from the isobaric core as the square of the distance  $r$  from the center of the isobaric core (Monteux et al., 2007). The temperature increase in the isobaric core ( $T_0$ ) is then given by Monteux et al. (2007):

$$T_0 = \frac{4\pi}{9} \frac{\gamma}{f(m)} \frac{\rho G R^2}{C_p} \quad (6)$$

where  $\gamma$  is the fraction of kinetic energy converted into thermal energy (around 30%) and the function  $f(m)$  describe the volume that is heated and is equal to 2.7 in our case. This equation is valid if the impact velocity is equal to the escape velocity. When the ratio  $\frac{v_{imp}}{v_{escape}}$  is larger than one the above equation must be multiplied by the square of this ratio (Gillmann et al., 2016). Here we assume an impactor velocity of 25 km/s, which is 2.235 times Earth's escape velocity. So, according to this model, the temperature increase does not depend on the size of the impactor. The temperature increase decreases as a function of the distance,  $r$ , from the

center of the isobaric core as given by (Golabek et al., 2011):

$$T(r) = \Delta T \left( \frac{r_{ic}}{r} \right)^{4.4} \quad (7)$$

### 6.3 Convection Model

We simulate compressible mantle convection with strongly varying viscosity and partial melting that can produce basaltic crust in either a 3-D spherical shell or a 2-D spherical annulus (Hernlund and Tackley, 2008) (similar to an equatorial slice of the 3-D spherical model) using StagYY (Tackley, 2008). StagYY uses a finite volume method to solve the Stokes equation for velocity and pressure at each time step, and millions of Lagrangian tracers to track composition and melting (Tackley, 2008). It solves the diffusion, viscous dissipation and adiabatic heating terms using finite differences (Tackley, 2008). The boundaries at the surface and at the CMB are free-slip and isothermal, with the core cooling as heat is removed from it.

The model setup and parameters are similar to those assumed in (Lourenço et al., 2020; Tackley et al., 2013). A compressible mantle is assumed with the material properties being pressure-dependent (Tackley et al., 2013) and including the major phase transitions in the olivine and pyroxene-garnet systems. Partial melting may occur when the temperature reaches the solidus, producing basaltic melt, which immediately erupts to form basaltic crust if it is shallower than 300 km depth. The initial composition of the entire domain is 80% harzburgite and 20% basalt, and the local composition evolves with time due to melting-induced differentiation. Reasonable density profiles are assumed for the basalt and harzburgite end-members, with basalt being denser than harzburgite at most depths but less dense in a region below 660 km, allowing some basalt to be trapped in this region, as pre-

viously studied (e.g. (Davies, 2008; Yan et al., 2020)). The rheology is detailed in the next section.

Simulations are run for a period of 500 Myr, covering 4.2 Gyr to 3.7 Gyr before present. The mantle temperature is initialised to an adiabat with 1800 K potential temperature plus 50 km thick thermal boundary layers at top and bottom and random temperature perturbations of amplitude 20 K. The initial CMB temperature is 4200 K and the surface temperature is 300 K.

Additionally, plastic yielding is included in order to failure of the lithosphere, which can result in plate-like behaviour, episodic overturn or stagnant lid tectonics depending on the assumed value of the yield stress (Moresi and Solomatov, 1998; Tackley, 2000). The yield stress is thus one of the main parameters that was changed in the different simulations. Reference viscosity  $\eta_0$  was changed in some.

#### 6.3.1 Viscosity law

It is assumed that the dominant deformation mechanism is diffusion creep, with plastic failure occurring at higher stresses. An Arrhenius law is used to describe the basic temperature- and pressure-dependence of viscosity:

$$\eta(T, p)_{diff} = \eta_0 \exp \left[ \frac{E + pV(p)}{RT} - \frac{E}{RT_{0,\eta}} \right] \quad (8)$$

where  $E$  is the activation energy,  $p$  is the pressure,  $T$  is the absolute temperature and  $V$  is the activation volume, which can be pressure-dependent as in Tackley et al. (2013).  $\eta_0$  is the reference viscosity, which is the viscosity at the reference temperature  $T_{0,\eta}$  of 1600 K and zero pressure.  $E$  and  $V$  may be different for each phase. Here we use the rheological parameters in Tackley et al. (2013), in which all upper mantle phases have the same activation parameters based on Karato and Wu (1993), all lower mantle phases except post-perovskite have the same activation parameters

based on Ammann et al. (2009), there is no intrinsic viscosity jump between upper and lower mantles, but a 0.1 viscosity jump going from Bridgmanite to post-perovskite, which has activation parameters based on (Ammann et al., 2010).

### 6.3.2 Yield stress

Plastic yielding is assumed, which can lead to plate tectonics-like behaviour (e.g. Moresi and Solomatov (1998); Tackley (2000)). The yield stress has brittle and a ductile components (Schierjott et al., 2020).

$$\sigma_y = \min(\sigma_{duct}, \sigma_{brittle}) \quad (9)$$

The brittle yield stress is proportional to pressure with a friction coefficient of 0.5,

$$\sigma_{brittle} = c_f * P \quad (10)$$

where  $c_f$  is the Byerlee's law friction coefficient.

while the ductile yield stress is given by:

$$\sigma_y = \sigma_{duct} + \sigma'_{duct} p \quad (11)$$

where  $\sigma_{duct}$  is the surface yield stress (at  $p=0$ ), which is the main parameter varied, and  $\sigma'_{duct}$  is the vertical gradient of the ductile yield stress, assumed to be 0.001 to avoid yielding in the deep mantle. .

The effective viscosity is the minimum of the diffusion creep viscosity and the yielding viscosity:

$$\eta_{eff} = \min\left(n_{diff}, \frac{\sigma_y}{2\dot{\epsilon}}\right) \quad (12)$$

where  $\dot{\epsilon}$  is the second invariant of the strain rate tensor.

The final viscosity value is truncated between  $10^{18}$  Pa s and  $10^{26}$  Pa s.

## 6.4 Geometries

3-D spherical models use the yin-yang grid with 64 radial cells and 192x64x2 azimuthal cells. As a resolution

test, one case was repeated with 288x96x2 azimuthal cells and diagnostics such as the time evolution of mobility and radial distribution of basalt were found to be almost the same. The two-dimensional simulations use a full spherical annulus with the same radial resolution of 64 cells and a horizontal resolution of 512 cells. Radial grid refinement gives higher radial resolution near surface, the 660 km discontinuity the CMB (Schierjott et al., 2020). This annulus is a 2-D slice from a spherical shell domain taken at the equator and neglecting all terms that depend on latitude (Hernlund and Tackley, 2008). Some impacts can happen outside the plane of simulation but still have an effect on the simulation itself. Higher resolution simulations with 1024 x 128 cells display similar results, indicating that the lower resolution is sufficient.

## 6.5 Cases

We ran more than 350 two-dimensional simulations and around 60 three-dimensional simulations, changing mainly the yield stress. Table 1 gives a short overview of the range of each parameter used for the different simulations.

**Table 1 Main parameters of the simulations**

Start time of the simulations	4.2 Gyr
Start time of the impacts	4.1 Gyr
Duration of the simulations	0.5Gyr
Reference viscosity, $\eta_0$	1.0e20 Pa s
Yield Stress	35-245 MPa
Dimensions	2-D & 3-D
Initial Temperature	1800 K
Surface Temperature	300 K
CMB Temperature	4200 K

The simulations ran on the ETH Euler cluster on 32 cores for two-dimensional simulations and on 64 cores for the three-dimensional simulations.

### Abbreviations

CMB: Core-mantle boundary; LHB: Late Heavy Bombardment; YS: Yield Stress;

798 **Availability of data and material**

799 The datasets used and/or analysed during the current study are available  
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801 **Competing interests**

802 The authors declare that they have no competing interest.

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808 **Authors' contributions**

809 PJT proposed the topic, conceived and designed the study. XB carried out  
810 the experimental study, analyzed the data and wrote the first manuscript  
811 draft. PJT helped in the interpretation and in writing the final manuscript.  
812 Both authors have read and approved the final manuscript.

813 **Authors' information**

814 XB is studying for a Masters Degree in Earth Sciences at ETH Zurich and  
815 performed this study as his Bachelors thesis. PJT has been a professor in  
816 the Institute of Geophysics at ETH Zurich since 2005 and has studied  
817 mantle convection using numerical simulations since the early 1990s.

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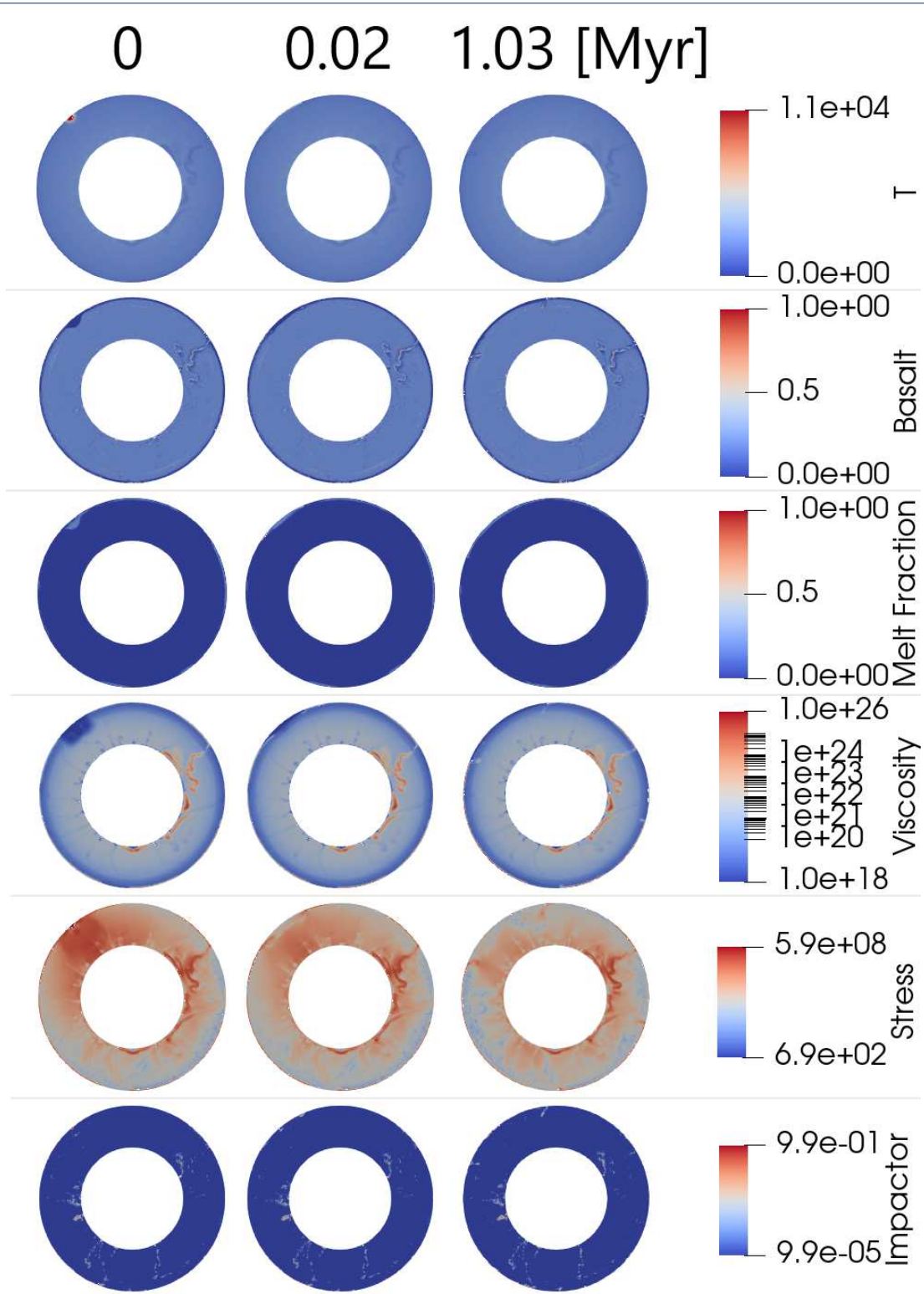
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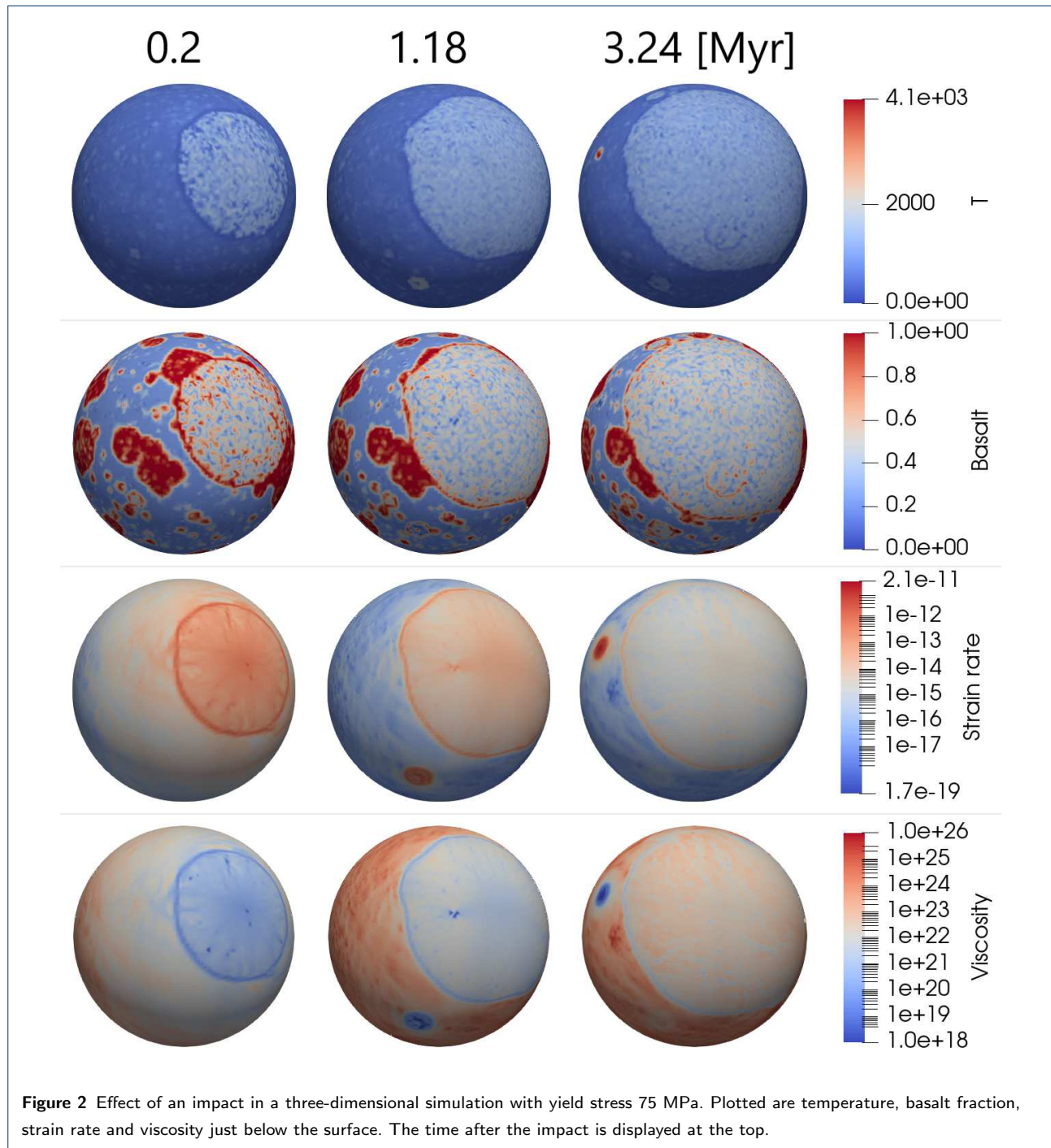
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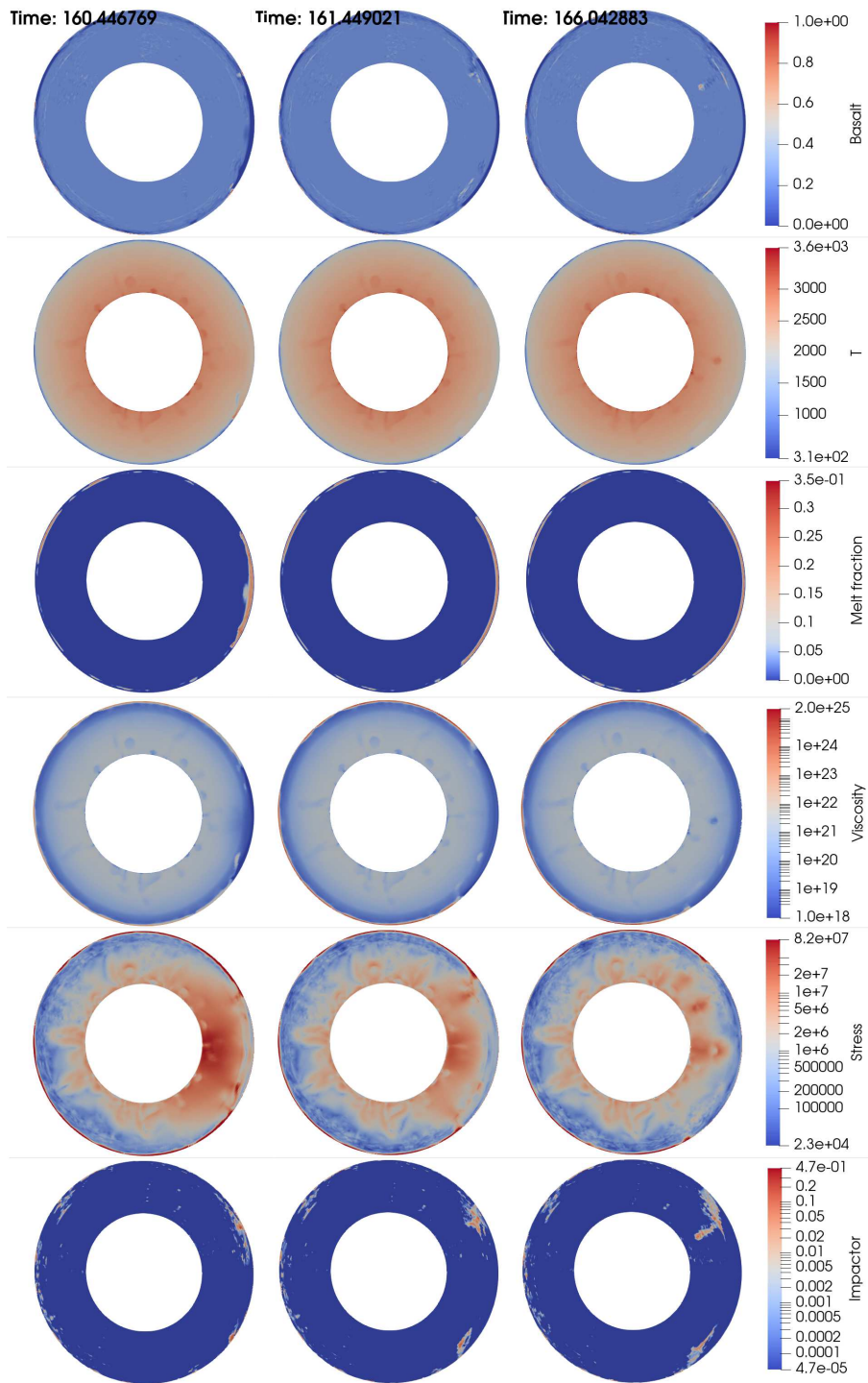
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1002	Wunnemann, K (2019) Reconstructing the late-accretion history of	Animation 1	1007
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1004	doi:10.1038/s41586-019-1359-0	with and without impacts from Figure 5. The basalt fraction field is plotted.	1009
		Animation 2	1010
		Animation showing 400 Myr evolution of a three-dimensional simulation with	1011
		impacts and a yield stress of 45 MPa, showing temperature, strain rate and	1012
		basalt at the surface and a cross-section showing the distribution of impactor	1013
		material in the mantle.	1014





**Figure 1** Effect of an impact in a two-dimensional simulation with yield stress 75 MPa. Plotted are temperature, basalt, melt fraction, viscosity, stress and impactor fields. The time after the impact is displayed on the top.





**Figure 3** Cross-section of the frames in Figure 2 at the same times, showing the same fields plus melt fraction and viscosity.



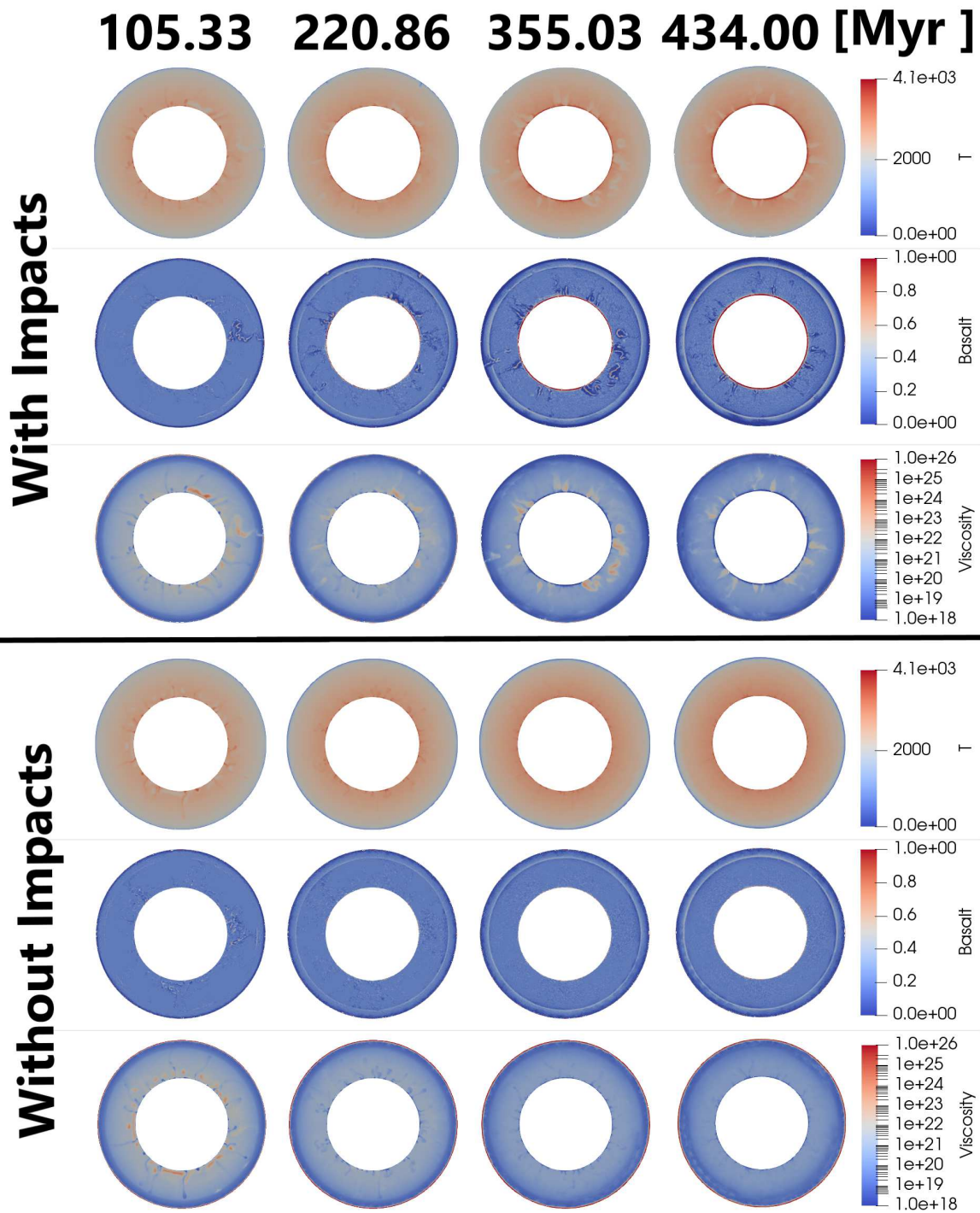
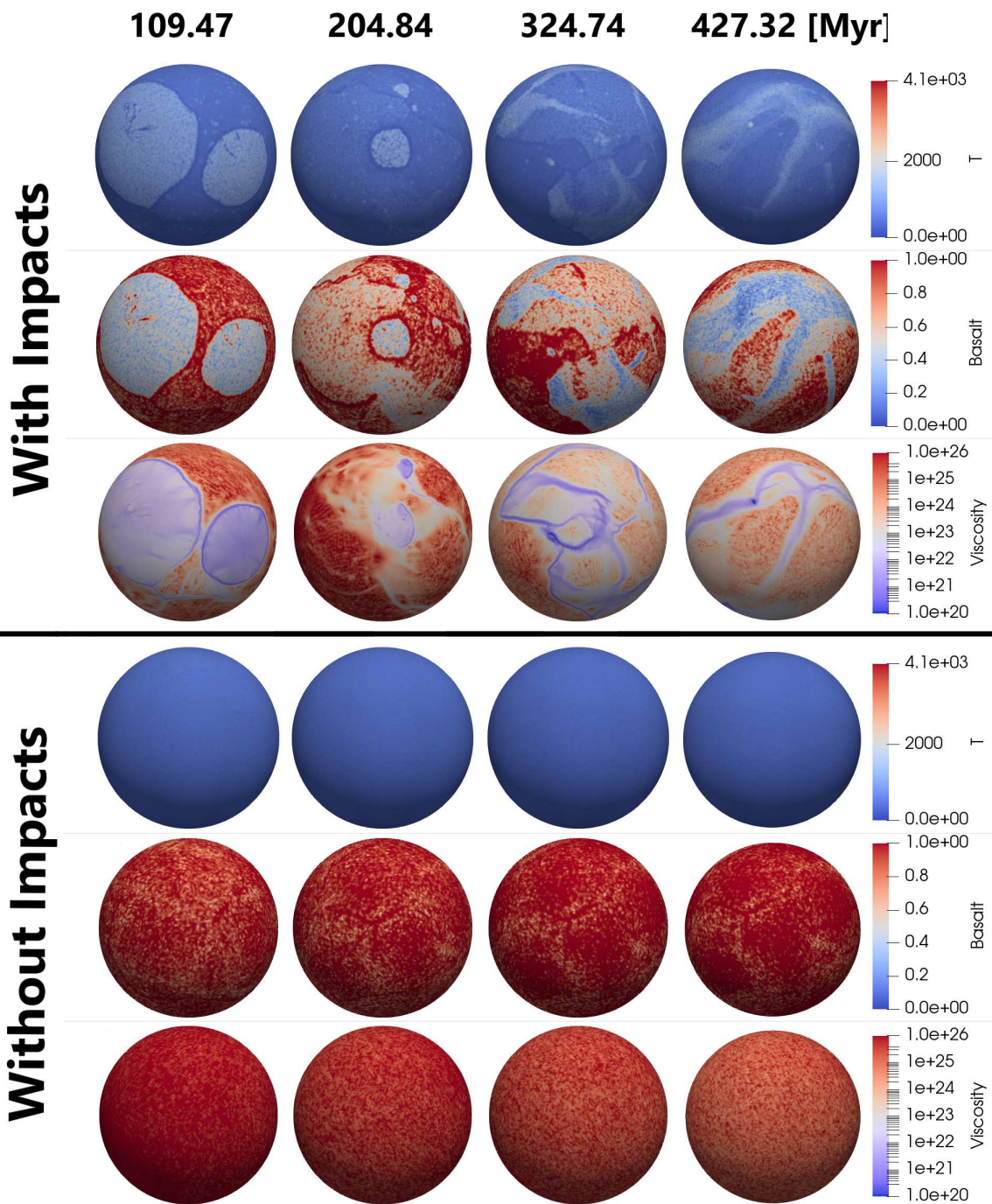


Figure 4 Long term evolution of two-dimensional simulations with and without impacts with a yield stress of 55 MPa. Temperature, basalt fraction and viscosity fields are shown.



**Figure 5** Long term evolution of three-dimensional simulations with and without impacts with a yield stress of 55 MPa. Temperature, basalt fraction and viscosity just below the surface are plotted. Animations of the long-term evolution are provided in additional files.



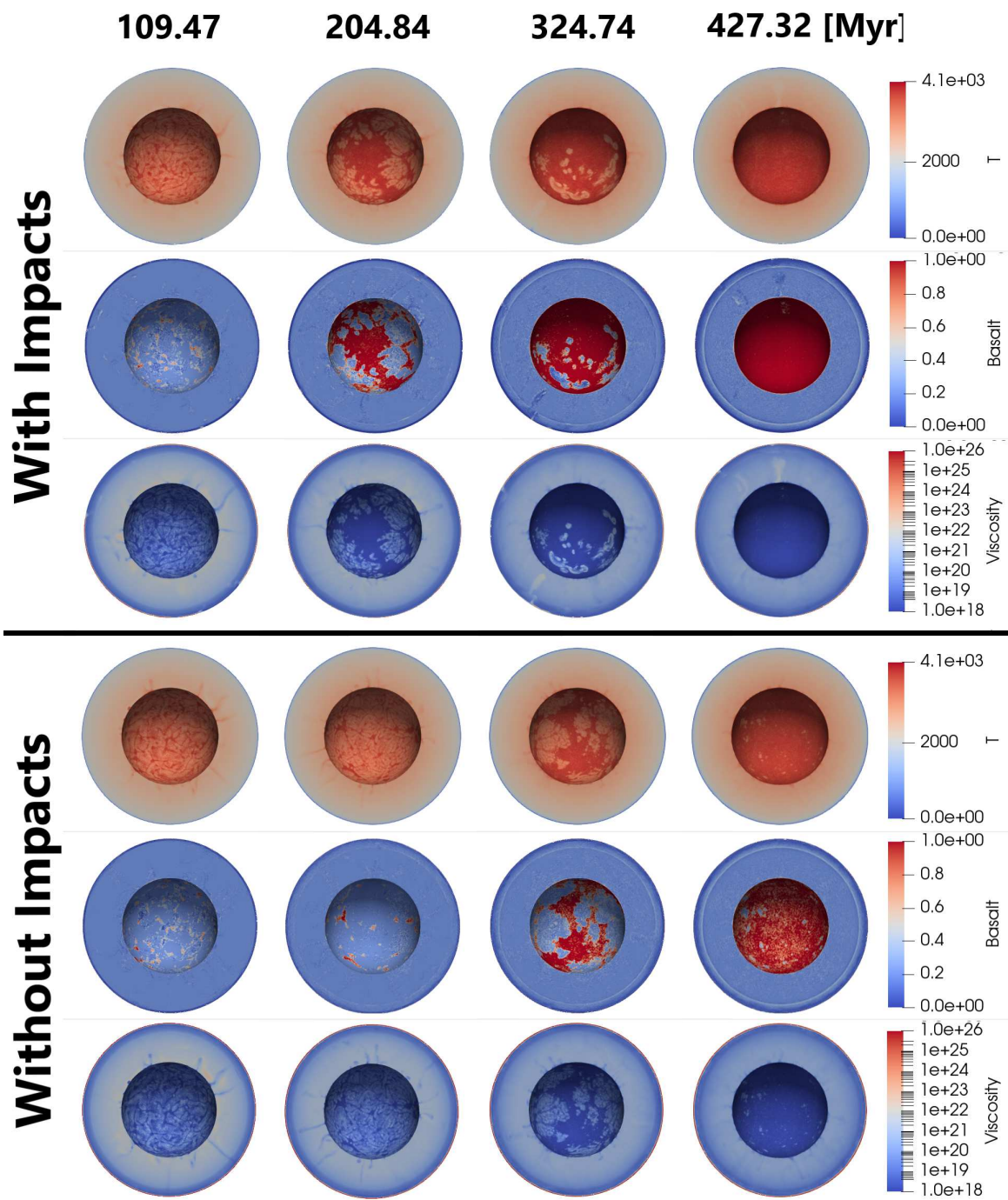
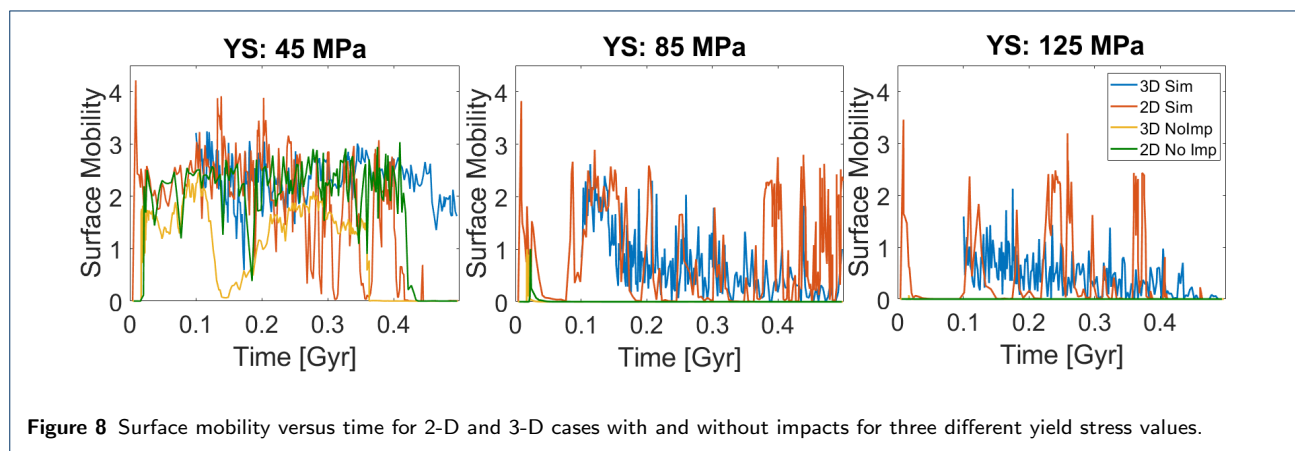
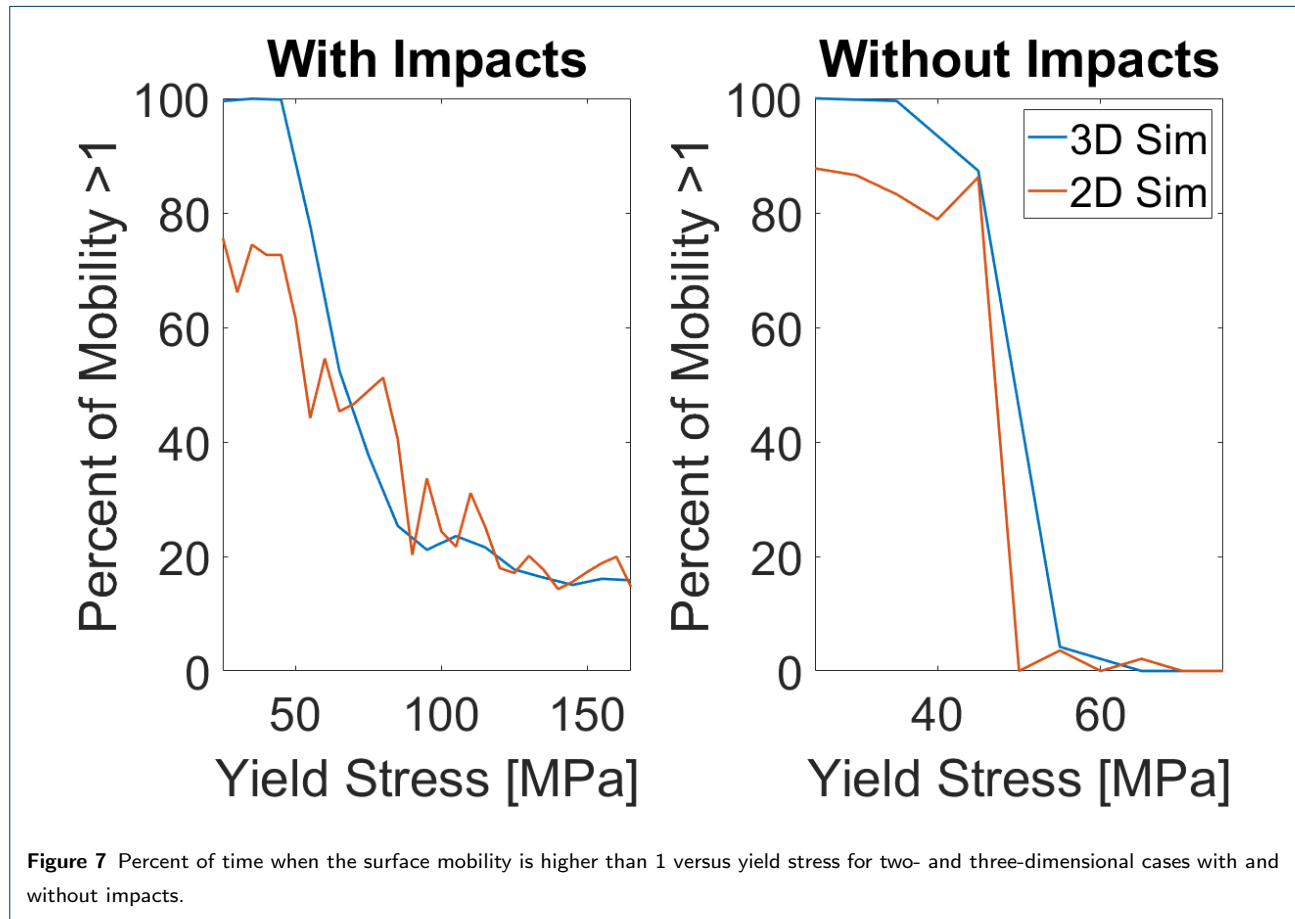
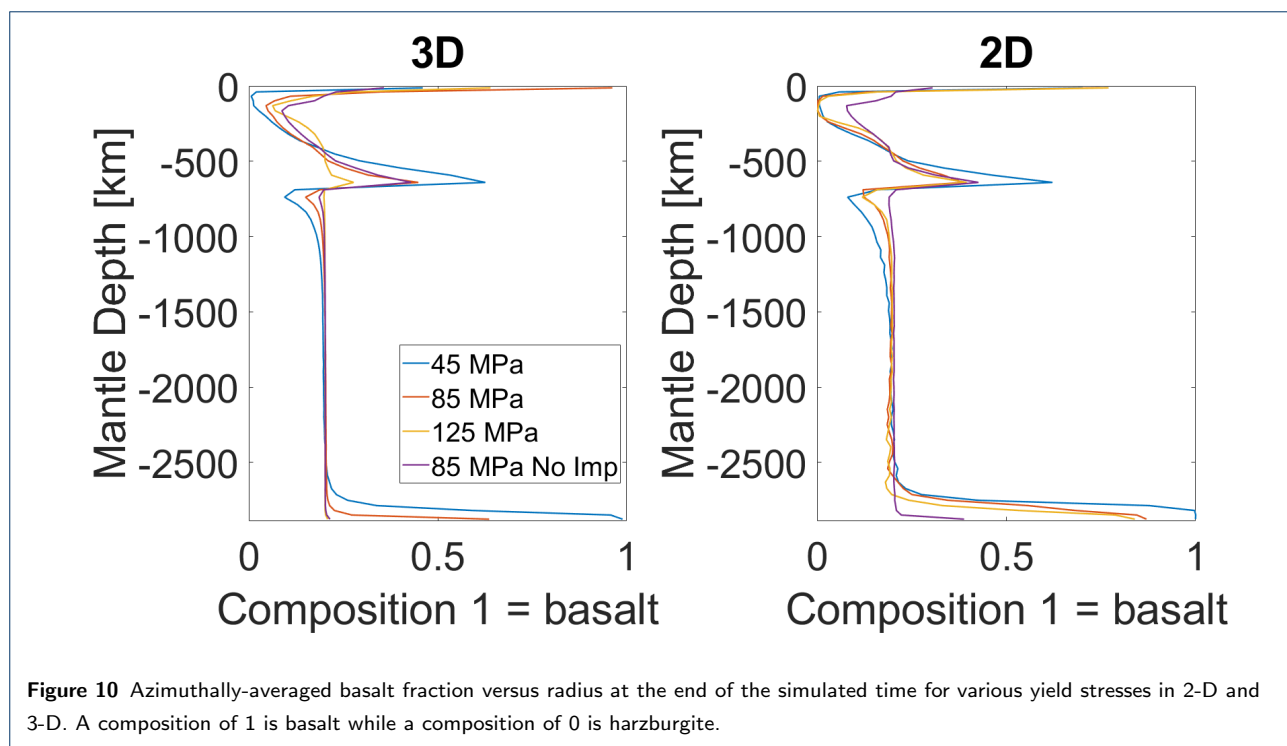
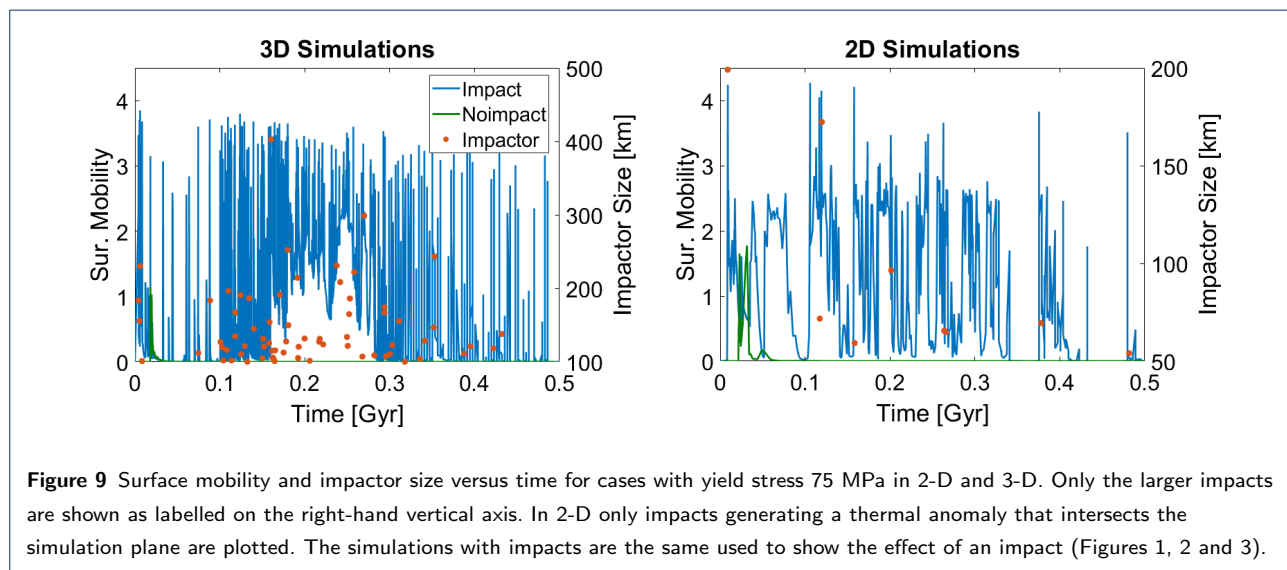
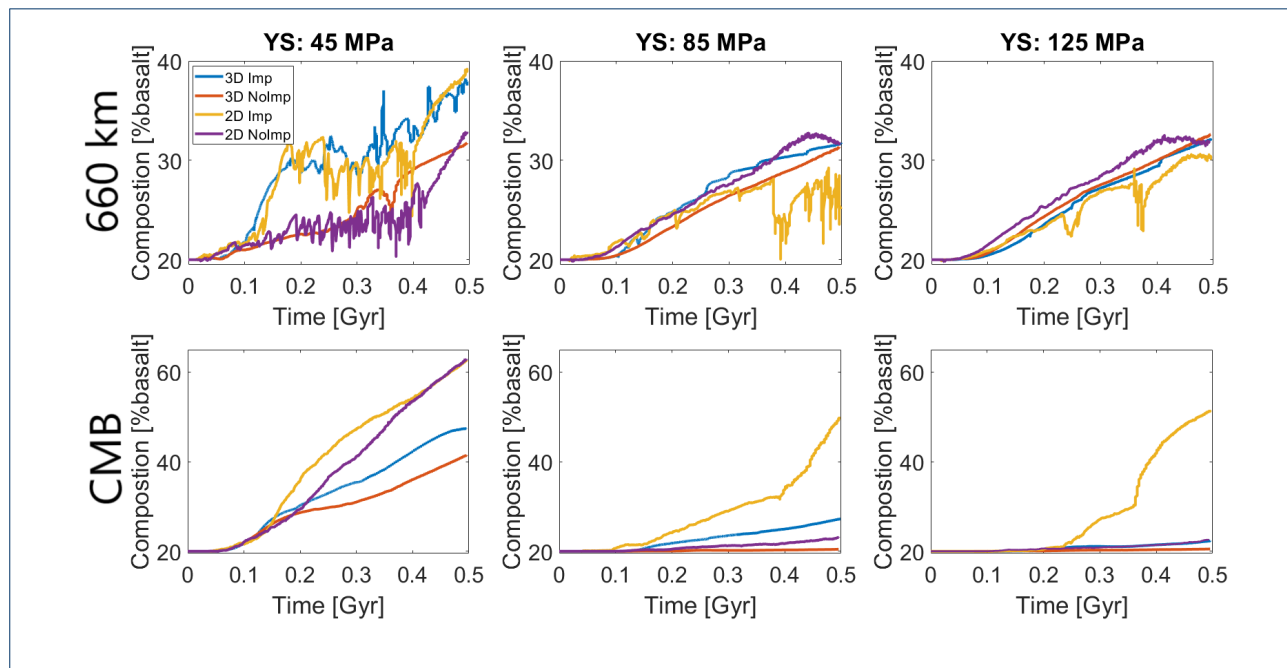


Figure 6 Cross-sections of the long term evolution for the same cases and times as Figure 5.

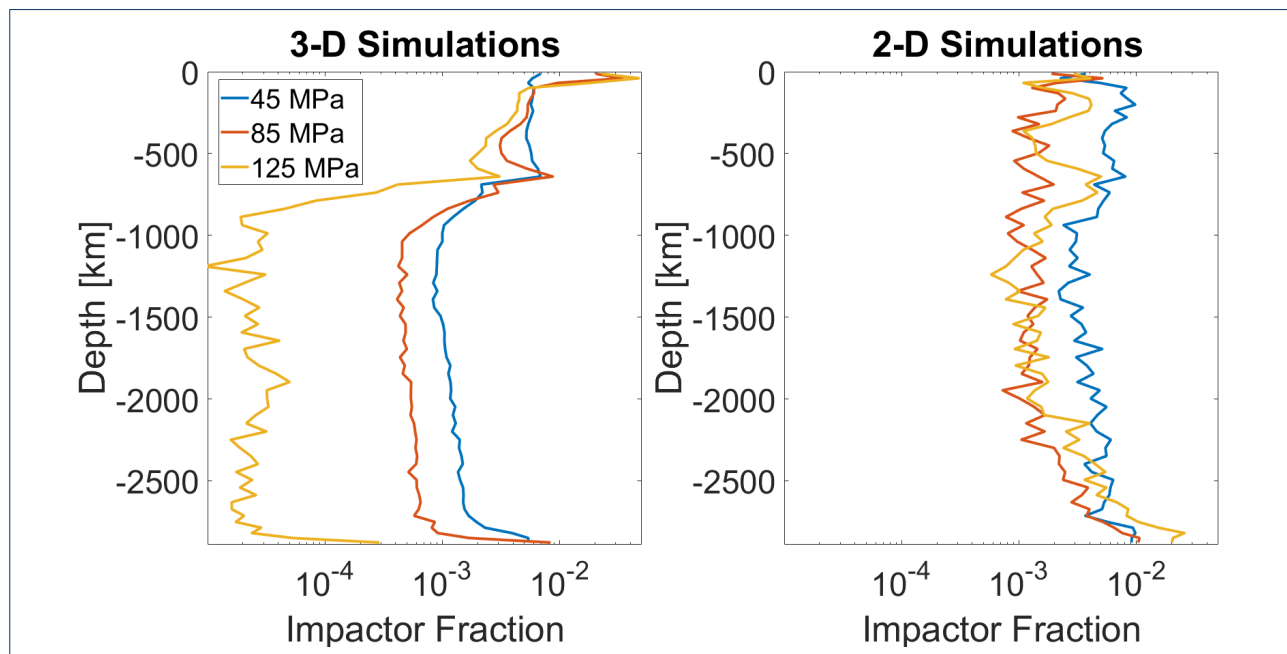




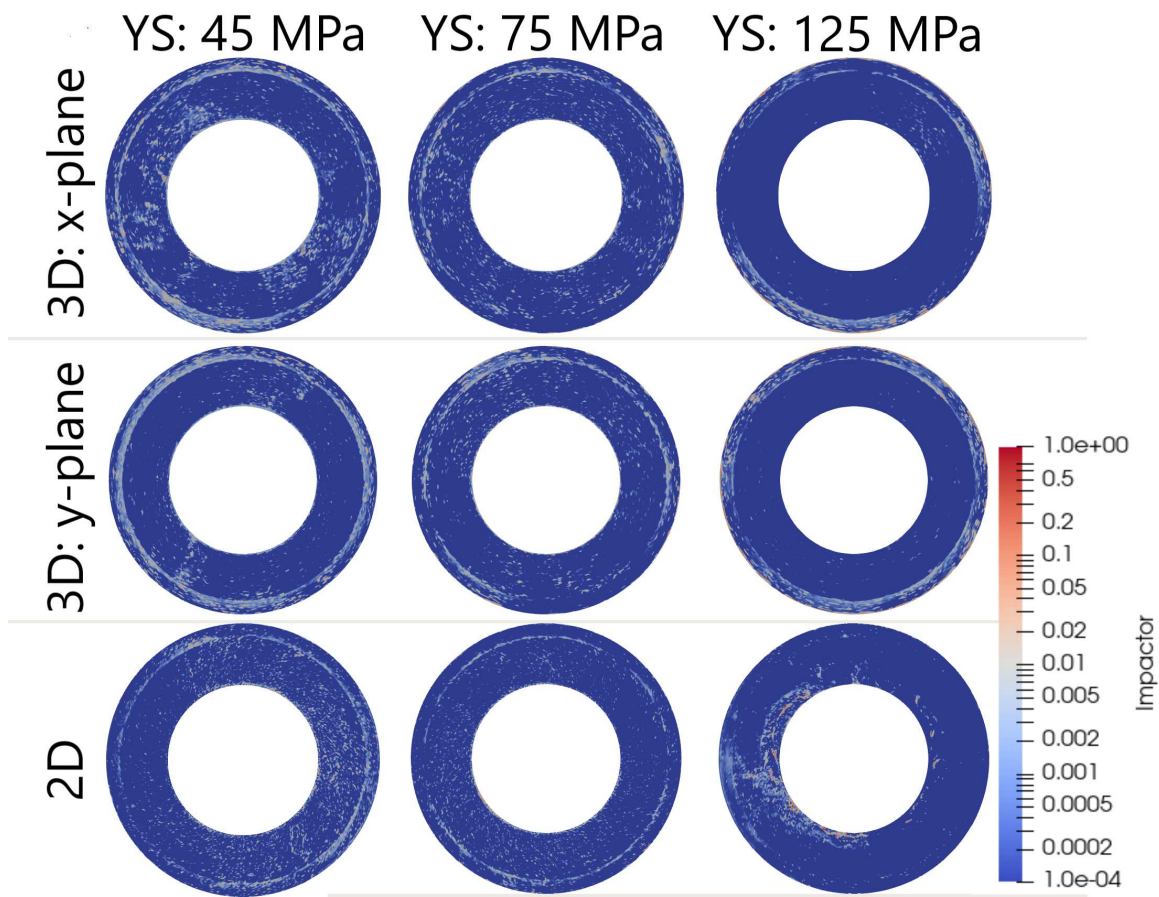




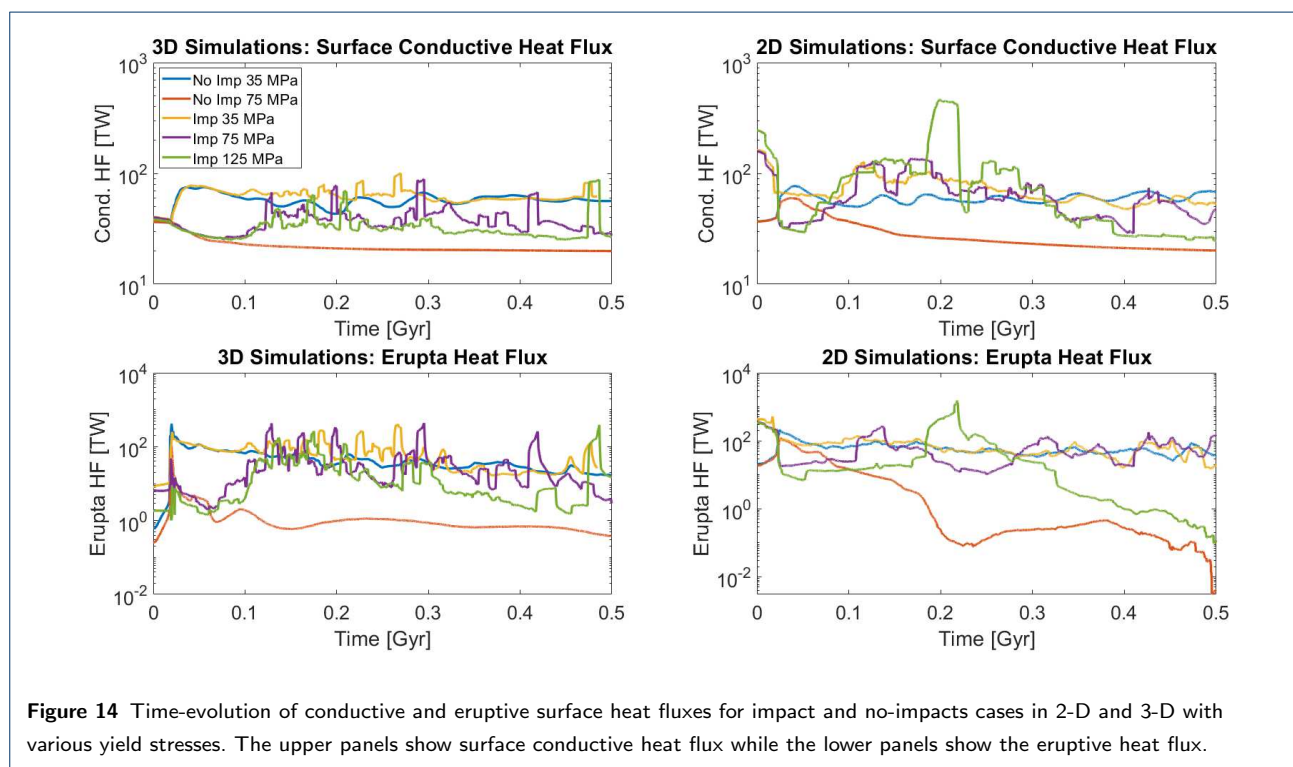
**Figure 11** Time-evolution of the azimuthally-averaged basalt fraction for various yield stress values and with or without impacts for both 2-D and 3-D cases. Top panels show the basalt fraction near the 660 km discontinuity (between 640 and 690 km depth) while the lower panels show the basalt fraction of the bottom 245 km of the mantle. On the y-axis, 100% is basalt while 0% is harzburgite.

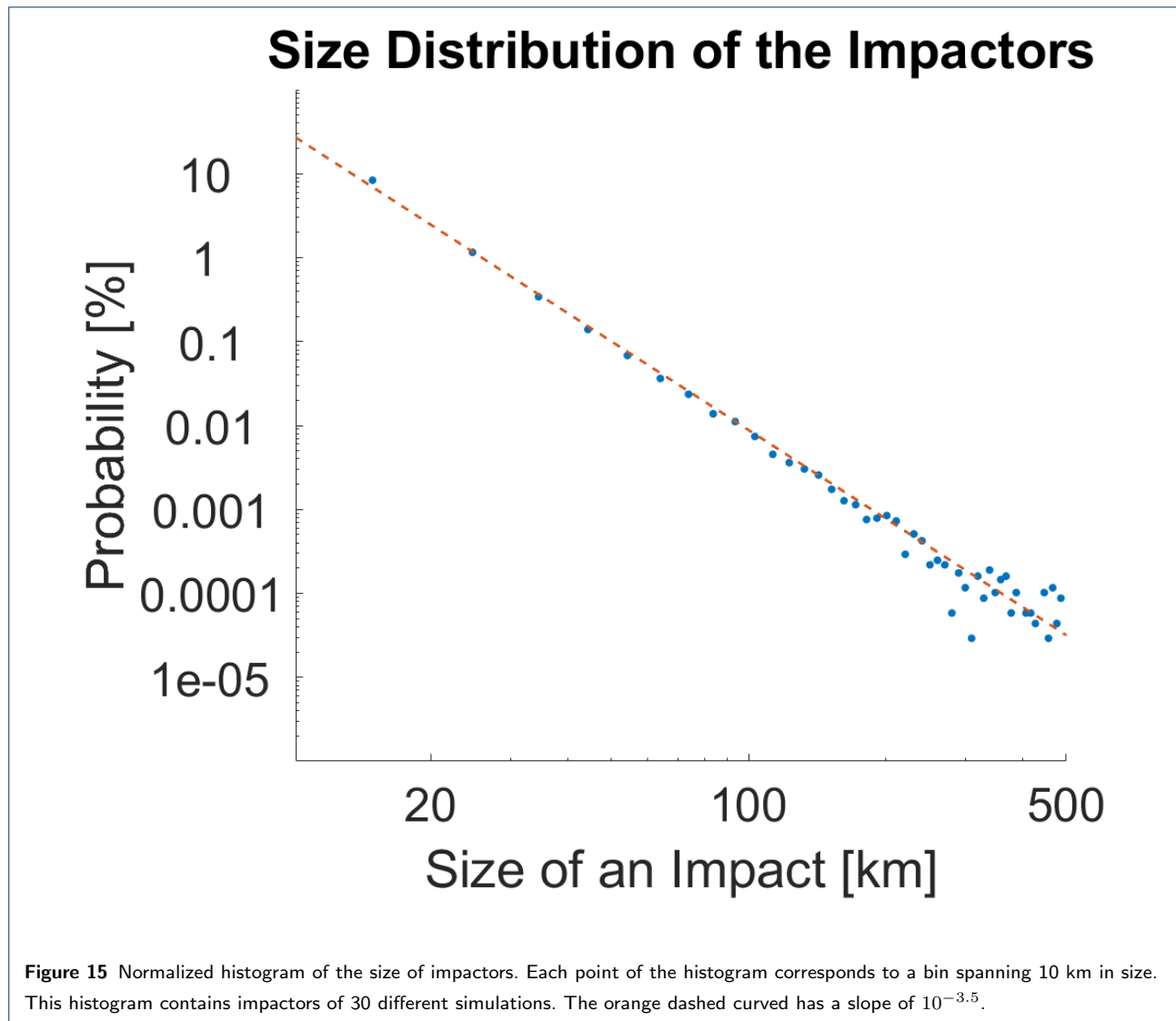


**Figure 12** Azimuthally-averaged impactor fraction vs. radius at the end of simulations with impacts for 2-D and 3D cases with three different yield stress values (45, 85 and 125 MPa).



**Figure 13** Slices of the radial distribution of impactor material at the end of simulations with impacts in 2-D and 3-D and for three different yield stress values. In 3D, two perpendicular pole-to-pole slices are plotted





# Supplementary Files

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