

# High-performance freestanding thermoelectric membrane by intact exfoliation of van der Waals epitaxial bismuth antimony telluride film

**Liangwei Fu**

Sungkyunkwan University

**Kwansu Park**

Sungkyunkwan University

**Kyu Hyoung Lee**

Yonsei University <https://orcid.org/0000-0001-6843-6706>

**Bongju Kim**

Seoul National University

**Wooseon Choi**

Sungkyunkwan University

**Young-Min Kim**

Sungkyunkwan University

**Sang-il Kim**

University of Seoul <https://orcid.org/0000-0003-0093-720X>

**Jae-Yeol Hwang**

Pukyong National University <https://orcid.org/0000-0002-9796-4329>

**Sung Wng Kim** (✉ [kimsungwng@skku.edu](mailto:kimsungwng@skku.edu))

Sungkyunkwan University

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## Article

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# Abstract

Separation of epitaxial thin films on growth substrate and transferring onto other materials for functional heterostructures have boosted the transformative impact on science and technology. However, this scheme has proved challenging in thin film thermoelectrics, but promised a vast range of applications beyond the limited device configurations of bulk thermoelectrics. Here, we demonstrate that the  $\text{Bi}_{0.5}\text{Sb}_{1.5}\text{Te}_3$  (BST) epitaxial thin film on sapphire substrate grown by spontaneous van der Waals epitaxy (vdWE) is exfoliated and transferred onto versatile materials, creating the high-quality freestanding thermoelectric membranes. Unprecedented millimeter-size vdWE BST membranes are produced by etching pseudomorphic Te monolayer on the surface of sapphire substrate in dilute HF solution. The intact exfoliation and direct transfer for vdWE BST membranes ensures a high thermoelectric performance, maintaining the high-quality crystallinity and subsequently showing the remarkable  $zT$  value ( $\sim 0.9$  at 300 K) in thin film thermoelectrics. These results represent the realization of long pursued but yet to be demonstrated high-performance thin film thermoelectrics, paving the way for design and fabrication of arbitrary shaped thermoelectric devices.

## Introduction

With the increasing demand of sustainable and environmentally friendly energy supply, thermoelectrics have attracted a great interest in diverse fields ranging from electronics to energy storage and conversion because they can directly convert thermal energy to electricity<sup>1</sup>. Compared with bulk thermoelectrics, which have been widespread by using a flat and rigid module device<sup>2-4</sup>, thin film thermoelectrics have shown many attractive advantages such as micro-cooling ability for solving heat dissipation issues in electronics and energy scavenging from human body heat<sup>5-7</sup>. Indeed, thin film thermoelectrics have a potential for curved and flexible module devices, which promise a versatile energy harvesting from the close contact to various arbitrary geometries of heat sources<sup>6,8-11</sup>. While organic thin film thermoelectrics have a relevance for such devices, a low thermoelectric performance has been a major obstacle<sup>12,13</sup>. This provokes the inevitable use of inorganic  $\text{Bi}_2\text{Te}_3$ -based state-of-the-art materials for the curved and flexible thermoelectrics<sup>8,14-16</sup>, but remains challenging due to the anisotropic brittle crystal and mechanical incompatibility.

Thermoelectric  $\text{Bi}_2\text{Te}_3$ -based thin films have been achieved by the conventional heterogeneous epitaxial growth on single crystalline substrates with a small lattice mismatch and atomically flat surface<sup>17,18</sup>, which suppress the formation of structural and atomic defects. However, this heterogeneous epitaxial thin film growth limits the applications to the curved and flexible thermoelectrics due to the clamping effect, inherited from strong bond between overlayer film and substrate at interface, of epitaxial thin films on the flat substrate<sup>19,20</sup>. Furthermore, it is extremely difficult to grow high quality epitaxial thin films on the materials with large lattice mismatch or on the flexible matters<sup>21</sup>. These obstacles have been solved by

using the flexible scaffold materials such as carbon nanotube anchored with highly oriented  $\text{Bi}_2\text{Te}_3$  nanocrystals, providing a promising way for practical flexible thermoelectric devices<sup>22</sup>. Out of heterogeneous epitaxy scheme, the van der Waals epitaxy (vdWE) has proved an efficient growth of high-performance  $\text{Bi}_2\text{Te}_3$ -based thin films on the various substrates<sup>23–25</sup>. Indeed, the layer structured  $\text{Bi}_{0.5}\text{Sb}_{1.5}\text{Te}_3$  (BST) alloy, which are widespread p-type material for room temperature refrigeration and power generation, has been successfully grown via the vdWE on the graphene transferred amorphous silica substrate and on the pseudomorphic Te monolayer at the surface of sapphire substrate, showing comparable electrical properties to single crystal BST alloy. Considering the previous lift-off techniques for freestanding complex-oxide membranes<sup>20</sup>, this vdWE BST thin film, which has a weak bonding at the vdW hetero-interface between Te-terminated quintuple layer and pseudomorphic Te monolayer on sapphire substrate, has a potential for intact exfoliation and direct transfer on curved or flexible supports for freestanding thermoelectric membranes. The naturally-formed pseudomorphic Te monolayer on the sapphire substrate during *in-situ* spontaneous vdWE growth is of benefit in practical lift-off process in chemical solution, working as a sacrificial layer. Additionally, the sacrificial Te monolayer is expected to have a high etch selectivity, allowing to overcome the well-known shortcoming, a slow release rate<sup>21,26</sup>, of chemical lift-off for larger substrates.

## Results

Here, we demonstrate a high-performance freestanding BST thermoelectric membrane on curved glass and flexible polyethylene terephthalate (PETE) (Supplementary Fig. 1), in which the vdWE BST thin films grown by pulsed laser deposition (PLD) method are intactly separated from sapphire substrate and transferred onto various supporters using chemical lift-off technique (Fig. 1). First, we prepared vdWE BST thin film on the sapphire substrate by spontaneous vdW epitaxy<sup>25</sup>. As highlighted, the *in-situ* formation of pseudomorphic Te monolayer on the surface of sapphire substrate is the key in the growth of VdWE BST thin films, allowing the weak vdW bonding between the Te monolayer and the outermost Te atomic layer of the Te-Bi/Sb-Te-Bi/Sb-Te quintuple layer (Fig. 1a). Importantly, this diminishes a strong clamping effect between film and substrate in the growth of heterogeneous epitaxial films and promises an easy exfoliation by chemical etching of the Te monolayer or mechanical peeling-off technique using scotch tape. While the latter mechanical method requires a special process such as thermal heating and additional metal layer deposition, the former can allow the selective etching of Te monolayer in a dilute HF solvent. For preserving the epitaxial BST thin film during the chemical etching of the sacrificial Te monolayer, approximately 100 nm thick polymethyl methacrylate (PMMA) was spin-coated on the top of the BST thin film (Fig. 1b, c). When the etching is completed, the thin PMMA-capped BST film is exfoliated from sapphire (Supplementary Fig. 2) and becomes floating in the solvent. Once the BST film with PMMA overlayer is transferred onto other substrates, the PMMA overlayer is completely removed using acetone, IPA, and DI water. To verify the suggested concept and methodology for transferring vdWE BST films,  $\text{SiO}_2/\text{Si}$  wafer, flat and curved glasses, and flexible polyethylene terephthalate (PETE) films were chosen for the destination substrates (Fig. 1e). A strong adhesion to the substrates was achieved by

baking at 373–393 K, ending the whole procedures for creating the first freestanding thermoelectric membranes.

Benefiting from the sacrificial pseudomorphic Te monolayer, the crystallinity of epitaxial BST thin film can be maintained during the transfer as shown by the scanning electron microscopy (SEM) observations and X-ray diffraction (XRD) measurements (Fig. 2). SEM cross-sectional images clearly show that the nano-grained structure of epitaxial BST thin film is hardly damaged by the chemical etching (Fig. 2d–f). We conducted XRD  $f$  and  $w$  scans for the as-grown vdWE BST thin film on sapphire substrate and the transferred BST membranes on flat glass and flexible PETE (Fig. 2g–i and Supplementary Fig. 3). The  $f$  scans of (105) reflections for the all samples (Fig. 2g–i) exhibit a six-fold symmetry, indicating that the in-plane crystallinity of epitaxial BST thin film is well maintained in the transferred membranes on both flat glass and flexible PETE supports without any collapse of epitaxy. Except the diffraction peaks of substrates, all samples show only intense (00 $l$ ) peaks of BST structure (Supplementary Fig. 2). Additionally, the  $w$  rocking curves of the (0015) peak (Supplementary Fig. 4), which show the decreased peak intensity and broadened FWHM of the transferred membranes on the curved glass and flexible PETE, implying that the out-of-plane crystallinity of the transferred membranes slightly degrades due to the inherent brittleness of inorganic BST alloy.

The crystallinity and composition of the transferred BST membranes are also examined by the high-resolution scanning transmission electron microscopy (HR-STEM). Figure 3a shows low magnification top-view high-angle annular dark field (HAADF) image of exfoliated BST membrane. The nano-domain structure is consistent with those observed in cross-sectional SEM images. Low magnification energy dispersive spectroscopy (EDS) analysis shows the Bi, Sb and Te elements are homogeneously distributed in the membrane, indicating that no impurity phase occurs during the chemical etching and transfer (Fig. 3b–e). Furthermore, cross-sectional image of transferred BST membrane on SiO<sub>2</sub>/Si wafer clearly evidences the intact transfer of epitaxial BST thin film, maintaining the layered structure with quintuple BST layers in the membrane (Fig. 3f, g). A high crystallinity of BST membrane is confirmed by the perfect Te(1)-Bi/Sb-Te(2)-Bi/Sb-Te(1) quintuple layers separated by vdW gaps along [00 $l$ ] direction (Fig. 3g) and by the selected area electron diffraction (SAED) patterns along [001] and [120] zone axes (insets of Fig. 3a, f). Most of all, note that the pseudomorphic Te monolayer formed in the growth of vdWE BST thin film is completely removed by the chemical etching, showing no trace of Te monolayer on the SiO<sub>2</sub>/Si wafer (Fig. 3g, Supplementary Figs. 5 and 6 and Supplementary Note 1). Atomic-scale STEM-EDS mappings for constituent Bi, Sb and Te atoms also demonstrate that the quintuple layers are well-separated by vdW gaps along  $c$ -axis and typical atomic-scale defects such as Bi(Sb)/Te antisite defect are hardly found. These structural features, as represented by the nano-grained structure with a high crystallinity (Fig. 3a, b), give an expectation for a high power factor ( $PF$ ) comparable to that of single crystal and a low

thermal conductivity similar to the nanostructured BST bulk, enabling a high performance thermoelectric freestanding BST membrane.

Thermoelectric transport properties are measured along the in-plane direction for as-grown epitaxial BST films and transferred BST membranes at room temperature (Fig. 4). The room-temperature electrical conductivity ( $s$ ) and Seebeck coefficient ( $S$ ) values of as-grown epitaxial BST films are ranged in 1300–1700 S cm<sup>-1</sup> and 140–170 mV K<sup>-1</sup>, respectively, resulting the  $PF$  of 3.0–4.0 mW m<sup>-1</sup> K<sup>-2</sup> at 300 K. The  $s$  values of the transferred membranes increased to ~1900 S cm<sup>-1</sup> on flat glass and decreased to ~1100 S cm<sup>-1</sup> on curved glass and ~1250 S cm<sup>-1</sup> on flexible PETE (Fig. 4a). Accordingly, average room-temperature  $S$  values showed the trade-off relation (Fig. 4a). The average  $PF$  values were ranged in 2.5–3.4 mW m<sup>-1</sup> K<sup>-2</sup> for the transferred membranes (Fig. 4b). We have measured the out-of-plane thermal conductivity ( $k_{out}$ ) by using a conventional  $2w$  method as shown in the inset of Fig. 4c. To estimate the in-plane thermal conductivity ( $k_{in}$ ), we used the reported anisotropy ratio of  $k_{in}/k_{out}$  in bulk single crystalline BST is ~2.0<sup>27</sup> for the as-grown films and transferred membranes owing to the high quality crystallinity. The  $k_{out}$  and  $k_{in}$  values of transferred membranes show the similar values with as-grown vdWE films. The estimated  $k_{in}$  values are lower than that of bulk single crystalline BST due to the nano-gained structure. In-plane lattice thermal conductivity ( $k_{L,in}$ ) for as-grown films and transferred membranes on flat and curved glass substrates were calculated by subtracting the electronic part from  $k_{in}$  (see Supplementary Note 2 and Supplementary Fig. 7 for details). The  $k_{L,in}$  values for all the films and membranes are ~0.4 W m<sup>-1</sup> K<sup>-1</sup>, which is slightly higher than that (~0.3 W m<sup>-1</sup> K<sup>-1</sup>) of nanostructured bulk BST with the high-density dislocation array at grain-boundary<sup>28</sup>. This indicates that the in-plane thermal conduction suffers from the intensive phonon scattering at boundaries between nano-sized mosaic domains as shown in Fig. 3a, b. The resultant thermoelectric figure of merit  $zT$  ( $= s^2 T/k$ , where  $T$  is absolute temperature and  $k$  is the total thermal conductivity) values are shown in Fig. 4d with the reported  $zT$  values of Bi<sub>2</sub>Te<sub>3</sub>-based thin films for comparison. The in-plane  $zT$  values for as-grown epitaxial BST films are ranged in 1.0~1.6, which is comparable to that of state-of-the-art nanostructure bulk BST<sup>29</sup> and is the highest value in the reported BST thin film<sup>6,22,30,31</sup>. Moreover, the estimated room-temperature  $zT$  values for the transferred BST membranes are comparable to those of zone melted BST ingots used in the commercialized modules<sup>32,33</sup>. These values are also comparable to those of high-performance BST thin films on the rigid substrates, proving the merit of present lift-off and transferring processes for the vdWE thin films. By considering the projected efficiency of 4.6% of the transferred membranes (see Supplementary Note 3 for details) when the cold- and hot-side temperature are 300 K and 350 K, respectively, the present work is promising for the diverse arbitrary thermoelectric devices.

Among the transferred membranes, we conducted the bending test of the membrane on flexible PETE (Fig. 5). From the original  $s$ ,  $S$  and  $PF$  values of vdWE thin film as a reference, all transport parameters of

the membrane on flexible PETE, its bended state with a radius of 15 mm and flattened state showed no obvious changes but slight decreases (Fig. 5b-d), which are mainly attributed to the decrease of  $s$  coming from the generation of structural microcracks (Supplementary Fig. 8). This unfavorable structural defect in the membrane is accelerated in the cyclic flexible bending tests. As shown in Fig. 5e-g, the  $S$  values upon bending cycles are almost unchanged while  $s$  values are largely degraded, leading to the loss of 40% of original  $PF$  at 100 bending cycles in a bending radius of  $\sim 10$  mm. As observed microcracks in the bended membranes, a bending deformation creating a high density microcrack is responsible for the decreased performance in the membranes on flexible PETE. However, it is apparent that there is sustainable performance, which was found from the membranes on curved glass, strongly suggesting that the present millimeter-sized membranes are more appropriate for the application of curved surface of heat source rather than the flexible thermoelectric devices.

## Discussion

In summary, we developed a strategy to fabricate high-performance freestanding thermoelectric membranes from the chemical exfoliation of high-quality vdWE BST thin films on sapphire and intact transfer onto versatile substrates. Although the millimeter-sized BST membranes are difficult to apply for the flexible thermoelectric devices, our demonstration is promising for the practical devices on the curved surface of versatile heat source such as human body. The  $zT$  value of  $\sim 0.9$  at 300 K, which is comparable or much higher than those of previous BST-based films, will stimulate the fabrication of high-performance n-type  $\text{Bi}_2\text{Se}_{2.7}\text{Te}_{0.3}$  thermoelectric membranes using this method. Our demonstrations advance thermoelectric thin film research by allowing unrestricted transfer onto diverse substrates for freestanding membranes.

## Methods

**Material preparation.**  $\text{Bi}_{0.5}\text{Sb}_{1.5}\text{Te}_3$  films were deposited on  $\alpha\text{-Al}_2\text{O}_3$  (001) single crystal substrate ( $10 \times 10$  mm<sup>2</sup>) by PLD with the fluence of 1.2–1.50 J cm<sup>-2</sup> of KrF excimer laser ( $\lambda = 248$  nm) using a 2-inch diameter  $\text{Bi}_{0.5}\text{Sb}_{1.5}\text{Te}_3$  target, while the target were prepared in the laboratory by using conventional solid state reaction followed by consolidation by spark plasma sintering. The substrate temperature was set at 200–230 °C, while the argon partial pressure was at 10 mTorr. Films were deposited with  $\sim 130$  nm in thicknesses. XRD analyses of  $2\theta$ ,  $w$  and  $f$  scans for the films using X-ray diffractometer (Rigaku Smartlab, Rigaku Co.) with Cu Ka1 radiation ( $\lambda = 1.540592$  Å).

**Thermoelectric properties measurement.** The in-plane  $s$  and  $S$  were measured at room temperature by ZEM-3 system (Advanced Riko, Japan). The out-of-plane  $k_{\text{out}}$  of the films were measured with the  $2w$  method (TCN-2w, Advanced Riko, Japan). The Hall measurement was performed by using the van der Pauw method (8400 HMS, Lake Shore Cryotronics, Inc., USA).

**Microstructure characterization.** The top and cross section morphology of as grown BST film and BST film transferred to flat glass substrate were analyzed by SEM equipped with an EDS detector (FESEM, JSM-7000F, JEOL, Japan). Exfoliated film for STEM was prepared using either a dual-beam focused ion beam (AURIGA CrossBeam Workstation, Carl Zeiss, Germany) or direct pulverizing by super sonication. The HAADF-STEM images were obtained from a probe-corrected STEM (JEM-ARM200F, JEOL, Japan) equipped with a cold field emission source, operating at 200 kV. To improve the image resolution, successive images were acquired with short time intervals and averaged for the same area.

### Data availability

The data that supports the findings of this study are available from the corresponding author upon reasonable request.

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## Declarations

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### Author contributions

S.W.K conceived the idea and designed experiments. L.F, K.P., K.H.L., B.K, S.I.K and J.-Y.H, carried out the experimental work, including sample preparation and thermoelectric performance measurements. W.C and Y.M.K carried out microstructure measurements. All authors discussed the results and commented on the paper. All of authors co-wrote the paper.

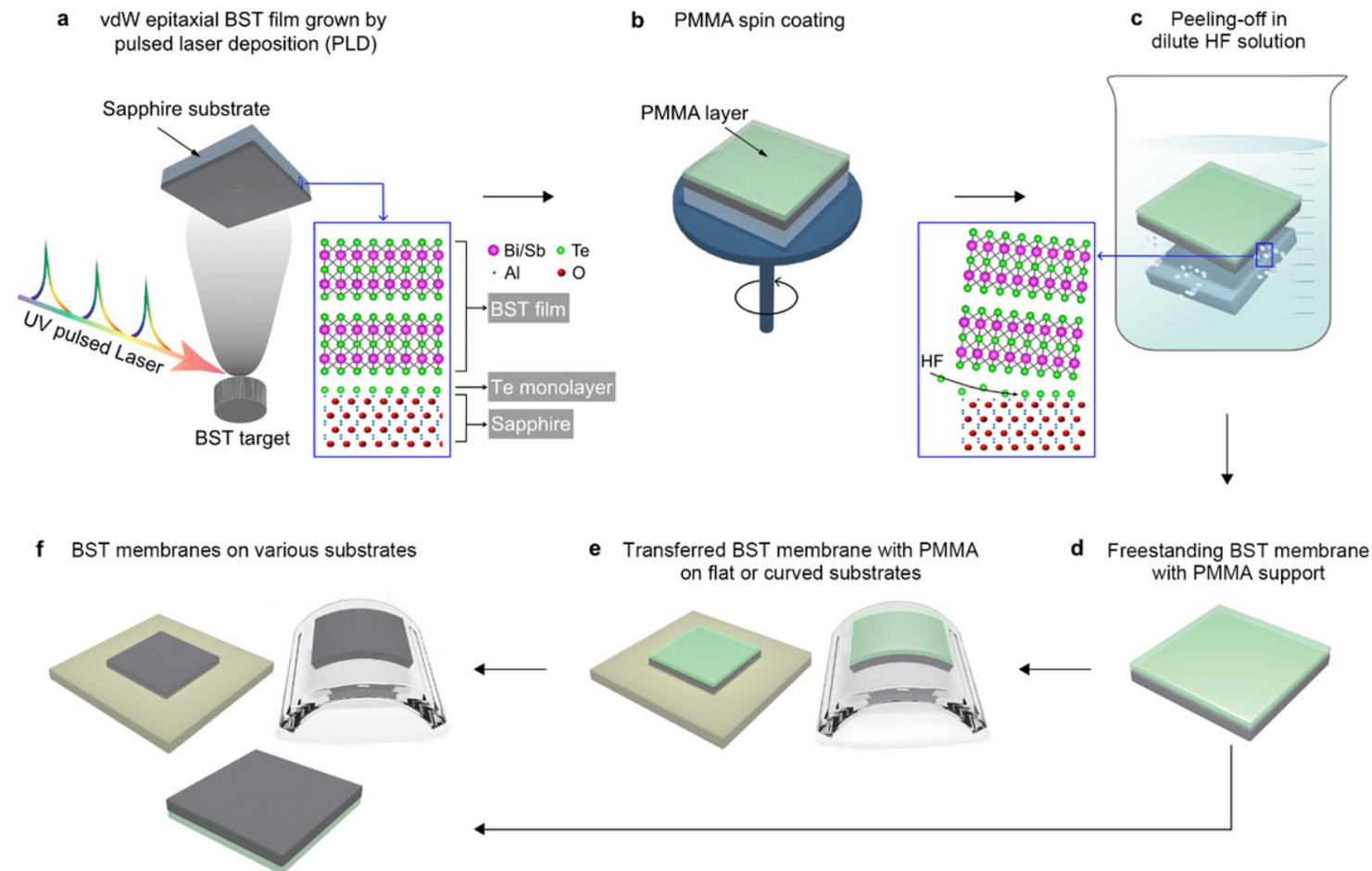
### Competing interests

The authors declare no conflict of interest.

## Additional information

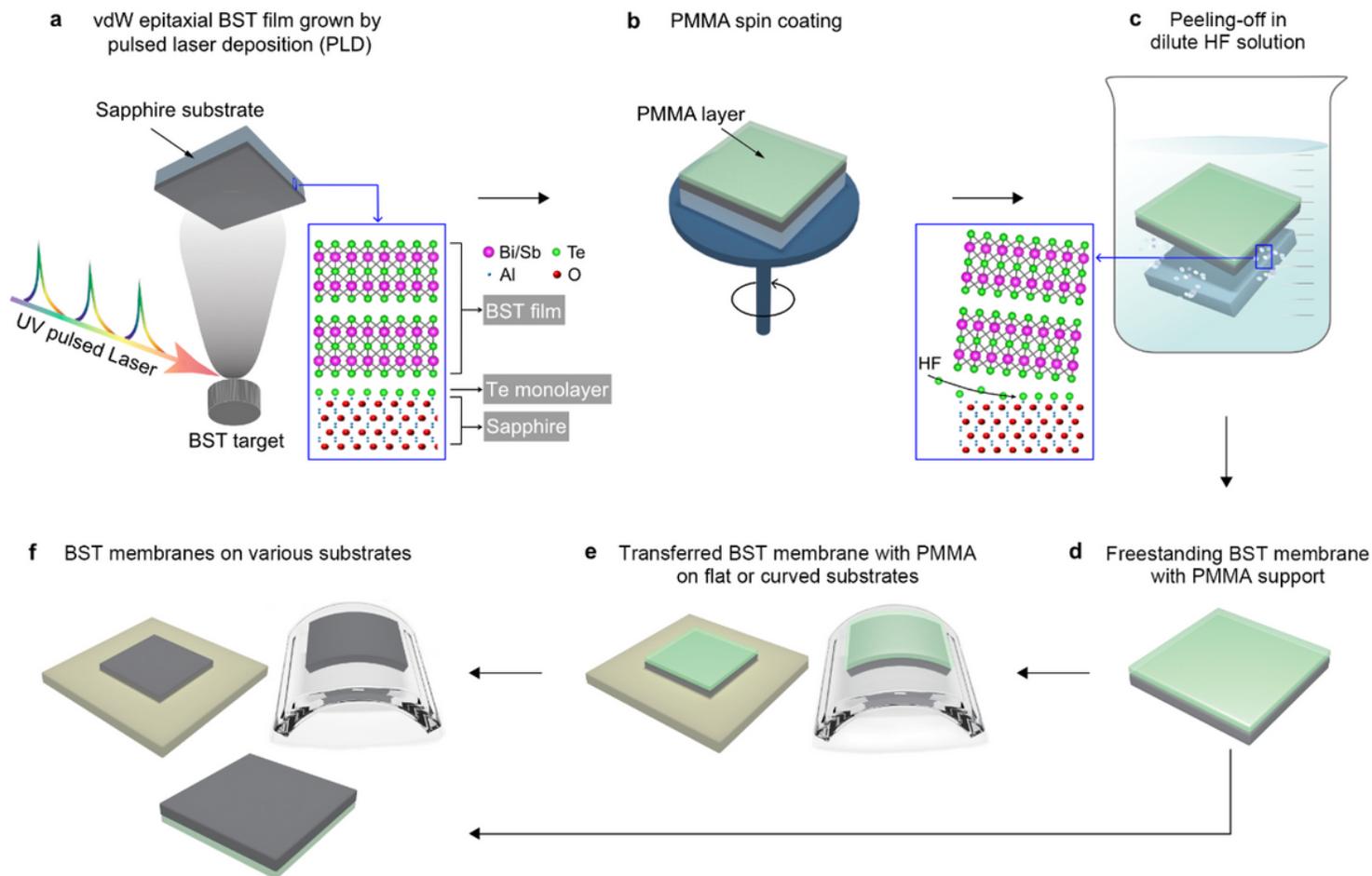
Correspondence and requests for materials should be addressed to S.I.K., J.-Y.H. and S.W.K.

## Figures



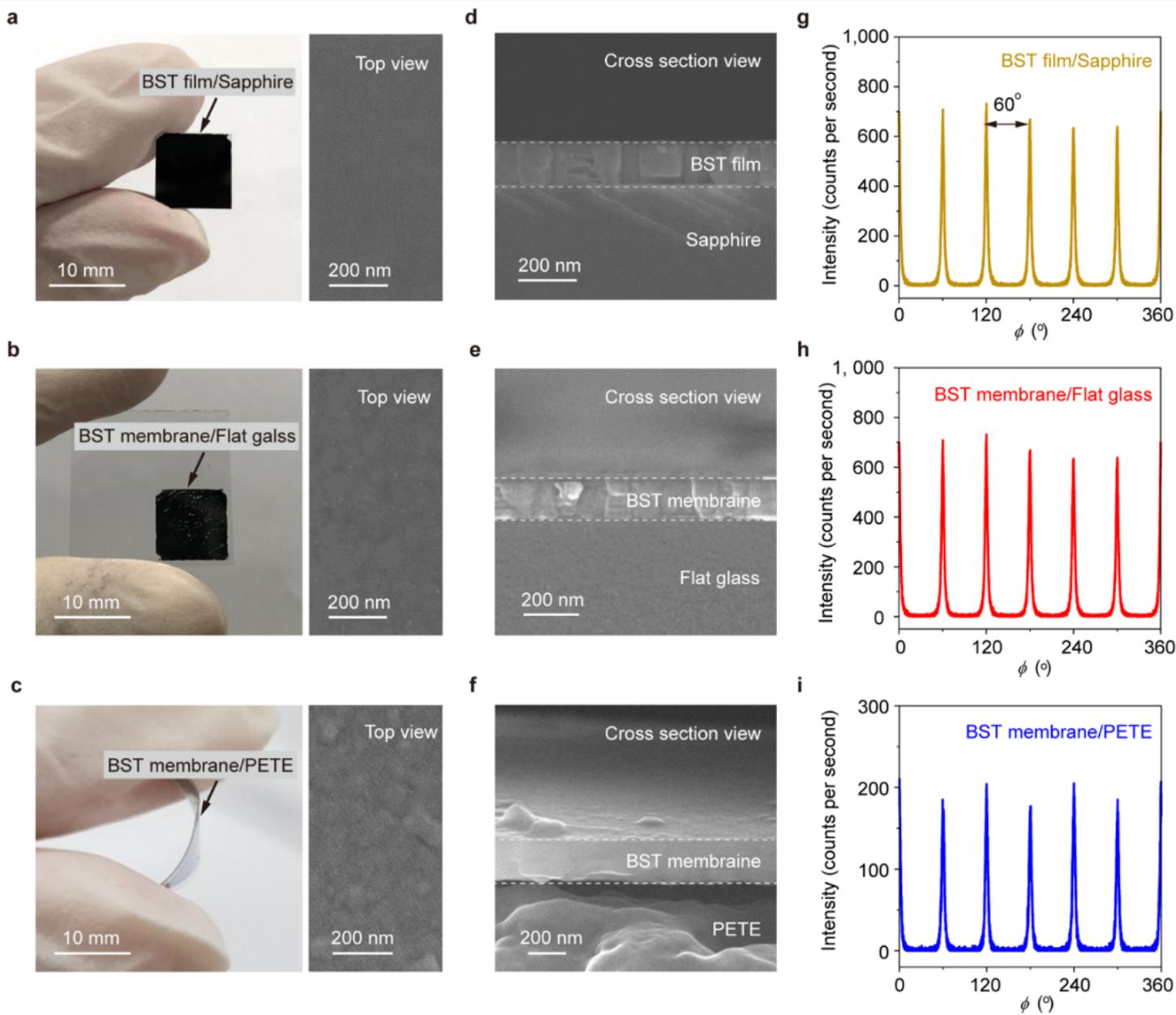
**Figure 1**

Exfoliation and transfer of vdW epitaxial (vdWE)  $\text{Bi}_{0.5}\text{Sb}_{1.5}\text{Te}_3$  (BST) thin film. a vdWE BST thin film on sapphire substrate grown by pulsed laser deposition (PLD). The schematic plot shows clearly the Te monolayer between the BST film and sapphire substrate. b Spin coating of PMMA layer on as-grown vdWE BST film. c Peeling-off of vdWE BST film from sapphire substrate in the dilute HF solution. The schematic illustration shows that the Te monolayer is selectively etched by HF. d Freestanding BST membrane with PMMA overlayer. e Transferring PMMA supported BST membrane on flat or curved substrate. f BST membrane on various substrates with/without removing the PMMA layer.



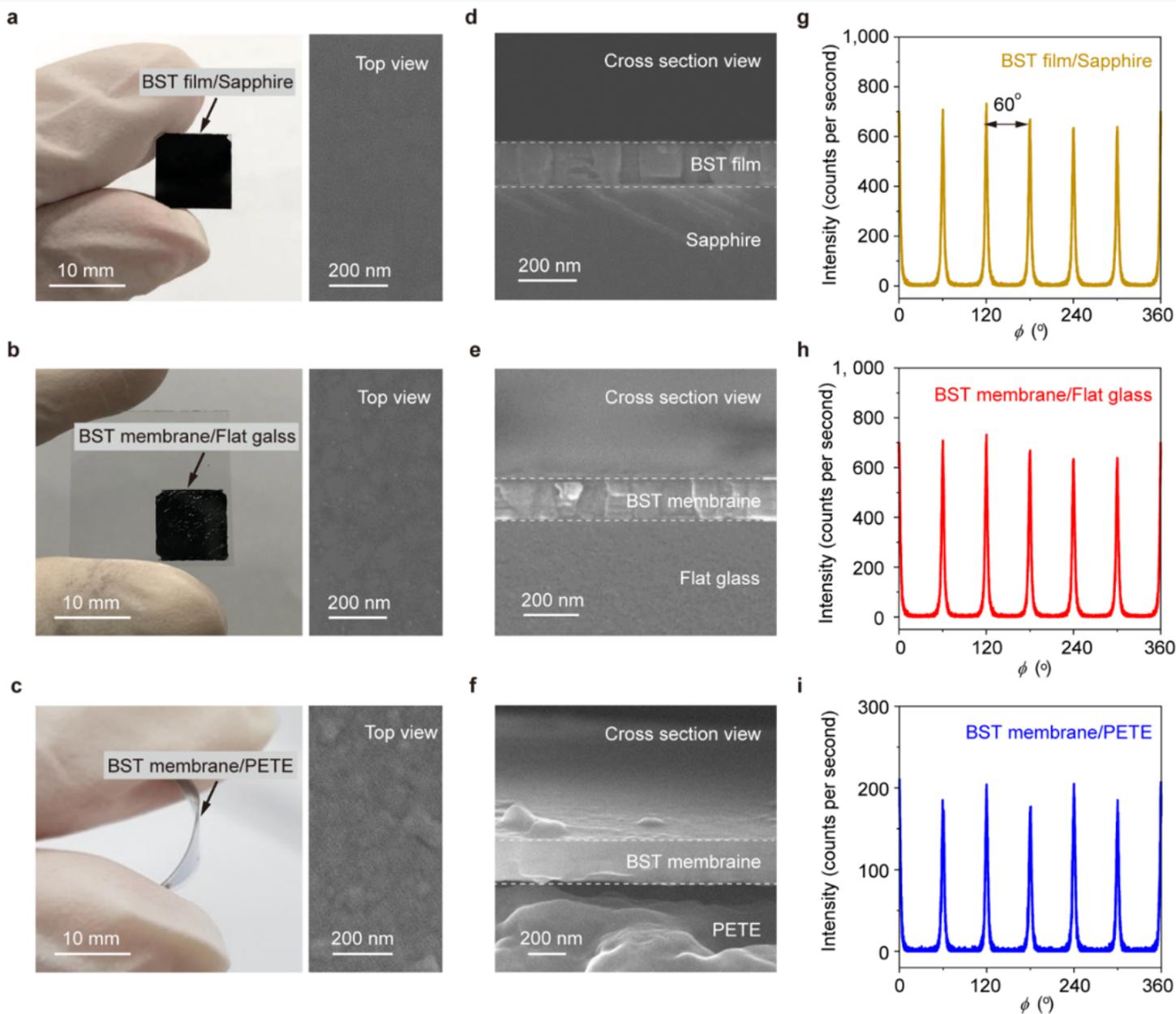
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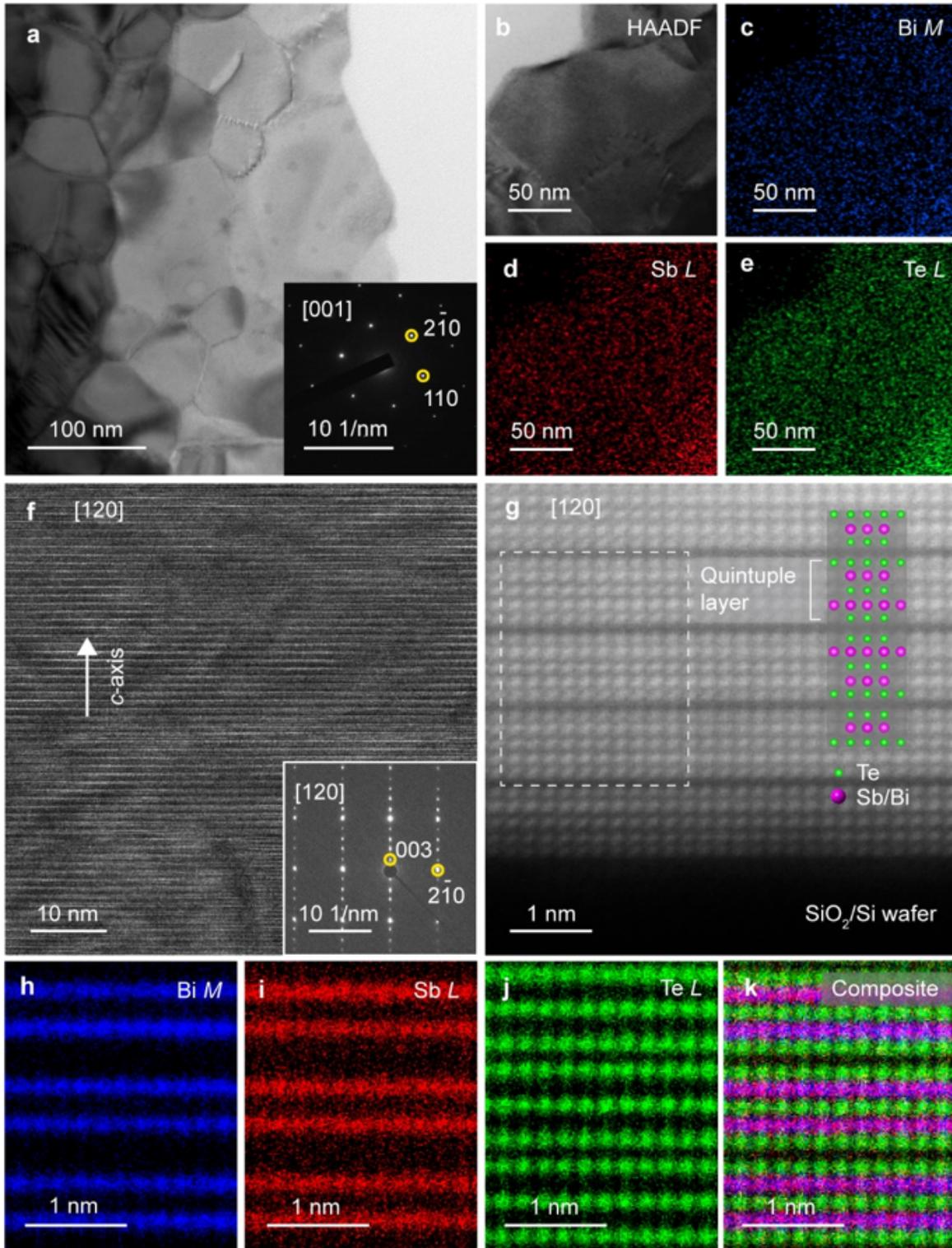
**Figure 2**

Morphology and XRD analysis of as-grown vdWE BST film and transferred BST membranes on flat glass and flexible PETE. a–c Photos and top-view SEM images of as-grown BST film (a) and transferred BST membranes on flat glass (b) and PETE (c) substrates. d–f Cross-section SEM images of the vdWE BST films (d) and transferred BST membranes on glass (e) and PETE (f) substrates. g–i XRD scan measured by XRD for as-grown vdWE BST film (g) and transferred BST membranes on flat glass (h) and flexible PETE (i), respectively.



**Figure 2**

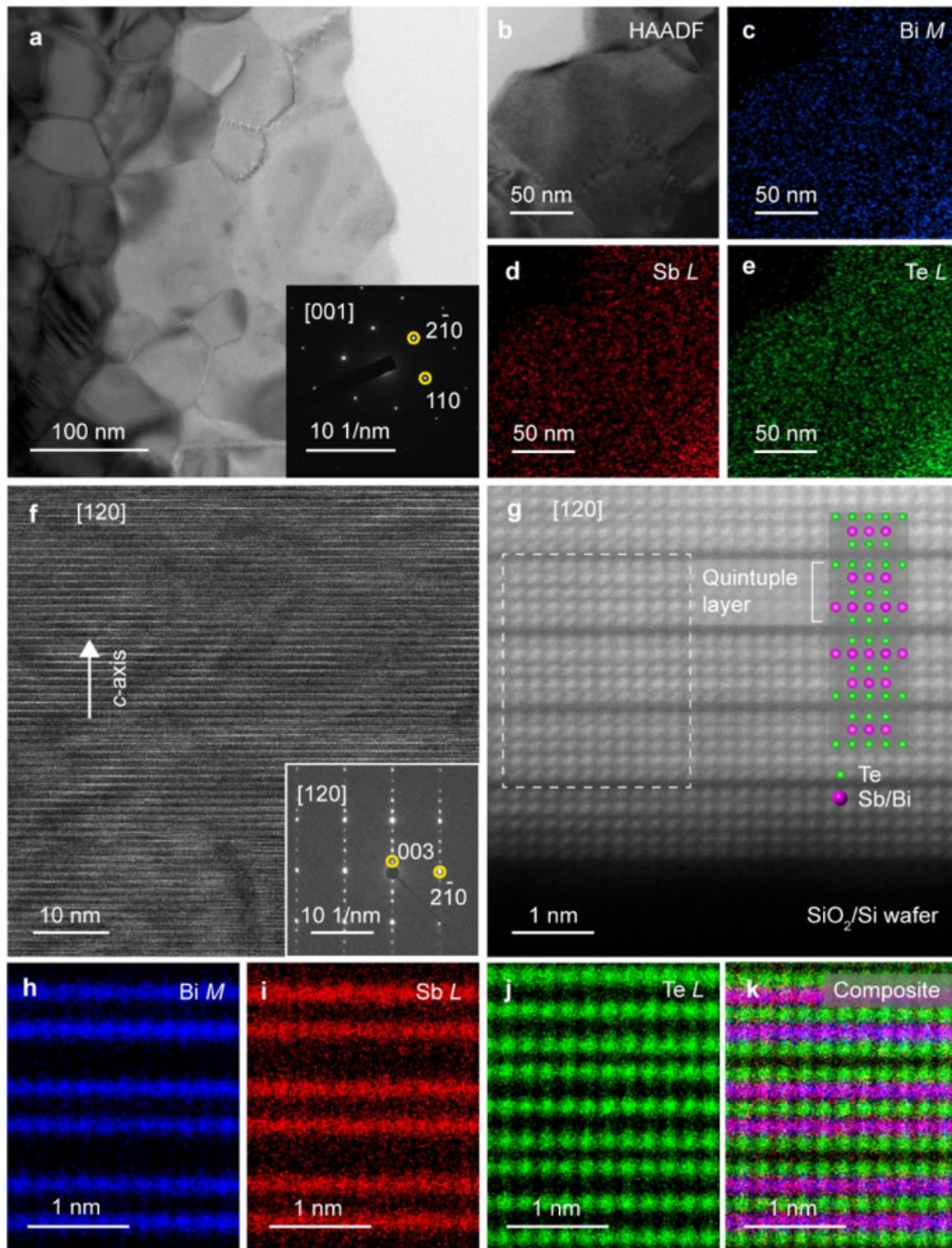
Morphology and XRD analysis of as-grown vdWE BST film and transferred BST membranes on flat glass and flexible PETE. a–c Photos and top-view SEM images of as-grown BST film (a) and transferred BST membranes on flat glass (b) and PETE (c) substrates. d–f Cross-section SEM images of the vdWE BST films (d) and transferred BST membranes on glass (e) and PETE (f) substrates. g–i XRD scan measured by XRD for as-grown vdWE BST film (g) and transferred BST membranes on flat glass (h) and flexible PETE (i), respectively.



**Figure 3**

High-quality freestanding BST membrane. a Low magnification HAADF TEM image in the top view of exfoliated BST membrane. Inset of (a) shows the SAED pattern along [001] axis zone. b–e Low magnification TEM-EDS analysis of exfoliated BST film. f HAADF TEM image in the cross-section view of transferred BST membrane. Inset of (f) shows the SAED pattern along [120] axis zone. g Atomic scale

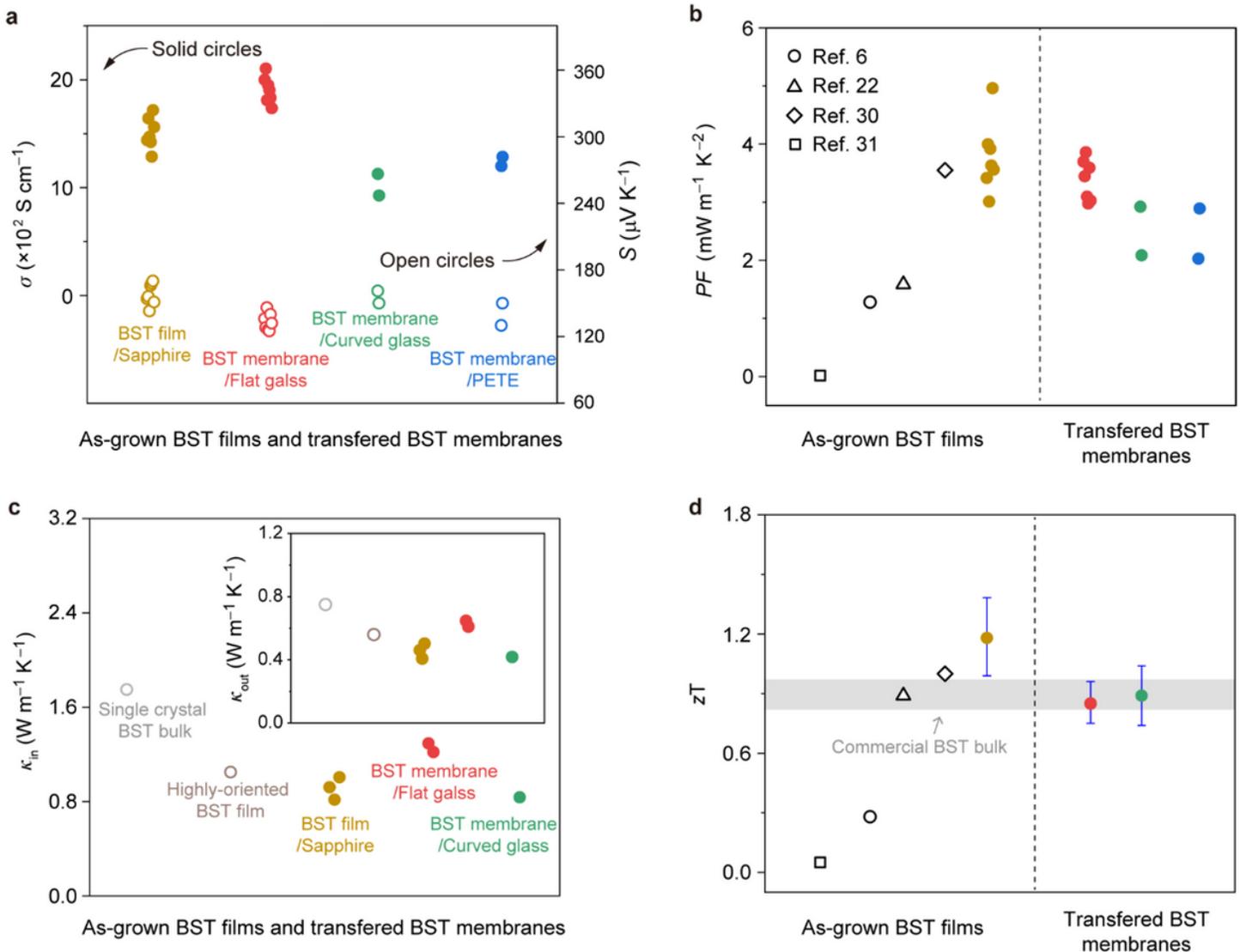
HAADF image of transferred BST membrane on the SiO<sub>2</sub>/Si wafer. h–k Atomic scale TEM-EDS results of boxed region in (g).



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High-quality freestanding BST membrane. a Low magnification HAADF TEM image in the top view of exfoliated BST membrane. Inset of (a) shows the SAED pattern along [001] axis zone. b–e Low magnification TEM-EDS analysis of exfoliated BST film. f HAADF TEM image in the cross-section view of

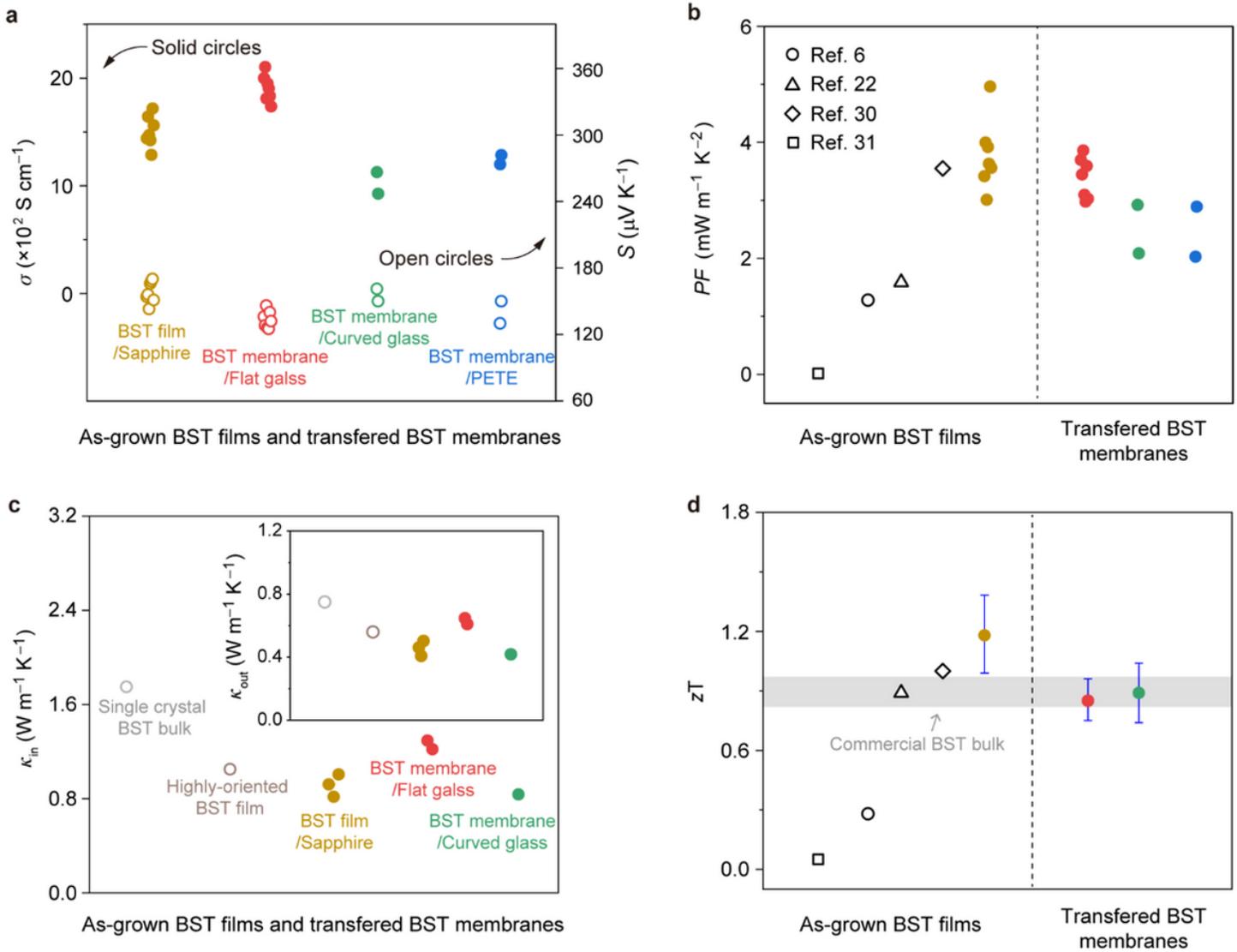
transferred BST membrane. Inset of (f) shows the SAED pattern along [120] axis zone. g Atomic scale HAADF image of transferred BST membrane on the SiO<sub>2</sub>/Si wafer. h–k Atomic scale TEM-EDS results of boxed region in (g).



**Figure 4**

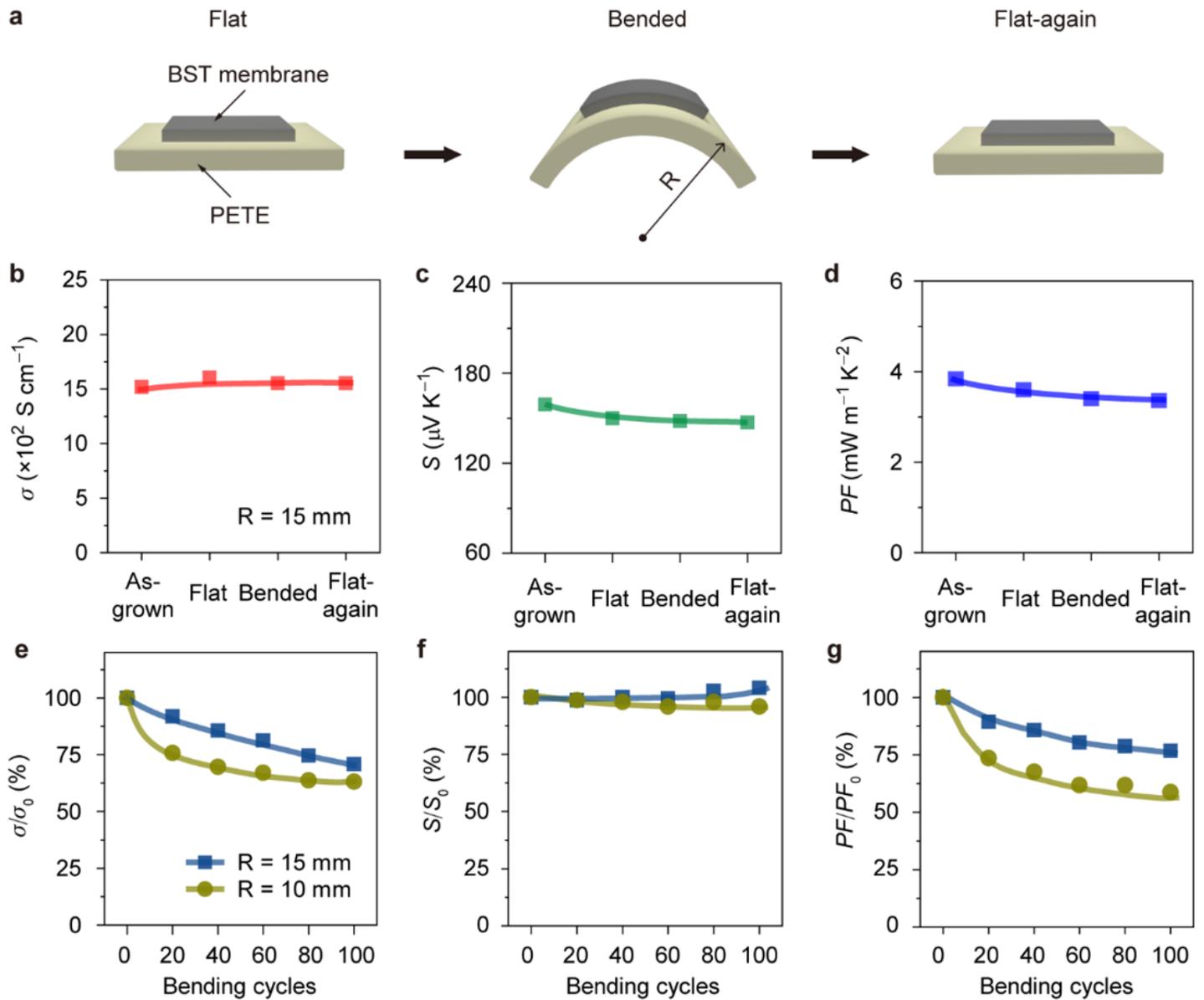
Thermoelectric properties of as-grown vdWE BST films and transferred BST membranes. a–b Experimental in-plane electrical conductivity ( $\sigma$ ), Seebeck coefficient ( $S$ ) and power factor (PF) of as-grown vdWE BST films and transferred BST membranes on flat glass, PETE and curved glass substrates at room temperature, respectively. c Estimated in-plane thermal conductivity of as-grown vdWE BST films and transferred BST membranes on flat glass and curved glass at room temperature. The reported in-plane thermal conductivity values of single crystal BST bulk and highly-oriented nanocrystalline BST film with same composition are also shown for comparison. Inset in (c) shows the experimental out-of-plane thermal conductivity of as-grown vdWE BST films and transferred BST membranes on flat glass and curved glass. d Room-temperature  $zT$  values of as-grown vdWE BST films and transferred BST

membranes on flat and curved glass. Reported  $zT$  values of several as-grown BST films are also shown for comparison.



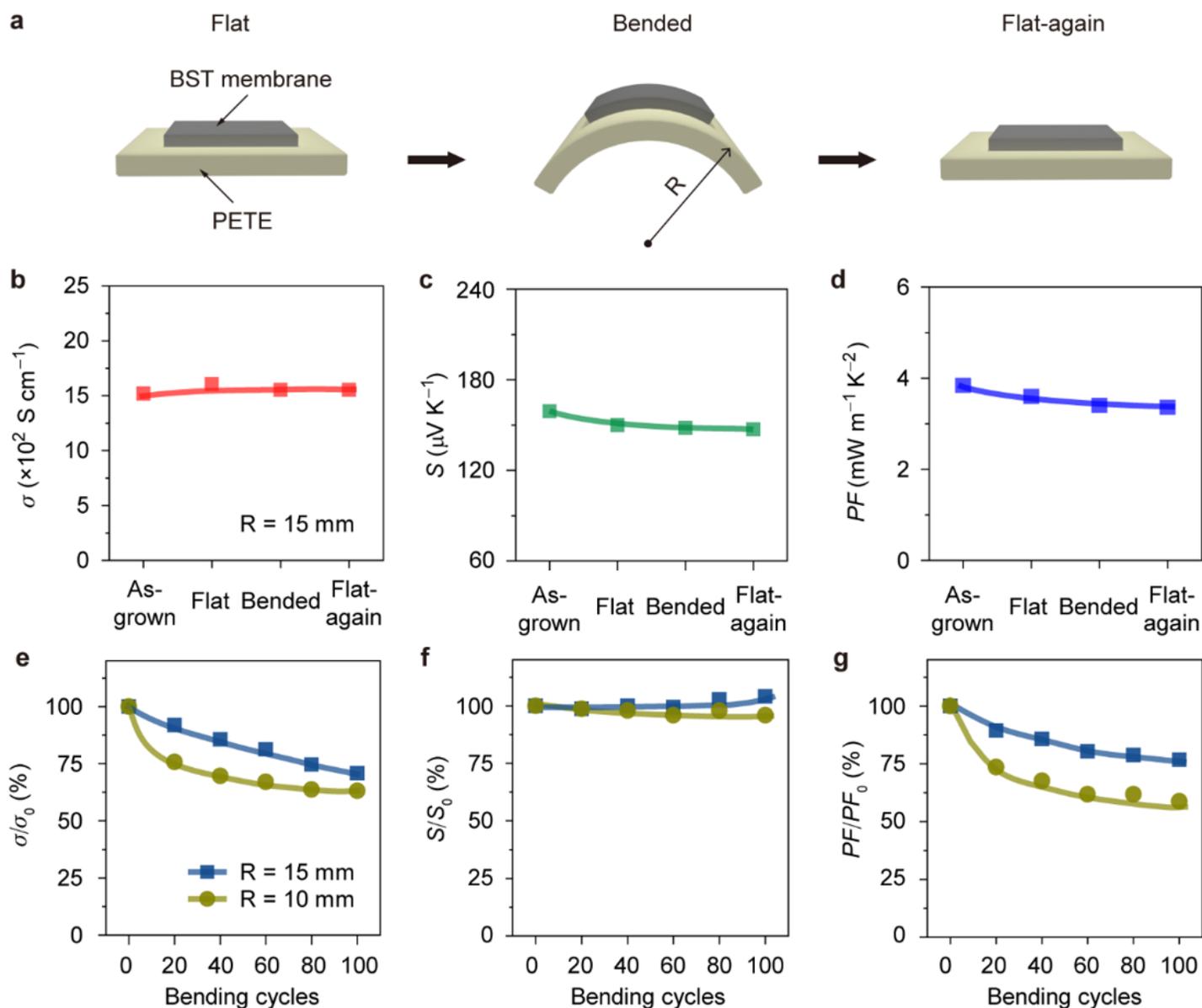
**Figure 4**

Thermoelectric properties of as-grown vdWE BST films and transferred BST membranes. a–b Experimental in-plane electrical conductivity ( $\sigma$ ), Seebeck coefficient ( $S$ ) and power factor (PF) of as-grown vdWE BST films and transferred BST membranes on flat glass, PETE and curved glass substrates at room temperature, respectively. c Estimated in-plane thermal conductivity of as-grown vdWE BST films and transferred BST membranes on flat glass and curved glass at room temperature. The reported in-plane thermal conductivity values of single crystal BST bulk and highly-oriented nanocrystalline BST film with same composition are also shown for comparison. Inset in (c) shows the experimental out-of-plane thermal conductivity of as-grown vdWE BST films and transferred BST membranes on flat glass and curved glass. d Room-temperature  $zT$  values of as-grown vdWE BST films and transferred BST membranes on flat and curved glass. Reported  $zT$  values of several as-grown BST films are also shown for comparison.



**Figure 5**

Bending test of freestanding BST membrane on flexible PETE. a Schematic illustration for a bending cycle of BST membrane on flexible PETE. b–d Electrical conductivity ( $\sigma$ ), Seebeck coefficient (S) and power factor (PF) of as-grown vdWE BST film and transferred BST membrane on PETE in one bending cycle. The bending radius is 15mm. e–g Relative electrical conductivity, Seebeck coefficient, and power factor of the BST membrane as a function of bending cycle. The two bending radii used are 10 and 15 mm. The  $\sigma_0$ ,  $S_0$  and  $PF_0$  are the values of the freestanding BST membrane when transferred on the flexible PETE.



**Figure 5**

Bending test of freestanding BST membrane on flexible PETE. a Schematic illustration for a bending cycle of BST membrane on flexible PETE. b–d Electrical conductivity ( $\sigma$ ), Seebeck coefficient (S) and power factor (PF) of as-grown vdWE BST film and transferred BST membrane on PETE in one bending cycle. The bending radius is 15mm. e–g Relative electrical conductivity, Seebeck coefficient, and power factor of the BST membrane as a function of bending cycle. The two bending radii used are 10 and 15 mm. The  $\sigma_0$ ,  $S_0$  and  $PF_0$  are the values of the freestanding BST membrane when transferred on the flexible PETE.

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