

Gut Microbial Dysbiosis is Correlated with Stroke Severity Markers in Aged Rats Following Stroke

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1 Gut microbial dysbiosis is correlated with stroke severity 2 markers in aged rats following stroke

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6 Abstract

7 **Background:** An imbalanced gut microbial community, or dysbiosis, has been shown to occur
8 following stroke. It is possible that this dysbiosis negatively impacts stroke recovery and
9 rehabilitation. Species level resolution measurements of the gut microbiome following stroke
10 are needed to develop and test precision interventions such as probiotic or fecal microbiota
11 transplant therapies that target the gut microbiome following stroke. Previous studies have used
12 16S rRNA amplicon sequencing in young male mice to obtain broad profiling of the gut
13 microbiome at the genus level following stroke, but further investigations will be needed with
14 whole genome shotgun sequencing in aged rats of both sexes to obtain species level resolution
15 in a model which will better translate to the demographics of human stroke patients.

16 **Results:** 39 aged male and female rats underwent middle cerebral artery occlusion. Fecal
17 samples were collected before stroke and three days post stroke to measure gut microbiome.
18 Machine learning was used to identify the top ranked bacteria which were changed following
19 stroke. MRI imaging was used to obtain infarct and edema size and cerebral blood flow (CBF).
20 ELISA was used to obtain inflammatory markers.

21 Dysbiosis was demonstrated by an increase in pathogenic bacteria such as *Butyricimonas*
22 *virosa* (15.52 fold change, $p < 0.0001$), *Bacteroides vulgatus* (7.36 fold change, $p < 0.0001$), and
23 *Escherichia coli* (47.67 fold change, $p < 0.0001$). These bacteria were positively associated with
24 infarct and edema size and with the inflammatory markers Ccl19, Ccl24, IL17a, IL3, and
25 complement C5; they were negatively correlated with CBF. Conversely, beneficial bacteria
26 such as *Ruminococcus flavefaciens* (0.14 fold change, $p < 0.0001$), *Akkermansia muciniphila*
27 (0.78 fold change, $p < 0.0001$), and *Lactobacillus murinus* (0.40 fold change, $p < 0.0001$) were
28 decreased following stroke and associated with all the previous parameters in the opposite

29 direction of the pathogenic species. There were not significant microbiome differences
30 between the sexes.

31 **Conclusion:** The species level resolution measurements found here can be used as a foundation
32 to develop and test precision interventions targeting the gut microbiome following stroke.
33 Probiotics that include *Ruminococcus flavefaciens*, *Akkermansia muciniphila*, and
34 *Lactobacillus murinus* should be developed to target the deficit following stroke to measure
35 the impact on stroke severity.

36

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61 **Running title:** Gut bacteria tied with stroke severity

62 **Keywords:** stroke, microbiome, inflammation, imaging

63 **Background**

64 Over 795,000 people suffer a stroke every year in the United States alone¹. Recent advances in
65 acute stroke therapies have lowered stroke mortality, but survivors are often left severely
66 impaired². Rehabilitation therapies are beneficial at inducing neuroplasticity to overcome these
67 impairments, but over 40% of stroke survivors are left with moderate to severe disabilities that
68 markedly reduce quality of life³. Novel multimodal approaches are needed to promote plasticity
69 and sensorimotor function through a combination of current rehabilitation therapies with other
70 treatments designed to foster neuroplasticity.

71 Accumulating evidence suggests that gut microbes modulate brain plasticity via the
72 bidirectional gut-brain axis and may play a role in stroke rehabilitation⁴. A severely imbalanced
73 microbial community, or dysbiosis, has been shown to occur following stroke, causing a
74 systemic flood of neuro- and immunomodulatory substances due to increased gut permeability
75 and decreased gut motility⁵. These substances can impact neuroinflammation as commensal
76 bacteria invade the bloodstream and as intestinal lymphocytes migrate from gut-associated
77 lymphoid tissue to the brain⁶. Fecal microbiota transplant has been shown to normalize brain
78 lesion-induced dysbiosis and to improve stroke outcome in mice⁶. The microbiome is
79 modifiable as it is influenced by environmental factors such as diet and exercise and could
80 potentially be a therapeutic target in stroke rehabilitation through nutritional and
81 pharmacological interventions and physical therapy^{7,8}. To our knowledge, no studies have
82 measured the species level resolution necessary to develop precision interventions such as
83 probiotics or fecal microbiota transplants that target the gut microbiota following stroke.
84 Furthermore, no microbiome studies have been performed on aged rats of both sexes, which
85 are better matched to the demographics of human stroke patient than the young male mice used
86 in most studies. The microbiome changes found in this study need to be examined and
87 correlated with clinical imaging markers of stroke and inflammatory markers to understand
88 better whether the microbiome could be a therapeutic target in stroke rehabilitation.

89 Here we identify the gut-brain axis changes that occur following stroke in aged rats using high
90 resolution whole genome shotgun sequencing and correlate them with clinical imaging markers
91 of stroke including MRI-based infarct size, edema size, and cerebral blood flow (CBF) as well
92 as inflammatory markers. We found that microbial communities are disrupted in an aged rat
93 population following stroke, showing significantly different beta diversity, increased alpha
94 diversity, and changes in the relative abundance of 5 of the 6 major phyla found in the gut.

95 Changes in thirteen bacterial species as detected by machine learning were highly associated
96 with stroke and changes in these species were also associated with increased infarct and edema
97 size and decreased CBF. Changes in the microbiome due to stroke were also associated with
98 increases in 49 inflammatory markers.

99 **Materials and methods**

100 **Ethics approval and animals**

101 Aged male and female rats (18-month-old Sprague-Dawley rats (ENVIGO, Indianapolis, IN)
102 were used for all procedures. The aged female rats on average weighed between 245g and 425g,
103 and aged male rats approximately weighed between 505g and 705g. The study was conducted
104 in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory
105 Animals and study protocols were approved by University of Kentucky's (UK) Institutional
106 Animal Care and Use Committee. Animals were housed in a climate-controlled room on a 12-
107 hr light and dark cycle (0700–1,900) with access to food and water. Per Division of Laboratory
108 Animal Resources (DLAR) cage requirements at UK's vivarium facility, the animals can be
109 paired in one cage if the animal weight is under 650 grams. We typically house two animals
110 (males or females) per cage upon arrival to DLAR. Once the rats are over 650 grams, they are
111 then split into a separate cage by themselves. Fecal samples were collected for all animals at
112 24 hours before surgery and 72 hours post-surgery and for 4 animals at 30 days post-surgery.
113 The rats underwent MRI at 72 hours to measure infarct and edema volumes and CBF then
114 euthanized.

115 **Middle cerebral artery occlusion**

116 22 of the rats received a permanent Middle Cerebral Artery Occlusion (p-MCAO) and 17 of
117 the rats received a 5-hour transient Middle Cerebral Artery Occlusion (5t-MCAO). All animals
118 were induced with oxygen containing 5% isoflurane, then shaved, prepped with Hibiclens

119 (chlorohexidine scrub) prior to 70% EtOH and then a betadine solution. Maintenance isoflurane
120 was maintained at 2.5% in O₂ was delivered via a nosecone placed in line with the binner
121 tubeQ (gas delivery tube) of the anesthesia circuit. Under bnear sterileQ conditions and with
122 the use of a Zeiss operating microscope (Carl Zeiss AG, Gottingen, Germany) at 4 to 25
123 magnification, the procedure was performed. First, the skin was opened with a midline vertical
124 incision, and the underlying submandibular gland bluntly dissected in the midline to produce
125 left and right lobes, which were retracted laterally. Division of the omohyoid muscle, then
126 dissection medial to the right sternocleidomastoid (SCM) muscle was used to expose the
127 common carotid artery (CCA), which was separated from the vagus nerve. Elastic hooks (Lone
128 Star Medical Products, Houston, TX, USA) tethered to metal stays on the customized surgery
129 table were used to retract the skin and the SCM muscle. In the p-MCAO, a hand-held
130 electrocautery (Aaron Medical, St. Petersburg, FL, USA) is used to cauterize the superior
131 thyroid artery (STA), a collateral off the ECA, and the occipital artery (OA), a collateral off
132 the ICA. Two 5-0 silk sutures (Surgical Specialties, Reading, PA, USA) were used to ligate the
133 external carotid artery (ECA) as distal as possible to the ECA/ICA bifurcation, and a second
134 tie that was applied just proximal to the first, leaving enough space in between the two ties to
135 cut the artery with micro scissors. At this point, blunt dissection was used to isolate the internal
136 carotid artery (ICA) and its collateral, the pterygopalatine artery. Next, microvascular
137 aneurysm clips (Mizuho, Beverly, MA, USA) were applied to the CCA and the ICA. A 5-0
138 PDS II monofilament embolus (Ethicon, Cornelia, GA, USA), was introduced into an
139 arteriotomy hole—produced with a 26-gauge hypodermic needle—in the reflected ECA stump
140 and fed distally into the ICA. At this time, a collar suture at the base of the ECA stump was
141 tightened around the embolus, and the ICA clamp was removed. The embolus was advanced
142 20 mm from the carotid bifurcation, with care taken to avoid entrance into the pterygopalatine
143 artery.

144 For the transient occlusion, the same steps were done as stated with the pMCAO, with the
145 exception that Docol Corporation silicone rubber-coated monofilaments were used for the
146 occlusion of the middle cerebral artery (MCA). Multiple sized Docol monofilaments are used
147 in the MCAO surgery depending on the sex and weight of the rat. Two 18-inch length of 5-0
148 silk suture were used for the ligation of the external carotid artery (ECA) to secure the ECA
149 stump, and the entry point of the monofilament into the ECA/ICA bifurcation. The third 5-0
150 silk suture was used to secure the monofilament within the ECA. A micro-serrefines arterial
151 clamp (FST, Fine Science Tools, #18055-01) was used to occlude the internal carotid artery
152 (ICA) and common carotid artery (CCA) prior to advancement of the monofilament into the
153 MCA. After 5 hours, the embolus was gently removed and the collar suture at the base of the
154 ECA stump tightened. The skin was closed with 3-0 nylon suture (Ethicon, Cornelia, GA,
155 USA), anesthesia discontinued, and the animal allowed to recover. Animals used for control
156 underwent a neck dissection and coagulation of the external carotid artery, but no manipulation
157 or occlusion of the common or internal carotid arteries.

158 **Post-surgical fluid management and pain control**

159 Immediately post-operatively the animals received 2 ml of sterile saline (0.9%) subcutaneous.
160 An additional 1 ml of saline was given if extra blood loss occurred during surgery. The animals
161 were injected with sterile filtered PBS pH 7.4 at 6 (for the p-MCAO), 24, 48, and 72 hours
162 post-MCAO. The animals were weighed every morning post-MCAO to determine dehydration.
163 Hydration status was checked by pinching up or “tenting” the skin over the nape of the neck.
164 The skin should immediately relax into its normal position. If the skin remains tented longer
165 than normal, the rat was deemed dehydrated, and saline was given. Per DLAR guidelines, rats
166 can receive up to 10 ml at a time and no more than 2 ml at any one location per 6 hr. If
167 warranted, additional saline (1–2 ml) will be given in addition to 6, 24, 48, and 72 hr. Also, we

168 added an additional water bottle in each cage to allow more avail- ability to free water for the
169 rats to consume and moistened food was provided on the bottom of the cage to encourage
170 feeding and additional water intake. Post-surgical pain control was managed with carprofen,
171 which is based on weight of the animal. Animal weights are taken prior to surgery (pMCAO)
172 and daily until animals are euthanized at 72 hr. (post MRI). The animals received a dosage of
173 carprofen 5mg/kg prior to surgery and every 24 hr. for three days post-pMCAO until 72 hr.
174 when they were euthanized (post MRI). Termination of survival criteria include that all animals
175 were weighed and monitored, especially for dehydration and pain, each morning post surgery.
176 This includes specific attention to the animal as a whole, as well as incision sights. If symptoms
177 such as pain, fatigue, loss of energy, excess energy, ruffled hair coat, reluctance to move, failure
178 to groom or feed, hypoactivity, hyperactivity, restlessness, self-trauma, aggressiveness, ataxia,
179 pale mucous membranes, cyanosis, rapid, shallow and/or labored breathing, cachexia,
180 porphyria, soiled anogenital area, inactivity, failure to respond to stimuli, lack of
181 inquisitiveness, vocalization, and/or hunched posture were observed, the research team
182 obtained advice from the vivarium veterinary staff on how best to intervene to alleviate
183 discomfort; if that was not possible the animal was euthanized. Additional checks were made
184 in the afternoon if there was any rat of concern. The animals were removed from the study if
185 adverse signs persisted despite carprofen and treatment past 24 hr. If the signs fail to resolve,
186 the vivarium veterinarian was consulted and decided the time course when such animals were
187 euthanized. Additionally, weight loss greater than 20% (emaciated appearance, rapid weight
188 loss over two days) was considered an endpoint. Rapid weight loss was considered greater than
189 10% a day for two days.

190 **Microbiome Sequencing**

191 Fecal samples were collected for all animals at 24 hours before surgery and 72 hours post-
192 surgery and for 4 animals at 30 days post-surgery. Genomic DNA were extracted from 0.25
193 grams of stool using ZymoBIOMICS™ DNA Mini Kit and shipped to CosmosID for DNA
194 quantification using fluorometer Qubit 3.0. Libraries were constructed and the PCR products
195 were purified using 1.0X speed beads and eluted in 15 µL of nuclease-free water and quantified
196 by PicoGreen fluorometric assay (100X final dilution). The libraries were pooled and loaded
197 onto a high sensitivity chip run on the Caliper LabChipGX (Perkin Elmer, Waltham, MA) for
198 size estimation and sequenced using Illumina NextSeq/HiSeq platform. Unassembled
199 sequencing reads were analyzed by CosmosID bioinformatics platform (CosmosID Inc.,
200 Rockville, MD)⁹⁻¹² for microbiome analysis. Heatmaps, stacked bar graphs, and Principal
201 Component Analysis (PCA) plots were generated to visualize the diversity and abundance of
202 each microbial taxa. Alpha- and beta-diversity were calculated to determine the number of
203 species present in a cohort and diversity similarities between groups.

204 **Magnetic resonance imaging**

205 MRI images were acquired on a 7T Bruker Clinscan horizontal bore system (7.0T, 30 cm, 300
206 Hz) equipped with a triple-axis gradient system (630 mT/m and 6,300 T m⁻¹ s⁻¹) with a closed
207 cycle. PCASL (pseudo continuous arterial spin labelling) images were acquired coronally to
208 determine CBF with a fat saturated, double refocused echo planar sequence: TR 4000 ms, TE
209 26 ms, Matrix 74 x 56, FOV 26 mm x 19.7 mm, Slice 1.2 mm, Slices 6, 120 Tagged-Untagged
210 Pairs, 10 M₀ Images, Tagging Plane Offset 12mm, Bolus duration 1.86sec, Post Labeling Delay
211 0sec, and Acquisition Time of 10 min. T2 weighted images were acquired coronally with a
212 RARE sequence: TR 6000 ms, TE 29 ms, Turbo Factor 5, Matrix 190 x 190, FOV 240 mm x
213 240 mm, Slice 0.4 mm, Slices 44, and Acquisition Time of 9 min. Male rats were anesthetized
214 with an average of 2.25% isoflurane in oxygen, while female rats were anesthetized with an

215 average of 1.75% isoflurane in oxygen using an MRI compatible CWE Inc. equipment
216 (Ardmore, PA). They were held in place on a Bruker scanning bed with a tooth bar, ear bars,
217 and tape. Body temperature, heart rate, and respiratory rate were continuously monitored
218 throughout the MRI scans (SA Instruments, Inc., Stony Brook, NY). The animal's body
219 temperatures were maintained at 37°C with a water heating system built into the scanning bed.
220 The scanning procedure took approximately 40-60 mins. per animal.

221 The MR images were analyzed by a blinded neuroradiologist who visually identified infarct
222 volume and edema volume. These volumes were counted, and this number was normalized to
223 the number of images counted to provide a per section count. The volume of brain parenchyma
224 demonstrating infarct volume visibly affected was calculated by manual segmentation using
225 ITK-SNAP software (www.itksnap.org, version 3.6)¹³. The volume of brain parenchyma
226 visibly affected by T2 hyperintensity (edema volume) was calculated in a similar fashion. The
227 data are given as absolute volume in cubic millimeters. The calculation was based on all slices
228 from each MR sequence. Cerebral perfusion values of the area of lesion within the ipsilateral
229 hemisphere, and the equivalent region within the contralateral hemisphere were generated
230 using the quantification as previously described.^{14,15}

231

232 **Biochemical analysis**

233 In following STAIR guidelines, clinically relevant biomarkers were determined in our aged
234 male and female rats¹⁶. Blood was taken from the jugular vein at three different time points:
235 immediately prior to MCAO surgery and 5 mins after reperfusion of the MCA in the pMCAO,
236 and 5 hours post MCAO procedure in the 5t-MCAO. Blood was immediately placed on ice and
237 centrifuged at 2000 g for 15 minutes. Plasma was extracted and stored separately, both pellet
238 and plasma were frozen at -80°C for later analysis. RNA extraction and Amplification followed

239 the methods of Martha et.al 2020¹⁷. Briefly, total RNA was extracted from the pellet portion
240 via a Nucleospin Blood Kit (Macherey-Nagel, Düren, Germany), RNA quantity was estimated
241 using a Qubit 4 Fluorometer (Thermo-Fisher; Waltham, MA), cDNA was synthesized using a
242 RT² PreAMP cDNA synthesis Kit from Qiagen and expression of 84 genes were measured
243 using an ABI StepOne Plus (Germantown, MD) and a RT² Profiler Rat Chemokine and
244 Receptor Array from Qiagen. Delta Delta CT was calculated using the fold change of the gene
245 expression measurement from pre to 3-day.

246 **Statistical analysis**

247 Descriptive microbiome analyses were performed with CosmosID bioinformatics software to
248 generate alpha diversity, beta diversity, and relative abundance data. Alpha diversities amongst
249 groups were compared using Wilcoxon Rank Sum test. Beta diversities amongst groups were
250 compared using PerMANOVA. Relative abundance data was compared to measures of stroke
251 severity as determined by imaging (infarct size, edema size, CBF) using general linear models
252 within the MaAsLin 2 R package¹⁸. Random forest was used to determine top bacterial species
253 that were changed following stroke using the randomForest R package¹⁹. All imaging variables
254 in the study were transformed to meet assumptions of normality. The transformation
255 procedures began with Shapiro-Wilks and for measures with $p < 0.05$, the variables were square
256 root transformed. A p-value of 0.05 was set a priori to determine statistical significance.

257 **Results**

258 We analyzed all rats before and after middle cerebral artery occlusion and considered sex,
259 surgery type, and treatment with LIF or PBS in the analysis. We administered a leukemia
260 inhibitory factor (LIF) treatment on half of the rats based on previous work suggesting that LIF
261 is an anti-inflammatory that regulates the immune/inflammatory response to stroke²⁰. The rats
262 had an average of 96.50 mm³ infarct size, 131.0 mm³ edema size, and 1.31 ml/g/min CBF from

263 a permanent occlusion and 31.46 mm³ infarct size, 102.1 mm³ edema size, and 2.16 ml/g/min
264 CBF from a transient occlusion. Infarct and edema volumes were not significantly different
265 between sex, treatment group, or occlusion type. No significant difference in CBF was detected
266 between sex or treatment, but, as expected, a significant difference occurred between
267 permanent and transient occlusion in CBF (**Fig. 1**).

268 **The aged rat gut microbiome is disrupted following stroke**

269 We performed an analysis on the gut microbial communities of the aged rats before and after
270 stroke. Comparing the alpha diversity before and after stroke, we found that richness and
271 evenness increased from 3.818 on the Shannon diversity index²¹ to 4.178 (**Fig. 2A**). There were
272 no differences in the change of alpha diversity between sex, treatment, or occlusion type.
273 Comparing the beta diversity before and after stroke, we found that the microbial communities
274 were significantly different between baseline and stroke ($p=0.0001$), but no significant
275 microbial community differences were detected based on sex, treatment, or occlusion type.
276 (**Fig. 2B** and **Supplementary Table 1**).

277 We investigated specific differences in the relative abundance of the major bacterial phyla in
278 the gut (**Fig. 3**). We found increases in proteobacteria and Bacteroidetes and decreases in
279 firmicutes, verrucomicrobia, and actinobacteria following stroke (**Supplementary Table 2A**).
280 This translates to a sharp decrease in the firmicutes to bacteroidetes ratio. Using linear
281 regression, the major bacterial phyla predict infarct size with an $R^2=0.3866$ and edema size
282 with an $R^2=0.6022$ (**Supplementary Table 2B**).

283 **The top 13 disrupted bacterial species following stroke**

284 We investigated specific differences in the relative abundance of the major bacterial species in
285 the gut. There was a total of 29 species increased and 23 species decreased following stroke
286 (**Table 1**). **Supplementary Table 3** gives a detailed description of all the taxa that were
287 increased (red) or decreased (green) following stroke. Using random forest machine learning
288 classification, we found the most important bacterial species that predict stroke verse baseline
289 with an 85.14% accuracy. They include an increase in *Butyricimonas virosa*, *Bacteroides*
290 *vulgatus*, *Escherichia coli*, *Bacteroides uniformis*, *Bacteroides dorei*, *Parabacteroides*
291 *distasonis*, and *Alistipes indistinctus* and a decrease in *Ruminococcus flavefaciens*,
292 *Akkermansia muciniphila*, *Ruminococcus_u_s*, *[Clostridium] clostridioforme*, *Lactobacillus*
293 *murinus*, and *Lachnospiraceae bacterium 3-1*. Using linear regression with backwards

294 elimination (**Table 2**), we found that increases in *Ruminococcus_u_s* and *Alistipes indistinctus*
295 and decreases in *Lachnospiraceae bacterium 3-1* predict infarct volume with an $R^2=0.4433$.
296 Increases in *Butyrivibrio* *viroso*, *Bacteroides uniformis*, and *Ruminococcus_u_s* and
297 decreases in *Ruminococcus flavefaciens* predict edema with an $R^2=0.6230$. Finally, decreases
298 in *Alistipes indistinctus* predict CBF with an $R^2=0.1825$.

299 We investigated potential interactions between bacterial species in predicting infarct size,
300 edema size, and CBF (**Supplementary Table 4**). Using a feasible solution algorithm (FSA)
301 for finding interactions, we found that decreases in *Lachnospiraceae bacterium A2* and
302 *Lactobacillus murinus* predict infarct size, but a combination of the two predicts a dramatic
303 increase in the prediction value with an $R^2=0.6206$. Decreases in *Lachnospiraceae bacterium*
304 *A4* and *Lactobacillus murinus* predict edema size, but a combination of the two have stronger
305 predictive ability with an $R^2=0.6454$. Decreases in *Adlercreutzia equolifaciens* and
306 *Desulfovibrio desulfuricans* predict CBF, but again, a combination of the two has a stronger
307 prediction with an $R^2=0.8093$.

308 **Bacterial community disruptions following stroke are correlated** 309 **with stroke severity markers**

310 We investigated the correlation of all the bacterial species with infarct size and edema size
311 (**Table 3**). Using the MaAsLin 2 R package¹⁸, which automatically normalizes and transforms
312 all variables in preparation for linear regression, we correlated metagenomic sequencing with
313 imaging variables of stroke severity. Twenty-seven bacterial species were positively correlated
314 and 19 negatively correlated with infarct volume. Thirty species were positively correlated,
315 and 31 species were negatively correlated with edema volume. No species were correlated with
316 CBF.

317 **Bacterial community disruptions following stroke are correlated** 318 **with rises in inflammatory markers**

319 We investigated the association of inflammatory markers with gut microbiome changes (**Table**
320 **4**). Using an Rt2 PCR array²² to test the difference between inflammatory genes expressed
321 before and after stroke in a subsample of the rats, we found all the markers that were associated
322 with the changes in gut microbiome. There were 22 bacterial species changed with stroke that

323 were also correlated with changes in inflammatory markers. There were 49 total inflammatory
324 markers that were increased in association with bacterial changes (**Supplementary Table 5**).

325 **Discussion**

326 To our knowledge, we are the first to report on the gut microbial changes with species level
327 resolution in aged male and female rats and to correlate these changes with clinical MRI
328 imaging markers of stroke and inflammatory markers. Following stroke, we found that alpha
329 diversity significantly increased, beta diversity significantly changed, and 5 of the 6 major
330 bacterial phyla were altered. Using machine learning, the top 13 bacterial species that predict
331 whether a sample came from the baseline or post-stroke time point. These bacterial species had
332 independent significant correlations with infarct size, edema size, and CBF. We also identified
333 several species whose interactions with one another were significant in correlating with stroke
334 imaging outcomes. Finally, we found 49 inflammatory markers that correlated with the changes
335 in microbiome from stroke. These changes are representative of a shift from beneficial to
336 pathogenic bacterial species following stroke which results in an increased inflammatory
337 response.

338 **Figure 4** summarizes the changes in gut microbial communities in response to stroke.
339 Following stroke there is a significant shift in the gut microbiome, with alterations to 52 major
340 bacterial species. These bacterial fluctuations shift the environment to a more inflammatory
341 state that adversely affect injury. The microbial community dysbiosis is likely due to the
342 increased gut permeability and decreased gut motility in addition to the immunodepression
343 caused by the amplified stress response (increased sympathetic nervous system response and
344 hypothalamic-pituitary-adrenal (HPA) axis response) following stroke²³. Previous groups have
345 reported a decrease in alpha diversity following stroke in a mouse model⁶ and an increase in a
346 human model²⁴. Our findings are consistent with others who have seen that microbial
347 communities differ before and after stroke based on measures of beta diversity²⁵. We did not
348 find any significant differences in the microbiome between males and females. Some groups
349 have found sex differences in the microbiome that are largely attributed to hormone
350 differences²⁶. It is possible that we did not see these differences because the female rats we
351 used are aged and reproductively senescent.

352 We saw increases in proteobacteria following stroke. In previous studies, proteobacteria have
353 been associated with increased cognitive impairment following stroke²⁷. Dysbiosis related to

354 metabolic disorders, inflammation, and cancer is often related to an increase in
355 proteobacteria^{28,29}. This is possibly due to increased oxygen content in the gut following
356 increases in inflammation, providing an optimal environment for these facultative anaerobes³⁰.
357 We also saw decreases in firmicutes and increases in bacteroidetes species. Decreased
358 firmicutes have also been associated with Alzheimer's disease³¹. Obesity is often characterized
359 by a significantly increased firmicutes to bacteroidetes (F/B) ratio³²; interestingly, our study
360 found that stroke has the opposite effect on F/B ratio. Actinobacteria was significantly
361 decreased following stroke. Actinobacteria downregulates inflammation by production of IL-
362 4 and IL-13³³ and is known to have anti-biofilm properties against pathogenic bacteria³⁴. It is
363 possible that a decrease in actinobacteria allows other pathogenic bacteria to flourish.

364 Of the bacteria we found that are increased following stroke, many were of the bacteroides
365 species. Bacteroides species have the ability to reduce oxygen levels and breakdown food
366 products to liberate fucose and sialic acid residues from glycoproteins that can be consumed
367 by other microorganisms, including pathogens. Higher bacteroides species are associated with
368 type I diabetes³⁵. *Bacteroides vulgatus* and *Bacteroides dorei* reduce gut microbial
369 lipopolysaccharide production and inhibit atherosclerosis³⁶, but they are also associated with
370 insulin resistance, altered bile acid metabolism, and reduced interleukin-22 secretion³⁷.
371 *Butyricimonas virosa*, *Escherichia coli*, and *Parabacteroides distasonis* were also elevated
372 following stroke. An increase of *Butyricimonas virosa* has also been seen in divers with high
373 occupational exposure to a hyperoxic environment³⁸, which is very different from the hypoxic
374 environment of stroke. *Escherichia coli* is a very common commensal bacteria that has the
375 potential to cause extraintestinal infections based on its genome content and phenotypic traits³⁹
376 and is famous for causing post-stroke infections, especially pneumonia. *Parabacteroides*
377 *distasonis* has been shown to alleviate obesity and metabolic dysfunctions via production of
378 succinate and secondary bile acids⁴⁰, which is interesting since stroke is often associated with
379 obesity and metabolic dysfunctions.

380

381 Many bacteria which are generally considered beneficial were decreased following stroke
382 including akkermansia, lactobacillus, and ruminococcus species. *Akkermansia muciniphila* is
383 a mucin-degrading bacterium⁴¹ that can be increased with fasting⁴² that is known to improve
384 host metabolic functions and immune responses⁴³. *Lactobacillus murinus* can combat
385 inflammaging⁴⁴, and a reduction of *L. murinus* due to high salt consumption has been

386 associated with an increase in proinflammatory TH17 cells⁴⁵, which have been correlated with
387 post stroke dysbiosis and secondary injury⁴⁶. *Lactobacillus reuteri* was also significantly
388 reduced following stroke. A randomized control trial in children showed administration of *L.*
389 *reuteri* as a probiotic to be useful in treating constipation in children⁴⁷. Constipation is a
390 common morbidity in stroke, and administration of this species could help to alleviate
391 symptoms. *Ruminococcus flavefaciens* has also been shown to decrease the therapeutic effects
392 of antidepressants, having implications for the treatment of post-stroke depression.

393 Many of the bacterial changes were associated with increases in inflammatory markers. The
394 major markers that were increased were CCL19, CCL24, IL-17A, IL-3, and complement factor
395 C5. CCL19 is a chemokine that is commonly upregulated as a result of viral infections⁴⁸, and
396 attracts dendritic cells and T lymphocytes⁴⁹; it promotes thymocyte development, secondary
397 lymphoid organogenesis, high affinity antibody responses, regulatory and memory T cell
398 function, and lymphocyte egress from tissues organs^{50,51}. CCL19 suppresses angiogenesis and
399 can inhibit proliferation, migration, and sprouting responses of tumors⁵². CCL19 has previously
400 been found to be upregulated following stroke after damage to the intestinal epithelium⁵³ and
401 has been shown to facilitate T-cell migration to the insult site and microglial activation
402 following stroke⁵⁴. CCL24 plays an important role in pathological processes of skin and lung
403 inflammation and fibrosis⁵⁵ and regulates inflammatory and fibrotic activities through its
404 receptor, CCR3⁵⁶. CCR3 is a mediator of neural cell death⁵⁷. In host defense, IL-17A has been
405 shown to be mostly beneficial against infection caused by extracellular bacteria and fungi⁵⁸ and
406 IL-17A has been shown to be increased following stroke, especially in males⁵⁹. IL3 is strongly
407 associated with brain volume variation and plays pivotal roles in the expansion and
408 maintenance of the neural progenitor pool and the number of surviving neurons⁶⁰; our work
409 has previously identified IL3 increased in the spleen with our aged rat model of stroke²⁰.
410 Activation of complement C5 generates the potent anaphylatoxin C5a and leads to pathogen
411 lysis, inflammation, and cell damage⁶¹. Activated C5 complement components are a part of the
412 cerebral tissue inflammation following ischemia⁶².

413

414 This study lays an important foundation upon which precision interventions can be developed
415 to target the gut microbiome in stroke rehabilitation. Future studies should attempt to
416 manipulate the microbiome to change stroke outcomes. This could be achieved through diet
417 interventions, antibiotic therapy, probiotics, or fecal microbiota transplant. For example, a

418 future probiotics study should include the use of *Ruminococcus flavefaciens*, *Akkermansia*
419 *muciniphila*, and *Lactobacillus murinus* as these were deficient in our population. Stroke
420 severity measures from imaging and inflammatory markers could be used as outcomes to
421 compare to the current study. While the present study identified associations of various
422 inflammatory markers with changes in gut microbial composition, it would also be useful to
423 perform mechanistic studies to determine how the microbiota change the expression of these
424 markers and what their downstream effects are. Finally, human studies will be needed to
425 determine whether the microbial changes seen in animals following stroke are similar to the
426 changes seen in animals. Such results can then be used to alter the gut microbiome to favor
427 positive clinical outcomes after stroke.

428

429 **Conclusion**

430 We found that alpha diversity significantly increased following stroke irrespective of sex,
431 treatment, or occlusion type. Beta diversity was also significantly different, with increases in
432 proteobacteria and decreases in the firmicutes to bacteroidetes ratio. Random forest analysis
433 revealed the top 13 species changes as a result of stroke including increases in *Butyrivibrio*
434 *viroso* and *Escherichia coli* and decreases in *Akkermansia muciniphila* and *Bacteroides dorei*.
435 Correlation analysis revealed that these species changes were associated with increased infarct
436 and edema sizes following stroke. Furthermore, the bacterial changes were associated with
437 increases in inflammatory markers, notably Ccl19, Ccl24, IL17a, IL3, and complement C5.

438 **Declarations**

439 **Ethics approval**

440 The study was conducted in accordance with the National Institutes of Health Guide for the
441 Care and Use of Laboratory Animals and study protocols were approved by University of
442 Kentucky's (UK) Institutional Animal Care and Use Committee.

443 **Consent for publication**

444 Not applicable

445 **Availability of data and materials**

446 All data generated or analyzed during this study are included in this published article

447 **Competing interests**

448 The authors declare that they have no competing interests

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453 **Authors' contributions**

454 TCH processed the fecal samples, analyzed the data, and prepared the manuscript. SM
455 performed the stroke surgeries. JAF collected the fecal pellets, performed the imaging, and ran
456 the inflammatory analysis. DL interpreted the imaging findings. RC processed the microbiome
457 samples. A-LL and KRP oversaw the design and analysis of all experiments. All authors read
458 and approved the final manuscript.

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460 Not applicable

461

462 **Supplementary material**

463 Supplementary material is available at *Brain* online

464

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612

613 **Figure legends**

614 **Figure 1: Imaging features following stroke**

615 **Figure 2: Diversity changes following stroke.** A) Alpha diversity as measured by the
616 Shannon diversity index detecting species richness and evenness is increased following stroke.
617 There is no difference in change across sex, treatment, or stroke type. B) Beta Diversity as
618 measured by Bray-Curtis method comparing how different samples are

619 **Figure 3: Phyla changes as a result of stroke.** Relative Abundance shows phyla composition
620 before and after stroke.

621 **Figure 4. Summary Figure depicting changes in gut microbial communities in response**
622 **to stroke.**

623

624

Figures

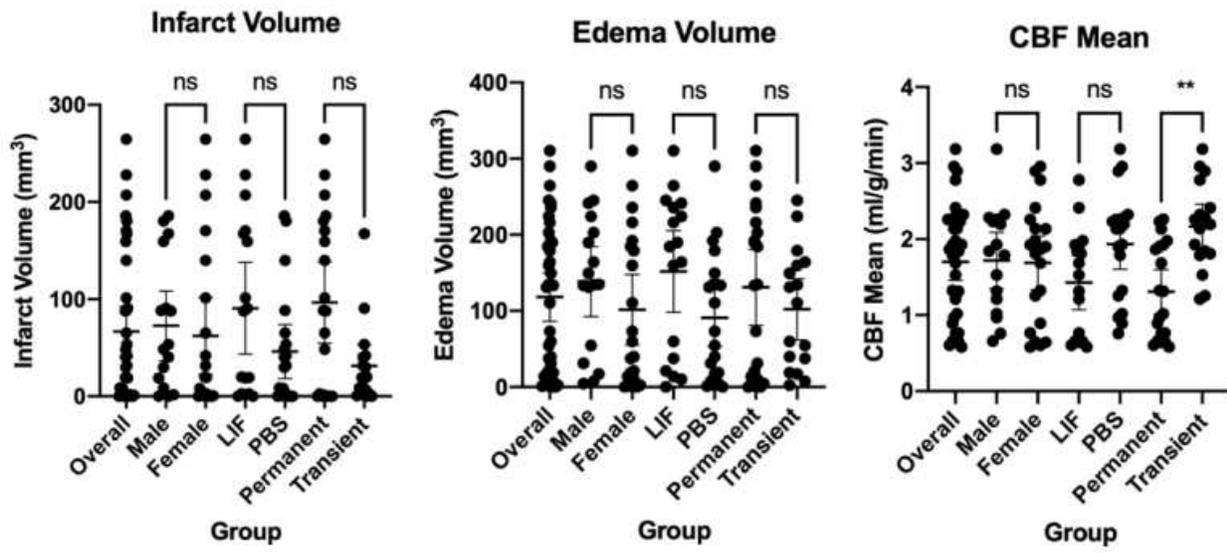
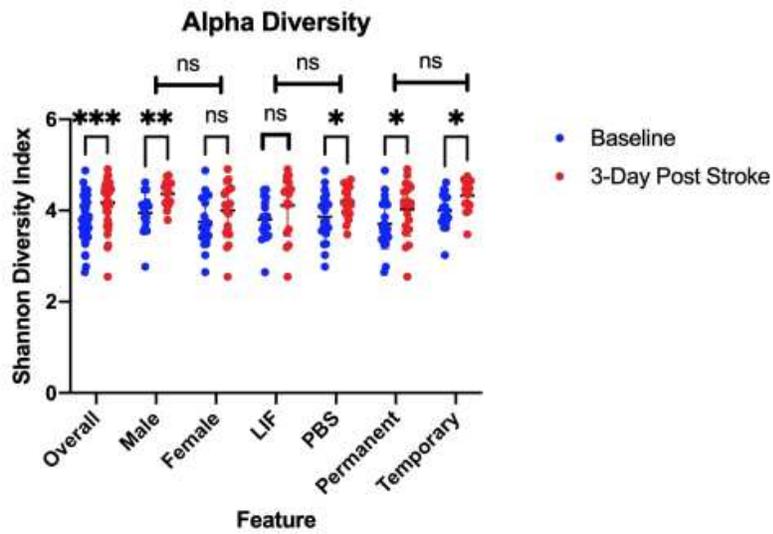


Figure 1

Figure 1

Imaging features following stroke

A)



B)

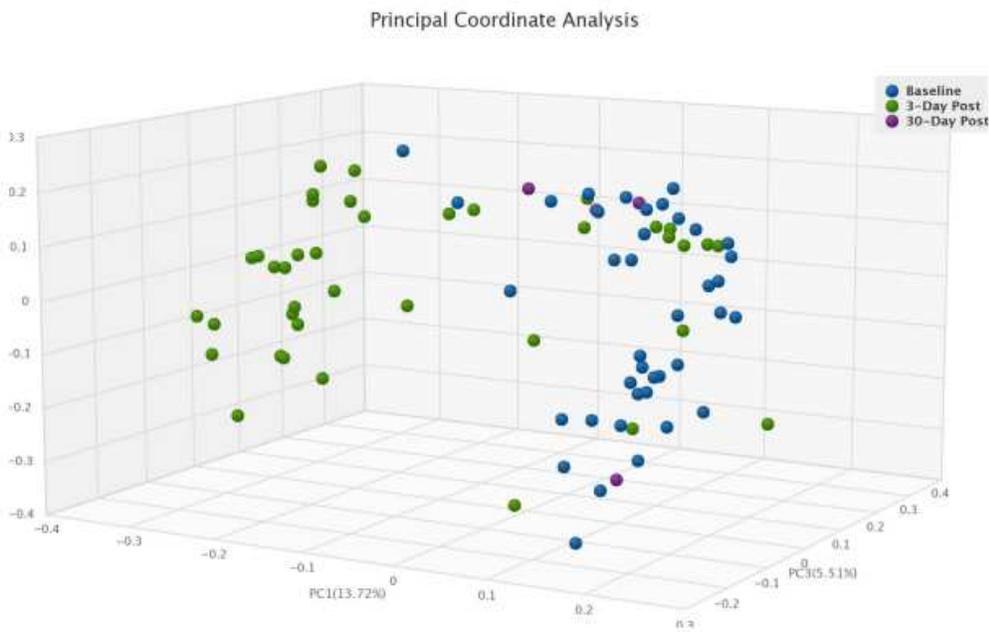


Figure 2

Figure 2

Diversity changes following stroke. A) Alpha diversity as measured by the Shannon diversity index detecting species richness and evenness is increased following stroke. There is no difference in change across sex, treatment, or stroke type. B) Beta Diversity as measured by Bray-Curtis method comparing how different samples are

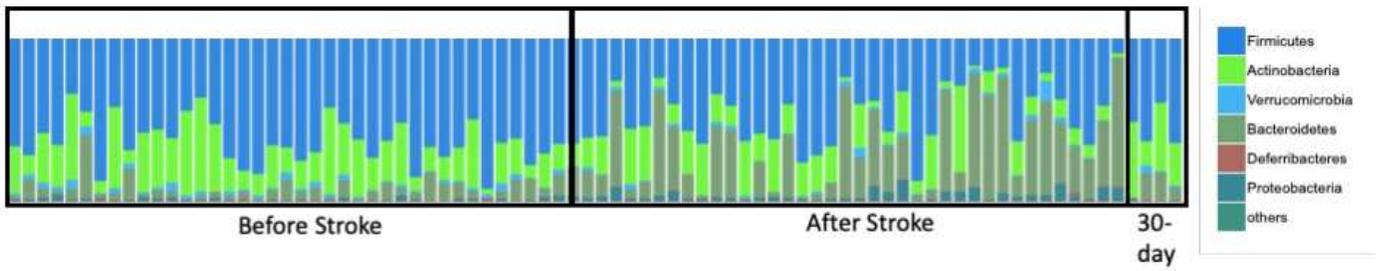


Figure 3

Figure 3

Phyla changes as a result of stroke. Relative Abundance shows phyla composition before and after stroke.

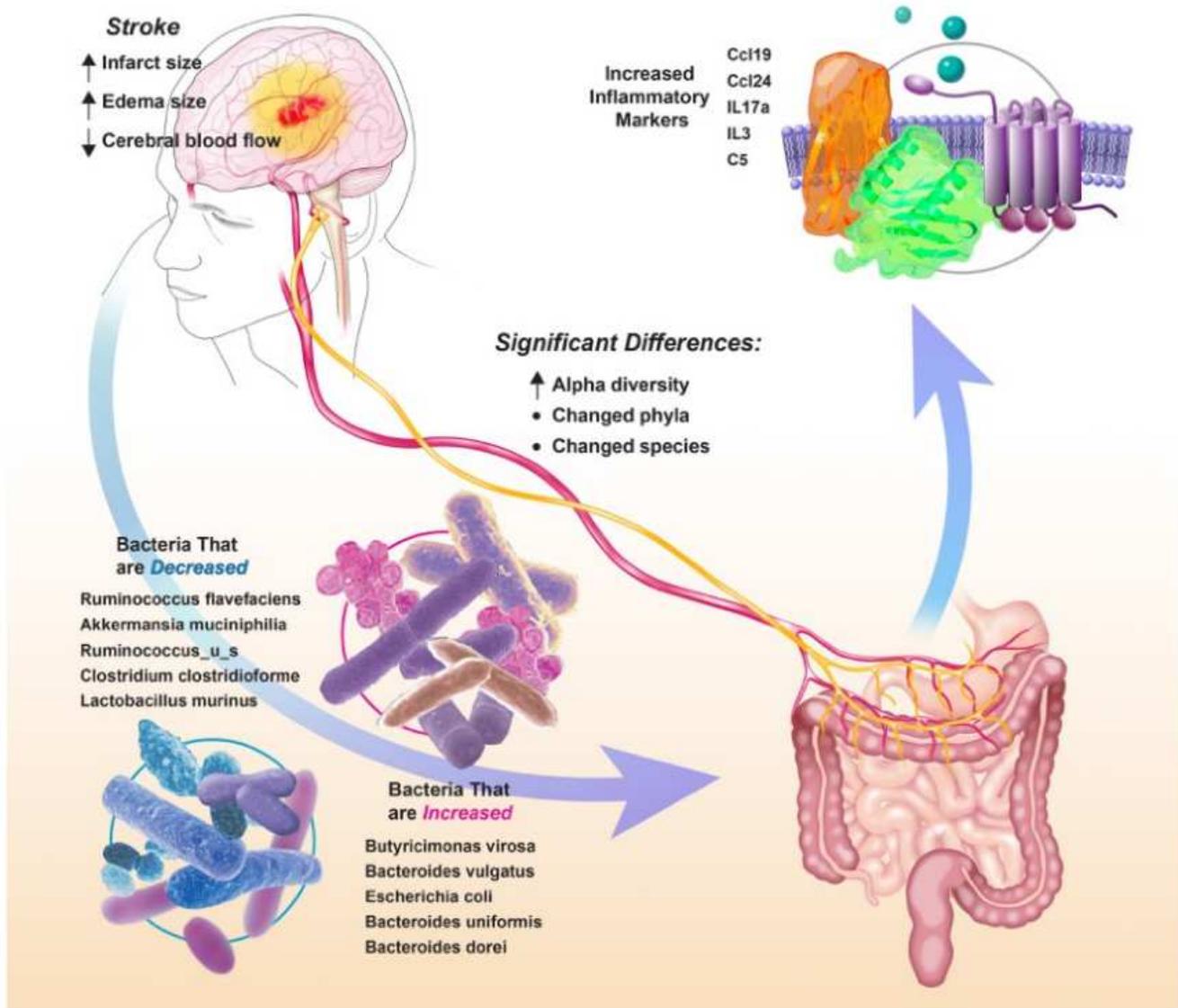


Figure 4

Figure 4

Summary Figure depicting changes in gut microbial communities in response to stroke.

Supplementary Files

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