

# Exome Sequencing Revealed PDE11A as a Novel Candidate Gene for Early-Onset Alzheimer's disease

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## Research

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## Abstract

**Background:** Alzheimer's disease (AD) is a leading cause of dementia in the elderly and has become a major health issue. However, a large number of genetic risk factors remain undiscovered.

**Methods:** To identify novel risk genes and better understand the molecular pathway underlying AD, whole-exome sequencing (WES) was performed in 215 early-onset AD (EOAD) patients and 55 unrelated healthy controls of Han Chinese ethnicity. Subsequent direct sequencing was performed in 4962 individuals to validate the selected rare mutations. Computational annotation and *in vitro* functional studies were performed to evaluate the role of candidate mutations in EOAD and the underlying mechanisms.

**Results:** We identified two rare missense mutations in the *phosphodiesterase 11A (PDE11A)* gene, resulting in p.Arg202His, and p.Leu756Gln, in individuals with EOAD. Both mutations are located in evolutionarily highly conserved amino acids, are predicted to alter the protein conformation, and classified as pathogenic. Furthermore, we found significantly decreased protein levels of PDE11A in brain samples of AD patients. Expression of *PDE11A* variants and knockdown experiments with specific short hairpin RNA (shRNA) for *PDE11A* both resulted in an increase of AD-associated Tau hyperphosphorylation at T181, S404, S202, S416, S214, S396 and AT8 epitopes *in vitro*. *PDE11A* variants or *PDE11A* shRNA also caused increased cAMP levels, protein kinase A (PKA) activation, and cAMP response element-binding protein (CREB) phosphorylation. Additionally, pretreatment with a PKA inhibitor (H89) suppressed *PDE11A* mutation-induced p-Tau formation.

**Conclusions:** Our results demonstrate that both *PDE11A* mutations and *PDE11A* knockdown increase Tau phosphorylation through the cAMP/PKA pathway, suggesting that *PDE11A* is a novel risk gene for AD. This study provides insight into the involvement of Tau phosphorylation via the cAMP/PKA pathway in EOAD pathogenesis and provides a potential new target for intervention.

## Introduction

Alzheimer's disease (AD) is a leading cause of dementia, affecting between 23 and 35 million people worldwide [1]. AD is one of the major contributors to disability and causes increases in the burdens of patients and the families of patients, as well as of the health care systems [2]. The neuropathologic hallmarks of AD brains are extracellular accumulation of diffuse and neuritic amyloid plaques, composed of amyloid- $\beta$  (A $\beta$ ) peptide, and the intraneuronal accumulation of neurofibrillary tangles (NFTs) composed of hyperphosphorylated protein tau (p-Tau). Based on age at onset (AAO), AD is classified as either early onset (AAO < 65 years) or late onset (AAO  $\geq$  65 years). Of all AD patients, around 10% are diagnosed with EOAD. Late-onset AD (LOAD) is a complex disorder with a heterogeneous etiology and a heritability of 58–79% [3, 4]. Genome-wide association studies (GWAS) have identified over 50 risk loci associated with AD [3]. However, most GWAS loci are noncoding common variants of uncertain function. Indeed, GWAS hits explain a relatively small proportion of the phenotype in populations, and it is estimated that the 'missing heritability' may be explained by rare but functionally important variants. EOAD is an almost entirely genetically determined disease with a heritability ranging 92–100% [3]. High-penetrant variants in *amyloid precursor protein (APP)*, *presenilins 1* and *presenilins 2* are the main genetic risk factors underlying EOAD but are only found in approximately 11% of all EOAD patients [5]. Exome sequencing has recently identified rare missense variants in *TREM2*, *ABCA7* and other genes that increase the risk for developing AD [6, 7]. However, a large number of EOAD patients remain genetically unexplained. EOAD patients are more likely to carry pathogenic variants [3], and suitable for identifying novel AD risk genes. The number of patients with dementia in China accounts for approximately 25% of the entire population with dementia worldwide [1]. However, very few studies on risk genes in EOAD cohorts have been carried out in China.

In this study, exome sequencing was performed in 215 EOAD patients and 55 unrelated healthy controls of Han Chinese ethnicity to identify novel AD risk genes. We identified two rare nonsynonymous variants (p.Arg202His and p.Leu756Gln) in *PDE11A* in individuals with EOAD. Functional analyses *in vitro* revealed that both variants enhanced Tau phosphorylation. We also investigated the mechanisms through which PDE11A may be relevant in AD.

## Materials And Methods

### Study design and subjects

Data from 215 individuals with EOAD from Xuanwu Hospital were evaluated in this observational study and compared with healthy cognitively normal controls (Table S1). Each individual underwent a neuropsychological examination, magnetic resonance imaging (MRI); cerebrospinal fluid analysis was performed for a subset of individuals (n = 115) in which levels of A $\beta$ 42, A $\beta$ 40, Tau and p-Tau181 were assessed. The criteria for the recruited EOAD patients were set as follows: ①met the National Institute of Neurological and Communicative Disorders and the Stroke and the Alzheimer Disease and Related Disorders Association (NINCDS/ADRA)[8] or the National Institute on Aging-Alzheimer's Association (NIA-AA) diagnostic criteria [9]; ②the onset age of affected individuals was below 55 years; ③no known pathogenic mutations in *PSEN1*, *PSEN2*, *APP*, *MAPT* or *GRN* genes. Healthy controls were cognitively normal; amnesia was not present, Mini-Mental State Examination (MMSE) scores were higher than the appropriate cutoff for dementia, Clinical Memory Scale scores  $\geq$  90, and global Clinical Dementia Rating scores were equal to 0. Additional 513 DNA samples from patients with EOAD and 4449 healthy controls were collected at the Xuanwu Hospital from 2015 to 2020 and used for Sanger sequencing. Signed informed consent was provided by all the patients and control subjects. The study protocol was approved and monitored by the Ethics Committee of Xuanwu Hospital.

Relative PDE11A protein levels were quantified using immunoblot in samples from fresh frozen postmortem parietal lobe tissue of six AD patients and six cognitively healthy controls, recruited at Washington University in St. Louis Charles F. and Joanne Knight Alzheimer's Disease Research Center Brain Bank (Knight ADRC) and approved by the institutional review board of Washington University. Written informed consent for brain autopsy was obtained from all participants or their legal representatives.

## Exome Sequencing and data analysis

Genomic DNA was obtained from the peripheral blood of all participants. Briefly, the exome was enriched using Agilent SureSelect Human All Exon V5 Kit (Agilent Technologies, Santa Clara, CA, USA) and analyzed using an Illumina HiSeq 2500 (150-bp paired-end, Illumina, San Diego, CA, USA). After conducting quality control, high-quality paired-end reads were mapped to the human genome build GRCh37 using Burrows-Wheeler Aligner software. Verita Trekker was employed to identify variants. Enliven® and ANNOVAR were utilized to perform annotation for Variant Call Format, and variants were selected according to an autosomal dominant model. Nonsynonymous exonic or close-to splice-site variants with minor allele frequency < 0.01% were prioritized for analysis. Variant pathogenicity was evaluated using SIFT, Polyphen-2, MutationTaster, M-CAP, CADD, LRT, PROVEAN, DANN, VEST3, fathmm-MKL, GERP, SiPhy, phastCons, and phyloP tools. Candidate variants were prioritized based on OMIM, MGI, GO, KEGG, ACGM, UKBiobank PheWeb web-based tool and the published literature.

## Annotation of PDE11A variants

InterPro was used to predict domain maps of the PDE11A protein. DNAMAN software (Lynnon Corporation, Quebec, Canada) was applied for multiple sequence alignment. A homology model of the wildtype PDE11A structure was built in MODELLER software using the crystal structure of the phosphodiesterase template (PDB: 3IBJ). Based on the homology modeling structure of wildtype PDE11A, Arg202His- and Leu756Gln-mutated proteins were generated with Pymol software. AMBER software was used for molecular dynamics simulations for wildtype PDE11A and the Arg202His- and Leu756Gln variants. To investigate in which major cell type the *PDE11A* gene is expressed, we queried the gene in a publicly available single-cell/nuclei RNA-seq dataset of AD cohorts of human brain tissues (<http://adsn.ddnetbio.com/>) [10].

## Generation of PDE11A variants

Human wild-type *PDE11A* cDNA (NM\_016953) was cloned into the pCDNA3.1-EGFP vector. Site-directed *PDE11A* mutagenesis (p.Arg202His and p.Leu756Gln) was performed using a KOD-Plus-Mutagenesis kit (Stratagene, La Jolla, CA, USA). For short hairpin RNA (shRNA), 3 optimal targeting human *PDE11A* and 1 scrambled control were designed (Table S2). Lentiviral particles were generated by co-transfecting the transfer vector (psh2-u6-egfp-puro containing shRNA or scramble, pCDH-CMV-MCS-EF1-GFP-T2A-Puro containing WT *PDE11A*, *PDE11A* p.Arg202His or *PDE11A* p.Leu756Gln) and the packaging vectors into HEK293T cells.

## Cell culture

SH-SY5Y cells were maintained in 60-mm culture dishes with Eagle's Minimal Essential Medium (Invitrogen) supplemented with 10% fetal bovine serum (FBS; Invitrogen) and antibiotics (1% penicillin–streptomycin; Invitrogen). Cells ( $10^5/\text{cm}^2$ ) were transduced with lentiviruses.

## Real-time quantitative PCR

Total RNA was purified from freshly harvested cells using an RNeasy Mini Kit (Thermo Fisher Scientific) and TRIzol (Thermo Fisher Scientific). Reverse transcription was carried out using a SuperScript First-Strand Synthesis Kit (Thermo Fisher Scientific), and total mRNA was measured by real-time quantitative polymerase chain reaction (PCR). Diluted cDNA templates, upstream and downstream primers and SYBR Green I Master mix (Thermo Fisher Scientific) were mixed in a 20- $\mu\text{L}$  volume, and reactions were performed using the StepOne Plus real-time PCR platform. Human *GAPDH* (forward, 5'-ACAGCCTCAAGATCATCAGCAAT-3'; reverse, 5'-GATGGCATGGACTGTGGTCAT-3') was used as the internal reference gene, and relative expression levels of the *PDE11A* gene were calculated using the  $2^{-\Delta\Delta\text{Ct}}$  method. *PDE11A* q-PCR primers were as follows: forward, 5'-CTGGAGTGGATTGATAGCATCTG-3'; reverse, 5'-CAGTCGTTTTTGGTGTAGCTCTT-3'.

## Western blotting

Briefly, cells were lysed in RIPA buffer containing protease inhibitor cocktails and phosphatase inhibitors. The cell lysate was pelleted by centrifugation, and the protein concentration was measured by the BCA assay. Samples were separated by 10% Tris-glycine SDS-PAGE and then electroblotted onto a PVDF membrane. After blocking in 5% nonfat dry milk, the membrane was probed with appropriate primary antibodies (listed in Table S3) overnight at 4 °C. The next day, the membrane was washed with Tris-buffered saline containing Tween-20 (TBS-T) and incubated with horseradish peroxidase-linked secondary antibodies (1:5000; Santa Cruz Biotechnology) for 1 h at room temperature. After washing, the proteins were visualized by chemiluminescence.

## Elisa

The concentrations of secreted A $\beta$ 40 and A $\beta$ 42 in conditioned medium were quantified using commercial enzyme-linked immunosorbent assay kits (IBL International, Hamburg, Germany). Levels of cAMP in cell lysates were determined using a commercially available assay kit (R&D Systems, Minneapolis, MN, USA) according to the protocol provided by the manufacturer.

## Statistical analysis

Quantification data were obtained from three independent repeats. Two-tailed unpaired Student's t test or one-way analysis of variance was performed using GraphPad Prism, version 8.00 (San Diego, CA). To determine if the candidate risk gene is enriched in AD, a Fisher's exact test on the variant count data was performed. A *p* value less than 0.05 was used to indicate a statistically significant difference.

## Results

### Identification of PDE11A variants

We sequenced and analyzed the whole exome of 270 individuals (the pipeline is shown in Fig. 1). The cohort consisted of 215 EOAD cases and 55 unrelated control samples. About 80,000 variants per sample passed our quality control filters. For investigation of novel genes, patients carrying known EOAD risk genes (*TREM2*, *VPS35*, *SORL1*, *MARK4*, *RUFY* and *TCIRG1*) or genes associated with late-onset AD (*ABCA7*, *ADAM17*, *IGHG3*, *PLD3*, *UNC5C*, *BIN1*, *CD2AP*, *CLU*, *CR1*, *EPHA1*, *MS4A4A*, *PLCG2*, *ABI3*, *AKAP9* and *ZNF655*) were excluded ( $n = 13$ ). We then selected only variants rare in the population ( $< 0.01\%$  MAF) and coding variants, lowering the count to 317. Next, we excluded synonymous variants and used *in silico* analysis to restrict our findings to those predicted as damaging for the protein, revealing 32 variants. To further narrow the search for variants of interest, we used data from OMIM, MGI, GO, KEGG, ACGM and UKBiobank PheWeb to perform a systems-level analysis of the 32 mutated genes (Table S4).

Among them, *PDE11A* met all criteria. We identified two variants in the *PDE11A* gene (NM\_016953.4: rs752822096: c.605G > A: p.Arg202His and NM\_016953.4: rs201572288: c.2267T > A: p.Leu756Gln). *PDE11A* is a gene located on an autosome. A strong association was shown ( $p$ -value =  $1.0 \times 10^{-13}$ ) between *PDE11A* and AD using the UKBiobank PheWeb tool. Based on genetic databases, these two variants are rare in the East Asian population (p.Arg202His, gnomAD exomes\_EAS: 0.0000; p.Leu756Gln, gnomAD exomes\_EAS: 0.00431). Confirmation by Sanger sequencing is shown in Fig. 2a. The p.Arg202His and p.Leu756Gln variants are predicted by eleven bioinformatics tools, including Polyphen2 HDIV, Polyphen2 HVAR, SIFT, LRT, PROVEAN, MutationTaster, DANN, VEST3, fathmm-MKL, CADD and M-CAP, to be damaging to the protein and by three algorithms (GERP, phastCons, phyloP) to be conserved (Table 1). The p.Arg202His and p.Leu756Gln variants are likely pathogenic and of uncertain significance, respectively, according to the American College of Medical Genetics and Genomics guidelines [11]. Subsequent Sanger sequencing analysis was performed in an expanded cohort of individuals ( $N = 4962$ : 513 EOAD, 4449 controls). We identified one p.Arg202His heterozygotes in EOAD patients but none in normal controls, and six p.Leu756Gln heterozygotes in EOAD patients and sixteen heterozygotes in normal controls. Combined these two-stage sequencing, we found the two variants were significantly enriched in AD compared to control respectively (p.Arg202His, 0.0028 versus 0,  $p = 0.019$ ; p.Leu756Gln, 0.0097 versus 0.0036,  $p = 0.032$ ; Fisher's exact test).

Table 1  
Pathogenicity Prediction of *PDE11A* Variants

Variant	SIFT	PolyPhen2 HDIV	Polyphen2 HVAR	LRT	MutationTaster	DANN	CADD	M-CAP	PROVEAN	VEST3
c.G605A (p.Arg202His)	Damaging (0.028)	Probably damaging (0.997)	Possibly damaging (0.792)	Deleterious (0.000071)	Disease- causing (1)	0.998	6.96	Damaging (0.027)	Damaging(-3.92)	0.821
c.T2267A (p.Leu756Gln)	Damaging (0.001)	Probably damaging (0.996)	Probably damaging (0.943)	Deleterious (0)	Disease- causing (1)	0.995	6.12	Damaging (0.174)	Damaging (-4.71)	0.952

## PDE11A variants and clinical features

The *PDE11A* p.Arg202His variant was detected in a 54-year-old female patient who had visited our hospital complaining of progressive memory decline over the past 4 years. She presented with amnesia as well as executive function and orientation deficits. She scored 9/30 on the MMSE and 8/30 on the Montreal Cognitive Assessment (MoCA), which was below the recommended cutoff values of 22 and 24, respectively. She had a CDR score of 3 and only remembered one word from the WHO-UCLA Delayed Recall Memory Test. MRI revealed moderate cerebral atrophy, especially in the hippocampus (MTA = 4). Her APOE genotype was  $\epsilon 3/\epsilon 3$ . Her parents and siblings were cognitively normal without complaints. Both her parents were deceased, and no DNA was available.

*PDE11A* p.Leu756Gln was found in a male patient who presented episodic memory decline at the age of 52 years. He had progressive difficulties in understanding and orientation, and he developed motor aphasia and personality changes in subsequent years. MRI showed atrophy of the temporoparietal lobe. The patient's APOE genotype was  $\epsilon 4/\epsilon 3$ . He denied a family history of dementia.

### Functional annotation of rare *PDE11A* variants

*PDE11A* p.Arg202His is uniquely present in the *PDE11A4* isoform. The *PDE11A* protein sequence in which the two rare variants are located is highly conserved amino acids across different species (Fig. 2b), and their GERP scores are 4.34 and 5.57 respectively, implicating potential interference of important protein biological functions by the variants. *PDE11A* p.Arg202His and p.Leu756Gln are predicted by Poly-Phen2 and SIFT to be damaging or possibly damaging (Table 1). As depicted in the schematic diagram of full-length *PDE11A* in Fig. 2C, the two variants are located near or in functional domains, including the cGMP-specific phosphodiesterase, adenyl cyclase and Fh1A (GAF) and catalytic domains, suggesting a potential functional impact of these variants on the *PDE11A* protein.

Global conformations of the p.Arg202His and p.Leu756Gln variants changed significantly from wild-type human *PDE11A* in three-dimensional (3D) homology models (Fig. 2d-f). Specifically, the 3D model predicts that p.Arg202His abolishes critical hydrogen bonds with surrounding amino acids; in contrast, p.Leu756Gln leads to a new hydrogen bonded network, which affects the helical structure. Taken together, the model predicts that both p.Arg202His and p.Leu756Gln variants identified in patients with AD may impair *PDE11A* function.

## PDE11A expression in AD brain tissues

The *PDE11A* gene is expressed in several regions of the mouse hippocampus, including the CA1, the subiculum, and the amygdalohippocampal area [12]. Moreover, *Pde11a*-knockout mice exhibit enlarged lateral ventricles and abnormal social investigation [12]. *PDE11A* is also reported to be involved in the regulation of cGMP-mediated signaling. These results suggest that PDE11A has an important role in the brain, with a possible role in central nervous system disorders.

We assessed *PDE11A* expression in single-nuclei RNA sequencing data from AD cases and control brain samples[10] and found the *PDE11A* gene to be expressed in almost all types of cells, including neurons, astrocytes, and microglia (Fig. 3a-b).

To characterize the involvement of PDE11A in AD, we analyzed the PDE11A protein in fresh frozen postmortem brain tissues from cognitively normal healthy controls (n = 6) and patients with AD (n = 6). All cases were matched for age and sex. This analysis revealed significantly decreased levels of PDE11A in those with AD relative to healthy controls (Fig. 3c-d).

### Effects of PDE11A variants on A $\beta$ homeostasis

To further confirm the pathogenesis of *PDE11A* variants, we performed in vitro studies to test the effect on A $\beta$  homeostasis. Lower levels of the PDE11A protein was observed in AD patients. Therefore, we used *PDE11A* shRNA to knockdown (KD) *PDE11A* levels in cell models. A markedly lower PDE11A mRNA and protein levels were obtained in SH-SY5Y cells (Figure S1A-C).

SH-SY5Y cells were transduced with *APP*<sub>695</sub> lentiviruses and *PDE11A* lentiviruses (*PDE11A* WT, p.Arg202His, p.Leu756Gln, scramble or shRNA). *PDE11A* variants didn't significantly change PDE11A levels (Figure S2). And it showed no significant differences in secreted A $\beta$ <sub>40</sub> or A $\beta$ <sub>42</sub> levels or the A $\beta$ <sub>42</sub>/A $\beta$ <sub>40</sub> ratio (Figure S3A-C). *PDE11A* variants or KD did not change the levels of APP or BACE-1 (Figure S4A-C). These results suggest that PDE11A may not affect A $\beta$  homeostasis.

### PDE11A variants affect Tau phosphorylation

To understand the influence of *PDE11A* variants on Tau phosphorylation, lentiviruses with human *MAPT* and *PDE11A* (WT *PDE11A*, *PDE11A* p.Arg202His, *PDE11A* p.Leu756Gln, shRNA or scramble) were used to transduce SH-SY5Y simultaneously. Effects on Tau phosphorylation levels in transduced cells were assessed by immunoblotting. A significant reduction in Tau phosphorylation was detected at multiple sites, including T181, S404, S202/T205, S416, S214, and S396, in WT *PDE11A*-expressing cells compared to cells infected with mock lentivirus (Fig. 4). Moreover, both variants notably increased Tau phosphorylation at multiple sites compared with the WT (Fig. 4a-b). *PDE11A* shRNA treatment reduced *PDE11A* mRNA and protein levels by 60% and significantly increased Tau phosphorylation at multiple sites compared with scramble shRNA treatment (Fig. 4a-c). These results suggest that both variants could be a loss-of-function.

The protein level of GSK-3 $\beta$ , a major kinase involved in Tau phosphorylation, and its inactive form p-Ser9-GSK3 $\beta$  was not significantly altered in any of the groups (Figure S5A-C). These data suggest that *PDE11A* variants likely affect Tau phosphorylation independent of GSK-3 $\beta$  signaling.

### PDE11A variants exhibit alterations in cAMP/PKA signaling

The cAMP/PKA/CREB signaling plays an important role in AD. However, the link with PDE11A is unknown. To further clarify the underlying mechanisms, we tested the effects of *PDE11A* on cAMP/PKA/CREB signaling. Transduction of WT *PDE11A* (with *MAPT*) in cells decreased cAMP levels compared to the mock group (with *MAPT*). The p.Arg202His and p.Leu756Gln variants increased cAMP levels relative to WT *PDE11A* (Fig. 5a-b). These results suggest that the p.Arg202His and p.Leu756Gln variants reduced the ability of PDE11A to degrade cAMP. Total PKA and p(Thr197)-PKA levels, as well as the ratio of phosphorylated CREB (p-CREB) to CREB, were also increased in variants *PDE11A*-expressing cells compared to WT *PDE11A*-expressing cells (Fig. 5c-d). Similar results were obtained in *PDE11A* shRNA-treated cells compared to scramble shRNA-treated cells (Fig. 5c and 5e).

In addition, pretreating the cells with the PKA inhibitor (H89) reduced *PDE11A* p.Arg202His and p.Leu756Gln variant-induced Tau phosphorylation (Fig. 6a-c). H89 pretreatment also decrease p-PKA and p-CREB/CREB levels (Figure s6A-D). Furthermore, these results were recapitulated using *PDE11A* shRNA. Therefore, these data demonstrate that the *PDE11A* variants affect the cAMP/PKA pathway, which is associated with increased Tau phosphorylation through a loss-of-function mechanism.

## Discussion

In the present study, we report *PDE11A* as a novel candidate risk gene for EOAD. Our results showed that *PDE11A* variants did not affect amyloid production but cause dysregulation of cAMP/PKA signaling and increase Tau phosphorylation. These findings potentially improve our understanding of the molecular mechanisms of EOAD and reveal new AD target pathways.

We selected 215 cases of very early-onset AD (EOAD, with age of onset before 55 years) to detect new AD risk genes. EOAD has higher heritability than late-onset AD and absence of known causal or risk AD gene variants, sequencing EOAD increases the chances of discovering new AD genes. Using a systematic

analysis of exome sequencing data, we identified *PDE11A* as a novel candidate risk gene for EOAD. Combined with the subsequent direct sequencing of the two variants in 4962 individuals, it revealed the two variants were significantly enriched in AD. The human *PDE11A* gene is located at 2q31.2 and consists of 23 exons [13]. Four transcript isoforms designated *PDE11A1-4*, which result from different transcription initiation sites and alternative splicing, encode different PDE11A isoforms with unique N-terminal domains. Germline mutations, expression changes, and functional alterations in PDE11A have been linked to brain function, tumorigenesis, and inflammation [12, 14–16]. Moreover, PDE11A negatively regulates the efficacy of lithium in treating bipolar disorder [17]. Expression of *PDE11A4* mRNA in the brain tends to occur in CA1 neurons and in the subiculum in ventral hippocampal (VHIPP) formation. Atrophy of VHIPP has been observed in patients with AD [18]. and the deficits in the VHIPP appear to correlate with the severity of clinical impairment in patients with AD [19]. In addition, PDE11A4 can directly influence synaptic plasticity [20]. PDE11A4 also plays a role in systems consolidation, which has relevance for cognitive deficits [21]. Phosphodiesterases (PDEs) have been linked to mental processes of emotions, learning and memory, with implications in mood and cognitive disorders, including Huntington disease, Parkinson's disease and AD [22–25]. This evidence suggests that PDE11A plays a role in brain function and may also be involved in AD.

In our study, we identified two rare nonsynonymous variants p.Arg202His and p.Leu756Gln in *PDE11A* in EOAD patients. The p.Arg202His variant is only present in the PDE11A4 isoform, whereas the p.Leu756Gln variant is present in all PDE11A isoforms. PDE11A isoforms are expressed in a tissue-specific manner [26]. In a previous study, Kelly et al. found that PDE11A4 is highly expressed in hippocampal areas but not in any of the other tissues examined. PDE11A4 is the only PDE with restricted expression in hippocampal areas, which are affected in AD [27]. Both variants identified in this study affect PDE11A4 isoforms through a loss of function mechanism. Both variants are highly conserved evolutionarily and located near or in an important PDE11A4 domain. In addition, both mutations disrupt hydrogen bonding and dramatically alter protein conformation. Furthermore, both variants are predicted to be damaging by eleven *in silico* tools and are considered conserved by all four algorithms applied. Taken together, these findings suggest that important biological functions can be attributed to these variants. Moreover, using bulk RNA-Seq data derived from 1536 individuals [28], we found that the *PDE11A* gene is significantly associated with neuronal proportion in cortical tissues. Neuronal loss in the cerebral cortex is one of the main characteristic pathological changes of AD. We also detected significantly decreased protein levels of PDE11A in brain tissues from AD patients. It appears that these variants may affect PDE11A function in the brain and facilitate disease onset.

We conducted cellular and biochemical studies and found that the *PDE11A* variants increase Tau phosphorylation at multiple sites. *PDE11A* shRNA also induces significant Tau hyperphosphorylation, whereas overexpression of PDE11A markedly decreases Tau phosphorylation. These findings suggest a putative role for *PDE11A* and variants in tau phosphorylation. In contrast, the conditioned medium of cells transduced with lentivirus carrying the p.Arg202His or p.Leu756Gln variant or PDE11A shRNA showed no significant differences in A $\beta$ <sub>42</sub> or A $\beta$ <sub>40</sub> levels or A $\beta$ <sub>42</sub> to A $\beta$ <sub>40</sub> ratio, indicating that the variants may not affect A $\beta$  peptide homeostasis. GSK-3 $\beta$  signaling affects Tau hyperphosphorylation. However, *PDE11A* variants or shRNA groups did not affect GSK-3 $\beta$  levels, indicating that the GSK-3 $\beta$  pathway is unaffected by the *PDE11A* variants. It is likely that other signaling pathways contribute to Tau hyperphosphorylation. The underlying mechanisms connecting PDE11A and Tau needs to be further evaluated.

Our data demonstrate that PDE11A affects Tau phosphorylation through the cAMP/PKA pathway. PDEs hydrolyze cAMP and are key enzymes regulating intracellular cAMP levels. cAMP is known as a crucial second messenger that participates in transducing signals in many types of cells. cAMP activates PKA, which phosphorylates and activates CREB. Activated CREB binds to CREs in target genes to regulate their expression. cAMP/PKA signaling pathway dysregulation has been reported in AD patients, and the pathway plays a crucial role in AD pathogenesis [29]. Therefore, we reasoned that *PDE11A* variants might affect AD-relevant phenotypes through cAMP/PKA signaling. Our results showed higher cAMP levels in lysates from cells transduced with *PDE11A* p.Arg202His, p.Leu756Gln or shRNA compared with those expressing WT PDE11A or scramble shRNA. We also found that *PDE11A* p.Arg202His or p.Leu756Gln variant led to elevated PKA, p-PKA and p-CREB levels. Increases in cAMP and PKA levels have been observed in the CSF or of cortex AD patients [30, 31]. Expression of PKA and p-CREB is decreased in AD patients and *in vitro* models [32, 33], suggesting that PKA and p-CREB levels may vary on different tissues at different stages of disease progression.

PKA phosphorylates Tau, and it is important for the formation of NFTs [34, 35]. Martinez et al.[30] showed that cAMP levels correlate with levels of Tau protein in the CSF. In the present study, H89 (a specific PKA inhibitor) pretreatment suppressed *PDE11A* mutation-associated p-Tau formation, which further confirmed the role of the cAMP/PKA/CREB signaling pathway in *PDE11A*-mediated regulation of Tau phosphorylation. Carlyle et al.[36] reported an age-related increase in cAMP-dependent PKA-mediated phosphorylation of Tau as a risk factor for aged cortex degeneration. PKA activation induces Tau hyperphosphorylation and spatial memory deficits, which are reversed by the PKA inhibitor H89 [35]. Ikezu et al.[37] identified two rare variants in *AKAP9* in African American AD patients. *AKAP9* variants had no effect on A $\beta$  but significantly increased Tau phosphorylation in cells treated with a PDE4 inhibitor [38]. PKA enhances Tau phosphorylation by other Tau kinases [39]. Thus, the effect of PKA on AD-associated Tau phosphorylation may be either direct or indirect, and the exact mechanism has yet to be elucidated.

There are some limitations in our study. First, an independent replication of the association of *PDE11A* variants in different populations would have been confirmatory of our genetic findings. Second, our results highlight the need to investigate the role of PDE11A in AD using *in vivo* models in future research. Such *in vivo* studies will provide evidence of PDE11A effects on cognition and confirm the mechanism through which PDE11A is involved in AD. Third, some other candidate risk genes for AD couldn't be exclusively excluded. Further analysis and functional study on other risk genes need to be investigated in future study.

In summary, we report for the first time two novel rare *PDE11A* variants in four individuals with EOAD. These *PDE11A* variants enhance both cAMP/PKA signaling and Tau phosphorylation. PDE11A could be a novel candidate genetic predisposing factor in AD.

## Abbreviations

AD: Alzheimer's disease; EOAD: early-onset AD; LOAD: Late-onset Alzheimer's disease; WES: whole-exome sequencing; *PDE11A*: phosphodiesterase 11A; shRNA: specific short hairpin RNA; PKA: protein kinase A; CREB: cAMP response element-binding protein; A $\beta$ : amyloid- $\beta$ ; p-Tau: phosphorylated tau; AAO: age at onset; GWAS: Genome-wide association studies; *APP*: amyloid precursor protein; MRI: magnetic resonance imaging; MMSE: Mini-Mental State Examination; MoCA: Montreal Cognitive Assessment; PCR: polymerase chain reaction; VHIPP: subiculum in ventral hippocampal.

## Declarations

### Ethics approval and consent to participate

The study protocol was approved and monitored by the Ethics Committee of Xuanwu Hospital. Signed informed consent was provided by all the patients and control subjects. The recruited fresh frozen postmortem parietal lobe tissues were approved by the institutional review board of Washington University. Written informed consent for brain autopsy was obtained from all participants or their legal representatives.

### Consent for publication

The consents for publication have been obtained from the individuals whose data was contained in our manuscript.

### Availability of data and materials

The datasets used during the current study are available from the corresponding author on reasonable request.

### Competing interests

All authors except Carlos Cruchaga report no disclosures. Carlos Cruchaga receives research support from: Biogen, Eisai, Alector and Parabon. The funders of the study had no role in the collection, analysis, or interpretation of data; in the writing of the report; or in the decision to submit the paper for publication. Carlos Cruchaga is a member of the advisory board of Vivid genetics, Halia Therapeutics and ADx Healthcare.

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### Authors' contributions

Qin Wei designed the project, performed the experiments, wrote and edited the manuscript; Aihong Zhou and Xiumei Zuo examined the patients; Longfei Jia, Cuibai Wei, Yi Tang and Fen Wang interpreted the data; Fangyu Li analysed and interpreted data. Qi Wang conducted sanger sequence; Ying Li extracted DNA samples; Yiping Wei helped to culture primary cortical neurons; Hongmei Jin performed cognitive tests on participants; Carlos Cruchaga interpreted the data and edited the manuscript; Bruno A. Benitez detected PDE11A protein expression in brain tissues and edited the manuscript; Jianping Jia designed the study and edited the manuscript. All authors read and approved the final manuscript.

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## Figures

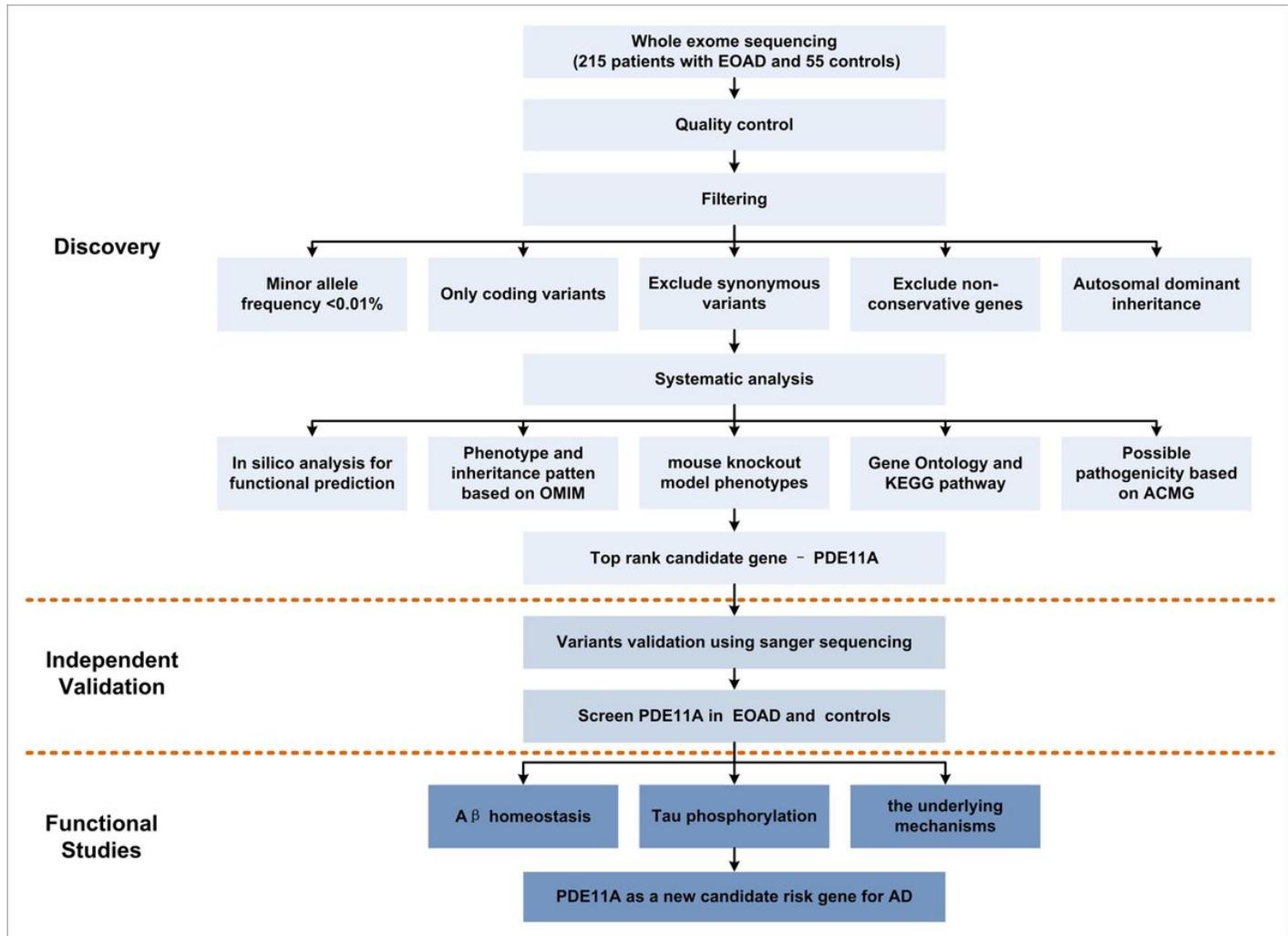
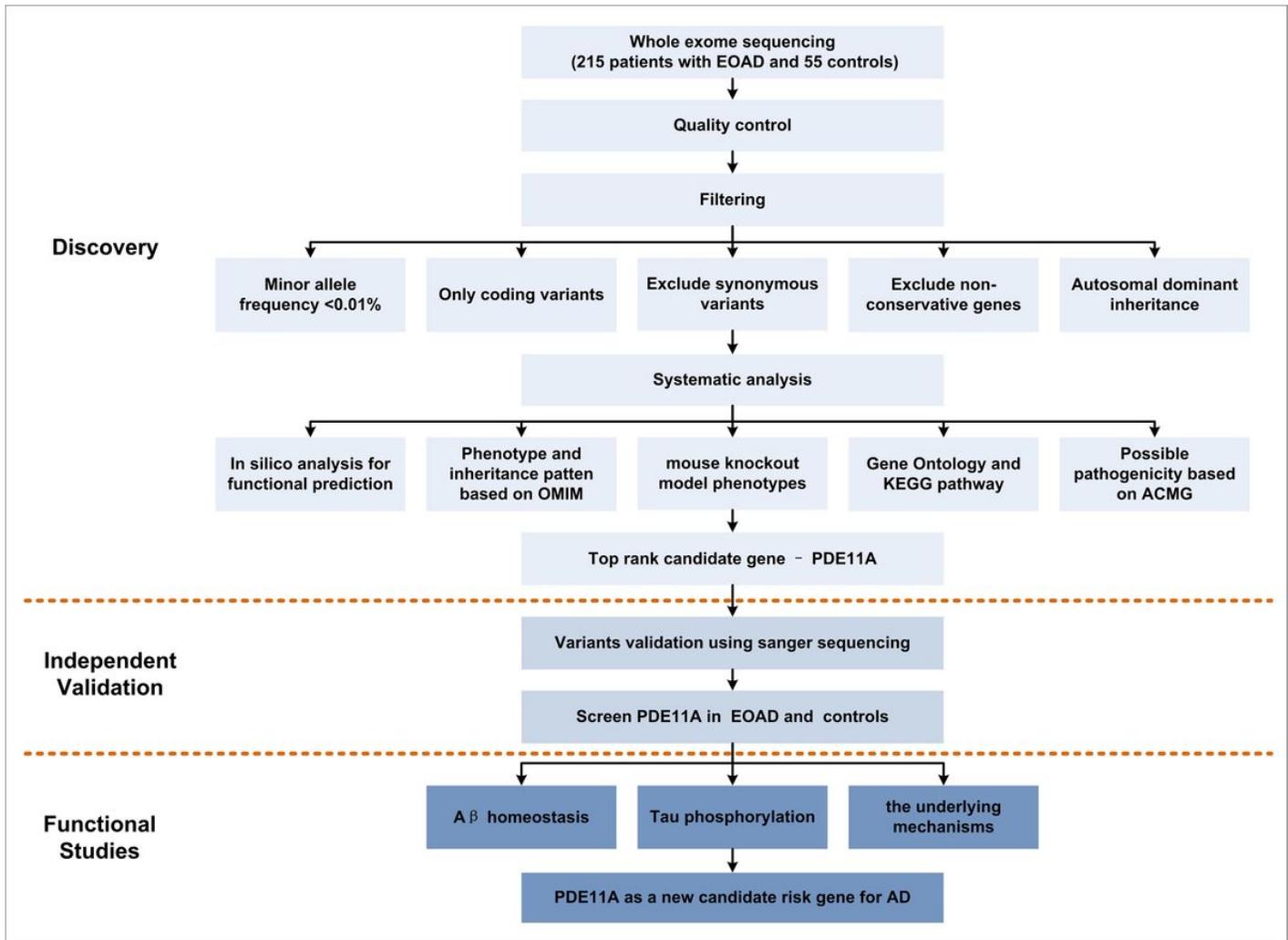
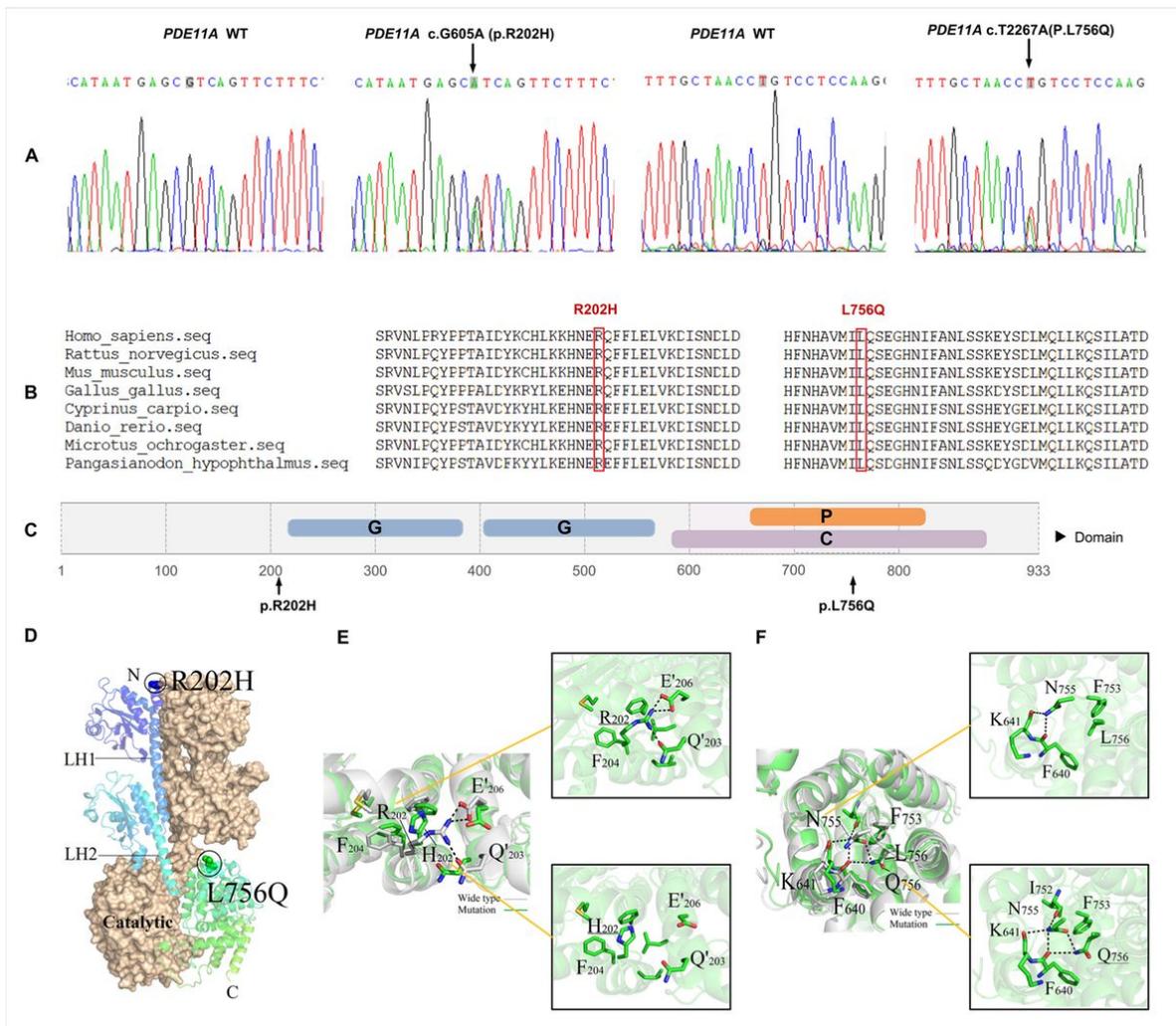


Figure 1

Workflow of the current study. Exome sequencing was performed in 215 Chinese individuals with EOAD and 55 controls. Subsequent direct sequencing was performed in an independent cohort to validate the selected rare variants. Then in vitro functional studies were performed to evaluate the role of candidate variants in EOAD and the underlying mechanisms.

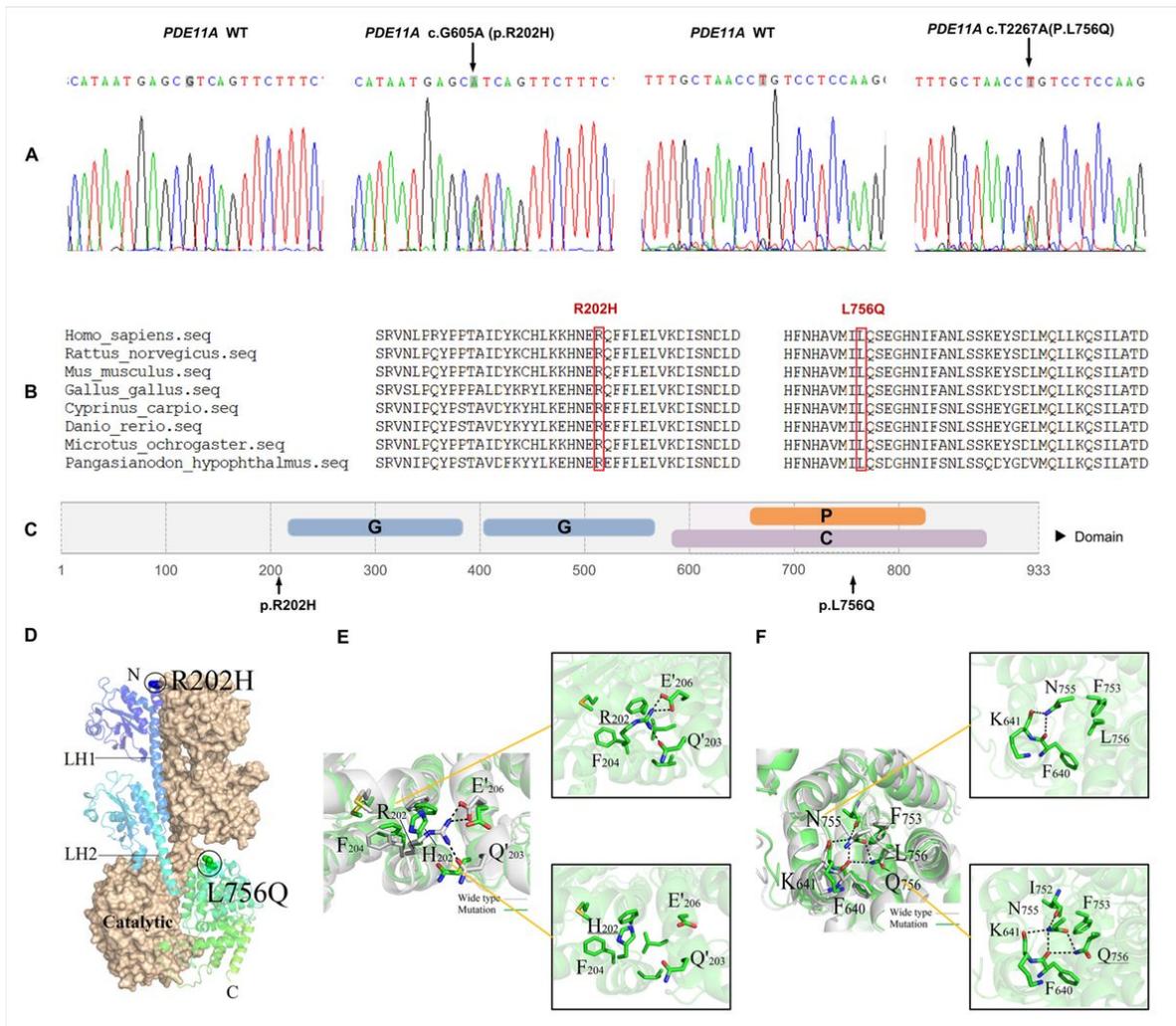


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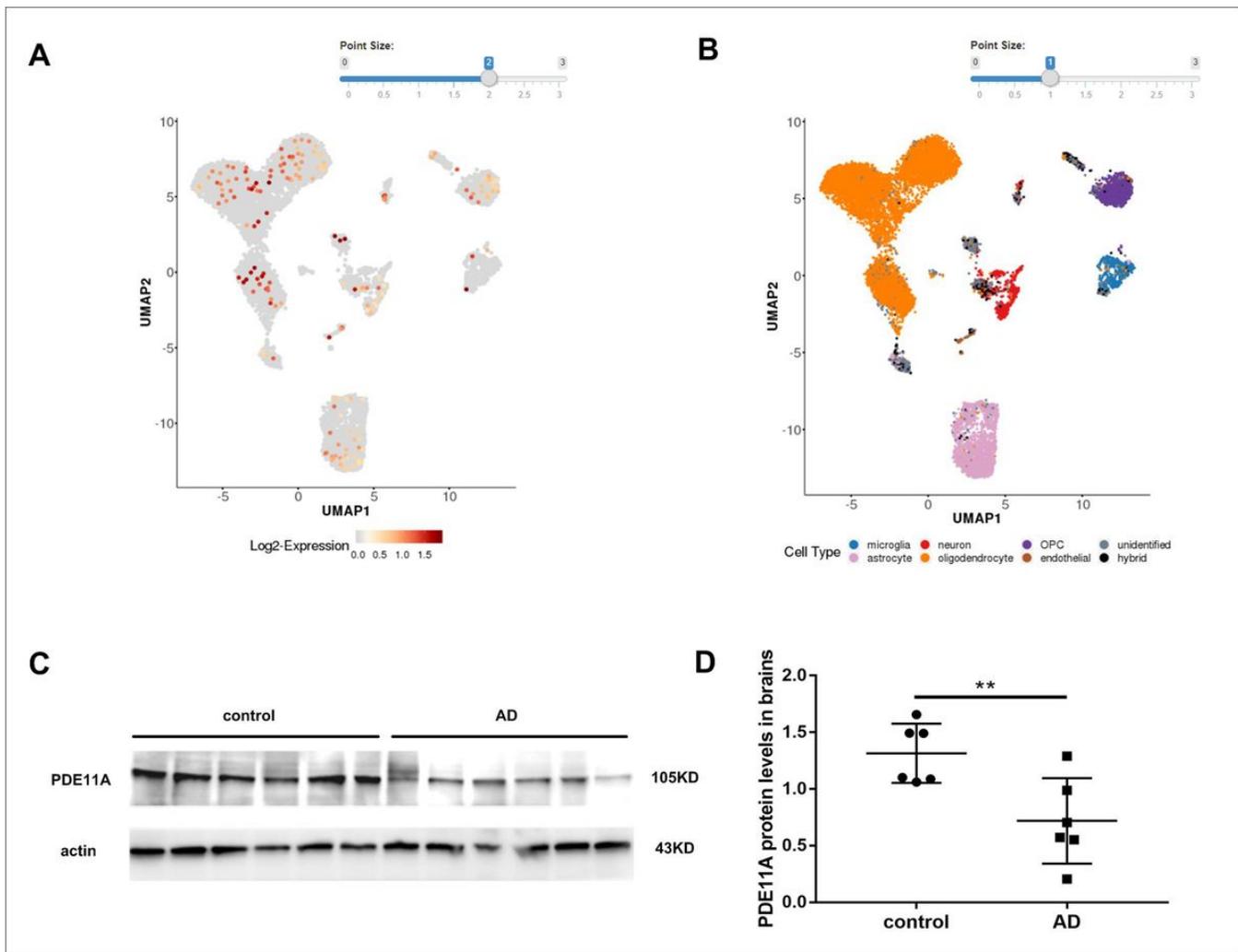
**Figure 2**

Functional annotation of PDE11A variants. (A) Sanger sequencing confirmation of PDE11A variants. (B) Protein homologs in different species were aligned. The p.Arg202His and p.Leu756Gln are conserved in all PDE11A orthologs. The variants are highlighted in the red boxes. (C) Predicted domain maps of PDE11A protein with the identified missense variants marked: G: GAF domain (aa 217-380 and aa402-568), P: HD/PDEase domain (aa 663-839), C: 3'5'-cyclic nucleotide phosphodiesterase, catalytic domain (aa 588-912). The p.Arg202His variant is located near the GAF domain, and p.Leu756Gln variant in the 3'5'-cyclic nucleotide phosphodiesterase, catalytic domain. (D) Predicted three-dimensional structure of WT and mutant PDE11A protein. (E) Structure comparison between wild type PDE11A and R202H variant. Critical hydrogen bonds with surrounding amino acids were predicted to be eliminated. Dashed lines indicate hydrogen bonds. (F) Structure comparison between wild type PDE11A and L756Q variant. Q756 mutant was predicted to induce more hydrogen bonds and then affect Helix structure.



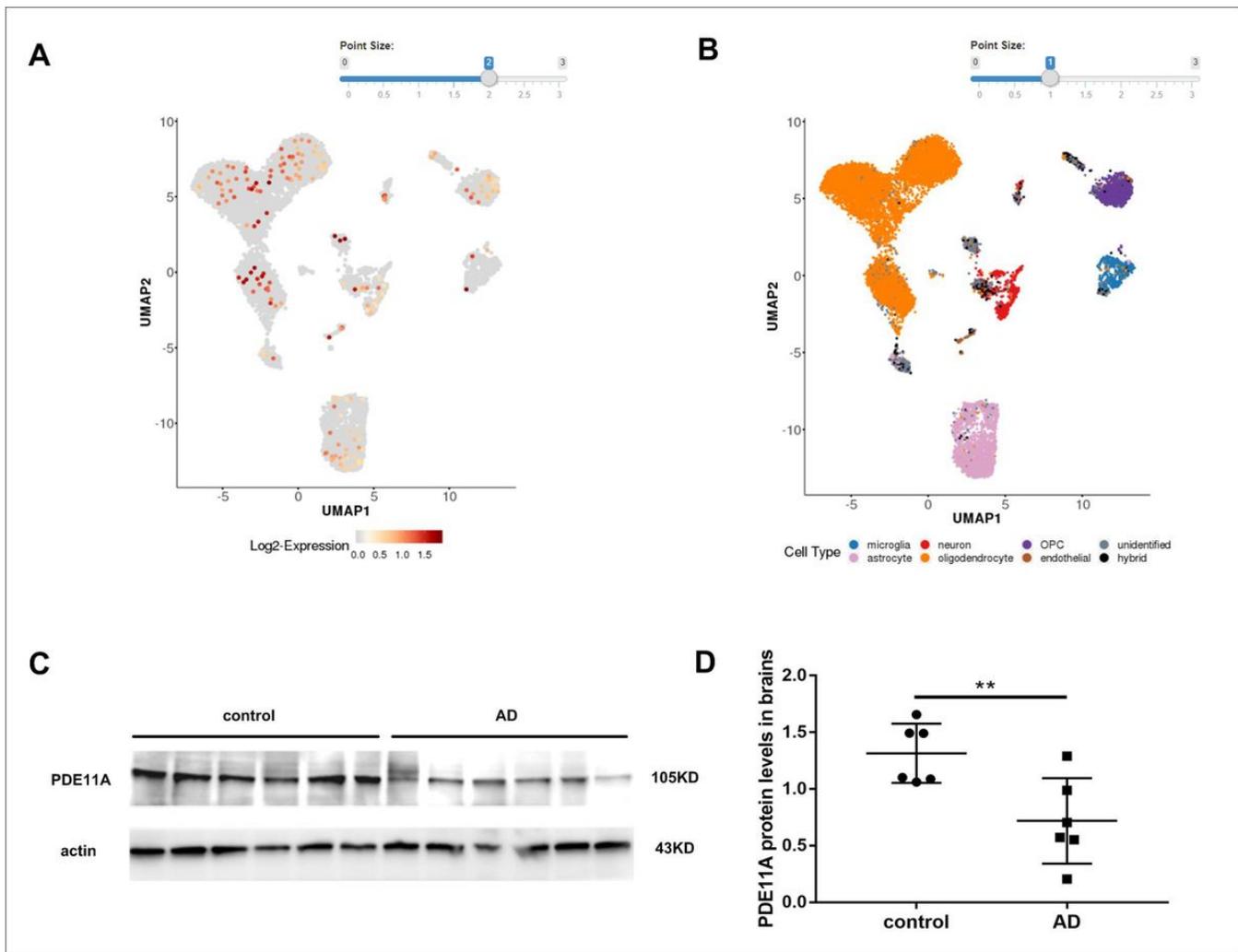
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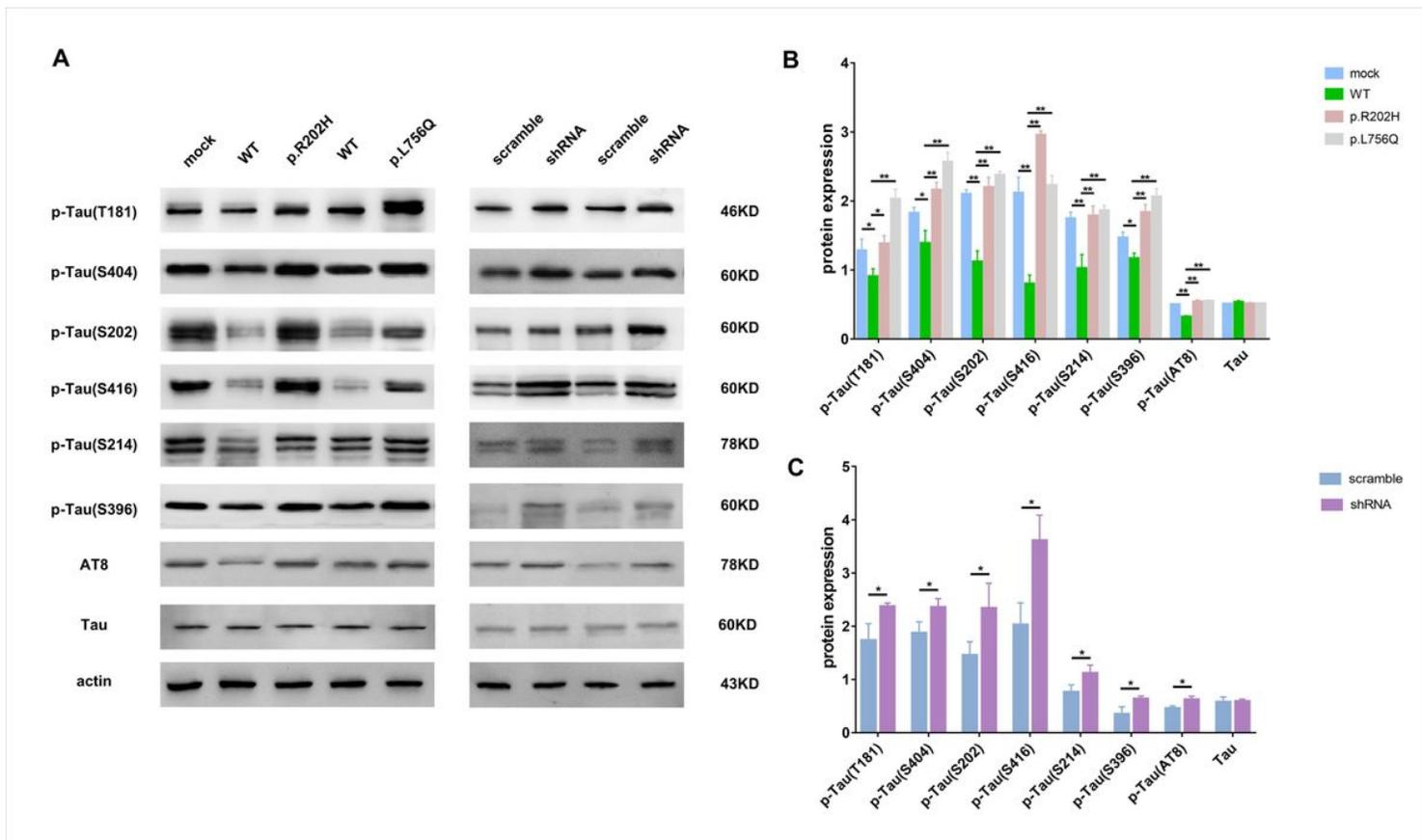
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The PDE11A expression in brain tissues of AD. (A, B) Based on single-nucleus RNA sequencing data, PDE11A gene was expressed almost in all kinds of cells. Western blot (C) and quantitative analysis (D) of PDE11A protein levels in post-mortem brain tissues. The data are represented as the mean  $\pm$  SEM, based on three unrelated measurements. \*\* $p < 0.01$  by Student's t-test.

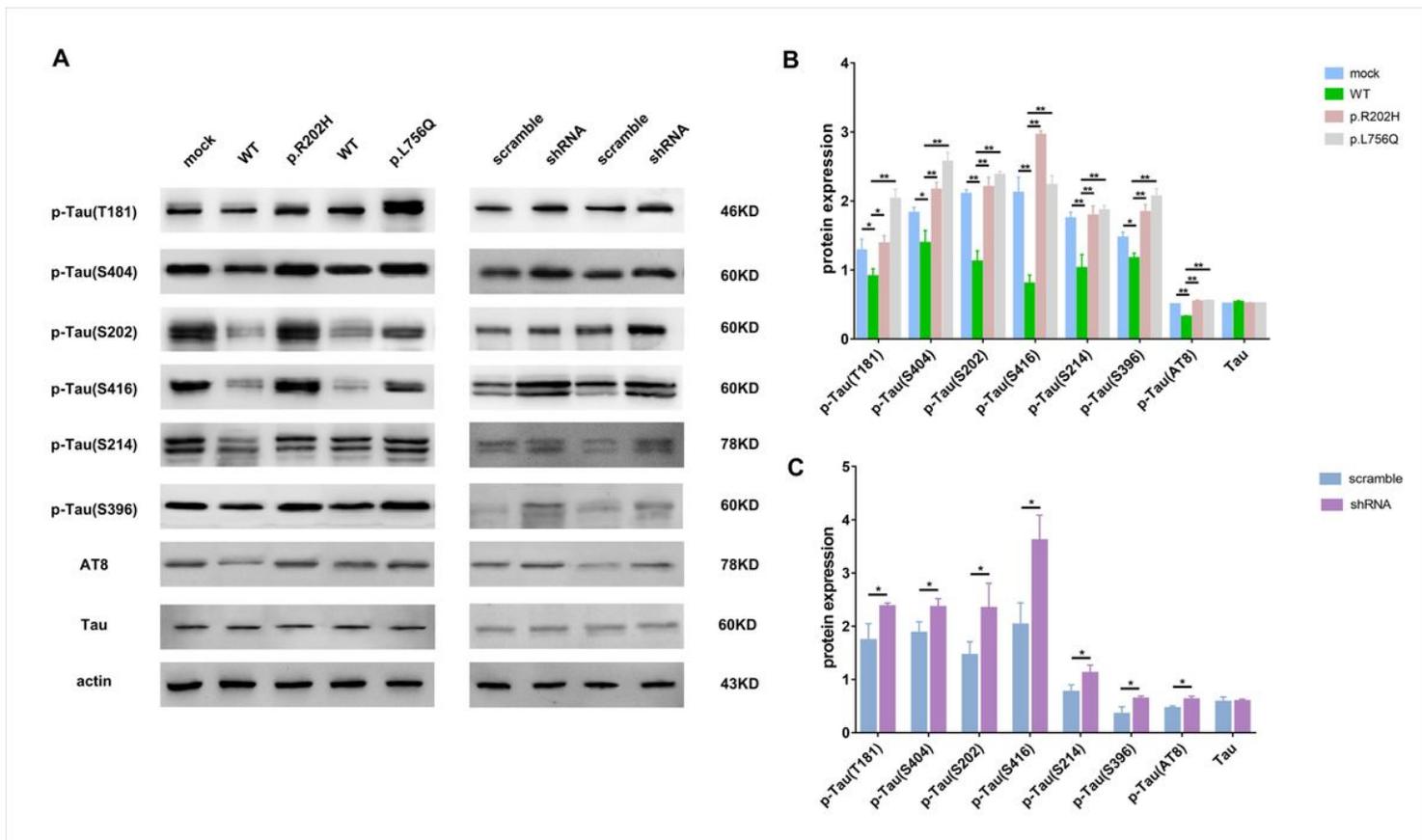


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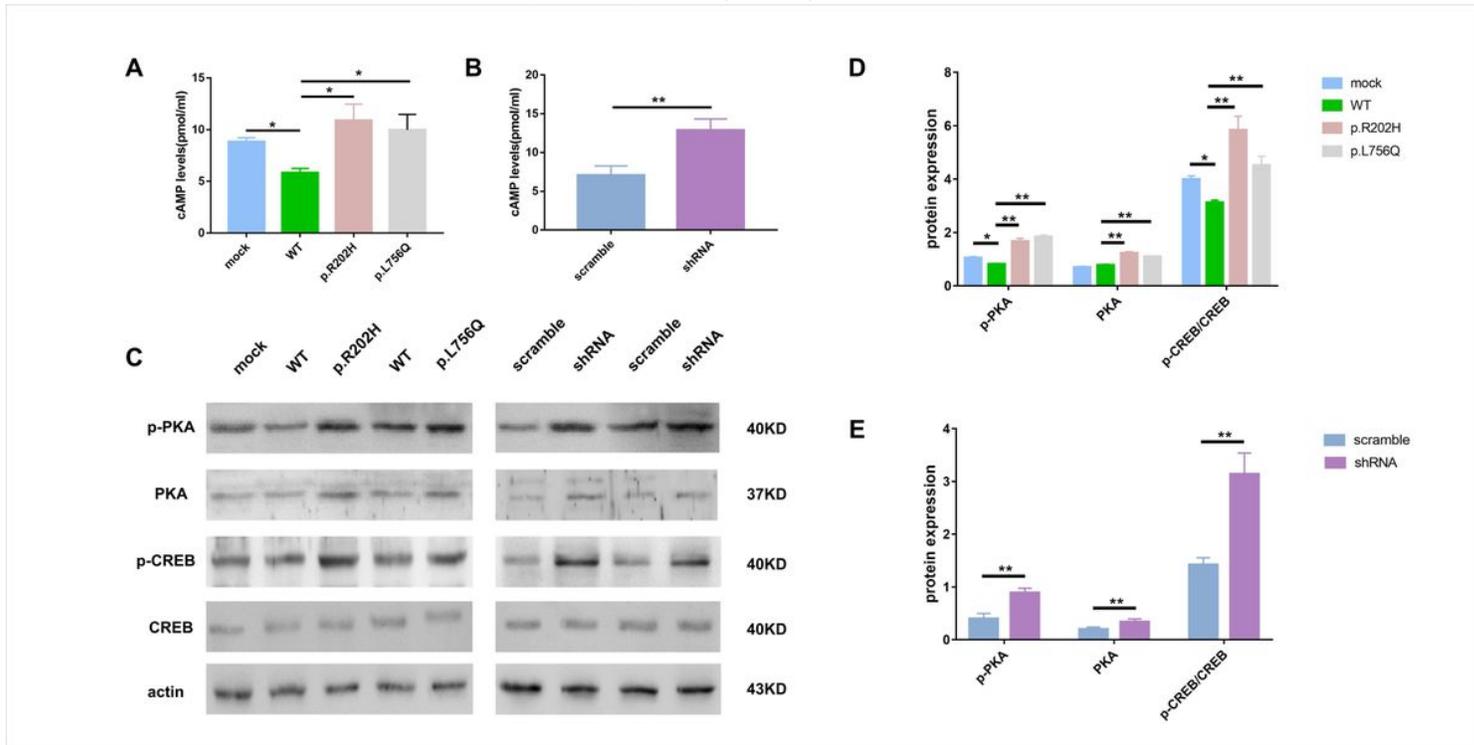
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**Figure 4**  
 The PDE11A variants led to significantly high Tau phosphorylation levels. The cells were co-infected with MAPT and PDE11A lentivirus (PDE11A WT, mutants, scramble or shRNA). Seventy-two hours after infection, cell lysates were used to detect levels of p-Tau(T181), p-Tau(S404), p-Tau(S202), p-Tau(S416), p-Tau(S214), p-Tau(S396), p-Tau(AT8) and Tau. Western blot (A) and quantitative analysis (B, C) of phosphorylated Tau levels. The data are represented as the mean  $\pm$  SEM, based on three unrelated measurements. \* $p < 0.05$ , \*\* $p < 0.01$  by one-way ANOVA or Student's t-test.



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**Figure 5**  
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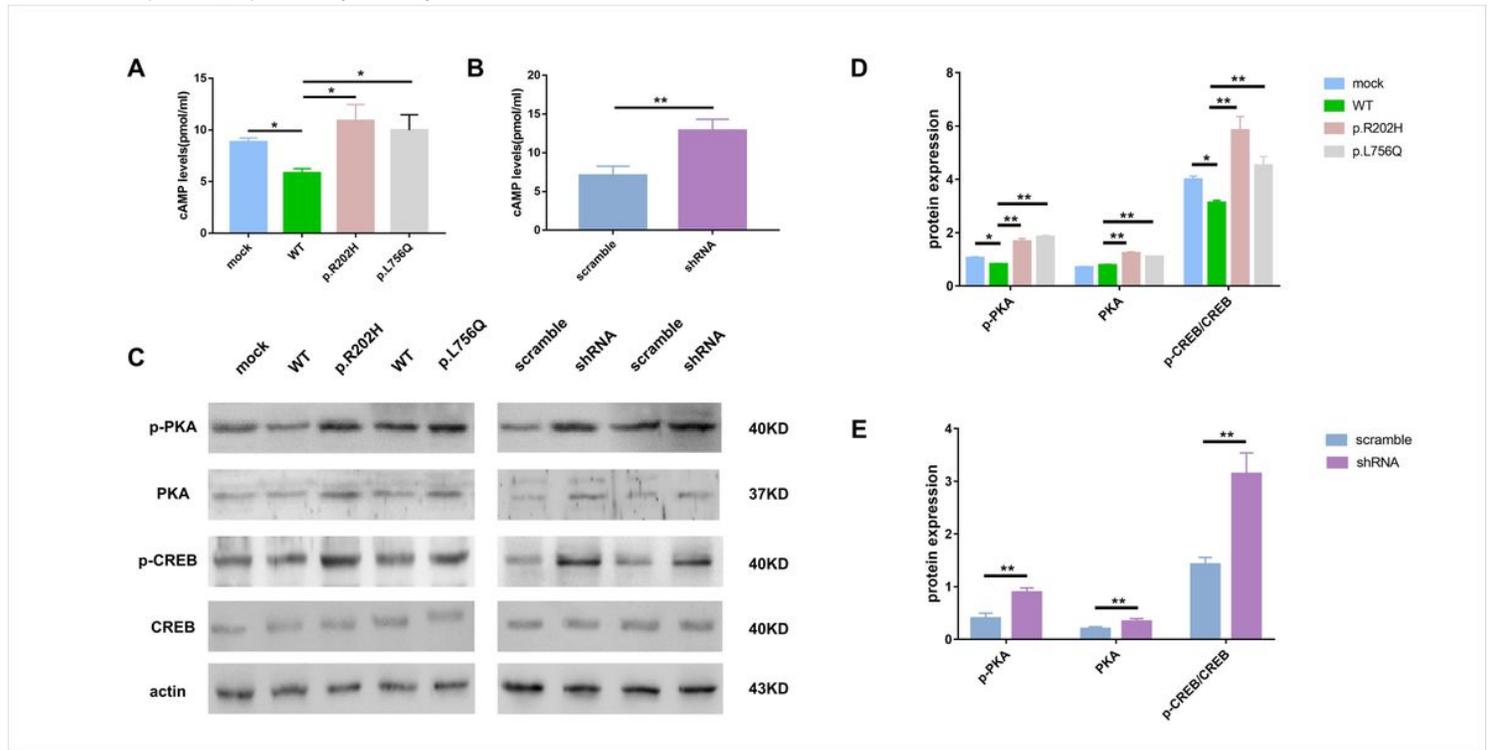
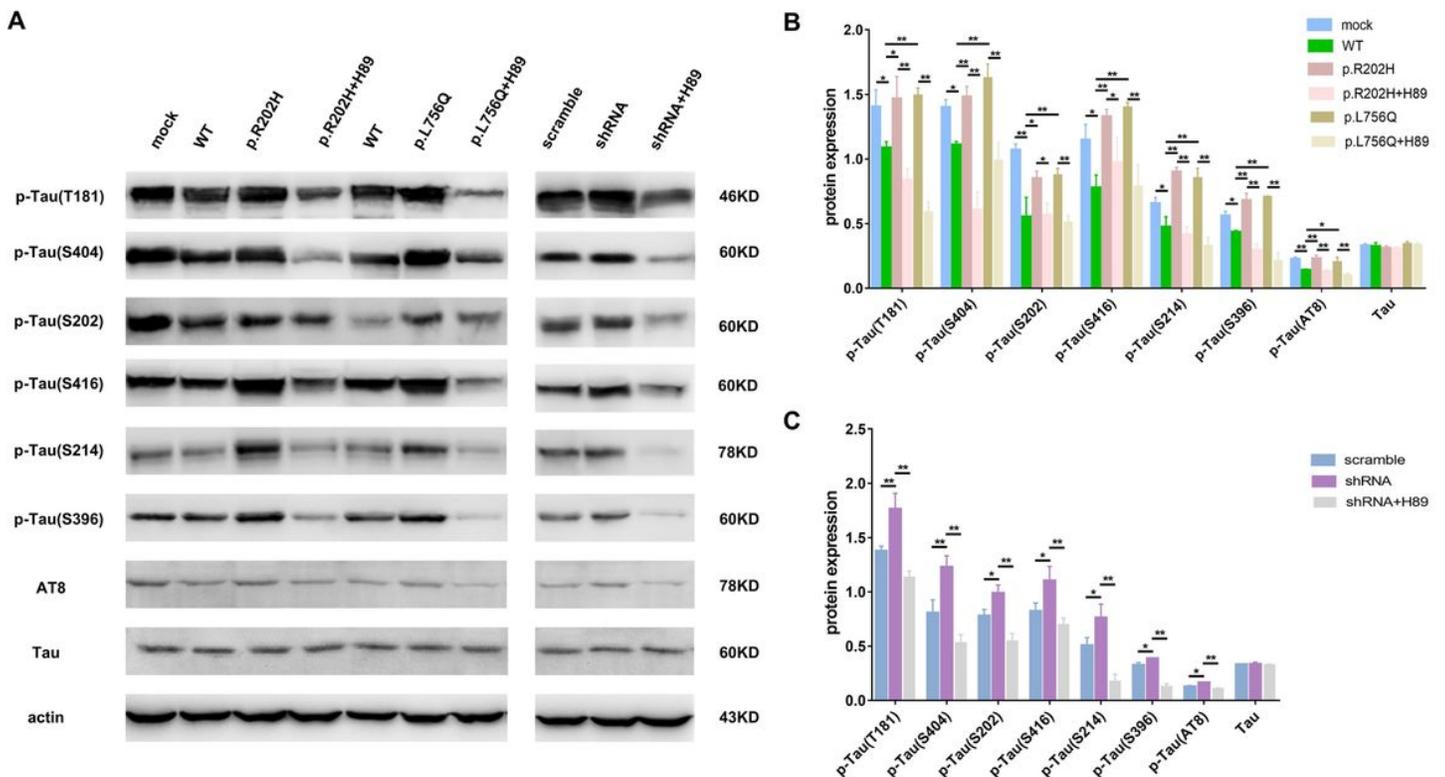


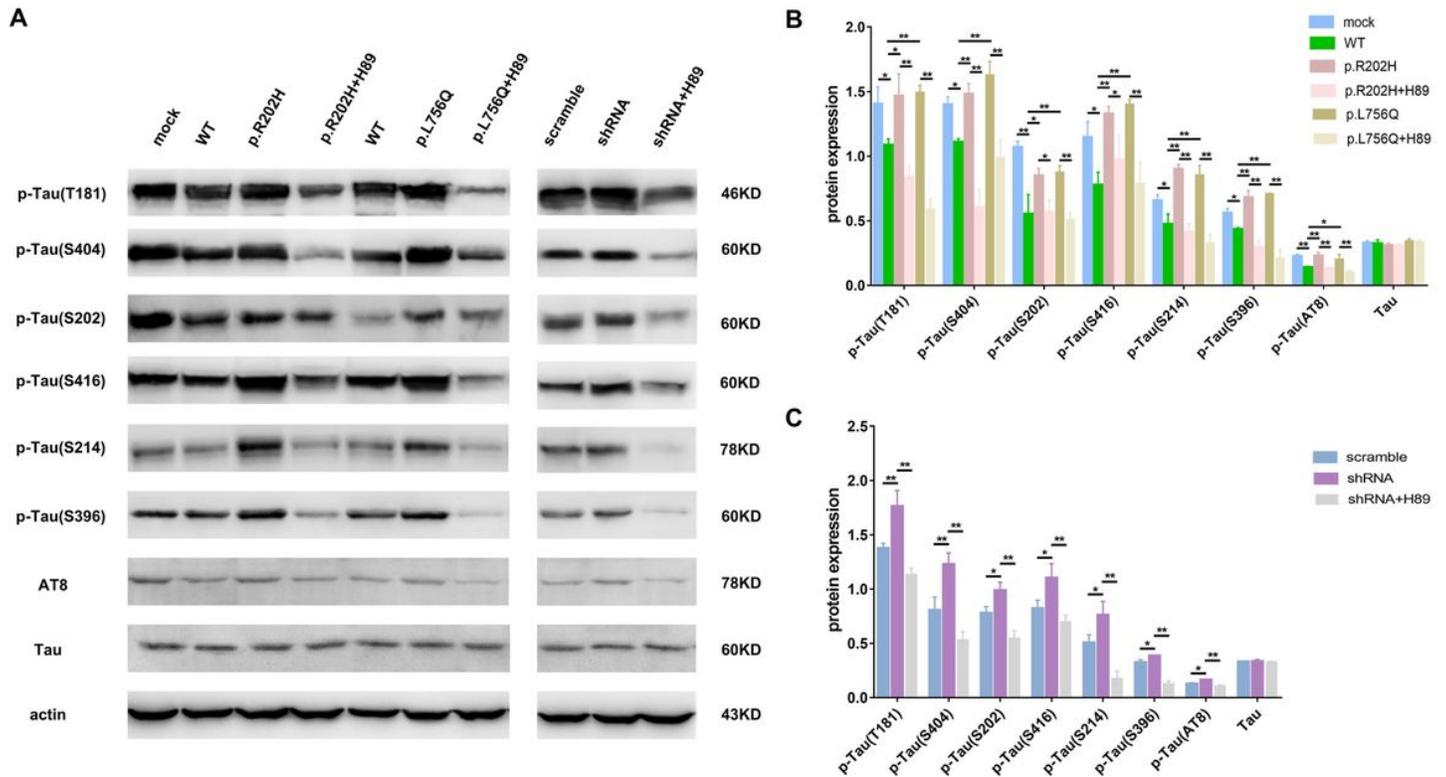
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**Figure 6**

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