

Analysis On The Spatial Differentiation Characteristics of Poverty Risk Caused By Disaster Under The Stress of Geological Disasters – A Case Study of Sichuan Province

Mingshun Xiang (✉ xiangmingshun19@cdut.edu.cn)

Chengdu University of Technology

Linsen Duan

Chengdu University of Technology

Fengran Wei

Chengdu University of Technology

Jin Yang

Chengdu University of Technology

Wenheng Li

Chengdu University of Technology

Chunjian Wang

Chengdu University of Technology

Wenbo Yang

Chengdu University of Technology

Qiuchi Deng

South China Normal University

Research Article

Keywords: Geological Disasters, Poverty Caused by Disaster, Sustainable Development, Spatial Pattern, Sichuan Province

Posted Date: December 22nd, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-1046221/v1>

License:  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Version of Record: A version of this preprint was published at Environmental Science and Pollution Research on March 7th, 2022. See the published version at <https://doi.org/10.1007/s11356-022-19485-4>.

Analysis on the Spatial Differentiation Characteristics of Poverty Risk Caused by Disaster under the Stress of Geological Disasters – A Case Study of Sichuan Province

Mingshun Xiang^{1,3}, Linsen Duan², Fengran Wei¹, Jin Yang^{1,2,3*}, Wenheng Li¹, Chunjian Wang, Wenbo Yang³ and Qiuchi Deng⁴

¹ College of Tourism and Urban-Rural Planning, Chengdu University of Technology, Chengdu 610059, China

² College of Earth Science, Chengdu University of Technology, Chengdu 610059, China

³ Research Center for Human Geography of Tibetan Plateau and its Eastern Slope (Chengdu University of Technology), Chengdu 610059, China

⁴ School of Geography, South China Normal University, Guangzhou 510631, China

E-mail: yangj8872@163.com

Keywords: Geological Disasters, Poverty Caused by Disaster, Sustainable Development, Spatial Pattern; Sichuan Province

Abstract

Research on the poverty risk caused by disasters in disaster - prone areas is a useful exploration to coordinate social economic development with disaster prevention and reduction, and is of great significance to regional sustainable development. Based on statistical data and spatial data, this paper takes Sichuan Province as the typical research area. Remote sensing and geographic information technology are used to study the poverty risk caused by disasters based on the quantitative evaluation of geological disasters risk and regional development level. the spatial differentiation characteristics of poverty risk caused by disasters are explored on the 1 km × 1 km grid scale. The results indicate that: (1) The overall risk of geological disasters in Sichuan Province is relatively high, with high and relatively high risk areas accounting for more than 40%, low and relatively low risk areas accounting for less than 30%. The risks in Mountain and Ravine Areas are significantly higher than other areas. (2) The regional development level in Sichuan Province is relatively high, but with significant the spatial differences. The development level of high-altitude areas and remote mountainous areas is quite different from that of the Chengdu Plain in the middle Sichuan Province. the problem of uneven development in the east, middle, and west is prominent. (3) The poverty risk caused by disasters is high, and the spatial pattern presents a characteristic of “high in the west and low in the east” with high positive spatial correlation. High - High Cluster Areas are mainly distributed in western and southwestern Sichuan. Low - Low Outlier Areas are mainly distributed in Chengdu Plain and Hilly Areas of Sichuan Basin. High - Low Outlier and Low - High Outlier Areas occupy a relatively small percentage with scattered distribution. This paper provides a reference for the coordinated management of disaster prevention and reduction, as well as social and economic development in underdeveloped areas.

1. Introduction

42 Looking back on the history of world development, natural disasters, diseases and
43 epidemics have always been accompanied by the development of human society, resulting
44 in a large number of casualties and heavy economic losses (Li et al 2020). China is a
45 mountainous country with mountain areas accounting for 69.4% of the total land, and
46 population in those areas accounting for more than one-third of the whole population.
47 With complex and diverse geographical environments, the unique energy gradient in the
48 mountain area usually induced geological disasters such as debris flow, landslide and
49 collapse, causing serious loss of people's lives and property accompany by restricting
50 regional development (Cui 2014). Due to geographical constraints, the economic
51 development of mountain areas is lagging. All the 14 contiguous poverty-stricken areas in
52 China before 2020 are in mountain areas, where low-income people are concentrated. The
53 fragile ecological environment, frequent disasters and backward social as well as economic
54 development have led to 20% of China's poor farmers being impoverished caused by
55 disasters, which is ranking second among the factors causing poverty. Through the fight
56 against poverty, China has eliminated absolute poverty and achieved remarkable
57 achievements in disaster prevention, mitigation and relief to reduce poverty. And now the
58 governance of relative poverty will focus on the work of agriculture, rural areas and
59 farmers.

60 Recently, more and more scholars begin to pay attention to the relationship between
61 poverty and disaster. Human and economic vulnerability to related disasters is highly
62 related to economic development level (Wu et al 2018). World economic and social
63 development has greatly promoted the human poverty reduction. However, disasters,
64 wars, climate change and other factors have seriously restricted the process of global
65 sustainable poverty reduction (Li et al 2020). From the perspective of the relationships
66 among economic poverty, disaster risk and environmental degradation, economic poverty
67 is the external driving force of the vicious cycle of "frequent disaster-ecological
68 degradation-poverty intensification" (Ding et al 2013, Andrew and Mikhail 2017).
69 Disasters will have direct or indirect short-term or long-term impacts and injuries on
70 different levels of countries, regions, families, individuals, etc., especially on rural families
71 in developing countries (Gignoux and Menéndez 2016). The asset stock of low-income
72 families in disaster-prone areas is not enough and being vulnerable, which not only makes
73 their assets vulnerable to lose, but also seriously worsens their income sources in the future,
74 and then affects their post-disaster reconstruction and recovery capacity thus makes them
75 fall into the persistent poverty trap (Bidisha et al 2021, Sakai et al 2017, Carter and Barrett
76 2006).

77 In recent years, scholars have made positive progress on the coupling among
78 geographic patterns of poverty, poverty and geographic environmental factors (Berberich
79 2019; Elwood et al 2017; Jessie et al 2016, Zhou 2021, Li et al 2020). Poverty has a
80 geographical spatial attribute (Besagni and Borgarello 2019, Iparraguirre 2012). Bird and
81 Shepherd (2003) Pointed that the spatial poverty trap is the area with a small stock of
82 "geographic capital" and high incidence of poverty. There is a coupling between economic
83 poverty, disaster risk and environmental degradation.the poor tend to be concentrated in
84 remote areas with poor natural environment,backward infrastructure and public service
85 capacity (Ding et al 2013, Zhou 2021). The economic structure of agriculture in poverty-

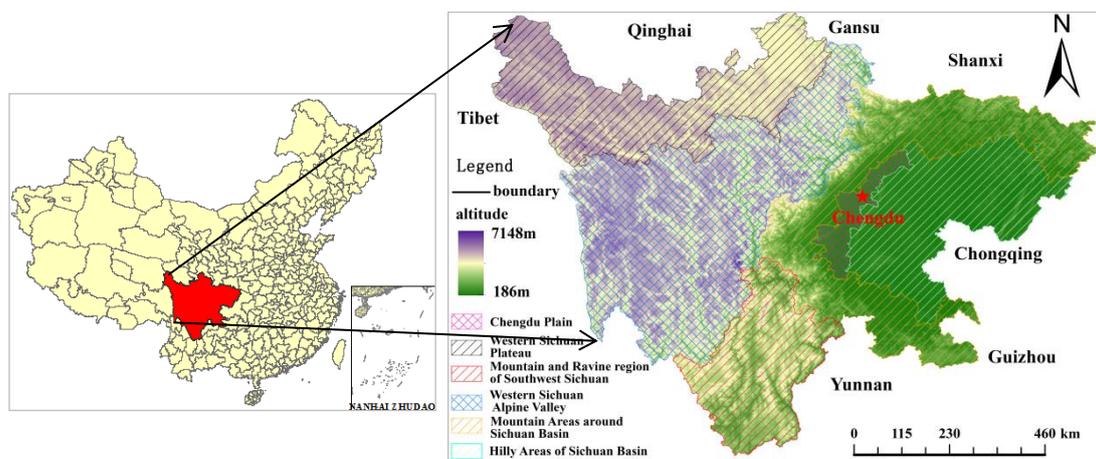
86 stricken areas is relatively homogeneous, and the livelihood of farmer is extremely
 87 dependent on natural resources and ecosystem services. so, the geographical distribution
 88 of environmental vulnerability and poverty is highly coupled (Barbier 2010). Disaster-
 89 prone areas have stronger social vulnerability, it is crucial to rely on community strength
 90 to cope with disasters (Kirby et al 2019, Rampengan et al 2014). Given this, some scholars
 91 have studied the relationship between the threat of geological disasters and the willingness
 92 of farmers relocation and discuss the livelihood issues of farmers under the stress of
 93 geological disasters (Xu et al 2017).

94 At present, most scholars focus on the natural attributes of geological disasters, but
 95 lacking researches on the social attributes between disasters and regional development,
 96 especially the quantitative evaluation from the geospatial perspective. Therefore, this
 97 paper is taking Sichuan Province as an research interest, and construct a geological disaster
 98 poverty risk evaluation model based on the relationship between disasters and regional
 99 development. the paper also quantitatively studies the poverty risk caused by disasters
 100 and its geospatial pattern in Sichuan Province, and provide a theoretical basis for
 101 coordinated management and administration of geological disasters and relative poverty.

102 2. Study Area and Data Sources

103 2.1 Overview of the Study Area

104 The geographical environment of Sichuan Province is complex, mainly mountains,
 105 plateaus and hills. The geological structure is changeable, the neotectonics is active, the
 106 geological disasters are frequent, and the spatial distribution types and development
 107 characteristics are different. The total area of geological disaster-prone areas accounts for
 108 97% of the total land area of Sichuan province, which is one of the provinces with the most
 109 severe geological disasters in China. At the same time, Sichuan has four contiguous
 110 poverty-stricken areas before 2020, namely, the Qinba Mountains, the Wumeng Mountains,
 111 the Yi Area in Liangshan Mountain and the Tibetan-related areas in Sichuan, along with
 112 88 poverty-stricken counties. At the end of 2013, the rural poverty population was 6.25
 113 million, making it one of the impoverished counties and provinces with the most
 114 impoverished population in China.



115
116
117
118
119
120
121
122
123
124
125
126
127 **Figure 1 The Location of Sichuan Province**

128 2.2 Data Sources and Processing

129 The data used in this paper mainly include spatial data, socioeconomic data and
 130 demographic data as follows. (1) Spatial data: 90m resolution DEM and 250m vegetation
 131 index data from Geospatial Data Cloud (<http://www.gscloud.cn/>); data of 35047geological
 132 disaster points are from Resources and Environmental Science and Data Center
 133 (<http://www.resdc.cn/>); engineering geological rock groups that classified according to the
 134 1:500000 digital geological map of Sichuan Province; rainfall and other meteorological data
 135 from China Meteorological Data Network, (<http://data.cma.cn/>); data on arable land,
 136 residential area, river system from Sichuan Land Use Change Data in 2018; traffic vector
 137 data from Geographic Data Sharing Infrastructure, College of Urban and Environmental
 138 Science, Peking University (<https://geodata.pku.edu.cn/>). (2) Socioeconomic and
 139 demographic data: per capita GDP, per capita disposable income of residents, average
 140 salary, number of primary and secondary schools are from the 2019 Sichuan Provincial
 141 Statistical Yearbook and the statistical yearbooks of cities; water resources data are from
 142 the 2019 Sichuan Provincial Water Resources Bulletin; data on medical and health
 143 institutions from 2019 Sichuan Provincial Medical and Health Yearbook.

144 All the data were entered into GIS geodatabase after preprocessing. Furthermore, the
 145 projection and coordinate system of all the data were transformed into UTM84N and WGS-
 146 84 projection,by GIS software.

147 3. Methods

148 3.1 Geological Disaster Risk Assessment

149 3.1.1 Deterministic Coefficient Method

150 The amount of information can objectively reflect the contribution of evaluation factors to
 151 the risk of geological disasters under different classification standards. The greater the
 152 index is, the higher the risk of geological disasters will be. Combined with the content of
 153 information, this paper uses the certainty factor (CF) to determine the weight. CF was
 154 proposed by Shortliffe and Buchanan (1975), which is usually used for sensitivity analysis
 155 of different factors, and gradually used for calculating the weight of each factor (Liang et
 156 al 2019). The method is as following:

$$157 \quad CF = \begin{cases} \frac{PP_a - PP_s}{PP_a(1 - PP_s)} & (PP_a \geq PP_s) \\ \frac{PP_s - PP_a}{PP_s(1 - PP_a)} & (PP_a \leq PP_s) \end{cases} \quad (1)$$

158 In this formula, PP_a is the ratio of the number of geological disasters in factor a to the
 159 area of factor a ; PP_s is the ratio of the number of geological disasters in the study area to
 160 the area of the study area. The variation range of CF is $[-1,1]$; a positive value indicates a
 161 high certainty of geological disaster occurrence, which is more likely to occur; a negative
 162 value represents a lower certainty of geological disaster occurrence, which is less likely to
 163 occur; when the calculation result is close to 0, it means that the factor cannot determine
 164 whether the geological disaster is likely to occur in this classification.

165 The weight ω_i is calculated as follows,

$$166 \quad \omega_i = CF_{(i,max)} - CF_{(i,min)} \quad (2)$$

167 In the formula, $CF_{(i,max)}$ is the maximum value to determine the coefficient in each

168 classification of factor i , and $CF_{(i, min)}$ is the minimum value to determine the coefficient in
 169 each classification of factor i .

170 3.1.2 Risk Assessment Method

171 The disaster index is an indicator reflecting the scale of geological disasters in each
 172 evaluation unit, and the calculation formula is as follows,

$$173 \quad V_i = \sum_{j=1}^n \omega_j \times y_j \quad (j=1,2,\Lambda n) \quad (3)$$

174 In this formula, V_i is the evaluation unit. V_i is the comprehensive risk index of
 175 geological disasters of the evaluation unit. ω_j is the weight value of the evaluation index j
 176 of the evaluation unit i . y_j is the normalized value of the evaluation index j of the
 177 evaluation unit i .

178 3.1.3 Geological Disaster Risk Assessment Index

179 Combining relevant research (Liang et al 2019, Luo et al 2020) and field investigation, the
 180 paper comprehensively considers topography, land cover, lithology, meteorology,
 181 hydrology. We selects 7 indicators as the risk assessment factors of geological disasters.
 182 The evaluation index system is shown in Table 1.

183

184

Table 1 Geological Disaster Risk Assessment Index System

Indicator	Weight (W_i)
Slope (°)	0.1673
Relief degree of land surface (m)	0.1233
Elevation (m)	0.2205
Vegetation coverage	0.1077
Engineering geological rock group	0.1424
Distance from fault (km)	0.0981
Daily mean maximum precipitation for years (mm)	0.1407

185 3.2 Regional Development Level Evaluation Method

186 3.2.1 Comprehensive Development Index Method

187 (1) Comprehensive Development Index

188 The Comprehensive Development Index (CDI) is used to reflect the comprehensive
 189 development level in the study area. the larger the index value, the richer the region will
 190 beand vice versa.. The CDI could be calculated as following (Liu et al 2020).

$$191 \quad CDI = \sum_{i=1}^n \left(\sum_{j=1}^m F_{ij} \omega_{ij} \right) \omega_i \quad (4)$$

192 In the formula: CDI is the comprehensive development index; F_{ij} is the indicator
 193 value after standardized processing, ω_{ij} represents the index weight; ω_i represents the
 194 dimension weight; n is the number of dimensions, and m is the number of indicators
 195 corresponding to a certain dimension.

196 (2) Data normalized

197 The evaluation indicators of CDI include both positive and negative values.

198 Therefore, the standardization of data in this paper adopted the extreme difference
 199 standard method, and the specific formulas are as follows:

200 positive indicator:
$$Y_{ij} = \frac{X_{ij} - X_{\min}}{X_{\max} - X_{\min}} \quad (5)$$

201 negative indicator:
$$Y_{ij} = \frac{X_{\max} - X_{ij}}{X_{\max} - X_{\min}} \quad (6)$$

202 In those formulas, Y_{ij} is the indicator value after standardized processing; X_{ij} is the
 203 original data of the evaluation index j of the county i in Sichuan Province. X_{\max} and X_{\min} are
 204 the maximum and minimum values of the evaluation index j , respectively.

205 (3) Calculation of Index Weight

206 The calculation method of index weight can be divided into subjective method and
 207 objective method. In order to reduce subjective biases in expert judgments, incomplete
 208 data and objective biases caused by data quality problems, the Analytic Hierarchy Process
 209 (AHP) and Entropy Method are used to calculate weights, respectively (Ni et al 2009, Chen
 210 et al 2009). then the subjective and objective weights are added to get the comprehensive
 211 weight.

212 **3.2.2 CDI Evaluation System**

213 According to specific research needs, the relationships between research results and
 214 socioeconomic development level in Sichuan Province are considered (Alkire and Foster
 215 2011, Schleicher et al, 2018, Jin et al 2020, Liu et al 2020). and we measured CDI from four
 216 dimensions (resource, economic, income, education & medical care) and 18 indicators
 217 (Table 2).

218 **Table2 CDI Evaluation System**

Dimension	Indicator	Combination weight (W_{ij})
Resource endowment	Per capita area of cultivated land area (hm ² / person)	0.0795
	Per capita water resources (m ³ / person)	0.0346
	Per capita village area (m ² / person)	0.0497
	Transportation resources (Km / km ²)	0.0720
	Per capita GDP (10 ⁴ yuan [¥])	0.0276
The level of economic development	Urbanization rate (%)	0.0330
	General public budget revenue (10 ⁸ yuan [¥])	0.0267
	Total investment in fixed assets (10 ⁸ yuan [¥])	0.0309
	Employment situation (10 ⁴ people)	0.0560
	Per capita disposable income (yuan [¥])	0.0644
Income level	average salary (yuan [¥])	0.0350
	per capita grain output (kg / person)	0.0797
	Rural poverty incidence (%)	0.1966

	Number of primary and secondary schools	0.0132
	Teacher-student ratio	0.0216
Education & medical care service	Medical and health institutions	0.0453
	Number of beds per thousand registered population (Bed / 1000 people)	0.0478
	Health technical personnel (people)	0.0367

219

220 3.3 Evaluation Method of the Poverty Risk Caused by Geological Disaster

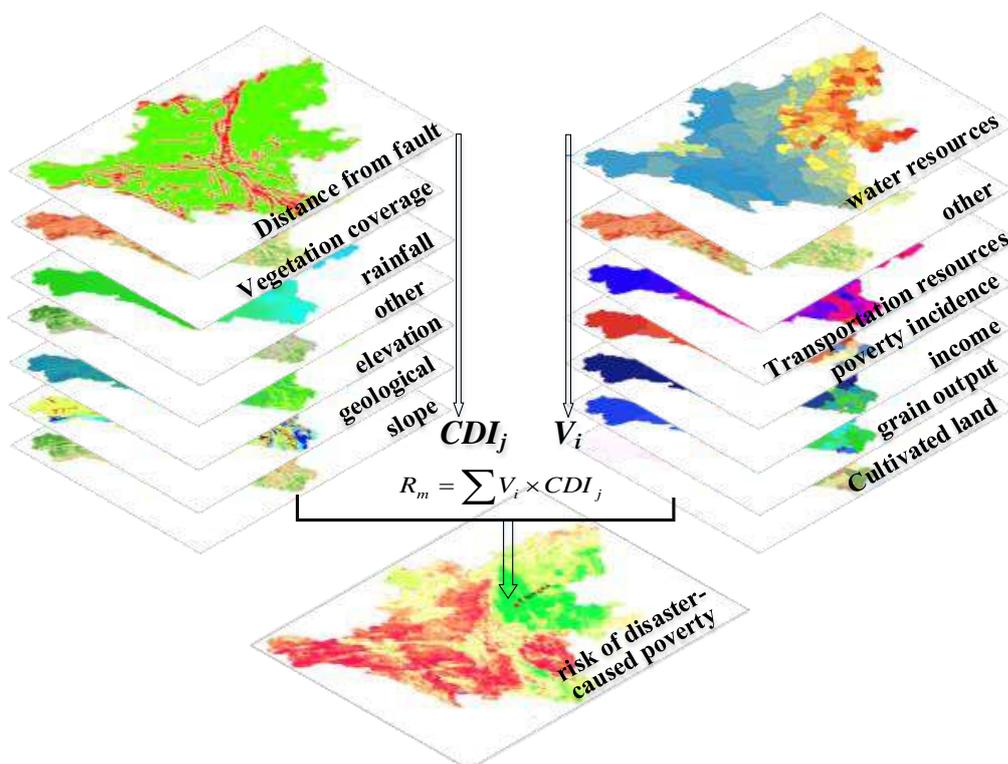
221 According to the general risk assessment formula, the poverty risk caused by geological
 222 disasters consists of geological disaster risk and the regional development level (Tian and
 223 Zhang 2016). The formula is as follows:

$$224 R_m = \sum V_i \times CDI_j \quad (7)$$

225 In this formula, R_m is the risk index of poverty caused by geological disasters. The
 226 higher the index, the higher the poverty risk caused by disasters is. V_i is the risk of
 227 geological disasters. CDI_j is a regional comprehensive development index.

228 The evaluation process of poverty risk caused by geological disasters is shown in
 229 Figure 2.

230



231

232

Figure 2 The Evaluation Process of Poverty Risk Caused by Geological Disasters

233 **3.4 Exploratory Spatial Analysis Method**

234 **3.4.1 Global Spatial Autocorrelation**

235 Global Moran's I is used to describe the spatial differentiation characteristics of poverty
 236 risk caused by disasters in the study area. The formula is as follows:

237
$$I = \frac{n \sum_{i=1}^n \sum_{j=1}^n w_{i,j} (x_i - \bar{x})(x_j - \bar{x})}{\sum_{i=1}^n \sum_{j=1}^n \omega_{ij} \sum_{i=1}^n (x_i - \bar{x})^2} \quad (8)$$

238 In this formula, w_{ij} is the spatial weight; \bar{x} is the mean value of the attribute; x_i and x_j
 239 are the attribute values of the elements i and j , respectively; n is the number of units, and
 240 the correlation is considered significant when $|Z| > 1.96$.

241 **3.4.2 Local Spatial Autocorrelation**

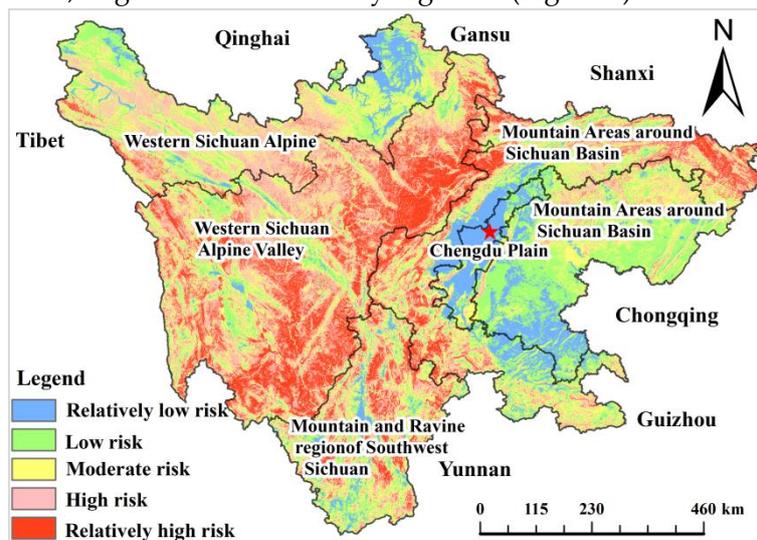
242 Local autocorrelation reveals the local clustering characteristics of spatial unit attributes
 243 by analyzing the difference degree and significance level between spatial unit and
 244 surrounding units. the formula is as follows:

245
$$I_i(d) = \frac{n(x_i - \bar{x}) \sum_{j=1}^n w_{ij}(x_j - \bar{x})}{\sum_{i=1}^n (x_j - \bar{x})^2} \quad (9)$$

246 **4. Results and Analysis**

247 **4.1 Analysis of Geological Disaster Risk**

248 Formula 1-3, was used to calculate the geological disaster index by GIS software. the study
 249 area was classified into five grades by natural breakpoint method: Relatively low risk, Low
 250 risk, Moderate risk, High risk and Relatively high risk (Figure 3).



251 **Figure 3 Classification Results of Geological Disaster Risk**

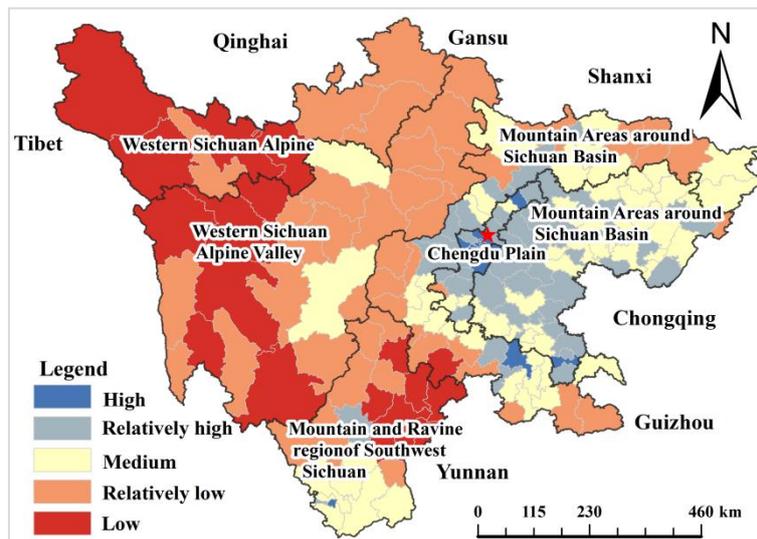
252 As shown in Figure 3, with respect to spatial pattern of geological disaster, the
 253 relatively high risk areas are mainly distributed in Western Sichuan Alpine Valley,
 254

255 Mountain and Ravine region of Southwest Sichuan and Mountain Areas around Sichuan
 256 Basin. High risk areas are less distributed in Chengdu Plain and Hilly Areas of Sichuan
 257 Basin, while the other 4 areas have a higher distribution proportion. Similar to high risk
 258 areas, the moderate risk areas are less distributed in Chengdu Plain, and the other 5 areas
 259 account for a relatively high proportion. The relatively low risk areas are concentrated in
 260 Hilly Areas of Sichuan Basin, while the risks in other 5 areas are relatively not significant .
 261 The low risk areas are mainly distributed in Chengdu plain and Hilly Areas of Sichuan
 262 Basin, while the other 4 areas are sporadically distributed.

263 the statistics results of the numbers of geological disasters risk areas of various grades
 264 are as follows. the area of relatively high risk area is 73574.81 km², accounts for 14.14%; the
 265 area of high risk area is 137037.55 km², accounts for 28.20%; the area of moderate risk area
 266 is 130,263.67 km², accounts for 26.80%; the area of relatively low risk area is 99829.32 km²,
 267 accounts for 20.54%; the area of low risk area is 45294.65 km², accounts for 9.32%. the risk
 268 of geological disasters in Sichuan Province is relatively high in the whole area and widely
 269 distributed. its distribution is closely related to the topography and landforms, thus
 270 presenting significant geographic spatial differences.

271 4.2 Analysis of Regional Development

272 considering the county-level administrative divisions as the evaluation unit, the
 273 comprehensive development index (CDI) of each region was calculated respectively by
 274 GIS software according to formulas 4-6. the development level index of 183 districts and
 275 counties was divided into 5 grades through natural breakpoint method (Figure 4), which
 276 are High, Relatively high, Medium, Relatively low and Low.



277

278 **Figure 4 Multidimensional Development Level Grading Results**

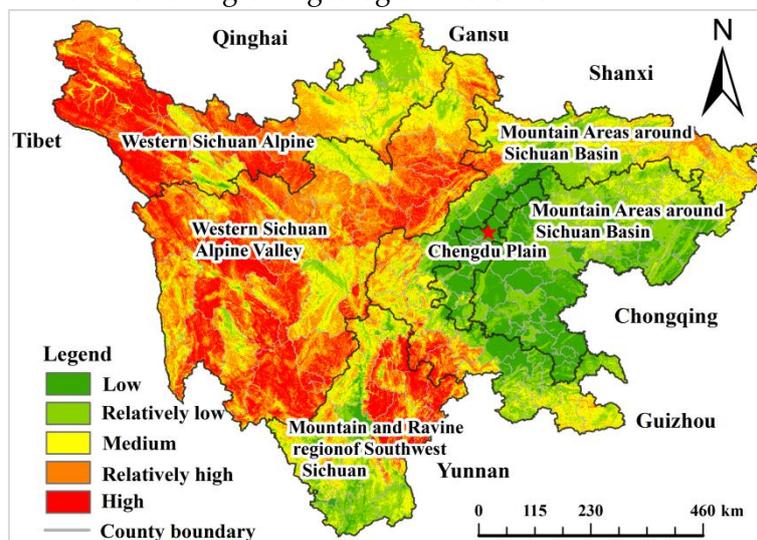
279 As shown in Figure 4, the development of every district and county in Sichuan
 280 province varies greatly. From the perspective of spatial pattern, the areas with low and
 281 relatively low comprehensive development level are mainly located in the Western
 282 Sichuan Plateau Area, Mountain and Ravine Area of Western Sichuan and Mountain and
 283 Ravine region of Southwest Sichuan. The areas with medium comprehensive development
 284 level are mainly located in Hilly Areas of Sichuan Basin and Mountain Areas around

285 Sichuan Basin, while the other areas are scattered. The areas with relatively high
 286 development level are mainly located in Hilly Areas of Sichuan Basin and Chengdu Plain
 287 area; areas with high level development level is relatively small, mostly located in
 288 Chengdu Plain Area., the normal distribution characteristics are significant considering the
 289 proportions of various development grades. the high development level counties accounts
 290 for 6.56% in number; the relatively high development level counties accounts for 25.14%
 291 in number. The number with medium level counties accounts for 33.33%, the number with
 292 relatively low level counties accounts for 24.04%, the number with low level counties
 293 accounts for 10.93%. In general, with the Chengdu Plain being in the center of Sichuan
 294 Province, the level of comprehensive development in Sichuan Province is lower as it goes
 295 outwards of Chengdu Plain . the level of comprehensive development in mountainous
 296 areas and high-altitude areas is obviously low, which is basically consistent with the
 297 spatial distribution of 88 counties out of poverty, indicating that these areas will remain
 298 the work focus of agriculture, rural areas and peasantry in the future.

299 **4.3 Analysis of Poverty Risk Caused by Disasters**

300 **4.3.1 Overall Characteristics of Poverty Risk Caused by Disasters**

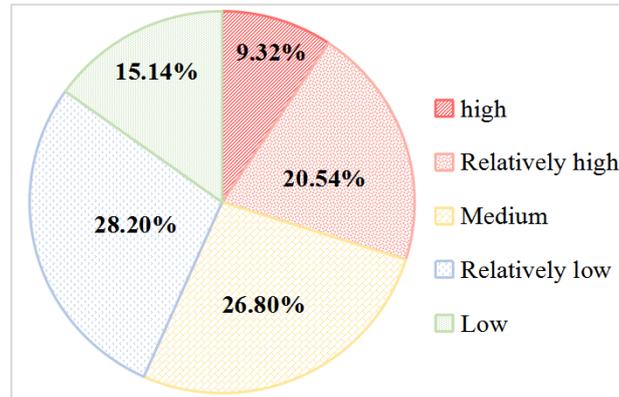
301 Based on the evaluation of geological disaster risk and regional development, the poverty
 302 risk caused by disasters in the study area was calculated. and the natural breakpoint
 303 method was used to divide the risk of poverty into 5 grades: High, Relatively high,
 304 Medium, Relatively low, and Low (Figure 5). The overall spatial pattern of poverty risk in
 305 the study area are high in the west and low in the east. Due to the lag of comprehensive
 306 development, higher social and economic vulnerability, and weak ability to resist
 307 geological disasters, families' livelihood in Western Sichuan Alpine Valley as well as
 308 Western Sichuan Alpine is more vulnerable to geological disasters than that in the central
 309 and eastern regions of Sichuan Province. the poverty risk caused by disasters is higher.
 310 Instead, cities such as DongDu and Mianyang in the central part, Suining and Nanchong
 311 in the east, Yibin and Luzhou in the southeast, and Panzhihua in the south all have
 312 relatively low risk of poverty caused by disasters, mainly attribute to their high social and
 313 economic level or low risk of regional geological disasters.



314
 315

Figure 5 Distribution of The Poverty Risk Caused by Disasters

316 The statistical results of the areas of poverty risk grades caused by disaster are
317 presented in Figure 6. the area of high risk area was 73574.81km², and the area of relatively
318 high risk area was the largest, reaching 137037.55km². the area of medium risk area is close
319 to that of high risk area, accounting for 130,263.67 km². the area of low risk area is 99,829.32
320 km². the area of relatively low risk area is account for the smallest at 45,294.65 km². the
321 results indicate a high overall poverty risk caused by disasters in Sichuan Province.



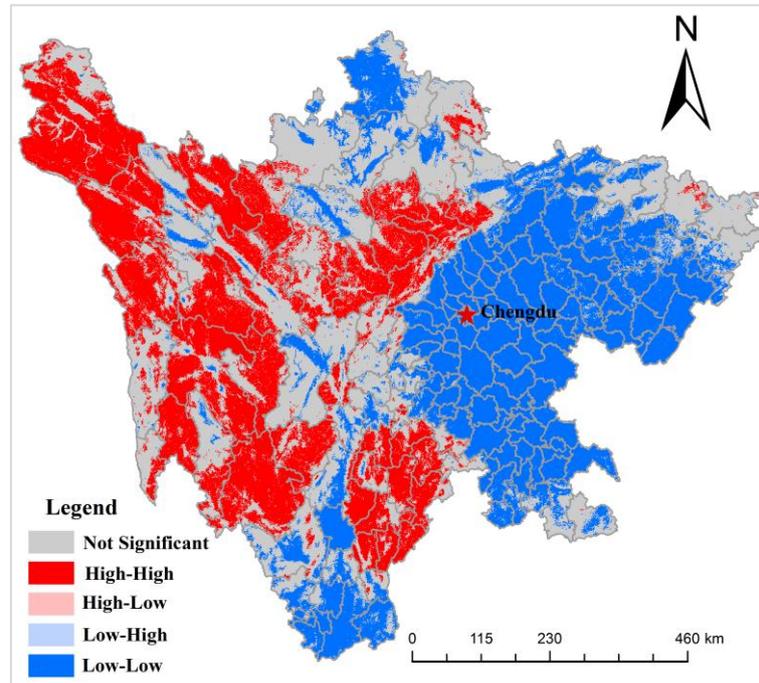
322

323 **Figure 6 Proportion of Different Grades of Poverty Risk Caused by Disasters in the**
324 **Study Area**

325 4.3.2 Spatial Distribution Characteristics of Poverty Risk Caused by Disasters

326 The evaluation results of poverty caused by disasters in the study area were gridded with
327 a grid size of 1km×1km, and the Moran's I index at the grid scale is calculated. the Moran's
328 I index of poverty caused by disasters in Sichuan Province is 0.767, the value of $p < 0.001$,
329 indicating that there is a significant positive spatial correlation between disaster and
330 poverty risk in Sichuan Province at the grid scale of 1km×1km. the disaster risk caused by
331 poverty is spatially dependent and clustered. That is, the risk of poverty caused by
332 disasters has regional characteristics.

333 The local indications of Spatial Association (LISA) is used to study the local clustering
334 characteristics of spatial unit attributes of poverty risk caused by disasters in Sichuan
335 Province, and the spatial clustering is divided into four types. High-High Cluster, research
336 grid and neighboring grids are at high risk of poverty caused by disasters; High-Low
337 Outlier, The risk of poverty caused by disasters in the research grid is high, while the risk
338 of poverty caused by disasters in the neighboring grid is low; Low-High Outlier, The risk
339 of poverty caused by disasters in the research grid is low, and the risk of poverty caused
340 by disasters in the surrounding neighborhood grid is relatively high; Low-Low Cluster,
341 the risk of poverty caused by disaster is low in research grid and neighboring grid. The
342 local spatial autocorrelation of poverty caused by disaster is shown in Figure 7.



343
344 **Figure 7 LISA Clustering Map of the Poverty Risk Caused by Disasters in the**
345 **Study Area**

346 The results show that the spatial clustering characteristics of poverty risk caused by
347 disasters in Sichuan Province are different, showing a clustering characteristic of “high in
348 the west and low in the east”. High-High and Low-Low Cluster is obvious, while the High-
349 Low and Low-High Outlier is not significant. The details are as following.

350 (1) High-High Cluster Area: With a total area of 142,418.80 km². the type is mainly
351 distributed in most districts and counties of Ganzi Prefecture, Wenchuan County, Li
352 County and Mao County in Aba Prefecture, and Liangshan Prefecture in western Sichuan.
353 these areas accounts for 29.30% of the province’s land area. Located in the southeastern
354 edge of the Qinghai-Tibetan Plateau, the above three areas, with the main topography of
355 high Mountains, Ravines and Plateaus, are all contiguous poverty-stricken areas in China
356 until 2020. At the same time, geological disasters occur frequently in this area, and the
357 damage intensity is high. In recent years, major natural disasters impact frequently in these
358 areas, such as Wenchuan earthquake, Xinmo Village high-position landslide in Diexi Town,
359 Wenchuan torrent and debris flow, Danba County torrent and debris flow. The
360 interweaving of development lagging and the geological disasters is the most important
361 characteristics in High-High Cluster Area.

362 (2) Low-Low Cluster Area: With a total area of 163,890.440km², these areas are mainly
363 distributed in the Chengdu Plain represented by Chengdu, Hilly Areas of Sichuan Basin
364 represented by Neijiang, as well as a few places of Panzhihua in southern Sichuan, totally
365 accounts for 33.72% of the province’s land area. The Low-Low Cluster Area is
366 characterized by plains and shallow hills with high regional economic level. these areas
367 have fewer geological disasters accompanied by strong regional disaster capacity and low
368 disasters-caused poverty risk.

369 (3) The areas of High-Low Outlier and Low-High Outlier are 152.63km² and 798.17km²
370 respectively, accounting for 0.03% and 0.16% of the province’s land area, respectively.

371 Those two area type are mainly scattered in the borders between High-High and Low-Low
372 Cluster Areas.

373 **5. Conclusions and Discussion**

374 Based on the quantitative evaluation of geological disaster risk and regional
375 development, the poverty risk caused by disasters and their spatial differentiation
376 characteristics were explored in Sichuan Province as the research interest. The results are
377 as following.

378 (1) The overall risk of geological disasters in Sichuan Province is relatively high, with
379 high and relatively high risk areas accounting for 43.34%, low and relatively low risk areas
380 accounting for 29.86%, and medium risk areas accounting for 26.80%. Due to the
381 topography, geological structure, human activities and other factors, the risk of geological
382 disasters has obvious spatial clustering and differentiation. The risk of geological disasters
383 in Mountain and Ravine Area is significantly higher than that in other areas, while the risk
384 of geological disasters in Chengdu Plain and its eastern adjacent areas is significantly low.

385 (2) In recent years, the medical care, education security, infrastructure construction
386 and other improvements in Sichuan Province have been improved gradually. the residents'
387 income and the efficiency of resource utilization have been improved constantly.the
388 national economy and society are developing steadily. The percentage of areas in High
389 and Relatively High development level is 31.70%, the percentage of Medium level areas
390 accounts for 33.33%. the percentage of Low and Relatively Low areas is 34.97%. The spatial
391 differences of regional development level are prominent.the overall development of high
392 altitude areas and remote mountain areas in western Sichuan is low on the whole, while
393 the development level of plain areas and hilly areas are of high advantages.

394 (3) The area proportion of the poverty risk grades caused by disasters in Sichuan
395 Province shows the characteristics of medium risk area > relatively low risk area >
396 relatively high risk area > low risk area > high risk area.the spatial pattern shows the
397 characteristic of "high in the west and low in the east". There was a significant positive
398 spatial correlation between poverty risk and disasters. High-High Cluster mainly
399 distributed in Garze Tibetan Autonomous Prefecture, Liangshan Yi Autonomous
400 Prefecture and other areas in western Sichuan and southwestern Sichuan, accounts for
401 29.30% of the total area. Low-Low Cluster areas are mainly distributed in Chengdu Plain
402 and Hilly Areas of Sichuan Basin, accounting for 33.72% of the total area; High-Low and
403 Low-High Outlier Areas are small and scattered randomly.

404 (4) There is a geospatial coupling between regional development level and geological
405 disaster risk in Sichuan Province. It is necessary to coordinate society and economic
406 development with disaster reduction and prevention management, develop ecological
407 agriculture and green industries according to local conditions. it is also important to
408 improve the industrial structure towards the direction of green and sustainable
409 development, thus reduce the dependence on natural resources and enhance the ability to
410 resist disasters from the regional and personal perspectives, to ensure the coordinated
411 development of economy, society and ecology.

412 **Ethical Approval**

413 The paper meets the ethical requirements and standards.

414 **Consent to Participate**

415 Not applicable.

416 **Consent to Publish**

417 The author agrees to participate in the publication of the paper

418 **Data availability statement**

419 The data that support the findings of this study are available upon reasonable request from
420 the Authors.

421 **Acknowledgment**

422 The authors would like to thank the reviewers for their valuable suggestions on the manuscript.
423 This research was funded by the National Natural Science Foundation of China (No.
424 42071232), Supported by Sichuan Science and Technology Program (2020YFS0308) and Open
425 Foundation of the Research Center for Human Geography of Tibetan Plateau and Its
426 Eastern Slope (Chengdu University of Technology).

427 **Conflicts of interest**

428 The authors declare that they have no competing interests.

429 **Authors' contributions**

430 Writing – review and editing, Mingshun Xiang; formal analysis and investigation, Jin
431 Yang; investigation and validation, linsen Duan and Wenheng Li; resources, Fengran Wei;
432 data curation, Wenbo Yang and Chunjian Wang; supervision, Qiuchi Deng.

433 **ORCID iD**

434 mingshun xiang <https://orcid.org/0000-0002-3156-4808>

435 **References**

- 436 Alkire S and Foster J (2011) Counting and multidimensional poverty measurement *Journal*
437 *of Public Economics* 95:476-487. <https://doi.org/10.1016/j.jpubeco.2010.11.006>
- 438 Andrew B and Mikhail V C (2017) Climate change vulnerability in the food, energy, and
439 water nexus: concerns for agricultural production in Arizona and its urban export
440 supply *Environmental Research Letters* 12:1-13. [https://doi.org/10.1088/1748-](https://doi.org/10.1088/1748-9326/aa5e6d)
441 [9326/aa5e6d](https://doi.org/10.1088/1748-9326/aa5e6d)
- 442 Barbier E B (2010) Poverty, development, and environment *Environment and*
443 *Development Economics* 15:635-660. <https://doi.org/10.1017/S1355770X1000032X>
- 444 Berberich K (2019) Representations of Poverty and Place: Using Geographical Text
445 Analysis to Understand Discourse *International Journal of Corpus Linguistics* 24:548-553.
446 <https://doi.org/10.1075/ijcl.00019.ber>
- 447 Besagni G and Borgarello M (2019) The socio-demographic and geographical dimensions
448 of fuel poverty in Italy *Energy Research & Social Science* 49:192-203.

449 <https://doi.org/10.1016/j.erss.2018.11.007>

450 Bidisha S H, Mahmood T and Hossain M B (2021) Assessing Food Poverty, Vulnerability
451 and Food Consumption Inequality in the Context of COVID-19: A Case of Bangladesh
452 *Social Indicators Research* **4**:1-24. <https://doi.org/10.1007/s11205-020-02596-1>

453 Bird K and Shepherd A (2003) Livelihoods and chronic poverty in Semi-arid Zimbabwe
454 *World Development* **31**:591-610. [https://doi.org/10.1016/s0305-750x\(02\)00220-6](https://doi.org/10.1016/s0305-750x(02)00220-6)

455 Carter M R and Barrett C B (2006) The economics of poverty traps and persistent poverty:
456 an asset-based approach *The Journal of Development Studies* **42**:178-199.
457 <https://doi.org/10.1080/00220380500405261>

458 Chen M G, Lu D D and Zhang H (2009) Comprehensive Evaluation and the Driving Factors
459 of China's Urbanization *Acta Geographica Sinica* **64**:387-
460 398. <https://doi.org/10.11821/xb200904001>

461 Cui P (2014) Progress and prospects in research on mountain hazards in China *Progress in*
462 *Geography* **33**:145-152. <https://doi.org/10.11820/dlkxjz.2014.02.001>

463 Ding W G, Wei Y L, Wang L K, Mi X and Ye W F (2013) Integrated relationship among
464 environmental degradation, disasters and poverty in Gansu Province *Journal of Arid*
465 *Land Resources and Environment* **27**:1-7
466 <https://doi.org/10.13448/j.cnki.jalre.2013.03.001>

467 Elwood S, Lawson V and Sheppard E (2017) Geographical relational poverty studies
468 *Progress in Human Geography* **41**:745-765. <https://doi.org/10.1177/0309132516659706>

469 Gignoux J and Menéndez M (2016) Benefit in the wake of disaster: Long-run effects of
470 earthquakes on welfare in rural Indonesia *Journal of Development Economics* **118**:26-44.
471 <https://doi.org/10.1016/j.jdeveco.2015.08.004>

472 He X D, Mai X M and Shen G Q (2021) Poverty and Physical Geographic Factors: An
473 Empirical Analysis of Sichuan Province Using the GWR Model *SUSTAINABILITY*
474 **13**:100(01)-100(17). <https://doi.org/10.3390/su13010100>

475 Iparraguirre J L (2012) Geographical distribution of disability living allowance and
476 attendance allowance and income poverty *Journal of Maps* **8**:189-193.
477 <https://doi.org/10.1080/17445647.2012.695441>

478 Jessie A W, Kerrie A W, Nicola K A, Malcolm N, David L A, Rebecca K R, Nina T, Kerrie L
479 M and Erik M (2016) Rising floodwaters: mapping impacts and perceptions of
480 flooding in Indonesian Borneo *Environmental Research Letters* **11**:1-15.
481 <https://doi.org/10.1088/1748-9326/11/6/064016>

482 Jin G, Deng X Z, Dong Y and Wu F (2020) China's multidimensional poverty measurement
483 and its spatio-temporal interaction characteristics in the perspective of development
484 geography *Acta Geographica Sinica* **75**:1633-1646.
485 <https://doi.org/10.11821/dlxb202008006>

486 Kirby R H, Reams M A, Lam N, Zou L, Dekker G G J and Fundter D Q P (2019) Assessing
487 Social Vulnerability to Flood Hazards in the Dutch Province of Zeeland *International*
488 *Journal of Disaster Risk ence* **10**:233-243. <https://doi.org/10.1007/s13753-019-0222-0>

489 Li X H, Zhou Y and Chen Y F (2020) Theory and measurement of regional
490 multidimensional poverty *Acta Geographica Sinica* **75**:753-768.
491 <https://doi.org/10.11821/dlxb202004007>

492 Li Y H, Wu W H and Liu Y S (2020) Evolution of Global Major Disasters During Past

493 Century and Its Enlightenments to Human Resilience Building *Bulletin of Chinese*
494 *Academy of Sciences* **35**:345-352. <https://doi.org/10.16418/j.issn.1000-3045.20200220003>

495 Liang L P, Liu Y G, Tang Z H, Zou Q and Li J J (2019) Geologic hazards susceptibility
496 assessment based on weighted information value *Bulletin of Soil and Water Conservation*
497 **39**:176-182. <https://doi.org/10.13961/j.cnki.stbctb.2019.06.026>

498 Liu Y L, Liao H P, Cai J, Li J, Li T, Zhu L and He T (2020) Spatio-temporal coupling
499 relationship between multi-functionality of land use and multidimensional poverty in
500 China's southwestern mountainous areas *China population, resources and environment*
501 **30**:154-164. <https://doi.org/10.12062/cpre.20200641>

502 Luo L G, Pei X J, Gu H, He Y H and Liang J (2020) Risk assessment of geohazards induced
503 by "8.8" earthquake based on GIS in Jiuzhaigou scenic area *Journal of Natural*
504 *Disasters* **29**:193-202. <https://doi.org/10.13577/j.jnd.2020.0321>

505 Ni J P, Li P, Wei C F and Xie D L (2009) Potentialities evaluation of regional land
506 consolidation based on AHP and entropy weight method *Transactions of the Chinese*
507 *Society of Agricultural Engineering* **25**:202-209. [https://doi.org/10.3969/j.issn.1002-](https://doi.org/10.3969/j.issn.1002-6819.2009.05.38)
508 [6819.2009.05.38](https://doi.org/10.3969/j.issn.1002-6819.2009.05.38)

509 Rampengan M M F, Boedihartono A K, Law L, Gaillard J C and Sayer J (2014) Capacities
510 in Facing Natural Hazards: A Small Island Perspective *International Journal of Disaster*
511 *Risk Science* **5**:247-264. <https://doi.org/10.1007/s13753-014-0031-4>

512 Sakai Y, Estudillo J P, Fuwa N, Higuchi Y, Sawada Y (2017) Do natural disasters affect the
513 poor disproportionately? Price change and welfare impact in the aftermath of typhoon
514 Milenyo in the rural Philippines *World Development* **94**:16-26.
515 <https://doi.org/10.1016/j.worlddev.2016.12.036>

516 Schleicher J, Schaafsma M, Burgess N D, Burgess C and Sandbrook F (2018) Poorer without
517 it? The neglected role of the natural environment in poverty and wellbeing *Sustainable*
518 *Development* **26**:83-98. <https://doi.org/10.1002/sd.1692>

519 Shortliffe E H and Buchanan B G (1987) A model of inexact reasoning in medicine
520 *Mathematical Biosciences* **23**:351-379. [https://doi.org/10.1016/0025-5564\(75\)90047-4](https://doi.org/10.1016/0025-5564(75)90047-4)

521 Tian H L and Zhang J Q (2016) Analyses of poverty risk induced by mountain disasters: a
522 case study in Enshi, China *Journal of Geo-information Science* **18**:307-314.
523 <https://doi.org/10.3724/SP.J.1047.2016.00307>

524 Wu J D, Han G Y, Zhou H J and Li N (2018) Economic development and declining
525 vulnerability to climate-related disasters in China *Environmental Research Letters* **13**:1-
526 10. <https://doi.org/10.1088/1748-9326/aaabd7>

527 Xu D D, Peng L, Liu S Q, Su C J, Wang X X and Chen T T (2017) Influences of Sense of Place
528 on Farming Households' Relocation Willingness in Areas Threatened by Geological
529 Disasters: Evidence from China *International Journal of Disaster Risk Science* **8**:16-32.
530 <https://doi.org/10.1007/s13753-017-0112-2>

531 Zhou Y, Li X H, Tong C Y and Huang H (2021) The geographical pattern and
532 differentional mechanism of rural poverty in China *Acta Geographica Sinica* **76**:903-
533 920. <https://doi.org/10.11821/dlxb202104009>