

# Simple Hurricane Model: Asymmetry and Dynamics

David Mendes (✉ [david.mendes@ufm.br](mailto:david.mendes@ufm.br))

Federal University of Rio Grande do Norte Exact and Earth Sciences Centre: Universidade Federal do Rio Grande do Norte Centro de Ciências Exatas e da Terra <https://orcid.org/0000-0003-1418-5109>

José Francisco de Oliveira Júnior

Federal University of Alagoas: Universidade Federal de Alagoas

Monica Cristina Damião Mendes

State University of Rio Grande do Norte: Universidade do Estado do Rio Grande do Norte

Washington Luiz Félix Correia Filho

Federal University of Rio Grande: Universidade Federal do Rio Grande

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## Research Article

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1 **SIMPLE HURRICANE MODEL: ASYMMETRY AND DYNAMICS**

2 **David Mendes**<sup>1,2</sup>, José Francisco de Oliveira Júnior<sup>3</sup>, Monica Cristina Damião Mendes<sup>4</sup>,

3 Washington Luiz Félix Correia Filho<sup>5,6</sup>

4 <sup>1</sup>Climate Sciences Post-Graduate Program, Department of Climate and Atmospheric  
5 Sciences, Federal University of Rio Grande do Norte, <sup>2</sup>Aerospace Engineering Post-  
6 Graduate Program, Federal University of Rio Grande do Norte, <sup>3</sup>Laboratory of Applied  
7 Meteorology and Environment (LAMMA), Institute of Atmospheric Sciences (ICAT),  
8 Federal University of Alagoas (UFAL), <sup>4</sup>Federal University of Rio Grande do Norte  
9 <sup>5</sup>Institute of Mathematics, Statistics, and Physics, Federal University of Rio Grande

10

11 *Corresponding author*

12 **David Mendes**

13 [david.mendes@ufrn.br](mailto:david.mendes@ufrn.br)

14 Climate Sciences Post-Graduate Program, Department of Climate and Atmospheric  
15 Sciences, Federal University of Rio Grande do Norte, Lagoa Nova Campus, P.O. 1524,  
16 Natal 59078-970, Brazil

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18

19 **ABSTRACT**

20 In recent decades, the development of several products and hurricane-related models has  
21 attempted to predict the dynamic conditions of these systems and regions beyond they  
22 can impact. Thus, this article presents a parametric model to describe wind asymmetry in  
23 these systems. For this, the analysis of this model was applied in Hurricane Ike, which  
24 occurred in September 2008. In this model, the tangential wind field above the boundary  
25 layer was considered in balance with the thermal wind. It was possible to identify that as  
26 Hurricane Ike evolves, tangential velocity also evolves. Thus, there was a change in static,  
27 baroclinic, and inertial stability. An exponential radial reduction was included for  
28 maximum speed, and, therefore, the maximum winds always to the right of the hurricane  
29 displacement were identified. In addition, pumping near the surface had an influx into  
30 this system induced caused by drag between the air and the surface.

31

32 **Keywords:** Tropical Cyclone, Hurricane Model, Atmospheric Dynamics

33

## 35 1. INTRODUCTION

36 In the last four decades, numerical models of Numerical Weather Prediction (NWP) and  
37 climate have evolved significantly, mainly in the design of new parameterizations and  
38 development of numerical codes, as well as computational advances with the advent of  
39 High-Performance Computing (*HPC*) - (Bauer et al., 2015; Váňa et al., 2017). The NWP  
40 and climate models are described by differential equations representing variability in time  
41 and space (Kalnay, 2003; Warner, 2010). These models can identify and track hurricanes  
42 and, therefore, evaluate the disasters caused in the economic and environmental sphere  
43 (Osuri et al., 2013; Luitel et al., 2018).

44 In recent years, several studies have pointed out that the damage caused by tropical  
45 cyclones (such as storms, ocean waves, and floods) depends heavily on the extent of  
46 maximum winds, in addition to the interference of climate change (Powell and Reinhold,  
47 2007; Zhao and Held, 2010; Knutson et al., 2019; Knutson et al., 2020).

48 Tropical cyclones are usually associated with hurricanes with high-energy, highly  
49 destructible weather systems that cause massive material damage in coastal areas that  
50 exceed one billion dollars a year, as well as loss of human life (Knutson et al., 2019;  
51 Martinez, 2020).

52 Thus, the numerical representation of maximum winds is fundamental for a more realistic  
53 definition of both systems preceding this event and the intensity of tropical cyclones. In  
54 the 1980s, Holland (1980) proposed a parametric radial profile of hurricane winds, being  
55 the basis for a broad study regarding the intensity of tropical cyclones. Chavas and Lin  
56 (2016) developed a simple physical model for the radial structure of the azimuthal wind  
57 at low levels within a hurricane, identifying that wind variability was directly linked to  
58 its external parameters, for example, the maximum wind speed and maximum wind

59 radius. Previously, Smith (1980) and Tang and Emanuel (2012) identified that the most  
60 turbulent aspect of a hurricane might be associated with changes as opposed to the radius  
61 of maximum winds (Vigh et al., 2012).

62 A parametric representation of the pressure and wind fields in tropical cyclones,  
63 hurricanes, and typhoons facilitated the robust representation of these atmospheric  
64 systems (Schloemer, 1954; Bryan and Rotunno, 2009; Vickery, 2015). In addition, the  
65 behavior and relationships between the various parameters, for example, pressure decay,  
66 maximum speed, and maximum wind speed radius, contribute to a better understanding  
67 of tropical cyclones (Willoughby and Rahn, 2004). Vickery et al. 2009 mentioned that  
68 parametric models assist in constructing synthetic storm systems to allow the modeling  
69 of intense winds. Moreover, Olfateh et al. (2017) identified that winds from tropical  
70 cyclones, hurricanes, and typhoons could change from a perfectly asymmetric vortex  
71 movement to an asymmetric, radial, and/or azimuthal with the system's eye.

72 It is important to highlight that the presence of asymmetric convection due to the friction  
73 of the lower Planetary Boundary Layer (*PBL*), the southern gradients of Coriolis  
74 acceleration aid the generation or intensification of wind and pressure asymmetry in the  
75 system (Holland, 1980; Olfateh et al., 2017). However, these models lack parameters for  
76 describing asymmetric properties for tropical cyclones, such as maximum wind (Vickery  
77 and Wadhera, 2008; Olfateh et al., 2017). Therefore, a parametric model with greater  
78 precision can improve studies on tropical cyclones. For example, Xie et al. (2011)  
79 investigated the effects of wind asymmetry in four hurricanes and identified that 30% of  
80 the measured data presented wind asymmetry, contributing to an increase of up to 16%  
81 in the height of ocean waves associated with severe storms.

82 Several empirical formulations existing in the literature use the calculation of the  
83 maximum radius ( $R_{max}$ ) under asymmetric conditions (Knaff et al., 2007; Takagi et al.,

84 2012). Among these methods, the one proposed by Xie et al. (2006) introduced significant  
85 wind rays in four quadrants, defined as R34, R50, R64, and Rmax, in which the first three  
86 were used to estimate Rmax. On the other hand, tropical cyclones, hurricanes, and  
87 typhoons have a wind structure based on two components in the Northern Hemisphere  
88 (NH): i) the anti-clockwise rotation of the wind in the southern sector on the surface and  
89 ii) the speed of translation of the storm (Elsner et al., 1999). This method has already been  
90 used in the literature by Lin and Chavas (2012) and Chavas et al. (2015), as they  
91 mathematically merged existing theoretical solutions for the radial wind structure at the  
92 top of PBL in the hurricane's internal ascending region. The authors used the solution  
93 Emanuel and Rotunno (2011) proposed, where the convective transfer of moisture and  
94 heat was persistent. The Emanuel solution (2004) was used for external convection to  
95 which the convection was absent.

96 The model proposed by Hu et al. (2012) consists of a parametric wind model for a  
97 hurricane based on asymmetric vortex models (Holland, 1980). The authors included the  
98 impact of Coriolis deflection on the hurricane shape parameter. In addition, they the speed  
99 of translation of hurricanes prior to the application vortex Holland model (1980) to avoid  
100 excessive wind asymmetry.

101 The present study proposes parametric formulations to evaluate spatial and punctual  
102 monitoring of tropical cyclones, hurricanes, and typhoons based on the above. The study  
103 applied is based on Hurricane Ike, which occurred in the North Atlantic in September  
104 2008.

## 105 **2. TROPICAL CYCLONE IKE**

106 Hurricane Ike originated from a tropical wave on September 1st of 2008, about 775 miles  
107 west of the Cape Verde archipelago. The depression quickly intensified into a tropical  
108 storm, which became a hurricane on September 3rd of 2008, with its peak intensity on

109 September 4th of 2008. Winds were estimated to reach 123 kt (*category 4*) when it was  
110 located 550 miles northeast (NE) from the Leeward Islands. However, Ike weakened and  
111 regained its Category 4 hurricane status shortly before hitting the Turks and Caicos  
112 Islands on September 7th of 2008 (NHC, 2008).

113 Hurricane Ike turned into the West direction (W), hitting Cuba's NE coast early on  
114 September 8th of 2008, with maximum winds at 117 kt, category 4. On the same day, Ike  
115 moved toward the Mexican coast, with winds of 69 kt (*category 1*), which intensified  
116 over the Gulf of Mexico, where it moved northwest (NW). Then it gradually intensified  
117 when crossing the Gulf of Mexico toward the coast of Texas, USA. Finally, Ike hit the  
118 US coast on the morning of September 13th, being category 2, with winds of up to 95 kt.  
119 After entering the continent, Hurricane Ike weakened, becoming an extratropical cyclone  
120 (NHC, 2008).

## 121 **2.1 DAMAGES CAUSED BY THE HURRICANE IKE**

122 Hurricane Ike left a trail of deaths and destruction, killing 74 people in Haiti and two in  
123 the Dominican Republic. The Turks and Caicos Islands and the Southeastern (SE) of the  
124 Bahamas suffered widespread damage, where seven deaths were recorded in Cuba. In  
125 addition, Ike devastated the Bolivar Peninsula – Texas/USA, with huge waves and intense  
126 winds responsible for flooding and property damage to homes and buildings. Twenty-one  
127 people died in the United States, mainly in Texas, Louisiana, and Arkansas. In the Florida  
128 Keys, approximately 15,000 people were evacuated as Hurricane Ike approached the  
129 coast. The damage caused by Hurricane Ike was estimated at over US\$60 million in the  
130 Turks and Caicos Islands, between US\$50 million and US\$200 million in the Bahamas,  
131 and between US\$3 billion and US\$4 billion in Cuba (NHC, 2008).

132

## 133 **3. MODEL IDEALIZATION**

### 134 3.1 CONTEXTUALIZATION

135 Previously, several models used analytical parametric formulations that represent radial  
136 wind profiles in tropical cyclones (Holland et al., 2010). The schemes are "*parametric*"  
137 when the variation of the radial wind depends only on some parameters, such as maximum  
138 wind, maximum wind radius, and central pressure (Holland et al., 1984; Holland et al.,  
139 2010). The simplicity, computational cost, and spatial resolution favor the use of  
140 parametric winds to assess wind return periods in tropical cyclones, hurricanes, and  
141 typhoons and still manage to model the risk of these events (Vickery and Twisdale, 1995;  
142 Holland et al., 2010; Bhardwaj et al., 2020). The equations mentioned here explore the  
143 basic structure of hurricanes, in which surface pressure decreases exponentially toward  
144 the center of the hurricane and stabilizes in the hurricane's eye. However, winds increase  
145 exponentially in reverse toward the eye wall. Schloemer (1954) mentioned that the radial  
146 wind evolved the formulation of Rankine's combined vortex, in which the rotation of a  
147 solid body is assumed within the eye wall, and thus, tangential winds decrease on a radial  
148 scale to a rectangular hyperbolic approach. Therefore, this article will use the scheme  
149 proposed by Holland (1980), in which the radial wind profile presents significant  
150 variations in the winds of tropical cyclones. Holland (1980) modified the equation  
151 proposed by Scholoemer (1954) to represent a spectrum of rectangular hyperbole with  
152 pressure variation.

153 Although widely used, the formulation proposed by Holland (1980) is known for  
154 presenting some limitations, one of which is the non-representation of the walls of the  
155 Hurricane eye in a double way. Another limitation is the inability to accurately represent  
156 the winds of the eye wall and the external core simultaneously, on the other hand, it can  
157 identify the external wind profile with some precision but fails to capture the rapid  
158 decrease of wind outside the eye wall, which in several cases occurs the underestimation

159 of the maximum wind ( $V_{max}$ ). However, the greatest limitation scan of Holland's model  
160 (1980) is 2D projection, which implies symmetrical vortices. Unfortunately, winds in  
161 tropical cyclones are rarely symmetrical, especially when the system enters the Earth's  
162 surface. Xie et al. (2006) improved Holland's model (1980) by considering asymmetry.  
163 Then, Mattocks and Forbes (2008) developed an asymmetric wind model based on Xie et  
164 al. (2006), in which they employ a storm wave model. In this model, the authors replaced  
165  $R_m$  with a directionally variable ( $R_m(h)$ ), where  $h$  is the azimuthal angle around the  
166 storm's center, improving the wind field estimation in tropical cyclones.

167

#### 168 **4. IDEALIZED MODEL**

169 As a premise of the entire dynamic model, it is necessary to introduce initial conditions  
170 for its proper functioning. In this case, reanalysis data ERA5 was used as an entry  
171 condition (Hersbach et al., 2020). The variables used as initial conditions were: i) Sea-  
172 Level Pressure ( $SLP$ ,  $kPa$ ), zonal ( $u$ ,  $m.s^{-1}$ ) and meridional ( $v$ ,  $m.s^{-1}$ ) winds (all levels),  
173 Air temperature ( $T_{air}$ ,  $^{\circ}C$ ) - (all levels), Relative Humidity ( $RH$ , %) - (all levers) and Sea  
174 Surface Temperature ( $SST$ ,  $^{\circ}C$ ). In spatial discretization, the values and derivatives of the  
175 variables used in the model were represented in discrete points on a regular grid, with  
176 Latitude ( $Lat$ ,  $^{\circ}$ ) and Longitude ( $Lon$ ,  $^{\circ}$ ). It is noteworthy that the spectral method was  
177 used once that it has advantages of calculating the differential terms of dynamic  
178 conditions, with a spacing of  $0.5^{\circ}$  latitude and longitude and an integration of 6 hours in  
179 time.

180

##### 181 **4.1 INITIAL SPIN UP**

182 Systems associated with supercells, such as tornadoes, are formed by the horizontal wind  
183 inclination, while tropical cyclones are associated with convergence, i.e., rotation is

184 always towards the low center (Kalourazi et al., 2020). During convergence, the angular  
 185 momentum associated with the Earth's rotational motion is concentrated at the angular  
 186 momentum and, therefore, associated with the hurricane's winds. Thus, the calculation of  
 187 the absolute angular momentum is given by Eq. (1):

$$188 \quad AM = V_{tan} \cdot R + \frac{1}{2} f_c \cdot R^2 \quad (1)$$

189 Where,  $V_{tan}$  ( $m \cdot s^{-1}$ ) is the tangential velocity in an  $R$  ( $km$ ) radius of the hurricane center  
 190 (Harasti, 2003) and  $f_c$  ( $s^{-1}$ ) is the Coriolis parameter. Thus, Eq. (1) shows the absolute  
 191 angular momentum, meaning that this amount includes the relative angular momentum  
 192 of the hurricane, followed by the angular momentum associated with the Earth's rotational  
 193 motion.

194 This angular moment would be conserved if tropical cyclones did not experience surface  
 195 friction as long as the air converged. Thus, the tangential wind velocity ( $V_{tan}$ ) in a smaller  
 196 radius ( $R_{final}$ ) could be created even if the air in some initial radius ( $R_{init}$ ) did not have  
 197 rotation ( $V_{tan_{init}} = 0$ ). Therefore, the formulation was added to the model, in Eq.(2):

$$198 \quad V_{tan} = \frac{f_c}{2} \cdot \left( \frac{R_{init}^2 - R_{final}^2}{R_{final}} \right) \quad (2)$$

199 In tropical cyclones, it is known that frictional drag cannot be overlooked (Malkus and  
 200 Riehl, 1960; Wood and White, 2011). Thus, tangential winds are smaller than those  
 201 calculated in Eq. (2).

202 As wind acceleration in a hurricane intensifies, centrifugal force gains importance.  
 203 Therefore, it is important to include in the model of the pressure gradient formulation,  
 204 given by Eq. (3):

$$205 \quad \frac{\Delta p}{\Delta R} \cdot \frac{1}{\rho} = f_c \cdot V_{tan} + \frac{V_{tan}^2}{R} \quad (3)$$

206 where,  $\frac{\Delta p}{\Delta R}$  is the radial pressure gradient and  $\rho$  is the air density. The last term ( $\frac{V_{tan}^2}{R}$ )  
 207 represents centrifugal force.

208 Moreover, the gradient wind applies to all radius of the center storms, associated with the  
209 hurricane, and all latitudes. Except in the proximity of the bottom, within the PBL and in  
210 the top clouds (Yoshizumi, 1968; Wang et al., 2017).

211 For a rough approach, it is important to overlook the strength of Coriolis in the vicinity  
212 of the center of the most intense hurricanes, where winds are fast, however, the idea that  
213 the cyclotrophic wind can approach the tangential winds of the hurricane was introduced,

214 Eq.(4):

$$215 \quad V_{ciclo} = V_{tan} \quad (4)$$

216 This implies:

$$217 \quad V_{tan} = \left( \frac{R}{\rho} \cdot \frac{\Delta P}{\Delta R} \right)^{\frac{1}{2}} \quad (5)$$

218 In a hurricane, the shallow drag of the sea causes the winds to spiral toward the eye wall.

219 The gradient wind equation in PBL describes this flow well. If there was no such drag-  
220 related influx, the storms on the eyewall would not get hot and humid air, which would  
221 result in the hurricane dissipates. An important process is related to the upward storm  
222 currents in the eye wall, which move, and the air rises rapidly. In this way, the output is  
223 related to the air that moves cyclically from the PBL and is driven to the top of the  
224 hurricane by air currents in the eye wall quickly that its inertia, preventing it from  
225 changing instantly to an anticyclonic flow, that is, the output flow is initially moving in  
226 the wrong direction and around the top of the system.

227 The center of a hurricane is hotter than the surrounding air, and this occurs due to the  
228 release of latent heat ( $LH$ ) by organized convection and adiabatic heating due to  
229 subsidence in the system's eye. In this way, the air rises adiabatically moist in the storm  
230 cluster, but after losing water due to precipitation, the air descends more adiabatically dry  
231 in the system's eye.

232 It is known that a hurricane has a warm core and is surrounded by cold air. This thermal  
 233 gradient, called the radial temperature gradient, causes a reversal of the pressure gradient  
 234 with the increase of the altitude due to the thermal wind (Hart, 2003).

235 Thus, to determine this pressure gradient, the pressure at sea level in the hurricane's eye  
 236 was defined as  $P_b$ , and in the surroundings, as  $P_{b\infty}$  tends to infinity ( $\infty$ ). At the top of the  
 237 hurricane, it was set the central pressure with  $P_t$ , and around as already mentioned for  $P_b$ ,  
 238 the pressures tend to infinite  $P_{t\infty}$ .

239 Thus, the pressure gradient ( $\Delta P$ ) at the top is:  $\Delta P_t = P_{t\infty} - P_{te}$ , i.e., the difference  
 240 between the pressure at the top tending to infinity, minus the pressure at the top of the  
 241 eye, so the proportionality between the pressure on the surface or base (suffix b):  $\Delta P_b =$   
 242  $P_{b\infty} - P_{be}$ , so:

$$243 \quad \Delta P_t \approx a \cdot \Delta P_b - b \cdot \Delta T \quad (6)$$

244 In which,  $a = 0.15$ , being one-dimensional,  $b = 0.7 \text{ kPa/K}$  ( $7 \text{ hPa/K}$ ), and  $\Delta T = T_e -$   
 245  $T_\infty$  being the average temperature difference along the troposphere and thermal gradient  
 246 ( $\Delta T$ ) is always negative.

247 In this way, the tangential winds were considered, where these winds are spirals cyclically  
 248 around the system's eye, in the vicinity of the surface, but are spiraled anticyclonically  
 249 near the top of the hurricane, that is, away from the system's eye. Then, the tangential  
 250 velocity decreases with altitude and eventually changes the signal. Thus, the Ideal Gases  
 251 Law was used, in order to show how the tangential component of the wind ( $V_{tan}$ ) varies  
 252 with the altitude ( $h$ ), Eq.(7):

$$253 \quad \left( \frac{2 \cdot V_{tan}}{R} + f_c \right) \cdot \frac{\Delta V_{tan}}{\Delta h} = \frac{g}{\bar{T}} \cdot \frac{\Delta T}{\Delta R} \quad (7)$$

254 where  $R$  is the radius,  $g$  the acceleration of gravity ( $9.8 \text{ m/s}^2$ ), and  $\bar{T}$  the average  
 255 temperature.

256 The reverse pressure derivation in relation to Eq. (6) was applied, obtaining the  
 257 hypsometric equation to relate the pressure in the top of the hurricane's eye. Therefore, it  
 258 was also applied to the surroundings of the eye, obtaining Eq.(8):

$$259 \quad \Delta P_t = P_{b\infty} \cdot EXP \left[ \frac{-g \cdot h_{max}}{(R \cdot T_{\infty})} \right] - P_{be} \cdot EXP \left[ \frac{-g \cdot h_{max}}{(R \cdot T_e)} \right] \quad (8)$$

260 in which,  $P_b = (P_{b\infty} - \Delta P_b)$ , where exponential terms are multiplied by  $P_{b\infty}$ . And finally,  
 261 a first-order approach was used to these exponentials, generating Eq. (6). Where,  $a =$   
 262  $EXP \left[ \frac{-g \cdot h_{max}}{(R \cdot T_e)} \right]$ , where this value is approximated to 0.15, and  $b = - \left[ \frac{g \cdot h_{max} \cdot P_{b\infty}}{(R \cdot T_e \cdot T_{\infty})} \right]$ , having  
 263 approximate value of  $0.7 \text{ kPa/K}$  ( $7 \text{ hPa/K}$ ). Gravity acceleration ( $g$ ),  $R$  the universal  
 264 gas constant for dry air ( $R = 287.04 \text{ m}^2\text{s}^{-2} \text{ K}^{-1}$ ).

265 Although systems can be quite complex, the idealization of a model becomes necessary  
 266 for a better understanding of the system. For example, one of the intensity measures of  
 267 tropical cyclones is the pressure difference, according to Eq.(9):

$$268 \quad \Delta P_{max} = P_{\infty} - P_e \quad (9)$$

269 Surface pressure distribution can be approximated by:

$$270 \quad \frac{\Delta P}{\Delta P_{max}} \left\{ \frac{1}{5} \cdot \left( \frac{R}{R_0} \right)^4 \rightarrow \text{for } R \leq R_0 \text{ and } \frac{\Delta P}{\Delta P_{max}} \left\{ 1 - \frac{4}{5} \cdot \frac{R_0}{R} \rightarrow \text{for } R > R_0 \right. \quad (10)$$

271 In which  $\Delta P = P(R) - P_e$ ,  $R$  is the radial distance from the center of the eye,  $R_0$  is the  
 272 critical radius where maximum tangential winds are identified. In this model,  $R_0$  has twice  
 273 the radius of the eye.

274 To form a hurricane, winds must be at least  $33 \text{ m}\cdot\text{s}^{-1}$  in the vicinity of the surface. It is  
 275 important to mention that as the pressure at sea level in the hurricane's eye decreases, the  
 276 maximum tangential winds ( $V_{max}$ ) around the eye increase.

277 The maximum wind radius ( $V_{max}$ ) refers to the distance from the center of the system to  
 278 the location within its structure where  $v_{max}$  occurs. The maximum radius ( $R_{max}$ ) plays  
 279 a significant role in the characteristics of the system. Graham and Nunn (1959) suggested

280 to Eq. (11), where  $R_{max}$  is a function of latitude, a difference of central surface pressure  
 281 and ambient pressure, as well as the speed of translation of the hurricane (Kalourazi et  
 282 al., 2020).

$$283 \quad R_{max} = 28.25 \cdot \text{tang}[0.0873 \cdot (\phi - 28) + 12.22 \cdot \exp\left(\frac{\Delta P_{max}}{33.86}\right) + 0.2 \cdot V_{max} + 37.2$$

284 (11)

285 In which,  $\phi$  is the latitude of the center of the hurricane,  $\Delta P_{max}$  is the maximum pressure  
 286 gradient (Eq. 9), and  $V_{max}$  the maximum wind obtained through  $V_{max} = K(\Delta P_{max})^{\frac{1}{2}}$ ,  
 287 being  $K = 13.4$  is a proportionality constant (Atkinson and Holliday, 1977).

288 As the winds were considered cyclotrophic (drag against the sea surface and the Coriolis  
 289 force were neglected), then the previous approach to pressure distribution (Eq. 11) was  
 290 used to give a tangential velocity distribution near the surface.

$$291 \quad \frac{V_{tan}}{V_{max}} \left\{ \left( \frac{R}{R_0} \right)^2 \rightarrow \text{for } R \leq R_0 \text{ and } \frac{V_{tan}}{V_{max}} \left\{ \left( \frac{R_0}{R} \right)^{\frac{1}{2}} \rightarrow \text{for } R > R_0 \right. \right.$$

292 (12)

293 Where  $R_0$  is the maximum speed occurs in the critical radius.

294 It is important to mention that the total wind speed relative to the surface is the vector  
 295 sum of the translation speed and the speed of rotation.

#### 296 4.2 RADIAL VELOCITY

297 In a hurricane, the air near the surface is "trapped" below the top of the PBL, with the air  
 298 converging horizontally toward the eye wall (Merril, 1984), so horizontal continuity in  
 299 cylindrical coordinates requires:

$$300 \quad V_{rad} \cdot R = \text{Constant} \quad (13)$$

301 Where  $V_{rad}$  is the component of radial velocity, and negative for the influx. Therefore, it  
 302 starts away from the hurricane, as  $R$  slows down toward  $R_0$ , and the magnitude of the  
 303 influx should increase (Weatherford and Gray, 1988).

304 Eq. (14) considers the previous assumptions, and the relationship between the  $V_{rad}$  and  
 305 the speed  $V_{max}$  according to the following equations:

$$306 \quad \frac{V_{rad}}{V_{max}} = \left\{ -\frac{R}{R_0} \cdot \left[ \frac{1}{5} \cdot \left( \frac{R}{R_0} \right)^3 + \frac{1}{2} \cdot \frac{\omega_S}{V_{max}} \cdot \frac{R_0}{h_i} \right] \right\} \rightarrow \text{for } R \leq R_0 \quad (14a)$$

307

$$308 \quad \frac{V_{rad}}{V_{max}} = \left\{ -\frac{R_0}{R} \cdot \left[ \frac{1}{5} + \frac{1}{2} \cdot \frac{\omega_S}{V_{max}} \cdot \frac{R_0}{h_i} \right] \right\} \rightarrow \text{for } R > R_0 \quad (14a)$$

309 Where,  $\omega_S$  is negative and represents the average subsidence velocity in the hurricane's  
 310 eye, i.e., the horizontal area of the eye, gives the total kinematic mass flow. The  $h_i$  is the  
 311 depth of the boundary layer, being constant in 1000 m. While  $V_{max}$  is the maximum  
 312 tangential speed.

313

#### 314 4.3 VERTICAL SPEED

315 When the rays are smaller than  $R_0$ , the air converges quickly and accumulates, then  
 316 ascends out of the PBL as convection inside the eye wall. Thus, the vertical velocity to  
 317 the top of the PBL is represented by the equation of mass continuity, according to Eq.  
 318 (15):

$$319 \quad \frac{\omega}{V_{max}} = \left\{ \frac{h_i}{R_0} \cdot \left( \frac{R}{R_0} \right)^3 + \frac{\omega_S}{V_{max}} \right\} \rightarrow \text{for } R < R_0 \quad (15)$$

$$320 \quad \frac{\omega}{V_{max}} = 0 \text{ for } R > R_0.$$

321 For simplification, the upward movement in the regions where there is precipitation is  
 322 neglected, that is, when  $R > R_0$ . In addition,  $\omega_S$  is negative for subsidence. However,  
 323 subsidence acts only within the eye, but the above ratio applies to all places within the  $R_0$   
 324 to simplify.

325

#### 326 4.4 THERMAL CONDITIONS

327 Assuming that the difference between the eye and the surroundings at the top of the  
328 hurricane is equal to and opposite to the bottom, generating Eq. (16):

$$329 \quad \Delta T(R) = c \cdot [\Delta P_{max} - \Delta P(R)] \quad (16)$$

330 where,  $c = 1.64 \frac{K}{kPa}$ . The pressure difference at the bottom is  $\Delta P = P(R) - P_e$ , where the  
331 temperature difference calculated over the entire depth of the hurricane is (Rotunno and  
332 Emanuel, 1987):  $\Delta T(R) = T_e - T(R)$ , according to Eq. (17):

$$333 \quad \frac{\Delta T}{\Delta T_{max}} = \left\{ 1 - \frac{1}{5} \left( \frac{R}{R_0} \right)^4 \right. \rightarrow \text{for } R \leq R_0 \quad (17a)$$

$$334 \quad \left. \frac{\Delta T}{\Delta T_{max}} = \left\{ \frac{4}{5} \cdot \frac{R_0}{R} \right. \rightarrow \text{for } R > R_0 \quad (17b)$$

335 where,  $\Delta T_{max} = T_e - T_\infty = c \cdot \Delta P_{max}$ , e  $c = 1.64 \frac{K}{kPa}$ .

336 Entropy is another variable related to the activities of a hurricane because these systems  
337 are atmospheric structures that dissipate energy efficiently through irreversible processes.  
338 Bister and Emanuel (1998) mentioned that entropy in a hurricane grows by exchanging  
339 enthalpy of the sea surface in latent vaporization heat (lv) and by turbulent dissipation of  
340 kinetic energy in PBL.

341 The dynamic conditions required for the formation of a hurricane are related to low  
342 vertical wind shear, increased Coriolis force, and a convection trigger, through tropical  
343 atmospheric waves, tropospheric depressions (Tapiador et al., 2007). Therefore, the  
344 hurricane is a very efficient heat machine, which maintains itself, where it dissipates  
345 energy as efficiently as possible, but in order to occur, there has to be excess energy  
346 supply (Bister and Emanuel, 1998). In other words, hurricanes are efficient heat systems,  
347 or almost perfect machines in energy dissipation, removing enthalpy energy in the low  
348 troposphere and dissipating it through radiative restrained at the upper levels of the  
349 troposphere (Emanuel, 2003). Thus, the entropy condition (S) was introduced to the  
350 model to verify this thermal efficiency, according to Eq. (18):

351 
$$S = c_p \cdot \ln\left(\frac{T}{T_0}\right) + \frac{l_v r}{T} - R \cdot \ln\left(\frac{P}{P_0}\right) \quad (18)$$

352 where,  $c_p = 1004 \text{ Jkg}^{-1}\text{K}^{-1}$  is the specific heat of the air at a constant pressure,  $T$  is the  
 353 absolute temperature,  $l_v = 2500 \text{ J/g}_{water\ vapor}$  is the latent heat of vaporization,  $r$  is the  
 354 mixing ratio,  $R = 287 \text{ Jkg}^{-1}\text{K}^{-1}$  is the ideal gas constant,  $P$  is the pressure,  $T_0 = 273.15$   
 355 K, and  $P_0 = 1000 \text{ hPa}$ , are arbitrary reference values.

356

## 357 5. RESULTS AND DISCUSSION

### 358 5.1 PUNCTUAL ANALYSIS

359 Figure 1 shows the hurricane's Ike trajectory in September of 2008 based on the data  
 360 available by the National Hurricane Center (*NHC*) - (*NHC*, 2008) and estimated by the  
 361 model idealized in this work. It is important to mention that a geostrophic adjustment has  
 362 been made in the field of Mean Sea Level Pressure (*MSLP*) to adjust the minimum  
 363 pressure identified for a relationship close to the real, that is, the decay rate of this pressure  
 364 is directly correlated with the relative cyclonic vorticity gain of the system, followed by  
 365 the wind intensity, whether geostrophic or vertical. Furthermore, to make the criterion  
 366 independent of latitude, the pressure decay rate was geostrophically adjusted for a given  
 367 reference latitude, according to Eq. (19):

368 
$$\frac{dP}{dt} = \left(\frac{dP}{dt}\right) \cdot \left(\frac{\sin\varphi_{ref}}{\sin\varphi}\right) \quad (19)$$

369 where  $\frac{dP}{dt}$  represents the decay rate of the system,  $\varphi$  is the latitude of the center of the  
 370 system, and  $\varphi_{ref}$  is the reference latitude, defined as  $25^\circ\text{N}$ .

371 When analyzing Figure 1, it was possible to identify similarity between the observed  
 372 trajectory (red line) and that predicted by the idealized model (black line), except for  
 373 dissimilarity between the trajectories close to the latitude of  $23^\circ\text{N}$  and longitude of  $78^\circ\text{W}$ ,  
 374 where the idealized model tends to anticipate and rewind the trajectory with the trajectory

375 of observation (red line). In the later trajectories, there was quite a similarity based on the  
376 Root-Mean-Square Error (*RMSE*) between positions and trajectories was of the order of  
377  $0.502^\circ$ , which equates to approximately 98 miles or 157.7 km.

378 Regarding dynamic conditions such as azimuthal tangential velocity (Figure 2), again the  
379 idealized model could simulate the relationship between normalized tangential velocity  
380 and the radial distance also normalized for two distinct moments of the hurricane (0600  
381 UTC on September 4th, 2008 – when the system is at its maximum intensity; and when  
382 the system reaches the coast, 1200 UTC on September 12th, 2008).

383 The first peak of the azimuthal tangential velocity occurred between 60 and 110 km from  
384 the center of the system, where the model (dashed orange line) anticipated this maximum  
385 to observation (blue line continues). After the maximum azimuthal tangential wind, there  
386 is a decrease in intensity when it moves away from the eye wall of the hurricane, but the  
387 model has an oscillation when compared to the observation (Wood and White, 2011).

388 Then, at 1200 UTC on September 12th, there was convergence in the maximum azimuthal  
389 tangential velocity of the model (dashed yellow line), and the observation (continuous  
390 lilac line) highlighted that the hurricane was already in the process of dissipating intensity  
391 (NHC, 2008).

392 Then, at 1200 UTC on September 12th, there was convergence in the maximum azimuthal  
393 tangential velocity of the model (dashed yellow line), and the observation (continuous  
394 lilac line) highlighted that the hurricane was already in the process of dissipating intensity  
395 (NHC, 2008). Therefore, the azimuthal tangential wind predicted by the idealized model  
396 appears as an important factor, which characterized similar oscillation as identified by the  
397 observation, that is, oscillation when moving away from the eye wall to the idealized  
398 model and linear decrease observed when it moves away from its maximum. The *RMSE*  
399 between the observation and the model idealized for 0600 UTC on September 4th, 2008

400 was 0.98 m/s and 0.99 m/s for 1200 UTC on September 12th, 2008. Thus, as we move  
401 away from the hurricane center, the vortex at the edge of the eye loses its effect, while  
402 speeds begin to follow a standard logarithmic profile, identified in Figure 2 at both times.  
403 In a hurricane, the air has mass and acquires tangential velocity when it enters the  
404 circulation at a certain distance from the system's center, where mass has angular  
405 momentum.  
406 The angular moment of this air mass in the cyclonic circulation is preserved because only  
407 the external torque changes the angular momentum, and much of this torque is  
408 represented by the torques of friction with the sea surface itself (Vigh and Schubert, 2009;  
409 Knutson et al., 2019). As the air mass approaches the cyclonic center over time, the  
410 ascending air column acts as a "vacuum cleaner" resulting from the pressure gradient  
411 force and thus gains tangential velocity so that the angular momentum is conserved  
412 (Holland and Merrill, 1984; Knutson et al., 2019).

413

## 414 5.2 SPATIAL ANALYSIS

### 415 5.2.1 TANGENTIAL SPEED

416 The wind speed in a hurricane suffers asymmetry due to the system's advancing speed. A  
417 simple overlap of hurricane advancing speed and maximum wind drives the higher speeds  
418 of the hurricane's right side in the HN (Holland and Merrill, 1984). In Figure 3, between  
419 September 8th and 13th, the tangential winds were quite intense to the right of the  
420 hurricane's advance, to which this effect can be included in an asymmetric model. Another  
421 significant factor was the asymmetry in the drag force at the lower limit, due to the  
422 asymmetry of the wind speed due to the speed of advance of the hurricane, which  
423 introduces asymmetric convection and an asymmetric wind distribution in the PBL  
424 (Holland and Merrill, 1984).

425

### 426 5.2.2 MAXIMUM SPEED

427 In the idealized model, the height of the PBL delimited was 100 m. This procedure, along  
428 with other dynamic forces, resulted in higher wind speed, as mentioned earlier on the  
429 hurricane's right side in the HN. Shapiro (1983) identified this configuration by numerical  
430 means, followed by Kepert (2001) by analytical method. Kepert (2001) used a solution  
431 where PBL was disturbed in the conservation equation of momentum and, thus,  
432 considered the drag forces and the vertical turbulent diffusion of the momentum. Another  
433 factor identified in the study was linked to the linear effect of the hurricane's advancing  
434 velocity. The idealized model included using an exponential radial reduction coefficient,  
435 as previously suggested by Schwerdt et al. (1979).

436 This condition previously mentioned was explained in Figure 4, where the maximum  
437 velocity was identified to the right of the hurricane's trajectory. This maximum wind  
438 ( $V_{max}$ ) is the vector sum of the translation speed and rotation speed. Therefore, in this  
439 quadrant, the right of the translation allocation movement, it was identified that the  $V_{max}$   
440 was present, and hurricanes are faster in this quadrant.

441 In the left part of the hurricane, the translation allocated velocity is subtracted from  
442 tangential velocity so that the total or maximum velocity in this quadrant (left) is not as  
443 intense as in the right quadrant. On September 12th, 0600 and 1200 UTC (Figure 4g, h)  
444 identified this condition, with greater intensity in the right quadrant of Hurricane Ike  
445 (Figure 4).

446

### 447 5.2.3 RADIAL SPEED

448 When idealizing a hurricane, it is known that PBL air is confined below the top of this  
449 layer, as the air converges horizontally towards the eye wall (Ghosh and Chakravarty,

450 2018). As the wind speed increases in the direction of the eye wall, the height of the sea  
451 surface tends to "couple" radial and tangential velocities. Figure 5 shows a higher radial  
452 velocity located in the right quadrant of the system's direction of displacement. This  
453 configuration can occur due to a drag-induced influx between air and the sea surface. This  
454 influx eventually converges the ascent through the PBL and radial pumping process. As  
455 a result, vertical velocity and relative vorticity move radially out, reinforcing that this  
456 configuration was identified in Figure 5, following the asymmetric conservation of  
457 surface drag, according to Shapiro (1983).

458

#### 459 5.2.4 EKMAN TRANSPORT

460 Ekman's spiral describes how the current direction and velocity vary with depth. The  
461 Ekman's liquid transport accumulated at all depths is perpendicular to the surface wind  
462 direction. As with the radial velocity in NH, the liquid transport of seawater is to the right  
463 of the maximum wind (Vincent et al., 2013). Then, as the hurricane approaches the  
464 continent, winds along its front and right edge become almost parallel to the coast (Figure  
465 6), and consequently, there is a liquid Ekman's transport directly to the coast.

466 If the hurricane "hovered" along the coast long enough to allow the development of a  
467 steady-state condition, thus, Ekman's transport toward the coast would be balanced by the  
468 slope of the swell (Vincent et al., 2013). This process shown by the idealized model  
469 (Figure 6) corroborates with the results obtained by Jullien et al. (2012). In addition, the  
470 authors suggest that the winds of a hurricane intensify the wind strain wave in the center  
471 of a hurricane's basin and thus contribute to a background Ekman pumping (Bueti et al.,  
472 2014).

473 Furthermore, it is worth noting that the influence of Ekman's transport was not deepened  
474 in the study. However, it was necessary, especially in oceanic conditions, due to the

475 displacement of the thermocline after the passage of a storm resembles Ekman's solution,  
476 as suggested by Gill (1982) in an inertial oscillation.

477 As a hurricane has a high range, the most relevant Ekman transport is in the quadrant to  
478 the right of the hurricane shift. In this way, the amount of water accumulates higher  
479 between the hurricane and the continental coast and south of the system (Figure 6), this  
480 creates waves, and these waves always travel with the coast to their right (Figure 6g, h),  
481 so that there is a higher amplitude.

482

### 483 5.2.5 ENTROPY

484 The maximum increase in moist entropy and the tropopause temperature determines the  
485 wind speed on the eye wall. The energy production of  $LE$  and sensitive ( $H$ ) flows because  
486 more heat generated by viscous dissipation applies and balances friction dissipation  
487 (Bister and Emanuel, 1998).

488 High SST values increase the balance of moist air entropy ( $S^*$ ) and energy production  
489 under the eye wall (Emanuel, 1995). However, the imbalance of wet entropy on the eye  
490 wall was also influenced by the air thermodynamic characteristics when it approaches the  
491 eye wall. Therefore, the flow of air entering the eye wall region with higher wet entropy  
492 will have a minor imbalance in the ocean-atmosphere system and less energy production  
493 under the eye wall.

494 Figure 7 displays entropy for the period September 8-13th, 2008. There is a "pocket" of  
495 entropy around the hurricane's eye (with variations between 400 to 402  $J.kg^{-1}.K^{-1}$ ). This  
496 process showed the thermal efficiency of the hurricane because it converts thermal energy  
497 into mechanical energy (Emanuel, 1995).

498 Thus, by assuming the eye wall is in the Maximum Wind Radius (MWR). Outside the  
499 MWR, the air temperature is radially constant, as the flow of  $H$  to air over the ocean,

500 which in turn balances gradual adiabatic cooling as a function of decreased pressure.  
501 Therefore, it is worth noting that Relative Humidity (*RH*) remained with its  
502 environmental values outside the MWR once that it was identified that the air moisture  
503 flows over the ocean were balanced by the turbulent flows at the top of PBL.

504

## 505 **6. CONCLUSIONS**

506 Hurricane Ike's passage resulted in 80 fatalities and a loss of more than US\$ 4 billion  
507 (NHC, 2008) across the Caribbean and the southeastern United States. Therefore, like  
508 every hurricane, predictability is paramount for preventing loss of life and property  
509 damage. To this end, the idealized model based on parametric formulations fed from  
510 initial conditions (ERA5 reanalysis) showed similarity in the trajectory between the  
511 geographic coordinates of the minimum pressure during the life of the hurricane with the  
512 lowest error (RMSE =  $0.502^\circ$  - 157 km).

513 The relationship between observation and prediction by the azimuthal tangential velocity  
514 model is satisfactory mainly in the eye wall, with lower errors obtained. However, it is  
515 noteworthy that the idealized model identified a decrease in wind intensity after reaching  
516 the maximum azimuthal tangential wind.

517 Spatially, the highest tangential velocities occur on the hurricane's right side, directly  
518 related to the drag force's asymmetry due to the hurricane's advance, which the idealized  
519 model well represented.

520 The maximum winds occurred to the right of the hurricane's trajectory due the cyclonic  
521 movement of the hurricane and a radial exponent reduction, as shown by the idealized  
522 model. In addition, this simple model presented satisfactory results for a Category 4  
523 Hurricane, which can be very useful in weather forecasting centers around the world in  
524 identifying and assessing hurricanes.

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743 **FIGURE CAPTIONS**

744 **Figure 1** – Observed trajectory (red line) and predicted by the idealized model (black  
745 line) of Hurricane Ike, between 3 and 12 September 2008.

746 **Figure 2** – Normalized radial wind velocity (m.s<sup>-1</sup>) and normalized radial distance (km)  
747 for two different days. 0600 UTC – September 4th (observed – Blue line; Model – Orange  
748 dashed line) and 1200 UTC – September 12th (observed – Lilac line; Model – Yellow  
749 dashed line).

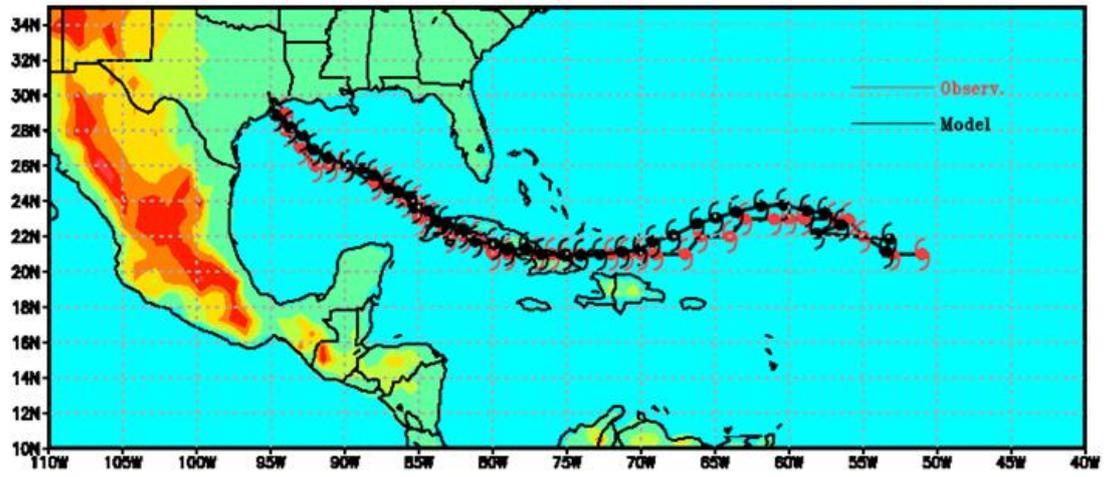
750 **Figure 3** – Tangential Wind (m/s) around hurricane Ike, 0000 UTC 08 September 2008  
751 to 0000 UTC 13 September 2008. The *m* and *n* are zoom for around hurricane in 0600  
752 and 1200 UTC 12 September 2008.

753 **Figure 4** – Maximum Wind (m/s) around hurricane Ike, 0000 UTC 08 September 2008  
754 to 0000 UTC 13 September 2008. The *m* and *n* are zoom for around hurricane in 0600  
755 and 1200 UTC 12 September 2008.

756 **Figure 5** – Radial wind (m.s<sup>-1</sup>) around hurricane Ike, 0000 UTC 08 September 2008 to  
757 0000 UTC 13 September 2008. The *m* and *n* are zoom for around hurricane in 0600 and  
758 1200 UTC 12 September 2008.

759 **Figure 6** – Ekman Transport (m<sup>2</sup>/s) around hurricane Ike, 0000 UTC 08 September 2008  
760 to 0000 UTC 13 September 2008. The *m* and *n* are zoom for around hurricane in 0600  
761 and 1200 UTC 12 September 2008.

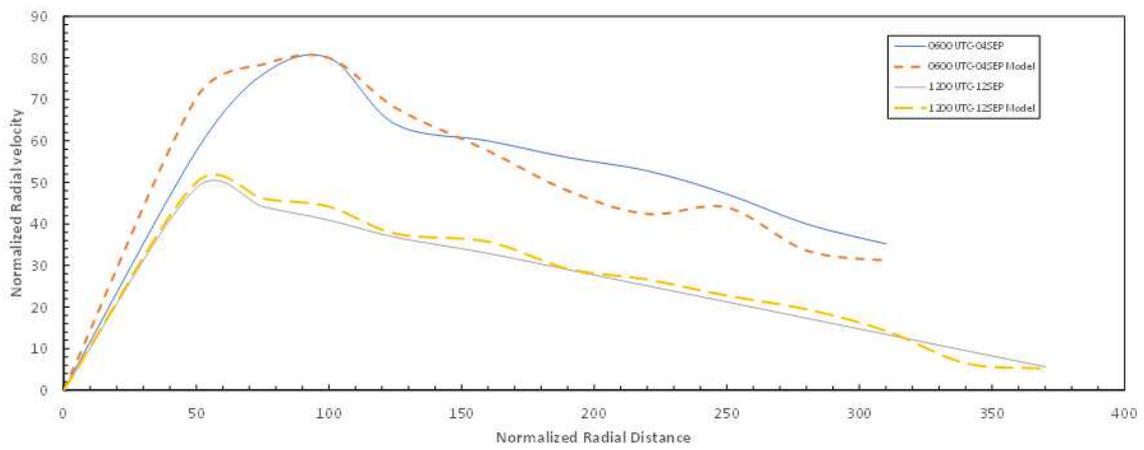
762 **Figure 7** – Entropy (J kg<sup>-1</sup> K<sup>-1</sup>) around hurricane Ike, 0000 UTC 08 September 2008 to  
763 0000 UTC 13 September 2008. The *m* and *n* are zoom for around hurricane in 0600 and  
764 1200 UTC 12 September 2008.



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766 Figure 1

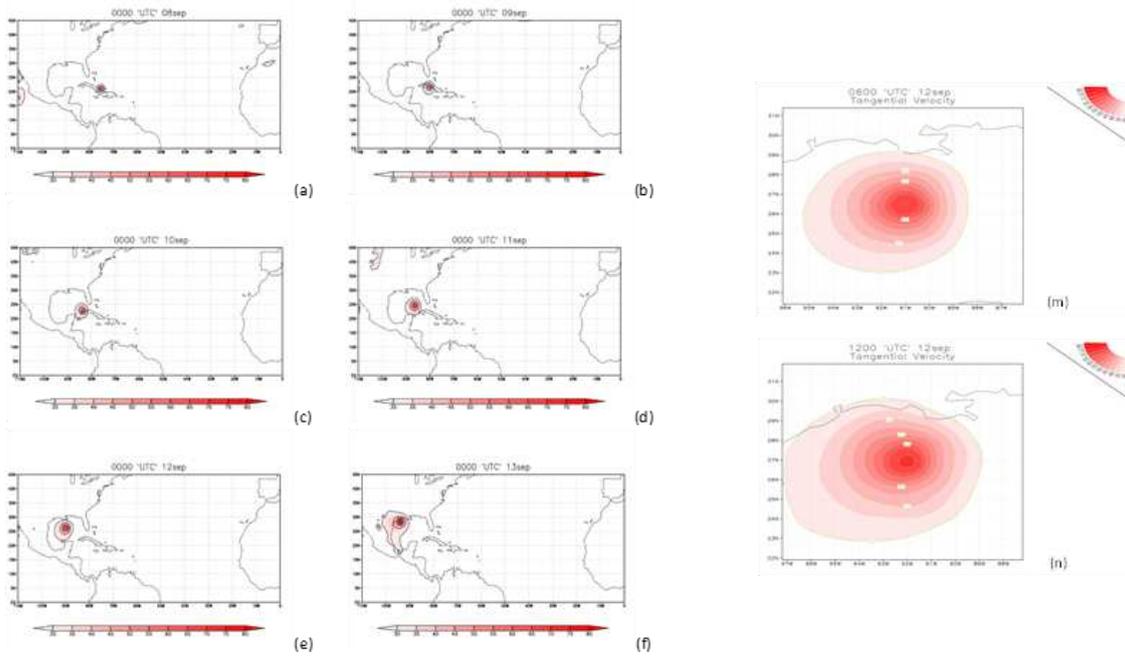
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769 Figure 2

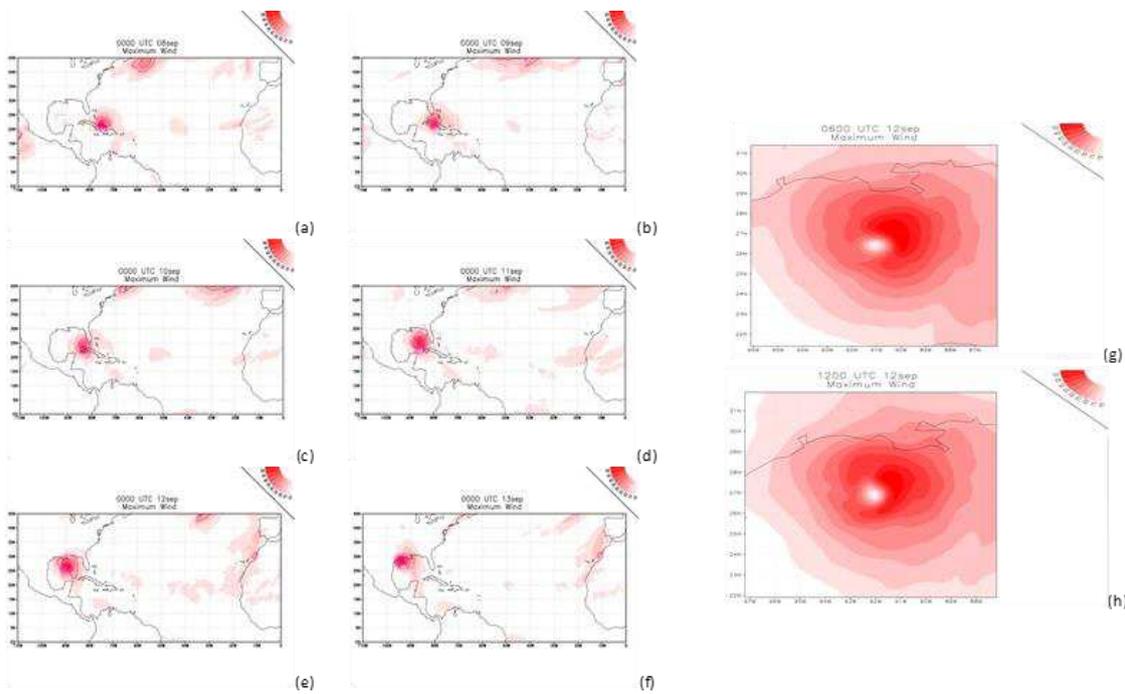
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772 Figure 3

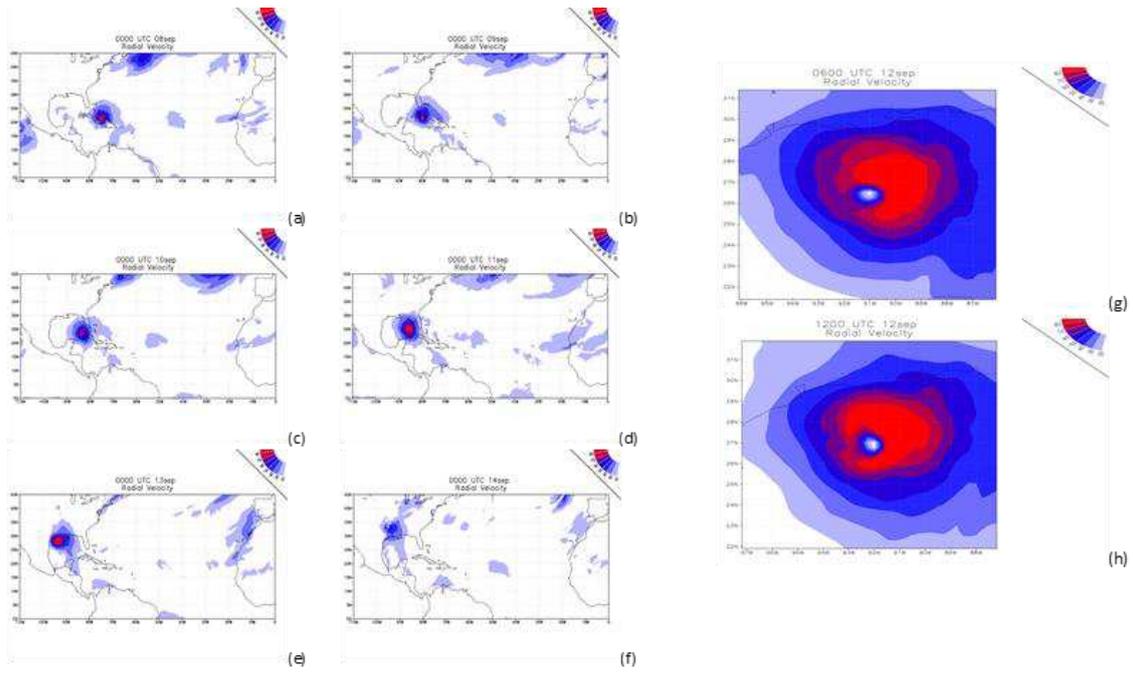
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775 Figure 4

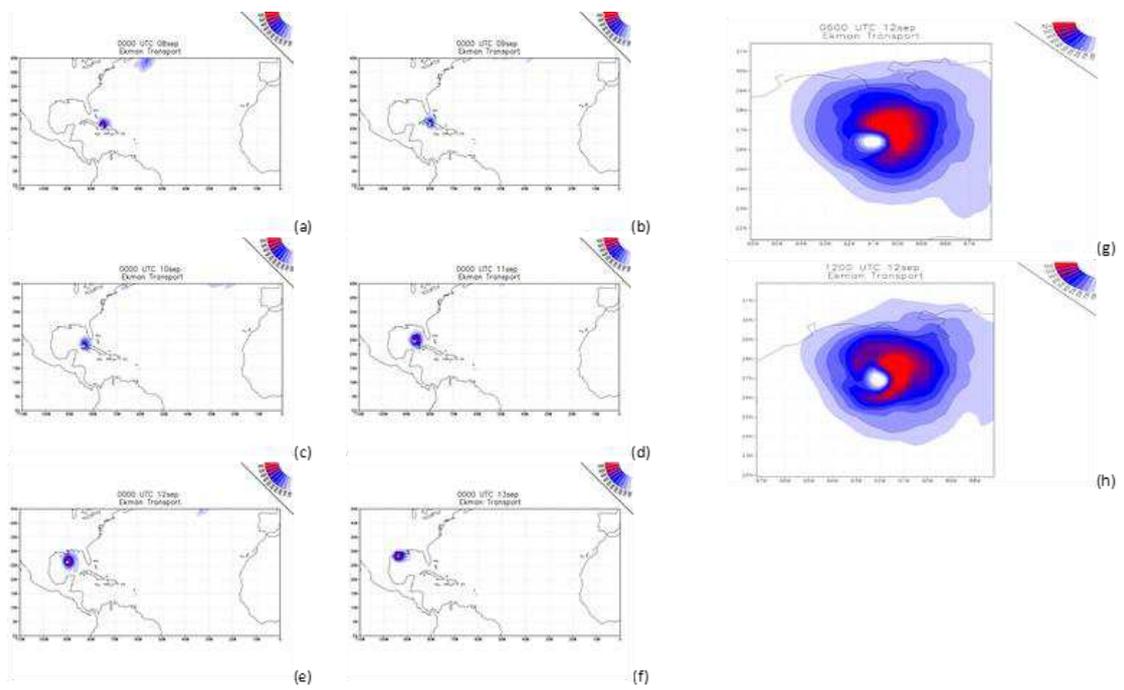
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778 Figure 5

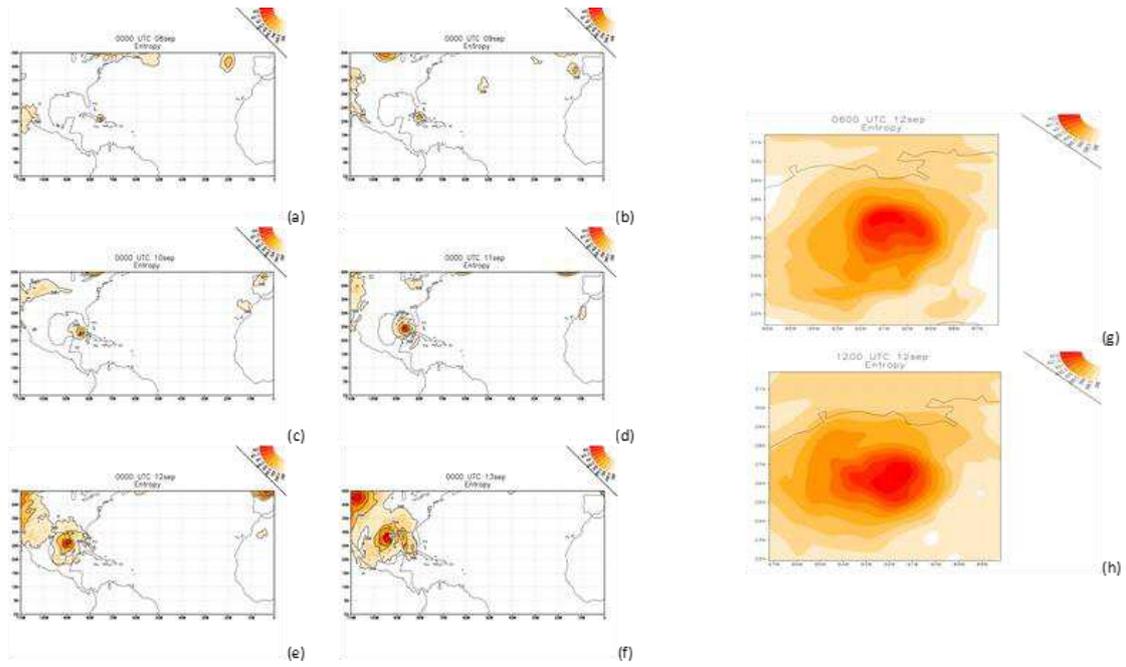
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781 Figure 6

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784 Figure 7

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