

Hematologic Neoplasms As Risk Factor For Severe COVID-19: A Systematic Review Protocol

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Protocol

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2 **protocol**

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26
27 **Abstract**

28 **Background:** Patients with hematologic neoplasm may have compromised immunity due to
29 their malignancy and/or treatment, and may be at elevated risk of severe COVID-19. However,
30 the studies bring together patients with hematologic neoplasms and solid tumors into a single
31 group, making no distinction about the types of hematological tumors and their treatments.
32 This systematic review is designed to explore the risk of severe COVID-19 in patients with

33 hematologic neoplasm. Studies about patients, adult or children, with hematologic neoplasm
34 and COVID-19 will be included.

35 **Methods:** A systematic review according to Joanna Briggs Institute methodology for
36 systematic reviews of etiology and risk will be performed. The review will consider as
37 participants adults or children with COVID-19 infection detected by RT-PCR or serology
38 (SARS-CoV-2 antibody). We will be included studies without routine labs confirmation of
39 COVID-19 if the patients presented clinical/physical exam and computed tomography
40 suggesting COVID-19. The exposure of interest will be hematologic neoplasm, which include
41 lymphomas, acute and chronic leukemias, myeloma, myelodysplastic syndrome, and
42 myeloproliferative diseases. We will consider cohort, case-control, analytical cross-sectional
43 studies. Outcomes among patients with COVID-19 are critical symptoms, hospitalizations,
44 intensive care unit admissions, mechanical ventilation and deaths. We will exclude studies with
45 other neoplasms than hematologic neoplasms. Search strategies have been created for the
46 Embase, Medline and LILACS. Two reviewers independently will assess the studies for their
47 eligibility, will extract data and will evaluate their risk of bias. Similar outcomes measured in
48 at least two studies will be plotted in the meta-analysis using the Joanna Briggs Institute System
49 for the Unified Management, Assessment and Review of Information.

50 **Discussion:** This systematic review aims to evaluate if patients with hematologic neoplasm
51 may be at elevated risk of severe COVID-19. This review will differ from the previous ones
52 because we will include controlled studies and groups with only hematologic neoplasm,
53 excluding other cancers. The main hypothesis of our research is that not all hematological
54 cancer patients have high risk of severe COVID-19.

55 **Trial registration number:** PROSPERO CRD42020199318.

56 **Keywords:** Hematologic Neoplasm; Blood Disease; Coronavirus infection; COVID-19

57 **Background**

58 Hematologic neoplasms are a heterogeneous group of malignancies diseases derived
59 from hematopoietic and lymphoid tissue. They present clinically as lymphoid or myeloid
60 leukemia (acute or chronic), lymphoma (Hodgkin or non-Hodgkin), multiple myeloma,
61 myelodysplastic syndrome and myeloproliferative diseases [1].

62 The natural course of hematologic neoplasms includes an immunosuppression status
63 caused by both disease and treatment [2]. Multiple factors may contribute to decreased
64 resistance such as neutropenia, neutrophil function defects, humoral immunity deficiency and
65 impaired cellular immunity or a combination of these disturbances [2,3].

66 The treatment's options for hematologic malignancies may be wait-and-watch
67 approaches, antineoplastic agents as chemotherapy myeloablative or non-myeloablative, target
68 therapies and immunotherapy, radiotherapy and stem cell transplantation, as well as supportive
69 care to prevent, control or treatment complications and side effects [4]. The
70 immunosuppressive state makes cancer patients more vulnerable to infections, increasing the
71 risk of coronavirus infection in these patients.

72 A retrospective propensity score matching study involving patients with COVID-19
73 compared the outcomes of 109 cancer patients with 327 non-cancer controls patients. The
74 clinical outcomes of patients with hematological malignancies were worse than patients with
75 solid tumors [5]. A systematic review of 31 studies and meta-analysis of 181,323 patients
76 from 26 studies involving 23,736 cancer patients showed that cancer patients with COVID-
77 19 have a higher likelihood of death. The mortality was highest in hematological malignancies
78 followed by lung cancer [6]. However, the authors did not compare the mortality rate between
79 cancer and individuals without cancer.

80 The objective of this review owns to evaluate if patients with hematologic neoplasm
81 may be at elevated risk of severe COVID-19. Our review will differ from the previous ones
82 because we will include controlled studies and groups with only hematologic neoplasm,
83 excluding other cancers. The main hypothesis of our research is that not all hematological
84 cancer patients have high risk of severe COVID-19.

85 The question of our protocol is if hematologic neoplasms are risk factor for severe
86 COVID-19. Thus, for controlled studies included the comparator will be individuals with
87 COVID-19 and without hematologic neoplasm.

88 A preliminary search of PROSPERO, MEDLINE, the Cochrane Database of
89 Systematic Reviews and the *Joanna Briggs Institute Database of Systematic Reviews and*
90 *Implementation Reports* was conducted, and we have found on the same topic two published
91 systematic reviews and a protocol of a systematic review. The protocol and one of the published

92 reviews have evaluated in patients with COVID-19 and cancer the risk of death, as well as the
93 risk of intensive unit care admission and ventilation [7]. The second published systematic
94 review compared outcomes of patients with hematologic malignancies and COVID-19 with
95 patients infected with COVID-19 and without hematologic neoplasm. However, this review
96 was published in 2020 and the authors used only PMC as source data [8]. Additionally, a
97 nation-wide observational study in COVID-19 comparing patients with hematologic
98 malignancies and patients without hematologic malignancies was published in 2021 [9].

99 Our study will address the first and second phases of the pandemic, unlike previous
100 studies that based their results only on the first phase of the pandemic. The second phase of
101 the pandemic was characterized by greater experience of professionals and health systems in
102 the management and absorption of COVID-19 cases, which may have an impact on statistical
103 analysis [7]. Another differential of our study is that we will expand the search in specific
104 databases from countries that were the epicenter of the pandemic, such as China, Brazil,
105 Europe and Oceania.

106 **Methods**

107 The proposed systematic review will be conducted in accordance with the Joanna
108 Briggs Institute methodology for systematic reviews of etiology and risk (Chapter7: Systematic
109 reviews of etiology and risk) [10]. The registration number of this study protocol in
110 PROSPERO is CRD 42020199318. This protocol has being reported according to the Preferred
111 Reporting Items for Systematic Reviews and Meta-Analysis Protocols (PRISMA-P) statement
112 (see Additional File 1). Any amendments to the protocol will be described in the final review
113 article.

114 ***Review question***

115 The question of this review is ‘Are hematologic neoplasms a risk factor for severe
116 COVID-19 infection?’

117 **Eligibility Criteria**

118 ***Participants***

119 The review will consider as participants adults (≥ 18 years-old) or children (< 18 years-
120 old) with COVID-19 infection detected by RT-PCR or serology (SARS-CoV-2 antibody). We

121 will be included studies without routine labs confirmation of COVID-19 if the patients
122 presented clinical/physical exam and computed tomography suggesting COVID-19.

123 RT-PCR tests can be performed with nasopharyngeal, oropharyngeal, saliva, sputum,
124 stool, blood and/or urine specimens. Clinical and physical exam can include cough, dyspnoea,
125 sore throat or fatigue with acute smell and/or taste disorders. The changes considered in routine
126 labs tests are lymphopenia and elevated LDH. Prothrombin Time (PT), ferritin, D-dimer or IL-
127 6 also will be included, and they are associated with severe COVID-19. Chest X-ray typical
128 COVID-19 findings include hazy opacities that can be bilateral and peripheral. The ground
129 glass opacity, often bilateral and peripheral is the predominant finding of COVID-19 observed
130 on Computed Tomography of the chest. The serology assay is a SARS-CoV-2 antibody test
131 that detects circulating IgM, IgG or both on plasma or serum samples. Antigen tests detect
132 SARS-Co-V or SAVS-CoV-2 nucleocapsid protein antigens in nasopharyngeal or nasal
133 specimens [11].

134 We will exclude studies in which there was no laboratory confirmation of COVID-19,
135 as well as studies with other neoplasms than hematologic neoplasm.

136 ***Exposure of Interest***

137

138 The exposure of interest will be all specific hematologic neoplasm. We will consider
139 hematologic neoplasm and all the subtypes of non-Hodgkin lymphomas, Hodgkin Disease,
140 acute myeloid leukemia, acute lymphoid leukemia, chronic lymphoid leukemia, multiple
141 myeloma, myelodysplastic syndrome, and myeloproliferative diseases diagnosis according to
142 WHO criteria [12]. The exposure group will be evaluated regarding the stage of the disease
143 based on its severity and duration and the type of treatment (wait-and-watch approaches,
144 myeloablative or non-myeloablative chemotherapy, target therapies and immunotherapy,
145 radiotherapy and stem cell transplantation, as well as supportive care to prevent, control or treat
146 complications and side effects).

147

148 ***Outcomes***

149 This review will consider studies that include the following outcomes:

- 150 COVID-19 infection outcomes:
151 a) Death
152 b) Invasive mechanical ventilation
153 c) Intensive care unit admission
154 d) Hospitalization
155 e) Severe and critical symptoms

156 *Types of studies*

157 This review will consider observational studies including prospective and retrospective
158 cohort studies, case-control studies, analytical cross-sectional studies.

159 *Search strategy*

160 The search strategy will aim to locate both published and unpublished studies. The
161 search strategy, including all identified keywords and index terms, it will be adapted for each
162 included information source. The reference list of all studies selected for critical appraisal will
163 be screened for additional studies. There will be no language restriction. We will include
164 published and non-published studies from January/2020 to December/2021.

165 *Information sources*

166 Four general research strategies have been applied to the main electronic health
167 databases: EMBASE (Elsevier, 1980-2021), Medline (PMC, 1966-2021), LILACS (by Virtual
168 Health Library, 1982-2020) and The Cochrane Central Register of Controlled Trials
169 (CENTRAL - Cochrane). The search strategies have contained descriptors and synonyms of
170 hematologic neoplasm and COVID-19. There will be no language restriction. We will include
171 published and non-published studies from January/2020 to December/2021. An initial limited
172 search of PMC, EMBASE and Virtual Health Library (BVS) was undertaken to identify articles
173 on the topic (see Additional File 2).

174 The following databases will also be searched for eligible studies: Trip Medical
175 Database, SCOPUS, Web of Science, Cumulative Index to Nursing and Allied Health

176 Literature (CINAHL), Australasian Medical Index, Chinese Biomedical Literature Database,
177 British Library EThOS, RECAAP (Access Scientific Repository of Portugal), DART-Europe
178 E-theses Portal, TROVE (National Library of Australia and local partner organizations). The
179 grey literature will be searched through unpublished studies on <<https://clinicaltrials.gov>>
180 website, the Brazilian Registry of Clinical Trials (ReBEC), PQCDT Dissertations & Theses
181 (ProQuest Dissertation & Theses Global), WorldCat (World Catalog by Online Computer
182 Library Center), the Digital Library of Theses and Dissertations of the University of São Paulo,
183 Catalog of Theses & Dissertations - CAPES, INCA (National Cancer Institute, Brazilian
184 Ministry of Health) and abstracts in annals and lectures. Significant primary or secondary
185 studies will be tracked to identify other possible eligible studies.

186 **Data Collection and analysis**

187 *Study selection*

188 All citations identified in research will be pooled and loaded into bibliographic software
189 EndNote X8 version/2018. Duplicates references will be excluded. Three independent
190 reviewers (POMH, NKH and ALM) will select the titles and abstracts for evaluation according
191 to the inclusion criteria established for this review. The next step is the full text screening. Their
192 citation details will be imported into the Joanna Briggs Institute System for the Unified
193 Management, Assessment and Review of Information (JBI SUMARI) (Joanna Briggs Institute,
194 Adelaide, Australia) [13]. The selected references will be retrieved in full, and their citation
195 details imported into the Joanna Briggs Institute for Unified Management, Evaluation and
196 Information Review System (JBI SUMARI) (Joanna Briggs Institute, Adelaide, Australia) [9].
197 The full text of the selected references will be evaluated according to the inclusion criteria.
198 Texts that do not meet the inclusion criteria will be excluded from the review. The reasons for
199 exclusion will be recorded and reported in the systematic review. In case of divergences
200 between the reviewers during the stages of the study selection process, a fourth reviewer
201 (VdSN-N) will be consulted. The results of the systematic review will be reported in full and
202 presented in a flow chart of Preferred Reporting Items for Systematic Reviews and Meta-
203 analyses (PRISMA) [14].

204 *Assessment of methodological quality*

205 Three independent reviewers (POMH, NKH and ALM) will critically assess the
206 selected studies, as well as their methodological quality from the Joanna Briggs Institute's

207 standardized critical assessment instruments for cohort, case-control and case series studies.
208 Authors of papers will be contacted to request missing or additional data for clarification, where
209 required. Any disagreements that arise will be resolved through discussion, or with a fourth
210 reviewer. The results of critical appraisal will be reported in narrative form and in a table.

211 All studies, regardless of the results of their methodological quality, will undergo data
212 extraction and synthesis (where possible). If possible, the results of critical appraisal will be
213 incorporated into sensibility analysis on meta-analysis approach: type and duration of
214 hematologic neoplasm and its treatments, staging of hematologic neoplasm, study design,
215 number of patients and outcome results.

216 Any disagreements that arise between the reviewers will be resolved through
217 discussion, or with a fourth reviewer. Authors of papers will be contacted to request missing
218 or additional data where required.

219 *Data synthesis*

220 The results will be used in the meta-analysis when they are similar in at least two
221 studies. The System for Unified Management, Evaluation and Review of Information
222 (SUMARI), the main software of the Joanna Briggs Institute will be used for this meta-analysis.
223 For dichotomous data, the relative risk will be calculated with 95% confidence intervals (CIs)
224 as the estimated effect of the exposure. Continuous data will be expressed as mean and standard
225 deviation and the differences between means with 95% CIs will be used as an estimate of the
226 intervention effect. We use mean adjusted difference for the studies that provide this data. A
227 random-effects model will be used for the meta-analysis. If quantitative synthesis is not
228 appropriate, a narrative synthesis will be provided. In case of including only case series studies,
229 we will perform a proportional meta-analysis.

230 Inconsistencies between the results of the included studies will be ascertained by visual
231 inspection of forest plots (no overlap of CIs around the effect estimates of the individual
232 studies) and by Higgins or I² statistic, in which I² >50% indicates a moderate probability of
233 heterogeneity, and by chi² test, where p<0.10 indicates heterogeneity.¹⁵ The potential causes
234 of heterogeneity between studies will be evaluated by subgroup analysis according to treatment
235 type, hematologic malignancy subtype, neoplasm staging, race, geographic location,
236 hospitalized and outcome patients. If the inconsistency was not explained by subgroup analysis,

237 and more than 10 trials are included in the meta-analysis, a meta-regression using the metareg
238 command available for the Stata statistical package will be performed (Stata Statistical
239 Software 16 (*Stata Statistical Software: Release 16*. College Station, TX, StataCorp LLC,
240 USA). For meta-regression we will use as categorical covariates (the risk of bias), gender,
241 treatment type (wait-and-watch approaches, myeloablative chemotherapy or non-
242 myeloablative, target therapies, immunotherapy, radiotherapy and stem cell transplantation, as
243 well as supportive care), hematologic malignancy's subtype (non-Hodgkin lymphomas,
244 Hodgkin Disease, acute myeloid leukemia, acute lymphoid leukemia, chronic lymphoid
245 leukemia, multiple myeloma, myelodysplastic syndrome, myeloproliferative diseases and
246 chronic myeloid leukemia), neoplasm staging, race, geographic location. We will use as non-
247 categorical variables: sample size, mean age of the participants.

248 *Assessing certainty in the findings*

249

250 For summary results from controlled studies the certainty of evidence will be followed
251 the serie Grading of Recommendations, Assessment, Development and Evaluation (GRADE)
252 for observational studies[15].

253

254 *Data extraction*

255 Data will be extracted from papers included in the review using the standardized data
256 extraction tools in JBI SUMARI by two independent reviewers (POMH, NKH and ALM). The
257 data extracted will include criteria relating to COVID-19 infection, underlying hematologic
258 neoplasms diagnosis,

259

260 **Discussion**

261 Most of the current studies that discuss COVID and cancer include hematologic
262 neoplasms as cancer, without distinguishing between their different categories of diagnosis,
263 evolution and treatment. Hematologic neoplasms are a heterogeneous group of diseases
264 consisting of acute or chronic leukemias, of the myeloid or lymphoid series; different degrees
265 of differentiation from non-Hodgkin's lymphomas or Hodgkin's disease; multiple myeloma,
266 myelodysplastic syndrome and myeloproliferative diseases such as polycythemia vera, primary
267 myelofibrosis, essential thrombocythemia. All these categories of hematologic neoplasms have
268 their peculiarities of treatment and prognosis that can not be generalized into a single category:
269 cancer. We believe that not all hematologic neoplasms, as well as all types of treatment for

270 these neoplasms, constitute a high risk for COVID-19. Therefore, our aim is to assess through
271 a systematic review and meta-analysis whether all types of hematologic malignancies are a risk
272 factor for severe COVID-19 infection.

273 This protocol will not limit the search to PMC and Embase as the two studies that
274 preceded us. We will expand our search to Australian, Chinese, Portuguese, European and
275 Brazilian scientific databases as described in the Information Sources section. We believe that
276 research in these databases can contribute in an unprecedented way to the data in the literature,
277 as many of these countries were the epicenter of the COVID-19 pandemic. Another difference
278 between our protocol and previous studies is that we will be covering a longer period of time,
279 including the second phase of the pandemic. Vijenthira *et al* reported that the risk of dying
280 from COVID-19 may have been overestimated among patients with hematologic malignancy,
281 as many of the included studies showed results from the early stages of the pandemic. It is
282 possible that mortality rates will improve due to the increase in experience and therapeutic
283 options and the improvement in the capacity of health systems to manage the flow of patients.

284 The accelerated pace in the generation of clinical data and publications on cancer
285 patients and COVID-19 is remarkable. This represents a major challenge for health
286 professionals and researchers in understanding the latest findings. Therefore, meta-analysis
287 studies become essential to assess outcomes in larger cohorts of patients and trends in specific
288 risk groups.

289

290 **List of abbreviation**

291 BVS: Virtual Health Library, Ministry of Health, Brazil.

292 CAPES: Coordination for Improvement of Higher Education, Brazil.

293 CI: Confidence Interval.

294 CINAHL: Cumulative Index to Nursing and Allied Health Literature.

295 COVID-19: Coronavirus disease of 2019.

296 CRD: Centre for Reviews and Dissemination.

297 DART-Europe: Digital Access to Research Theses -Europe E-theses Portal.

298 EMBASE: Excerpta Medica dataBASE.

299 EThOS: E-Theses Online Service provided by the British Library.

300 IgG: Immunoglobulin G.

301 IgM: Immunoglobulin M.

302 INCA: Brazilian National Cancer Institute.

303 JBI: Joanna Briggs Institute, Adelaide, Australia.

304 LDH: Lactate Dehydrogenase.
305 LILACS: Scientific health information from Latin America and the Caribbean countries.
306 IL-6: Inteleukin-6.
307 MEDLINE: Medical Literature Analysis and Retrieval System Online.
308 PMC: PubMed Central.
309 PQDT Dissertations &Theses: ProQuest Dissertation & Theses Global.
310 PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-analyses.
311 PRISMA-P: Preferred Reporting Items for Systematic Reviews and Meta-Analysis Protocols.
312 PROSPERO: International Prospective Register of Systematic Reviews.
313 PT: Prothrombin Time.
314 ReBEC: Brazilian Registry of Clinical Trials.
315 RECAAP: Access Scientific Repository of Portugal.
316 RT-PCR test: Reverse Transcription Polymerase Chain Reaction.
317 SARS-CoV-2: Severe Acute Respiratory Syndrome Coronavirus 2.
318 SCOPUS: Elsevier’s abstract and citation database.
319 SUMARI: The System for Unified Management, Evaluation and Review of Information.
320 TROVE: Australian online library database.
321 WHO: World Health Organization.
322 WorldCat: World Catalog by Online Computer Library Center.

323

324 **Declarations**

325 **Ethics approval and consent participate**

326 Not applicable.

327 **Consent for publication**

328 Not applicable.

329 **Competing interests**

330 The authors declare that they have no competing interests.

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332 Not applicable.

333 **Authors’ contributions**

334 POMH, NKH and VdSN-N conceptualised and design the study. POMH and VdSN-N
335 drafted the manuscript protocol. POMH, NKH, ALM and VdSN-N critically revised the
336 protocol and manuscript submitted. All authors read and approved the final manuscript.

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