

Natural and Anthropogenic Sources of Cadmium in Cacao Crop Soils in Santander, Colombia

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Natural and anthropogenic sources of cadmium in cacao crop soils in Santander, Colombia

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Abstract

Cadmium (Cd) levels in cacao products from Santander, the main producing area in Colombia, are well above those permitted for export of cocoa products and may pose a potential health hazard. High Cd in cacao is related to the high content of the metal in beans, which in turn is linked to high concentrations of Cd in soils. Geochemical and petrographic analyses of fertilizer, soil and rock samples from three farms were carried out to determine the sources of Cd and soil characteristics that can affect its bioavailability, in order to identify strategies that may reduce Cd in cocoa. Autochthonous natural sources determine the Cd concentration in soils, with a high correlation between elevated Cd in sedimentary parental rocks and soil metal levels. While no industrial or mining inputs were present, an organic fertilizer was identified as a great allochthonous source of Cd in soils. High levels in the fertilizer were probably due to bioaccumulation of the element, since it was sourced from animals in the same area. The addition of crop waste to fertilize the soil may further contribute bioavailable Cd. Even though the pH range, high OM content and presence of Mn and K all diminish bioavailability, the high metal content in the farm soils still results in significant uptake by the cocoa plants and accumulation in the beans. We suggest that phytoremediation and biological amendments, as well as testing of fertilizers before application, could all be cost-effective solutions to reduce Cd levels in the final product.

Key Words: Cadmium, Cacao, Soil analysis, Autochthonous origin of Cd, Allochthonous origin of Cd.

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34

35 Author contributions:

36 All authors contributed to the study conception and design. Material preparation, data collection and
37 analysis were performed by Valentina Joya-Barrero, and Carme Huguet. The first draft of the manuscript
38 was written by Valentina Joya-Barrero and all authors commented on previous versions of the manuscript.
39 All authors read and approved the final manuscript.

40

41 Data inclusion:

42 Since the collected data are identified with specific farms authors will refrain from including the data in
43 the initial submission. Authors are happy to include their data as supplementary material once the paper
44 is published.

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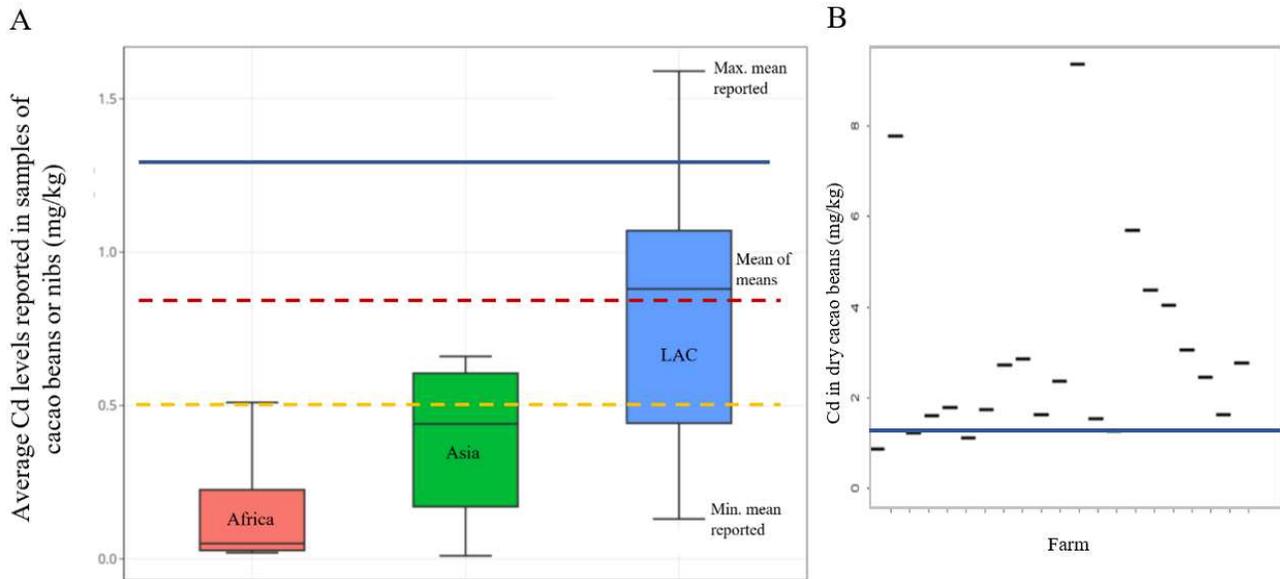
52

53 **1. Introduction**

54 Cadmium (Cd) is a non-essential heavy metal that can cause toxic effects in plants, animals and humans
55 even at low concentrations (e.g. Kabata-Pendias 2010; Kabata-Pendias and Szteke, 2015). Because of its
56 mobility in soils, it is readily absorbed by plants despite not having a metabolic function (Benavides et al.,
57 2005). As the metal does not biodegrade, it bioaccumulates through the food web eventually posing a
58 health hazard for humans (e.g. Kabata-Pendias and Szteke, 2015; Mortensen et al., 2018). Cd is considered
59 to be one of the most toxic metals: it exhibits adverse effects on all biological processes, acting as a
60 precursor of various cancers, oxidative stress, and kidney malfunction (e.g. Kabata-Pendias and Szteke,
61 2015). Chocolate and cacao powder with elevated Cd levels may be an important source of human
62 exposure to the metal (e.g. Engbersen et al., 2019).

63 High levels of Cd in soils of cacao farms pose one of the greatest challenges for producing safe cocoa
64 products in South and Central American cocoa-producing countries (Chavez et al., 2015, 2016; Argüello
65 et al., 2019; Meter et al., 2019). Latin America and the Caribbean show high Cd content in cacao beans
66 with mean values of the metal being above the acceptable limit (Fig. 1A; Chavez et al., 2015, 2016;
67 Argüello et al., 2019; Meter et al., 2019). Moreover, starting in January 2020, the European Union
68 enforced a new regulation of the maximum values for Cd concentrations in cocoa-based products, which
69 has put a strain on South and Central American cacao producers (The European Commission, 2014).

70 Colombia is one of the world's major cacao producers, contributing 1.5% of the global market (e.g. Abbott
71 , 2018). Santander is a well-known cacao region in Colombia, historically recognized for having vast areas
72 of cacao crops (62,500 Ha) of excellent quality (e.g. Fernández-Niño et al., 2021). Recently, cacao has
73 been highlighted, in the context of post-conflict in Colombia, as the main substitution crop for coca
74 plantations, with 25,000 Ha having already been transformed from illegal crops into cacao (Fernández-
75 Niño et al., 2021). The country's production is year-round, and consists mainly of Criollo and Trinitario
76 varieties, which are known for their fine chocolate flavor but relatively low yield (Federación Nacional de
77 Cacaoteros, 2020).



78

79 Figure 1. Cadmium levels in cacao beans. A) Average Cd levels reported in samples of cacao beans or
 80 nibs from three different continents: Africa, Asia and LAC-Latin America and the Caribbean (Meter et.
 81 al., 2019), overlaid: the ideal maximum level of 0.5 mg/kg (yellow dashed line), the acceptable limit in
 82 beans of 0.8 mg/kg (red dashed line) and the maximum proposed limit of 1.3 mg/kg Cd for cocoa powder
 83 (100% total cocoa solids on a dry matter basis) set by the Codex Committee on Contaminant in Food
 84 (solid blue line), all limits as described by de Walque (2018); Figure adapted from Figure 2. in Meter et.
 85 al. (2019). B) Cd content in dry cacao beans from 21 farms located in San Vicente de Chucurí, Santander
 86 against the same maximum limit of 1.3 mg/kg shown in panel A (solid blue line); Figure adapted from
 87 original Figure 4.28 in de Walque (2018).

88

89 The *Theobroma cacao* plant is a bioaccumulator of Cadmium (Cd), which is easily absorbed by its roots
 90 from soil and water in its available Cd^{2+} form along with the other nutrients the plant needs, accumulating
 91 then within the structures of the plant (e.g. Smolders, 2001; Chavez et al., 2016; Engbersen et al., 2019).
 92 The accumulation occurs preferentially in cacao beans, followed by fruit shells, and the smallest quantity
 93 of the metal accumulates in leaves (Chavez et al., 2015; Khan et al., 2017; Engbersen et al., 2019). It has
 94 been found that in some cases the proportion of Cd content in soil and beans is about 1:4, but that even if
 95 the proportion may vary, there is always substantial accumulation in beans (Chavez et al., 2015; Khan et
 96 al., 2017; Engbersen et al., 2019).

97 Even though other forms of Cd co-exist with the Cd^{2+} , contributing to the total content of the metal in the
 98 bedrock and soil, only the ion is available for plant uptake (Smolders, 2001; Chavez et al., 2016; Engbersen

99 et al., 2019). The parental rock and the demineralization and weathering processes during pedogenesis
100 will determine the amount of bioavailable Cd^{2+} (e.g. Johnson & Watson-Stegner, 1987; Duchaufour,
101 2012). Soils inherit many of the bedrocks characteristics and retain a large portion of its elements: for
102 example, carbonate rocks with high Cd content have been shown to produce soils enriched in the metal
103 after pedogenesis (Xia et al., 2020). Furthermore, several soil properties regulate Cd bioavailability: high
104 electrical conductivity and salinity as well as loamy and clayey soil textures result in higher Cd
105 availability, while near neutral pH range, organic matter content or presence of certain elements reduce
106 its availability (Gramlich et al., 2018; Mortensen et al., 2018).

107 Previous studies show that concentration of Cd in plant structures can vary depending on the farm location
108 and the soil characteristics (e.g. He et al., 2015; Argüello et al., 2019). In a recent study of Colombian
109 cacao soils, a mean level of Cd of 1.43 mg/kg for a total of 1837 soils was reported, well above the natural
110 concentrations found in soils worldwide (Argüello et al., 2019; Bravo et al. 2021). Santander shows the
111 second highest Cd soil concentration, with a mean of 1.90 mg/kg and a maximum value of 27mg/kg Cd
112 — well above those of other regions in the country (Bravo et al. 2021). Perhaps not surprisingly, average
113 Cd concentrations as high as 3.3 mg/kg for beans have been reported in the area (Fig. 1B; de Walque et
114 al., 2018), far exceeding the safe level of 1.3 mg/kg established by The European Union Regulating
115 Commission (Fig. 1B; de Walque et al., 2018; The European Commission, 2014).

116 Cadmium can originate from bedrock, erosional-depositional and recycling processes as well as from
117 anthropogenic sources (Aflizar et al., 2018; Liu et al., 2017; Smolders, 2001). Cd has high mobility
118 through sediment flows and erosion processes by water and wind, and material translocation, resulting in
119 accumulation in sedimentary plains and rivers (Page et al., 1987; Aflizar et al., 2018). Concentrations of
120 cadmium are higher in sedimentary rocks since this metal can be easily adsorbed into fine particles and
121 porosity sites, which are common to several rocks of this kind (Carrillo-González et al., 2003; Liu et al.,
122 2017). The Cd content tends to be higher in fine grained acidic sedimentary rocks (He & al., 2015;
123 Smolders & Mertens, 2013; Liu et al., 2017). The relative accumulation of Cd in sedimentary
124 environments is also caused by the degassing of the Earth and mantle processes, in which the excess of
125 volatile elements such as Cd is liberated and accumulated within empty spaces in sedimentary rocks
126 (Marowsky & Wedepohl, 1971).

127 The anthropogenic sources of Cd are mainly related to the addition of both organic and inorganic
128 fertilizers, and to the potential contamination from mining or construction sites (e.g. Smolders & Mertens,

129 2013). Cd can also be reinserted into the soil through the falling of the plant's leaves or branches, and in
130 some cases, farmers will leave plant debris as a fertilizer, thus recycling the metal into the ground (e.g.
131 Argüello et al., 2019; Engbersen et al., 2019).

132 Our aim is to improve the understanding of the possible allochthonous and autochthonous sources of the
133 metal in the study area. We also analyzed soil parameters that may regulate the bioavailability of the
134 metal. Providing a sound baseline of Cd levels and sources sinks is a first step towards better management
135 practices and when needed, remediation strategies.

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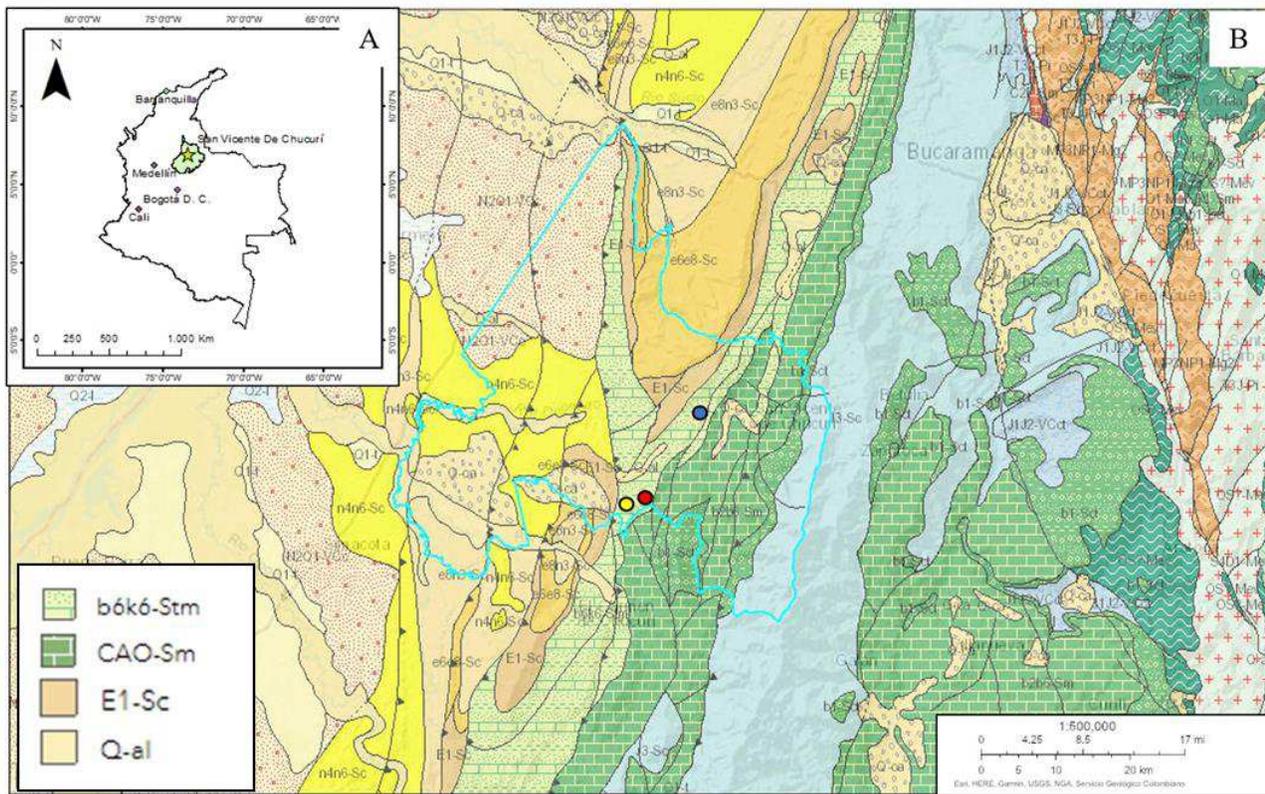
137 **2. Materials and Methods**

138 **2.1. Study area**

139

140 San Vicente de Chucurí is located in the Northeastern region of the department of Santander, Colombia
141 (Fig. 2) and it is known as the “cocoa capital of Colombia” (Fig. 2). The annual mean temperature is 23.7
142 °C and average annual rainfall is 1820 mm (de Walque et al., 2018) with a tropical rainforest climate
143 according to the Köppen-Geiger classification. The main soil types in the area are Humic Cambisols
144 (CMu) in both low (0-600 m.a.s.l.) and mid altitude (600-900 m.a.s.l.) terrains and Umbric Leptosols
145 (LPu) in the high altitudes (900-1200 m.a.s.l.) (de Walque et al., 2018). All the study sites fall in the CMu
146 soils category, with some soil differentiation and the inclusion of a humus rich horizon, considered ideal
147 for cacao cultivation. The three farms used in the study are over the geological unit b6b6-Sm within the
148 “Simití” formation, which is made up mainly of laminated black claystones, carbonaceous and locally
149 calcareous fine-grained rocks, and the presence of calcareous concretions is significant (Fig. 2; Moreno &
150 Sarmiento, 2002).

151 There is a noticeable enrichment of the metal in the west flank of the Eastern Andean Cordillera
152 corresponding to territories within the departments of Santander, Boyacá and Cundinamarca (Fig. 3).
153 Even though the study farms are within an area with no reported Cd values we can extrapolate high
154 concentrations from neighboring regions which have concentrations falling in the ranges of 1.8 to 4.6
155 mg/kg and 4.6 to 74 mg/kg (Fig. 3). Additionally, values reported in other farms of San Vicente show
156 average Cd concentrations of 3.3 mg/kg, with farms on the east side of the municipality having values
157 around 2.1 to 4.3 mg/kg of total Cd and those on the west side have a maximum of around 2.5 mg/kg
158 total and 0.1 mg/kg of available Cd (de Walque et al., 2018).
159



160

161 Figure 2. Maps of the study area. A) Map of Colombia with the country's main cities. The department of
162 Santander is highlighted in green with a star indicating the location of San Vicente de Chucurí. B)
163 Geological map of San Vicente de Chucurí (Gomez et al., 2015): the light blue line delimits the
164 municipality. Sample collection sites Farm 1 (red dot), Farm 2 (yellow dot) and Farm 3 (blue dot) are
165 indicated.

166

167 **2.2 Sample collection**

168 A total of 37 samples (23 soil, 12 source rock and 2 fertilizers) were collected and analyzed at three
169 different farms in the study area. An organic fertilizer was used in Farm 1 and an inorganic one in Farm
170 2; no fertilizer was added at Farm 3. In Farms 1 and 2, which are plantations of less than 1 Ha, the sampling
171 was done in transects. In the first farm we collected a rock sample at each soil sampling point, while in
172 the second plantation only one rock sample was collected for each transect. In Farm 3, which is more
173 extensive (~15 Ha), but also more homogeneous, five soil samples were taken using randomized sampling.
174 No rock samples were collected in this case since soil was homogenized in the first 2 meters and rock
175 fragments were not present. As the third farm is located over the same geological unit, we expect the
176 parental rock material to be of the same composition as both the other farms.

177

178 **2.3 Petrographic analysis**

179 Petrographic analysis was performed on 3 rock samples (from farms 1 and 2) and 3 soils (one from each
180 farm), selected for having the highest Cd concentrations. The samples were processed into 6 polished thin-
181 sections (of 30µm thickness), and sent for analysis at Alicante University, Spain. Samples were studied
182 using a ZEISS Assioskop microscope and pictures were taken with a Photometrics CoolSNAPcf digital
183 camera and the RS ImageTM v.1.8.6 software. Mineral chemical composition was established using a
184 scanning electron microscope (SEM). Back-scattered Electro (BSE) and X-ray spectroscopy (EDS)
185 images were obtained using a Hitachi microscope, model S3000N at an accelerating voltage of 20 kV.

186

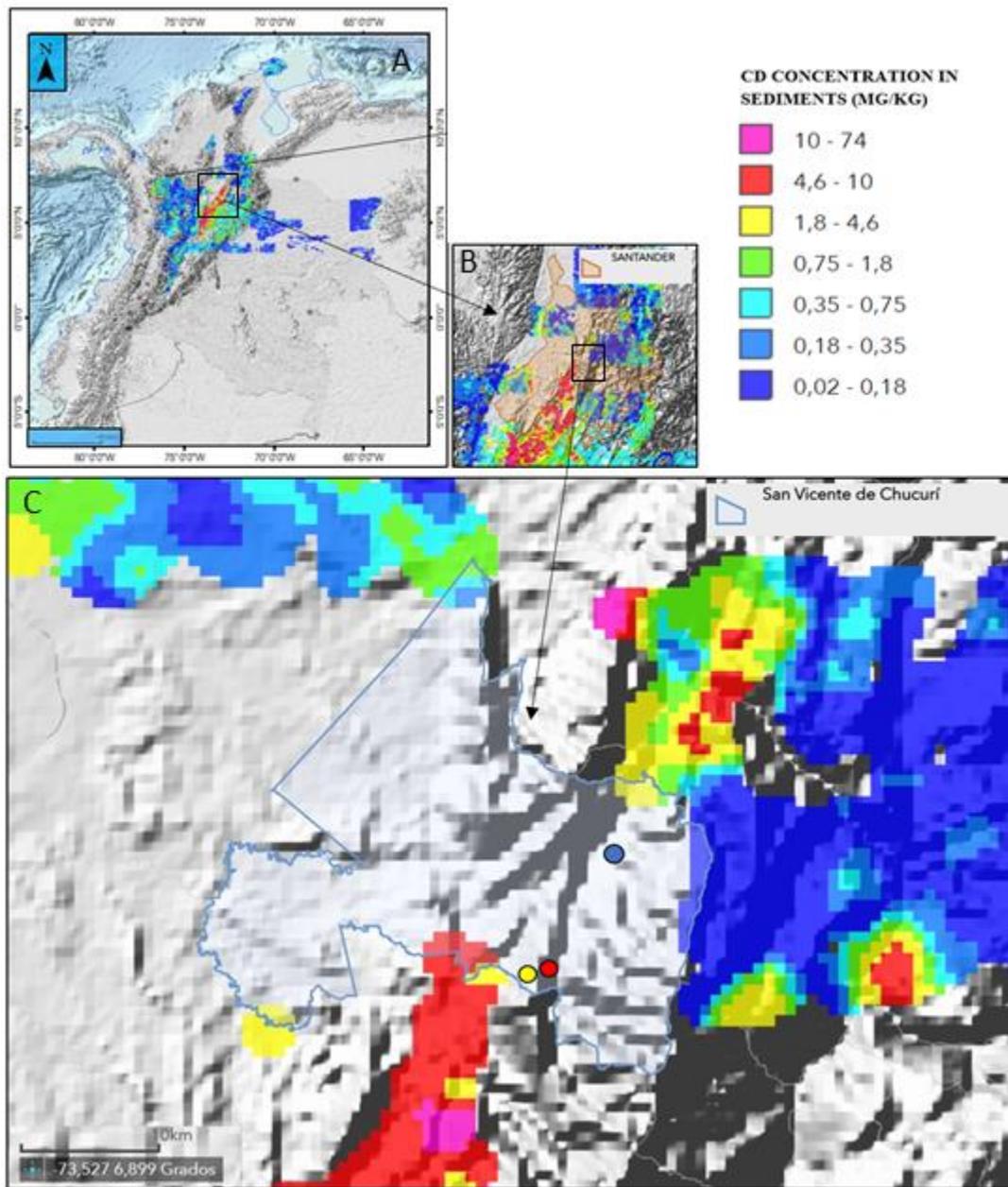
187 **2.4 Soil analysis**

188 A LAQUAact-PC110 probe was used to measure the pH in soil samples. To do so, 1g of humid soil was
189 placed in 9 ml of distilled water and the solution was mixed for 2 minutes in a vortex and left to rest for
190 30 min. The probe was placed in the supernatant and pH was measured 3 times.

191 In order to quantify humidity, 100 g of each soil were placed in the oven at 45 °C for three days. The soil
192 was re-weighed and the difference in mass was assumed to be water content.

193 To measure the organic matter (OM) content, the same dry soil samples were then placed in the oven at a
194 temperature of 250 °C for 24 hours. Samples were then weighted and the difference in mass was assumed
195 as combusted OM.

196 For carbonate content, the dry-inorganic soil samples were placed in a muffle at a temperature of 450 °C
197 for 24 hours. Samples were then weighed, and the mass value of carbonate was calculated from the mass
198 difference.



199
200 Figure 2. Map of Cd Content in Sediments (mg/kg) in Colombia and in the study area
201 (http://srvags.sgc.gov.co/JSViewer/Atlas_geoquimico_2018/). A) Geochemical map of Colombia. B)
202 Geochemical map of Santander. C) Geochemical map of San Vicente de Chucurí, in which Farm 1 is
203 indicated by the red dot; Farm 2 is indicated by the yellow dot; and Farm 3 is indicated by the blue dot.

204

205 **2.5 X-Ray Fluorescence (XRF) measurements**

206 Element composition was measured in the soil and rock samples. For soils, 250 gr of fresh sample were
207 dried in an oven at 45°C for three days in order to remove moisture, as the presence of water may influence
208 the signal intensity and increase instrumental error (Imanishi et al., 2010). Dry samples were homogenized
209 using a mortar and pestle and divided in four; then two opposite quadrants were mixed and rearranged
210 again as a circle. This process was repeated as many times as needed until reaching ~2g of soil. This was
211 done in order to minimize measurement variation due to soil heterogeneity. The chemical elements from
212 Beryl (Z=4) to Uranium (Z=92) were measured by XRF (X-Ray Fluorescence) using an Oxford XRF 7500
213 probe. All samples were measured with an XRF gun (XMET-7000) with the preprogrammed soil setting
214 for soils and the mine setting for rock samples. Each sample was measured a minimum of three times and
215 reported values in the present study represent the mean measurement with error bars shown.

216 Soil samples show smaller errors, most likely due to the removal of humidity and the grinding process
217 which homogenized the samples and enabled a more uniform XRF reading (e.g. Imanishi et al., 2010;
218 Bortolotti et al., 2017). For the rock samples the error is generally larger, probably caused by performing
219 the measurement directly on solid rock. Nevertheless, these measurements on direct rock are reliable as
220 specified by the manufacturer and still fall within an acceptable standard error (Fig. 4, Imanishi et al.,
221 2010; Oxford Instruments Ltd., 2012).

222

223 **3. Results and Discussion**

224 Santander is a well-known region for the cultivation of cacao and has been historically recognized for
225 having cacao crops of great extension and quality, representing 40 to 45% of the national production.
226 Addressing elevated Cd content is crucial not only as a public health concern, but also for the potentially
227 negative impact it could have on cacao exports, a key economic sector for the region (Jiménez Tobón,
228 2015; Federación Nacional de Cacaoteros, 2019). Cadmium can be of autochthonous and/or allochthonous
229 origin: sources include bedrock, erosional-depositional and recycling processes as well as anthropogenic
230 input, and several soil properties regulate its bioavailability (Smolders, 2001; Gramlich et al., 2018;
231 Mortensen et al., 2018; Engbersen et al., 2019). Understanding the origin and fluxes of Cd can give insight
232 into the best management strategies for the soil and translate into practical solutions for farmers in the
233 region.

234

235 **3.1. Cadmium levels in the study area**

236 All the samples analyzed show elevated Cd values compared to both international standards and national
237 averages (Figs. 1 and 4; Bravo et al., 2021). In fact, the entire left flank of the Colombian western cordillera
238 shows significantly higher Cd values in sediments and soils (ranging from 1.8 to 74 mg/kg), than any other
239 region in the country, resulting in a potential health hazard (Fig. 3).

240 The parental rock in the study area presents unusually high Cd concentrations with values of up to 3 times
241 higher than previously reported in central Colombia (Rodríguez Albarracín et al., 2019). The highest value
242 (90 mg/kg) was found in a rock fragment collected in Farm 1, at double the average Cd concentration of
243 all other rock samples (45 mg/kg; Fig. 4). Previously reported anomalously high Cd levels in rocks range
244 between 8.15 mg/kg and 21.4 mg kg worldwide (He et al., 2015 and references therein), confirming the
245 extremely elevated Cd concentrations of the area rocks. Rock Cd values in the studied farms, even though
246 always unusually elevated, vary from 30 to 50 mg/kg, suggesting heterogeneity of the parent material's
247 metal content (Fig. 4).

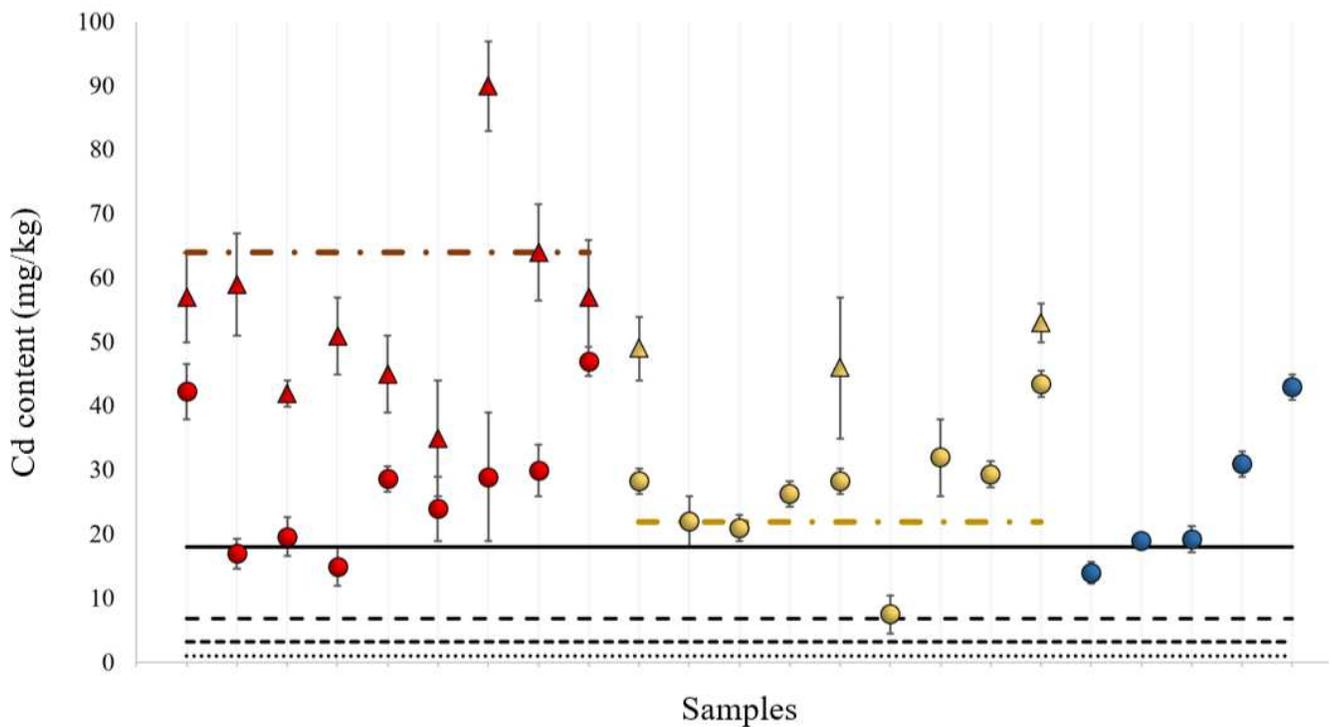
248 Total Cd content in cacao soils has been found to be mainly present as residual and oxidable fractions,
249 associated with the meteorization of the bedrock and pedogenesis, so when the parental rock presents
250 extremely high Cd content, it results in the formation of contaminated soils (e.g. Johnson & Watson-
251 Stegner, 1987; Duchaufour, 2012; He et al., 2015; Liu et al., 2017). In our samples total Cd content was
252 on average 50% lower in soils (27 mg/kg) than in rocks (52.6 mg/Kg), which is a typical range of decrease
253 after weathering of the parent material (e.g. He et al., 2015; Liu et al., 2017; Rodríguez Albarracín et al.,
254 2019). Our values are much higher than those reported in other farms in San Vicente, which show an
255 average of 3.3 mg/kg total Cd (de Walque et al., 2018), as well as mean values reported for soils in
256 Santander and generally in Colombia (Bravo et al., 2021). Soil Cd concentrations in San Vicente de
257 Chucurí are also much higher than international average Cd concentrations in cacao soils and well above
258 the 1 mg/kg level usually found in soils (Figs. 1 and 4; Argüello et al., 2019). In fact, the measured values
259 between 10 and 47 mg/kg in the soils studied, fall within the range of typical values for polluted and metal-
260 rich soils worldwide (He et al., 2015 and references therein). Regardless of the specific values, it is clear
261 that Cd in soils in the region is very elevated and represents a threat to cacao cultivation and
262 commercialization, which is the basis of the local economies of these municipalities.

263 Of even more concern is that the vast majority of our samples do not comply with international regulations
264 for tolerable values for mammals' exposure, ecosystems or critical thresholds for human health risks (Fig.

265 5; Brus et al., 2002; United States Environmental Protection Agency, 2019). Soils in the area also exceed
266 the Ecological Soil Screening Levels set by the EPA (Eco-SSL) (0.36 mg/kg dry weight), which if
267 surpassed is considered damaging to mammals and ecosystems (United States Environmental Protection
268 Agency, 2019). This would pose a serious health risk for people exposed to the soils and consuming crops
269 in this particular area as Cd accumulates in organisms and is potentially carcinogenic even at low
270 concentrations (He et al., 2015). The values reported imply possible health issues as Cd enters the human
271 body through foods produced in soils that are contaminated with the metal and after accumulation can
272 cause poisoning or organ malfunction (Smolders & Mertens, 2013; He et al., 2015). The farmers in the
273 region also grow subsistence crops such as yuca and banana, thus further increasing their exposure to the
274 metal. While elevated Cd concentrations are not necessarily available for uptake and incorporation by the
275 cacao plant, a correlation between geological substrate and Cd bioavailability and/or Cd content in cacao
276 tissues has been previously reported in Colombia (e.g. Gramlich et al., 2018; Mortensen et al., 2018; Meter
277 et al., 2019).

278 It is important to note that a high variability in concentrations of the metal at several scales has been
279 reported (Argüello et al., 2019) and in fact is observed within our study area (de Walque et al., 2018;
280 Bravo et al., 2021). Total Cd concentrations in cacao soils from Santander range from 0.01 to 27 mg/kg,
281 thus small-scale heterogeneity and/or additional Cd sources in our studied farms may be a factor (Bravo
282 et al., 2021). Heterogeneity was previously reported, with farms in the east flank of the municipality
283 having higher Cd averages than those in the west flank (de Walque et al., 2018). Metal concentrations
284 vary significantly within Farms 1 and 2, both smaller than 1 Ha, suggesting that small-scale heterogeneity
285 plays a significant role in Cd distribution, most likely coupled to heterogeneous metal content in the parent
286 material. Furthermore, additional sources of Cd may play a role, and will be discussed in the following
287 sections.

288



289

290 Figure 4. Cadmium concentration in soil (circles) and rock samples (triangles) and concentrations of
 291 organic (red line) and chemical (yellow line) fertilizers. Red is for samples from Farm 1, yellow Farm 2
 292 and blue Farm 3. The Cd superior critical threshold (continuous line), highest value in cacao growing soils
 293 in Ecuador (dashed line), Cd critical threshold (short dashed lines) and the Cd that is naturally or usually
 294 found in most soils (dotted line) are indicated.

295

296 3.2. Autochthonous sources of Cadmium

297 Autochthonous sources are those intrinsic sources from which a component or element might be
 298 introduced into an ecosystem, area, or in this case, plantation. Cd has high mobility through sediment
 299 flows and erosion processes by water and wind, and material translocation, resulting in accumulation in
 300 sedimentary plains and rivers (e.g. Aflizar et al., 2018). High dependence of ‘total’ soil Cd has been linked
 301 to geological substrates, with the highest median concentration being found in alluvial sediments and soils
 302 developed on sedimentary rocks (Adriano, 2001; Gramlich et al., 2018). A strong correlation between
 303 parental material and Cd content in soils has been previously reported (Smolders & Mertens, 2013; He et
 304 al., 2015).

305 Our sampling sites are above the geological unit b6b6-Sm within the “Simiti” formation that is made of
306 laminated black claystones, carbonaceous and calcareous fine-grained rocks with the presence of
307 calcareous concretions is significant (Fig. 2; Moreno & Sarmiento, 2002). This was confirmed by our
308 petrographic analyses, which indicates the presence of limestone, marl and shale in all our samples. All
309 rocks analyzed are carbonaceous, thus they can hold substantial quantities of Cd by adhesion and
310 absorption into pores (Fig. 4; Shirvani et al., 2006; Smolders & Mertens, 2013; He et al., 2015; Liu et al.
311 2017).

312 Calcite will bind Cd through cation exchanges due to the similar sizes and charges of Ca and Cd (Topcu
313 & Bulat, 2010; Chavez et al., 2015, 2016). This is confirmed by the significant Pearson correlation
314 between Cd and Ca ($p=0.642$, $p<0.05$), which indicates that calcium-rich rocks are the source of Cd, as
315 has been seen in other studies (Argüello, 2015; Chavez et al., 2016; Xia et al., 2020). Carbonate rocks
316 with high Cd content, though the pedogenesis process, tend to produce soils enriched in the metal (Xia et
317 al., 2020).

318 According to the petrographic analysis, the humic cambisols studied are mainly composed of
319 unconsolidated carbonates and are rich in humus. The abundance of carbonates is further confirmed by
320 the ignition analysis of soils, which shows carbonates to range from 2.2 to 6.5 g, in agreement with soil
321 forming from the underlying carbonate rocks. While petrographic analysis confirms that soils in the area
322 were formed by weathering of the carbonate-rich parental material rather than by transport of
323 allochthonous material, no significant correlation is found between the Cd contents of rocks and soils.
324 This lack of correlation may be explained by soil and rock heterogeneity and/or difference in number of
325 soil compared to rock samples.

326 Even though the carbonate rocks can have high Cd concentrations due to their porous structure, it is
327 strongly bound, which makes it less available for plant absorption. In fact, Ca addition has been used as a
328 remediation strategy for high Cd concentrations (e.g. Shirvani et al., 2006; Topcu & Bulat, 2010).

329

330 ***3.3. Allochthonous origin of Cadmium***

331 Fertilization, mining, construction sites and industrial activities that introduce extrinsic elements into the
332 farm soils, as well as natural processes such as mass movements, water flows or aeolian deposition coming
333 from Cd rich areas, all constitute possible allochthonous sources (Moreno & Sarmiento, 2002; Smolders

334 & Mertens, 2013; de Walque et al., 2018). Even though the region is known for having mining, there is
335 no reported activity near the sampling area, which means this is not a significant source of Cd for our
336 soils. As we established that soils in the area are formed from weathering of parental rock, mass
337 movements, water and eolian transport can also be ruled out as significant Cd sources.

338 Another potential allochthonous source of the metal is fertilizer of sedimentary and phosphorous origin
339 (Marini et al., 2020). Mineral fertilizers have been identified as the main source of Cd in some agricultural
340 soils and organic waste-derived fertilizers have been shown to contribute in some cases (Marini et al.,
341 2020). Approximately 85% of phosphate used in inorganic fertilizers is sourced from sedimentary deposits
342 with high Cd levels (Smolders & Mertens, 2013; Robertsa, 2014).

343 To gauge the relative importance of this Cd source we compared a site with organic fertilizer (Farm 1), to
344 one with inorganic fertilizer (Farm 2) as well as a cocoa farm where no fertilizer is added (Farm 3). Soils
345 with no fertilization show an average of 25 mg/kg of Cd, which we assume to be the base level due solely
346 to weathering of the parental rock. Only a small increase is seen in average Cd concentrations in soils with
347 inorganic fertilizer addition, but soils where the organic fertilizer was used presents average concentrations
348 of 29 mg/kg. Therefore the organic fertilizer seems to be an additional source of Cd in the studied soils,
349 which is a rare case since usually those types of fertilizers are less contaminating than chemical ones
350 (Marini et al., 2020).

351 In Farm 2, the inorganic fertilizer was used one year prior, but no addition was done close to the time of
352 sampling. This may mean that part of the Cd added with the fertilizer may already have been removed
353 from the soil, and that is why the average metal concentrations are the same as in the case of soils without
354 fertilizer addition. Moreover, Cd concentrations measured in the inorganic fertilizer are below those
355 measured in soil samples, thus its addition would not be expected to raise metal concentrations
356 significantly (Fig. 4). Despite Cd content in the inorganic fertilizer not exceeding the international legal
357 limits, concentrations are still higher than the upper critical threshold, thus it should not be used
358 indiscriminately as it may cause Cd enrichment over time (Fig. 5; Marini et al., 2020). Besides, there is
359 no certainty that another batch of the same fertilizer might not have a much higher concentration of Cd,
360 as lots tend to be heterogeneous due to production changes (Marini et al., 2020).

361 The organic fertilizer contained 64 mg/kg Cd, well above the values for soils and most rock fragments
362 measured in the area, and exceeding acceptable Cd levels for fertilizers in European (40 mg/kg; Fig. 4;
363 PPRC, 2010). It is known that Cd bioaccumulates and persist but does not biodegrade thus magnification

364 thought the food chain will take place (e.g. Kabata-Pendias and Szteke, 2015; Mortensen et al., 2018).
365 The organic fertilizer was sourced from chickens and pigs which were fed food residues and organic matter
366 from crops grown in the same soils. It is clear that this resulted in biomagnification of bioavailable Cd that
367 accumulated resulting in extremely high levels of the metal in the organic fertilizer (Fig. 4). This case is
368 particularly worrisome since the Cd introduced with the fertilizer will be easily absorbed by the plants and
369 result in further metal accumulation in cocoa beans. Although the addition of fertilizer may further enrich
370 the metal in soils, we found no significant correlation between soil and fertilizer Cd concentrations,
371 indicating that this is not the main factor controlling total Cd in soils.

372 The metal can also be reintroduced into the soil through the falling of the plant's leaves or branches, and
373 in some cases, farmers will leave plant debris as a fertilizer (Chavez 2016; Argüello et al., 2019). In all
374 three plantations most of the organic matter falling from the cacao tree was left to degrade and decompose
375 *in situ*, thus, the higher Cd concentration in top-soils (first 20 cm sampled) may in part be due to the
376 accumulation over the years of the metal from leaves and husks. In farms in Ecuador cacao leaf litter has
377 been found to have higher Cd content than both cacao beans and green leaves, with an average of 85.5
378 mg/kg, which implies a high level of Cadmium cycling (Barraza et al., 2017). The use of vegetation waste
379 originating within the same plantation as fertilizer will recirculate bioavailable heavy metals, though the
380 relative importance of this recycling process as a contributor to Cd accumulation in cacao beans is yet not
381 fully understood. This may make the problem worse by re-introducing easily absorbable Cd²⁺ into the
382 system, resulting in an enrichment of the element in the root area and enhanced uptake. This could be
383 easily avoided by shifting to cultivation practices that recover waste away from the cultures.

384

385 **3.4. Soil factors affecting Cadmium bioavailability**

386 Soil Cd content is directly correlated with the bioavailability and cycling of the element in soils. Not all
387 the Cd present in soil will be available for uptake and incorporation: if the metal is either not bioavailable
388 or irreversibly bound to the soil matrix, no transfer will take place (Mortensen et al., 2018). Besides the
389 actual content of Cd in soils, several soil properties affect the Cd bioavailability and consequent root
390 uptake (Mortensen et al., 2018). High electrical conductivity and salinity concentrations as well as loamy
391 and clayey soil textures result in higher Cd availability; luckily none of those are found in the soils of the
392 study area. Indeed, most of the soil parameters including pH range, organic matter content or chemical
393 composition result in reduced Cd availability (Gramlich et al., 2018; Mortensen et al., 2018).

394 Soils in humid tropical climates have been associated with the migration of Cd by leaching from the topsoil
395 layer, which reduces concentrations in the cacao root area (e.g. Kabata-Pendias, 2010). However, we do
396 not observe Cd leaching, which could be explained by the high slope of the cacao plantations we examined,
397 causing elevated run-off versus percolation, despite the humid climate. The lack of washing of surface
398 soils may also be due to the pH range, carbonates and high organic matter, resulting in strong Cd binding
399 (Gramlich et al., 2018; Mortensen et al., 2018).

400 The pH values of our soils ranged between 5.5 and 7.8, with little variation between farms and within the
401 ideal range for retention of Cd within the soil matrix (Mortensen et al., 2018). At pH higher than 5.5 the
402 metal converts into insoluble carbonate and phosphate forms, making it unavailable (Kabata-Pendias
403 2010). Even when the soil pH is in the ideal range, like in ours samples, Cd remobilization absorption and
404 accumulation in plant tissues may still occur: plants exude acids from their roots to improve the solubility
405 of nutrients and ions, creating small acid pH zones where the metal can become available (e.g. Dong et
406 al., 2007). For instance, Cd has been found to be a significant problem in cacao grown in near-neutral pH
407 soils in the north of Peru and Honduras (Remigio, 2014; Argüello et al., 2019; Zug et al., 2019). While
408 microheterogeneity may partly explain Cd absorption, the high concentrations in beans could also be
409 linked to the extremely high levels of Cd in soils.

410 The manganese and potassium content of soil have a minor negative influence on plant Cd incorporation,
411 probably due to ion competition (Gramlich et al., 2018; Argüello et al., 2019). Studies have shown that
412 when Mn is present on a ratio above 20 to 1, Cd uptake appears to be reduced (Gramlich et al., 2018;
413 Argüello et al., 2019). We find a ratio of 47.5 Mn to 1 Cd, which would undoubtedly result in a smaller
414 Cd uptake by the plants in the area. We also find high K concentrations that work in a similar way,
415 increasing ion competition and therefore decreasing Cd adsorption.

416 Organic soils have high sorption affinity for Cd, up to 30 times higher than mineral soils (Kabata-Pendias
417 & Szteke, 2015). Therefore, soil organic matter will efficiently bind Cd^{+2} , especially if associated with
418 pH levels between 5.5 and 7.5 (Mortensen et al., 2018). Organic matter is known to have a significant
419 surface area and micropores that can serve as sorption sites to retain humidity and nutrients, but also the
420 positively charged Cd^{+2} (Remigio, 2014; Mortensen et al., 2018). The sampled soils had from 1.7 to 7
421 grams and thus could potentially reduce metal availability. The elevated organic matter coupled with the
422 neutral to basic pH has been previously used to explain the lower than expected Cd levels in seeds in the
423 area (de Walque et al., 2018). Since factors such as the pH, organic matter content and presence of

424 carbonates mitigate the plant's uptake of the metal as they buffer and absorb the metal, we conclude that
425 the Cd availability is considerably below what it potentially could be, given the metal concentrations found
426 in our soils. Without these mitigating soil characteristics, the exposure and availability of the metal would
427 likely pose a much higher health risk (He et al., 2015) and could mean even higher accumulation in cacao
428 beans (Mortensen et al., 2018). This would ultimately make them toxic and not suitable for consumption
429 or commercialization. In fact, using the reported ratio of accumulation of 1:4 in beans, and based on the
430 highest Cd content reported for beans of 9.34 mg/kg, this would mean that only a maximum of 2,3 mg/kg
431 of metal will be bioavailable for plant uptake (Brus et al., 2002; Chavez et al., 2015; Khan et al., 2017; de
432 Walque et al., 2018). Therefore, despite the extremely high reported values, the soil characteristics are
433 significantly reducing the cacao plant uptake of Cd. Other studies in the area confirm the presence of Cd
434 rich soils coupled with a lower proportion of the metal in the cacao tree structures (Meter et al., 2019;
435 Rodríguez Albarracín et al., 2019).

436

437 **3.5. Suggested mitigation strategies**

438 Solutions should be cost-effective and be a true aid for cacao farmers so that they can produce cacao beans
439 with no or lower Cd values to sell to manufacturers, and potentially export. A Cd mitigation hierarchy
440 approach should be taken by considering actions from farm to final product that are adapted to the specific
441 conditions of the cacao value chain in question (Bravo et al., 2018; de Walque et al., 2018; Zug et al.,
442 2019).

443 Reducing the addition of organic fertilizers to minimize the amount of bioavailable Cd accumulation might
444 be an easy and effective strategy (Marini et al., 2020). But of course a better approach would be to test the
445 fertilizers of both chemical and organic nature to guarantee that they have low metal values and can be
446 applied as needed.

447 While the addition of soil amendments that alter pH or soil organic matter content to reduce the
448 bioavailability of Cd for the cacao plants is widely used, it would not be useful in this particular case (e.g.
449 Liu & Hue, 2001; Chavez et al., 2016). Amendments would not have an impact in the present study area
450 since the parameters are already optimal.

451 Another potential solution is leaching, which can remove fertilizer and contaminant components over time
452 (Argüello et al., 2019). The leaching or washing would move the Cd lower into the soil profile where the

453 roots of the trees cannot uptake it (deeper than 100 cm) (Dermont et al., 2008; Torres et al., 2012), stopping
454 the accumulation in their structures. However, as we have seen, the chemical characteristics of the soils
455 will strongly bind Cd to the matrix and prevent effective leaching of Cd.

456 Selection of cacao species that are naturally low accumulators of Cd or with low Cd transfer from
457 vegetative parts into the beans has high potential to keep Cd accumulation in cacao beans at levels that
458 are safe for consumption (Engbersen et al., 2019). However, this strategy can be only be applied for new
459 producers or for existing farms when they renovate their trees, and thus would have a limited impact in
460 the study area.

461 Demineralization processes in cacao soils, linked to both biological and physical routes, are under
462 consideration as solutions that would reduce the availability of the metal by its mineralization or
463 biotransformation (Sposito, 1983; Bravo et al., 2018; de Walque et al., 2018).

464 Cadmium-tolerant bacteria (CdtB) and other microorganism existing in these Cd enriched cacao soils have
465 been identified, with about 26 phylogenetically diverse bacteria (Actinobacteria, Alphaproteobacteria,
466 Bacilli, Betaproteobacteria, Gammaproteobacteria) already described and under study for their
467 biotransformation capabilities (Chavez et al., 2016; Bravo et al., 2018).

468

469 **4. Conclusions**

470 In all soil, rock and fertilizer samples in our study area, high levels of Cd were found, with the majority
471 of them exceeding by far the limits set by international regulations.

472 Concentration of Cd in soils is determined by the geological substrate as an autochthonous source. The
473 petrological analysis indicates the presence of Cd-bearing minerals, and sedimentary rocks with high
474 porosity that can hold Cd.

475 Fertilizers also showed a positive correlation with the Cd content in soils as the main allochthonous source,
476 which can be managed with better practices. Testing fertilizers for heavy metals before their application
477 should be a standard practice.

478 Even though the pH range, OM content and presence of Mn and K significantly diminish Cd
479 bioavailability, there is a high metal content in cocoa beans of the area.

480 We consider phytoremediation and biological amendments to be relatively fast and cost-effective solutions
481 to the observed Cd enrichment.

482

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489

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