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Physical Property Characterization of the Waipapa Greywacke: An Important Geothermal Reservoir Basement Rock in New Zealand

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Physical property characterization of the Waipapa Greywacke: an important geothermal reservoir basement rock in New Zealand

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ABSTRACT

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Greywacke basement rocks in New Zealand host conventional geothermal reservoirs and 18 19 may supply important hotter and deeper geothermal energy resources in the future. This work combines petrological analyses and physical property measurements of Waipapa greywacke, a 20 basement unit hosting New Zealand geothermal reservoirs, in order to understand better how 21 22 structurally controlled flow networks develop and channel geothermal fluids within it. Results show intact Waipapa greywacke has high tensile and triaxial compressive strengths, and low intrinsic 23 permeability ($\sim 10^{-21} \text{ m}^2$). Permeability of intact Waipapa greywacke does not increase significantly 24 during triaxial loading to failure and is accompanied by minimal changes ultrasonic wave velocities. 25 These data taken together suggest that microcrack development during brittle deformation is very 26 limited. Upon failure, the permeability increases by two orders of magnitude and shows similar 27 permeability to tests performed on synthetic, single, mode I fractures in intact Waipapa greywacke. 28 Permeability persists in Waipapa greywacke fractures under confining pressures of at least 150 29

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34 1 INTRODUCTION

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In New Zealand, both direct use (e.g. heating, bathing, horticulture) and electricity 36 production from geothermal resources is well developed and continues to expand [Carey et al., 37 38 2015]. Current geothermal development for electricity production in New Zealand utilizes reservoirs at depths up to ~3.5 km, dubbed the conventional resource. Future development of 39 geothermal power in New Zealand aims at expanding the use of basement hosted geothermal 40 resources, including the greywacke basement terranes of the North Island [Mroczek et al., 2016; 41 42 Brathwaite et al., 2002; Wood et al., 2001], and newer discoveries proposed in the basement schist 43 lithologies of the South Island [Sutherland et al., 2017]. Furthermore, future New Zealand 44 geothermal potential lies in the development of deeper and hotter reservoirs likely hosted within similar basement lithologies [Bignall, 2011; Sheburn et al., 2003]. 45

MPa. It is concluded that Waipapa greywacke rocks will not allow fluid flow through the matrix of

the rock and that substantial geothermal fluid flow will only occur through macrofracture networks.

46 In basement geothermal reservoirs hosted in greywacke or igneous rocks, fracture and fault networks control fluid flow [Wood et al., 2001; Sutherland et al., 2017; Wallis et al., 2011; Browne, 47 1980]. As such, these resources are susceptible to changeable heat fluxes, dynamic fluid flow 48 regimes, and tectonic stress fields, all of which can exert influence on the physical and mechanical 49 properties of these fractured reservoir host rocks. Information on the physical and mechanical 50 51 properties of basement rocks in New Zealand is thus crucial to their future development as geothermal resources both at conventional development depths and at novel, deeper depths. 52 Furthermore, such dynamic environments generate fluid-rock interactions, which can alter the 53 54 mineralogy and texture of reservoir host rocks, in turn modifying the physical properties, which govern their mechanical behaviour and subsequent structural network development [Siratovich et al., 2015]. In order to optimize and maintain structurally hosted geothermal resources, studies of their physical properties, their interactions, and their dynamic evolution due to fluid interactions need to be studied to understand the effects they produce in the subsurface reservoir [Gupta and Sukanta, 2006; Di Pippo, 2008; Grant and Bixley, 2011].

60 To date, few data on the thermo-physical properties of New Zealand greywacke basement 61 rocks exist [Mielke et al., 2016, McNamara et al., 2014; Richards and Read, 2007]. This study aims to enhance the understanding of the physical properties of these important geothermal reservoir 62 rocks by presenting new data on the physical, mechanical, and elastic properties on the Waipapa 63 64 greywacke terrane. This basement terrane is known to host geothermal reservoirs in the Ngawha Geothermal Field in Northland, and has the potential to host deeper geothermal resources in the 65 Taupo Volcanic Zone (TVZ). Rock texture and microstructure analysis, combined with physical 66 67 property determination (uniaxial compressive strength (UCS), tensile strength, triaxial compressive strength, static and dynamic elastic properties, porosity, density, seismic wave velocity, and 68 69 permeability) of Waipapa Terrane greywacke samples presented here provide new information on 70 the physical properties controlling brittle deformation in this lithology, the process necessary for it to act as a geothermal reservoir. Data presented here will contribute towards the improved 71 72 construction of thermo-hydro-mechanical-chemical models of this basement terrane to assist in derisking of future New Zealand geothermal systems and other similarly hosted geothermal reservoirs 73 74 globally.

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76 1.1 Geological Setting

The Waipapa Terrane in New Zealand spans a significant portion of the northwest half of New Zealand's North Island, outcropping from the northwest border of the TVZ, and northwards into Northland (Figure 1). Permian to Jurassic units comprise the terrane in a complex sequence of indurated and metamorphosed volcaniclastic sandstones and siltstones [Adams et al., 2009;

Beetham and Watters, 1985]. Following Begg & Mazengarb (1996), in New Zealand the greywacke 81 sequences are generally identified as medium to dark grey, coarse to medium grained, lightly 82 metamorphosed sandstones. Grains are poorly sorted and consist of angular quartz and feldspar, and 83 lithic fragments of metamorphic and igneous rocks. The intergranular material consists of clay 84 minerals formed during induration or low-grade metamorphism. Greywacke sandstones may be 85 interbedded with lightly metamorphosed mudstones (argillite), usually layers of clay, silt, or mud, 86 87 generally dark to black in colour, yet occasionally red when there is a high content of iron bearing minerals. The proportions between mudstone and sandstone are spatially variable within the 88 Waipapa Terrane. Geothermal expression in this unit is well documented at the Ngawha 89 90 Geothermal Field in Northland, and potentially within the buried basement units underneath the modern (>2 Ma) volcaniclastic deposits of the TVZ. 91

Locally, at the Ngawha Geothermal Field, Waipapa Terrane basement hosting the 92 93 geothermal resource is composed of grey-green argillites and massive, quartzo-feldspathic greywacke sandstones which have experienced low-grade metamorphism (prehnite-pumpellyite 94 95 grade [Mayer, 1968]), and are strongly hydrothermally altered and veined with common fault 96 breccia textures [Bayrante and Spörli, 1989; Cox and Browne, 1998; Sheppard, 1986]. Waipapa greywacke basement is not yet drilled within the TVZ, an extensional intra-arc basin formed as a 97 98 consequence of oblique subduction of the Pacific Plate underneath the North Island of New Zealand 99 [Sheppard, 1986; Wilson et al., 1995]. Torlesse Terrane greywacke basement rock has been penetrated by drilling in the Kawerau, Ngatamariki, Ohaaki, Rotokawa, and Tauhara geothermal 100 fields [Wood et al., 2001; Adams et al., 2009; Cole and Spinks, 2009; Cant et al., 2018; McNamara 101 102 and Massiot, 2016; McNamara et al., 2016, Bignall et al., 2010], and at Kawerau Geothermal Field hosts geothermal resources. The suture between the Waipapa and Torlesse terranes is thought to 103 104 occur somewhere within the TVZ underneath the infill units but a precise location and depth is undetermined [Adams et al., 2009; Milicich et al., 2013a]. However, given that seismic evidence 105 points to brittle deformation reaching potential depths of ~6 to 8 km [Bibby et al., 1995], Waipapa 106

terrane greywacke holds significant potential as a reservoir for hotter and deeper geothermalresources within the TVZ [Bignall, 2011].

Limited data exist on the thermo-physical properties of the Waipapa terrane greywacke 109 lithologies. A 3.7% porosity measurement, which included a structural component, was measured 110 by McGuiness [McGuinness, 1984]. UCS values of Waipapa terrane greywacke of ~193 MPa are 111 recorded by Richards and Read (2007). McNamara et al. (2014) describe densities of ~2.71 g/cm³, 112 tensile strength ranges of 20-36 MPa, UCS values of 300-310 MPa, static Poisson's ratio and 113 Young's moduli of 0.28 and 70 GPa respectively, cohesion values of 51 MPa, a 0.93 coefficient of 114 internal friction (μ), nuclear magnetic resonance porosities of 2%, and P-wave velocities (V_p) ranges 115 of 6 - 6.25 km/s. Mielke et al. (2016) document similar values for the properties noted in 116 McNamara et al. (2014) with the only exceptions being lower V_p values (~5.6 km/s), lower UCS 117 values (123-245 MPa), and lower Young's Modulus (~20-27 GPa). Porosity values of ~1%, 118 permeability of $<1x10^{-16}$, thermal conductivity (~2.5 Wm⁻¹K⁻¹) and diffusivity (~1.14-1.24 x 10⁻⁶) 119 m²/s), specific heat capacity of ~0.74-0.87 kg.m²/K.s², and a shear-wave velocity (V_s) of 3 km/s are 120 121 also recorded.



Figure 1: Map of the North Island of New Zealand showing outcropping basement terrane rocks, major structural
components of the TVZ, geothermal field locations, and the locations of the quarries used for sampling for this work.
Modified from McNamara et al. (2014).

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129 2 METHODS AND MATERIALS

130 2.1 Materials and Sample Preparation

Samples of Waipapa greywacke terrane were acquired from quarry outcrops in order to test
their physical and mechanical properties. Waipapa samples are sourced from Taotaoroa Quarry
(TTGW), which is located between Cambridge and Matamata, ~8 km north of Karapiro Lake.
While outcrops where samples are acquired show the Waipapa greywacke to be layered and

fractured, the samples tested here contain no discernible anisotropy with respect to internal fabrics. 135 136 All the rock deformation data presented in this work were collected at the Rock Deformation Laboratory at the University of Liverpool, and SEM images were collected using a JEOL JSM 137 7001F FEG-SEM in the SEM Shared Research Facility, Albert Crewe Centre for Electron 138 Microscopy at the University of Liverpool. Twenty-five, 20 mm diameter x 50 mm height 139 specimens were cored and squared from samples of Waipapa greywacke sandstones from Taotaoroa 140 141 Quarry. Squareness of the cored samples was better than 0.01 mm or less [Paterson and Wong, 2015]. A selection of these specimens was used to make ten, 20 mm diameter x 10 mm height disks 142 utilized for tensile strength measurements, and two cores (of the same size) for permeability 143 144 measurements where a macroscopic mode I fracture was induced in the samples. Three thin sections of Waipapa greywacke from Taotaoroa Quarry were made for petrological observations using an 145 optical microscope. Finally, the sample taken to failure during triaxial testing was impregnated with 146 147 epoxy resin and cut parallel to the core axis and perpendicular to the shear fracture plane, polished and utilized for imaging of the deformed specimen in an XL30 Philips scanning electron 148 149 microscope (SEM).

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151 **2.2**

2.2 Porosity measurements and bulk density

Porosity estimates are determined from cores using a helium multipycnometer model (MVP-D160-E, Quantachrome Instruments). The porosity was calculated for seventeen samples of Waipapa greywacke. Bulk density was calculated for three Waipapa greywacke cores, using their mass and solid volume.

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157 **2.3** Tensile strength

Brazilian tests, conforming to ATSM standards (ATSM D3967-08), were performed on 20 mm diameter disks of greywacke basement rock, with a thickness-to-diameter ratio (t/D) between 0.2 and 0.75. Tensile strength is calculated with the following equation:

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$$\sigma_t = \frac{2P}{\pi LD}$$
(1)

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164 where: σ_t is the splitting tensile strength (MPa), P is the maximum force applied indicated by 165 the machine (N), L is the thickness of the specimen (mm), D is the diameter of the specimen (mm). 166

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169 **2.4 Uniaxial compressive strength**

UCS experiments were carried out on ten samples from Taotaoroa Quarry. Axial and circumferential strain gauges were attached to the samples during testing. Samples were then brought to failure using a uniaxial press. Static Young's modulus (*E*) and static Poisson's ratio (ν) are estimated from the gradient of a linear elastic region of the UCS test results (from 40-120 MPa).

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175 **2.5 Triaxial loading test**

A single triaxial compressive test ($\sigma_1 > \sigma_2 = \sigma_3$) was carried out on a greywacke core in 176 177 order to obtain the lithology's strength under confining pressure conditions of 20 MPa and a pore pressure of 5MPa ($\bar{\sigma} = 15$ MPa) to enhance our understanding of how this lithology undergoes 178 179 shear fracture at ~1 km depth, and to ensure repeatability of results delivered in McNamara et al. (2014). Furthermore, the evolution of permeability and P and S wave velocity were monitored to 180 establish the development of these properties during loading to failure. We used a triaxial 181 182 deformation rig able to perform triaxial experiments up to 250 MPa confining pressure (servo controlled), 250 MPa pore pressure (servo controlled), and ~1000 MPa differential stress with a 183 load capacity of 300 kN [Faulkner and Armitage, 2013] (Figure 2). Figure 2 shows the layout of 184 185 this apparatus, illustrating where the sample is situated within the pressure vessel. It also shows the

internal force gouge that provided high resolution measurements of the axial force that was appliedto the sample via a screw-driven actuator below the vessel illustrated in Figure 2.

 V_p and V_s , and permeability measurements of the sample are measured axially during this experiment. The position of the piezoelectric crystals (lead-zircon titanate) within the assembly are illustrated in Figure 2. Axial loading proceeded at a displacement rate of 0.1 microns/s and paused at various intervals in order to obtain *P*- and *S*- wave velocity and permeability measurements (detailed in Section 2.7). The axial displacement reported is corrected for the elastic distortion of the loading column, which is 180 kN/mm for the apparatus.

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196 **2.6** Elastic wave velocities and dynamic elastic moduli

Ultrasonic wave velocities (*P*- and *S*- wave) were measured along sample axes while loaded to failure in both uniaxial compression and triaxial testing. These tests were carried out in order to understand how the wave velocities develop as microcrack networks develop under increasing stress, providing insight into the change in microcrack density in the samples during deformation (e.g. Schubnel et al., 2003). Values are determined under varying levels of axial stress during UCS testing, and under various levels of axial stress with constant confining pressures during triaxial testing. Physical property characterizations are summarized in Table 2.

Velocity data were used to calculate a dynamic Young's modulus (E_d) and dynamic Poisson's ratio (ν_d) for these samples, using the below equations and assuming isotropy [Kuttruff, 1991]:

207

208
$$E_d = \frac{\rho V_s^2 (3V_p^2 - 4V_s^2)}{V_p^2 - V_s^2}$$
(2)

210
$$\nu_d = \frac{V_p^2 - 2V_s^2}{2(V_p^2 - V_s^2)}$$
 (3)

where ρ is the density (g/cm³). The change in the sample's density, calculated from the variation in volumetric change which occurs during uniaxial compression, was accounted for in the analysis.



Figure 2: A schematic illustration of the sample assembly contained within the pressure vessel used for the
experiments described. It also highlights the position of the piezoelectric crystals within the assembly that
are used for the *P* and *S* wave velocity measurements [Faulkner and Armitage, 2013].

222 2.7 Permeability measurements

223 To examine fluid flow properties of the Waipapa Terrane greywacke, permeability tests were carried out on both intact samples and samples containing a synthetic single fracture. 224 225 Permeability measurements were performed using the pulse-transient method [Brace et al., 1968] with deionised water used as the pore fluid. When the measurement begins, the upstream and 226 downstream reservoir pressures of the sample are equilibrated and equal. A small increase (<1 227 228 MPa) of the upstream reservoir pressure or a decrease of the downstream reservoir pressure is then imposed to apply a pressure gradient across the sample. The decay characteristics of this pressure 229 pulse, monitored in the upstream or downstream reservoir or both, may then be used to obtain a 230 value for permeability. 231

As previously mentioned, permeability measurements were made during the triaxial compression tests to monitor permeability evolution as intact greywacke approaches failure. Permeability measurements were made for each pressure step (every 20 kN, or 63.91 MPa increase in load). The first permeability measurement was taken at 0 MPa (no load applied), with subsequent measurements made at compressive stresses of 20 (63.91 MPa), 40 (127.81 MPa), 60 (191.72 MPa), 80 (255.62 MPa), 90 (287.57 MPa), 95 (303.55 MPa) and finally 100 kN (319.52 MPa) where brittle failure occurred.

239 In order to understand how the permeability of single fracture responds to varying stress conditions, two intact greywacke rock cores (20 mm diameter x 10 mm height) were placed in a 240 Brazilian loading jig, then loaded to failure in order to produce a single fracture plane [Nara et al., 241 2011]. These samples were then carefully placed in a polyurethane jacket and put into a triaxial 242 243 testing apparatus to hydrostatically load the specimen and measure evolving fracture permeability. For both specimens, permeability was recorded initially at 40 MPa confining pressure, with 244 245 subsequent measurements taken every 5 MPa up to 110 MPa. After that, permeability measurements were taken at 120, 130 and 150 MPa. The procedure used here follows that of Nara 246 et al. [Nara et al., 2011]. 247

Sample	Uniaxial	Triaxial	Brazilian	Vp - Vs	Porosity	Permeability	SEM	XPL	S.F.	Density
TTGW_11_3_H1160								\checkmark		
TTGW_11_3_H1161								✓		
TTGW_11_3_4_triax		~		~	✓	✓	~	✓		
TTGW_11_2_1	✓									
TTGW_11_2_2	✓									
TTGW_11_2_3	✓									
TTGW_11_2_4	✓									
TTGW_11_3_1					\checkmark					
TTGW_11_3_2					\checkmark					
TTGW_11_3_3					\checkmark					
TTGW_11_3_5					\checkmark	✓ (x18)			✓	
TTGW_11_3_6	\checkmark				\checkmark					
TTGW_11_3_7					\checkmark					
TTGW_11_3_8			✓ (x3)		\checkmark					
TTGW_11_3_10				\checkmark	\checkmark					
TTGW_11_3_11	\checkmark									~
TTGW_11_3_12	\checkmark			\checkmark						✓
TTGW_11_3_13	\checkmark			\checkmark						~
TTGW_11_3_14	✓			~						
TTGW_11_3_16					\checkmark					
TTGW_11_3_17					~	✓ (x18)	✓		\checkmark	
TTGW_11_3_18			✓ (x3)		~					
TTGW_11_3_19					~					
TTGW_11_3_20			✓		\checkmark					
TTGW_11_3_21			\checkmark		✓					
TTGW_11_3_22					✓					
TTGW_11_3_23			✓ (x2)		✓					

254 **3 RESULTS**

255 **3.1** Petrology

From examination, Waipapa Terrane samples used in this study are composed of a medium 256 to coarse grained (0.25-1.5 mm), greywacke sandstone consisting of abundant to common, 257 258 subangular–subrounded, detrital quartz, plagioclase, and abundant lithic fragments (≤5 mm sizes) (Figure 3). Lithic fragments are made of andesitic, basaltic, and rhyolitic lavas with granophyric 259 textures, and siltstone. Some lava lithics show a trachytic texture. The greywacke sandstone is clast 260 261 supported and matrix poor. Matrix is composed of indurated clay/silt. Chlorite, calcite, quartz, and occasional epidote are found as vein minerals. In general, the Waipapa samples are moderately 262 altered to chlorite, leucoxene and clay with some weak epidote alteration. Plagioclase crystals in 263 places are weakly altered to clays while detrital biotite crystals are relatively fresh. Within the rock 264 andesite lithic fragments show some albitization. The groundmass is altered to chlorite and detrital 265 plagioclase is partially altered to clay. Mineralization within observed microfractures in these 266 samples indicates that these crack networks at one point operated as fluid flow pathways. 267



270 Figure 3: A) Photograph of thin section of sample TTGW_11_3_17 showing grain size variation and 271 mineralised veinlets (red line), B) Photomicrograph (XP; TTGW_11_3_17) showing typical grain size and texture 272 of Waipapa greywacke C) Photomicrograph (XP; TTGW_11_3_17) showing basement mineralised 273 intragranular fractures in quartz grains, D) Photomicrograph (XP; TTGW_11_3_17) showing mineralised 274 intergranular veinlets in Waipapa greywacke, E) SEM backscatter image (TTGW_11_3_17) showing 275 mineralised intragranular fractures within a quartz grain, F) SEM backscatter image (TTGW_11_3_17) showing typical 276 grain sizes and textures of Waipapa greywacke basement and the igneous textures noted in lithic grains. Qz = quartz, Pl 277 = plagioclase.

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279 **3.2 Porosity and bulk density**

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Table 2 provides an overview of the mechanical (tensile and unconfined strength), elastic,

and physical properties of intact Waipapa greywacke.

Property	Measured Range	# of Tests	Mean	Standard Deviation
Tensile Strength	14.4 - 32.42	10	21.07	8.28

(MPa)				
UCS (MPa)	205 - 384	10	285.6	60.17
Poisson's Ratio (υ_S)	0.19 - 0.36	10	0.316	0.1
Young's Modulus (E_S) (GPa)	54 - 85	10	72.8	9.04
Poisson's Ratio (υ_D)	0.28 - 0.30	3	0.29	0.01
Young's Modulus (E _D) (GPa)	80 - 84	3	81.7	2.08
Density (ρ) (g/cm ³)	2.721 - 2.731	3	2.726	0.0051
Porosity (φ) (vol%)	0.841 - 1.304	17	1.035	0.152

Table 2: Summary of the measured physical properties of Waipapa greywacke from this study.

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285 **3.3** Tensile strength

A standard deviation in tensile strength for the Waipapa greywacke samples indicates some variability (Table 2). Studies about the precision and reproducibility of tensile strength tests have been made by ASTM testing multiple specimens of different rocks (ASTM, D3967 - 08). Variability of tensile strengths measured here is not outside the norm for these types of experiments [Gale and Holder, 2008].

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292 3.4 Uniaxial compressive strength (UCS) and static elastic moduli

For all UCS tests (Table 1), stress-strain curves show a slight concave-upwards trend at low loads, followed by a linear region showing no ductile behavior up to failure (Figure 4). No discernible yield point was observed before failure. Static Young's moduli range between 54 and 85 GPa and static Poisson's ratios range from 0.19 to 0.36 (Table 2).



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Figure 4: Stress-strain curves obtained from the uniaxial test of the Waipapa greywacke sample
 TTGW_11_2_2. These results are typical of the UCS tests in general.

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303 3.5 Triaxial compressive strength

Permeability measurements (Table 1) performed prior to the start of the triaxial test provide an intact rock permeability of 1.65×10^{-21} m². Axial loading proceeded at a displacement rate of 0.1 microns/s, and the loading was stopped at regular intervals in order to obtain P and S wave velocity and permeability measurements (Figure 9). The axial displacement reported is corrected for the elastic distortion of the loading column which is 180 kN/mm for the apparatus.

The loading curve in Figure 5 shows a typical, initial concave upwards trend, then quasilinear loading, the slope of which provides a static Young's modulus of ~55 GPa. No yield is observed as failure (314 MPa) is approached, and the sample fails while still under quasi-linear loading. Reloading of the sample after failure occurs along a shallower gradient indicating the fractured sample is more compliant, and a residual strength of ~120 MPa is recorded.

Throughout the triaxial test, as strain accumulates before failure is reached (Figure 5), the permeability does not vary greatly. The small changes observed up to displacements of ~0.42 mm are likely a result of measurement variability. Only immediately before failure is a dramatic increase in permeability seen, where the permeability increases by ~4 orders of magnitude. During this part of the loading history, the pore volume shows a decrease of 2.73 mm³ (Figure 6). Most of this decrease occurred during the initial loading of the sample.

Following failure and unloading of the sample, the permeability is much lower than seen at peak stress. Following residual strength yield, and a small amount of shearing along the shear fracture created during loading, the permeability increases slightly again.

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Figure 5: Results from the triaxial loading experiment. The blue line shows loading of the sample to failure,
followed by unloading and reloading the sample with the shear fracture to residual failure. The red squares
show the evolution of permeability during the loading history.

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Figure 6: Pore volume change with increasing differential stress during the triaxial test.

3.6 Scanning electron microscopy observations

SEM observations (Table 1) of the shear fracture generated by the failure of the core during the triaxial test reveal it is filled with fragments of greywacke material (Figure 7a). The upper extremity of the fracture, where the sample comes into contact with the loading platen, widens and has a triangular shape, filled with large greywacke fragments (≤ 0.675 mm) set in a finer matrix ($\leq 200 \ \mu m$ sized cement grain). The typical aperture of the fracture is <1 mm. A network of subsidiary fractures develops close to both sides of the main rupture. This subsidiary fracture network is variable and includes both relatively wide fractures and clusters of narrower cracks. The subsidiary fractures have variable morphologies that are broadly parallel and sub-parallel to the main fracture, and parallel to the loading direction. Very few subsidiary brittle deformation features are observed at greater distance from the main rupture in the tested sample.



Figure 7: A) SEM image of the greywacke sample TTGW_11_3 brought to failure in triaxial conditions. The deformed sample was mounted in epoxy resin and cut and polished for imaging within the SEM. This image displays the shear fracture and its filling material. A microfracture network is visible to the left side of the primary shear fracture. B) This image is the result of an assembly of images that have been acquired using the SEM. It shows an induced fracture in a greywacke disk (TTGW_11_3_17) using the Brazilian jig.

The synthetically produced fractures from Brazilian loading (Figure 7b) show very little comminution and, in contrast to the shear fracture (Figure 7a), few fragments contained within the fracture. Fracture walls are a mixture of tortuous and straight, and rough and smooth, and the fracture width is consistent (~25 μ m). There is no development of a damage zone or subsidiary fractures around the main fracture (Figure 7b). Similar post-failure conditions occurred for TTGW_11_3_5 sample (Figure 10).

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4 3.7 V_p , V_s , and dynamic elastic moduli

 V_p and V_s values were acquired during both uniaxial and triaxial tests (Table 1). The *P*-wave and *S*-wave data were measured along the sample axis. From uniaxial tests, V_p varies from 6,072 to 6,410 m/s (Figure 8), and V_s varies from 3,423 to 3,605 m/s. From the triaxial test, peak V_p is 6,334 m/s and peak V_s is 3,647 m/s. Dynamic Poisson's ratio was in the range of 0.28 - 0.30 and dynamic Young's modulus was in the range 80 to 84 GPa.

Figure 8 shows the trend of V_p and V_s for Waipapa greywacke during uniaxial testing. Data shows an initial rapid increase in V_p and V_s as the differential stress is increased (Figure 8). After that, V_p and V_s assume a sub-horizontal trend and show little changes up to the point of failure. In detail, all the V_p curves show fluctuation with a general increasing trend, while, for the V_s , in the beginning the trend is upward, then it levels out until failure. Data from the triaxial test reveals a similar trend between V_p , V_s , and axial stress (Figure 9).



Figure 9: Seismic wave velocities (V_p and V_s) measured during triaxial compressive test.

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385 **3.8 Single fracture permeability**

As confining pressure increased a monotonic decrease in permeability is observed for the single, Mode I fracture in Waipapa greywacke (Figure 10). In both experiments, a similar trend in permeability response is observed, with permeability measurements (Table 1) between 10^{-16} m² and 10^{-17} m² made at 40 MPa confining pressure, and permeability measurements of ~ 10^{-19} m² made at 150 MPa confining pressures. At no point in the experiments did the fracture cease to allow the transmission of fluid along it. We saw that even with 150 MPa confining pressure the permeabilitywas still higher than measured for the intact samples (Figure 10).

Figure 10: Graph of permeability against confining pressure through a single fracture (samples TTGW_11_3_5 (a) and
 TTGW_11_3_17 (b)). Red dashed line indicates where permeability becomes stable.

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398 4 DISCUSSION

The physical properties of a lithology affect its ability to transmit fluid within it. The matrix permeability of a rock is the key factor influencing fluid flow in a rock type, which in turn is strongly influenced by porosity, pore connectivity and, for low porosity lithologies, microfractures. Beyond matrix permeability a lithology's ability to transmit fluid is dictated by the presence of structures [Evans et al., 2005], and the formation of structural permeability within a lithology is related to the mechanical properties of the rocks that constitute it. We discuss here our findings in the context of how they help understand fluid flow within Waipapa greywacke basement units.

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407 **4.1** The role of microfractures in Waipapa greywacke mechanical strength

The Waipapa greywacke mechanical strength reported here is high. As a comparison, the mechanical strength of the Waipapa greywackes (Table 3) is higher than that found in other

lithologies reported as mechanically strong such as Westerly granite (UCS strength ~200 MPa 410 [Mitchell and Faulkner, 2008; Heap and Faulkner, 2008]), or the Rotokawa Andesite, another 411 important geothermal reservoir rock in the TVZ (UCS strength of 60-211 MPa; [Siratovich et al., 412 413 2012, Siratovich et al., 2014]). Intact sedimentary rock strength is dependent on a variety of factors including the rock's diagenetic history, composition, maturity level (well-sorted, well-rounded), and 414 the cumulative effect of the various geological processes experienced by them since formation such 415 416 as metamorphism and deformation. Here we attempt to determine the controls on the strength of Waipapa greywacke. 417

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419 In Waipapa greywacke porosity ranges from 0.841 to 1.304 % (Table 3). These measurements of low porosity, coupled with the microstructural observations (Figure 3) illustrate 420 the minimal primary porosity that exists within this lithology. The presence of microcracks in a low 421 422 porosity lithology often contribute little to the overall porosity but can have an important impact on its mechanical strength, and thus the stresses at which it will experience brittle failure [Siratovich et 423 424 al., 2014; Eberhardt et al., 1999; Faulkner et al., 2006; Lajtai, 1998]. Previous studies on low 425 porosity crystalline rocks have shown that the presence of microfractures is evident by deviation from linear elastic behaviour at stress levels well below the failure stress, as well as an increase in 426 volumetric strain [Mitchell and Faulkner, 2008; Zoback and Byerlee, 1975]. Both these 427 observations lend support to the interpretation that, as loading progresses, existing microfractures 428 start to propagate, leading to reduced compliance (related to deviation from linear elasticity) and 429 volumetric strain increase. 430

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There is strong evidence, in addition to the low porosity, that Waipapa greywacke has a low microcrack density. The loading curve (Figure 4) indicates little dilatancy from the volumetric strain curve and there is an appreciable lack of any yield point. This indicates that the formation of any microcrack network prior to failure is very limited, and contrasts with other studies on low porosity rock [Mitchell and Faulkner, 2008; Eberhardt et al., 1999; Zoback and Byerlee, 1975].
Moreover, the direct dilatancy measurements made via volumometry during the triaxial test also
indicate little or no dilatancy prior to failure.

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Elastic wave velocities can be strongly attenuated by the presence of microcracks in 440 crystalline rocks [Siratovich et al., 2014; Vinciguerra et al., 2005; Blake et al., 2012; Heap et al., 441 2014] such that microcracking can strongly reduce V_p and V_s . The mechanical test results from the 442 Waipapa greywackes show, at the beginning of both the UCS tests and the triaxial loading test, 443 there is a short-lived V_p and V_s increase, possibly representing closure of some rare microcracks 444 present in intact greywacke sample. However, with further loading, changes in V_p and V_s are 445 minimal and, importantly, show no appreciable decrease immediately before failure that might 446 indicate the development of a microcrack network (Figure 4; Figure 8). 447

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The lack of microcracks within intact Waipapa greywacke is interesting given this lithology is heavily fractured in-situ. It may be that brittle damage is very localized around macrofractures, as we observed in our failed sample from our triaxial deformation experiment here (Figure 7). Reloading of the failed sample shows a much shallower gradient than initial (pre-failure) loading, indicating that the Young's modulus has appreciably decreased and the fractured sample is much more compliant, consistent with the presence of a larger population of microcracks, particularly in the near vicinity of the microfracture.

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Property	TTGW (this study)	# of Tests	WAGW	# of Tests	Rotokawa Andesite	# of Tests
Tensile Strength (MPa)	14.4 - 32.42	10	20.3 - 35.7	8	9.99 - 24.13	12
UCS (MPa)	205 - 384	10	301 - 310	2	60 - 211	22
Poisson's Ratio (υ)	0.19 - 0.54	10	0.28 - 0.29	2	0.09 - 0.34	22

Young's Modulus (E) (GPa)	54 - 85	10	65 - 70	2	20 - 44	22
Poisson's Ratio (υ_d)	0.28 - 0.30	3	-	-	0.13 - 0.23	22
Young's Modulus (E_d) (GPa)	80 - 84	3	-	-	25 - 46	22
Density (ρ) (g/cm ³)	2.727	3	2.71	2	2.49	22
Porosity (φ) (vol%)	1.035	17	~ 2	2	8.44	22

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Table 3: A comparison of the physical and elastic properties values for Waipapa greywacke samples (TTGW = Taotaorao Quarry; WAGW = Waotu Quarry) measured in this study [this study; McNamara et al., 2014] and Torlesse greywacke [this study (porosity); McNamara et al., 2014, Stewart, 2007], Rototawa andesite [Siratovich et al., 2012, Siratovich et al., 2014]. Mean values are reported for density and porosity. Dynamic properties are identified in this table with the subscript "d" (E_d and v_d).

465 **4.2 Waipapa greywacke permeability**

The arguments presented above for the low microfracture density of the Waipapa greywacke 466 have significant implications for the matrix permeability of this rock. The microcrack density does 467 not have a great influence on the porosity, but the permeability is strongly affected by the presence 468 469 of microcracks [Costa, 2006; Chaki, 2008], though this is strongly dependent on effective stress levels and whether the rock undergoes brittle failure or not [Faulkner and Armitage, 2013; Zoback 470 and Byerlee, 1975]. In this study, Waipapa greywacke displayed no sign of significant dilatancy 471 during deformation experiments (Figs. 4-6), and a lack of decrease in V_p and V_s before failure in 472 both uniaxial and triaxial tests. This is complemented by the absence of enhanced permeability 473 observed during loading to failure in the triaxial deformation experiment (Figure 5). In terms of 474 macrofracture generation within intact Waipapa greywacke, it appears that dilation of axially 475 aligned microcracks and their subsequent coalescence plays a limited role in the brittle deformation 476 and permeability development of this lithology, occurring at stress levels commensurate with those 477 at failure. 478

The results given in Table 2 are comparable to previous measurements on porosity of 479 Waipapa greywacke [Mielke et al., 2016], and porosity and permeability measurements made under 480 the same conditions for Torlesse greywacke (~1.6 %, ~4.824x10⁻²² m²; [McNamara et al., 2014]), 481 which also hosts geothermal reservoirs [Milicich et al., 2016]. These greywacke lithologies display 482 the lowest porosity and permeability values measured to date across a range of New Zealand 483 geothermal lithologies. For comparison, Rotokawa andesite has permeability values four to five 484 orders of magnitude greater than the greywacke units ($\sim 10^{-17} \text{ m}^2$) [Siratovich et al., 2014], and 485 greater porosity values (Table 3), while lithologies from the Tahorakuri Formation, Matahina 486 ignimbrite, Te Teko Formation, Tahuna Formation, and a range of TVZ andesite, dacite, and 487 488 rhyolite lavas all have higher average porosity values [Mielke et al., 2016, Wyering et al., 2014].

As discussed, inherent microcrack density, porosity, and permeability are linked such that 489 increased microcrack density, increases porosity, which in turn can increase permeability [Costa, 490 2006; Chaki et al., 2008], though this is strongly dependant on effective stress levels (Figure 5) and 491 492 whether the rock undergoes brittle failure or not [Zoback and Byerlee, 1975]. The low porosity 493 range reported here for Waipapa greywacke suggests a low density of inherent microstructures in this lithology, and those that are present are minimally connected. This is supported by the low 494 permeability measurements $(1.65 \times 10^{-21} \text{ m}^2)$, the low reduction in volume observed under triaxial 495 496 deformation experiments, and SEM images reported in this study.

497 It has been well demonstrated that faults and fractures in brittle rock are produced by the interaction and fusion of many microcracks [Mitchell and Faulkner, 2008; Lockner et al., 1991; 498 Lockner et al., 1992b; Reches and Lockner, 1994; Healy et al., 2006]. As a result, when differential 499 500 stress is applied to an intact rock sample, microfracture damage increases as the rock approaches failure, and the resultant increasing dilatancy will have direct impacts on both porosity and 501 502 permeability. For example, permeability increases in Westerly Granite as it is triaxially deformed are recorded as the granite failure strength is approached due to increasing development of 503 microcracks, which then increases further as stress is relaxed allowing more connectivity between 504

variable oriented microcracks in the rock [Mitchell and Faulkner, 2008; Zoback and Byerlee, 1975]. 505 506 In this study, Waipapa greywacke displayed no sign of significant dilatancy during deformation experiments, both uniaxial and triaxial (Figs. 4-6), similar to results reported in McNamara et al., 507 508 (2014). This lack of dilatancy is supported by the lack of decrease in V_p and V_s before failure in both uniaxial and triaxial tests, and a lack of increased permeability observed during loading in the 509 triaxial deformation experiment (Figure 5). In terms of macrofracture generation within intact 510 511 Waipapa greywacke, it appears that dilation of axially aligned microcracks and their subsequent coalescence plays either no role in brittle deformation of this lithology, or that it happens within a 512 fast timeframe not captured by our experimental work here. Concerning contributions pre-failure 513 514 deformation makes to Waipapa greywacke permeability, we conclude this is likely to be small, though suggest future experiments of this nature be performed at higher resolution along the stress-515 516 strain path. Our results suggest that Waipapa permeability is dominated by macrostructures, as no 517 permeability is witnessed to be generated by dilatancy effects from deformation in this lithology, agreeing with similar conclusions made elsewhere [McNamara et al., 2014]. 518

519 Passelègue et al. (2018) demonstrate that permeability anisotropy is caused when the intact 520 rock is loaded to macroscopic failure though the rock being deformed is essentially isotropic, indicating that permeability anisotropy begins to evolve only after a lithology is deformed, and that 521 522 anisotropy will be aligned with respect to the stress state that generated the fractures. Considering the low permeability of intact Waipapa greywacke, permeability anisotropy is expected to be an 523 important aspect of this lithology as a geothermal reservoir. Experimental data presented here 524 confirms the introduction of permeability anisotropy within Waipapa greywacke. Rapid, large 525 increases in permeability (around four orders of magnitude, from 10⁻²¹ m² to 10⁻¹⁷ m²) at the point of 526 brittle failure are noted from the triaxial compression experiment (Figure 5) and the single fracture 527 permeability tests (Figure 10). Thus, permeability dramatically increases in Waipapa greywacke 528 rocks as a result of brittle deformation, implying an anisotropic permeability will develop, oriented 529 with respect to a given stress field. 530

Defining the orientation of such permeable anisotropy in Waipapa greywacke not simple 531 532 given the complex nature of the tectonics associated with this lithology in geothermal regions [McNamara et al., 2019]. Within the TVZ the Waipapa greywacke is subject to NW-SE directed 533 extension, with local variations [McNamara et al., 2019]. Under contemporary tectonic conditions, 534 fractures generated in Waipapa greywacke will predominantly align to this stress state (striking NE-535 SW, parallel to σ_2), thus defining the permeability anisotropy. However, the Waipapa greywacke 536 has undergone deformation prior to TVZ rifting resulting in previous brittle deformation in a range 537 of orientations [Roland and Sibson, 2004]. We suggest that lateral permeability anisotropy in 538 Waipapa greywacke will be aligned to the strike of the TVZ rift (NE-SW), in which both brittle 539 540 structures formed during rifting, and brittle structures formed pre-rifting, but preferentially aligned for slip in the current stress field, control geothermal fluid flow. Indeed, a dominant NE-SW 541 542 fracture strike orientation is shown within fluid flow zones in geothermal wells drilled into Torlesse 543 greywacke basement at the Kawerau Geothermal Field [Wallis et al., 2004], establishing these fracture patterns do exist in greywacke basement type lithologies in the TVZ. Implications of our 544 545 findings for geothermal fluid flow in the Waipapa greywacke reservoir Ngawha Geothermal Field 546 are more difficult to determine due to a lack of direct structural or stress information from this location [Mongillo, 1985]. Reported structures have E-W, and NE-SW strike orientations and are 547 548 associated with NW-SE directed extension in the region [Bayrante and Spörli, 1989]. Assuming this structure-stress arrangement, we can infer from out experimental results that a macrofracture related 549 permeability anisotropy aligned NE-SW would form in the Waipapa basement of the Ngawha 550 Geothermal Field. 551

In a geothermal reservoir where permeability is controlled by macrostructures, such as those in Waipapa greywacke, the ability for brittle structures to maintain fluid flow is important to the resource longevity and sustainability. This study examined the effect of single macrofracture behavior on the permeability of Waipapa greywacke. Macrofracture closure pressures of synthetically generated fractures in Waipapa greywacke falls between 95 to 112 MPa. At these

closure pressures, fluid flow across the fracture was observed to continue, developing a stable 557 permeability around 10^{-19} m² for confining pressures between 95 and 150 MPa (Figure 10). Thus, 558 even at closure, macrofractures in the Waipapa greywacke provide continued permeability (2 orders 559 560 of magnitude higher than intact greywacke permeability). Permeability measurements on fractured greywacke under triaxial conditions also support persistent permeability in greywacke fractures post 561 initial failure (Figure 5). Similar large increases in permeability from inducing a single fracture are 562 reported from dense volcanic rocks in geothermal regions [Lamur et al., 2017]. Persistent 563 permeability in Waipapa greywacke fractures under closure pressures may be facilitated by 564 incomplete fracture closure due to the presence of fragments inside the fractures propping it open, 565 566 asperity generation, permeability via secondary brittle damage generated around the main brittle fracture (Figure 10). Our observations suggest all three possibilities may contribute in some way. A 567 size range of wall rock fragments are observed within the triaxial fracture experiment, some of 568 569 which are likely more than significant to prop open a newly generated fractures and facilitate ongoing fluid flow within it. The longevity of this propping is uncertain, as over time with ongoing 570 571 fracture slip, these fragments may be constantly reduced in size and maintain less open space. With 572 enough time and comminution of facture fill material, combined with fluid-rock interaction, gouge may be generated and potentially seal the fracture completely to fluid flow. Asperity generation in 573 fractures tested here will certainly play a role in ongoing fracture permeability after closure 574 575 pressures are reached, as morphologically different fracture walls are observed in fractures generated in both triaxial and tensile tests. As with grain propping, there is a time limit to the ability 576 for asperity to maintain fluid flow in a fracture as consistent slip will eventually grind these 577 asperities down. The exact contribution secondary brittle damage makes to observed greywacke 578 permeability is unknown, which makes it difficult to address in terms of their contribution to 579 580 permeability under closure pressures. Given these secondary structures generated under the same 581 experimental conditions as the primary brittle failure it is reasonable to assume they would behave

similarly to the main fracture, though given their more varied orientation with respect to σ_1 , and the high level of intersection observed this is questionable and requires further study.

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585 It should be noted that the results from the measurements on the single fracture are represented in terms of permeability to allow direct comparison with the permeability of the intact 586 rock. Strictly, reporting permeability for fracture flow is not correct, as the calculation assumes that 587 fluid flow occurs over the crossectional area of the specimen, whereas for rocks with a low matrix 588 permeability, fluid will predominantly flow through the fracture. Consequently, the 'permeability' 589 becomes scale dependent [Heap and Kennedy, 2016]. This can be illustrated by considering that if 590 591 the sample diameter were doubled, the flow would also double, as the length of the fracture has increased by a factor of two. However, the crossectional area used in the permeability calculation 592 would quadruple and the permeability would appear to half for the larger specimen. Hydraulic 593 594 transmissivity, the product of the fracture permeability and its thickness, is a better way to represent 595 the flow properties of fractures. This parameter can be derived from the pulse transient 596 measurements we made using the methodology described by Rutter and Mecklenburgh (2018).

In summary, intrinsic microfracture density in Waipapa greywacke is low, and the development, coalescence, and growth of the microfracture network before failure is minimal. Consequently, microfractures do not play a key role in the formation of permeable structures within the Waipapa greywacke lithology. Macrofractures demonstrably increase permeability in Waipapa greywacke, contribute to long-lived permeability post-failure, and the permeability they develop within this lithology is anisotropic, and so permeability vectors in the greywacke units will be related to the local and regional stress conditions.

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608 5 CONCLUSIONS

Our experiments indicate a high mechanical strength for intact Waipapa greywacke lithology that is partly due to a low density of inherent microcracks. This lack of microcracks contributes to the low intrinsic porosity and permeability of intact Waipapa greywacke. Our experiments confirm that the Waipapa greywacke lithology will only support fluid flow via the generation of macrostructures. Furthermore, these macrofractures maintain a level of permeability after stress conditions relax (fracture closure). Brittle fractures generated in Waipapa greywacke thus remain important permeable components in this lithology for an undetermined length of time after their formation. Such macrofracture controlled permeability is inherently anisotropic and as such the directionality of fluid transmission in the Waipapa greywacke will be strongly controlled by the arrangement of the local tectonic stress field as this controls orientation of microfracture development. Given that structures impart strong permeability anisotropy to Waipapa basement, any fluid flow modeling of geothermal systems within such geological units should account for this. Further studies on Waipapa greywacke mechanical strength that simulate deeper crustal levels, and flow experiments through single macrostructures under such conditions are required to better quantify the fluid flow properties of this basement lithology needed for accurate modelling.

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913	Declarations
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916	Availability of data and materials
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919	The datasets used and analyzed during the current study are available and have been attached as
920 921	submitting files. Information and settings about the machines employed in the experiments are available at Rock Deformation Laboratory - Department of Earth Ocean and Ecological Sciences -
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941 942 943 944 945 946	AM planned study strategy, made the rock samples for the tests, designed the jig for Brazilian test, performed all scientific experiments and measurements, analyzed and interpreted the experiments' data, observed thin sections at optical and SEM microscopes, built up graphs for the data interpretations, designed a schematic illustration of the sample assembly, made all the data tables presenting data collections, contributed in writing the manuscript.
947 948	DRF planned study strategy, supervised laboratory operations, analyzed and interpreted the experiments' data, contributed in writing the manuscript.
950 951 952	DDM planned study strategy, provided rough rock samples from the field, collected SEM images, analyzed and interpreted the experiments' data, contributed in writing the manuscript.
953 953 954	All authors read and approved the final manuscript.
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