

SPINK7 Expression Changes Accompanied by HER2, P53 and RB1 Can Be Relevant to Predict Oral Squamous Cell Carcinoma at Molecular Level

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1 **SPINK7 expression changes accompanied by HER2, P53 and RB1 can be relevant to predict**
2 **oral squamous cell carcinoma at molecular level**

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37
38 **Abstract**

39 The oral squamous cell carcinoma (OSCC) affects patients worldwide with a high morbidity rate.
40 Changes of SPINK7 in precancerous lesions could promote oncogenesis. Our aim was to evaluate

41 SPINK7 as a potential molecular biomarker predictive of OSCC stages, compared with: HER2, TP53,
42 RB1, NFKB and CYP4B1. Oral biopsies from patients with dysplasia (n=33), less invasive (n=28)
43 and highly invasive OSCC (n=18) were used. 20 cases with a clinical suspicion but normal mucosa
44 confirmed were the control. Gene levels of *SPINK7*, *P53*, *RB*, *NFKB* and *CYP4B1* were quantified by
45 qPCR. *SPINK7* levels were correlated with a cohort of 330 patients from the TCGA. Also, SPINK7,
46 HER2, TP53, and RB1, were evaluated by immunohistofluorescence. One-way Kruskal-Wallis test
47 and Dunn's *post-hoc* with a $p < 0.05$ significance was used to data analyze. In OSCC, *SPINK7*
48 expression was down regulated and *P53*, *RB*, *NFKB* and *CYP4B1* were up regulated ($p < 0.001$). Also,
49 *SPINK7* was diminished in TCGA patients ($p = 2.10e-6$). In less invasive OSCC, SPINK7 and HER2
50 proteins were decreased and TP53 and RB1 increased respect to others groups ($p < 0.05$). Our results
51 suggest that SPINK7 changes accompanied of HER2, P53 and RB1 can be used to classify the
52 molecular stage of OSCC lesions, allowing a diagnosis to molecular and histopathological level.

53

54 **Key words:** Oral squamous cancer; early detection; molecular biomarker; SPINK7; epithelial cells;
55 Oral epithelial dysplasia.

56

57 **Introduction.** Oral squamous cell carcinoma (OSCC) is the most common malignancy of the head
58 and neck, with a high morbidity rate (37.8%) five years after diagnosis ¹. Despite great improvement
59 in treatment and therapy, prognosis remains poor ². Furthermore, OSCC often causes dysfunctions
60 and aesthetic disorders, and have a high incidence of cervical lymph node metastasis, worsen
61 patients quality of life ³. Several tumor biomarkers have been suggested as predictive for OSCC
62 prognosis with poor outcome ⁴, however, specific molecular prognostic factors have only been
63 partially identified ⁵. The pattern of invasion (POI) presented by Brandwein-Gensler et al., classifies
64 the POI in five types ⁶, and has been validated as an independent prognostic factor in oral cancer ⁷.
65 However, it is necessary to identify changes in proteins and genes, to improve diagnostic strategies
66 in precancerous, invasive and metastatic stages ⁸.

67 One of the cancer hallmarks is the alteration of molecules related to cell adhesion and migration ⁹.
68 Adhesion molecules play a central role in pathogenesis and progression of malignant tumors ¹⁰.
69 Serine Peptidase Inhibitor, Kazal Type 7 (SPINK7, ECRG2) belongs to a family of 13 members (1-
70 13) of proteins with inhibitory Serine Peptidase activity identified in 1998 in esophageal tissue ¹¹. This
71 novel tumor suppressor gene was identified as a tumor suppressor gene by comparing normal
72 esophageal epithelia and primary squamous cell carcinomas tissues ^{12,13}. It has been reported that
73 SPINK7 inhibits tumor cells growth, promotes cell apoptosis, and inhibits cancer cell migration,
74 invasion and metastasis *in vitro* ^{14,15}.

75 The HER2, P53, RB1, NFKB and CYP4B1 genes and their proteins have been described altered in
76 OSCC carcinogenesis ¹⁶. The human epidermal growth factor receptors (HER/EGFR) are a family of
77 trans membrane tyrosine kinase receptors comprising 1 to 4 (HER1-4) ¹⁷. The overexpression of HER
78 is involved in the development of oncogenesis, including OSCC, through regulating different cellular
79 pathways. HER2 (also known as C-erbB-2/ERBB2/ErbB2) plays a critical role in the cell proliferation,
80 survival, migration, angiogenesis, and metastasis through a variety of intracellular signaling cascades

81 such as MAPK/ERK1/2 and Pi3K/Akt^{18,19}. An imbalance in these pathways can lead to permanent
82 activation^{20,21}. Studies have established a marked correlation between HER2 expression and the
83 poor survival of OSCC patients²². It has been reported that the SPINKs protein family share 50% of
84 homology to EGF molecule and can interact by binding to EGFR, activating EGFR downstream AKT
85 signaling pathway, inducing epithelial mesenchymal transition²³. The SPINK6 protein is secreted and
86 act as a functional regulator of nasopharyngeal carcinoma cells metastasis through the bound to
87 EGFR extracellular domain²³.

88 In cancer cells, tumor-suppressor genes like Protein 53 (TP53) and Retinoblastoma (RB1) are
89 inactivated by mutation, deletion and methylation²⁴. It is well established that TP53 is a genome
90 guardian and plays a pivotal role in regulating the cell cycle, cellular differentiation, DNA repair, and
91 apoptosis^{25,26}. Somatic mutations in TP53 are detected in >60% of OSCC and in 10% of oral
92 dysplasia²⁷. Recently, Genome Wide Association Study data has shown that TP53 is usually mutated
93 in papillomavirus-negative OSCC patients²⁸. The TP53 mutations in OSCC (classified in low- and
94 high-risk missense mutations) are associated with resistance to Cisplatin, distant metastasis and poor
95 prognosis²⁹⁻³¹. The overall survival of TP53-mutant OSCC patients is also markedly worse than
96 patients with TP53 wild-type³². Previous studies reported that SPINK7 also participates in
97 centrosome amplification in TP53-dependent manner and has a role in maintaining chromosome
98 stability³³. Other gene altered is RB1 that plays a key role in the regulation of cell cycle and
99 differentiation. Its active form is phosphorylated (pRB1), acts a regulator at the G1-S restriction point
100 arresting the cell cycle³⁴. Mutations lead to functional pRB1 inactivation and failure of growth and
101 tumor suppression control³⁵.

102 Another molecule altered in carcinogenesis is the Nuclear factor-κB (NFKB), this is a proinflammatory
103 transcription factor that plays a pivotal role in initiation and progression of the cancer³⁶. NFKB is
104 constitutively activated in OSCCs and is involved in promoting the invasive characteristics³⁷.
105 Regarding the cell detoxification machinery, Cytochrome P450 enzyme family (CYP450) is one of the
106 most important^{38,39}. Their activity consists in catalyzing reactions that participate in both biosynthesis
107 and degradation of drug metabolism and xenobiotic biotransformation pathways⁴⁰. These enzymes
108 can participate indirectly in the OSCC carcinogenesis through activation and detoxification of these
109 compounds^{38,40}.

110 The Cancer Genome Atlas (TCGA) is an important tool to provide expression profiles from cancer
111 patient samples and the associated clinical-pathological data for > 30 human cancer types⁴¹.
112 However, there are few studies on genome-wide profiling of OSCC tumors.

113 The aim of this work was to determine if SPINK7 gene expression is associated to molecules altered
114 in cancer p53, RB1, NFKB, CYP4B1 and HER2 as a good biomarker candidate of premalignant
115 epithelial oral lesions and OSCC stages, having potential therapeutic applications (early detection
116 and targeted therapies).

117

118 **Results.**

119 **Study population data.** Of a total of 71 Caucasian patients with oral dysplasia or OSCC were
120 consent and enrolled in the present study. The average age was 52 years. The predominant gender

121 was male. Of the total of patients 30% had no smoking habits, 20% were light smokers (less than 10
122 cigarettes daily), 40% heavy smokers (more than 10 cigarettes daily) and a 10% did not specify if
123 they had smoking habits. Being the most frequent tumor location the tongue ride (80%) (**Table 1**).

124 **The oral epithelium changes among OSCC stages.** To analyze epithelial changes in OSCC
125 progression, the oral biopsies were evaluated by H&E and classified in dysplasia, less invasive and
126 highly invasive OSCC. We found 33 cases of dysplasia, 28 cases of less invasive OSCC and 18
127 cases of highly invasive OSCC. In all dysplasia cases, the tissue did not show loss of basement
128 membrane continuity or presence of epithelial cells invading the stroma, although an increase in
129 epithelial cell layers and presence of mild leukocyte infiltrate was observed (**Figure 1A-D**). In the
130 OSCC groups both (less invasive and highly invasive), showed more than 5 layers of epithelial cells,
131 hyperchromatism, cellular atypias and presence of keratin pearls, loss of continuity of the basement
132 membrane and severe leukocyte infiltrate (**Figure 1B-C-E-F**). In the highly invasive OSCC group,
133 epithelial cell nests were observed in the stroma, total epithelial disorganization, keratin pearls and
134 leucocyte infiltration severe. These results were correlated with poor prognosis (**Figure 1C**). The
135 inserts (black square) shown at high magnification the oral epithelium changes among the OSCC
136 stages (**Figure 1D-F**).

137 **SPINK7 generate a distinctive molecular signature among the OSCC stages.** To evaluate the
138 molecular status of the biopsies among the OSCC stages, we assessed the gene expression of
139 *SPINK7* with reported altered genes in carcinogenesis: *TP53*, *RB1*, *NFKB* and *CYP4B1* in the groups.
140 We found *SPINK7* progressively down-regulated in oral dysplasia and OSCC groups respect
141 to control ($p < 0.001$). Regarding *TP53*, *RB1*, *NFKB* and *CYP4B1* all were upregulated in OSCC groups
142 with respect to dysplasia and control groups ($p < 0.001$). Additionally, with exception of *SPINK7*, we
143 observed differential expression levels of the rest of the genes between less invasive OSCC and
144 highly invasive OSCC groups (*TP53*, *RB1* and *NFKB* ($p < 0.05$); *CYP4B1* $p < 0.001$)) (**Figure 2A**). The
145 differences observed regarding the gene expression among the groups were correlated with a poor
146 prognosis.

147 **SPINK7 was down regulated in patients from TCGA.** To evaluate if the *SPINK7* gene expression
148 profile observed in our study population was reproducible with other cohort of OSCC patients, we
149 analyzed the gene expression levels in 581 patients with OSCC from the TCGA (primary tissue) and
150 the results were compared with normal subjects data (normal tissue) through *in silico* analysis⁴². The
151 results were graphed as box plot comparing the gene expression of normal group (box plot blue)
152 versus primary tumors group (box plot yellow) showing significant downregulation of *SPINK7* $p = 2.10 \times 10^{-6}$
153 and this result was correlated with our results respect to *SPINK7* gene expression (**Figure 3A**).

154 **SPINK7 does not show mutations according to TCGA mutation gene profile.** To understand if
155 the differential expression of *SPINK7* among the OSCC stages is related to a mutational profile, we
156 analyzed *in silico* mutations described to date of a cohort of 329 patients from TCGA database. We
157 identified 15 genes with differential mutations rate associated to OSCC, being gene of interest
158 *SPINK7* included in the analysis. We found that *TP53* was the gene with the highest number of
159 mutations in the OSCC cohort, being mutated in 69% of patients (**Figure 3B**). The most frequent type
160 of variant was missense mutations followed by nonsense mutations, frameshift deletions, and multi

161 hits mutations. *TTN* gene showed a mutation rate of 34%, *FAT1* (26%), *CDKN2A* (22%), *NOTCH1*
162 (18%), *PIK3CA* and *MUC16* (16%), *CASP8* (15%), *SYNE1* (14%), *CSMD3* and *PCL2* (13%) and
163 finally, *KMT2D*, *LRP1B*, *DNAH5* and *FLG* genes were mutated in 12% of the patients. On the other
164 hand, with a more stable mutational profile, we found *RB1* (mutated in 2% of the samples), *HER2*,
165 *NFKB1* and *CYP4B1* (1%) of the cohort. Finally, we found that the *SPINK7* is a genomic stable gene,
166 which showed no mutations in none of the cases analyzed (**Figure 3B**).

167 **SPINK7 and HER2 change differentially among the OSCC stages.** It has been reported previously
168 that SPINKs proteins can interact with HER2 receptor⁴³. We evaluated the presence and abundance
169 of SPINK7 among the different groups and its correlation with HER2 protein by confocal microscopy.
170 We found that SPINK7 (green signal) and HER2 (red signal) were significantly decreased in less
171 invasive OSCC group compared with dysplasia and highly invasive OSCC groups ($p < 0.05$). On
172 another hand, the highly invasive OSCC group showed SPINK7 protein levels similar to dysplasia
173 and no significant differences were found. Regarding HER2, it was found significantly reduced in less
174 invasive OSCC compared to the other groups. Meanwhile, the highly invasive OSCC group showed
175 a significant increase of HER2 compare to less invasive OSCC ($p < 0.001$), being similar to the
176 dysplasia group (**Figure 4A-B**).

177 **SPINK7 and HER2 were colocalized.** Due to the overlapping of signals (yellow signal) between
178 SPINK7 (green signal) and HER2 (red signal) in confocal microscopy we evaluated at high
179 magnification images (120X digital zoom) through colocalization analysis. The intensity variability of
180 both channels was statistically evaluated using Pearson's coefficient of 1 as positive result ($r = 0.99$).
181 Yellow versus red and green pixels were quantified yielding a co-occurrence value of 56.58% (**Figure**
182 **4C**).

183 **TP53 and pRB1 change differentially among the OSCC stages.** To evaluate cell cycle regulators
184 in the OSCC, we analyzed TP53 and pRB1 protein levels among the groups by confocal microscopy.
185 The less invasive OSCC group showed a significant increase of TP53 and pRB1 compared with
186 dysplasia and highly invasive OSCC groups ($p < 0.05$). Regarding the highly invasive OSCC group,
187 both proteins (TP53 and pRB1) significantly decreased respect to the other groups ($p < 0.001$) (**figure**
188 **5A-B**).

189
190 **Discussion.** The oral squamous cell carcinoma has a high morbidity rate in the world¹. Despite the
191 progress in research and therapy, survival has not improved significantly in the last decades⁴⁴. The
192 biomarkers study aims to understand the role of genetic and lifestyle factors of the tumor biology
193 included the OSCC⁴⁵. We studied changes in proteins related with some of the cancer hallmarks
194 (cell survival, cell cycle, inflammation, metastasis and metabolism) to stratify molecularly oral
195 precancerous and cancerous lesions⁴⁶. Currently, the gold standard of OSCC diagnosis is the biopsy,
196 however, the results are observer-dependent and subjective^{44,47}.

197 The current study is reporting the SPINK7 expression changes among the OSCC stages and we
198 propose this protein as a "new biomarker" associated with the natural progression of the OSCC. We
199 found differences among the oral epithelial organization in dysplasia and less or highly invasive
200 OSCC groups, and these results were correlated with the literature^{48,49}, showing a differential gene

201 expression profile by qPCR analysis with a distinctive "molecular signature" in each stage. We found
202 *SPINK7* significantly down regulated at dysplasia and OSCC compared with control group.
203 Meanwhile *TP53*, *RB1*, *NFKB* and *CYP4B1* were significantly up regulated at OSCC stages compare
204 with dysplasia and control groups. The results obtained by *SPINK7* in our study population were
205 compared with a cohort of 541 patients from the TCGA database ⁵⁰. The comparative analysis
206 showed that *SPINK7* was significantly down regulated in patients with OSCC compare to normal
207 tissue and this could be related with the advance grade of the malignant lesion ⁵¹. Additionally, we
208 analyzed the mutation profile of genes described in TCGA altered in OSCC included *SPINK7*, *TP53*,
209 *RB1*, *NFKB* and *CYP4B1*. We found that *TP53* showed a high mutation rate in OSCC meanwhile
210 *SPINK7* was the most stable without any mutation described. These results suggest that the
211 downregulation of the gene would be related to other mechanisms, not associated to *TP53* gene, and
212 need to be explored in a future.

213 Due the SPINK's protein family is related with extracellular matrix remodeling and cell migration
214 regulation ⁴³. We evaluated the abundance of *SPINK7* and *HER2* and if there are correlation between
215 them, because has been reported that *SPINK7* shares 50% of homology with *EGF* ⁴³. We found
216 *SPINK7* up regulated in the highly invasive OSCC group, these results were similar to previous
217 studies describing that *SPINK6* was up regulated in highly metastatic tumors ⁴³. *SPINK6* regulate the
218 metastasis via *EGFR* signaling and their expression levels change during the carcinogenesis ⁵².
219 Interestingly *SPINK7* and *HER2* were overexpressed in the highly invasive OSCC compared with
220 less invasive OSCC. Additionally the *SPINK7* and *HER2* colocalization analysis showed that both
221 proteins are close suggesting interaction, however subsequent tests with a larger sample size are
222 necessary to evaluate and understand its interaction or co-compartmentalization ⁵¹. The differential
223 expression of the studied proteins among the OSCC stages could be related with disorganization of
224 the oral epithelium and to a non-functional protein or absence of their ligands, but it needs to be
225 explored in more detail in the future ⁵³. The differential proteins expression among the stages allowed
226 stratifying the groups to molecular and histological levels correlated with prognosis. It has been
227 reported in esophageal cancer that cells treated with a siRNA for *SPINK1* were resistant to the
228 antitumoral drug Cisplatin ⁵⁴. This could be interesting in order to stratify the patients who respond,
229 or not, to the standard chemotherapy.

230 Regarding the studied cell cycle factors, *TP53* and *pRB1*, we found through confocal microscopy
231 analysis that both proteins were upregulated in the less invasive OSCC respect to dysplasia;
232 meanwhile in the highly invasive OSCC both were downregulated, which consistent with previous
233 studies ⁵⁵. These could be explained according to the TCGA *in silico* analysis with the high rate of
234 mutation profile that both genes showed in OSCC. These results suggest that in OSCC, *TP53* and
235 *pRB1* are present but non-functional and this could be related with a more aggressive tumor ⁵⁵.

236 Our results suggest that the changes in the expression of *SPINK7* can be used to predict the
237 molecular stage of the OSCC lesions. This molecule could be a new "potential" biomarker. Futures
238 studies are needed to validate this novel tumor suppressor gene that could be applied as a possible
239 early diagnostic method to precancerous oral lesions and OSCC.

240

241 **Methods**

242 **Study population.** Patients with suspected oral lesions of OSCC were enrolled. After signing the
243 informed consent, the subjects were interviewed using a standard questionnaire that requested
244 information about socio-demographic, medical, and lifestyle factors. The patients from Department of
245 Head and Neck surgery of The National Cancer Institute, Dental school of Universidad de Valparaíso,
246 Dental school of Universidad del Desarrollo (Chile), The Hospital Lencinas and the Servicio de
247 Estomatología y Medicina Bucal Dental school, Universidad Nacional de Cuyo (Argentina); received
248 a routine intraoral examination and oral mucosal biopsies were taken and classified according to the
249 diagnosis and POI in three groups: oral epithelial dysplasia, less invasive OSCC (POI type 1 and 2)
250 and invasive OSCC (POI type 3, 4 and 5) group. Seventy-one cases of primary OSCC diagnosed
251 over a period of 2 years (2017–2019) were included in the study. None of the patients had received
252 any tumor specific therapy (chemotherapy or radiotherapy) before the resection. Twenty cases
253 diagnosed as inflammatory lesions and histologically confirmed with normal mucosal margins from
254 the resection specimens were included as control group in the qPCR analysis. The Ethics Committee
255 of the School of Medicine of Universidad del Desarrollo (FM-UDD CAS), National Cancer Institute of
256 Chile and Medicine School of Universidad Nacional de Cuyo (FCM-UNCuyo) approved this study
257 according to Declaration of Helsinki to experimentation with human subjects.

258 **Histopathological analysis.** The Oral biopsies were fixed in 10% buffered formalin (Merck, USA),
259 embedded in paraffin (Merck), and sectioned. Tissue sections of 4 µm were deparaffinized with
260 Neoclear (Merck), rehydrated with graded alcohols, stained with hematoxylin–eosin (H&E, Merck),
261 and visualized with a light microscope (DM2000; Leica, Germany). Images were captured with a
262 digital camera (DFC295; Leica). Samples were classified according to the revised criteria given by
263 the World Health Organization (2005). Three independent observers performed histological analyses
264 blind; one of them is a pathologist expert in oral diseases^{56,57}.

265 **Immunohistofluorescence analysis.** Tissue sections of 4 µm were deparaffinized, rehydrated,
266 blocked with 5% FBS (Gibco, USA) dissolved in PBS 1X (Gibco, USA) and incubated overnight at 4
267 °C with a dilution 1:50 of antibodies for anti-SPINK7 (Abcam, ab122326, USA), anti-HER2 (BD
268 Pharmigen™, #554299, USA), anti-p53 (Abcam, (PAb 1801 ab28, USA) and anti-pRB (8516S, Cell
269 signaling, USA). Then, samples were washed with PBS 1X and incubated two hours at room
270 temperature with a dilution 1:400 of Alexa488-conjugated goat anti-mouse IgG or Alexa 555-
271 conjugated rabbit anti-mouse IgG (Cell Signaling, USA). Cross-reactivity of the secondary antibody
272 was tested incubating samples without the primary antibody. Nuclei were counterstained with a
273 dilution 1:1500 of DAPI (Sigma, Aldrich) in PBS 1X. Samples were embedded in fluorescence
274 mounting medium S3023 (Dako cytomation, USA) and scanned in a confocal microscope (Olympus).
275 Five representative optical sections by sample (n=6/group) were photographed using 60X
276 magnification. The images obtained per field of each sample, were processed with the same
277 conditions and the positive protein signal (pixels intensity) was analyzed and quantified using Fiji
278 Image J software (NIH, USA)^{56,57}.

279 **Confocal microscopy analysis** A Gaussian filter of 1 was applied and a constant background value
280 of 150 was subtracted for each image. The same threshold value was set for each channel including

281 the structures of interest and the corresponding masks were obtained. The yellow pixels (red and
282 green pixels overlap) versus the total pixels were quantified and the colocalization was measured
283 with Coloc2 plugin (Fiji ImageJ) ⁵¹.

284 **Gene expression analysis** Total RNA was isolated from the oral biopsies. The mRNA was purified
285 using RNEasy PlusMini Kit (Qiagen, Germany). Contaminating genomic DNA was degraded with 1
286 U of DNase RQ1 (Promega). One µg of RNA was reverse transcribed for 60 min at 42 °C using 200
287 U M-MLV reverse transcriptase (Invitrogen) and 0.5 µM oligo-dT primers (Invitrogen). Real time PCR
288 was performed in a final volume of 10 µL containing 50 ng of cDNA, Power SYBR Green PCR master
289 mix (Life Technologies, Grand Island, NY) and 0.5 µM of each specific primer, using the Step One
290 Plus PCR system (Life Technologies). Controls without reverse transcriptase were included.
291 Amplicons were analyzed according to their size and melting temperature (Supplementary Table 1,
292 S1). To normalize data, 18S RNA and β-actin were used as reference genes. The RNA level of a
293 target gene was calculated using the 2^{ΔCt} method and graphed as fold change ⁵⁸.

294 **Gene expressions TCGA profile.** The data studied was programmatically extracted from the publicly
295 available data set of OSCC from The Cancer Genome Atlas Project (TCGA) on May, 2019 using the
296 recount2 platform (<https://jhubiostatistics.shinyapps.io/recount/>). Non-standardized RNASeq gene
297 expression levels from 548 samples were downloaded. Samples from oral cavity were selected
298 obtaining a final subset of 332 tumor samples and 32 non-tumoral tissue samples. RNA expression
299 levels were evaluated for 6 genes (NFKB1, RB1, TP53, ERBB2, CYP4B1, SPINK7). Crude counts
300 were scaled by the total coverage of the sample (area under the curve, 'AUC') and differential gene
301 expression analysis (DGE) was performed using the generalized linear model method of the EdgeR
302 R package comparing non-tumor versus tumor samples ⁵⁹. Log₂ Fold change values were obtained
303 associated with exact p-values and False Discovery Rate values (FDR). To evaluate gene expression
304 correlation, data was transformed using Voom conversion from the R limma package, allowing normal
305 linear modeling of the RNA counts. Afterwards, pairwise Pearson's product-moment correlation
306 analysis was performed for the aforementioned genes and p-values were calculated ⁵⁰.

307 **Gene mutations TCGA profile.** The mutational analysis of OSCC, data was programmatically
308 downloaded using the TCGA biolinks package of Bioconductor ⁶⁰. Mutation Annotation Format (MAF)
309 files with aggregated mutation information generated from whole-exome sequencing were
310 downloaded. From 546 samples of Head and Neck cancer, 329 samples of OSCC were obtained.
311 The maftools Bioconductor package was used to analyze and visualize the MAF files ⁶¹. An Oncoplot
312 was drawn showing the variants (SNP) of the 15 most mutated genes in OSCC, followed by 5 genes
313 of interest (RB1, ERBB2, NFKB1, CYP4B1 and SPINK7)⁵⁰.

314 **Statistical analysis.** The population distribution of the samples from our patients was non-
315 parametric. Comparisons of gene and protein expression among the groups were performed using
316 One-way Kruskal-Wallis test and Dunn's test as post-test. Stat Graph Prism 5.0 software was used
317 for statistical analysis. Data are presented as median ± SEM, and p<0.05 was considered statistically
318 significant.

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481 **Author's contributions.** The authors of the present work have made substantial contribution to the
482 article. RFR and FAB contributed to the conception and design of the work. FAB wrote the main
483 manuscript text. GP, FVG, WAGA, HFM, JMRP, VAG, SEG, RFR and FAB contributed to the
484 acquisition, analysis and interpretation of data obtained from patient's biopsies. RFR and FAB
485 prepared figures 1-2; 4-5. MEGG, JMFM and FCMZ contributed to the database acquisition, in silico
486 analysis and interpretation of TGCA data obtained from the USA Cancer Atlas and prepared the
487 figure 3. RFR, FAB, MEE and RWC contributed with the discussion section.

488 All the authors reviewed the manuscript.

489

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493 **Ethics approval and consent to participate**

494 The Ethics Committee of the School of Medicine of Universidad del Desarrollo (FM-UDD CAS),
495 National Cancer Institute of Chile and Medicine School of Universidad Nacional de Cuyo (FCM-
496 UNCuyo) approved this study according to Declaration of Helsinki to experimentation with human
497 subjects.

498 **Conflict of interest**

499 The authors declare that they have no competing interests.

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Tables

Population of study data	Number of Patients with OSCC lesions	Percentage of Patients with OSCC lesions (%)
Age		
< 40 years old	12	15%
41-59 years old	32	40%
>60 years old	37	45%
Gender		
Female	32	40%
Male	49	60%
Smoke habits		
No	24	30%
Light smokers (<5/dia)	16	20%
Heavy smokers (>10/dia)	41	40%
Non specific	1	10%

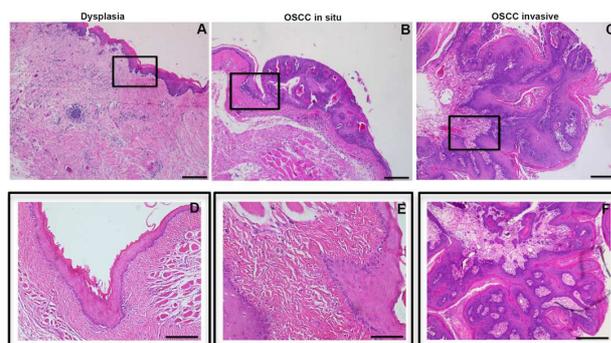
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505 **Table 1. Socio-demographics data of study population**

506 **Figures & legends**

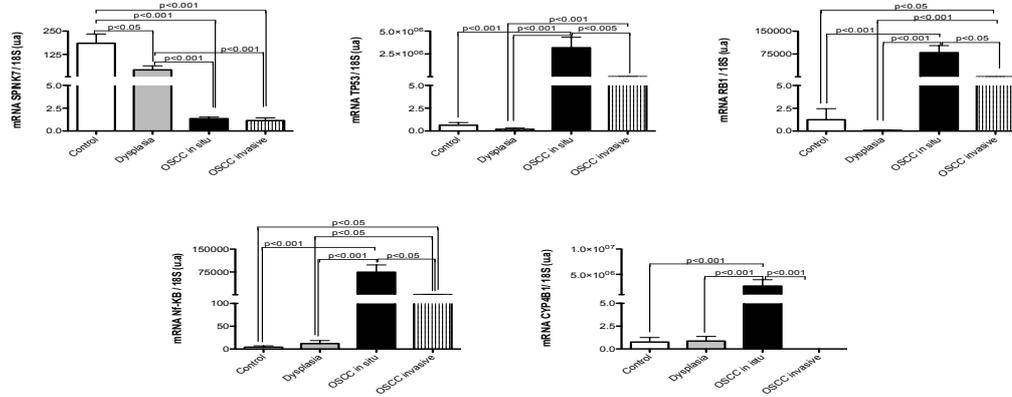


507

508 **Figure 1. OSCC stages H&E analysis.** Oral biopsies of patients were analyzed by H&E and
509 classified into dysplasia (Figures 1A and 1D), less invasive OSCC (Figures 1B and 1E) and highly
510 invasive OSCC (Figures 1C and 1F) according to the changes in the epithelium.

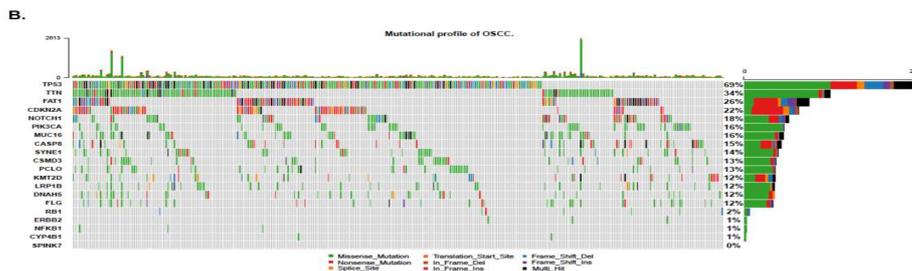
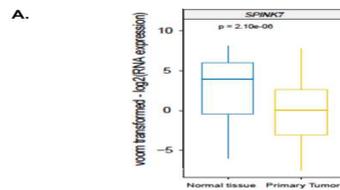
511 The Figures 1A and 1D shown that in all dysplasia cases at different magnification. Regarding the
512 tissue did not show loss of basal membrane continuity or presence of epithelial cells invading the
513 stroma, although an increase in epithelial cell layers and presence of mild leukocyte infiltrate was
514 observed.

515 The biopsies of patients with OSCC both less (Figures 1B and 1 E) and highly invasive cases (Figures
 516 1C and 1F), showed at different magnification more than 5 layers of epithelial cells, hyperchromatism,
 517 presence of keratin pearls, loss of continuity of the basement membrane and severe leukocyte
 518 infiltrate were seen. In the highly invasive OSCC group, epithelial cell nests were observed in the
 519 stroma and severe epithelium disorganization, accompanied of leucocyte infiltration of high grade
 520 (Figures 1C-F).



521

522 **Figure 2. *SPINK7*, *TP53*, *RB1*, *NFKB* and *CYP4B1* gene expression change among the OSCC**
 523 **stages.** The graph bar showed the gene expression evaluated by qPCR of each group (normal,
 524 dysplasia, less invasive and highly invasive OSCC) and the results were expressed as arbitrary units.
 525 The differences were considered statistically significance with *P* values of (**P*<0.05, ***P*<0.01 and
 526 ****P*<0.001).



527

528 **Figure 3. *SPINK7* gene expression and mutational profile in a cohort of patients from the TCGA**
 529 **database.** A) Box-plot derived of TCGA gene expression analysis of *SPINK7* from 581 samples of
 530 normal group (box-plot blue) vs primary tumors group (box-plot yellow). B) The Oncoplot graph shows
 531 the profile of oral cancer mutations taking into account the fifteen genes with the highest number of
 532 mutations (SNP) followed by five genes of interest (*RB1*, *ERBB2/HER2*, *NFKB1*, *CYP4B1* and
 533 *SPINK7*). Each column represents a sample of oral cancer and each color represents a type of
 534 mutation variant. On the right, the size of each bar represents the frequency of mutations throughout

535 all samples and the percentage of samples that have this mutated gene. Variants annotated as
 536 Multi_Hit are those genes that are mutated more than once in the same sample. In the upper part the
 537 number of mutations that each sample has graphed.

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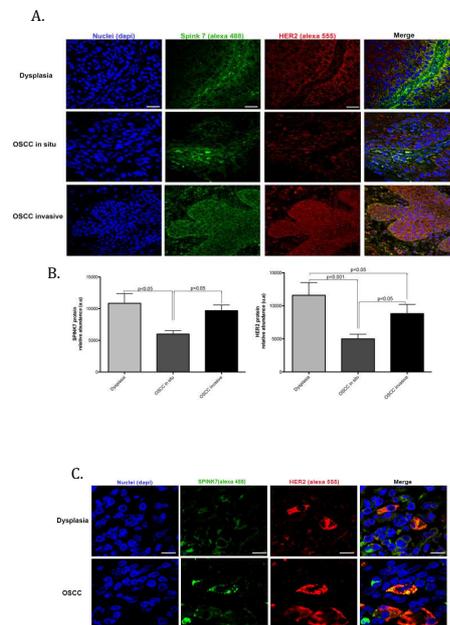
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552 **Figure 4. SPINK7 and HER2 proteins analysis among the OSCC stages.** A)

553 Immunohistofluorescence of SPINK7 (green) and HER2 (red) proteins evaluated in biopsies of
 554 dysplasia, less invasive and highly invasive OSCC. Nuclei were stained with Dapi (blue).

555 The Figure 4B show the graphs bar of the quantitative analysis of pixels intensity (green=SPINK7
 556 and red=HER2) assessed by Image J.

557 The Figure 4C) show the images at high magnification (120X) among the OSCC stages, showing
 558 the close localization of both proteins' signals through overlap image (yellow pixels), Representative
 559 images by group, n=6. White bar = 50 μ m. The differences were considered statistically significance
 560 with *P* values of (**P*<0.05 and ****P*<0.001).

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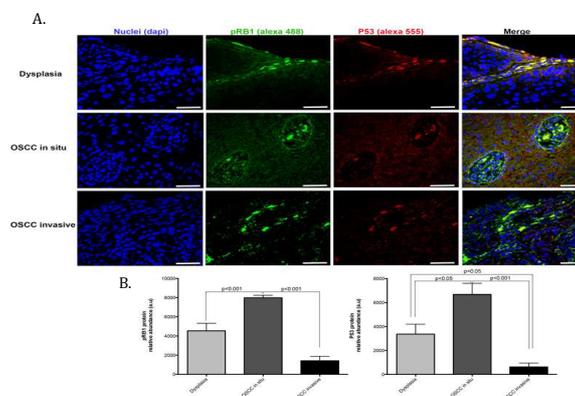
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571 **Figure 5. pRB1 and P53 proteins analysis among the OSCC stages.** A) Immunohistofluorescence

572 of both proteins, evaluated in biopsies of dysplasia, less invasive and highly invasive OSCC. B)

573 Graphs bar show quantitative analysis of pixels intensity (green=pRB1 and red=P53) assessed by
574 ImageJ. Representative images by group, n=6/group. White bar = 50 μ m. The differences were
575 considered statistically significance with *P* values of (**P*<0.05 and ****P*<0.001).

Figures

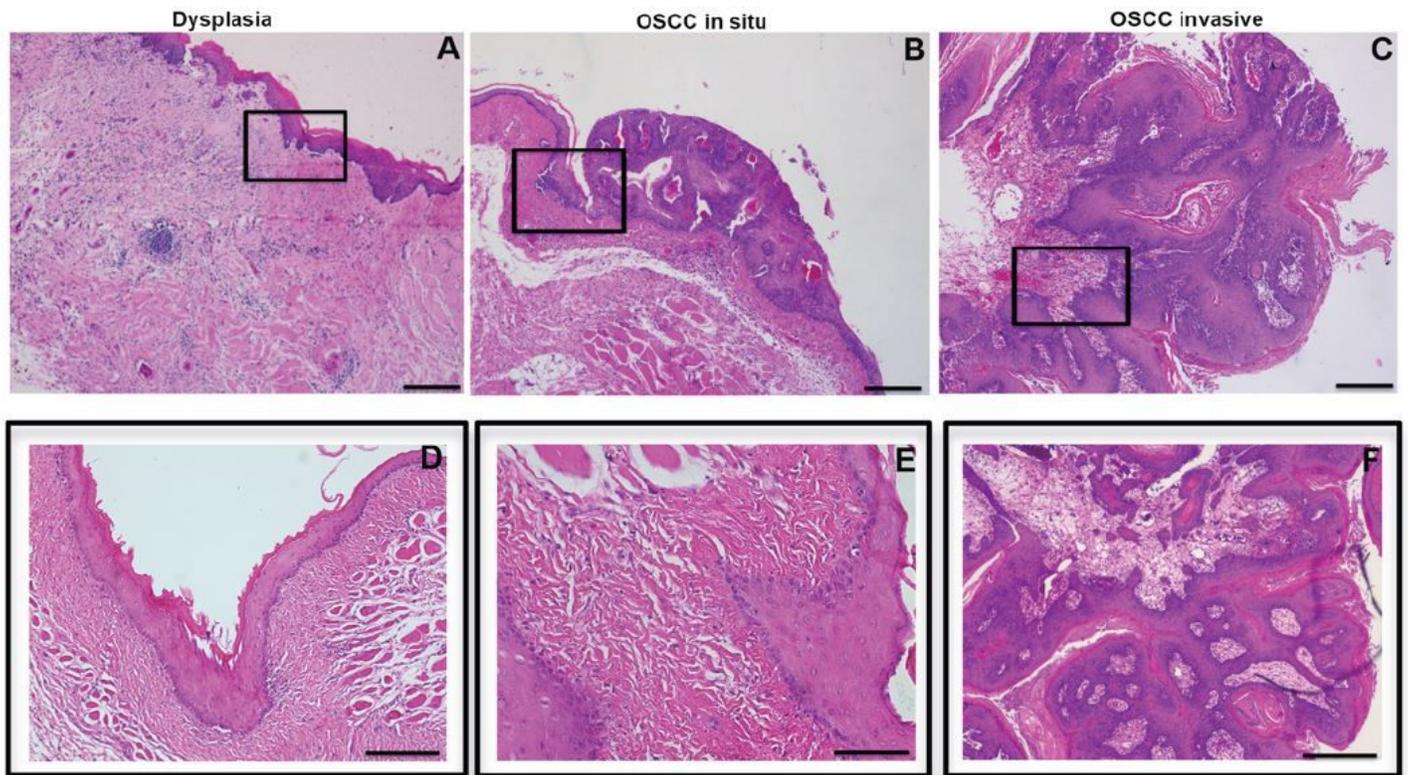


Figure 1

OSCC stages H&E analysis. Oral biopsies of patients were analyzed by H&E and classified into dysplasia (Figures 1A and 1D), less invasive OSCC (Figures 1B and 1E) and highly invasive OSCC (Figures 1C and 1F) according to the changes in the epithelium. The Figures 1A and 1D shown that in all dysplasia cases at different magnification. Regarding the tissue did not show loss of basal membrane continuity or presence of epithelial cells invading the stroma, although an increase in epithelial cell layers and presence of mild leukocyte infiltrate was observed. The biopsies of patients with OSCC both less (Figures 1B and 1E) and highly invasive cases (Figures 1C and 1F), showed at different magnification more than 5 layers of epithelial cells, hyperchromatism, presence of keratin pearls, loss of continuity of the basement membrane and severe leukocyte infiltrate were seen. In the highly invasive OSCC group, epithelial cell nests were observed in the stroma and severe epithelium disorganization, accompanied of leucocyte infiltration of high grade (Figures 1C-F).

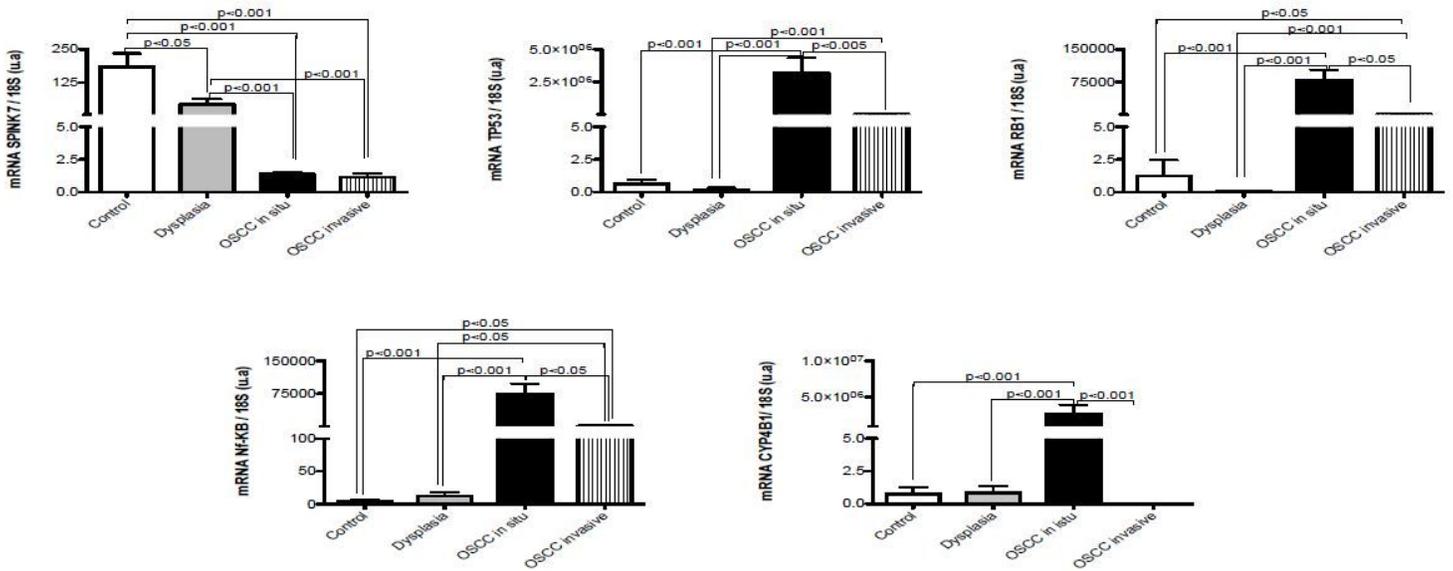


Figure 2

SPINK7, TP53, RB1, NFKB and CYP4B1 gene expression change among the OSCC stages. The graph bar showed the gene expression evaluated by qPCR of each group (normal, dysplasia, less invasive and highly invasive OSCC) and the results were expressed as arbitrary units. The differences were considered statistically significance with P values of (*P<0.05, **P<0.01 and ***P<0.001).

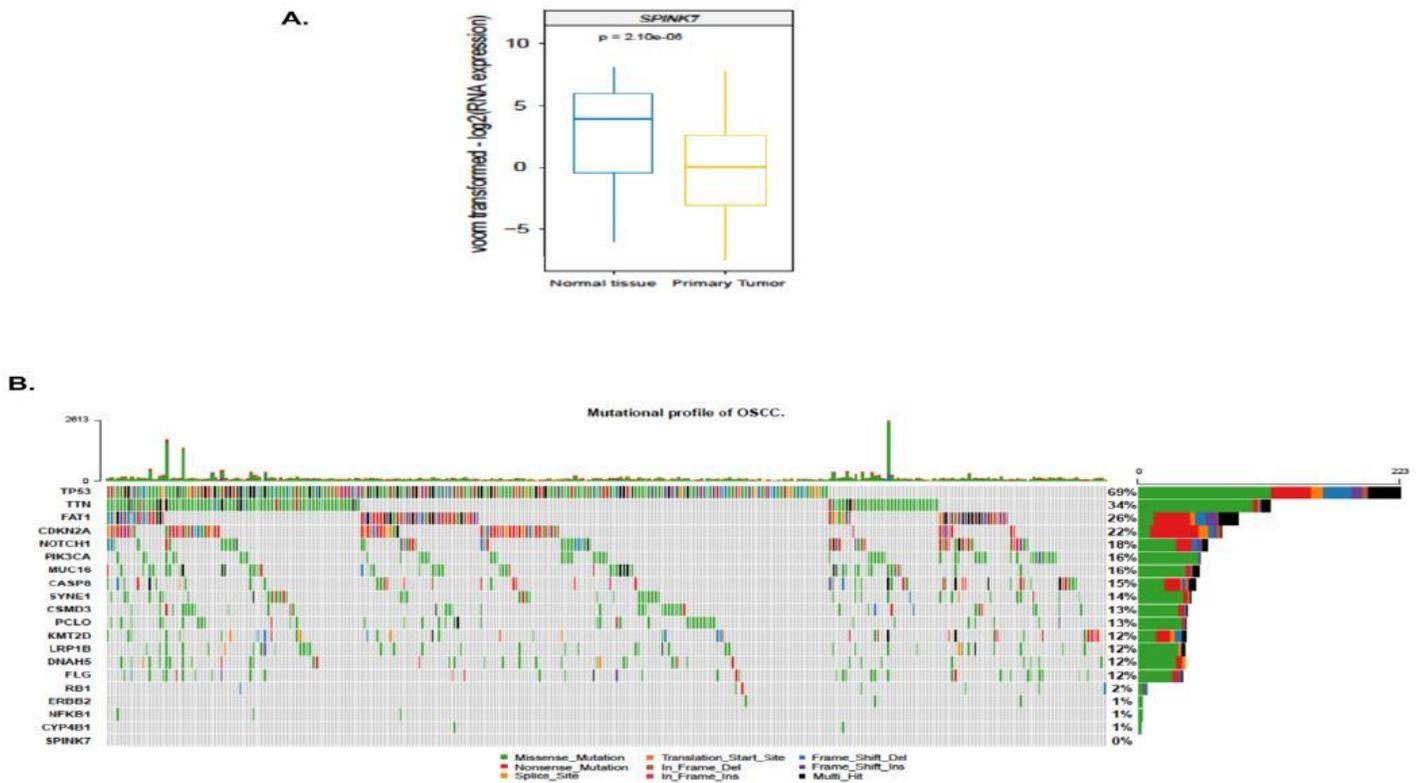


Figure 3

SPINK7 gene expression and mutational profile in a cohort of patients from the TCGA database. A) Box-plot derived of TCGA gene expression analysis of SPINK7 from 581 samples of normal group (box-plot blue) vs primary tumors group (box-plot yellow). B) The Oncoplot graph shows the profile of oral cancer mutations taking into account the fifteen genes with the highest number of mutations (SNP) followed by five genes of interest (RB1, ERBB2/HER2, NFKB1, CYP4B1 and SPINK7). Each column represents a sample of oral cancer and each color represents a type of mutation variant. On the right, the size of each bar represents the frequency of mutations throughout all samples and the percentage of samples that have this mutated gene. Variants annotated as Multi_Hit are those genes that are mutated more than once in the same sample. In the upper part the number of mutations that each sample has graphed.

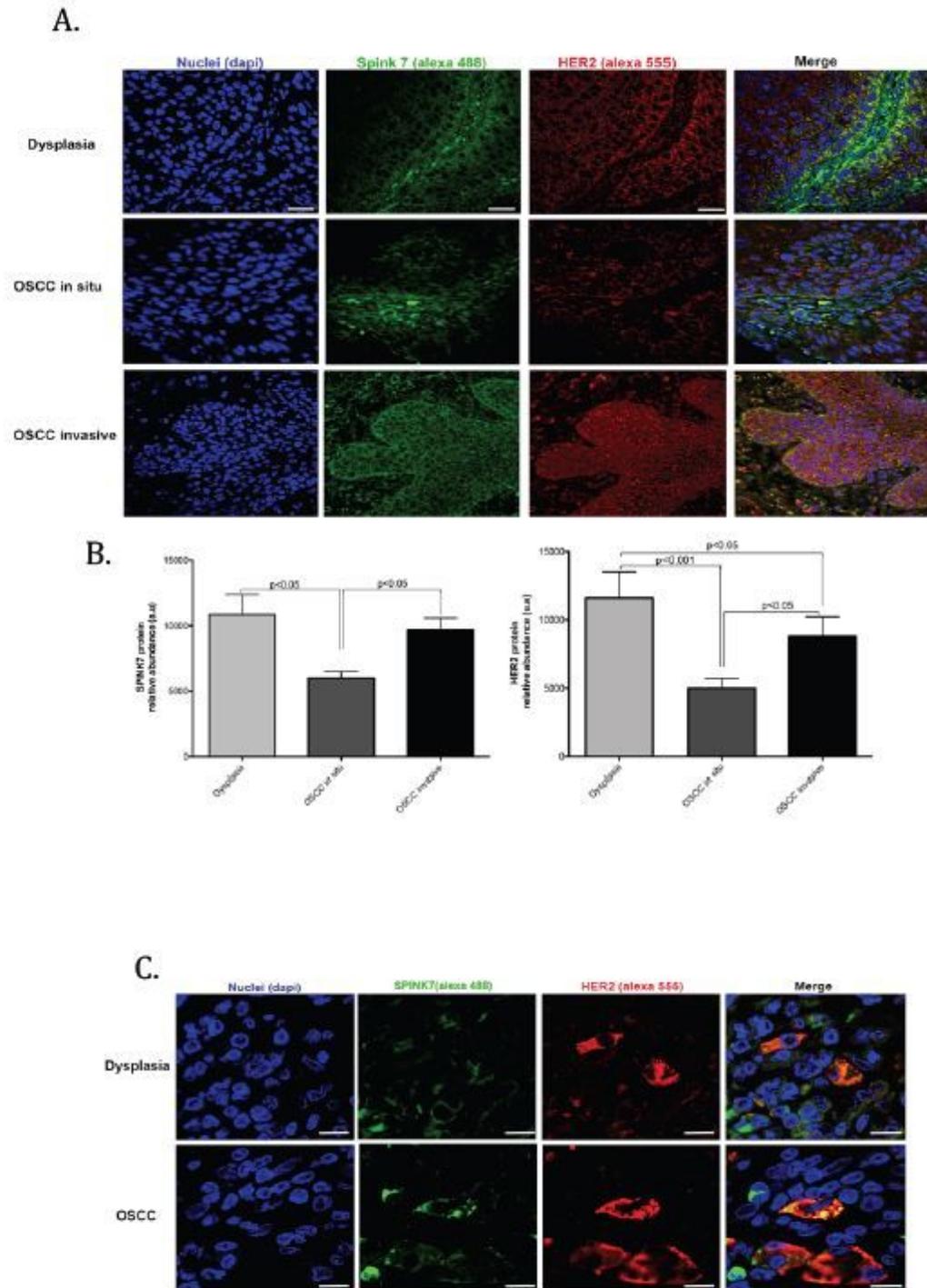


Figure 4

SPINK7 and HER2 proteins analysis among the OSCC stages. A) Immunohistofluorescence of SPINK7 (green) and HER2 (red) proteins evaluated in biopsies of dysplasia, less invasive and highly invasive OSCC. Nuclei were stained with Dapi (blue). The Figure 4B show the graphs bar of the quantitative analysis of pixels intensity (green=SPINK7 and red=HER2) assessed by Image J. The Figure 4C) show the images at high magnification (120X) among the OSCC stages, showing the close localization of both

proteins' signals through overlap image (yellow pixels), Representative images by group, n=6. White bar = 50 μ m. The differences were considered statistically significance with P values of (*P<0.05 and ***P<0.001).

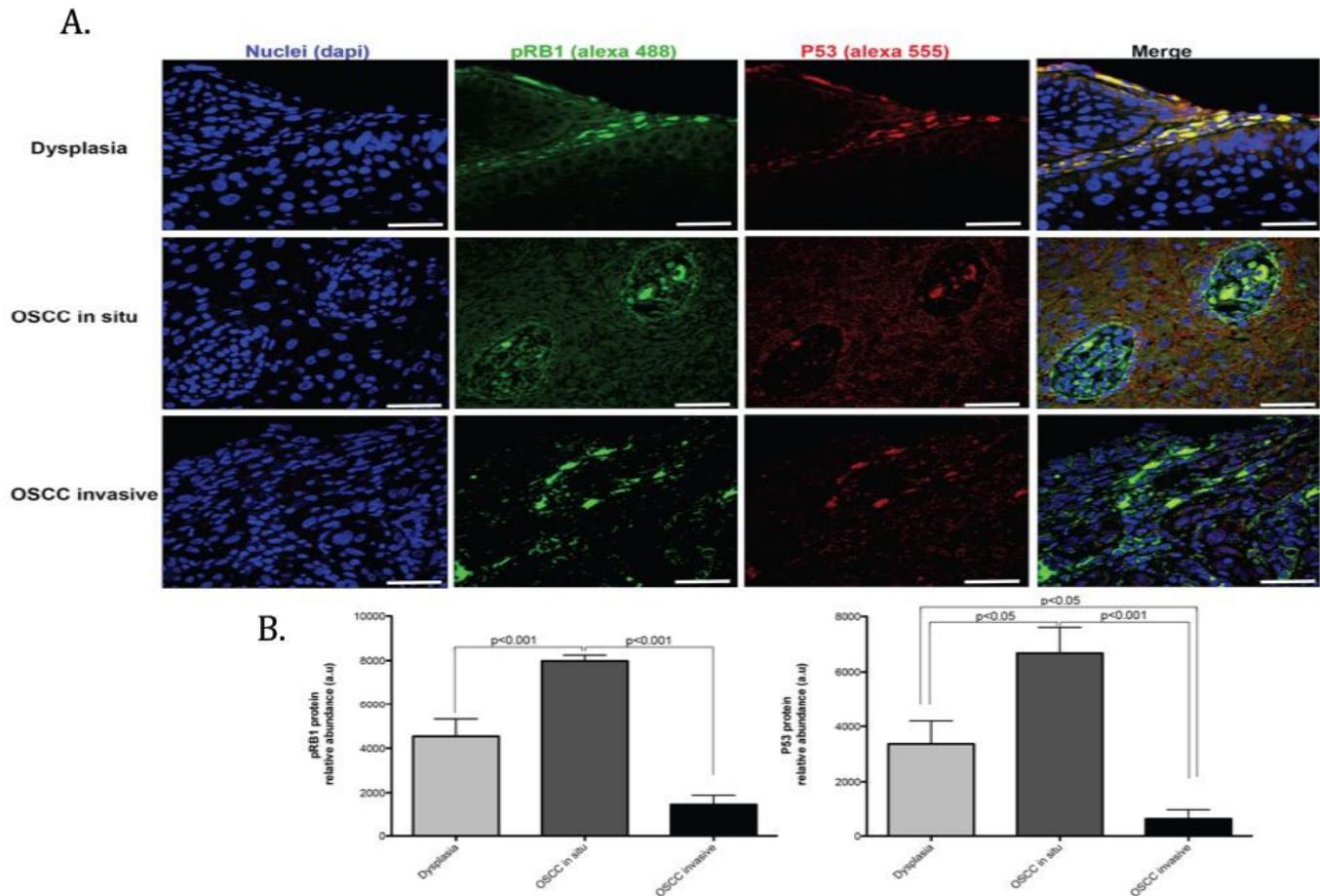


Figure 5

pRB1 and P53 proteins analysis among the OSCC stages. A) Immunohistofluorescence of both proteins, evaluated in biopsies of dysplasia, less invasive and highly invasive OSCC. B) Graphs bar show quantitative analysis of pixels intensity (573 green=pRB1 and red=P53) assessed by ImageJ. Representative images by group, n=6/group. White bar = 50 μ m. The differences were considered statistically significance with P values of (*P<0.05 and ***P<0.001).

Supplementary Files

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- [Pennacchiottietalsupplementarytable.docx](#)