

1 **Title page:**

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3 **Title: Tilt and strain change during the explosion at Minami-dake, Sakurajima, on**

4 **November 13, 2017**

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12

13 **Abstract**

14 We herein proposed an alternative model for deformation caused by each eruption at
15 Sakurajima, which have been previously interpreted as being due to a Mogi-type source
16 beneath Minami-dake. On November 13, 2017, a large explosion with a plume height of
17 4,200 m occurred at Minami-dake. During the three minutes following the onset of the
18 explosion (November 13, 2017, 22:07–22:10 (Japan standard time (UTC+9); the same
19 hereinafter), phase 1, a large strain change was detected at the Arimura observation tunnel
20 (AVOT) located approximately 2.1 km southeast from the Minami-dake crater. After the
21 peak of the explosion (November 13, 2017, 22:10–24:00), phase 2, a large deflation was
22 detected at every monitoring stations due to the continuous Strombolian eruption.
23 Subsidence toward Minami-dake was detected at five out of six stations whereas
24 subsidence toward the north of Sakurajima was detected at the newly installed Komen
25 observation tunnel (KMT), located approximately 4.0 km northeast from the Minami-
26 dake crater. The large strain change at AVOT during phase 1 can be explained by a very
27 shallow deflation source beneath Minami-dake at 0.1 km below sea level (bsl). For phase
28 2, a deeper source beneath Minami-dake at a depth of 3.3 km bsl deflated in addition to
29 the shallow source beneath Minami-dake, which turned inflationary after the deflation
30 obtained during phase 1. However, this model cannot explain the tilt change of KMT.
31 Adding a spherical deflation source beneath Kita-dake at a depth of 3.2 km bsl can be
32 explain the tilt and strain change at KMT and the other stations. The Kita-dake source
33 was also found in a previous study of long-term ground deformation events. Not only the
34 deeper Minami-dake source M_D but also the Kita-dake source deflated due to the Minami-
35 dake explosion.

36

37 **Keywords**

38 Sakurajima volcano, Spherical source, Dike, Tilt, Strain

39

40 **1. Introduction**

41 The tilt and strain changes accompanying vulcanian eruptions of Sakurajima have
42 been interpreted as being due to the deflation of a Mogi-type source beneath Minami-
43 dake, because downward tilts associated with eruptions are oriented towards Minami-
44 dake in the underground tunnels Harutayama (2.7 km northwest of the Minami-dake
45 crater) and Arimura (2.1 km south-southeast of the crater). A deflation source was found
46 beneath Minami-dake at a depth of 2–4 km below sea level (bsl), with a deflation volume
47 of 10^3 – 10^5 m³ (e.g., Ishihara, 1990; Iguchi et al., 2013). In August 2016, new tilt and
48 strain observations were undertaken at a new observation tunnel at Komen, 4.0 km
49 northeast of Minami-dake, and changes were detected, associated with a large explosion
50 with a plume height of 4,200 m at Minami-dake on November 13, 2017. Ground inflation
51 started at 8:00 (Japan standard time (UTC+9); the same hereinafter) on November 7, 2017,
52 which became a gradual deflation on November 11, 2017. When an explosion occurred
53 at 22:07 of November 13, 2017, the gradual deflation became rapid and continued for two
54 hours, which is longer than the frequent eruptions at Minami-dake. The downward tilt
55 direction at the Komen tunnel deviated by 90° clockwise from the direction of the
56 Minami-dake crater, in a different manner than at the Arimura and Harutayama tunnels,
57 which showed a downward tilt in the direction of the crater. This indicates that the Mogi-
58 type source beneath Minami-dake does not affect the tilt at the Komen tunnel.

59 In the present study, we propose a composite source model for tilt and strain changes
60 at ground deformation stations, taking into account the tilt change at the Komen tunnel.

61 We then discuss on magma plumbing system of Sakurajima for a large-scale vulcanian
62 eruption.

63

64 **2. Observations and data**

65 At Sakurajima, tilt and strain observations have been performed by the Sakurajima
66 Volcano Research Center (SVRC) of Kyoto University; the Osumi Office of River and
67 National Development Bureau, Ministry of Land, Infrastructure, Transport and Tourism
68 of Japan (MLIT); and the Japan Meteorological Agency (JMA). The tilt and strain stations
69 for the present study are distributed as shown in Fig. 1. We used data obtained by water-
70 tube tiltmeters and linear strainmeters at the Arimura, Harutayama and Komen
71 underground tunnels (AVOT, HVOT and KMT, respectively) and borehole tiltmeters at
72 Yokoyama, Amida-gawa and Komen (JMA-A, JMA-F and KOM, respectively). The
73 tiltmeters and strainmeters at AVOT, HVOT, KMT and KOM were installed in directions
74 radial and tangential to Minami-dake and the tiltmeters at JMA-A and F were in the north-
75 south and east-west directions. The sensitivities were 0.056 V/ μ rad for the water-tube
76 tiltmeters and 0.056 V/ μ strain for the linear strainmeters. The sensitivity of the borehole
77 tiltmeters was 0.02 V/ μ rad. The analog-to-digital resolution was 24 bits and the resolution
78 of tilt and strain was 10^{-6} nrad.

79

80 **3. Characteristics of tilt and strain change**

81 The tilt and strain changes at AVOT, HVOT and KMT during the period of November
82 5–14, 2017, are shown in Fig. 2(a). Upward tilts of the crater side of Minami-dake and
83 extension strains started to appear at about 6:00 of November 7 at AVOT and HVOT,
84 whereas a tangential tilt was dominant at KMT. The tilts turned to gradual downward tilts

85 on November 11, 2017. Then, the downward tilt suddenly became rapid at the onset of
86 the explosion on November 13. A deflation tilt change of 283 nrad occurred over two
87 hours at HVOT, which is larger than the tilt changes associated with explosions at
88 Minami-dake crater in the previous reports (Ishihara, 1990; Tateo and Iguchi, 2009).

89 The tilt and strain changes associated with the explosion are magnified in Fig. 2(b).
90 In phase 1 (three minutes from the onset of the explosion at 22:07), rapid extensions were
91 detected in the radial strains as strain steps. The step at AVOT, the nearest station to the
92 Minami-dake crater, is the largest at 120 nstrain, and the steps are smaller at the farther
93 tunnels HVOT and KMT. In phase 2 (22:10–24:00), a large deflation with a contraction
94 strain and downward tilts oriented towards the Minami-dake crater are observed, except
95 at KMT. The downward tilt vectors are shown in Fig. 3a. The vector at KMT is oriented
96 toward the north flank of Sakurajima.

97

98 **4. Analysis**

99 **4.1. Deformation source model**

100 We applied a finite spherical source (McTigue, 1987), a dislocation source (Okada,
101 1992) and a combination of these in a half-infinite homogenous elastic solid. We assumed
102 a Poisson's coefficient of $\nu = 0.25$. Battaglia et al. (2013) obtained the volume change
103 of a spherical source ΔV by an internal pressure change of ΔP , for a modulus of rigidity
104 μ and depth and radius of the source d and a , respectively, to be

$$105 \quad \Delta V = \pi a^3 \frac{\Delta P}{\mu} \left[1 + \left(\frac{a}{d} \right)^4 \right]. \quad (1)$$

106 Topographical effects can be corrected by adding the elevation of the station to the source
107 depth (Williams and Wadge, 1998).

108 To expand the original elastic source models described below to a multiple-source

109 model, we assumed that the sources do not interact with each other and that the calculated
 110 tilt and strain are linear sums of the tilt and strains caused by the sources (Hotta et al.,
 111 2016a).

112

113 **4.2. Residual evaluation**

114 We searched for the optimal parameters for each source using a grid search method.
 115 For models with more than seven parameters, we used a genetic algorithm instead of a
 116 grid search method. In this case, we set the number of individuals to be 50; the
 117 probabilities of crossovers, jump mutations and creep mutation to be 70, 2 and 18%,
 118 respectively; and the number of iterations for the genetic algorithm to be 200,000. To
 119 avoid a local minimum, we executed the iteration process several times (Hotta et al.,
 120 2016a; 2016b). To minimize the difference between the observed and theoretical values,
 121 we used the weighted residual sum of square (WRSS):

$$122 \quad \text{WRSS} = \Delta^2 + E^2, \quad (2)$$

123 where

$$124 \quad \Delta^2 = \sum_{1 \leq i \leq 6} \left[\left(\frac{\delta R_{obs}^i - \delta R_{cal}^i}{\sigma \delta R^i} \right)^2 + \left(\frac{\delta T_{obs}^i - \delta T_{cal}^i}{\sigma \delta T^i} \right)^2 \right], \quad (3)$$

$$125 \quad E^2 = \sum_{1 \leq j \leq 3} \left[\left(\frac{\varepsilon R_{obs}^j - \varepsilon R_{cal}^j}{\sigma \varepsilon R^j} \right)^2 + \left(\frac{\varepsilon T_{obs}^j - \varepsilon T_{cal}^j}{\sigma \varepsilon T^j} \right)^2 + \left(\frac{\varepsilon O_{obs}^j - \varepsilon O_{cal}^j}{\sigma \varepsilon O^j} \right)^2 \right], \quad (4)$$

126 and where the subscripts *obs* and *cal* indicate observed and calculated values; δR^i
 127 and δT^i indicate the radial and tangential tilt change at the *i*-th tilt station, respectively;
 128 εR^j , εT^j and εO^j indicate the radial, tangential and oblique strain changes at the *j*-th
 129 observation tunnel, respectively; and σ indicates the observation error. The search
 130 spaces and step sizes for the parameter estimates are given in Table 1.

131

132 **5. Results**

133 **5.1. Phase 1**

134 We applied a single spherical source model to the deformation of phase 1. We obtained
135 a very shallow deflation source beneath Minami-dake (source M_S) at 0.1 km bsl (Fig. 3(a),
136 Table 1). Its radius was the minimum value of the search range, 0.1 km. The large strain
137 change detected at AVOT, small tilt change including AVOT and strain change at the other
138 station can be explained by this source (Fig. 3(a) and (b)).

139

140 **5.2. Phase 2**

141 **5.2.1. Deformation sources beneath Minami-dake**

142 During phase 2, subsidence in a direction toward the mountain top was detected
143 except at the KMT observation tunnel where a subsidence in the direction toward the
144 north flank was detected. Excluding the data of for KMT, we applied dual spherical
145 sources: a shallow source (source M_S) fixed at the location obtained for phase 1 and a
146 deep source, M_D . M_D is a deeper (3.3 km bsl beneath Minami-dake) deflation source,
147 whereas M_S causes inflation as shown in Fig. 3(c) and Table 1. We note, however, that
148 these sources beneath Minami-dake cannot explain the oblique strain change at HVOT.
149 These sources also cannot explain the tilt and strain changes at the KMT observation
150 tunnel, where subsidence toward the north area of Sakurajima was detected (Fig. 2).

151

152 **5.2.2. Improvement by the addition of sources for KMT data**

153 We tested a composite source model by adding two types of sources; a spherical and
154 a dislocation type model, to the dual sources model to improve the fitness of the
155 calculation to the observed data, including KMT.

156

157 **5.2.2.1. Triple spherical source model**

158 Hotta et al (2016a) found a Mogi-type deformation source beneath Kita-dake in
159 addition to that beneath Minami-dake for the long-term deformation event of October
160 2011–March 2012. We applied a triple spherical deformation source model adding a
161 spherical source to M_S and M_D , fixed at the locations obtained above. The new source is
162 a deflation source beneath the northeast flank of Kita-dake (Fig. 3(e); Table 1). The fitness
163 of the calculation to the observed oblique strain change at HVOT and tilt and strain
164 changes at KMT are improved by this addition (Fig. 3(e) and (f)).

165

166 **5.2.2.2. Composite model of a tensile fault and dual spherical source**

167 Hotta et al. (2016b) applied a tensile fault mode to the deformation of Sakurajima.
168 Here, we add a tensile fault to M_S and M_D . In addition to the inflation of M_S and deflation
169 of M_D , a sill with a length and width of 4.7 and 0.4 km, respectively, and striking in the
170 north-south direction is located beneath the Aira caldera at a depth of 0.7 km bsl (Fig.
171 3(g), Table 1). The fitness of the calculation to the observed oblique strain change at
172 HVOT and tilt and strain changes at KMT are improved by this addition (Fig. 3(g) and
173 (h)).

174

175 **6. Discussion and conclusions**

176 To select an improved model, for each model we evaluated the correction of Akaike's
177 Information Criterion (c-AIC) values (Sugiura, 1978), which is a finite correction of
178 Akaike's Information Criterion (AIC; Akaike, 1973). The WRSS values of the three
179 spherical sources and the dual spherical sources with tensile fault models are 13,408 and

180 9,140.0, respectively, and the number of free parameters is seven and ten, respectively.
181 These yield c-AIC values of 222.19 and 233.53, respectively. Although the residual is
182 larger than the dual spherical sources with a tensile fault model, the three spherical
183 sources model is better, based on the obtained c-AIC values.

184 During the three minutes from the onset of the explosion at 22:07, phase 1, a very
185 shallow deflation source was obtained beneath Minami-dake, similar to previous
186 eruptions at the Minami-dake (Ishihara, 1990) and Showa craters (Iguchi et al., 2013).
187 This means that the deflation starts from a shallow part of the magma plumbing system
188 of Sakurajima.

189 After the peak of the vulcanian eruption, phase 2, the deeper source beneath Minami-
190 dake deflated while the shallower source inflated. The deep deflation source beneath
191 Minami-dake had been identified based on tilt and strain changes during previous
192 eruptions at the Minami-dake and Showa craters (e.g., Ishihara, 1990; Iguchi et al., 2013).
193 In addition to the deeper source, inflation of the shallower source was detected. The
194 shallow inflation source beneath Minami-dake found in the present study was not found
195 in the previous studies. During the Minami-dake eruption including this event, the
196 shallower source is considered to deflate for the first phase of each eruption. In this event,
197 the shallower source inflated after the peak of the explosion probably because the amount
198 of accumulation from the deeper source exceeds that of the emission from the Minami-
199 dake crater due to continuous Strombolian eruptions (Fig. 4).

200 In addition to the two Minami-dake sources, we found a deflating spherical source at
201 a depth of 3.2 km bsl at the northeastern flank of Kita-dake. The Kita-dake source might
202 correspond to the source K found beneath Kita-dake at a depth of 3.3 km bsl from a
203 combination analysis of GPS, tilt and strain data during the period from October 2011 to

204 March 2012 (Hotta et al., 2016a). The Kita-dake source was also found based on vertical
205 displacement during the period from November 2008 to November 2009, obtained from
206 a precise leveling survey (Yamamoto et al., 2013). Not only the deeper Minami-dake
207 source M_D but also the Kita-dake source deflated due to the Minami-dake explosion (Fig.
208 4).

209 A clear reflector at a depth of 6.2 km bsl beneath Kita-dake, identified as an
210 overcritical PP reflection, was found by seismic experiments during the period from
211 December 2009 to December 2014 (Tsutsui et al., 2016). The reflection became clear
212 after December 2014, and the reflector was interpreted as a sill. The source K obtained in
213 the present study is shallower than the reflector, but the horizontal location is similar. The
214 99% confidence interval of the depth of source K is 2.6–5.8 km bsl, as shown in Table 1,
215 and the depths of source K and the reflector may overlap considering the uncertainty in
216 the depth of the reflector. If source K and the reflector are the same, the difference in the
217 optimal depth may be due to the source shape, such as a penny shaped source (Fialco et
218 al., 2001). This should be considered in future studies.

219

220 **Declarations**

221

222 **Ethics approval and consent to participate**

223 Not applicable.

224

225 **Consent for publication**

226 Not applicable.

227

228 **List of abbreviations**

229 bsl: below sea level; SVRC: Sakurajima Volcano Research Center; MLIT: Ministry of
230 Land, Infrastructure, Transport and Tourism of Japan; JMA: Japan Meteorological
231 Agency; c-AIC: correction of Akaike's Information Criterion; AIC: Akaike's Information
232 Criterion; GPS: Global Positioning System

233

234 **Availability of data and materials**

235 The datasets supporting the conclusions of this article are included within the article.

236

237 **Competing interests**

238 The authors declare that they have no competing interests.

239

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245

246 **Author's contributions**

247 KH contributed to the study conception and design; acquisition, analysis and
248 interpretation of the data; and drafted the manuscript. MI contributed to the study
249 conception and design; acquisition and analysis of data; and helped to draft the manuscript
250 with critical revisions.

251

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256 1999). Some of the figures in this paper were prepared using generic mapping tools
257 (Wessel et al., 2013).

258

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