

# A Decision-support System for Recycling of Residents' Waste Plastics in China Based on Material Flow Analysis and Life Cycle Assessment

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## Research Article

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15 A decision-support system for recycling of residents' waste plastics in  
16 China based on material flow analysis and life cycle assessment

17  
18 **Abstract:** Recycling waste plastics is one of the important ways to save petroleum resources and  
19 reduce carbon emissions. However, the current recycling rate of waste plastics is still low.  
20 Material flow analysis can help determine the flow of waste plastics, and life cycle assessment  
21 (LCA) can be used to quantify environmental impacts. The present study integrates these two  
22 methods into the model construction of the residents' waste plastics recycling decision-support  
23 system. This model construction is followed by sensitivity analysis of the relevant parameters  
24 affecting the performance of the waste plastics recycling system. Finally, present study forecasts  
25 the recycling system's performance and environmental impacts by setting four optimization  
26 scenarios based on sensitivity analysis. The results show that in 2019, A total of 8.39 million tons  
27 of high-end applications were recovered, carbon emissions during the recycling process were 34.9  
28 million tons, and dioxin emissions were 316.11 g TEQ, with a total emission reduction of 24.47  
29 million tons of CO<sub>2</sub> compared to the original production. In the scenario of comprehensive  
30 improvement, in 2035, the recycling volume of high-end applications will rise to 33.96 million  
31 tons, the carbon emissions will rise to 64.73 million tons, the dioxin emissions will drop to 165.98  
32 g TEQ, and the carbon emission reduction will rise to 99.06 million tons. This research has a  
33 certain guiding role for policy makers to formulate industry norms and related policies for waste  
34 plastic recycling.

35 **Keywords:** Waste plastics; Material flow analysis; Life cycle assessment; Sensitivity analysis;  
36 Scenario prediction

## 37 **1. Introduction**

38       Along with the substantial increase in plastic usage, environmental pollution caused by waste  
39 plastics has become increasingly serious, especially that they do not easily degrade naturally(Yang  
40 et al., 2018). China produced 35 million tons of waste plastics in 2016; only 46% of these were  
41 recycled, while others were sent to landfills or were incinerated along with garbage. On the  
42 contrary, in developed states such as Japan and Germany, which have excellent waste management  
43 systems, they have very high recovery rates; in particular, Germany had attained 82% recovery in  
44 2016(Ke, 2018). Presently, there are three ways for treating waste plastics in China: landfill,  
45 incineration and recycling(Zhu, 2011). There are many problems in the treatment of plastic waste  
46 by landfill or incineration. In landfills, plastics remain in the soil for a long time without  
47 decomposing which makes the soil unstable and may even dissolve the harmful substances such as  
48 stabilizers and pigments in plastics, thus resulting to secondary pollution(Guo et al., 2013).  
49 Meanwhile, energy recovery by incineration of waste plastics involves a technical link of the  
50 harmless treatment of waste gases, waste residues and the thermal cycle process. In addition to the  
51 loss of resources caused by the incineration of waste plastics, the waste gas and waste residues  
52 produced can also pollute the environment if improperly treated(Jyothi et al., 2020). Recycling  
53 waste plastics as industrial raw materials can reduce sewage discharge by about 45% and energy  
54 consumption by 60% to 70% compared with the use of primary resources.(Fang, 2019; Geng and  
55 Han, 2016). During the 14th Five-Year Plan period, China will further improve the entire chain of  
56 plastic pollution control system, refine the source reduction of plastic use, make arrangements for  
57 plastic waste cleaning, recycling, recycling, and scientific disposal, and promote the continuous  
58 deepening of plastic pollution control(National Development and Reform Commission and

59 Ministry of ecological environment, 2021). Therefore, it is essential to determine the existing  
60 problems in the recycling of waste plastics in China, improve the recovery rate of waste plastics,  
61 and enhance the recycling system of waste plastics in the said country.

62 The Processing situation of waste plastic treatment was evaluated, and the direct and  
63 potential benefits of waste plastic recycling were analyzed. Geyer et al. (2017) examined the global  
64 production, use and recycling process of plastics, and determined that as of 2015, 8.3 billion tons  
65 of plastics had been produced worldwide and a total of 6.3 billion tons of plastic waste had been  
66 generated, of which only 9% had been recycled, 12% had been incinerated, and the remaining 79%  
67 had been accumulated in landfills and the natural environment. Although waste management and  
68 infrastructure in developing countries are not perfect, the potential and benefits of waste plastic  
69 recycling are still very considerable (Hahladakis and Aljabri, 2019). The recycling of waste  
70 plastics also has certain social benefits, which can be measured by the jobs provided to the society  
71 during the recycling process (Ferrão et al, 2014). The current research focuses on the reasons that  
72 affect the efficiency of waste plastic recycling. The combination of material flow analysis method  
73 and life cycle method is a commonly used research method. Nandy et al. (2015) conducted an  
74 MFA of India's recycling of household waste plastics, and discovered that 50% to 80% of waste  
75 plastics in India could be recycled, thus echoing the crucial role of the informal sector (i.e.,  
76 garbage collectors, waste collectors, waste disposers, itinerant traders) and households. Van Eygen  
77 et al. (2017) presented a comprehensive quantitative analysis on waste plastic flow in Austria, and  
78 concluded that a large amount of waste plastics would be accumulated in plastic products having a  
79 long product lifecycle, thus stressing the necessity to evaluate the disposal capacity and determine  
80 the priority of waste plastics in waste management. Dai and Xiao (2017) established an MFA

81 framework of China's plastic packaging waste to assess the metabolism of such waste. The results  
82 signified that from 2011 to 2014, the recycling volume of plastic packaging waste in China had  
83 increased by 45%, recovery rate had increased by 13%, and the output of recycled plastic products  
84 had increased by 23%. De Meester et al. (2019) established a mathematical model and assessed  
85 the economic and environmental benefits of plastics and precious metals in electronic waste by  
86 combining MFA and LCA. He noted that the most significant factor affecting the economic and  
87 environmental benefits of WEEE recycling is science and technology (mainly separation  
88 technology), while the influence of policy-based factors is not highly significant. From these  
89 studies, the influencing factors of waste plastic recycling have been generally attributed to various  
90 macro factors – including political and technical factors – without a quantitative analysis of each  
91 link of the waste plastic recycling chain. While some scholars have indeed conducted quantitative  
92 analysis, they have not focused on each link of the recycling chain from various perspectives.

93 With the impact of global economic slowdown, the volume of industrial waste plastic  
94 recycling has decreased, while the volume of waste plastic being recycled by urban residents has  
95 increased due to garbage classification in various regions and the growth of disposable plastic  
96 consumption in China(Recycling Plastics Branch of Association, 2019). In this case, this paper  
97 focuses on the aspects of resource recovery and recycling of consumer waste plastics by Chinese  
98 residents through combining MFA and LCA. By means of a quantitative analysis of the entire  
99 recycling process and its linkages, the primary factors impacting the recycling of waste plastics  
100 can be identified. The corresponding scenarios can be established to forecast the development and  
101 trends of China's waste plastic recycling benefits before 2035, which can provide a decision-based  
102 support for the development of the country's recycling system. Moreover, the combination of

103 MFA and LCA is elaborated to acquire a better insight of the potential natural resource savings  
104 and environmental benefits of waste plastic recycling compared to a scenario without recycling,  
105 which can aid decisionmakers in grasping the required information in order to clearly comprehend  
106 the current performance of waste policy implementation in such a complex situation.

## 107 **2. Materials and methods**

### 108 **2.1 Mathematical framework**

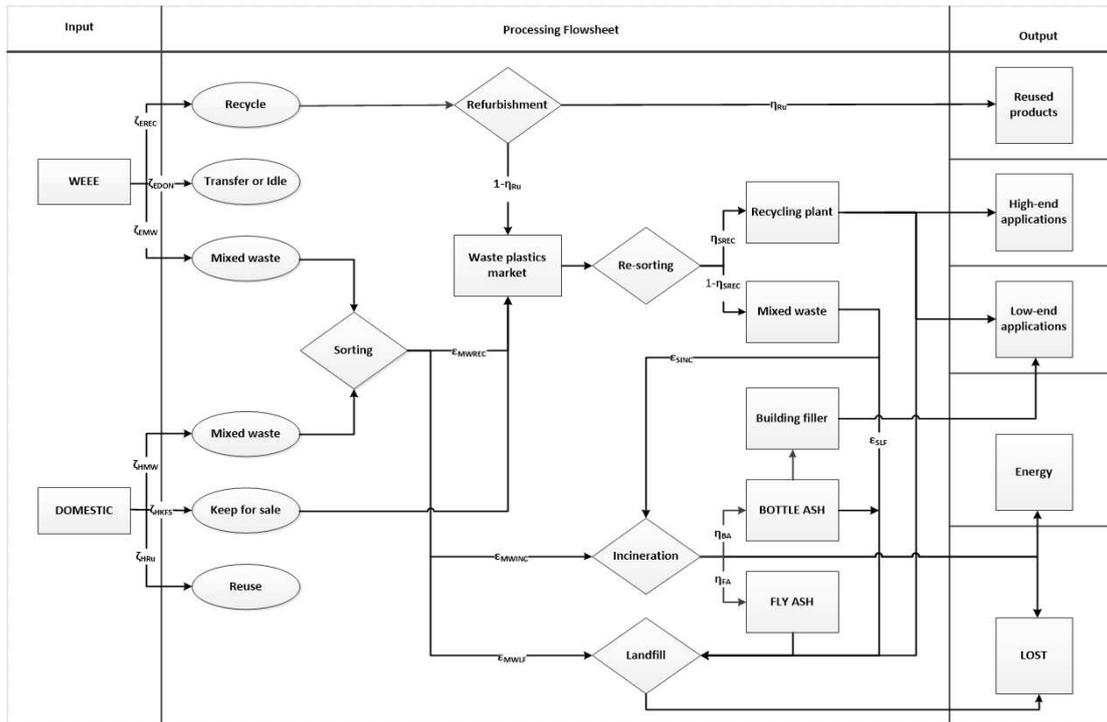
109 MFA is a method for analyzing the material flow and storage of a specific system as defined  
110 by both time and space. It can be incorporated with LCA to systematically analyze the economic,  
111 environmental and social benefits of a target system (Li et al., 2010). MFA's mathematical  
112 framework is similar to a conventional chemical engineering computation. The procedure begins  
113 by generating a flow sheet diagram that represents the various processes and the flows in between.  
114 These flows are then identified and quantified, and equations – typically linear – are constructed to  
115 solve specific problems such as computing mass balances(Nandy et al., 2015). In the following,  
116 we will use MFA to analyze the material flow of Chinese residents' waste plastics, and combine  
117 with LCA to evaluate the recycling performance and environmental damage of residents' waste  
118 plastic recycling systems.

#### 119 2.1.1 System scope and objectives

120 China's waste plastics primarily originate from building materials, automobiles, textiles,  
121 agriculture and fisheries, industrial packaging, residents' daily life and durable goods, among all  
122 other sources (Ke,2018). Considering the proportions and significance of the sources of waste  
123 plastics in China, this paper principally studies waste plastics generated from households and from  
124 waste electrical and electronic equipment (WEEE), which are highly associated with residential

125 consumers. Recycling value denotes the recycling capacity of waste materials; it is usually  
126 determined by various factors, such as the original value of products, recycling rate and recycling  
127 channels(Min, 2017). In this paper, waste plastics generated from households are classified into  
128 two categories – those with high recovery value, and those with low recovery value. The former  
129 largely refers to waste plastics with high economic value and high-efficiency recycling channels,  
130 like plastic bottles, storage box, Plastic parts, toys et al; meanwhile, the latter generally refers to  
131 neglected waste plastics with low economic value and low-efficiency recycling channels, like  
132 plastic bags, composite packaging, buffer packaging, disposable tableware et al.

133 The waste plastic recovery system includes four primary portions: waste plastic production,  
134 recovery process, product output, and environmental benefits. The recycling process partly  
135 concerns how waste plastics are being recycled in the system according to various treatment  
136 methods being applied by the residents. Product output denotes the output form of waste plastics  
137 after being recycled, principally including reused products, high-end applications, low-end  
138 applications, energy recovery and material loss. Environmental benefits refer to the environmental  
139 impact of the output of various products in the recycling process(Kim et al., 2020). The scope of  
140 this paper covers the entire reverse recycling chain, including the generation of waste plastics over  
141 a certain period (i.e. whether residents decide to discard plastic products), residents' disposal  
142 methods and recycling utilization. This paper's mathematical framework can quantitatively  
143 analyze the material and energy flow of residential waste plastics under certain parameters, the  
144 environmental impact of carbon dioxide and dioxins emitted by the recycling system, and  
145 estimates the carbon emission reductions of waste plastic recycling.



147

**Fig. 1.** Flow sheet of waste plastic flows from China’s domestic households.

148

This paper mainly examines waste plastics from WEEE and domestic sources (Park et al.,2019). Fig.1 illustrates a flow sheet of waste plastic flows from domestics source and WEEE in China. The flow begins from the moment that residents regard plastic as rubbish; that is, when they decide to discard a plastic product. There are three typical ways for consumers to deal with plastic products after they have been utilized. The choice for such treatment approaches primarily relies on both subjective and objective factors, including individual economic conditions, environmental protection consciousness, infrastructure construction, government systems, and policy guidance. There are three usual consumer choices for WEEE(China Electronics Chamber Of Commerce, 2016):

157

a) Direct recycling through formal channels;

158

b)Transfer to relatives or friends, or remain idle at home;

159

c) Direct discard into mixed waste.

160 In this case,  $\zeta_{EREC}$  is the probability that residents recycle and reuse through formal channels,  
161  $\zeta_{EDON}$  is the probability that residents transfer WEEE to their relatives and friends for use or leave  
162 them as idle at home, and  $\zeta_{EMW}$  is the probability that residents directly discard WEEE into mixed  
163 waste. Thus, the following formula can be attained:  $\zeta_{EREC} + \zeta_{EDON} + \zeta_{EMW} = 1$ .

164 Waste plastics from domestic sources are primarily classified into two categories – high  
165 recovery value and low recovery value. Meanwhile, there are three typical consumer choices for  
166 dealing with these plastics: directly discard them into mixed waste, retain for sale, or reuse (Thanh  
167 et al., 2011). For waste plastics with low recycling value, most residents tend to directly discard  
168 them into mixed waste, resulting to a bulk of wasted resources and environmental pollution. As for  
169 plastics with high recycling value, residents typically prefer to retain them for sale, while only a  
170 few of them opt to directly discard them into mixed waste (Al-Salem et al., 2009).

171 In this case,  $\zeta_{HMW1}$  is the probability that residents discard waste plastics of high recycling  
172 value into mixed waste,  $\zeta_{HKFS1}$  is the probability that residents choose to retain waste plastics with  
173 high recycling value for sale, and  $\zeta_{HRu1}$  is the probability that residents choose to reuse waste  
174 plastics with high recycling value. Accordingly,  $\zeta_{HMW2}$ ,  $\zeta_{HKFS2}$  and  $\zeta_{HRu2}$  represent the probability  
175 that residents select the aforementioned three treatment methods for waste plastics with low  
176 recovery value. Thus, the relative formula is as follows:  $\zeta_{HMW} + \zeta_{HKFS} + \zeta_{HRu} = 1$ .

177 WEEE, which is recycled through formal channels, normally entails manual sorting and  
178 repairing as the steps.  $\eta_{Ru}$  represents the efficiency of sorting refurbishment. As such, WEEE is  
179 refurbished and resold to consumers as second-hand products, typically with a relatively short  
180 product life cycle. After the failure of  $1 - \eta_{Ru}$  in WEEE refurbishment, relevant subsequent  
181 recycling is carried out, and the plastic component flows into the waste plastic market. Waste

182 plastics in mixed waste is initially sorted by street recyclers and scavengers, as part of them can be  
183 extracted. Waste plastics of  $\varepsilon_{MWREC}$  in mixed waste is then sorted and recycled to the waste plastic  
184 market, while the remaining plastics ( $1-\varepsilon_{MWREC}$ ) are either burned or landfilled with the mixed  
185 waste, the ratios of which are  $\varepsilon_{MWLF}$  and  $\varepsilon_{MWINC}$ , respectively. After these plastics flow into the  
186 waste plastic market, they are then re-sorted and divided as either renewable plastics for recycling  
187 or nonrenewable plastics. Re-sorting efficiency is denoted as  $\eta_{SREC}$ . Recyclable plastics can be  
188 processed for secondary use by crushing and recycling treatment(Tang, 2017). The remaining  
189 nonrenewable ones are used as mixed waste for subsequent incineration and landfill treatment  
190 ( $1-\eta_{SREC}$ ). The ratio parameters for incineration and landfill are  $\varepsilon_{SINC}$  and  $\varepsilon_{SLF}$ , respectively.

191 There are two principal ways for treating mixed waste in China: incineration and landfill.  
192 Waste plastics tend to produce bottom ash and fly ash during incineration of mixed waste (He et  
193 al.,2005); respectively, the proportional parameters are  $\eta_{BA}$  and  $\eta_{FA}$ , while the remaining  
194 component ( $1-\eta_{BA}-\eta_{FA}$ ) is lost as flue gas. Bottom ash can be utilized for building packing (Gu &  
195 Ozbakkaloglu,2016), a low-end application of waste plastics, while fly ash contains harmful  
196 substances that can cause considerable environmental damage. Therefore, ash treatment must be  
197 performed before landfilling (Bao,2012). Another disposal approach for mixed waste is by landfill,  
198 which is not only a waste of resources, but can also yield substantial pollution, especially when  
199 hazardous materials such as plastics are being landfilled.

200 The output of waste plastic recovery system has five components: second-hand products,  
201 high-end applications, low-end applications (Pooja et al, 2021), energy recovery (Chen,2018;  
202 Rajasekaran et al., 2018), and material loss.

203 a) Reused products: refurbished WEEE

- 204 b) High-end secondary materials: recycled plastic raw materials
- 205 c) Low-end secondary materials: building materials
- 206 d) Energy: energy recovery from incineration
- 207 e) Materials lost: materials lost upon incineration or landfill treatment

### 208 2.1.3 Description of mathematical framework

209 Based on the discussed flow sheet, mathematical equations are generated to solve the mass  
 210 balances. In this framework, equations are constructed in order to quantify the treated waste  
 211 plastic that ends up in each particular pathway. The amount of reused household and WEEE waste  
 212 plastics can be denoted as the total product reutilization (TPR).

$$213 \text{TPR} = M_{\text{Tweee}} * \zeta_{\text{EREC}} * \eta_{\text{Ru}} + M_{\text{THWP}} * \zeta_{\text{HRu}} \quad (1)$$

214 In the formula,  $M_{\text{Tweee}}$  stands for WEEE waste plastic production.

215 The material towards high-end applications (MTHEA) can be distinguished:

$$216 \text{MTHEA} = [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} +$$

$$217 M_{\text{THWP}} * \zeta_{\text{HKFS}}] * \eta_{\text{SREC}} * \eta_{\text{REC}} \quad (2)$$

218 In the formula,  $M_{\text{THWP}}$  represents the output of waste plastics from domestic sources,  $\eta_{\text{REC}}$   
 219 represents the plastic recycling rate of waste plastic recycling plants

220 And material towards low-end applications (MTLEA):

$$221 \text{MTLEA} = [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} +$$

$$222 M_{\text{THWP}} * \zeta_{\text{HKFS}}] * \eta_{\text{SREC}} * (1 - \eta_{\text{REC}}) * BM_{wp} + \{(M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) *$$

$$223 \varepsilon_{\text{MWINC}} + [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} +$$

$$224 M_{\text{THWP}} * \zeta_{\text{HKFS}}] * (1 - \eta_{\text{SREC}}) * \varepsilon_{\text{SINC}}\} * \eta_{\text{BA}} * BM_{wp} \quad (3)$$

225 TER (total energy recovery) represents the total amount of recovered energy. Considering the

226 characteristics of waste plastics, landfills cannot recover energy from them, hence this paper  
 227 primarily studies recovered energy through incineration.

$$\begin{aligned}
 228 \quad \text{TER} = & \{ (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWINC}} + [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + \\
 229 \quad & (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} + M_{\text{THWP}} * \zeta_{\text{HKFS}}] * (1 - \eta_{\text{SREC}}) * \varepsilon_{\text{SINC}} \} * \\
 230 \quad & \eta_{\text{INC}} * CV_{\text{wp}} * TP_{\text{wp}} \quad (4)
 \end{aligned}$$

231 In the formula,  $\eta_{\text{INC}}$  represents the Incineration efficiency of residential waste plastics, and  
 232  $CV_{\text{wp}}$  represents the calorific value of residential waste plastics,  $TP_{\text{wp}}$  represents the energy  
 233 recovery rate of waste plastic incineration.

234 TML (total material lost) entails the overall material loss, usually from incineration and  
 235 landfill treatment. MLI is the material loss caused by incineration, while MLLF is the material  
 236 loss resulting from landfills. TML is the sum of MLI and MLLF.

$$\begin{aligned}
 237 \quad \text{MLI} = & \{ (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWINC}} + [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + \\
 238 \quad & (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} + M_{\text{THWP}} * \zeta_{\text{HKFS}}] * (1 - \eta_{\text{SREC}}) * \varepsilon_{\text{SINC}} \} * \\
 239 \quad & \eta_{\text{INC}} * (1 - TP_{\text{wp}}) \quad (5)
 \end{aligned}$$

$$\begin{aligned}
 240 \quad \text{MLLF} = & (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWLF}} + [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + \\
 241 \quad & (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} + M_{\text{THWP}} * \zeta_{\text{HKFS}}] * (1 - \eta_{\text{SREC}}) * \varepsilon_{\text{SLF}} + \\
 242 \quad & \{ (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWINC}} + [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + (M_{\text{Tweee}} * \\
 243 \quad & \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} + M_{\text{THWP}} * \zeta_{\text{HKFS}}] * (1 - \eta_{\text{SREC}}) * \varepsilon_{\text{SINC}} \} * \eta_{\text{FA}} + \\
 244 \quad & [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} + M_{\text{THWP}} * \\
 245 \quad & \zeta_{\text{HKFS}}] * \eta_{\text{SREC}} * (1 - \eta_{\text{REC}}) * (1 - BM_{\text{wp}}) + \{ (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \\
 246 \quad & \varepsilon_{\text{MWINC}} + [M_{\text{Tweee}} * \zeta_{\text{EREC}} * (1 - \eta_{\text{Ru}}) + (M_{\text{Tweee}} * \zeta_{\text{EMW}} + M_{\text{THWP}} * \zeta_{\text{HMW}}) * \varepsilon_{\text{MWREC}} + \\
 247 \quad & M_{\text{THWP}} * \zeta_{\text{HKFS}}] * (1 - \eta_{\text{SREC}}) * \varepsilon_{\text{SINC}} \} * \eta_{\text{BA}} * (1 - BM_{\text{wp}}) \quad (6)
 \end{aligned}$$

248 In the above formula,  $BM_{wp}$  represents the utilization rate of waste residue as a building  
249 material.

$$250 \quad TML = MLI + MLLF \quad (7)$$

251 The calculation of these formulae is necessary for an MFA procedure. It is vital to clearly  
252 delineate the significant parameters in the entire material flow process. Through changing the  
253 values of relevant parameters, one can observe the changes in the overall material system. In this  
254 way, one can determine the key portion of the process and provide decision-based support for  
255 future system improvement.

#### 256 2.1.4 Linking MFA to LCA

257 Inventory analysis is a qualitative and quantitative analysis of resource and energy use and  
258 the waste discharged into the environment (e.g., air, water and soil) in the whole life cycle of the  
259 system under study, such as the processes and activities of products (Zinia and McShane, 2021). In  
260 the residential waste plastic recycling system, this study determines the input (raw materials,  
261 auxiliary materials and energy, etc.) and output (discharge to air, water, soil and solid waste) at  
262 each stage of the life cycle to form a complete life cycle inventory (Huo, 2003). Then, the life  
263 cycle impact assessment (LCIA) is used to qualitatively and quantitatively evaluate the  
264 environmental impact identified by the inventory analysis to determine the impact of the material  
265 and energy exchange of the recycling system on the external environment (Ren and Liu, 2002).

266 Under normal circumstances, the carbon dioxide emissions from plastic incineration account  
267 for an absolute proportion (Zhong et al., 2019). However, as the amount of waste plastics produced  
268 continues to increase, both energy consumption and plastic incineration volume in the recycling  
269 phase will gradually increase, and carbon emissions will also exacerbate the greenhouse effect.

270 This study mainly uses the IPCC method to assess the carbon emissions of the residential waste  
 271 plastic recycling system, as shown in formula (10)(Seigné-Itoiz et al., 2015). In addition, this  
 272 study uses the life cycle method to compare the carbon emissions from the recycling of waste  
 273 plastics with the carbon emissions of the equivalent plastic produced from crude oil to calculate  
 274 the carbon emission reduction effect of recycling, as shown in formula (11).

$$\begin{aligned}
 275 \quad CE = & [M_{Tweee} * \zeta_{EREC} * (1 - \eta_{Ru}) + (M_{Tweee} * \zeta_{EMW} + M_{THWP} * \zeta_{HMW}) * \varepsilon_{MWREC} + \\
 276 \quad & M_{THWP} * \zeta_{HKFS}] * \eta_{SREC} * \eta_{REC} * EF_{wpr} + \{(M_{Tweee} * \zeta_{EMW} + M_{THWP} * \zeta_{HMW}) * \varepsilon_{MWINC} + \\
 277 \quad & [M_{Tweee} * \zeta_{EREC} * (1 - \eta_{Ru}) + (M_{Tweee} * \zeta_{EMW} + M_{THWP} * \zeta_{HMW}) * \varepsilon_{MWREC} + M_{THWP} * \\
 278 \quad & \zeta_{HKFS}] * (1 - \eta_{SREC}) * \varepsilon_{SINC}\} * \eta_{INC} * EF_{wpi} \\
 279 \quad & (8)
 \end{aligned}$$

$$\begin{aligned}
 280 \quad CR = & [M_{Tweee} * \zeta_{EREC} * (1 - \eta_{Ru}) + (M_{Tweee} * \zeta_{EMW} + M_{THWP} * \zeta_{HMW}) * \varepsilon_{MWREC} + \\
 281 \quad & M_{THWP} * \zeta_{HKFS}] * \eta_{SREC} * \eta_{REC} * (EF_{pp} - EF_{wpr}) \quad (9)
 \end{aligned}$$

282 Dioxin compounds can cause greater harm to human health and have a persistent presence in  
 283 the environment (Wang et al., 2020). The dioxins produced by the incineration of waste plastic is  
 284 mainly discharged into fly ash and the atmosphere, and the dioxin discharged into the fly ash  
 285 flows to landfill after being harmless and stabilized (Zhan et al., 2016). In this study, the emission  
 286 factor estimation method was used to estimate the dioxin emission from waste plastic incineration  
 287 (Liang et al., 2011).

$$\begin{aligned}
 288 \quad DE = & \{(M_{Tweee} * \zeta_{EMW} + M_{THWP} * \zeta_{HMW}) * \varepsilon_{MWINC} + [M_{Tweee} * \zeta_{EREC} * (1 - \eta_{Ru}) + \\
 289 \quad & (M_{Tweee} * \zeta_{EMW} + M_{THWP} * \zeta_{HMW}) * \varepsilon_{MWREC} + M_{THWP} * \zeta_{HKFS}] * (1 - \eta_{SREC}) * \varepsilon_{SINC}\} * \\
 290 \quad & EF_d \quad (10)
 \end{aligned}$$

291 In the above formula,  $DE$  stands for the amount of dioxins emitted from the incineration of

292 waste plastics to the atmosphere, in g TEQ;  $EF_d$  is the emission factor of dioxins produced by the  
293 incineration of waste plastics, which is 30 $\mu$ g TEQ/t(Sun et al., 2016;United Nations Environment  
294 Programme Division, 2005 ).

## 295 **2.2. Description of case study and data inventory**

296 The combination of MFA and LCA can improve the economic and environmental  
297 performance of the recycling chain. However, such method is usually suitable for the evaluation of  
298 past fait accompli – that is, data availability(De Meester et al., 2019). This paper intends to take  
299 the production and recycling of waste plastics in China in 2019 as the representative case, utilizing  
300 the combination of MFA and LCA for quantitative analysis.

### 301 2.2.1 Overview of recycling of waste plastics in China in 2019

302 China's production of waste plastics in its various industries in 2019 is shown in Table 1.  
303 Around 63 million tons of waste plastics were produced by seven major industries – building  
304 materials, cars, fisheries, industrial packaging, textiles, households and electrical and electronic  
305 equipment(Ke, 2018; Recycling Plastics Branch of Association, 2020). From this total, 32.445  
306 million tons of waste plastics were generated by domestic source. Around 13.205 million tons of  
307 high recovery value and 19.24 million tons of low recovery value accounted for 51.5% of the  
308 overall waste plastics produced in China in 2019. WEEE produced 4.41 million tons of waste  
309 plastics, equivalent 7%. The proportion of WEEE and domestic source was as high as 58.5%. The  
310 two components were highly associated with and considerably significant to the consumers, and  
311 denoted a huge proportion of the total value. Thus, research on this subset of waste plastics is vital  
312 for enhancing the recycling of waste plastics in China.

313 **Table 1** Scale of waste plastics production by various industries in China in 2019.

Industries	Waste plastic production in 2019 (10,000 tons)	Data Sources
------------	--	--------------

Building materials	472.5	( Ke, 2018; Recycling Plastics Branch of Association, 2020)
Automobile	315	(Recycling Plastics Branch of Association, 2020)
Agriculture and fisheries	252	(Recycling Plastics Branch of Association, 2020)
Industrial packing	630	( Ke, 2018; Recycling Plastics Branch of Association, 2020)
Textile	525	( Ke, 2018; Recycling Plastics Branch of Association, 2020)
Household	3244.5	(Ke, 2018; Recycling Plastics Branch of Association, 2020)
WEEE	441	(Recycling Plastics Branch of Association, 2020)
Total	6300	(Recycling Plastics Branch of Association, 2020)

### 314 2.2.2 Data inventory

315 The parameters pertaining to the recycling process of waste plastics in China and the 2019  
316 values are presented in Table 2. For WEEE, 52.09% of consumers opted to recycle, while up to  
317 44.21% of consumers intended to transfer such waste to relatives and friends or leave them as idle  
318 at home. Around 3.7% of the consumers directly discarded them into mixed waste(China  
319 Electronics Chamber Of Commerce, 2016; Li et al., 2021). Regarding waste plastics generated  
320 from households, 69% with high recovery value were retained for sale, 27% of the residents  
321 directly discarded them into mixed waste, and 4% intended to reuse them. In contrast, residents  
322 were more likely to render improper choices for waste plastics with low recovery value: 28% of  
323 the residents retained them for sale, 64% chose to directly discard them into mixed waste, and 8%  
324 reused them in households(Thanh et al., 2011). When mixed waste was picked up by the street  
325 recyclers, approximately 3.7% of waste plastics would flow into the waste plastic market for a  
326 relatively efficient secondary sorting(Editorial Board Of Statistics, 2020). Approximately 63% of  
327 these plastics were further broken down, recycled and processed into recycled plastics, with the

328 rest being incinerated for energy recovery or landfill treatment as the prevailing waste treatment  
329 methods in China. Around 40% of the remaining products were incinerated and 60% were  
330 landfilled(Association, 2019). Incineration efficiency was approximately 75%, while 25% of the  
331 mass remained as bottom ash and fly ash. Bottom ash accounted for 22%, which could be utilized  
332 for building as a low-end application of plastics; fly ash accounted for 3%, which required ash  
333 treatment prior to landfilling(Yao, 2014).

334 **Table 2** Recycling process-related parameters

	Parameters	Numerical value	Data source
WEEE to Recycle	$\zeta_{EREC}$	52.09%	(Li et al., 2021)
WEEE to Transfer or idle	$\zeta_{EDON}$	44.21%	(Li et al., 2021)
WEEE to Discard to mixed waste	$\zeta_{EMW}$	3.70%	(China Electronics Chamber Of Commerce, 2016)
High recovery value waste plastic to Discard to mixed waste	$\zeta_{HMW1}$	27.0%	(Thanh et al., 2011)
High recovery value waste plastic to keep for sale	$\zeta_{HKFS1}$	69.0%	(Thanh et al., 2011)
High recovery value waste plastic to reuse	$\zeta_{HRu1}$	4.0%	(Thanh et al., 2011)
Low recovery value waste plastic to Discard to mixed waste	$\zeta_{HMW2}$	64.0%	(Thanh et al., 2011)
Low recovery value waste plastic to keep for sale	$\zeta_{HKFS2}$	28.0%	(Thanh et al., 2011)
Low recovery value waste plastic to reuse	$\zeta_{HRu2}$	8.0%	(Thanh et al., 2011)
Mixed waste to classification recovery	$\varepsilon_{MWREC}$	3.7%	(Editorial Board Of Statistics, 2020)
Mixed waste to landfill	$\varepsilon_{MWLF}$	45.6%	(Editorial Board Of Statistics, 2020)
Mixed waste to incineration	$\varepsilon_{MWINC}$	50.7%	(Editorial Board Of Statistics, 2020)
Classification refurbishment rate	$\eta_{Ru}$	50%	(De Meester et al., 2019)
Sorting to waste plastic plant	$\eta_{SREC}$	63%	(Recycling Plastics Branch of Association, 2019)
Mixed waste in waste plastic plant to landfill	$\varepsilon_{SLF}$	60%	(Recycling Plastics Branch of Association, 2019)
Mixed waste in waste plastic plant to incineration	$\varepsilon_{SINC}$	40%	(Recycling Plastics Branch of Association, 2019)
Incineration to bottle ash	$\eta_{BA}$	22%	(Yao, 2014)
Incineration to fly ash	$\eta_{FA}$	3%	(Yao, 2014)
Recycling efficiency of waste plastics	$\eta_{REC}$	82%	(De Meester et al., 2019)
Incineration efficiency	$\eta_{INC}$	75%	(Yao, 2014)
Utilization rate of waste residue as building materials	$BM_{wp}$	98%	(Baidu library, 2016)
Calorific value of waste plastic	$CV_{wp}$	44MJ/kg	(De Meester et al., 2019)
Energy recovery rate of waste plastic incineration	$TP_{wp}$	25.6%	(Liu et al., 2014)

Carbon emissions from waste plastic incineration	$EF_{wpi}$	2.75t CO <sup>2</sup> eq/t	(IPCC, 2006; Quick And Easy Financial Network, 2021)
Carbon emissions from waste plastic recycling	$EF_{wpr}$	1.57 t CO <sup>2</sup> eq/t	(Dai et al., 2021)
Carbon emissions from the original production of plastics	$EF_{pp}$	4.487t CO <sup>2</sup> eq/t	(Easy Carbon Home, 2019)
Dioxin emitted into the atmosphere by incineration of waste plastics	$EF_d$	30μg TEQ/t	(United Nations Environment Programme Division, 2005)

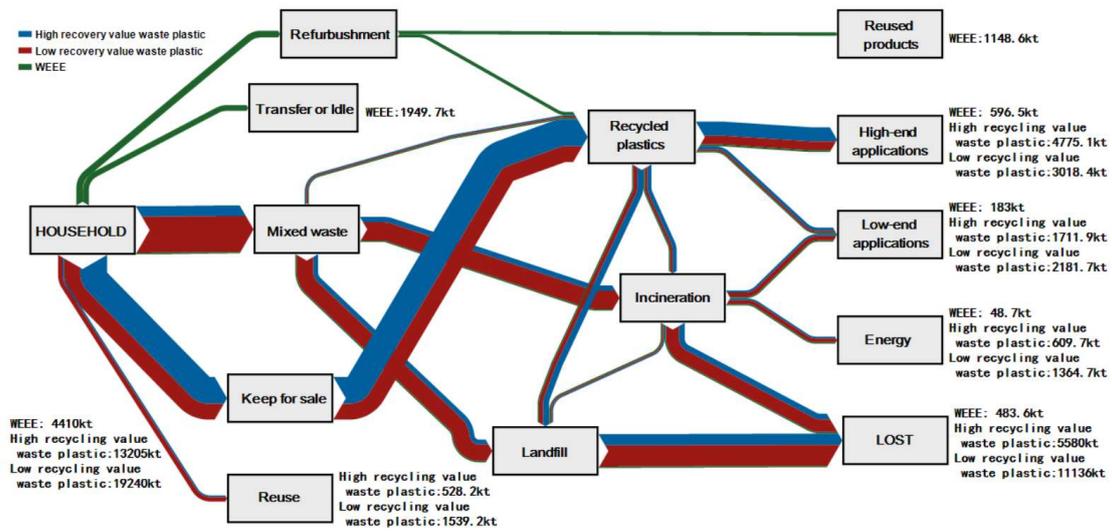
### 335 3. Results analysis

#### 336 3.1. Base year analysis

337 The recycling situation for waste plastics by Chinese residents in 2019 is presented in Table 3,  
338 and using e-sankey 4.0 software, the material flow chart for the recycling of waste plastics by  
339 Chinese residents in 2019 was drawn. Fig.2 shows the material flow at each stage of the recovery  
340 cycle for residents' waste plastics in 2019. Overall, 8.39 million tons of high-end applications  
341 were recovered, with a recovery rate of approximately 25.9%, and 3.68 million tons of low-end  
342 applications were recovered. The energy recovery is  $8.9 \times 10^{10}$  MJ, and the total material loss  
343 during the recovery process is approximately 17.2 million tons. Combining the life cycle, this  
344 study calculates that the carbon emissions of the recycling system are approximately 34.9 million  
345 tons. Compared with the original production volume, carbon emissions have been reduced by 24.5  
346 million tons, and the amount of dioxin emitted from the incineration of waste plastics into the  
347 atmosphere is 316.11 g TEQ.

348 **Table 3** The recycling situation of waste plastics in China in 2019

Output index	Unit	Recovery amount
Total Product Recovery (TPR)	kt	1148.6
High end application (MTHEA)	kt	8390
Low end application (MTLEA)	kt	4076.6
Energy recovery (TER)	MJ	$8.90 \times 10^{10}$
Lost (TML)	kt	17199.6
Reuse of waste plastics from domestic sources	kt	2067.4
Transfer or idle of waste plastics from WEEE sources	kt	1949.7
Carbon emissions (CE)	kt	34904.8
Carbon reduction (CR)	kt	24473.6



349 **Fig. 2.** Flows of different waste plastics towards various valorization pathways.

### 350 3.2. Sensitivity analysis

351 Sensitivity analysis is a somewhat uncertain quantitative analysis technology for examining

352 the influence of certain changes in relevant factors on a specific or a group of key indicators. Its

353 essence is to explain the law of key indicators as influenced by the changes of these factors

354 through changing the values of the relevant variables one-by-one(Christopher and R, 2002). By

355 analyzing the internal and external environments of the overall waste plastic recycling chain, it

356 can be determined that the primary macro factors affecting waste plastic recycling include

357 consumer behavior, technology and government policies, as confirmed in various literatures

358 (Knickmeyer, 2020; Hui et al., 2020). Therefore, this part conducts sensitivity analysis on the

359 main factors influencing the recycling efficiency of waste plastics in China from the perspectives

360 of consumer behavior, technology and government policies. It also identifies the key linkages of

361 waste plastic recycling to provide decision-making support. In addition, it conducts a sensitivity

362 analysis for environmental benefit improvement – that is, the impact of the improvement of each

363 key link of the recycling chain for carbon dioxide and dioxin emissions. In this study, all  
364 parameters that are under control of consumers, policy or technology are tested towards their  
365 importance. Limited to the length of this article, the sensitivity analysis diagrams and tables are  
366 not shown in the main text. The appendix A shows the changes in indicators such as material  
367 recycling and environmental damage corresponding to every increase of a certain percentage of  
368 parameter strength on the basis of residents' waste plastic recycling in 2019.

369 Consumer behavior:  $\zeta_{EREC}$ ,  $\zeta_{HKFS1}$ ,  $\zeta_{HKFS2}$

370 Technological progress:  $\eta_{Ru}$ ,  $\eta_{SREC}$ ,  $\eta_{INC}$ ,  $\eta_{REC}$ ,  $TP_{wp}$

371 Government policy:  $\varepsilon_{MWREC}$ ,  $\varepsilon_{MWINC}$ ,  $\varepsilon_{SINC}$

### 372 3.2.1 Parameters related to consumer behavior

373 Consumer behavior entails the type of treatment that residents prefer to adopt when waste  
374 plastic is produced – that is, whether they choose to recycle it through regular channels, discard it  
375 as domestic waste, or reuse it (Yin et al., 2014; Yeow, 2018). This study conducted a quantitative  
376 analysis of the influence of consumers on the disposal tendency of WEEE and domestic waste  
377 plastics. Sensitivity analysis found that both the recycling performance and environmental benefits  
378 of recycling systems increased significantly with the increase in the selection rate of waste plastic  
379 recycling. In particular, for every 1% increase in the WEEE recycling selection rate ( $\zeta_{EREC}$ ), the  
380 TPR recycling rate increases by 1.9%; for every 5% increase in the recycling selection rate of  
381 high-value waste plastics ( $\zeta_{HKFS1}$ ), the MTHEA recycling rate and CR both increase by 3.9%, and  
382 the DE decreases by 2.3%; For every 5% increase in the recycling selection rate of low-value  
383 waste plastics ( $\zeta_{HKFS2}$ ), the recovery rate of MTHEA and CR both increase by 5.7%, while DE  
384 decreases by 3.3%. On the one hand, whether one deems WEEE or waste plastics from households,

385 formal recycling channels need to be adopted instead of discarding them into mixed waste. On the  
386 other hand, waste plastics with a high recycling value have a relatively high economic value and  
387 high-efficiency recycling methods, hence more residents intend to recycle them. Meanwhile,  
388 waste plastics with a low recycling value tend to be discarded into mixed waste rather than  
389 recycled. In contrast, sensitivity analysis determines that waste plastics with low recycling values  
390 are a significant yet neglected factor. These plastics have a more ample effect on the conservation  
391 of environment, especially when they are kept for sale or discarded into mixed waste.

### 392 3.2.2 Parameters related to technological progress

393 Technology-related parameters denote the increase in utilization rates for each recycling link  
394 due to scientific and technological progress, thus influencing the material recovery and  
395 environmental benefits(Fernandes et al., 2021). Based on sensitivity analysis results, for every 5%  
396 increase in  $\eta_{Ru}$ , the TPR recovery rate increases by 10%; for every 5% increase in  $TP_{wp}$ , the TER  
397 recovery rate increases by 19.1%; for every 1% increase in  $\eta_{REC}$ , the MTHEA recovery rate and  
398 CR both increase by 1.2%. Obviously, the classification refurbishment rate ( $\eta_{Ru}$ ), waste plastic  
399 recycling efficiency ( $\eta_{REC}$ ) and waste plastic incineration energy recovery rate ( $TP_{wp}$ ) has a direct  
400 impact on the amount of material recycling, and the increase in waste plastic recycling efficiency  
401 has a significant carbon emission reduction effect. The increase in the re-sorting rate ( $\eta_{SREC}$ ) has a  
402 significant impact on the amount of recycled plastics recycled and carbon reductions for every 5%  
403 increase in  $\eta_{SREC}$ , the MTHEA recovery rate and CR both increase by 7.9%. Increasing  
404 incineration efficiency ( $\eta_{INC}$ ) can not only increase energy production for every 5% increase in  
405  $\eta_{INC}$ , the TER recovery rate increase by 5%, but also reduce material loss caused by landfills.  
406 However, affected by the energy recovery rate of waste plastic incineration, the increase in energy

407 production is bound to cause more energy loss. The increase of  $\eta_{SREC}$  and  $\eta_{INC}$  will also cause a  
408 slight increase in carbon emissions. This is due to the energy consumption of waste plastics  
409 originally flowing to landfills in the process of turning to recycling. However, technological  
410 progress can effectively achieve carbon and dioxin emission reduction and reduce material losses,  
411 thereby significantly improving the performance and environmental benefits of the entire  
412 recycling system.

### 413 3.2.3 Parameters related to government policy

414 Parameters pertaining to government decision-making refer to the government establishing  
415 relevant policies for the regulation of recycling waste plastics and guiding waste plastic  
416 treatments(Ming et al., 2020). These parameters generally include the three treatments for mixed  
417 waste: recycling, incineration and landfill. Recycling of mixed waste has a relatively high impact  
418 on material recycling and environmental benefits. When  $\epsilon_{MWREC}$  increases by 5% and  $\epsilon_{MWLF}$   
419 decreases by 5%, the MTHEA recovery rate and CR both increase by 4.9%; When  $\epsilon_{MWREC}$   
420 increases by 5% and  $\epsilon_{MWINC}$  decreases by 5%, MTHEA recovery rate and CR both increase by  
421 3.8%, CE and DE decreased by 2% and 7% respectively. Presently, waste plastics in the recycling  
422 of mixed waste mostly rely on street recyclers and pickers; picking efficiency is very low, and  
423 most recyclable materials are lost to incineration or landfill of mixed wastes, thus resulting to  
424 much wasted resources(Jin, 2021). Thus, this linkage has a potential for substantial improvement,  
425 as the government can employ relevant measures such as garbage classification to actively guide  
426 recycling of waste plastics in mixed waste. When  $\epsilon_{SINC}$  increases by 5% and  $\epsilon_{SLF}$  decreases by  
427 5%, TEP recovery rate increase by 2.9%; When  $\epsilon_{MWINC}$  increases by 5% and  $\epsilon_{MWLF}$  decreases  
428 by 5%, TEP recovery rate increase by 7.6%. Compared to incineration, landfill treatment of waste

429 plastics can pose serious problems involving resource waste and environmental pollution(Wei,  
430 2016). However, due to relatively low costs, there is still a large proportion of waste plastics that  
431 end up in landfills, after which they become permanent waste. The additives in plastics pollute the  
432 soil and water resources, and occupy much valuable land resources. In this case, the government  
433 should implement approaches for reducing the proportion of landfill treatment and promoting  
434 mixed waste flow for incineration treatment.

### 435 3.3. Scenario prediction

#### 436 3.3.1 Forecast for the Residents' Waste Plastics Output in China

437 Based on survey statistics, first determine the annual increase rate of waste plastics, and then  
438 use the amount of waste plastics generated in a certain year as the base annual output to calculate  
439 the amount of waste plastics generated in a certain year in the future(Rouzi, 2009). With the  
440 limitations imposed by economic progress and population factors, the amount of waste plastics  
441 being produced by various industries is relatively stable; it is equal to the overall amount of waste  
442 plastics, multiplied by the proportions of various industries(Ke, 2018). Therefore, we have the  
443 following formulas for predicting the production of waste plastics in various industries.

$$444 \quad M_{tWPI} = M_{WP} * (1 +$$
$$445 \quad r)^t * \epsilon_i$$

$$446 \quad (11)$$

447  $M_{tWPI}$  represents the amount of waste plastics in each industry in year t,  $M_{WP}$  represents  
448 the total amount of waste plastics in the base year, and  $\epsilon_i$  represents the proportion of various  
449 industries,  $r$  represents the annual increasing rate of waste plastic output.

450 The prediction formula of this study has higher requirements for the prediction scenario, and

451 the key lies in the determination of  $r$ . Luan (2020) uses the logistics model to simulate the  
 452 development trend of waste plastics in the future based on the statistical data from 1949 to 2018,  
 453 assuming that after 2020, the average annual growth rate of GDP is about 5% and the population  
 454 growth rate is about 2%, the average annual growth rate of waste plastics is about 2.5%. The  
 455 forecast results are presented in Table 4.

456 **Table 4** Prediction of production of waste plastics (10,000 tons)

Year	2019	2020	2021	2022	2023	2024	2025	2030	2035
WEEE source	441.0	452.0	463.3	474.9	486.8	499.0	511.4	578.6	654.7
Domestic source	3244.5	3325.6	3408.8	3494.0	3581.3	3670.9	3762.6	4257.1	4816.5
High recycling value	1320.5	1353.5	1387.4	1422.0	1457.6	1494.0	1531.4	1732.6	1960.3
Low recycling value	1924.0	1972.1	2021.4	2071.9	2123.7	2176.8	2231.3	2524.5	2856.2

457 3.3.2 Scenario prediction of residents' waste plastic recycling in China in the future

458 Considering the quantity and composition of plastic solid waste, Thanh et al. (2011) put  
 459 forward an optimization of a disposal scheme for waste plastics with regard to a certain time  
 460 sequence level. The relatively large proportion of waste plastics from domestic source and WEEE  
 461 in China are the essential factors for consideration in the scenario setting in this paper. Waste  
 462 plastics from domestic source are further classified into two categories: high recovery value and  
 463 low recycling value. In this case, the quantity and value of waste plastics are being  
 464 comprehensively considered. Additionally, scenario setting is usually combined with the LCA  
 465 method. Rigamonti et al. (2014) utilized the LCA to comprehensively consider the recycling  
 466 means, separation methods and separation efficiency of waste plastics, established an  
 467 EASEWASTE model, defined five plastic waste scenarios, and examined the material and energy  
 468 recovery of waste plastics. Gradus et al., (2017) investigated the conventional incineration  
 469 treatment and energy recovery of domestic waste plastics in the Netherlands; the environmental  
 470 benefits of both scenarios were computed according to the avoided carbon dioxide emissions, after

471 which a related cost-benefit analysis was conducted. In the sensitivity analysis in the third section,  
472 there are three primary factors influencing the economic and environmental benefits of China's  
473 waste plastic recycling systems: consumer behavior, technology, and government policy. In this  
474 paper, the most significant attributes, such as the residents' willingness to recycle, the initial  
475 sorting rate of mixed waste, and the re-sorting rate of waste plastic factories, are considered, and  
476 the influence of these factors on the recycling system of waste plastics in China is deliberated.  
477 Future benefits are predicted up to the year 2035.

478       Scenario 1: Residents' environmental protection awareness has been increased, and their  
479 willingness to recycle has been gradually enhanced. The latter is a vital component for improving  
480 recycling efficiency. Some studies have discussed the incentive mechanism and the influencing  
481 factors of residents' recycling willingness in China. Relying on the pace of China's economic  
482 development and urbanization process, Qiao (2017) interviewed and investigated various residents  
483 and scrutinized the impact of environmental awareness, policies and regulations, and incentive  
484 mechanisms on the residents' classification behavior. Based on the planned behavior theory, the  
485 A-B-C theory and a questionnaire survey, Hu and Yu (2012) examined the recycling intentions of  
486 e-waste consumers and the factors influencing them. The present paper comprehensively considers  
487 the above factors and provides a scientific prediction on the enhancement of the residents' desire  
488 to recover and its benefits.

489       Scenario 2: The initial sorting rate for mixed refuse has gradually increased and all mixed  
490 waste after re-sorting flows to incineration. De Meester et al. (2019) implemented MFA and LCA  
491 of precious metals and plastics on electronic and electrical equipment; the results indicated that  
492 separation rate was the most vital factor for enhancing recovery efficiency. On March 2017, an

493 implementation plan for domestic garbage classification system was officially released. The said  
494 program required that by the end of 2020, relevant laws, regulations and standardized systems for  
495 garbage classification should be fundamentally established, and a replicable and extensible  
496 classification model for domestic waste should be formulated. The “Shanghai Municipal  
497 Implementation Plan on Further Strengthening the Treatment of Plastic Pollution” clearly stated  
498 that by 2022, Shanghai will fully realize the goal of zero landfill of plastic waste(Shanghai  
499 Development And Reform Commission, 2020). Hence, this paper comprehensively considers the  
500 specific implementation of policies in China and the respective situations of developed countries,  
501 and generates a scientific forecast for China’s plastic recovery schemes.

502       Scenario 3: Alongside the development of science and technology, the re-sorting rate of waste  
503 plastics recycling plants has gradually increased. The separation technologies for waste plastics  
504 recovery can be categorized as either density separation, dissolution separation, filtration  
505 separation, electrostatic separation or floating separation(Xiong, 2014). Liu et al. (2014) used the  
506 cyclone method to sort waste plastic films of different densities, laying a foundation for further  
507 processing of plastic waste in China. In most countries, the separation technology of waste plastics  
508 is mostly focused on the use of controlled wind separation, a combination of wind separation and  
509 other methods, or a hydrocyclone separation method (Shi et al, 2016). Fu et al. (2017)assessed the  
510 economic and environmental benefits of waste plastic recycling in China under a mechanized  
511 recycling scenario, and has provided vital information for China’s current waste plastic recycling  
512 industry. Meanwhile, this paper comprehensively considers the application of artificial sorting,  
513 mechanization sorting and other innovative sortation technologies in waste plastic recycling plants  
514 according to China’s development of its waste plastic recycling systems, thus rendering a

515 scientific prediction of the future re-selecting efficiency and the relative improvement in recovery.

516 Scenario 4: Basing upon the residents' increased preferences for recycling, the classification  
517 and recycling of waste plastics has become standardized, and the initial sorting rate of mixed  
518 waste has gradually improved.

519 There are various requirements laid out in the Industrial Green Development Plan (2016 /  
520 2020). By 2020, the recycling volume of waste plastics in China must reach 23 million tons. It is  
521 crucial to accelerate the efficient utilization of renewable resources and the standardized  
522 development among industries, as well as the popularization and application of the advanced and  
523 applicable recycling technology and equipment concerning major renewable resources, such as  
524 waste plastics. This further calls for establishing industrial agglomeration areas for renewable  
525 resources, promoting a cross-regional cooperative utilization of renewable resources, generating a  
526 system for recycling and utilization of regional renewable resources, and endorsing the industries'  
527 gradual standardization. Moreover, the formulation and implementation of laws and regulations,  
528 such as Measures for Solid Waste Management, Regulations on Pollution Prevention and Control  
529 of Waste Plastics Processing and Utilization, and Regulations on Environmental Protection and  
530 Management of Imported Waste Plastics, have been systematically considered in this paper. By  
531 referring to developed states that have highly efficient recycling systems for waste plastics, the  
532 key links in the future recycling systems for China's waste plastics can be predicted. The  
533 parameters are primarily based on the existing economic and technological development levels in  
534 China, and the implementation of policies and development status of developed nations, through  
535 encompassing the three levels involving residents, technology and the government. Therefore, the  
536 scenario prediction model set up in this study is shown in Table 5.

**Table 5** Scenario setting

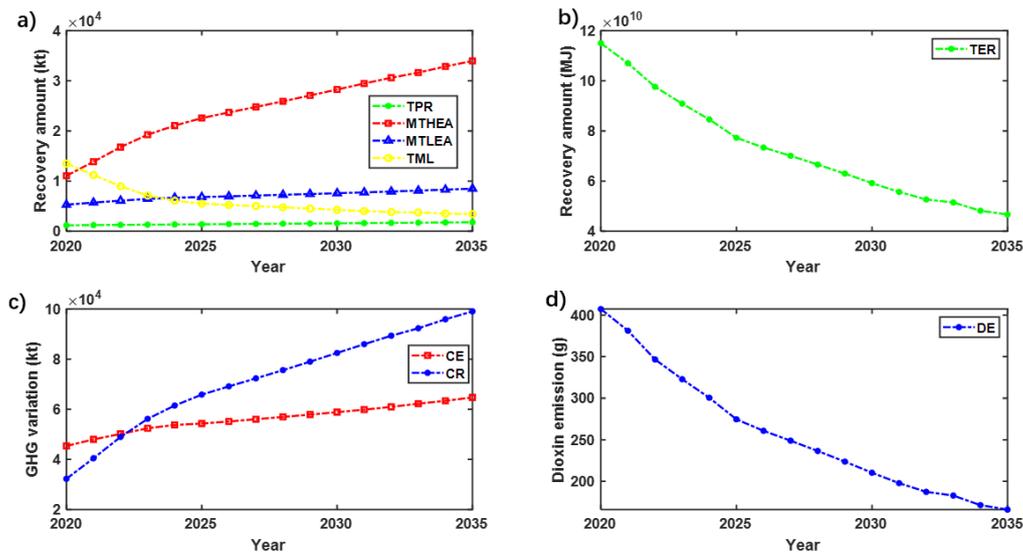
Year	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2035
Scenario 1: Consumer behavior													
$\zeta_{\text{EREC}}$	0.5209	0.5259	0.5309	0.5359	0.5409	0.5459	0.5469	0.5479	0.5489	0.5499	0.55	0.55	0.55
$\zeta_{\text{HKFS1}}$	0.69	0.71	0.73	0.75	0.77	0.79	0.8	0.81	0.82	0.83	0.84	0.85	0.9
$\zeta_{\text{HKFS2}}$	0.28	0.33	0.38	0.43	0.48	0.53	0.58	0.61	0.64	0.67	0.7	0.73	0.8
Scenario 2: Government policy													
$\varepsilon_{\text{MWREC}}$	0.037	0.187	0.337	0.487	0.637	0.707	0.733	0.753	0.763	0.773	0.783	0.793	0.8
$\varepsilon_{\text{SINC}}$	0.4	1	1	1	1	1	1	1	1	1	1	1	1
Scenario 3: Technological progress													
$\eta_{\text{SREC}}$	0.63	0.67	0.71	0.75	0.77	0.79	0.81	0.82	0.83	0.84	0.85	0.86	0.9
Scenario 4: Comprehensive improvement (Combination of scenarios 1-3)													

538           The predicted values for each key parameter are inputted into the mathematical model, after  
539           which the benefit values for each scenario can be estimated. It can be seen from Table 6 that the  
540           amount of recycled plastic waste and environmental benefits of residents have been continuously  
541           improved. Under the development of Scenario 4, 33960.3 kilotons of high-end application  
542           materials will be recycled by 2035, with a recovery rate of approximately 62%, and emission of  
543           64729 kilotons of carbon dioxide, with a total carbon reduction of 99062.3 kilotons relative to the  
544           original production. Recycling can bring huge environmental benefits. It can be seen from Figure  
545           3 that as the amount of waste increases, the effect of reducing carbon dioxide and dioxins is very  
546           significant. Moreover, as can be seen from Figure 3, under Scenario 4, carbon emissions gradually  
547           tend to stabilize, which is in line with China's goal of achieving a carbon peak by 2035. Whether  
548           accomplished by improving the residents' willingness to recycle, standardizing waste separation  
549           and recycling, or enhancing re-sorting efficiency, it is vital to improve the recycling efficiency of  
550           China's waste plastic system.

**Table 6** Scenario prediction of residents' waste plastic recycling

	Unit	2020	2021	2022	2023	2024	2025	2030	2035
Total Product Recovery (TPR)	kt	1188.6	1229.9	1272.5	1316.5	1361.9	1398.5	1591.2	1800.3
Scenario 1 High end application (MTHEA)	kt	9230.4	10107.5	11022.7	11977.4	12973.0	13929.7	18079.3	21937.3
Low end application (MTLEA)	kt	4213.3	4354.3	4499.7	4649.6	4804.2	4960.2	5743.6	6583.1

	Energy recovery ( <b>TER</b> )	MJ	8.73*10 <sup>10</sup>	8.54*10 <sup>10</sup>	8.34*10 <sup>10</sup>	8.12*10 <sup>10</sup>	7.89*10 <sup>10</sup>	7.69*10 <sup>10</sup>	7.27*10 <sup>10</sup>	7.30*10 <sup>10</sup>
	Lost ( <b>TML</b> )	kt	17042.8	16867.3	16672.5	16457.3	16221.0	16044.9	16020.8	16767.6
	Carbon emissions ( <b>CE</b> )	kt	35802.4	36722.9	37667.2	38635.7	39629.0	40654.2	46123.5	52271.9
	Carbon reduction ( <b>CR</b> )	kt	26925.0	29483.6	32153.4	34938.2	37842.1	40632.9	52737.2	63991.0
	Dioxin emissions ( <b>DE</b> )	g TEQ	309.97	303.33	296.17	288.45	280.17	273.23	258.02	259.35
	Total Product Recovery ( <b>TPR</b> )	kt	1177.3	1206.7	1236.9	1267.8	1299.5	1332.0	1507.0	1705.1
	High end application ( <b>MTHEA</b> )	kt	9873.9	11426.8	13051.2	14749.6	15774.7	16418.9	19228.9	21841.9
	Low end application ( <b>MTLEA</b> )	kt	5268.9	5701.6	6152.6	6622.6	6952.4	7138.4	8045.2	9098.3
Scenario 2	Energy recovery ( <b>TER</b> )	MJ	1.23*10 <sup>11</sup>	1.27*10 <sup>11</sup>	1.31*10 <sup>11</sup>	1.35*10 <sup>11</sup>	1.39*10 <sup>11</sup>	1.41*10 <sup>11</sup>	1.53*10 <sup>11</sup>	1.72*10 <sup>11</sup>
	Lost ( <b>TML</b> )	kt	14538.1	13276.8	11943.3	10534.9	9957.1	9981.1	10824.2	12184.7
	Carbon emissions ( <b>CE</b> )	kt	45586.6	48967.9	52489.8	56157.2	58812.5	60276.8	67581.0	76380.3
	Carbon reduction ( <b>CR</b> )	kt	28802.2	33332.0	38070.3	43024.6	46014.8	47894.0	56090.8	63712.8
	Dioxin emissions ( <b>DE</b> )	g TEQ	437.59	451.31	465.45	480.01	495.22	501.81	543.88	612.20
	Total Product Recovery ( <b>TPR</b> )	kt	1177.3	1206.7	1236.9	1267.8	1299.5	1332.0	1507.0	1705.1
	High end application ( <b>MTHEA</b> )	kt	9145.8	9934.1	10756.1	11319.0	11903.3	12509.8	15027.3	17792.8
	Low end application ( <b>MTLEA</b> )	kt	4238.6	4406.1	4579.3	4726.1	4877.4	5033.3	5790.8	6638.7
Scenario 3	Energy recovery ( <b>TER</b> )	MJ	8.90*10 <sup>10</sup>	8.89*10 <sup>10</sup>	8.88*10 <sup>10</sup>	8.98*10 <sup>10</sup>	9.08*10 <sup>10</sup>	9.18*10 <sup>10</sup>	1.00*10 <sup>11</sup>	1.10*10 <sup>11</sup>
	Lost ( <b>TML</b> )	kt	17074.7	16932.8	16773.1	16893.6	17009.7	17121.0	18483.0	20108.1
	Carbon emissions ( <b>CE</b> )	kt	36085.4	37303.1	38559.2	39688.9	40851.1	42046.5	48064.5	54826.5
	Carbon reduction ( <b>CR</b> )	kt	26678.2	28977.7	31375.5	33017.5	34721.9	36491.0	43834.7	51901.7
	Dioxin emissions ( <b>DE</b> )	g TEQ	316.02	315.73	315.23	318.81	322.37	325.91	355.95	391.15
	Total Product Recovery ( <b>TPR</b> )	kt	1188.6	1229.9	1272.5	1316.5	1361.9	1398.5	1591.2	1800.3
	High end application ( <b>MTHEA</b> )	kt	11066.1	13876.7	16787.4	19259.6	21080.7	22575.3	28277.2	33960.3
	Low end application ( <b>MTLEA</b> )	kt	5307.7	5724.2	6101.9	6463.0	6694.5	6829.7	7594.5	8498.5
Scenario 4	Energy recovery ( <b>TER</b> )	MJ	1.15*10 <sup>11</sup>	1.07*10 <sup>11</sup>	9.76*10 <sup>10</sup>	9.09*10 <sup>10</sup>	8.46*10 <sup>10</sup>	7.73*10 <sup>10</sup>	5.92*10 <sup>10</sup>	4.67*10 <sup>10</sup>
	Lost ( <b>TML</b> )	kt	13489.8	11230.3	8983.0	7141.8	6092.8	5521.1	4277.2	3426.7
	Carbon emissions ( <b>CE</b> )	kt	45375.8	47989.2	50182.2	52430.3	53755.7	54320.5	58854.6	64729.0
	Carbon reduction ( <b>CR</b> )	kt	32279.7	40478.3	48968.8	56180.4	61492.5	65852.1	82484.6	99062.3
	Dioxin emissions ( <b>DE</b> )	g TEQ	407.30	381.13	346.56	322.80	300.49	274.58	210.32	165.98



**Fig. 3.** Prediction of residents' waste plastics recycling in China from 2020 to 2035

552

553 The rates of increase in recovery benefits as ranked from highest to lowest are as follows:

554 Scenario 4, Scenario 1, Scenario 3, and Scenario 2. Compared to the standardization of garbage

555 classification and recycling and the increase of re-sorting rate of waste plastic, the recovery

556 benefit delivered through increasing residents' recycling is greater. It should be noted that the

557 residents' recycling awareness should be improved and that their recycling intention should be

558 enhanced. Since the recovery benefit is the greatest in Scenario 4, with an increased willingness

559 among the residents to recycle, simultaneously improving waste plastic classification and

560 recycling can increase recovery benefit by a greater extent. Moreover, if the re-sorting rate of

561 waste plastics can be further increased, recovery benefit can be more rapidly improved. In this

562 case, one should not ignore vital factors, such as standardizing garbage classification and the

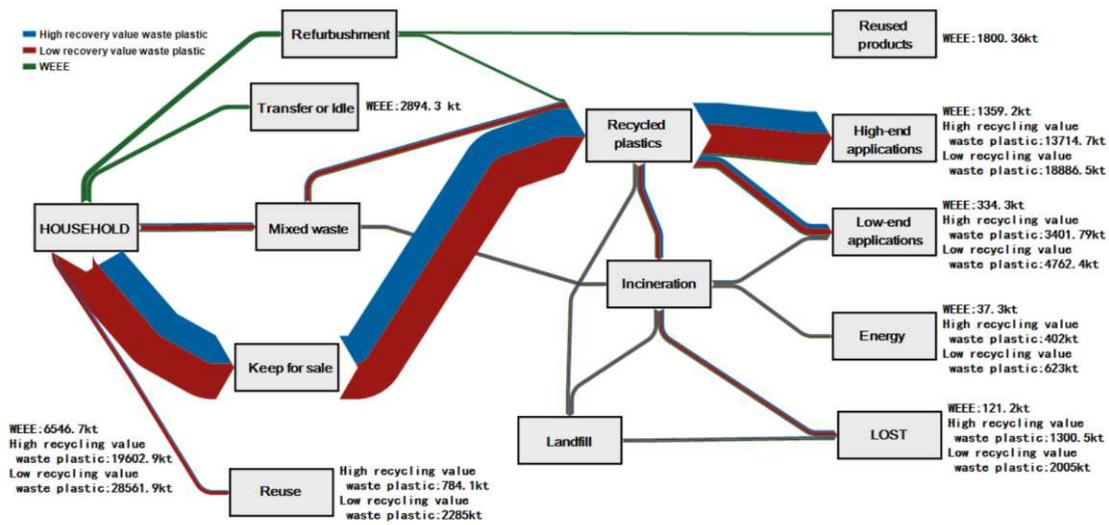
563 recycling and re-sorting rates, while elevating the residents' preferences for recycling. It is indeed

564 necessary to stimulate the balanced growth of various factors to enhance the recycling system for

565 waste plastics in China and to improve recycling efficiency. This paper draws the material flow

566 diagram of the residential waste plastic recycling system in 2035 in the Scenario of the

567 comprehensive development of the recycling system, as shown in Figure 4.



568 **Fig.4.** Material flow of Chinese residents' waste plastic recycling system in 2035

569 **4. Discussion**

570 De Meester et al. (2019) deemed that the most significant factor influencing the economic  
 571 and environmental benefits of recycling is science and technology – mainly separation technology  
 572 – while the impact of policy factors is not relatively significant. Ke (2018) believed that the three  
 573 fundamental factors that impact recovery rate are the mature recycling industry chain, the degree  
 574 of implementation of plastic recycling by the consumers. and the healthy operation of recycling  
 575 plants. The results depicted that the primary problems influencing the recycling of waste plastics  
 576 emerge in terms of technical level and consumer behavior. Efficiency of waste plastic sorting and  
 577 separation is low, resulting to much waste plastics with mixed waste flow into incineration and  
 578 landfill facilities. Moreover, due to the limitations of the existing thermal cycle technology, and  
 579 the stability of incineration system and equipment, a lower incineration efficiency causes losses of  
 580 several materials. Thus, it is highly significant to enhance both the initial sorting rate of mixed  
 581 waste and the re-sorting rate of waste plastic recycling plants. Moreover, consumers lack

582 consciousness in classification and recycling; several volumes of waste plastics are either idle, not  
583 fully recycled or directly discarded, bringing forth much trouble in waste plastic recycling. One of  
584 the most prominent problems concerns the low recycling value of waste plastics such as plastic  
585 bags; due to its imperfect recycling channels, most residents opt to directly discard them, yielding  
586 resource waste and environmental pollution. Finally, a huge proportion of waste plastics is sent to  
587 landfills, leading to resource losses to a certain extent.

588         Although technical parameters such as initial sorting rate and re-sorting rate of mixed waste  
589 have a significant influence on enhancing the recycling efficiency of waste plastics, this is based  
590 on a certain amount of recycling. In particular, when the residents' willingness to recycle is not  
591 high, the increase of recycling benefits will be insignificant even if the technical parameters and  
592 other factors have improved a lot. For instance, recycling willingness of e-waste is low; most of  
593 them remain idle or transferred, and only a small portion flows into recycling systems. Hence, the  
594 most vital approach is to elevate the recycling willingness of Chinese residents, especially those  
595 concerning waste plastic with relatively low recycling values. Simultaneously, a classified  
596 recycling of waste plastics should be implemented and the sorting rate in recycling plants should  
597 be improved so as to maximize the advantages of recycling waste plastics in China.

## 598 **5. Conclusions and recommendations**

599         This paper has introduced how to express an MFA with mathematical properties and  
600 associated with LCA as an equation framework, which is beneficial to utilizing more  
601 forward-looking decision support. Although the said approach is retrospective, it can be effective  
602 for assessing the potential impacts of certain decisions. For instance, it can highlight the  
603 improvement aspects to bring forth the greatest benefits in waste plastic recycling, thus helping

604 achieve recycling goals. Applying a combination of MFA and LCA, this paper assesses the reverse  
605 logistics process of WEEE and waste plastic recycling among Chinese urban households, and  
606 identifies the parameters for each link in the process. Sensitivity analysis was implemented to  
607 point out the key factors for progressing the waste plastic recycling system in China, after which  
608 the recovery benefits and Environmental damages upon the improvement of each key link were  
609 reasonably predicted. The three most significant factors in the improvement of waste plastic  
610 recycling systems in China are the consumers' recycling willingness, the initial sorting rate of  
611 mixed waste, and the re-sorting rate of waste plastic recycling plants. The improvement of these  
612 factors can enhance the economic and environmental advantage of waste plastic recycling. Under  
613 the comprehensive improvement scenario, the recycling rate of recycled plastic materials  
614 increased from 25.9% to 62%, the carbon emission reduction was increased by about 4 times, and  
615 the dioxin emission was reduced by nearly 1/2.

616       The Chinese government has played an important role in recycling waste plastics. At the  
617 source of recycling, the government can formulate policies to guide consumers to properly handle  
618 waste plastics, and urge consumers to classify waste plastics to improve residents' awareness of  
619 recycling. In the process of recycling, the government can formulate laws and regulations to  
620 regulate mixed waste recycling to improve the initial sorting rate, and encourage waste plastic  
621 recycling plants to improve the re-sorting rate through policy subsidies, so as to improve the  
622 recycling benefits of waste plastics. In particular, the government should guide the recycling of  
623 waste plastics or the energy recovery by incineration, in order to mitigate the proportion of direct  
624 abandonment or landfill, especially for plastics with low recycling values. Meanwhile, by  
625 strengthening the supervision of the overall life cycle of plastics, the volume of waste plastics

626 entering the environment at each stage can be mitigated, consequently reducing the waste of  
627 resources and environmental pollution. In addition, the calculation of carbon emission does not  
628 take into account the transport process and other links, which can be further discussed.

629

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631 administration, Methodology, Investigation, Formal analysis, Writing original draft. Jixin Wen:  
632 Investigation, Writing original draft , Formal analysis, Data curation, Software. Linlin Zhang:  
633 Project administration, Data curation, Writing original draft, Formal analysis. Jixin Wu: Project  
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642 **Declarations**

643 **Ethics approval and consent to participate** We all declare that manuscript reporting studies do  
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