

# The Connection Between the Hadley Circulation and Meridional Structure of Tropical SST during ENSO from 1950 to 1977

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## Research Article

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1           **The connection between the Hadley circulation and**  
2           **meridional structure of tropical SST during ENSO from**  
3           **1950 to 1977**

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## Abstract

The connection between the meridional structure of tropical sea surface temperature (SST) and the Hadley circulation (HC) under the effect of ENSO (El Niño Southern Oscillation) from 1950 to 1977 is studied. We decompose the HC and zonal mean SST into equatorially symmetric (HES for HC, SES for SST) and asymmetric variations (HEA for HC, SEA for SST) to discuss the modulation of their connection by ENSO. During El Niño events from 1950 to 1977, the HC is less sensitive to the different SST meridional structures and expressed by response ratio. The ratio in La Niña and neutral events is around 4, which is equivalent to the result in the climatology. The reason for the decreased ratio during El Niño events is explored. The interdecadal variation in the linkage between the HC and tropical SST is due to a clear interdecadal shift in the impacts of ENSO on the tropical Indian Ocean (TIO) SST. For the period 1950–1977, when El Niño events occur, larger SST warming amplitude is observed over the northern TIO ( $0^{\circ}$ – $15^{\circ}$ N,  $50^{\circ}$ – $100^{\circ}$ E). However, the southern TIO ( $15^{\circ}$ S– $0^{\circ}$ ,  $50^{\circ}$ – $100^{\circ}$ E) shows greater warming amplitude during 1980–2016. The anomalous SST variation over the TIO linked to El Niño events alters the meridional SST distribution, inducing anomalies in the meridional circulation. These results can help us to understand the interdecadal modulation by ENSO of the relationship between tropical SST and the HC.

**Keywords** Hadley circulation • Sea surface temperature • Tropical Indian Ocean • Interdecadal variation

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42     **1. Introduction**

43         As an essential large-scale circulation, Hadley circulation (HC) can modify  
44         planetary wave activity and the tropical outer vortex, further affecting global circulation  
45         systems that impact mid-to-high latitude climate (e.g., Trenberth and Stepaniak 2003;  
46         Chen et al. 2014; Davis and Davis 2018). The HC is a direct thermal circulation in the  
47         tropics. Therefore, variations of sea surface temperature (SST) over the tropics are  
48         primary factors affecting the variability of the HC. Observational datasets show that  
49         long-term variations of the HC are closely correlated with anomalous variations of  
50         tropical SST (e.g., Diaz and Bradley 2004; Chiang and Friedman 2012; Guo and Tan  
51         2018; Rollings and Merlis 2021). Previous research has pointed out that warming  
52         anomalies in the Indian Ocean region weaken the HC (Roxy et al. 2015). Theoretical  
53         research has shown that the tropical SST can adjust the convergence and divergence of  
54         the lower troposphere by changing the thermal difference between the hemispheres and  
55         between high and low latitudes, thereby affecting the position and strength of the HC  
56         (e.g., Small et al. 2008; Levine and Schneider 2011; Singh et al. 2017). The results of  
57         numerical experiments show that in addition to the effect of anomalous SST warming  
58         or cooling on the HC (D'Agostino et al. 2017), the spatial structure of SST also has an  
59         important influence on the HC. For example, contraction of the HC toward the equator  
60         can be induced by an increase of the SST meridional gradient during El Niño; this  
61         shows that the variability of HC is closely related to the meridional structure of tropical  
62         SST (e.g., O'Gorman 2011; Levine and Schneider 2015). Previous studies have pointed  
63         out that the meridional gradient of SST plays a crucial role in affecting the vertical

64 motion of the lower troposphere (e.g., Walker and Schneider 2005; Hu et al. 2017).  
65 Results from numerical models indicate that the distribution and position of the heating  
66 profile (such as the position relative to the equator) have an important impact on the  
67 anomalous distribution of the meridional circulation (e.g., Numaguti 1994; Bordoni and  
68 Schneider 2010). Using data diagnosis and numerical simulation, Feng et al. (2017)  
69 found that equatorially asymmetric (symmetric) variation of the tropical SST  
70 meridional structure corresponds to equatorially asymmetric (symmetric) HC variations,  
71 indicating that the distribution of HC spatial structures is crucially influenced by the  
72 meridional structure of tropical SST. It was also confirmed that, for the same intensity  
73 of tropical SST anomaly, the circulation anomaly associated with the anomalous  
74 equatorially asymmetric component of SST variations is ~4 times stronger than the  
75 equatorially symmetric component in the climatological conditions. The previous work  
76 shows that the tropical SST meridional structure contributes to the variation of the HC.

77 El Niño Southern Oscillation (ENSO), one of the ocean–atmosphere systems in  
78 the tropics, has a major effect on climate variability (e.g., Ding and Li 2012; Chen et al.  
79 2013, 2019; Ayarzagüena et al. 2018; Zhang et al. 2020). It occurs over the tropical  
80 Pacific Ocean, but the related atmospheric circulation anomalies can change the  
81 incident shortwave radiation and surface evaporation, so-called "atmospheric bridge"  
82 mechanism, affected by this, the SST of the tropical Atlantic and Indian Ocean occurs  
83 anomalous variations (e.g., Alexander et al. 2002; Li et al. 2019; Ren et al. 2020). ENSO  
84 is known to modulate the variation of tropical SST and atmospheric circulation (e.g.,  
85 Deser et al. 2010; Hu et al. 2018; Wang et al. 2021). Research has revealed that in the

86 warm phase of ENSO, when the SST warms in the region of tropical central and eastern  
87 Pacific, the subtropical jet is affected by this warming near the equator, which in turn  
88 significantly enhances the HC (e.g., Lu et al. 2008; Tandon et al. 2013; Ying et al. 2019).  
89 In contrast, when neutral or cold ENSO events occur, the HC in the winter significantly  
90 weakens (Stachnik and Schumacher 2011). Previous studies have also shown that in the  
91 Southern Hemisphere (SH), ENSO inhibits the HC by increasing the meridional  
92 gradient of SST (Sun et al. 2019). Furthermore, Feng et al. (2019) found that during El  
93 Niño events from 1980 to 2016, the equatorially asymmetric variation of the HC is  
94 enhanced. Moreover, HC shows higher sensitivity to the meridional distribution of SST.  
95 For the same amplitude of SST anomalies, the HC anomalies corresponding to the  
96 anomalous equatorially asymmetric component of SST are ~10 times greater than those  
97 corresponding to the anomalous equatorially symmetric component. This ratio during  
98 the El Niño events is larger than that in climatological conditions. This indicates that  
99 there is a significant influence of ENSO on the connection between tropical SST  
100 meridional structure and atmospheric circulation.

101 On the other hand, ENSO itself showed significant interdecadal variations around  
102 1976/77 (e.g., Gedalof and Smith 2001; Xiao and Li 2007). The period, meridional  
103 width, amplitude, temporal evolution, and propagation direction of ENSO changed  
104 around 1976/77 (e.g., Wang 1995; Terray and Dominiak 2005; Ren and Jin 2011).  
105 ENSO has occurred more frequently from 3–4 years to once every 5 years since the  
106 1980s (Fedorov and Philander 2001), and the frequency of El Niño is now higher than  
107 that of La Niña (McPhaden and Zhang 2002). Zhang et al. (2009) reported that the

meridional scale of the post-1977 warm and cold phases of ENSO has decreased.

Moreover, the intensity of El Niño after 1977 is stronger than before, and the tropical Indian Ocean SST anomalies show meridional gradient variations (Annamalai et al. 2005). In addition, the SST increased during this period of winter in the tropical central and eastern Pacific (Ding et al. 2011). Previous work indicates that the interdecadal variations of ENSO have a significant impact on SST in tropical regions. The interdecadal variation of ENSO also has a major effect on the HC. Quan et al. (2004) investigated the HC post-1977 was enhanced with the warming of tropical SST and the increase of El Niño frequency. Moreover, the first dominant mode of seasonal HC (i.e., boreal winter, spring, and summer), showed obvious phase changes from negative to positive around 1977 (e.g., Ma and Li 2007, 2008; Li et al. 2013; Hu et al. 2018; Huang et al. 2019; Cheng et al. 2020; Wang et al. 2020), implying that the interdecadal variation of ENSO has an important impact on HC. Since ENSO clearly affects both tropical SST and HC, do the interdecadal variations of ENSO have an influence on the connection between tropical SST meridional structure and HC? If there is an impact, how is the impact different from that after 1977? What are the potential reasons for the difference? However, the existing studies on the effect of ENSO on the relationship between tropical SST and HC is primarily concentrated on the period after 1977. The impact of ENSO variations on the relationship between the meridional structure of SST and HC before 1977 is still unclear. Accordingly, further research on the influence of ENSO on the linkage between tropical SST and HC before 1977 is necessary.

The connection between the SST meridional structures in the tropics and the HC

130 during ENSO before 1977 will be discussed in this paper. Section 2 depicts data and  
131 methods. Section 3 analyzes the effects of different ENSO events on the connection  
132 between tropical SST meridional structures and the HC. Section 4 discusses the possible  
133 reason for the modulation of the relationship between tropical SST and HC during  
134 different ENSO events. A brief discussion and conclusions are given in Section 5.

135 **2. Data and methods**

136 **2.1 Data**

137 Two widely used SST datasets are used for estimating the impact of ENSO on  
138 tropical SST, and three kinds of atmospheric reanalysis datasets are used to study the  
139 HC variation. Table 1 gives details of the datasets. The Niño 3.4 index is used for  
140 identifying the warm, cold, and neutral ENSO events (spatial mean of SST over 5°S–  
141 5°N, 170°W–120°W).

142 **2.2 Method**

143 To explore the possible influence of ENSO on the relationship between tropical  
144 SST and HC, we have divided the ENSO events into three types—warm, cold, and  
145 neutral—and further analyzed the relationship between the tropical SST meridional  
146 structure and HC in different events. According to the seasonal evolution of ENSO  
147 events, the period from the development to decay phases of an event (i.e., June of the  
148 previous year to the following May) is defined as an event year to describe the life cycle  
149 of the event. An El Niño (La Niña) event is defined as a year in which the Niño3.4 index  
150 is  $>0.5^{\circ}\text{C}$  ( $<-0.5^{\circ}\text{C}$ ) for more than 6 months. Seven El Niño (i.e., 1951/52, 1953/54,  
151 1957/58, 1963/64, 1965/66, 1968/69, and 1972/73) and eight La Niña (i.e., 1954/55,

152 1955/56, 1964/65, 1970/71, 1971/72, 1973/74, 1974/75, and 1975/76) events are  
 153 identified during 1950–1977. The study period from 1950 to 1977 constitutes the length  
 154 of the entire study period of 324 (27×12) months. A neutral event is defined as the year  
 155 in which the Niño3.4 index lies between −0.5°C and 0.5°C for more than 9 months (i.e.,  
 156 1950/51, 1952/53, 1956/57, 1958/59, 1959/60, 1960/61, 1961/62, 1962 /63, 1966/67,  
 157 1967/68, 1969/70, and 1976/77).

158 In this paper, the mass stream-function (MSF,  $\psi$ ) derived from the vertical  
 159 integration of the zonal mean meridional wind is used to characterize the zonal mean  
 160 meridional circulation. The MSF is positive in the Northern Hemisphere (NH) with a  
 161 clockwise circulation and is negative in the SH with an anti-clockwise circulation. The  
 162 calculation process involves the zonal average of the continuity equation in the  
 163 spherical-pressure coordinate system:

$$\frac{\partial[\bar{v}]\cos\varphi}{R\cos\varphi\partial\varphi} + \frac{\partial[\bar{w}]}{\partial p} = 0. \quad (1)$$

164 The MSF  $\psi$  is defined by:

$$[\bar{v}] = \frac{g\partial\psi}{2\pi R\cos\varphi\partial p}, \quad [\bar{w}] = -\frac{g\partial\psi}{2\pi R^2\cos\varphi\partial\varphi} \quad (2)$$

165 Integrating the above gives:

$$\psi = \int_{p_s}^{p_0} \frac{2\pi R\cos\varphi}{g} [\bar{v}] dp. \quad (3)$$

166 In the formula,  $[\bar{v}]$  is the zonal mean meridional wind, "[ ]" represents the zonal  
 167 average, "—" represents the time average,  $w$  represents the vertical velocity,  $\varphi$   
 168 represents the latitude,  $R$  represents the radius of the earth, and  $g$  represents the  
 169 gravitational acceleration;  $p_s$  and  $p_0$  are the pressure at the surface of the earth and the  
 170 upper boundary of the atmosphere, respectively.

174 In order to study the impact of ENSO on different meridional structures of tropical  
175 SST and the HC, Feng et al. (2017) decomposed the zonal mean SST into two parts;  
176 i.e., the variations of equatorially symmetric (SES) and asymmetric (SEA) of SST:

177 
$$\text{SES}(x) = \frac{\text{SST}(x) + \text{SST}(-x)}{2}, \quad \text{SEA}(x) = \frac{\text{SST}(x) - \text{SST}(-x)}{2}. \quad (4)$$

178 The equatorially symmetric (HES) and asymmetric (HEA) parts of HC are defined as:

179 
$$\text{HES}(x) = \frac{\text{MSF}(x) - \text{MSF}(-x)}{2}, \quad \text{HEA}(x) = \frac{\text{MSF}(x) + \text{MSF}(-x)}{2}. \quad (5)$$

180 Here,  $x$  and  $-x$  are the meridional distances north and south of the equator, respectively.

181 The analysis of empirical orthogonal function (EOF) is used to obtain the  
182 dominant mode of spatial distribution and the corresponding time coefficient  
183 component of tropical SST meridional structures and the HC. The linkage between the  
184 HC and the tropical SST meridional structures is measured by the response ratio  
185 proposed by Feng et al. (2017):

186 
$$\text{ratio} = \frac{\text{Reg}(\text{PC 1(HEA)}, \text{PC 1(SEA)})}{\text{Reg}(\text{PC 1(HES)}, \text{PC 1(SES))}}. \quad (6)$$

187 Here, PC1(HEA) represents the time series for the first dominant mode of the HEA  
188 EOF, with corresponding meanings for the other variables. Reg represents the  
189 regression coefficients obtained by linear regression analysis of the time series of the  
190 two dominant modes. In Equation (6), the numerator is the magnitude of the forced  
191 response of HEA to the SEA, and the denominator is the magnitude of the forced  
192 response of the HES to the SES. The larger the ratio, the more sensitive HC is to the  
193 distribution of the tropical SST meridional structures. We use correlation and composite  
194 analyses to investigate the effect of ENSO on tropical Indian Ocean (TIO) SST. Perform

195 a linear regression on the TIO SST and the original SST anomaly and subtract the  
196 regression coefficient from the original SST anomaly to get the SST without the  
197 influences of TIO. This also applies to the HC. Then decompose the difference value,  
198 calculate the response ratio of the removed TIO part to study the impact of TIO SST on  
199 the connection between the HC and SST. We use a two-sided Student's *t*-test to evaluate  
200 the statistical significance of the correlation and regression coefficients.

201 **3. Effects of different ENSO events on the connection between**  
202 **tropical SST and the HC**

203 **3.1 Spatial characteristics of tropical SST in different ENSO events**

204 The variation of zonal mean SST in the tropics is decomposed into two parts (i.e.,  
205 SEA and SES) and their spatial distributions in different ENSO events are analyzed.  
206 During different ENSO event types (El Niño, La Niña, and neutral events), the first  
207 dominant modes from SEA and SES have similar spatial characteristics in the two SST  
208 datasets (Fig. 1). An approximately linear monotonic distribution dominates the  
209 variations of SEA during all types of ENSO event; positive in the SH and negative in  
210 the NH. Conversely, the first dominant mode of SES presents an equatorially symmetric  
211 distribution during all types of ENSO event. The spatial characteristics of the EOF1 of  
212 SEA and SES in different ENSO event types during the period 1950–1977 are similar  
213 to those during the period 1980–2016 (Feng et al. 2019).

214 The variances explained by the EOF1s of SEA and SES differ among different  
215 ENSO event types. For the SEA EOF1 (left panel in Fig. 1), the explained variance is  
216 ~ 90% during El Niño events (average of the two SST datasets), which is larger than

217 that of La Niña (~88%) and neutral events (~83%). Note that the maximum amplitude  
218 is at the equator, and the maximum magnitude (0.54) during El Niño events is obviously  
219 higher than for La Niña (0.52) and neutral events (0.51; figures not shown). This  
220 suggests that the variation of the SEA component may be strengthened in the El Niño  
221 events. A higher explained variance of SES EOF1 is ~83% during El Niño events, the  
222 result is smaller during La Niña events (~77%), and highest for neutral events (~87%).  
223 Whereas, the amplitude of SES EOF1 is highest in El Niño events (0.26), higher than  
224 that of La Niña (0.13) and neutral events (0.13). This shows that the variation of SES  
225 and SEA exhibits inconsistent variations in different ENSO event types.

### 226 **3.2 Variations of the HC in different ENSO events**

227 The MSF is also decomposed into two components, HEA and HES, and the spatial  
228 distributions of their variability are shown in Figs 2 and 3. The results obtained from  
229 the two datasets are generally consistent. The spatial distribution of the HEA EOF1  
230 during El Niño is asymmetric about the equator (Fig. 2), with ascent around 20°S and  
231 descent around 20°N. The distributions of HEA EOF1 in La Niña and neutral events  
232 show similar characteristics to the El Niño case, but different explained variances. The  
233 explained variance of HEA EOF1 during El Niño events is ~51%, smaller than that  
234 during the La Niña events (~54%) and smaller again than for neutral events (~56%).

235 The spatial distribution of the HES EOF1 in Fig. 3 shows an equatorially  
236 symmetric structure for all ENSO event types: a common ascending branch near 0° and  
237 descending branches near 30° in the NH and SH, with an explained variance of ~38%  
238 (the average in different ENSO event types). The explained variance of HES EOF1

239 clearly varies between the two datasets, and there is no uniform characteristic. The  
240 different variations in the HES, HEA, SEA, and SES indicate that SST has different  
241 effects on the HC during different ENSO event types.

242 **3.3 Connection between the HC and SST in different ENSO event**  
243 **types**

244 We next investigate how variations of the HC linked to the different tropical SST  
245 meridional structures are affected by the different ENSO event types from 1950 to 1977.  
246 Fig. 4 shows the scatterplots of PC1s for SEA–HEA and for SES–HES. There is a  
247 significant correlation between the PC1s of SEA and HEA for all ENSO event types,  
248 and between the PC1s of SES and HES, indicating significant impacts of the meridional  
249 SST distribution on the spatial structure of the HC. Taking the El Niño events as an  
250 example, one unit of equatorially asymmetric SST variation (i.e., SEA) corresponds to  
251 about 18 units of equatorially asymmetric HC (i.e., HEA) variation (Fig. 4a), while one  
252 unit of SES corresponds to about 8 units of HES (Fig. 4b). Compared with the  
253 regression coefficients of HES on SES, the regression coefficients of HEA on SEA are  
254 consistently higher (Fig. 4 and Table 2). During El Niño events, the ratio is ~2, which  
255 is clearly smaller than the result (i.e., ~4) in the climatological conditions (Feng et al.  
256 2017). However, the response ratio in the La Niña and neutral events is also around 4  
257 (Table 2), which is equivalent with the result in the climatology. The response of HC  
258 influenced by the tropical SST during El Niño events is obviously weaker. Compared  
259 with the La Niña and neutral events from 1950 to 1977, the HC shows lower sensitivity  
260 to different SST meridional structures during the El Niño events (Table 2). Moreover,

261 during the El Niño events, the response ratio before 1977 is evidently smaller than that  
262 after 1977. This suggests that the interdecadal variations of El Niño may affect the  
263 connection between the HC and the different meridional structures of SST (Feng et al.  
264 2019). How does ENSO affect this connection? In addition, during the La Niña and  
265 neutral events, the response ratio before 1977 is the same as that after 1977, and  
266 comparable to the results in the climatology. It is obvious that the interdecadal effect of  
267 ENSO on the connection between HC and SST is caused mainly by the variation of  
268 warm events. In the following section will focus on discussing the possible physical  
269 mechanism affected by the warm events variations on the connection between the HC  
270 and SST.

271 **4. Mechanisms of the different connection between tropical SST**  
272 **and HC during the El Niño events**

273 From 1950 to 1977, the connections between different SST meridional structures  
274 and the HC are weak. We discuss in this section the potential physical mechanism of  
275 this connection in terms of the effect of El Niño on the variations of tropical SST. Fig.  
276 5 shows the correlations distribution between the monthly Niño3.4 index and SST in  
277 the two periods based on the SST datasets, before and after 1977. The correlations show  
278 a classic El Niño pattern with significantly positive values over the tropical eastern  
279 Pacific and Indian Ocean and negative values over the tropical western Pacific. There  
280 is little obvious difference in the correlations between the two periods over the tropical  
281 Pacific, but this is not the case for the TIO. From 1950 to 1977, the correlation  
282 coefficients in the northern TIO ( $0^{\circ}$ – $15^{\circ}$ N) are larger than those in the southern TIO

283 (15°S–0°); however, the opposite pattern is observed during 1980–2016, with larger  
284 correlation coefficients in the southern TIO than in the northern TIO. Compared with  
285 the period 1980–2016, the significant correlation centers over the TIO show an evident  
286 northward shift during 1950–1977. Note that the northward shift of the correlation  
287 center is captured consistently in both the ERSST and HadISST datasets. That is ENSO  
288 has different impacts on the TIO SST before and after 1977. From 1950 to 1977, the  
289 impact of ENSO on the TIO SST is manifested in the northern TIO, whereas from 1980  
290 to 2016, the significant impact is mainly in the southern TIO. This further supports the  
291 strengthened variation of the SEA component in the El Niño events mentioned above.

292 Previous studies have reported that the El Niño and La Niña events show  
293 asymmetric impacts (e.g., Chen et al. 2016; Fang and Yu 2020). Karori et al. (2013)  
294 pointed out that El Niño has obvious positive impacts on the summer rainfall of south  
295 China, however, the impact is insignificant during La Niña events. Consequently, we  
296 further investigate whether there is similar asymmetry in the TIO SST under the impact  
297 of these two types of ENSO event. Fig. 6 shows the anomalous distribution of SST  
298 during the El Niño events of the two periods. Two kinds of datasets consistently show  
299 significant warming anomalies during the period 1950–1977 (Fig. 6a, c), over the  
300 tropical central eastern Pacific and northern TIO. Although the SST in the southern TIO  
301 shows warm anomalies, they are not significant. In contrast, during the period 1980–  
302 2016, the warm SST anomalies expand across the entire TIO region. In particular,  
303 significant warming SST anomalies are seen over the southern TIO (Fig. 6b, d). In  
304 summary, during El Niño events in the period 1950–1977, the greater warming

305 amplitude of TIO SST is in the northern TIO, and the smaller amplitude is in the  
306 southern TIO, while the opposite is true in the period 1980–2016. Therefore, the  
307 meridional gradient of TIO SST is reversed between two periods. Fig. 7 shows the  
308 corresponding composite differences of SST during the La Niña events and the  
309 warming of the TIO during ENSO is caused primarily by the El Niño events. There are  
310 few significant SST anomalies over the TIO in either period during the La Niña events.  
311 That is, there is significant asymmetry in the effects of the El Niño and La Niña events  
312 on the TIO SST. This explains why the connection between the HC and different SST  
313 meridional distributions is similar during the La Niña events and in climatological  
314 conditions.

315 Thus, El Niño events affect the TIO SST, and the effects display significant  
316 differences before and after 1977. We next examine the seasonal evolution of areal  
317 averaged SST within the southern and northern TIO during the evolution of El Niño  
318 events. It is clear that SST shows positive anomalies over both the southern and  
319 northern TIO when the El Niño events develop, as confirmed by previous work (e.g.,  
320 Hu et al. 2018). During 1950–1977, continuous warming occurred in the northern TIO  
321 as the El Niño events developed, with significant values during October to the following  
322 spring (Fig. 8a, c). Similar warming is seen in the southern TIO but with smaller  
323 amplitude, although it is not significant. With the development of the El Niño events,  
324 the amplitude of SST warm anomalies increases, but SST warm anomalies only appear  
325 significantly in February of the following year. That is, during 1950–1977, the  
326 amplitude and duration of the significant warming anomalies in the northern TIO are

327 greater than those in the southern TIO. In contrast, from 1980 to 2016, although there  
328 are warm SST anomalies in both the northern and southern TIO, the significantly  
329 greater warming amplitude is in the southern TIO, especially during the El Niño decay  
330 phase (Fig. 8b, d). Note that the amplitude of warming in the southern TIO during 1950–  
331 1977 is much smaller than that during 1980–2016. In summary, the above results  
332 suggest that the warming of the TIO SST connected with El Niño events shows an  
333 obvious meridional shift around 1977; i.e., a larger amplitude of SST warming  
334 anomalies in the northern TIO during 1950–1977, and larger anomalies in the southern  
335 TIO during 1980–2016. The different warming rates in the northern and southern TIO  
336 SST alter the meridional gradient of the SST. Here, the spring seasonal mean  
337 (February–March–April) is considered for which is corresponding to the greatest  
338 differences in the warming rate between the northern and southern TIO, the possible  
339 impact of the TIO SST meridional structure variation on the HC will be analyzed.

340 The possible impacts of the meridional structure of the TIO SST on the meridional  
341 circulation are then investigated (Fig. 9). During the period 1950–1977, there is an  
342 anomalous anti-clockwise meridional circulation, with ascent from 5°S to 10°N, and  
343 descent from 5°S to 15°S associated with the meridional structure of TIO SST during  
344 the El Niño events. The anomalous ascent is centered near the equator. The anomalous  
345 ascending branches in the NH are associated with the anomalous SST warming in the  
346 northern TIO during the period 1950–1977. In contrast, during the period 1980–2016,  
347 there is an anomalous clockwise meridional circulation. Anomalous ascending branches  
348 are significant south of the equator within 10°S–0°, induced by the anomalously larger

349 warming of the southern TIO SST. Correspondingly, an anomalous descending branch  
350 is seen about 10°N. Similar characteristics are observed in the global mean anomalous  
351 meridional circulation (Fig. 9c, d), indicating that the anomalous SST variation over the  
352 TIO associated with the El Niño events alters the meridional SST distribution, and then  
353 induces anomalies in the meridional circulation. Meanwhile, the anomalous SST  
354 warming in the TIO—with larger amplitude in the northern TIO and smaller amplitude  
355 in the southern TIO—parallels the climatological condition (figure not shown),  
356 indicating that the variation of the SEA component is enhanced in the El Niño events  
357 during 1950–1977. This corresponds to the increased explained variance of SEA EOF1  
358 and weakens the connection between the HC and SST in 1950–1977. Taking the El  
359 Niño events as the focus of research, the above results highlight the anomalous warming  
360 of the TIO during this period with strengthening of the variation of SEA, which then  
361 affects the linkage between the HC and tropical SST. To further verify the role of TIO  
362 in the influence of the connection between SST and HC, we excluded the influence of  
363 TIO through regression analysis, and found that compared with the entire region, the  
364 response ratio without the influence of TIO (i.e., ~1.5) decreased significantly during  
365 different ENSO event types (Table 2). This shows the contribution of TIO to the  
366 relationship between HC and SST. These different effects of ENSO on this connection  
367 before and after 1977 may explain the variation in the linkage between HC and the  
368 various SST meridional structures around 1977.

## 369 **5. Discussion and conclusion**

370 The connection between the tropical SST and HC in different ENSO event types

371 during 1950–1977 has been investigated using multiple datasets. Compared with the  
372 results during La Niña and neutral events, the HC has a weaker response to tropical  
373 SST for El Niño events, with a response ratio of ~2. The ratio is also smaller than the  
374 result in the climatological conditions (i.e., ~4). This suggests that during the period  
375 1950–1977, the HC during the El Niño events is not as sensitive to different SST  
376 meridional structures as during the La Niña and neutral events. Furthermore, during the  
377 El Niño events, the response ratio before 1977 is obviously smaller than that after 1977  
378 (i.e., ~10), indicating that there are interdecadal variations in the impact of El Niño on  
379 the connections between different SST meridional structures and the HC.

380 The interdecadal variation of HC is affected by tropical SST, due to the  
381 interdecadal variations in the impacts of ENSO on the TIO SST. The results illustrate  
382 that the northern TIO shows a larger warming amplitude than the southern TIO during  
383 1950–1977, linked to the occurrence of El Niño events. The opposite situation is  
384 observed during 1980–2016; i.e., larger warming amplitude is seen in the southern TIO.  
385 The warming amplitude of the southern TIO during 1950–1977 is smaller than that  
386 during 1980–2016. This anomalous warming is most obvious during boreal spring. This  
387 further supports the strengthened variation of the SEA component in the El Niño events  
388 and explains the smaller ratio during the El Niño events from 1950 to 1977. However,  
389 there is no significant anomalous TIO SST in either period during La Niña events. There  
390 is significant asymmetry between the impacts of El Niño and La Niña events on TIO  
391 SST, indicating that the warming of TIO during ENSO is caused primarily by the El  
392 Niño events. These results give an explanation for the equivalent response ratio of HC

393 to different SST meridional structures during La Niña, neutral events and in the  
394 climatological conditions. They highlight the interdecadal modulation of the effects of  
395 El Niño on the TIO SST, and show that the warming center over the TIO is shifted  
396 northward in El Niño events during 1950–1977; however, the center of El Niño does  
397 not show a significant meridional shift. In addition, without the influence of TIO, the  
398 response ratio decreased significantly during different ENSO event types, which  
399 supports the contribution of TIO to the connection. But it remains unclear why the  
400 anomalous warming center over the TIO shifts northward during El Niño events.

401 We found interdecadal variations in the warming center of the TIO SST. The  
402 reasons for the warming over the northern TIO in the period 1950–1977 and over the  
403 southern TIO after 1977 need further discussion. Previous researches have investigated  
404 that El Niño events affect the basin-wide SST warming over the TIO (Wieners et al.  
405 2017; Wu et al. 2021). Southern TIO warming after 1977 may be related to the  
406 enhancement of ocean Rossby waves or the intensity of thermocline feedback (Xie et  
407 al. 2009, 2010). Warming over the southern TIO further influences the warming over  
408 the northern TIO by driving the formation of an anomalous anticyclone (Chakravorty  
409 et al. 2012; Liu et al. 2021). It remains unclear whether individual or combined effects  
410 of atmospheric and oceanic processes are responsible for the interdecadal variations of  
411 the warming center over the TIO. In addition, Chen et al. (2019) found that the warming  
412 over the southern TIO is enhanced following stronger El Niño events. It is still not clear  
413 whether the frequency of stronger El Niño events contributes to the shift of the  
414 anomalous warming over the TIO. These questions remain to be discussed and resolved

415 in further work.

416 The anomalous TIO SST meridional gradient related to El Niño has changed, and

417 it will be of interest to investigate whether this will in turn affect the monsoon.

418 Furthermore, the influence of ENSO on the variation of the relationship between SST

419 and HC around 1977 is concentrated mainly in the El Niño events. How does the

420 strength of El Niño affect this relationship? This question will be discussed in upcoming

421 work. Furthermore, the modulation effect of El Niño on the TIO SST meridional

422 structure has been evaluated here to provide a basis for simulation and reproduction in

423 coupled numerical models. This modulation effect has important implications for

424 further understanding of both El Niño and the Indian Ocean.

425

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434

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# Figures

## Figure 1

(a) SEA EOF1 distribution during the El Niño events. The red (blue) line is from the ERSST (HadISST) dataset, and the explained variance corresponding to the distribution is shown. (b) Same as (a), but for the EOF1 of SES. (c)–(d), (e)–(f) Same as (a)–(b), but during the La Niña and neutral events, respectively.

## Figure 2

(a) Distribution of the HEA EOF1 based on ERA-20C reanalysis during the El Niño events, (c) for La Niña, and (e) neutral events, respectively (left panel). The interval between contour lines is  $0.02 \times 10^{10} \text{ kg/s}$ . Right panel Similar to left panel, but based on NCEP-20C. Solid contours show positive values, dotted contours are negative. Zero contours are thickened.

## Figure 3

As Fig. 2, but for the HES EOF1 distribution. The negative contours are dashed.

## Figure 4

Left panels: Scatterplots of the SEA PC1 (from ERSST; red dots) against the HEA PC1 (from ERA-20C), and the corresponding linear fit (black lines) in 1950–1977 during the (a) El Niño, (c) La Niña, and (e) neutral events. Right panels: As left, but for the PC1s of HES and SES.

## Figure 5

(a) Correlations spatial distribution between the monthly Niño3.4 index and SST during the period 1950–1977 from ERSST. (b) As (a), but for 1980–2016. (c), (d) As (a), (b), but from HadISST.

## Figure 6

(a) Composite SST anomalies from ERSST during the El Niño events of 1950–1977. (b) Same as (a), but for 1980–2016. (c)–(d) Same as (a)–(b), but from HadISST. Shading shows values greater than 0.15 (orange) or less than -0.15 (blue). Black stiples indicate significance at the 0.2 level.

## Figure 7

As Fig. 6, but for La Niña events. Shading shows significance values greater than 0.2 (orange) or less than -0.2 (blue).

## Figure 8

(a) Anomalies in the TIO SST in the seasonal mean during the El Niño evolution of the period 1950–1977 based on ERSST. Blue line is for the southern and red for the northern TIO. (b) Same as (a), but for 1980–2016. (c)–(d) Same as (a)–(b), but from HadISST. Solid circles denote significance at the 0.05 level.

## Figure 9

(a) Distribution of zonal mean ( $20^{\circ}\text{S}$ – $20^{\circ}\text{N}$ ,  $50^{\circ}\text{E}$ – $100^{\circ}\text{E}$ ; TIO) anomalous vertical velocity in spring (February–March–April) during El Niño events in 1950–1977, based on NCEP1. (b) Same as (a), but for 1980–2016. (c)–(d) Same as (a)–(b), but in the region  $20^{\circ}\text{S}$ – $20^{\circ}\text{N}$ ,  $0^{\circ}$ – $360^{\circ}$ . Solid contours show positive values, dotted contours show negative values, the zero contour is thickened. Red (blue) shading indicates contour values greater (less) than 0.2 ( $-0.2$ ).

## Supplementary Files

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- [Table.pdf](#)