

# Assessing Mechanical Catheter Dysfunction in Peritoneal Dialysis Patients: A Case Control, Proof-of-Concept Study

**Krystell Oviedo Flores**

Division of Nephrology and Dialysis, Department of Medicine III, Medical University of Vienna

**Lukas Kaltenegger**

Division of Pediatric Nephrology and Gastroenterology, Department of Pediatrics and Adolescent Medicine, Comprehensive Center for Pediatrics, Medical University of Vienna

**Fabian Eibensteiner**

Division of Pediatric Nephrology and Gastroenterology, Department of Pediatrics and Adolescent Medicine, Comprehensive Center for Pediatrics, Medical University of Vienna

**Markus Unterwurzacher**

Christian Doppler Laboratory for Molecular Stress Research in Peritoneal Dialysis, Medical University of Vienna

**Klaus Kratochwill**

Christian Doppler Laboratory for Molecular Stress Research in Peritoneal Dialysis, Medical University of Vienna

**Christoph Aufricht**

Division of Pediatric Nephrology and Gastroenterology, Department of Pediatrics and Adolescent Medicine, Comprehensive Center for Pediatrics, Medical University of Vienna

**Franz König**

Center for Medical Statistics, Informatics and Intelligent Systems, Medical University of Vienna

**Andreas Vychytil** (✉ [andreas.vychytil@meduniwien.ac.at](mailto:andreas.vychytil@meduniwien.ac.at))

Division of Nephrology and Dialysis, Department of Medicine III, Medical University of Vienna

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## Research Article

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# Abstract

New guidelines on evaluation of peritoneal membrane function recommend ruling out catheter dysfunction when evaluating patients with low ultrafiltration capacity. We introduce the use of a combination of parameters obtained from daily measurements of the cyclor software for predicting catheter dysfunction in automated peritoneal dialysis patients. Out of 117 patients treated between 2015 and 2021, all patients with verified catheter dysfunction (n=14) were identified and compared to controls (n=19). We retrieved cyclor data for seven days each and tested parameters predictive capability of catheter dysfunction. Total number of alarms/week >7 as single predictive parameter of catheter dislocation identified 85.7% (sensitivity) of patients with dislocated catheter and 31.6% (1-specificity) of control patients. A combination of parameters (number of alarms/week >7, drain time >22 min, ultrafiltration of last fill <150 mL) where at least two of three parameters appeared identified the same proportion of patients with catheter dislocation, but was more accurate in identifying controls (21% false positive). An easily applicable combination of daily cyclor readout parameters, also available in remote monitoring platforms can be used as predictor of inadequate catheter function during routine follow-up with potential for earlier diagnosis of this frequent complication in the future.

## Introduction

Successful treatment and technique survival on peritoneal dialysis (PD) depend on a long-term peritoneal access that provides effective peritoneal drainage of dialysis fluid for maintenance of an adequate fluid and salt homeostasis [1]. PD catheter tip migration is a major complication that usually requires catheter reposition or replacement and may eventually lead to technique failure [2]. Catheter dislocation may be associated with slow and incomplete drainage of dialysis fluid from the peritoneal cavity. It is important to note that while drain volume can be larger than fill volume even with malpositioned catheters [3], drainage may be incomplete, leading to increased abdominal pressure and a higher risk of hernias and dialysate leaks [4].

Low ultrafiltration (UF) capacity may be misleading in patients with PD catheter dysfunction as incomplete peritoneal drainage of dialysate can result in insufficient ultrafiltration and fluid overload. Therefore, the recent ISPD guidelines recommend that low UF capacity should be evaluated by considering mechanical drainage problems first, before suspecting membrane dysfunction [5]. Previous publications have suggested the association of slow drainage of PD fluid, poor net UF or constant alarms during cyclor treatment with catheter dysfunction [6, 7]. However, until now no standard procedure has been systematically evaluated on the usability to assess mechanical drainage problems in PD patients.

In contrast to isolated measurements of ultrafiltration capacity, for example during the peritoneal equilibration test (PET), automated peritoneal dialysis (APD) cyclor readouts allow meticulous daily analysis of important treatment parameters. The aim of this proof-of-concept study was to analyze the usability of cyclor parameters routinely used in our center for identifying APD patients with catheter malfunction.

## Results

Out of 117 APD patients treated between 2015 and 2021, 14 patients with verified catheter dysfunction (12%) and 19 controls (16%) could be identified. There were no significant differences in demographic data (Table 1) between both groups. The median daily glucose load was 132 g/day [IQR (73.6)] in the controls, and 124.1 g/day [IQR (8)] in the cases (MWU test,  $p=0.0471$ ). Other PD prescription data were not statistically different between groups (Supplementary Table S1).

Table 1  
Demographic data

Characteristics	Cases ( $n=14$ )		Controls ( $n=19$ )		<i>P</i> -value
Age in years, mean (SD)	57	(14)	49	(16)	0.16
Gender, $n$ (%)					0.70
Females	4	(29)	7	(37)	
Males	10	(71)	12	(63)	
Cause of kidney disease, $n$ (%)					0.72
Glomerulonephritis	6	(43)	5	(26)	
Polycystic kidney disease	1	(7)	4	(21)	
Vascular nephropathy	2	(14)	1	(5)	
Diabetic nephropathy	2	(14)	4	(21)	
Glomerular amyloidosis	0	(0)	2	(11)	
Other/unknown	3	(22)	3	(16)	
Cardiovascular history, $n$ (%)	3	(21)	9	(48)	0.16
Hypertension, $n$ (%)	10	(71)	12	(63)	0.72
Diabetes, $n$ (%)	4	(28)	6	(31)	>0.99
Time on PD in months, median (IQR)	3	(11.4)	3	(0)	0.98

## Number of alarms

The mean number of cyclor alarms was  $19.3 \pm 17$ /week in the cases and  $5.2 \pm 4$ /week in the controls, with a median of 13.5 alarms/week [IQR (17)] and 5.2 alarms/week [IQR (6)], MWU test  $p=0.0002$ .

# Total drain time and drain volume at the end of the cyclor session

Total drain volumes at the end of cyclor treatment were not statistically different between groups, with a median of 2,080 mL [IQR (384)] in the cases and 2065 mL [IQR (1,062)] in the controls (MWU test  $p=0.9500$ ). However, the total drain time at the end of the cyclor session was significantly larger in the cases than in the controls (mean  $29 \pm 11$  min vs  $20.2 \pm 7$  min,  $p=0.0012$ ) and a median of 25 min [IQR (14)] vs 18 min [IQR (6)].

## Net UF of last fill (daytime dwell)

All patients received icodextrin-containing PD fluid for the daytime dwell, except for one patient in the control group who performed nightly intermittent PD without last fill. The mean net UF of the cases was statistically different and more negative than in the controls ( $-12.7 \pm 153$  mL vs  $206.3 \pm 228$  mL,  $p=0.047$ ). The median net UF of last fill was -10mL [IQR (260)] in the cases vs 227 mL [IQR (329)] in the controls.

## Number of days with negative UF of last fill

The number of days with negative UF of last fill (drain volume lower than fill volume) tended to be higher in the cases than in the controls (mean  $3.3 \pm 2$  days/week vs  $1.6 \pm 2$  days, MWU test  $p=0.0749$ ). The median for the cases was 3 days/week [IQR (6)] vs 1 day/week [IQR (2.5)], respectively.

## Net UF and gcUF during cyclor treatment (without UF of last fill)

The mean net UF of cyclor treatment/week in controls was  $393.5 \pm 446$  mL, whereas the cases had a lower mean net UF of  $102.2 \pm 372$  mL per treatment/week (median 242.1 mL [IQR (608)] vs 160.5 mL [IQR (826)],  $p=0.1320$ ). Nevertheless, the mean gcUF was not statistically different between groups,  $1.5 \pm 2.9$  mL/g in the controls vs.  $0.06 \pm 2.3$  mL/g in the cases (median 1 mL/g [IQR (4.3)] vs 0.42 mL/g [IQR (3.3)],  $p=0.2258$ ).

A table summarizing all parameters is available as Supplementary Table S2.

## Defining cut-off values for prediction

The parameters with the highest area under the curve (AUC) were number of total alarms (0.87,  $p=0.0004$ ), total drain time (0.82,  $p=0.0017$ ), and mean net UF of last fill (0.79,  $p=0.0056$ ). We decided to include number of days with negative UF of last fill (0.68,  $p=0.084$ ) because there was a statistical trend towards significance. Furthermore, in our experience, it is easy to report in clinical practice for both, patients and physicians. The smallest AUC was identified with the mean gcUF (0.6278;  $p=0.2155$ ). Therefore, this parameter was not included in further analysis.

For these four selected parameters the cut-off values for predicting catheter dislocation based on ROC curves were > 7 alarms/week (sensitivity: 85%, specificity: 79%), > 22 min drain time at end of the cyclor session (sensitivity: 79%, specificity: 89%), <150 mL mean net UF of last fill (sensitivity: 93%, specificity: 66%), and >2 days per week with negative UF of last fill (sensitivity: 71%, specificity: 78%) (Figure 1, Supplementary Table S3)

Table 2 summarizes the simple and stepwise logistic regression of the parameters for predicting catheter dislocation. Each additional alarm per week increased the odds of catheter dislocation by a factor of 1.3 (95% CI 1.062; 1.586,  $p=0.011$ ) leading to an increase by 40.6 for 14 alarms (mean difference between cases and controls). Each additional minute of mean drain time increased the odds of catheter dislocation by a factor of 1.1 (95% CI 1.015; 1.247,  $p=0.025$ ). A 1 milliliter (ml) decrease of mean UF of last fill increased the odds for catheter dislocation by a factor of 1.006 (95% CI 0.989; 0.999,  $p=0.013$ ). The mean difference between cases and controls was approximately 200 mL leading to an increase of the odds by 3.33 for a catheter dislocation. Furthermore, an increase of days with negative UF of last fill tended to be associated with catheter dislocation ( $p=0.063$ ). In the stepwise logistic regression analysis (including only the four parameters) the total number of alarms improved the fit of the model for the prediction of catheter dislocation compared to the null model (AUC  $p<0.001$ ).

After adding the SD-Res of net UF in the last fill as well as the SD-Res of the gcUF to the existing four covariates into the stepwise regression model and using BIC as criterion for selection all covariates except total alarms were eliminated, indicating that SD-Res does not improve the prediction model.

Table 2

Summary of simple and stepwise logistic regression of the parameters for predicting catheter dislocation

Parameter	simple logistic regression				stepwise logistic regression	
	AUC	95% CI	OR [95% CI]	P-Value	OR [95% CI]	P-Value
Total alarms	0.87	0.73-1.00	1.30 [1.062 ; 1.586]	0.011	1.30 [1.062 ; 1.586]	0.011
Total drain time (min)	0.82	0.66-0.99	1.13 [1.015 ; 1.247]	0.025		NC
Net UF last fill (mL) <sup>a</sup>	0.79	0.63-0.95	0.99 [0.989 ; 0.999]	0.013		NC
Days with negative UF last fill <sup>b</sup>	0.68	0.48-0.88	1.35 [0.984 ; 1.839]	0.063		NC
<sup>a</sup> Corresponding to daytime dwell; <sup>b</sup> For AUC different to 0.5, $P=0.084$ .						
AUC: area under the curve, CI: confidence interval; OR: odds ratio; SE: standard error; NC: no candidate						

# Predictive power for combination of parameters

To facilitate the utilization of parameters of the model in clinical practice, models with the highest AUCs were selected for parameter combinations: total alarms/week, drain time at the end of the cyclor session, UF of last fill (or, alternatively, days with negative UF of last fill). Total number of alarms/week >7 as single predictive parameter identified 85.7% of the cases, 31.6% of controls were false positive. The presence of at least two of three parameters (number of alarms/week >7, drain time >22 min, UF last fill <150 mL) identified the same proportion of cases compared with number of alarms alone (85.7%), but it was more accurate in identifying control patients (21.1% vs 31.6% false positive). Stricter definitions, such as fulfilling all three criteria, reduced the predictive power for diagnosing catheter dislocation, but also decreased the number of false positive controls (Tables 3a and 3b).

**Table 3** Combination of the most promising parameters in the cases and the controls

### 3a Number of alarms >7, Drain time >22 min, UF last fill <150 mL

	Only alarms as criterion fulfilled	At least 1 criterion of 3 fulfilled	At least 2 of 3 criteria fulfilled	3 of 3 criteria fulfilled
Cases correctly identified	85.7%	100%	85.7%	71.4%
False positive controls	31.6%	57.9%	21.1%	5.3%

### 3b Number of alarms >7, Drain time >22 min, Days with negative UF last fill >2

	Only alarms as criterion fulfilled	At least 1 criterion of 3 fulfilled	At least 2 of 3 criteria fulfilled	3 of 3 criteria fulfilled
Cases correctly identified	85.7%	100%	78.6%	57%
False positive controls	31.6%	52.6%	15.8%	5.3%

## Discussion

We demonstrated that a protocol assessing several cyclor readout parameters might be a feasible, easy-to-perform tool to adequately screen APD patients for catheter dysfunction.

Patients with a malfunctioning catheter may clinically present with slow drainage of PD fluid, prolonged drain times, poor net UF [6, 7] or constant alarms during cyclor treatment [10], resulting in impairment of quality of life. Early diagnosis prevents the possibility of volume overload and complications associated with increased intraperitoneal volume that subsequently may lead to technique failure [11].

Recent ISPD guidelines recommend that mechanical problems should be excluded prior to membrane function testing in patients with insufficient UF capacity. However, there exists no standard protocol on how to diagnose catheter dysfunction [5].

One of the requirements for good catheter performance is an adequate position of the PD catheter tip. The ISPD guidelines on PD access recommend using the upper border of the pubic symphysis as a reference point for the ideal location of the catheter tip deep in the pelvic area [7]. During or after catheter implantation, the position of the catheter tip might be confirmed with a plain abdominal radiological examination, but this is not routinely performed [17].

Single diagnostic tests performed in yearly intervals (e.g. ultrafiltration capacity during the PET) may not be suitable for diagnosing mechanical problems. Net ultrafiltration is more variable than peritoneal small solute transport. Availability of daily treatment data extracted from the APD cyclor card software allows a better clinical evaluation of mechanical drainage problems in routine practice.

In this proof-of-concept study, we confirmed the clinically observed impression that the parameters under investigation serve as predictors for diagnosis of catheter dysfunction. This is the first controlled analysis evaluating parameters obtained from the APD cyclor software for the diagnosis of catheter dysfunction in two well-defined patient groups. The selected cases and controls represent typical APD patients with radiological confirmation of PD catheter position and clinical assessment of catheter performance.

In the stepwise logistic regression analysis, only number of alarms/week was a statistically significant predictor of catheter dislocation. As the Pearson and Spearman correlation coefficients between number of total alarms and the other metric variables revealed values above 0.5 (indicating moderate to strong correlations), none of the other variables could contribute anything in addition. However, considering the limited patient number this analysis does not refute the importance of other parameters for diagnosing mechanical drainage problems.

A combination of at least two of three parameters which had significant ROC curves and an AUC of > 0.75 (number of alarms, drain time, net ultrafiltration of last fill) could identify a similar number of patients with catheter dislocation compared to using the number of alarms alone (85.7%). However, when using a combination of parameters, the percentage of false positive control decreased (Table 3a).

The results of this study are strengthened by the accordance of the cut-off values derived from the ROC curves with conclusions of other authors in the recent literature. We determined that a cut-off value of > 7 alarms/week is a good predictor of catheter dysfunction. According to Neri et al [9], the use of tidal PD optimized catheter flow function, resulting in the presence of < 1 alarm per day (corresponding to < 7 alarms per week). Accordingly, other authors suggest that outflow failure prompts alarms in APD patients treated with HomeChoicePro™ [10]. Moreover, the cut-off value for mean drain time of > 22 minutes for predicting catheter dysfunction found in our study is similar to the conclusion of other authors who suggested that a drainage time of less than 20 minutes is a marker of good catheter performance [3, 18]. A sub-optimal catheter position results in poor hydraulic function that may cause outflow failure and can

prolong drain time at the end of the cyclor session [17, 19]. We demonstrated that low or negative UF of last fill during the daytime dwell was evident in patients with catheter dislocation. Our selected cut-off value of UF for predicting catheter dysfunction of 150 mL is consistent with data reported by Plum et al [20] who found an ultrafiltration volume of last fill of  $278 \pm 43$  mL/day in APD patients treated with icodextrin during the long dwell.

Our study has certain limitations. We acknowledge that we are reporting a retrospective, single-center study of patients on APD, which may limit statistical power and generalizability of our results. For example, albeit not statistically significant, assessing the number of treatment days with negative UF of last fill may be more practicable than analyzing cut-off values of ultrafiltration with icodextrin. Although we included all APD patients treated in our center between 2015 and 2021 who were suitable for inclusion in either groups, the sample size is still small and may have limited the validity of the multiple logistic regression analysis and the representativeness of the results. Larger, prospective studies are required to confirm our clinical observations.

In conclusion, a timely intervention in patients with catheter dysfunction may prevent adverse events with potential negative impact on technique survival [11]. In this context, the use of total number of alarms/week as well as a combination of parameters derived from the APD cyclor card management software with the selected cut-off values are good predictors for assessing catheter function. Our findings may be especially interesting because these parameters are also available in new APD cyclors with devices connected to remote monitoring platforms, which are rapidly gaining importance and availability [11, 12].

## **Material And Methods**

### **Study Design**

This retrospective case-control, proof of concept study included patients with end-stage chronic kidney disease treated with HomeChoicePro™ APD system (Baxter International Inc., Illinois, United States) at the Division of Nephrology and Dialysis, Medical University of Vienna.

To validate parameters related to mechanical drainage problems we studied two well-characterized patient groups using data retrieved from the APD cyclor card management software PD Link (Baxter International Inc., Illinois, United States). Two investigators retrospectively analyzed the PD catheter position based on posteroanterior and/or lateral abdomen X-ray, if available, as described below.

Clinical records or a retrospective bio databank were reviewed by both investigators to assess catheter drainage function and patients' demographic data. This study was performed in line with the principles of the Declaration of Helsinki and involves retrospectively collected data. Informed consents were collected from all our patients to include them in our biobank (study protocol EK 2035/2015) and approval was granted by the Local Ethics Committee of the Medical University of Vienna.

# Patient Selection

Patients included in this study received regular APD between January 2015 and March 2021 at the Medical University of Vienna. In our center since the 1990's, all patients use a coiled single-cuff PD catheter and perform tidal peritoneal dialysis. Patients with incomplete data (no abdominal X-ray or data on catheter performance) were withdrawn. Patients with a history of large open abdominal or pelvic surgery, ascites, malignant pelvic neoplasia or death within 15 days after APD start, were excluded (Fig. 2).

## Cases

All patients with clinical evidence of drainage problems that required a diagnostic and/or therapeutic intervention (repeated abdominal X-ray to verify catheter position, use of laxatives, catheter repositioning or replacement) with confirmed catheter dislocation in an abdominal X-ray (verifying catheter tip dislocation outside the deep pelvic area) were selected as cases.

## Controls

Patients with an uncomplicated course of treatment without clinical evidence of mechanical problems and a recent X-ray confirming position of the PD catheter tip in the recto-vesical/ recto-uterine space were selected as controls. Patients with a computed tomography (CT) peritoneography or an explorative laparoscopy/adhesiolysis after start of PD were excluded from the control group since requirement of these diagnostic or therapeutic measures do not represent an uncomplicated course of treatment.

## Parameters

Based on previous publications [8–12] and on our own clinical expertise, daily cyclor readout parameters are routinely used at our center to detect mechanical drainage problems early during routine follow-up. Number of alarms, drain time at the end of cyclor session, net UF from last fill, number of days with negative UF of last fill and net UF from cyclor treatment (net UF and glucose-corrected UF [gcUF]) were analyzed in the present study.

The observation period for both groups consisted of seven days of continuous APD treatment. Among cases, these parameters were analyzed in the week immediately before the diagnostic imaging studies confirming catheter tip migration. The time-period selected for the analysis of the control group was seven days selected randomly depending on data availability, within a pre-defined time window between 1 and 3 months after the start of PD. This time window was chosen considering the appearance of changes of peritoneal membrane function parameters early after PD start that may influence ultrafiltration [5].

Furthermore, daily glucose load was calculated as total grams of glucose in the fresh PD fluid infused each day. Daily glucose corrected ultrafiltration (gcUF) was calculated as UF in mL divided by glucose load in grams. APD prescription was not modified during the observation period.

# Statistical analysis

Statistical analysis was performed using IBM SPSS Statistics (IBM Corp., Armonk, NY, USA), R (GNU General Public License [13]) and GraphPad Software (California, USA). Results were expressed as relative frequencies for categorical variables, mean with standard deviation (SD) for continuous variables, and median with interquartile range (IQR) for skewed distributions. Comparison of categorical variables was performed with Fisher's exact test. Continuous variables were analyzed with Mann-Whitney U-test (MWU). The receiver-operating curves (ROC) for each parameter were computed from the observed proportion utilizing Clopper method [14], without correction for multiple comparisons of area calculation. Selected cut-off values for the parameters were identified via means of the highest sensitivity and specificity. Stepwise logistic regression (catheter dislocation versus control as dependent variable) was performed to evaluate the contribution of several covariates to anticipation of catheter dislocation using "stepwise" in the R-package "RcmdrMisc" [15] with BIC (Bayesian Information Criterion) as criterion for selection. To account for the variability of net UF of the last fill as well as the gcUF as a potential predictors for mechanical problems, we determined the expected values for both variables via linear regression for each patient for all seven days according to the method stated in a publication by Yang et al [16] on hemoglobin variability in 2007. Residuals of net UF in the last fill as well as the gcUF were calculated per patient day and summarized as standard deviation of the residuals (SD-Res) per patient. *P*-values of < 0.05 were considered to be statistically significant.

## Declarations

### ACKNOWLEDGMENTS

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### AUTORS CONTRIBUTIONS

K.O.F and A.V. developed concept and design of the study, had full access to all data and take responsibility for the integrity and accuracy of data and subsequent analysis. Data collection was performed by K.O.F and M.U. K.O.F and A.V. conducted analysis and drafted the initial manuscript. K.O.F., F. E., C.A. and A.V. contributed to interpretation of data. Statistical analysis was done by L.K. and F.K. Critical revision of the manuscript for important intellectual content was done by all authors. All authors approved the final manuscript as submitted.

### COMPETING INTERESTS STATEMENT

A.V. has received honoraria and travel grants from Baxter and Fresenius and consulting fees from Baxter unrelated to this study. C.A. is cofounder of Zytotec GmbH, a spin-off of the Medical University Vienna that holds the patent “Carbohydrate-based peritoneal dialysis fluid comprising glutamine residue” (International Publication Number: WO 2008/106702 A1). M.U. and K.K. are former employees, K.K. is a consultant of Zytotec GmbH. K.O.F. is employee of Baxter Healthcare GmbH, funded as early-stage researcher by the IMPROVE-PD (812699)/Horizon 2020. All other authors have no conflicts of interest to declare.

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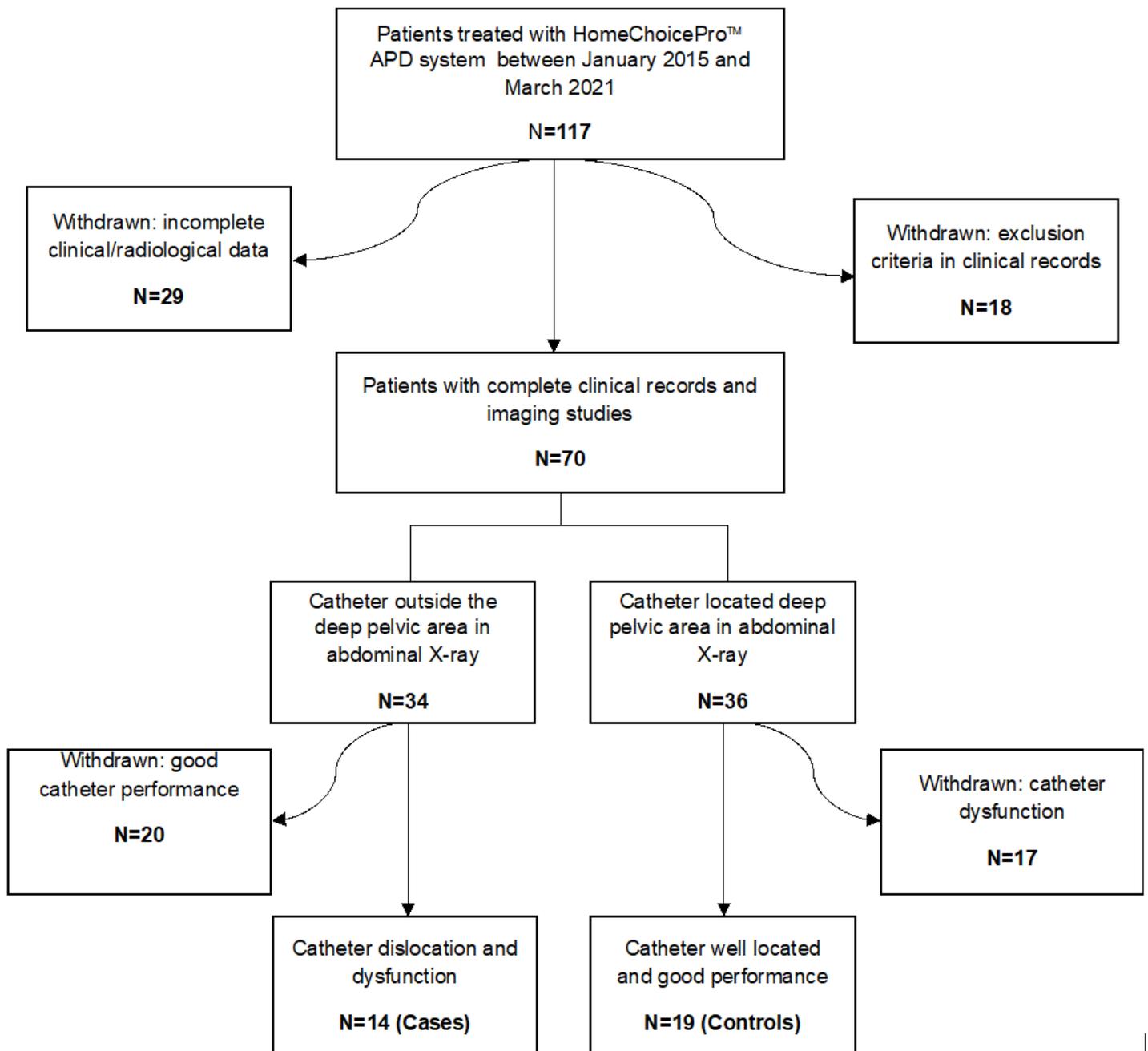
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## Figures

### Figure 1

Receiver operator characteristic (ROC) curves for the parameters obtained from APD cyclor card management software in the cases and the controls. The arrow indicates the point corresponding to the cut-off value selected. AUC= area under the curve a. Total number of alarms per week; b. Total drain time at the end of cyclor session; c. Mean net UF of last fill; d. Days with negative UF of last fill; e. Mean gcUF during cyclor treatment (\*not included in further analysis)



**Figure 2**

Flow chart of cases and controls selection

## Supplementary Files

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