Psychoeducational Group Intervention for Adults with Autism Spectrum Disorder and Their Close Relations (Prisma) – An Open Feasibility Study

Nathaniel Hidalgo

Karolinska Institutet

Douglas Sjöwall

Karolinska Institutet

Hanna Agius

Karolinska Institutet

Caroline Byström

Stockholm Health Care Services

Annika Brar

Stockholm Health Care Services

Jacqueline Borg

Karolinska Institutet

Tatja Hirvikoski (✉ Tatja.Hirvikoski@ki.se)

Karolinska Institutet

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Abstract

Background: Autism spectrum disorder (ASD) in adulthood is associated with severe impairments in functioning and poor health, while ASD is also affecting close relations. Accessible first-line interventions addressing the complex clinical needs and care coordination are lacking.

Methods: This study investigated the feasibility and preliminary effects of a new psychoeducational group intervention (Prisma) developed for intellectually able adults with ASD and their close relations in an outpatient setting.

Results: Completion rate was 77% (n=71) of the 92 adults with ASD and 73% (n=69) of the 94 close relations. Participants considered Prisma to be an acceptable intervention and their feedback will be used to further improve the Prisma for an upcoming RCT. Preliminary analyses of effects showed promising results with an increase in knowledge of ASD.

Conclusions: Overall, results indicate that the Prisma is a feasible first-line intervention in a stepped-care process in outpatient services.

Trial registration: Clinicaltrials.org (NCT04460976).

Background

Autism spectrum disorder (ASD) is a neurodevelopmental disorder characterized by deficits in social communication and repetitive and restricted behaviors with a prevalence of around 1-1.5% [1, 2]. ASD is associated with severe impairments in functioning that negatively affect major life areas such as education, work, and social relations, even among intellectually able individuals [3, 4]. Although at high risk of poor mental health [5] including suicidal behaviors [6] and poor physical health [7], adults with ASD report difficulties in accessing health care for treatable and common health conditions [8, 9]. Furthermore, knowledge and awareness of ASD may still be limited also among professionals [10]. Evidence-based interventions for autistic adults are still lacking [11], and the burden of care often remains high for the family members, even after the autistic individual reaches adulthood [12]. For a feasible health care process, first-line interventions addressing the complex clinical needs and care coordination is needed for adults with ASD and their close relations.

Psychoeducation providing structured educational information about the condition, available health care services, and an opportunity to share experiences with similar others could be one way to improve post-diagnostic services [13]. Stepped-care models suggest giving patients interventions in different phases, starting first with less demanding and more universal interventions [14]. Based on this, general psychoeducation can be given as a first-line intervention to improve accessibility and active participation in the patients' own health care processes, while more demanding individualized interventions constitute later steps. A few studies of psychoeducational interventions including adolescents with ASD have indicated good feasibility [15, 16] but there is a lack of studies for adults. Furthermore, not only adults with ASD but also their close relations have to struggle to get sufficient support [17]. Close relations supporting autistic adults report higher levels of worry, depression, anxiety and stress, and poorer quality of life [18]. Including close relations in psychoeducational interventions targeting individuals with other psychiatric and neurodevelopmental diagnoses has been shown to lead to a better understanding of each others' situation and improve communication [19, 20]. Studies including family members of adolescents and young adults with ASD have indicated improved ASD knowledge in parents [21]. Interventions directed towards adults with ASD that involve their close relations have not yet been systematically evaluated [22, 23].

The novel psychoeducative intervention Prisma was developed to provide information regarding ASD and the available health care and societal support for individuals with ASD and their close relations. Prisma was designed to be a first-line intervention in a stepped-care process in out-patient settings. The overarching objective of this study was to determine whether the Prisma program is feasible for further efficacy evaluation (i.e. randomized controlled trial), and how the program could be improved based on the participant feedback. The primary aim of the study was to evaluate the feasibility (treatment completion, and acceptability) of the Prisma program in a clinical outpatient context. A secondary aim was to study preliminary efficacy.

Methods

The study was approved by the Regional Ethics Committee in Stockholm (2017/1065-31/1). All participants with ASD as well as the participating close relations gave their informed consent before inclusion in the study. The study adhered to the CONSORT 2010 Checklist and was preregistered at Clinicaltrials.org (NCT04460976).

Intervention

Prisma was developed by a group of experienced clinicians with different care professions from outpatient clinics (three of the authors were coordinating the group: NH, CB, AB). Prisma is primarily designed to be the first intervention after the establishment of an ASD diagnosis in adulthood, and for young adults transitioning into adult services, which can also be offered to older adults. The aspiration is to make Prisma into an accessible intervention for adults with ASD with IQ in the average range in outpatient services, and their close relations. Since adults with ASD were not part of the group that developed Prisma, an important goal for this study was to gather feedback and experiences from participants with ASD and their close relations to further develop Prisma.

Prisma can be administered by health care professionals with experience of adults with ASD by following the Prisma manual. One to two clinicians administer each course by use of a digital slide show with detailed instructions. The goal is to increase knowledge and provide the participants (adults with ASD and their close relations) with hands-on tools to help handle obstacles in their everyday life, as well as provide information on how to access further health care and support from the appropriate social systems. Another important aspect is to enhance active participation and increase relevance for each individual by providing opportunities to ask questions and reflect on individual needs. The structured mapping of own needs to the session content is registered at the end

of each session. The intervention consists of four 2-hour sessions (including breaks, time for questions, and structured mapping of individual needs). For descriptions of content, themes, and focus of the four Prisma sessions, see Table 1.

Treatment fidelity

To increase treatment fidelity, a half-day training course, including an introduction to the intervention and course contents were given to course leaders. Also, course leaders received on-going support from project coordinators (via email, digital platform, telephone, or visits at the respective clinic/center) throughout the study, regarding all issues related to the intervention as well as the course leader's role. All study and intervention materials were thoroughly structured and made available to the course leaders via a digital platform. Furthermore, the course leaders had access to a manual containing all the parts that were included in the course leader training, answers to FAQs, a structured checklist for time planning, and ready-made suggestions for administration.

Participants and recruitment

This was an open feasibility study in a clinical outpatient context including adults with ASD and their close relations. The data collection was conducted in 2017 at eight adult outpatient psychiatric clinics and four habilitation clinics^[1] in the Stockholm area. Each clinic organized a group of approximately 10-15 participants (M=13) with ASD and 1-2 close relations per patient. In total, 13 groups received the psychoeducational intervention Prisma. Information about how to participate in Prisma was given to patients at each clinic through information brochures in the waiting rooms and/or by clinicians. All patients that expressed interest in participation were contacted by one of the course leaders. A structured screening interview including information about the content of Prisma, as well as a brief assessment of inclusion and exclusion criteria, was conducted by telephone or at the clinic. Individuals who fulfilled the requirements were invited to an information meeting with their close relations where they received more information about the intervention, gave consent for participation, and completed the baseline measurement. An additional individual and final ascertainment of eligibility performed with each patient and his or her close relations to ensure that the prerequisites for participation were met.

Inclusion and exclusion criteria

For inclusion, patients had to meet DSM-IV and/or DSM 5 criteria for ASD and/or ICD-10 criteria for one of the ASD diagnoses under F84, assessed within the Swedish health care system before the study participation. Both patients and the close relations had to be 18 years or older. Sufficient knowledge of the Swedish language was required to understand the course contents. Close relations could be a parent, sibling, partner, friend, or whomever the participant with ASD thought of as a close relation. Having ASD or other diagnoses were not considered exclusion criteria for close relations.

Exclusion criteria for the participants with ASD were not being able to participate with a close relation, intellectual disability, mental or psychosocial instability to a degree that made participation impossible as judged by the course leader or experienced health care professionals (i.e. severe psychiatric comorbidity such as ongoing substance use disorder, manic episodes, psychosis, and acute suicidality). Further reasons for exclusion were the inability to participate in a group, or severe life situations (e.g. homelessness). Parallel treatments and interventions like pharmacological or occupational therapy were not an exclusion criterion.

Measures

Demographic data

Case histories and sociodemographic data for participants with ASD were extracted from medical records. The participants also completed a questionnaire "Current Life Situation Form" covering demographic information and current stressors in different areas of life [24]. A modified version of this questionnaire was used to assess demographic characteristics of the close relation. ASD symptoms were measured using the Ritvo Autism Asperger Diagnostic Scale-14 screen (RAADS-14) [25]. Background and demographic data are described in Table 2 for adults with ASD and in Table 3 for close relations.

Primary outcome: feasibility

The focus of this open study was the feasibility of the Prisma intervention in a clinical outpatient setting. Outcome measures were gathered through self-ratings before, during, and after Prisma. In addition, for preliminary estimation of treatment effects, self-rating questionnaires were administered at baseline, i.e. 1–2 weeks before the intervention started, and post-intervention, i.e. 1–2 weeks after the last session.

Treatment completion was the proportion of participants who completed the intervention. To be regarded as a completer, the participant had to attend at least 3 out of 4 course sessions.

Acceptability was addressed by measuring credibility, satisfaction, and safety. Treatment credibility was measured with an adjusted version of the Treatment Credibility Scale (TCS) [26]. TCS was administered at baseline (Cronbach's alfa 0.81, n = 138) and after the last session to participants and course leaders. The TCS includes five items in a 10-point visual analogous scale (VAS). High values indicate high credibility. Treatment satisfaction was evaluated after each session and after the intervention was completed. The Session Evaluation Form (SEF) is a modified version of the Evaluation Questionnaire [19, 27]. SEF consists of five statements rated 0-4 on a Likert scale. Three of the statements target the respondent's appraisal of the content of the specific lecture. The

other two assess the participant's experience of taking part in group discussions/exchange of experiences and could also be answered "not applicable" if the participant did not share or discuss experiences. At the end of each form, the participants could write comments about the session.

A modified 12-item version of the Patient Evaluation Form (PEF) [28] was distributed at the end of the last session regarding the participant appraisal of the course as a whole. Six items are scored on a Likert scale ranging from 0-4 (Cronbach's α 0.78, $n = 132$). Four items are open questions for participants to further develop their answers ("How did the intervention help me?"; "How can the intervention improve?"; "What could I have done differently?"; "Is there anything else you want to share about the intervention?"). For the open questions in the PEF, a thematic analysis was performed based on previous suggestions [29]. As the non-completers only participated to a limited extent and did not assess the full content of the intervention, only data from completers were included in the main thematic analysis. However, a separate analysis was conducted on non-completers. As participants who answered the open questions at times gave more than one answer, the percentage in Table 6 reflects the proportion of answers rather than individuals. To be considered a theme, a minimum of four similar answers had to be present. One of the authors (DS) analyzed and categorized the answers in themes and another author (NH) confirmed them. The agreement was very high and the few deviating analyses were discussed and placed in the theme's authors agreed upon. Yet another author (TH) reviewed and confirmed the categorizations. After a consensus discussion with all three researchers (NH, DS, TH), slight changes were made to the description of the themes to clarify what they reflected.

Adverse events were defined as spontaneous oral complaints or instances when patients stated that they experienced negative or unwanted effects during the intervention period. Serious adverse events were defined as events that involved hospital care/hospitalization, etc. due to the intervention. Adverse events and serious adverse events were recorded in the case report form (CRF) folder, and it was assessed by course leaders if these were caused by the intervention.

Preliminary effectiveness outcomes

All scales that measure preliminary effectiveness were administered to participants before and after the intervention except for the Burden Assessment Scale (BAS) [30] which was only filled in by close relations.

Acquired knowledge of ASD and support and services was measured using the ASD 20 Questions (Appendix 1). ASD 20 Questions is a knowledge quiz with 20 true/false/don't know scored items created for this study. Moreover, a separate question was included where participants were asked to list all support and treatment interventions helpful for adults with ASD and their close relations. Similar knowledge quizzes have been used in previous studies [15, 27]. Internal consistency of the quiz using Kruder-Richardson 20, at pre-intervention was 0.78 ($n = 138$). High values indicate more acquired knowledge.

Relationship quality was measured both from the perspective of the participant with ASD and the close relation with The Questions About Family Members (QAFM) [31]. The QAFM comprises four subscales: (1) Critical Remarks Cronbach's α pre-intervention 0.86 ($n = 131$) (2) Emotional over-involvement Cronbach's α pre-intervention 0.74 ($n = 132$) (3) Perceived Criticism Cronbach's α pre-intervention 0.51 ($n = 132$) and (4) Perceived Emotional Involvement Cronbach's α pre-intervention 0.43 ($n = 135$). The scale contains 30 items, which are scored on a 5-point Likert scale. Low scores on the first three subscales, and high scores on the fourth subscale, are indicative of a good quality of relationship.

Mental health. The Hospital Anxiety and Depression Scale (HADS) [32] was used to measure mental health on the two subscales: "depression" Cronbach's α pre-intervention 0.84 ($n = 139$) and "anxiety" Cronbach's α pre-intervention 0.88 ($n = 138$). The subscales contain seven items each and were scored on a 0-3 Likert scale with a maximum score of 21 points. Higher scores indicate high symptoms of anxiety and/or depression. Furthermore, two items from the PEF covering participants' well-being before and after the intervention on a Likert scale of 1-10 ("How would you rate your well-being before the intervention?", "How would you rate your current well-being") was used to measure general well-being. High values indicate high well-being.

Quality of life was measured using the Satisfaction With Life Scale (SWLS) [33]. SWLS contains five items, scored on a 7-point Likert scale Cronbach's α pre-intervention 0.91 ($n = 139$). High values indicate higher satisfaction with life.

Acceptance of diagnosis, adapted from the Acceptance and Action Questionnaire – II [34], was measured using "What I think about my diagnosis" for adults with ASD and "What I think about my close relation's diagnosis" for close relations. Cronbach's α at pre-intervention was 0.86 for participants with ASD ($n = 68$) and 0.87 ($n = 68$) close relations about the adult with ASD's diagnosis. Both questionnaires contain 7 items, scored on a 7-point Likert scale where lower values indicate higher acceptance.

The burden of care on close relations was assessed using the Burden Assessment Scale (BAS) [30] consisting of 19 items scored on a 4-point Likert scale Cronbach's α at pre-intervention 0.87 ($n = 68$). BAS was used to measure to what extent the close relations experienced a subjective (e.g. emotional distress) and objective (e.g. economic consequences) burden of care because of the relationship with the person with ASD. High values indicate a greater burden.

Statistical analysis

Statistical outliers in all outcome measures were screened using boxplots in SPSS version 27. The few extreme outliers (1st/3rd Quartile ± 1.5) that were identified did not significantly affect the results and were therefore retained in the subsequent analyses. Outcome data were approximately normally distributed. The main statistical analyses regarding feasibility and efficacy-related measures were performed on all participants attending at least 3 out of 4 sessions and who had completed pre- (T1) and post-measurement (T2).

Comparisons between completers and non-completers were performed on baseline data using unpaired t-tests, Fisher's exact test, and Pearson's chi-squared test to detect possible predictors of drop-outs. Unpaired t-tests were used to examine differences post Prisma between individuals with ASD and close

relations on the SEF and the PEF. Paired t-tests (pre and post) were used to test preliminary efficacy both for individuals with ASD and the close relations for all outcomes. The effect size was interpreted according to Cohens d : 0.2 = small effect size, 0.5 = medium effect size and 0.8 = large effect size [35].

^[n] The Stockholm's healthcare services (like several other regions in Sweden) is organized so that patients with ASD and other enduring disabilities receive support from habilitation services for functional impairments, and from psychiatric services for severe comorbid mental illness.

Results

Feasibility

Treatment completion

The flow of study participants is presented in Fig. 1. A total of 143 adults with ASD were screened for eligibility to participate. Out of these, 12% (n=17) could not be included in the study due to that attending with a close relation was a requirement and 24% (n=34) declined participation, with the most common reason for this being that the date/time of the upcoming intervention did not fit with other activities in their lives. Of the adults with ASD screened for intervention, 64% (n=92) and 94 close relations were included in the intervention. Of those enrolled in Prisma, 77% (n= 71 out of 92) of the adults with ASD and 73% (n= 69 out of 94) of the close relations met the predefined criteria for completion (attending at least 3 out of 4 sessions). There were no significant differences between completers and non-completers in the background and demographic data (Table 2 for adults with ASD and Table 3 for close relations). However, there was a trend ($p = .051$) towards a difference for the age of participants with ASD, where non-completers were younger (mean age 27) than completers (mean age 32).

Acceptability

Treatment credibility. Both ASD participants and their close relation reported significantly higher credibility post-intervention compared to before starting (Table 4).

Treatment satisfaction. All items and all sessions were rated as being satisfactory "to some extent" on average (mean 2.52 - 3.53 on a scale from 0-4). Levels of satisfaction were the same between ASD participants and their close relations with three exceptions rated higher by the close relations (see Table 5).

For all sessions, 17% - 42% of the ASD participants and 42% - 48% of close relations answered "Not applicable" to the statement "It was helpful to share experiences with other participants", thus indicating that they did not participate in experience sharing during the sessions.

Assessment of satisfaction (PEF) of the whole course was also filled out after the last session. Overall, there was a significant difference between adults with ASD ($M = 3.07$, $SD = 0.69$) and their close relations ($M = 3.42$, $SD = 0.44$); ($t(132)=3.44$, $p < 0.001$, $d=0.60$). Mean scores on the individual items are presented in Figure 2. Participants also rated the intervention as a whole (0 = "Not approved", 1 = "Approved", 2 = "Well approved" or 3 = "Very well approved") with a mean score of 1.97 for participants with ASD and 2.13 for close relations.

A thematic content analysis of the participants' answers for the open questions in the PEF is presented in table 6.

The major themes that emerged in these analyses suggest that the intervention both gave more knowledge as well as acceptance towards the diagnosis but that participants wanted more interactive activities. A separate analysis was performed with participants that dropped out during the intervention. The themes were similar to what was observed for completers, but as very few answers were given, these results should be interpreted with caution.

Adverse events and serious adverse events. No serious adverse events were reported. There was one adverse event that was judged to be related to taking part in Prisma. One participant with ASD reported a deteriorated mood from participating in the intervention and therefore chose not to continue. In addition, 8% (n=7) of the patients with ASD reported increased levels of depression and/or anxiety, but it was not specifically reported that it was linked to taking part in the intervention. In two of these 7 cases, external causes of the deteriorating mood were specified by the participants.

Group leaders' rating of treatment credibility. To evaluate the clinicians' perspectives on the Prisma program, group leaders who administrated the intervention completed an adjusted version of the Treatment Credibility Scale (TCS, see above). Course leaders' evaluation of Prisma was just above 7 on a 1-10 scale of the TCS both pre-and post-intervention.

Preliminary effectiveness

Descriptive statistics and results from pre- to post-intervention for all preliminary effectiveness measures are reported in Table 7 for both participants with ASD and their close relations.

Acquired knowledge of ASD and support and services. Knowledge of ASD increased for both participants with ASD and close relations with large effect sizes. Knowledge about support and services also improved with medium-sized effects.

Relationship quality. Measurement on QAFM indicates small significant positive effects between pre-and post-assessments for close relations on perceived emotional involvement, critical remarks, and perceived emotional over-involvement. No significant changes were observed in patients with ASD.

Mental health. Both depression and anxiety significantly decreased for participants with ASD (small effect sizes) whereas there was only a significant decrease in depression for close relations (small effect size). Also, participants were asked after the intervention to rate their well-being both before and after the intervention using the PEF (see above). Both participants with ASD (pre- intervention $M = 5.41$, $SD = 1.93$, post intervention $M = 5.97$, $SD = 1.94$; $t(69)=3.97$, $p < 0.001$, $d=0.29$) and close relations (pre- intervention $M = 6.97$, $SD = 1.72$, post intervention $M = 7.51$, $SD = 1.66$; $t(62)=3.65$, $p < 0.001$, $d=0.32$) reported improved well-being post-intervention.

Quality of life. There was a small significant effect indicating that both groups experienced a better quality of life after receiving the intervention. However, this effect was only significant in patients with ASD and not their close relations when analyzed separately for the two groups.

Acceptance of diagnosis. Participants with ASD reported slightly better acceptance of their diagnosis after receiving the intervention.

The burden of care on close relations. A small decrease in the subjective burden of care was observed for close relations while the objective burden was unchanged from pre- to post-intervention.

Discussion

This study evaluated the feasibility of the novel manualized psychoeducational intervention Prisma for adults with ASD and their close relations, thus addressing the need for the development of scientifically evaluated interventions [11]. The vast majority completed Prisma and overall, it was perceived as an acceptable intervention by both adults with ASD and their close relations. Moreover, preliminary analyses of effectiveness indicated increased knowledge and well-being. However, this study also identified areas of improvement such as the intervention's ability to enhance active participation.

Feasibility

Treatment completion

Of the adults with ASD who were included 77% ($n=71$ of 92) completed the intervention (attended ≥ 3 lectures), which was slightly more than for the close relations 73% ($n=69$ out of 94). Compared to the general attrition in regular psychiatric services, which varies substantially from 26% to 82% [36] the attrition in Prisma was in the lower range. Regarding adults with ASD, there is a lack of studies specifically reporting attrition; however, the observed attrition in the current study was similar to what has been reported for autistic adolescents [15]. This suggests that the attrition rate for the Prisma intervention was acceptable, despite that the study was conducted in a clinical outpatient context not only recruiting from the clinics' usual patient base, but also administered by staff members at these clinics. We speculate that one of the reasons for the high treatment completion was participating with a close relation. Participating with a close relation may provide an opportunity for supporting each other in overcoming obstacles for participation during the intervention and after in the continued care process. Another important factor might have been that the clinical staff perceived Prisma as creditable. Again, this should be considered as promising for possible future implementation as these were regular staff members in the healthcare settings where Prisma is intended.

The background and demographic characteristics of the included sample (e.g. educational level, or psychiatric comorbidity) corresponded well to previous studies on clinical samples [37-39] thus indicating that the results may generalize to similar clinical outpatient contexts for adults with ASD. The gender balance was 49% female, i.e. relatively close to the male-to-female ratio 3:2 reported for adult patients (18 years of age and older) in the Stockholm Region between 2012-2016, who received an ASD diagnosis without intellectual disability according to the Center for Epidemiology and Community Medicine, Region Stockholm (personal communication, 21 May 2021). Dropout analyses showed a trend towards significance regarding age, indicating that those who did not complete Prisma were a few years younger. Participants who did not complete Prisma gave very few comments in the evaluation limiting our ability to identify possible areas of improvement regarding how to make Prisma more acceptable for young adults.

Acceptability

An important outcome of Prisma was acceptability (i.e. how participants react to the intervention). One challenge was building general trust for health care providers as previous research has shown that knowledge and awareness of ASD can be limited also among professionals [10] and autistic individuals find it hard to access mental health support and experience high levels of stigma [8]. The increase in perceived credibility from pre- to post-intervention should therefore be regarded as important, especially as it is associated with post-treatment outcomes [40]. Furthermore, the reported treatment satisfaction was high in both autistic adults and their close relations. However, the close relations rated certain aspects as more satisfactory than adults with ASD. Based on the qualitative analysis of reflections from participants, the intervention could be improved especially for adults with ASD who wanted more possibilities to share their own and listen to others' experiences. This is in line with research showing that communication is more effective and motivating when autistic individuals share information [41]. Moreover, close to half of the participants in this study reported that they did not participate in the discussions. This indicates that Prisma needs to be revised to promote active participation in the intervention and the subsequent health care process.

Preliminary effectiveness

A secondary aim was to investigate the preliminary effects of Prisma. Knowledge of ASD and of available support/interventions improved for both groups with medium to large effect sizes, which is comparable to what has been reported for adults with ADHD and their close relations [19], as well as adolescents and young adults with ASD [15] after receiving psychoeducation. Means and individual scores of correct answers pre-and post-intervention were on the higher end of the distribution for knowledge of ASD, thus indicating possible ceiling effects that need to be adjusted in the coming RCT.

Regarding the relationship quality, close relations perceived the relationship with the person with ASD as more positive after the intervention regarding emotional involvement, criticism, and emotional over-involvement. Also, close relations reported feeling a decrease in the subjective burden of care (i.e. emotional distress), despite the remaining objective consequences such as negative effects on the economy or the close relations' time and activities. However, from the perspective of the individuals with ASD, we saw no changes in relationship quality, thus indicating the need for further changes to the intervention to enhance mutual active participation. However, preliminary results related to relationship quality (perceived criticism and perceived emotional involvement) should be interpreted with caution as these scales showed poor internal consistency.

Preliminary analysis of depression and anxiety symptoms showed significant decreases with small effect sizes. Even though participants generally wanted more possibility to interact with others, we speculate that it is possible the intervention in its current structure also have led to recognition between participants, a sense of belonging, acceptance, and therefore, fewer symptoms of depression and anxiety. This is also supported by that large number of the answers from adults with ASD indicated that the intervention was helpful by increasing the acceptance of diagnosis, courage, recognition, and self-awareness (see Table 6). Similarly, the decrease in depression and anxiety symptoms was consistent with the increase in general well-being.

Future revision of the Prisma intervention

Since the adults with ASD and their close relations were not part of the group that developed Prisma, it was crucial to conduct the present feasibility study so that we could further improve the acceptability and accessibility of the Prisma program based on the participants' feedback. In line with this feedback, an extensive revision was carried out. The opportunities to exchange experiences were increased and updated including structured discussions in small groups with predetermined topics. These discussions now also include a method ("communication traffic light system") for how participants can choose to participate to the extent that they prefer. Furthermore, to increase treatment acceptability, a revision was done with an increased focus on strength to balance the focus on ASD-related obstacles, following reflections from participants with ASD in the current study. The course leader manual was updated including more concrete ways in which they could support the participants. Other updates have been made emphasizing that course leaders need to conduct individual post-intervention follow-ups regarding a plan for the continued health care process after this first-line group-based psychoeducational program. How these changes are received and how this will affect the effectiveness will be evaluated in an ongoing RCT.

Limitations

The results of this study need to be considered in light of some limitations. First, the relatively small size of the group of non-completers might have limited our ability to detect significant differences. This will however be addressed in the next study phase including a much larger sample. Second, this study did not include any controls and we cannot be sure that all observed effects are related specifically to Prisma.

Conclusion

In summary, the overall patterns in the results indicate Prisma to be feasible and the preliminary effects are promising. Areas of improvement and limitations were identified, which have been addressed in the revised Prisma program used in forthcoming study phases. Hence, we, therefore, feel encouraged to make the suggested adjustments and continue with a RCT.

Abbreviations

ASD: Autism Spectrum Disorder; BAS: Burden Assessment Scale; CRF: Case Report Form; DSM-5: Diagnostic and Statistical Manual of Mental Disorders Fifth Edition; HADS: The Hospital Anxiety and Depression Scale; ICD-10: International Classification of Diseases Tenth Revision; PEF: Patient Evaluation Form; QAFM: The Questions About Family Members; RAADS-14: Ritvo Autism Asperger Diagnostic Scale fourteenth edition; RCT: Randomized Controlled Trial; SEF: Session Evaluation Form; SPSS: Statistical Package for the Social Sciences; SWLS: Satisfaction With Life Scale; T1: Pre-measurement; T2: Post-measurement; TCS: Treatment Credibility Scale; VAS: Visual Analogous Scale.

Declarations

Ethics approval and consent to participate

The study was approved by the Regional Ethics Committee in Stockholm, Sweden (2017/1065-31/1). It was performed in accordance with the ethical standards of the Declaration of Helsinki (1964) and its later amendments. Informed consent was obtained from all individual participants included in the study.

Consent for publication

Not applicable

Availability of data and materials

The datasets used and/or analysed during the current study are not made available due to the regulations in the ethical permission for this study.

Competing interests

Three of the authors (NH, CB, AB) participated in the development of the intervention materials included in this study. Two of the authors participated in the revision of the intervention materials and co-authored the intervention manual following current study (HA, TH). Intervention materials and manual are owned

by Region Stockholm, why there are no financial interests to declare. None of the other authors have any conflict of interest.

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Authors' contributions

Study conception and design: [TH] [AB] [NH] [CB]; Material preparation and data collection: [TH] [NH] [CB]; Formal analysis and investigation: [NH] [DS] [TH]; Writing original draft preparation: [NH] [DS]; Writing review and editing: [DS] [TH], [AB] [NH] [CB] [HA] [JB]; Funding acquisition: [TH]. All authors read and approved the final manuscript.

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Tables

Table 1. Descriptions of the themes and specific content of the four sessions included in Prisma

Session theme	Summary of the contents
1. <i>Introduction to ASD</i>	<ul style="list-style-type: none"> - Basic information about ASD. Prevalence, criteria for diagnosis, and causes. - Individual variations and gender differences. - Obstacles and strengths associated with ASD.
2. <i>Different ways of functioning</i>	<ul style="list-style-type: none"> - Social interaction and communication: Social reciprocity, non-verbal communication, developing and maintaining relationships. - Behaviors and interests: Repetitive behaviors, a strong need for routines, intensive interests, and sensitivity to sensory input. - Obstacles and strengths associated with ASD.
3. <i>Well-being in everyday life</i>	<ul style="list-style-type: none"> - Basic needs: Food intake, sleep, and exercise. What kind of changes can I make myself and what do I need help with? - Stress: What is stress? What may long-term stress lead to? How to prevent stress. - Occupation: Obstacles and strengths associated with ASD. Plan and prioritize tasks, social interaction, unwritten rules, disturbing impressions, s, etc. - At home: What can be difficult? What can I do myself and in which areas do I need help?
4. <i>Who can provide support with ASD-related challenges?</i>	<ul style="list-style-type: none"> - Support from society to adults with ASD: residential care, laws that regulate the municipality's efforts, financial support, etc. - Support for work, employment, and studies. - Driving license and ASD. - Psychological and physical health. - Habilitation Services. <p>- Interest organizations, getting in touch with similar others online or in real life, and links for more information.</p>

Table 2. Baseline demographic characteristics for all ASD participants who started Prisma.

	All n=92	Completers=71	Non-Completers n=21	χ^2/t value
Mean age (range) (SD)	31.4 (18-64) (11.8)	32.7 (18-64) (12.0)	27.1 (18-50) (9.9)	1.98
Gender female <i>n</i> (%)	45 (48.9%)	31 (43.6%)	14 (66.7%)	3.43
Highest education <i>n</i> (%)				
9 year compulsory school or less	17 (19.1%)	12 (17.1%)	5 (26.3%)	2.04
High school	53 (59.6%)	41 (58.6%)	12 (63.2%)	
University degree (or higher)	19 (21.3%)	17 (24.3%)	2 (10.5%)	
Occupation <i>n</i> (%)				
Employed/student	33 (37.1%)	24 (34.3%)	9 (47.4%)	1.10
Unemployed	56 (62.9%)	38 (65.7%)	10 (52.6%)	
Partner <i>n</i> (%)	37 (43.5%)	32 (47.1%)	5 (29.0%)	1.72
Years diagnosed with ASD <i>n</i> (%)				
≤1 years:	60 (67.4%)	49 (70.0%)	11 (57.9%)	1.00
>1 years:	29 (32.6%)	21 (30.0%)	8 (42.1%)	
Comorbidity <i>n</i> (%)				
<i>Other neurodevelopmental disorders</i>	44 (50.0%)	34 (48.6%)	10 (55.6%)	0.28
<i>Other Psychiatric disorders</i>	54 (61.3%)	45 (64.3.0%)	9 (50%)	1.23
<i>No neurodevelopmental or psychiatric disorders</i>	15 (17.0%)	12 (17.1%)	3 (16.7%)	.01
<i>Self-reported physical illness/diseases</i>	35 (39.8%)	26 (37.7%)	9 (47.4%)	.58
Other treatments	37 (41.6%)	32 (45.7%)	5 (26.3%)	2.32
RAADS-14 screen mean (SD)	27.4 (9.4)	26.9 (9.74)	29.2 (8.2)	.94

Separate columns are reported for participants who completed Prisma and for those who dropped out including significance testing of baseline differences.

Table 3. Baseline demographic characteristics for all close relations who started Prisma.

	All n=94	Completers=69	Non-Completers n=25	χ^2/t value
Mean Age (range) (SD)	52.1 (17-86) (13.5)	53.2 (18-86) (12.8)	49.0 (17-75) (15.4)	1.28
Gender female <i>n</i> (%)	58 (61.7%)	44 (63.8%)	14 (56.0%)	.47
Relation to the participant with ASD <i>n</i> (%)	Parent: 62 (67.4%)	Parent: 48 (69.6%)	Parent: 14 (60.9%)	5.07
	Partner: 21 (22.8%)	Partner: 17 (24.6%)	Partner: 4 (17.4%)	
	Other: 9 (9.8%)	Other: 4 (5.8%)	Other: 5 (21.7%)	
Highest education <i>n</i> (%)				
9 year compulsory school (or less)	8 (8.7%)	7 (10.1%)	1 (4.3 %)	2.71
High school	39 (42.3%)	26 (37.7%)	13 (56.5%)	
University degree (or higher)	45 (48.9%)	36 (52.2%)	9 (39.1%)	
Occupation <i>n</i> (%)				
Employed/student	67 (72.8%)	51 (73.9%)	16 (69.6%)	.17
Unemployed	11 (12.0%)	8 (11.6%)	3 (13.0%)	
Retired	14 (15.2%)	10 (14.5%)	4 (17.4%)	
Disability <i>n</i> (%)				
ASD	3 (3.3%)	3 (4.4%)	0	1.05
Other neurodevelopmental disorders	8 (8.8%)	5 (7.4%)	3 (13.0%)	0.69
Other psychiatric disorders	8 (8.8%)	6 (8.8%)	2 (8.7%)	0.00
No neurodevelopmental or psychiatric disorders	75 (82.4%)	57 (83.8%)	18 (78.3%)	0.37
Physical illness/diseases	3 (3.3%)	1 (1.5%)	2 (8.7%)	2.76
Separate columns are reported for participants who completed Prisma and for those who dropped out including significance testing of baseline differences.				

Table 4. Pre- and post-measures for the Treatment Credibility Scale.

	Pre-intervention Mean (SD)	Post-intervention Mean (SD)	<i>t</i> value	Effect size <i>d</i> (95% CI)
Participants with ASD	7.15 (1.55) n = 65	7.63 (1.76) n = 65	2.64**	0.29 (-0.06 – 0.63)
Close relations	7.56 (1.23) n = 59	8.29 (1.20) n = 59	4.74***	0.60 (0.23 – 0.97)
Course leaders	7.03 (1.29) n = 13	7.15 (1.37) n = 13	0.49	0.09 (-0.63 – 0.86)

Note:** $p < 0.01$, *** $p < 0.001$. 1 = Not at all, 10 = Very/high degree of

Effect sizes refer to Cohen's *d* parentheses include the 95% confidence interval (CI)

Table 5. Evaluation of each session divided for different items in the Session Evaluation Form.

Table 6. Thematic analysis of the open answers in the Patient evaluation form (the whole course).

	Session 1			Session 2			Session 3			Session 4	
	ASD Mean (SD)	Close relations Mean (SD)	t-value (df)	ASD Mean (SD)	Close relations Mean (SD)	t- value (df)	ASD Mean (SD)	Close relations Mean (SD)	t-value (df)	ASD Mean (SD)	
	n=71	n=64		n=66	n=63		n=63	n=57		n=67	
Increased knowledge	3.00 (0.89)	3.18 (0.76)	1.28 (135)	2.95 (0.85)	3.13 (0.83)	1.16 (128)	3.00 (0.80)	3.10 (0.86)	0.67 (121)	2.52 (1.28)	
Useful content	2.93 (0.86)	3.38 (0.70)	3.37** (134)	3.06 (0.96)	3.47 (0.73)	2.72** (128)	3.21 (0.79)	3.45 (0.59)	1.93 (121)	3.16 (0.88)	
Relevant content	3.21 (0.88)	3.47 (0.73)	1.87 (135)	3.33 (0.88)	3.50 (0.56)	1.28 (128)	3.44 (0.69)	3.53 (0.68)	0.65 (120)	3.01 (1.07)	
Helpful to share	2.63 (1.09) N/A=30	2.69 (1.17) N/A=29	0.23 (75)	2.81 (1.10) N/A=19	3.06 (1.01) N/A=29	1.05 (79)	2.81 (1.03) N/A=11	3.15 (0.83) N/A=24	1.61 (83)	2.60 (1.20) N/A=24	
Others' experiences helpful	2.87 (1.02) N/A=16	2.92 (1.01) N/A=14	0.26 (101)	3.09 (1.01) N/A=11	3.11 (1.09) N/A=10	0.09 (106)	3.12 (0.87) N/A=3	3.33 (0.83) N/A=2	1.36 (114)	2.67 (1.05) N/A=13	
Note: * $p < 0.05$, ** $p < 0.01$. Evaluation of each session included mean, standard deviation, and comparison between the adult with ASD and close relations f represents (0 = Not at all, 1 = In doubt, 2 = Neither nor, 3 =To some extent, 4 =Yes absolutely)											
N/A = Not applicable (i.e. participants did not consider this to have taken place)											

Question	Participant with ASD	Close relation
How has the intervention been helpful?	<p>n = 48 individuals</p> <p>Total number of answers = 61</p> <ul style="list-style-type: none"> • Knowledge about ASD and where to turn for support: 31 (51%) • Acceptance of diagnosis, knowing yourself, courage, recognition: 25 (41%) • Suggestion for improvements (no new knowledge, too little interacting with others with ASD): 5 (8%) 	<p>n = 36 individuals</p> <p>Total number of answers = 47</p> <ul style="list-style-type: none"> • Knowledge about ASD and where to turn for support: 22 (47%) • Understanding, solutions to problems, reduced stress: 23 (49%) • Other: 2 (4%)
How could the course be improved?	<p>n = 48 individuals</p> <p>Total number of answers = 61</p> <ul style="list-style-type: none"> • Interaction (discussions/questions, more presence, and examples from individuals with ASD): 23 (38%) • More information (concrete tips/individualized tips): 20 (33%) • Pedagogy (better presentation by the course leader, shorter sessions/easier content): 7 (11%) • Don't know/satisfied: 8 (13%) • Other: 3 (5%) 	<p>n = 38 individuals</p> <p>Total number of answers = 47</p> <ul style="list-style-type: none"> • Interaction(discussions/questions, more presence, and examples from individuals with ASD): 20 (43%) • More information (concrete tips/individualized tips): 10 (21%) • Pedagogy (better presentations by the course leader, shorter sessions/better content): 8 (17%) • Don't know/satisfied: 4 (9%) • Other: 5 (11%)
Could you have done anything differently?	<p>n= 35 individuals</p> <p>Total number of answers = 35</p> <ul style="list-style-type: none"> • Asked questions: 6 (17%) • Shared own experiences: 7 (20%) • Engaged more in the material (prepare, take notes, repeat): 5 (14%) • Don't know/satisfied: 12 (35%) • Other: 5 (14%) 	<p>n = 23 individuals</p> <p>Total number of answers = 28</p> <ul style="list-style-type: none"> • Asked questions: 6 (21%) • Shared own experiences: 7 (25%) • Engaged more in the material (prepare, take notes, repeat): 5 (18%) • Don't know/satisfied: 7 (25%) • Other: 4 (11%)
Is there anything else you would like to comment on?	<p>n= 34 individuals</p> <p>Total number of answers = 36</p> <ul style="list-style-type: none"> • Appreciation: 18 (50%) • Suggestion for improvement: 11 (31%) • Other: 7 (19%) 	<p>n= 28 individuals</p> <p>Total number of answers = 29</p> <ul style="list-style-type: none"> • Appreciation: 7 (24%) • Suggestion for improvement: 14 (48%) • Other: 8 (28%)

Number and % reflect the answers rather than individuals responding for each question

Table 7. preliminary effects reported for all participants and separately for participants with ASD and close relations.

Supplementary

Appendix 1 is not available with this version

Figures

Figure 1

Flowchart for all participants and reasons for dropping out during the study

Outcome measures	Baseline Mean (SD)	Post- intervention Mean (SD)	df	t value	Effect size <i>d</i> (95% CI)
<i>ASD knowledge</i>					
All participants	12.90 (3.82)	16.26 (3.01)	124	10.94***	<i>d</i> = 0.97 (0.71-1.12)
Participants with ASD	12.77 (3.63)	16.20 (3.54)	65	8.82***	<i>d</i> = 0.96 (0.59-1.31)
Close relations	13.03 (4.06)	16.32 (2.29)	58	6.73***	<i>d</i> = 1.00 (0.61-1.37)
<i>ASD knowledge - support/interventions</i>					
All participants	3.02 (2.68)	4.64 (3.06)	120	6.61***	<i>d</i> = 0.56 (0.30-0.82)
Participants with ASD	2.53 (2.34)	3.94 (3.09)	63	4.17***	<i>d</i> = 0.51 (0.16-0.86)
Close relations	3.56 (2.93)	5.42 (2.85)	56	5.21***	<i>d</i> = 0.64 (0.26-1.02)
<i>QAFM perceived criticism</i>					
All participants	12.79 (4.74)	12.76 (4.79)	123	0.10	<i>d</i> = 0.01 (-0.24-0.26)
Participants with ASD	12.22 (4.79)	12.25 (4.75)	64	0.07	<i>d</i> = 0.01 (-0.35-0.34)
Close relations	13.42 (4.64)	13.32 (4.81)	58	0.24	<i>d</i> = 0.02 (-0.34-0.38)
<i>QAFM perceived emotional involvement</i>					
All participants	13.41 (3.37)	13.95 (2.71)	123	2.00 *	<i>d</i> = 0.18 (-0.07-0.43)
Participants with ASD	13.42 (3.95)	13.86 (2.79)	64	1.00	<i>d</i> = 0.13 (-0.22-0.47)
Close relations	13.41 (2.64)	14.05 (2.64)	58	2.26 *	<i>d</i> = 0.24 (-0.13-0.60)
<i>QAFM perceived critical remarks</i>					
All participants	20.51 (7.49)	19.91 (7.25)	123	1.25	<i>d</i> = 0.08 (-0.17-0.33)
Participants with ASD	18.91 (7.34)	19.17 (7.25)	64	0.33	<i>d</i> = 0.04 (-0.31-0.38)
Close relations	22.27 (7.33)	20.73 (7.23)	58	3.12**	<i>d</i> = 0.21 (-0.15-0.57)
<i>QAFM emotional overinvolvement</i>					
All participants	19.79 (6.37)	19.40 (5.60)	123	1.01	<i>d</i> = 0.06 (-0.18-0.31)
Participants with ASD	17.25 (5.84)	17.57 (5.12)	64	0.55	<i>d</i> = 0.06 (-0.29-0.40)
Close relations	22.59 (5.75)	21.41 (5.45)	58	2.40 *	<i>d</i> = 0.21 (-0.15-0.57)
<i>Anxiety (HADS)</i>					
All participants	8.78 (4.70)	8.27 (4.80)	125	2.38*	<i>d</i> = 0.11 (-0.14-0.35)
Participants with ASD	11.27 (3.88)	10.38 (4.49)	65	2.88**	<i>d</i> = 0.21 (-0.13-0.55)
Close relations	6.03 (3.94)	5.95 (4.03)	59	.30	<i>d</i> = 0.02 (-0.34-0.38)
<i>Depression (HADS)</i>					
All participants	5.53 (4.06)	4.87 (3.84)	125	3.06**	<i>d</i> = 0.17 (-0.08-0.41)

Participants with ASD	7.23 (4.11)	6.52 (3.91)	65	2.04*	$d = 0.18 (-0.17-0.52)$
Close relations	3.67 (3.10)	3.05 (2.84)	59	2.45*	$d = 0.21 (-0.15-0.57)$
Global life satisfaction (SWLS)					
All participants	4.10 (1.51)	4.23 (1.51)	125	2.05*	$d = 0.09 (-0.16-0.33)$
Participants with ASD	3.33 (1.40)	3.53 (1.50)	65	2.12*	$d = 0.14 (-0.20-0.48)$
Close relations	4.96 (1.11)	5.00 (1.08)	58	0.59	$d = 0.04 (-0.32-0.40)$
Acceptance of diagnosis					
Participants with ASD	3.70 (1.31)	3.51 (1.31)	64	2.15*	$d = 0.15 (-0.20-0.49)$
Close relations	2.15 (1.03)	2.09 (0.89)	58	0.61	$d = 0.06 (-0.30-0.42)$
Burden Assessment Scale (BAS) for close relation					
Objective burden	0.69 (0.57)	0.71 (0.67)	58	0.28	$d = 0.03 (-0.33-0.39)$
Subjective burden	0.81 (0.57)	0.70 (0.53)	58	2.23*	$d = 0.20 (-0.16-0.56)$
Note: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$					
Effect sizes refer to Cohen's d parentheses include the 95% confidence interval (CI)					

Figure 2 top

Note: 0 = not at all 4 = yes, absolutely. * $p < 0.05$. Error bars represent 95% CI
Satisfaction was measures using the Patient Evaluation Form after completing Prisma. Significance testing was done comparing adults with ASD to close relations after completing Prisma.

Figure 2

Mean values, separated for adults with ASD and close relations for different aspects of satisfaction