

Extraction Process Optimization, Identification, and Profiling of Phenolic Antioxidant Compounds from the Fruits of *Ficus auriculata*

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1 **Extraction Process Optimization, Identification, and Profiling of Phenolic**
2 **Antioxidant Compounds from the Fruits of *Ficus auriculata***

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1 **Abstract**

2 In this study, the extraction conditions to maximize the antioxidant activity and total phenolic
3 content of *Ficus auriculata* were optimized using response surface methodology. For the
4 purpose of extraction, the Ultrasonic assisted extraction technique was employed. A second-
5 order polynomial model satisfactorily fitted to the experimental findings concerning
6 antioxidant activity ($R^2 = 0.968$, $P < 0.0001$) and total phenolic content ($R^2 = 0.961$, $P < 0.0001$),
7 indicating a significant correlation between the experimental and expected value. The highest
8 antioxidant activity (85.20 ± 0.96 %) for DPPH were achieved at the optimum extraction
9 parameters of 52.5% ethanol (v/v), 40.0 °C temperature, and 22 min extraction time.
10 Alternatively, the highest yield of total phenolic content was found 31.65 ± 0.94 mg GAE/g
11 DF at the optimum extraction conditions. From the LC-ESI-MS profiling of the optimized
12 extract, 18 bioactive compounds were tentatively identified, which may regulate the
13 antioxidant activity of fruits of *F. auriculata*.

14

15 **Keywords**

16 Antioxidant activity; *Ficus auriculata*; Optimization; Mass spectrometry; Phenolic compounds

17

18 **Introduction**

19 The human body is vulnerable to reactive oxygen species (ROS). Natural antioxidants are an
20 essential compound for reducing the concentration of these species and prevent various chronic
21 disorders like cancer, rheumatoid arthritis, atherosclerosis, emphysema, cirrhosis, diabetes and
22 others, which cause free radical ($\cdot\text{OH}$, $^1\text{O}_2$, $\text{O}_2^{\cdot-}$) and non-free radical ($\text{R}-\text{OOH}$, NO , ONOO^- ,
23 and H_2O_2) ROS species^{1,2}. Besides the body's endogenous antioxidant defence, antioxidants
24 are primarily derived from diet and can promote good health. Numerous synthetic antioxidants
25 are commonly used in different food products, but these products are restricted due to their
26 carcinogenic and other toxic properties³. In addition, there is a demand for natural antioxidants
27 as food preservatives to reduce oxidation and rancidity of foods. Therefore, the attention of
28 natural antioxidants has been raised considerably in the study of certain fruits, vegetables and
29 leaves with high antioxidant contents to boost their consumption. Consequently, an effective
30 extraction technique and the optimization of the extraction conditions are very important for
31 the exploitation of antioxidant phenolic compounds. It may enable to obtain natural
32 antioxidants in larger quantities and reduce costs.

1 *Ficus auriculata* Lour., a member of the Moraceae family, is a naturally grown plant in
2 lowland tropical rainforests, along streams or on rocks. It is also known as Elephant ear fig or
3 Roxburgh fig⁴. Its crude extract exhibited antioxidant, antibacterial, antimicrobial,
4 antihyperlipidemic, hepatoprotective activity as well as contain a higher amount of flavonoid
5 content⁵⁻⁸. Fruits of *F. auriculata* are not only valuable for its nutritional value but also contains
6 a higher amount of phenolic compounds as compared to other parts. Our previous study found
7 that the leaves, barks and fruits of *F. auriculata* exhibited 93.78 ± 0.83 , 87.30 ± 1.29 and
8 $89.40 \pm 0.83\%$ of inhibition of DPPH as well as 99.66 ± 0.55 , 98.55 ± 0.73 and $96.47 \pm 0.83\%$ of
9 ABTS scavenging activity using ultrasonic assisted extraction process⁹. Moreover, most of the
10 extracts from *F. auriculata* obtained by ultrasound assisted extraction (UAE) process, showed
11 the highest antioxidant activity, phenolic contents and extraction yields as compared to the
12 maceration process⁹. In 2014, Hlail and co-workers reported similar phenomena for the fruits
13 to extract, which exhibited higher Biological activity compared to leaves extract¹⁰.

14 Numerous extraction techniques have been evolved and used to isolate the bioactive
15 antioxidant compounds from plant sources. Among these techniques, maceration extraction^{3,11},
16 microwave-assisted extraction^{12,13} and supercritical fluid extraction^{14,15} are now used. In the
17 first case, it is time wasting and requires relatively large amounts of solvents. The supercritical
18 fluid extraction process is not economically viable due to the higher cost of the equipment and
19 blockage the systems due to the use of water as the solvent. By considering the concept of
20 “green chemistry”, environment-friendly techniques are required for the determination of
21 antioxidant compounds. Ultrasonic assisted extraction (UAE) is an eco- friendly method,
22 which offers high extraction efficiency, good reproducibility in lower extraction times and
23 requires relatively low solvent, temperature and energy input. This method can be easily scaled
24 up for industrial applications¹⁶. Ultrasound provokes a formation of tiny bubbles exposed to
25 fast adiabatic expansions and compressions, which rises the temperatures and pressures within
26 the system¹¹. Thus, the ultrasound irradiation process could contribute to the higher yields of
27 phenolic content. However, sonication process swells dried plants by adsorbing a higher
28 amount extraction solvent¹⁷ as a result of enlarged pores of cell walls that permit greater
29 diffusivity across the cell walls. Finally, the process breakdown the cell walls, which enable to
30 wash out the cell content and allow higher efficiency to release the phenolic and antioxidant
31 active compounds¹⁸⁻²⁰.

32 In general, process optimization could be achieved through either statistical or
33 experimental method^{21,22}. The experiential technique involves the study of one-factor-at-a-time
34 which is that all the variables are kept at constant and only one variable changes²³. The major

1 weakness of this method that it ignored the interactive effects among the individual variables
2 and the ambiguous decision may be drawn. It also increases the experimental run to conduct
3 the research that is laborious, time-consuming and raise the solvent and materials
4 consumption²⁴. So, it is needed to establish the optimum process to recover the highest numbers
5 of bioactive compounds with conserved all the functional parameters.

6 Among the various Response surface methodology (RSM) designs, Central composite
7 design (CCD) is an efficient system which is timesaving and more competent among others. It
8 is very much helpful to develop, improve and optimize extraction conditions of natural
9 antioxidants and plant metabolites^{25,26}. Nevertheless, the feasibility of extraction process for *F.*
10 *auriculata* has not been explored yet.

11 Therefore, the purpose of this study was to optimize the extraction parameters to obtain
12 maximum antioxidant activity and phenolic content from the fruits of *F. auriculata* using UAE
13 and RSM with a CCD. Finally, the phenolic profile of the most active extract was
14 comprehensively studied by liquid chromatography (LC) coupled to mass spectrometry (MS)
15 *via* electrospray ionization (ESI).

17 **Material and Methods**

18 **Chemicals and reagents.** 1,1-Diphenyl-2-picrylhydrazyl (DPPH) and 2,2'-azinobis-(3-
19 ethylbenzothiazoline-6-sulfonic acid) (ABTS) were purchased from Sigma-Aldrich (St. Louis,
20 MO, USA). Folin-Ciocalteu reagent was purchased from *Merck*, Germany. Potassium
21 persulfate, 99.9% pure ethanol, monohydrate gallic acid and anhydrous sodium carbonate were
22 purchased from Friendemann Schmidt (FS) Chemicals, Australia. All the chemicals which
23 were used in this study were in analytical grade. The 18 mΩ deionised water was used to
24 prepare standard materials and extraction.

26 **Sample preparations.** The fresh fruit samples of *F. auriculata* were picked up from the
27 main campus of Universiti Kebangsaan Malaysia, 43600 Bangi, Selangor, Malaysia (Figure 1).
28 The fruits were cleaned properly with distilled water and then dried at 45-50 °C with the help
29 of Septree Food Dehydrator. Finally, all the fruits were powdered using a special grinder (XY-
30 2200B, Shenzhen Yason General Machinery Co., Ltd, Guangdong, China) and stored in an
31 airtight container.

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2 **Figure 1.** Fresh fruits of *Ficus auriculata* collected from UKM campus
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4 **Extraction procedures.** The extraction of the fruits of *F. auriculata* was executed in
5 Thermo-line ultrasonic bath (220 V and 40 kHz) at 35 °C. Two hundred fifty mg of dried and
6 ground powdered sample was transferred into a capped long test-tube (50 mL) and 10 mL of
7 solvent was poured in the sample. Then, the mixture was placed in the ultrasonic bath for
8 sonication. Following extraction, the suspension samples were centrifuged for 15 min at 4000
9 rpm. Finally, the supernatant liquids were filtered, and the extract thus obtained used directly
10 for the determination of required properties. Figure 2 shows the extraction process of
11 antioxidant active compounds from *F. auriculata* fruits.
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1 (a)



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(b)



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Figure 2. a) Schematic diagram for extraction and b) Extraction process of antioxidant active compounds from *F. auriculata* fruits

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1 **Antioxidant activity analysis**

2 **DPPH assay.** The DPPH antioxidant activity of fruits extract of *F. auriculata* were measured
3 by using some reported method with some modifications²⁷. In brief, 0.1 mM of fresh DPPH
4 was prepared with 70% of aqueous ethanol. The 100 μ L of different standard Trolox solution
5 (positive control) and the sample were added to 3.9 mL DPPH solution (0.1 mM). Then, the
6 control, and sample absorbance were recorded at 520 nm after incubated 30 minutes at dark
7 conditions and room temperatures. The DPPH scavenging activity (percentage of inhibition)
8 was calculated by using the equation below:

9

$$10 \text{ Antioxidant capacity (\% inhibition)} = [(A_C - A_S) / A_S] \times 100 \quad (1)$$

11

12 Where A_C is the absorbance of a radical solution with 70% of aqueous ethanol; A_S is the
13 absorbance of radical solution mixed with sample or standard. Each sample and standard were
14 measured in three replications. The absorbance was measured with 756 PC UV–Visible
15 spectrophotometer.

16

17 **ABTS⁺ assay.** The ABTS radical scavenging assay was calculated based on the method
18 described by S. Gorinstein et al. ²⁸ with little modifications. At first the 7 mM ABTS solution
19 using water was prepared and mixed with 2.45 mM potassium persulfate ($K_2S_2O_8$) solution
20 with same ratio to get the free radical solution²⁹. In dark condition at room temperature, the
21 mixture was stored for 12-16 hours. To carry out each bioassay, the fresh working solution was
22 then made by diluting 1 mL ABTS radical solution with the amount of ethanol needed to
23 achieve an absorbance of 0.700 ± 0.02 units at the wavelength of 745 nm. After that, 100 μ L
24 of different standard Trolox solution and extracts sample was added to 3.9 mL of an ABTS⁺
25 solution and incubated 6 minutes at room temperature. Finally, the control and sample
26 absorbance were instantly assessed at 745 nm. Here, Trolox is the positive control and 70% of
27 aqueous ethanol is used as blank. Finally the equation 1 was used to calculate the inhibitiob
28 percentage. The equipment used was described before.

29

Total phenolic content (TPC) assessment. The TPC of fruits of *F. auriculata* was assessed using Folin-Ciocalteu (FC) reagent with a little modification³⁰. Prior to use the FC reagent were diluted at 20 times. Then the 100 μ L of gallic acid or extract samples were properly added with 3.4 mL of FC reagent and kept for 7 min. A 500 μ L of Na₂CO₃ (20%) was then added to the reaction mixture and incubated at room temperature in a dark place for 2 hours. The absorbance was finally determined at 760 nm from a standard gallic acid curve of 31.25 μ g/mL to 1.0 mg/mL. The outcomes of the TPC were presented as mg gallic acid equivalent (GAE)/g dry fruits (DF). Each experiment was done as triplicate. The equipment used was as for previous assays.

Experimental design. RSM and CCD were used to optimise the three independent variables viz. solvent concentration (X1, %, v/v); extraction temperature (X2, °C) and sonication time (X3, min) at five different levels with responses of two dependent variables such as antioxidant activity (DPPH assay) and TPC (Table 1). The design comprising of 20 experimental runs involving 8 factorial points, 6 axial points and 6 centre points. *The second-order polynomial model in the response surface analysis is demonstrated using the equation (2):*

$$Y = B_0 + \sum_{i=1}^n B_i X_i + \sum_{i < j} B_{ij} X_i X_j + \sum_{j=1}^n B_{jj} X_j^2 \quad (2)$$

Where Y is the response function of the independent variables; B₀ is a constant, B_i is the linear coefficient, B_{ij} is the second-order interaction, and B_{jj} is the quadratic coefficients. The variable, X_i is the non-coded independent variables. Here, three independent variables were used and hence n equal to 3. Thus, equation (2) is expressed with equation (3):

$$Y = B_0 + B_1 X_1 + B_2 X_2 + B_3 X_3 + B_{12} X_1 X_2 + B_{13} X_1 X_3 + B_{23} X_2 X_3 + B_{11} X_1^2 + B_{22} X_2^2 + B_{33} X_3^2 \quad (3)$$

Where Y represents the predicted response (antioxidant activity and TPC), and X₁, X₂ and X₃ are independent variables. B₀ is a constant and B₁, B₂ and B₃ are linear coefficients. B₁₂, B₁₃ and B₂₃ are cross coefficients and B₁₁, B₂₂ and B₃₃ are quadratic coefficients.

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Table 1. Control variables, their coded values and actual values included in optimisation

Control variables	Units	Symbol	Coded levels				
			- 1.68	- 1	0	+ 1	+ 1.68
Ethanol concentration	%, v/v	X ₁	7.95	25	50	75	92.04
Temperature	°C	X ₂	14.77	25	40	55	65.22
Sonication time	min	X ₃	3.18	10	20	30	36.81

Statistical analysis. Analysis of variance (ANOVA) was used to verify the statistical validity of the response surface quadratic model coefficients and the Design-Expert 6.0.6 (Stat-Ease, Inc., USA) was used to conduct the data analysis. The regression coefficient (R^2) along with the F -test, was assessed to test the fit of the polynomial model. The statistical significances for different terms in the polynomial model were evaluated by the estimation of F -value with different probability (P) range such as 0.001, 0.01 or 0.05. P values less than 0.05 and 0.01 indicate that the value is statistically significant and very significant. The % of DPPH inhibition and GAE curve was done using Microsoft Excel 16 (Microsoft Inc., Redmond, USA).

Determination of bioactive compounds via LC–ESI–MS studies. The bioactive phenolic compounds were profiled using LC-MS using the mass analyzer Bruker micrOTOF-Q. A reverse phase C18 column (Phenomenex 250 mm, 5 μ m particle size) was used. The eluting system consisted of water acidified with 0.1% formic acid and (1:1, v/v) acetonitrile/methanol acidified with 0.1% formic acid as solvent A and B respectively. The 0.45 μ m membrane disk filter was used to filter the mobile phase and degassed by sonication before injection. The parameters which were used to the Elution process are as follows: 5% B, 0–5 min; 5%–10% B, 5–10 min; 10%–50% B, 10–55 min; 50%–95% B, 55–65 min; 5% B, 65–70 min. The 20 μ L of solvent was injected with 0.4 mL/min flow rate. The analytical parameters with negative ion mode were performed as follows: source temperature 150 °C, desolvation temperature 350 °C, cone voltage 50 eV, capillary voltage 3 kV, cone gas flow 50 L/h, desolvation gas flow 600 L/h. The ion mass spectra were acquired between m/z 50–1000 and the peaks data were processed using the Bruker Daltonics Data Analysis 3.4 software. By comparing with the retention time of spectra and reported mass spectrum data with the literature on genus *Ficus* and family Moraceae, the bioactive compounds were identified.

1 **Results and Discussion**

2 **Impact of solvent on extraction process.** Before using RSM, the impact of solvent type and
3 solvent to solid ratio were studied. Solvent selection is an important tool for the extraction of
4 plant metabolites. Generally, two polar solvents such as methanol (high polarity) and ethanol
5 (medium polarity), are used for the extraction processes when focusing on phenolic
6 compounds. For the extraction purposes, US Food and Drug Administration (FDA)
7 recommended environment friend and food-grade non-toxic organic solvents and pure
8 methanol is more toxic than the pure ethanol³¹. In the present study, several of these solvents
9 were used alone or in combination with water. Our results suggested that the efficiency of
10 methanol was higher than single solvent ethanol, ethyl acetate and *n*-hexane, but lower than
11 the aqueous ethanol (75%) to extract phenolic antioxidant compounds from the fruits of *F.*
12 *auriculata* as per the conditions of 10:0.250 (mL/g) solvent to solid ratio, 40 °C temperature
13 and 30 min extraction time (Figure 3). From our study, the extraction ability of the bioactive
14 phenolic compounds depends on the polarity of the solvent. In this study four solvents were
15 chosen based on the polarity index with different dielectric constant (ϵ). Methanol is highly
16 polar solvent where ethanol is medium polar and ethyl acetate is low polar solvent. According
17 to the figure 3, *n*-hexane showed very low activity as it is a non-polar solvent with very low
18 dielectric constant ($\epsilon=1.88$). Therefore, due to the low toxicity and better extraction ability of
19 aqueous ethanol, it was chosen as the master solvent for each of the next experimental runs for
20 the determination of antioxidant activity and TPC from the fruits of *F. auriculata*. This agreed
21 with several studies that also found that the combination of water with pure solvent is more
22 effective than solvent alone for extracting phenolic antioxidant compounds³²⁻³⁵. So, the
23 aqueous ethanol was the best solvent to extract polyphenols and the addition of water increased
24 the polarity of the ethanol and the extraction potential in this case.

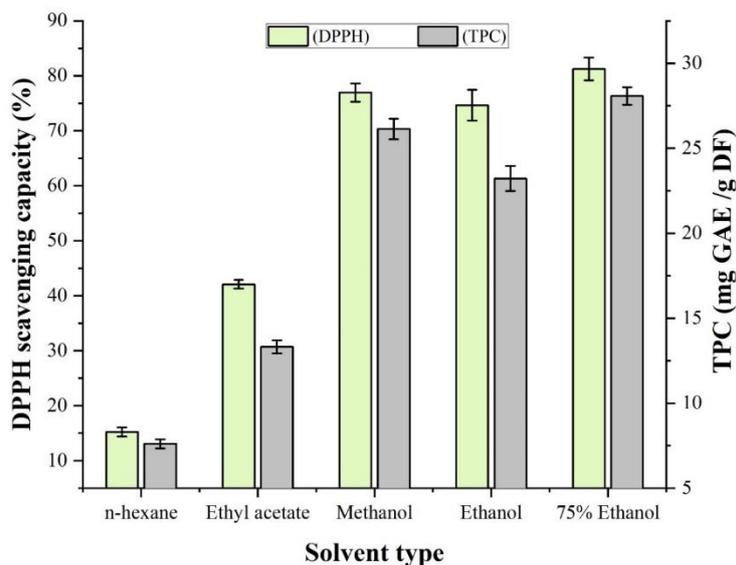


Figure 3. Effect of solvent on the antioxidant activity and TPC of fruits of *Ficus auriculata*.

The influence of solvent to solid ratio on the extraction process from the fruits extract of *F. auriculata* was also studied with four ratio: 10/0.150, 10/0.250, 10/0.350 and 10/0.450 mL/g, over 75% of solvent, 30 min reaction time and 40 °C temperature. Figure 4 presents the outcomes. The antioxidant activities and TPC increased with the increased amount of solid material in a fixed amount of solvent (10 mL), and it increased up to 0.250 mg of solid. After that, the trend followed a declined efficiency. This is because, the speed of mass transfer depends on the ratio of solvent to solid and increasing ratio enable the distribution of antioxidants into the extraction solvent till maximize the mass transfer. Therefore, the ratio of 10/0.250 (mL/g) was chosen for each of the next experimental runs and to minimize the solvent requirement.

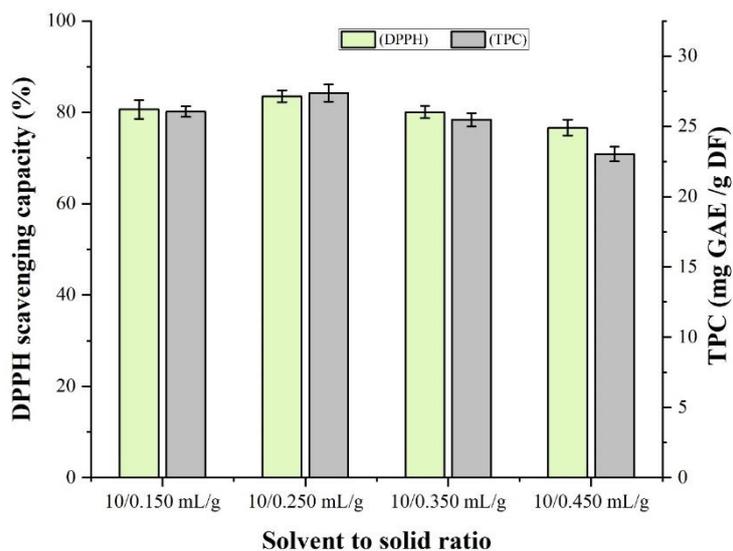


Figure 4. Effect of solvent to solid ratio on the antioxidant and TPC of fruits of *Ficus auriculata* extracted with 75% ethanol.

Fitting the RSM models. The results (antioxidant activity and TPC values) of the CCD design are shown in Table 2. Moreover, the response surface quadratic model was used to evaluate the extraction process to maximize the inhibition of DPPH and obtain the highest TPC from the fruit extracts of *F. auriculata*. Ethanol concentration (X_1), temperature (X_2) and time of extraction (X_3), were used as the independent variables which also commented before. The regression coefficient (R^2) was checked to measure the degree of fitness³⁶. When R^2 approaches unity, the model can significantly fit well with the predicted values³⁷. The R^2 value and ANOVA results of the response surface quadratic models for *F. auriculata* fruits extracts are compiled in Table 3 and Table 4, respectively. In the present study, R^2 values for antioxidant activity and TPC were 0.96, for the quadratic model as well as 0.98 and 0.99 respectively for cubic model, but the design suggested quadratic model and aliased cubic model. The high values of R^2 indicate that there is a good relationship between the predicted and experimental values for the models. The degree of precision of a model also can be checked by the coefficient of variations (C.V.). A high value of C.V. indicates the lower reliability of the experiment³². In this study, the C.V. values were 1.17% and 7.47% for antioxidant activity and TPC, respectively, which were low and indicates the executed experiments are highly reliable.

1 **Table 2.** Experimental design using RSM with CCD for the antioxidant activity (% of DPPH)
 2 and total phenolic content (TPC)

Run	Ethanol	Temp	Time (min)	Antioxidant Activity	TPC
	Conc. (%)	(°C)		(% of DPPH Inhibition)	(mg GAE/g DL)
	X ₁	X ₂	X ₃	Experimental	Experimental
1	50	40	20	83.48	33.14
2	7.95	40	20	77.23	13.21
3	75	55	30	75.61	25.57
4	75	55	10	77.77	19.03
5	75	25	30	78.93	23.06
6	92.04	40	20	81.87	17.89
7	25	55	30	76.64	19.59
8	50	40	20	83.73	33.18
9	50	14.77	20	81.61	30.65
10	25	25	30	77.41	16.35
11	50	40	20	83.83	33.25
12	25	55	10	77.41	18.78
13	25	25	10	78.28	17.40
14	50	40	3.18	75.87	13.85
15	75	25	10	79.74	18.68
16	50	40	36.81	74.27	25.80
17	50	40	20	84.54	33.41
18	50	65.22	20	81.05	27.07
19	50	40	20	84.92	34.03
20	50	40	20	84.70	33.92

3

4 **Table 3.** Adequacy of the model tested for the responses

Source	Antioxidant activity (% of DPPH Inhibition)					Total phenolic content (mg GAE/ g DF)				
	Std. Dev.	R ²	R ² _{Adj}	R ² _{Pre}	C.V.	Std. Dev.	R ²	R ² _{Adj}	R ² _{Pre}	C.V.
Linear	3.61	0.07	-0.10	-0.35		7.71	0.09	-0.06	-0.31	
2FI	3.99	0.07	-0.34	-1.46		8.47	0.11	-0.29	-1.31	
Quadratic	0.93	0.96	0.92	0.75	1.17	1.82	0.96	0.94	0.76	7.47
Cubic	0.69	0.98	0.95	-0.10		0.72	0.99	0.99	0.49	

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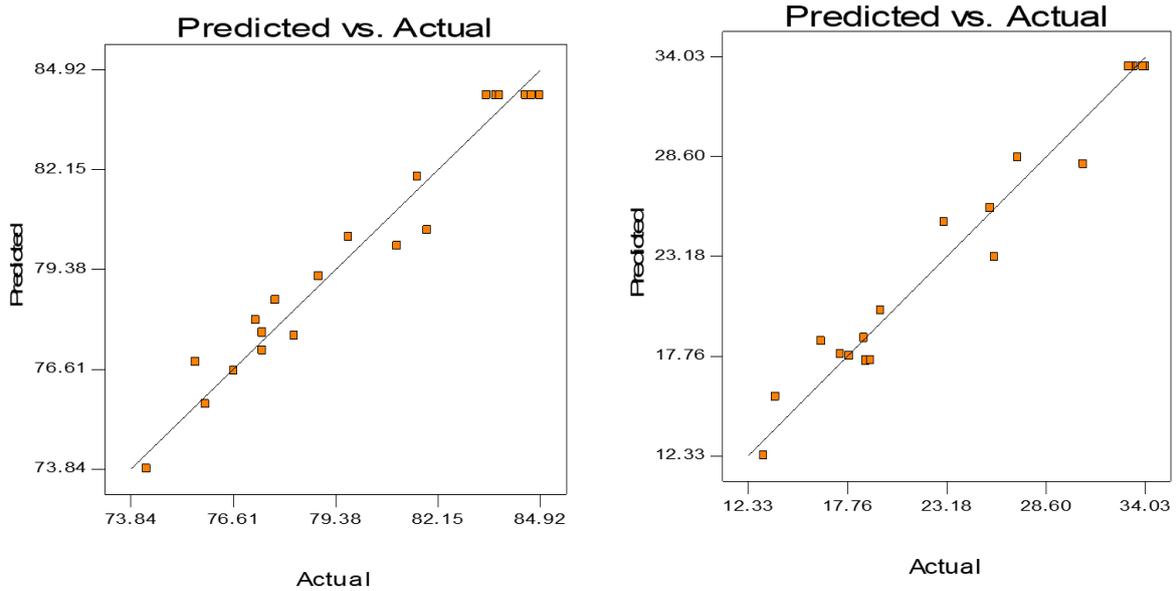
1 **Table 4.** Analysis of variance (ANOVA) for response surface quadratic model

Source	Antioxidant activity					Total phenolic content				
	Sum of Squares	DF	Mean Square	F Value	P Value	Sum of Squares	DF	Mean Square	F Value	P Value
Model	220.05	9	24.45	29.46	< 0.0001	1023.42	9	113.71	34.23	< 0.0001
X ₁	7.51	1	7.50	9.04	0.0132	35.75	1	35.74	10.76	0.0083
X ₂	4.53	1	4.53	5.46	0.0416	0.16	1	0.15	0.04	0.8324
X ₃	3.89	1	3.88	4.68	0.0557	69.35	1	69.35	20.88	0.0010
X ₁ ²	46.24	1	46.24	55.72	< 0.0001	613.87	1	613.87	184.8	< 0.0001
X ₂ ²	19.46	1	19.45	23.45	0.0007	47.70	1	47.70	14.36	0.0035
X ₃ ²	164.07	1	164.07	197.73	< 0.0001	362.35	1	362.35	109.1	< 0.0001
X ₁ X ₂	1.67	1	1.67	2.01	0.1861	0.38	1	0.38	0.11	0.7419
X ₁ X ₃	0.22	1	0.22	0.27	0.6139	15.57	1	15.56	4.68	0.0556
X ₂ X ₃	0.20	1	0.19	0.23	0.6373	2.01	1	2.01	0.60	0.4545
Residual	8.30	10	0.83			33.21	10	3.32		
Lack of Fit	6.99	5	1.39	5.33	0.0449	32.45	5	6.49	42.71	0.0004
Pure Error	1.31	5	0.26			0.76	5	0.15		
Cor Total	228.35	19				1056.64	19			

2

3 The probability factor (*P*-value) is another important value to evaluate the significance
4 of independent variables. A lower *P*-value is highly recommended for significance³⁸. In the
5 present study, the model was significant due to the value of *P* was less than 0.05. According to
6 Table 4, two linear coefficients such as X₁ and X₂ and three quadratic term coefficients such as
7 X₁², X₂² and X₃² were significant (*P* < 0.05) for the response of antioxidant activities. In
8 contrast, two linear coefficients (X₁ and X₃) and all the quadratic term coefficients (X₁², X₂²
9 and X₃²) were significant for the response of TPC. The other terms of coefficients were
10 insignificant due to the *P*-value was > 0.05. Furthermore, the model *F*-value for antioxidant
11 activity and TPC were 29.46 and 34.23, respectively (Table 4). The high *F*-values further
12 confirmed the models significant within the studied range of process conditions. Moreover, the
13 lack of fit for this model also significant (*P*-value < 0.05). Therefore, all the results proved that
14 the model fitness was adequate and both models were fully applicable. Figure 5 represents the
15 Predicted vs Actual values for Antioxidant activity and TPC. The perfect fit line Predicted =
16 Actual values with a high degree of correlation with best fit line equation $y = mx + c$ indicates
17 the best accuracy of the current model.

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1

2 **Figure 5.** Predicted vs Actual values curve for Antioxidant activity and TPC of *F. auriculata*
 3 fruit extract

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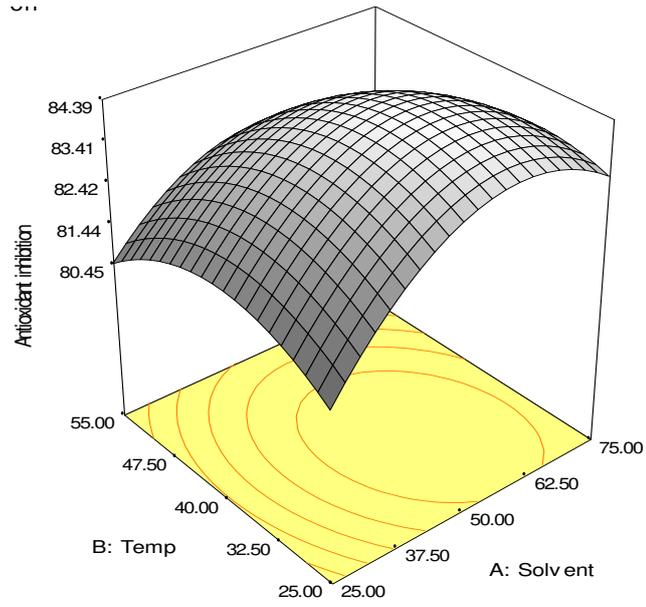
5 **Impact of extraction parameters on DPPH assay.** The effects of solvent, extraction
 6 temperature and sonication time on the DPPH assay of fruit extracts of *F. auriculata* as well as
 7 their interactions are shown in Table 2 and Figure 6. Equation (4) displays the correlation
 8 between independent variables for the DPPH radical scavenging activity of *F. auriculata* fruits
 9 extracts.

10

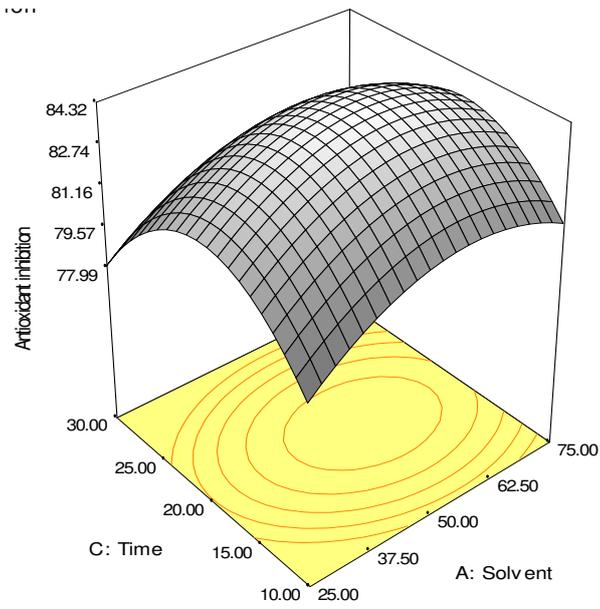
$$11 \quad Y_1 (\% \text{ of DPPH}) = 84.21 + 0.74X_1 - 0.57X_2 - 0.53X_3 - 1.76 X_1^2 - 1.14 X_2^2 - 3.35 X_3^2 - 0.45 \\
 12 \quad X_1X_2 - 0.17 X_1X_3 - 0.15 X_2X_3 \quad (4)$$

13

14 where Y_1 represents the DPPH radical scavenging activity in fruit extracts of *F.*
 15 *auriculata*. X_1 , X_2 , and X_3 represents the solvent concentration (%), temperature ($^{\circ}\text{C}$) and time
 16 (min), respectively.



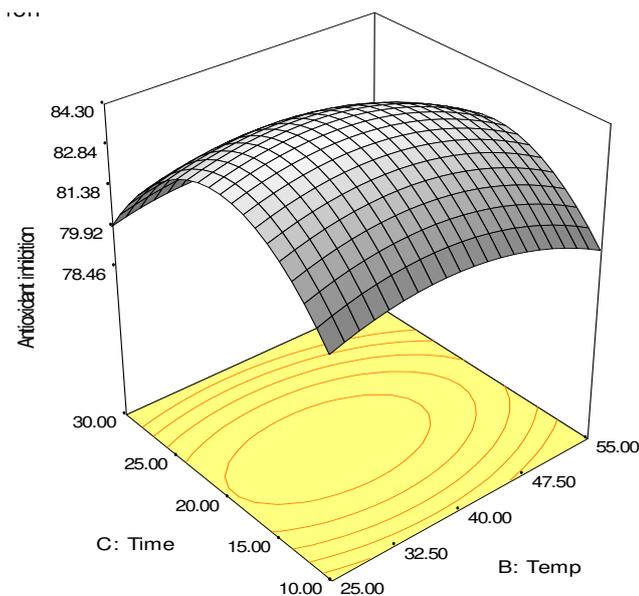
(a)



(b)

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2
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(c)

Figure 6. Response surface plots showing the effects of extraction parameters on the DPPH of the extracts from fruits of *F. auriculata*. (a) The constant ultrasonic time (20 min), (b) the constant temperature (40 °C), and (c) the constant ethanol concentration (50%).

We selected the DPPH assay since it is a broadly used and reliable antioxidant determination method compared to other assays³⁹. In this process, DPPH solution reduced to non-radical DPPH-H in the presence of hydrogen-donating antioxidants. The antioxidant compound containing crude fruit extract of *F. auriculata* fruits reduced the stable purple colour to yellow-coloured diphenylpicryl-hydrazine. The experimental and predicted values of DPPH assay with various extraction conditions are shown in Table 2. The variables studied here, the concentration of ethanol, sonication temperature and sonication time, showed the effects on the antioxidant activity of fruit extract of this plant. At constant sonication time (20 min), the ethanol concentration and temperature effect on DPPH inhibition of *F. auriculata* fruit extract seemed as light-saddled shapes (Figure 6a). The ethanol concentration ($P < 0.0001$) and the temperature were the main significant extraction parameters for antioxidant activity. The effects of sonication time were not statistically significant ($P > 0.05$), but their quadratic terms were significant as commented before. The DPPH inhibition increases with the growth of ethanol concentration from 7.95% to 52.50%, and thereafter it followed the declining trend at the higher solvent concentration of 92.04%.

The similar trend also found for ultrasound irradiation time for this study (Figure 6b). The DPPH radical scavenging activities increased from 3 to 22 min and followed by a

1 decreasing trend at longer ultrasound irradiation time. Maximum 84.03% of inhibition was
 2 obtained at 22 min. When the ethanol concentration and sonication time were kept constant,
 3 the antioxidant activity of the extracts enlarged to a value with the temperature and then started
 4 to decrease (Figure 6c). These studies evidently exhibit that the change of ethanol concentration
 5 and temperature, change the activity of DPPH positively in the medium region, and thereafter
 6 follow the negative trend for any range of extraction time.

7 Concerning other studies, similar DPPH radical scavenging antioxidant response plots
 8 were also reported by Shahinuzzaman et al. for *F. carica latex*⁴⁰, Yang *et al.* (2008) earlier for
 9 longan fruit polysaccharides³⁹, Ilaiyaraja *et al.* (2015) for fruit extract of *Feronia limonia*⁴¹ and
 10 Liyana-Parthirana & Shahidi (2005) for wheat extracts²¹. In ultrasound assisted extraction, the
 11 DPPH radical scavenging activities of the fruit extract of *F. auriculata* were higher than those
 12 of Shirzad and co-worker reported leaves of *Olea europaea* (78.98%)⁴². Li *et al.* (2016)
 13 reported leaves extract of *P. frutescens* (73.66 %) ²⁵; Ilaiyaraja and co-workers reported fruit
 14 extract of *F. limonia* (83.8 %) ⁴¹, Tabaraki and Nateghi (2011) reported rice bran (52.83%)²².
 15 However, the maximum antioxidant activity value obtained for *F. auriculata* was lower than
 16 that reported for olive leaves (95.56%)⁴³.

17

18 **Impact of extraction parameters on TPC.** The effects of the extraction parameters,
 19 on the TPC of fruit extracts of *F. auriculata* under UAE is presents in Table 2. The effect of
 20 ethanol concentration and sonication time was decidedly significant as well as the effects of
 21 temperature was not statistically significant on the extraction of phenolic compounds.
 22 However, multiple regression analysis indicated that the quadratic terms (X_1^2 , X_2^2 and X_3^2)
 23 were highly significant ($p < 0.0001$) for the extraction of TPC and is revealed in Table 3, as for
 24 the antioxidant activity. So, consistent with the experimental values, the model made the
 25 second-order polynomial equations to exhibit the correlation between ethanol concentration,
 26 temperature and time for the TPC (Y_2), is represented in equations 5:

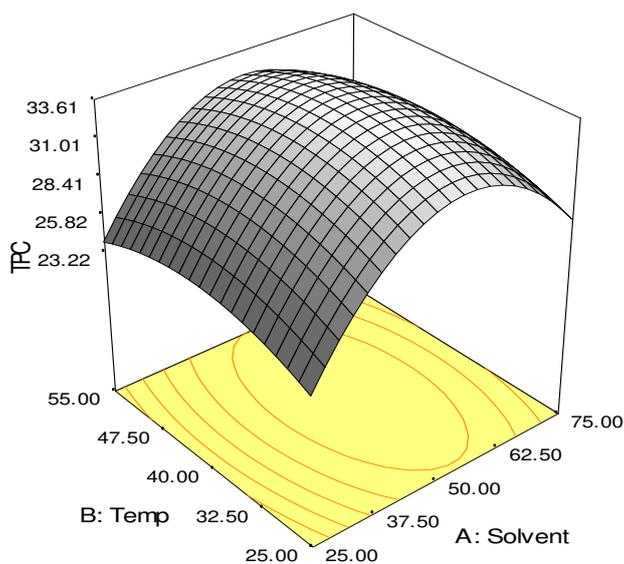
27

$$28 \quad Y_2 \text{ (mg GAE/g DL)} = 33.51 + 1.61X_1 + 0.11X_2 + 2.25X_3 - 6.52 X_1^2 - 1.82 X_2^2 - 5.01 X_3^2$$

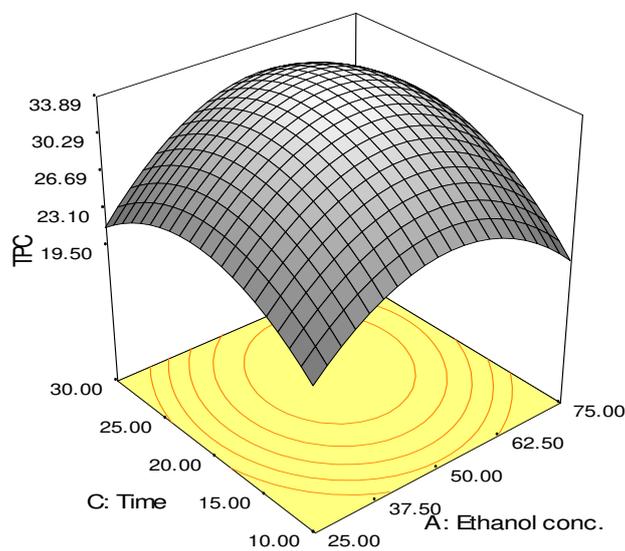
$$29 \quad \quad \quad - 0.22 X_1X_2 + 1.39 X_1X_3 + 0.50 X_2X_3 \quad \quad \quad (5)$$

30 A 3D response surface plots were established to obtain the optimum extraction
 31 parameters for TPC based on Eq. (5). When sonication time was kept constant (20 min), the
 32 effect of solvent and temperature on TPC seemed as a curved shape (Figure 7a). The TPCs
 33 linearly increases with uplifting the ethanol concentration until it reaches a highest limit and
 34 then reduced. The highest recovery of phenolics was gained at a solvent concentration between

1 45-55% and temperature between 38-43 °C. TPC gradually mounted up and attained a
2 maximum content (~33.88 mg TE /g DL) and followed by a sharp decrease afterwards. In this
3 study, the TPC was meaningfully affected by the varying concentration of ethanol and the
4 extraction of phenolic compounds was higher at 52.5% of ethanol thereafter it decreased at the
5 higher concentration of ethanol (75 – 92.04%). These results are interesting to minimize the
6 global process cost due to the use of ethanol as a solvent.



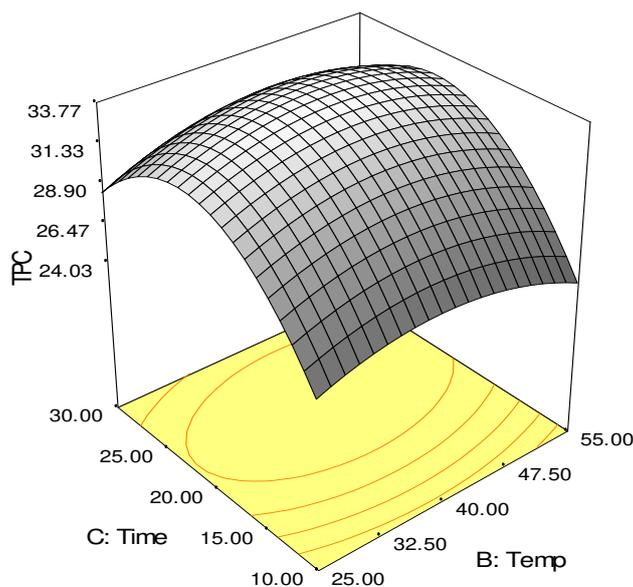
(a)



(b)

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(c)

Figure 7. Response surface plots showing effects of ethanol concentration, temperature and sonication time on total phenolic contents of the extracts from fruits of *F. auriculata*. (a) The constant ultrasonic time (20 min), (b) the constant extraction temperature (40 °C), and (c) the constant ethanol concentration (50%).

At constant temperature (40 °C), the relationship of sonication time and concentration of ethanol on TPC is exposed in Figure 7b. The concentration of ethanol revealed a prominent impact on TPC in a quadratic manner (Table 3). The TPC increases with increasing the ethanol concentration up to 52.5% and additional concentration of ethanol reduced the TPC, probably for the polarity change of the solvent mix.

To enhance the yield of phenolic compounds, temperature plays a vital role to soft the plant tissues, increase the solubility and dispersion coefficient of the constituents⁴¹. In this case, lower recovery of TPC obtained at the higher temperature (65 °C), and 52.5% of ethanol agrees well. The results found from this study are more favourable compared to previous studies which presented equivalent or higher for the fruit extract of *F. limonia*⁴¹, leaves extract of *P. frutescens*²⁵, rice bran²², extracts of grape cane⁴⁴, peels extract of *Mangifera pajang*⁴⁵ etc.

At constant ethanol concentration, the effect of temperature and sonication time on the yield of TPC is shown in Figure 7c. TPC of fruit extracts of *F. auriculata* increased sharply with increasing temperature up to 40 °C and thereafter decreased slightly. This phenomenon observed in our study at moderate temperature due to it could soften the plant tissue, weaken the integrity of the cell wall, hydrolyze the bonds between phenol–polysaccharide or phenol–

1 protein and enrich the solubility of phenolics, thus more phenolic compounds would pass to
2 the extraction solvent⁴⁶.

3

4 **Validation of the optimal extraction conditions.** The optimum operating conditions were
5 performed in DOE software based on each experimental run and combination of the two
6 responses. The goal of this study was to obtain the highest antioxidant activity and yield of
7 total phenolic content from the fruit extracts of *F. auriculata* within the range of extraction
8 parameters. To optimise the extraction parameters of antioxidant activity, an ethanol
9 concentration of 52.5% (v/v), the temperature of 40 °C, and ultrasound irradiation time of 22
10 min were chosen. The highest TPC also found at the same optimum extraction parameters.
11 These optimum conditions gave the highest response value of 84.03% for DPPH assay and
12 33.88 mg GAE/g DF for TPC, which was forecasted from the model (Table 5).

13

14 **Table 5.** Estimated optimum conditions for DPPH, ABTS and TPC

Response variables	Optimum UAE condition			Maximum values	
	Ethanol (%)	Temp (°C)	Time (min)	Experimental	Predicted
DPPH (%)	52.5	40	22	85.20 ± 0.96	84.03
ABTS (%)	52.5	40	22	99.12 ± 1.25	-
TPC (mg GAE/ g FW)	52.5	40	22	33.25 ± 0.94	33.88

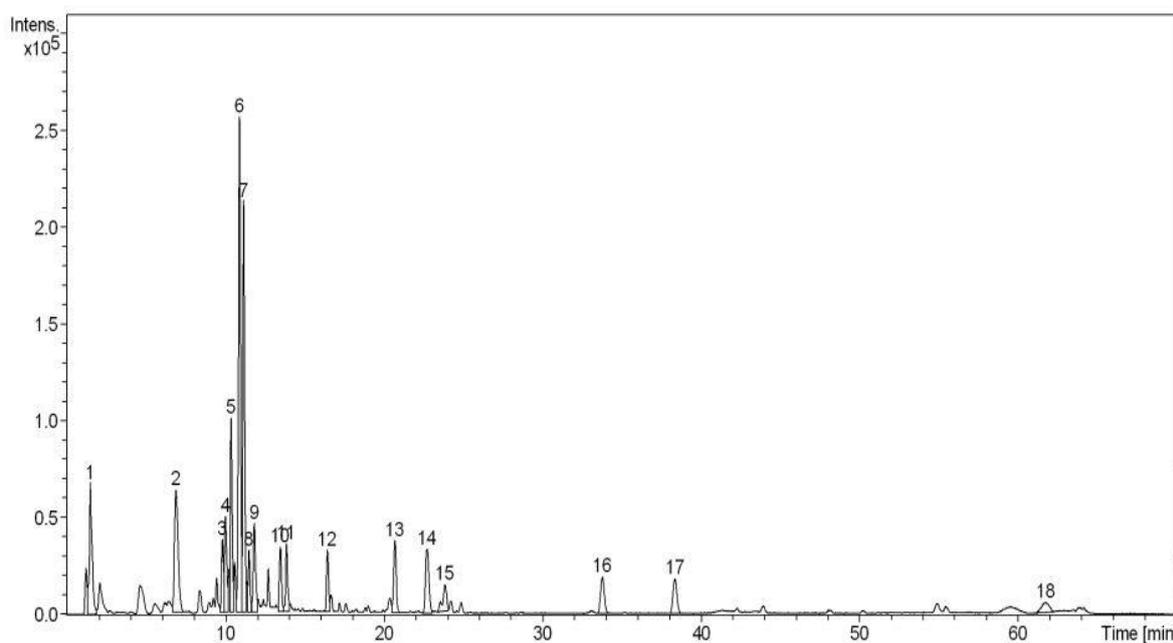
15

16 The validation of the model was also checked at the predicted conditions. The optimal
17 conditions were also tested by using one more radical scavenging assay, i.e. ABTS assay. The
18 outcomes of the experiments showed the following values: 85.20 ± 0.96% for DPPH, 99.12 ±
19 1.25% for ABTS and 33.25 ± 0.94 mg GAE/g DF for the TPC, which were reliable with the
20 predictive value. The strong relationship between the predicted and experimental values
21 confirmed that the model is correct and consistent in finding the optimal conditions for
22 antioxidants activity and TPC from the fruit extracts of *F. auriculata*.

23

24 **Characterization of bioactive compounds at optimized extract using LC–ESI–MS.** The
25 characterization of phenolic compounds was performed by LC–ESI–MS in the negative
26 ionization mode. For that, the most active extract was studied in depth (Figure 8): fruit of *F.*
27 *auriculata* extracted through the ultrasonication extraction at the optimised extraction process.
28 The retention time (RT), experimental *m/z* of negative molecular ions ([M-H]⁻), in-source

1 fragments⁴⁷, and the proposed compounds are shown in Table 6. The tentative compounds were
2 compared with the reported literature and databases. A total of 18 bioactive compounds were
3 characterized in *F. auriculata* for first time so far as we know, but few of them were reported
4 in other species. In this way, the preliminary structure of derivatives of Caffeoylquinic acid
5 (compounds 2-4), linolenic acid (compound 16) were proposed on the basis of their m/z and
6 fragments. For example, the ion m/z 353 may indicate the presence of a caffeoylquinic moiety
7 in compounds 2, 3 and 4. The unique hydroxycinnamic acid found in the extract was
8 caffeoylquinic acid (compound 2-4), whose occurrence were previously reported in *F. carica*
9 fruits⁴⁸⁻⁵⁰. Flavanols were represented by A-type trimer (compounds 8) (m/z 863) as also
10 described Vallejo and co-workers (2012) in *F. carica* fruits⁵⁰. Their fragmentation patterns
11 agreed with previous studies by observing the monomer unit (m/z 289), dimer (m/z 577), a
12 fragment ion derived from a retro-Diels-Alder fission at m/z 425 and its subsequent loss of
13 water (m/z 407) depending on the compound^{51,52}. Isoflavones consisted of three compounds
14 such as Trihydroxy-octadecadienoic acid, Trihydroxy octadecanoic acid and Hydroxy-
15 octadecatrienoic acid (compounds 10, 11, 13). Most of them have been reported in several
16 *Ficus* species, including *F. carica*, *F. tikoua*, *F. mucoso*, and *F. septica*^{48,49,53-56}.
17



18
19 **Figure 8.** LC-MS fingerprinting analysis of fruits of *F. auriculata* analysed in the negative
20 ionization mode.

21

1 **Table 6.** Tentative identification of chemical constituents of *F. auriculata* fruit by HPLC-ESI
2 -MS/MS

Peak No.	RT (Min)	MW	[M-H] ⁻ m/z	MS fragments	Identified compounds
1	1.49	342	341	191	Galloylquinic acid
2	6.9	354	353	191	3- <i>O</i> - caffeoylquinic acid
3	9.81	354	353	191	4- <i>O</i> - caffeoylquinic acid
4	10.00	354	353	191, 179	5- <i>O</i> - caffeoylquinic acid
5	10.37	866	865	577, 289	Procyanidin trimer (B-type)
6	10.89	626	625	367, 173	4- <i>O</i> - feruloylquinic acid derivatives
7	11.16	610	609	577, 289	Methoxyl-epicatchin dimer
8	11.49	864	863	577, 289	Epicatchin-trimer (A-type)
9	11.83	410	409	277, 173	Unidentified
10	13.47	328	327	211, 171	Trihydroxy-octadecadienoic acid
11	13.85	330	329	211	Trihydroxy octadecanoic acid
12	16.43	488	487	305, 173	Gallocatchin- <i>O</i> -hexoside
13	20.68	294	293	275	Hydroxy-octadecatrienoic acid
14	22.70	296	295	277, 171	Xanthone derivatives
15	23.83	472	471	295, 173	3- methyl epigallocatechin gallate
16	33.75	278	277	173	Linolenic acid
17	38.33	280	279	173	Linoleic acid
18	61.69	572	571	173, 147	Unidentified

3

4 These results are highly promising and further studies should be addressed to purify the
5 novel molecules and elucidate their stereochemistry by nuclear magnetic resonance, since LC-
6 ESI-MS is limited in this sense.

7

8 **Conclusions**

9 UAE is an environmentally friendly, simple, and economical extraction process for the
10 extraction of antioxidants from the fruits of *F. auriculata*. The correlation coefficient of this
11 model ($R^2 = 0.98$ for antioxidant activity and $R^2 = 0.99$ for total phenolic content, $P < 0.0001$)
12 was high and suggested that a second-order polynomial model should be used. The highest
13 antioxidant activity (85.20 ± 0.96 % for DPPH) were obtained at optimum ethanol

1 concentration of 52.50% (v/v), a temperature of 40 °C, and ultrasound irradiation time of 22
2 min. Under these conditions, the ABTS scavenging activity was $99.12 \pm 1.25\%$. Moreover, the
3 highest yield of total phenolic contents (33.25 ± 0.94 mg GAE/g DF) was obtained at the same
4 extraction parameters. The predicted and experimental data were almost similar. The profiling
5 of phenolic compounds of the optimized extract by LC-ESI-MS revealed the existence of
6 phenolic acids, flavanols, and isoflavones. These results supply valuable information to the
7 industry for the extraction of bioactive compounds from the optimized fruit extract.

8
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11
12 **Conflicts of interest.** The authors declare no competing financial interest.

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25

Figures



Figure 1

Fresh fruits of *Ficus auriculata* collected from UKM campus

(a)



(b)



Figure 2

a) Schematic diagram for extraction and b) Extraction process of antioxidant active compounds from *F. auriculata* fruits

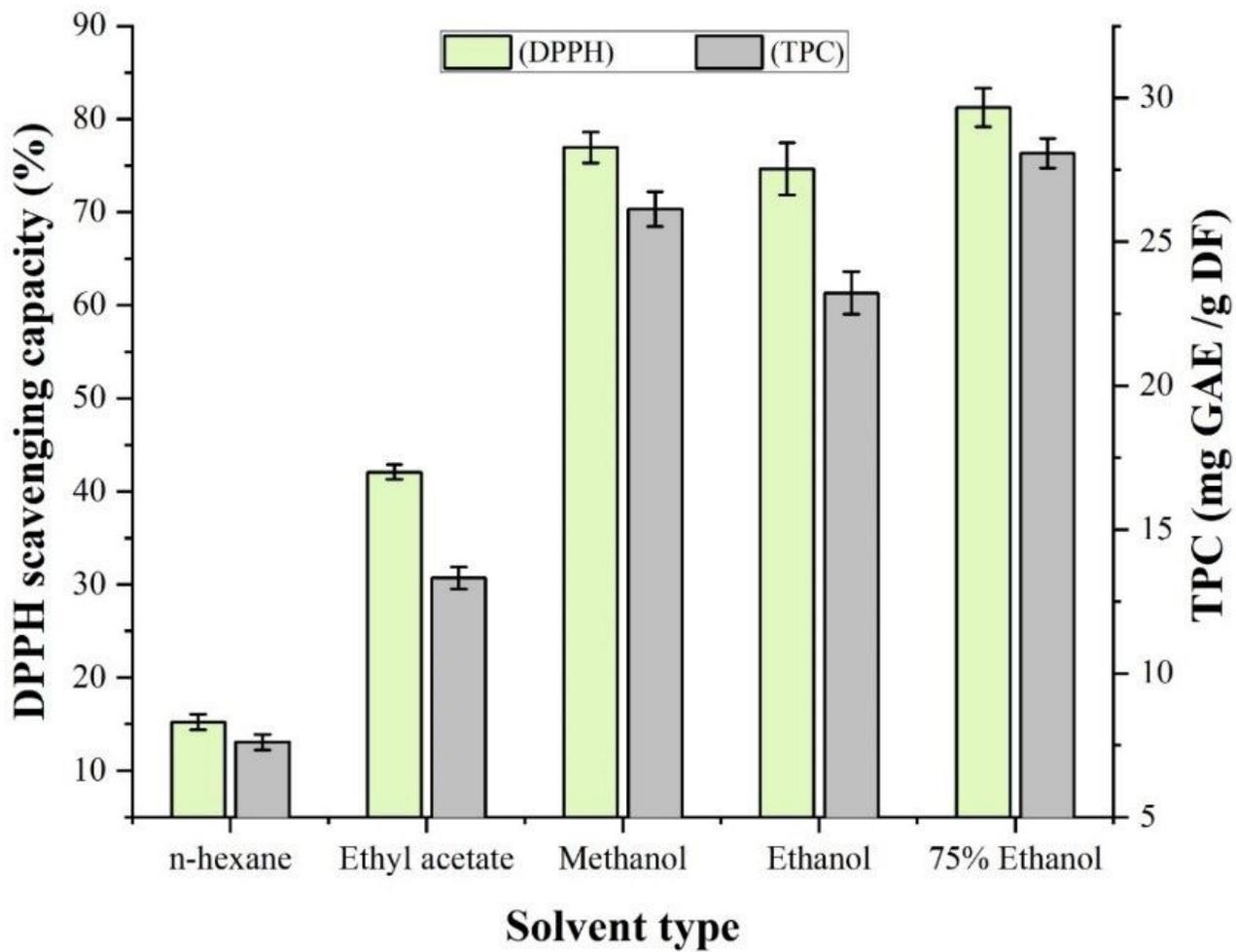


Figure 3

Effect of solvent on the antioxidant activity and TPC of fruits of *Ficus auriculata*.

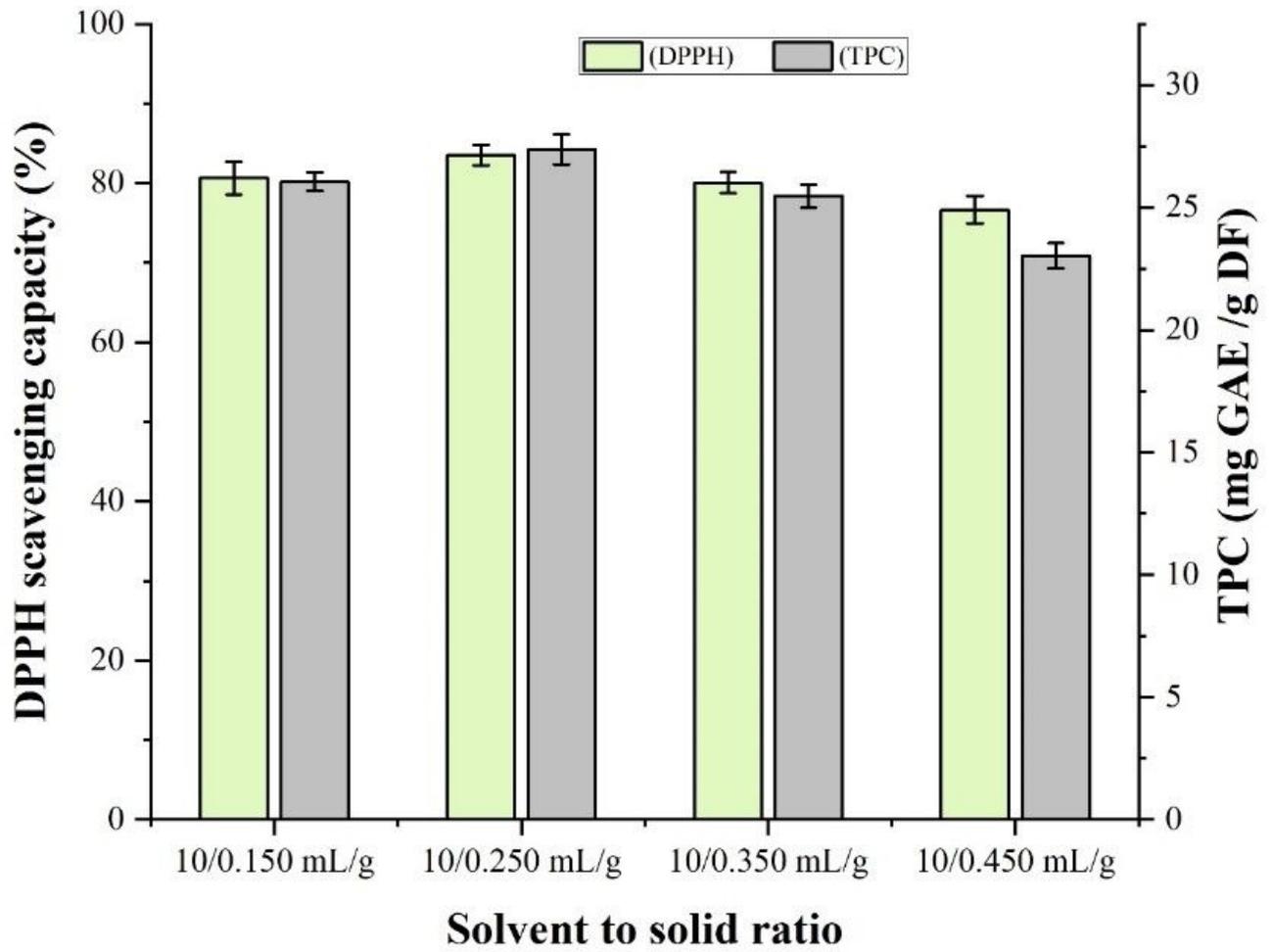


Figure 4

Effect of solvent to solid ratio on the antioxidant and TPC of fruits of *Ficus auriculata* extracted with 75% ethanol.

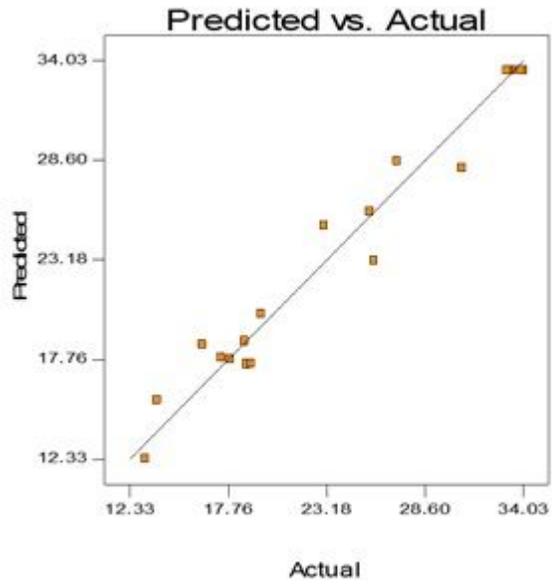
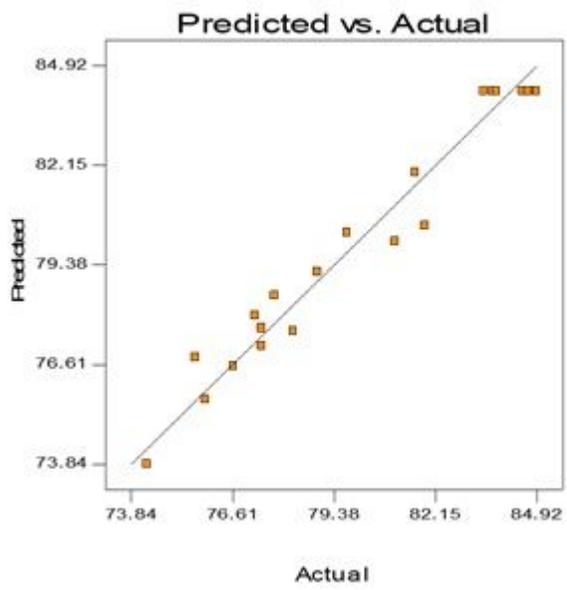
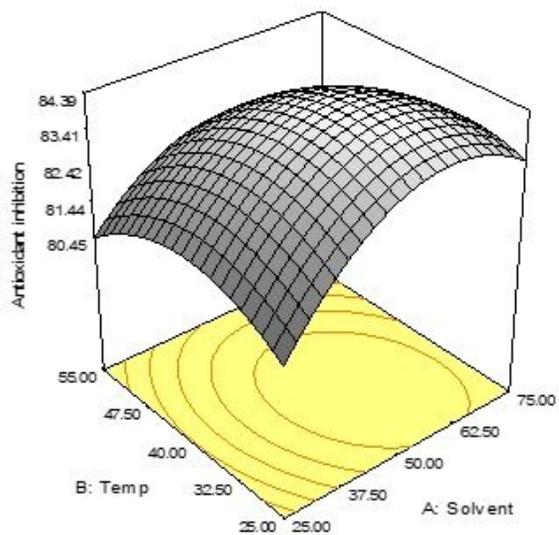
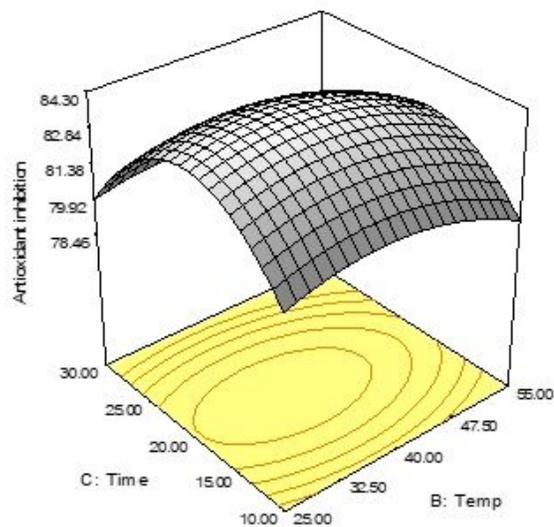


Figure 5

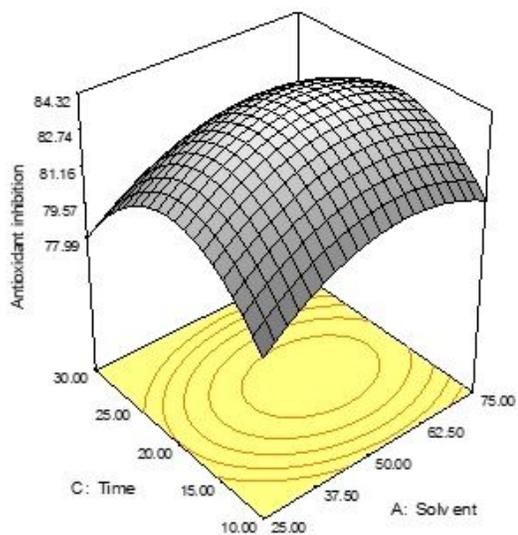
Predicted vs Actual values curve for Antioxidant activity and TPC of *F. auriculata* fruit extract



(a)



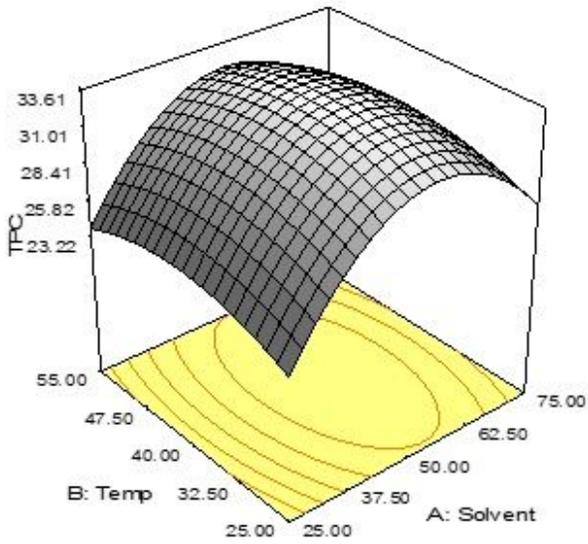
(c)



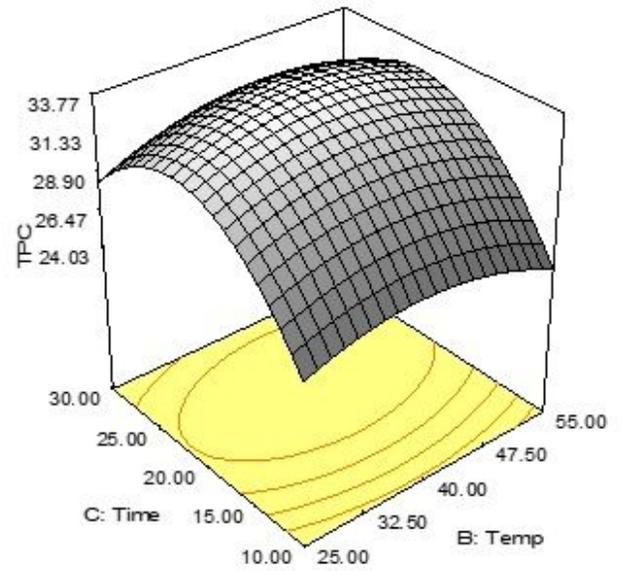
(b)

Figure 6

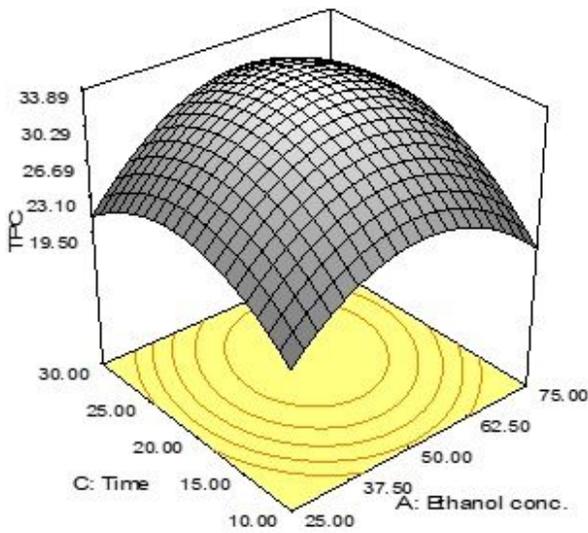
Response surface plots showing the effects of extraction parameters on the DPPH of the extracts from fruits of *F. auriculata*. (a) The constant ultrasonic time (20 min), (b) the constant temperature (40°C), and (c) the constant ethanol concentration (50%).



(a)



(c)



(b)

Figure 7

Response surface plots showing effects of ethanol concentration, temperature and sonication time on total phenolic contents of the extracts from fruits of *F. auriculata*. (a) The constant ultrasonic time (20 min), (b) the constant extraction temperature (40°C), and (c) the constant ethanol concentration (50%).

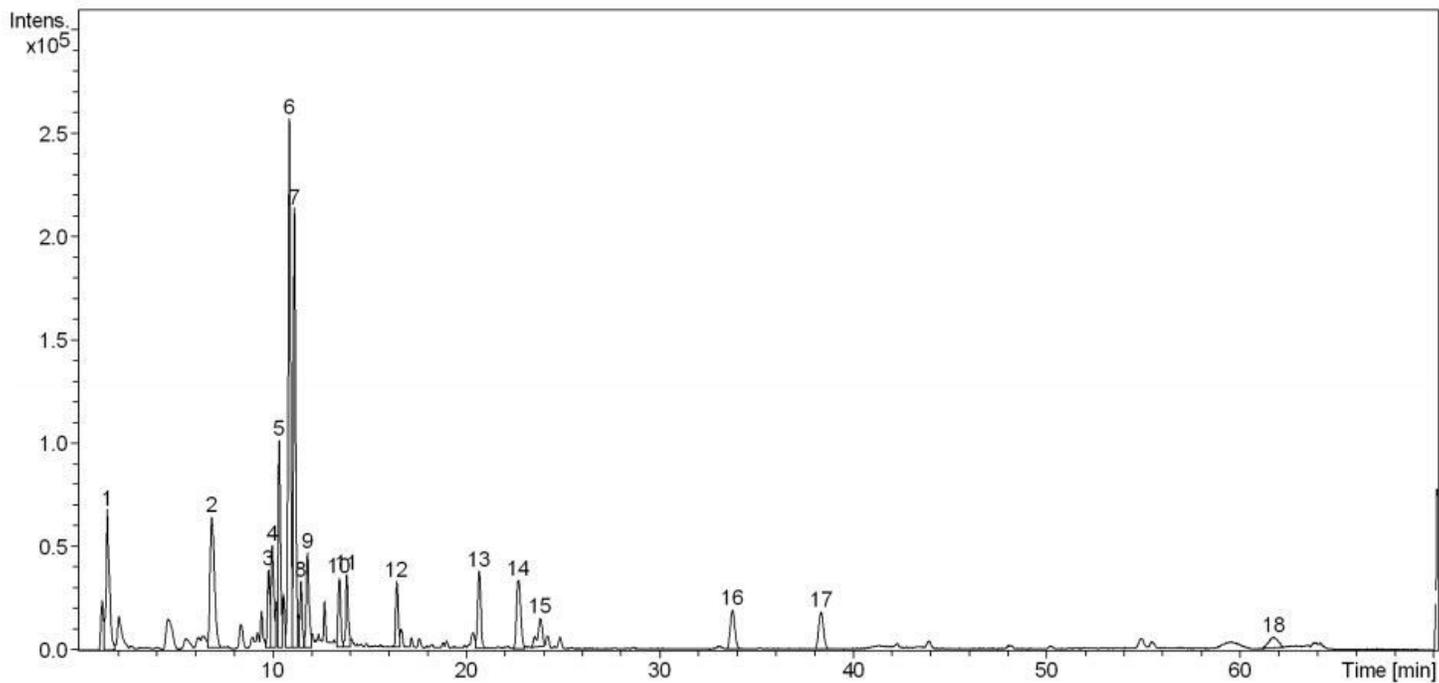


Figure 8

LC-MS fingerprinting analysis of fruits of *F. auriculata* analysed in the negative ionization mode.