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Dry EEG measurement of P3 to evaluate cognitive load during sitting, standing, and walking

Margaret Swerdloff (Swerdloff@u.northwestern.edu)

Northwestern University

Levi Hargrove Shirley Ryan AbilityLab

Research Article

Keywords: EEG, motor task, dual-task studies, oddball tones

Posted Date: September 29th, 2022

DOI: https://doi.org/10.21203/rs.3.rs-1138956/v2

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Abstract

Combining brain imaging with dual-task paradigms provides a quantitative, direct metric of cognitive load that is agnostic to the motor task. To better understand the impact of cognitive load during activities of daily living, we measured brain activity from a dry electroencephalography headset as participants attended to a stimulus paradigm eliciting event-related potentials during sitting, standing, and walking. The stimulus paradigm consisted of an auditory oddball task in which they had to report the number of oddball tones that were heard during each motor task. The P3 event-related potential, which is inversely proportional to cognitive load, was extracted from electroencephalographic signals in each condition. Results showed that P3 was significantly lower during walking compared to sitting (p = .039), indicating that cognitive load was higher during walking compared to the other activities. No significant differences in P3 were found between sitting and standing. Head motion did not have a significant impact on the measurement of cognitive load. These results encourage the use of a dry electroencephalography system to further investigate cognitive load during dynamic activities in individuals with and without motor impairments.

Introduction

Changes in performance during dual-tasking can help provide a better understanding of the cognitive requirements of motor tasks. Dual-task methods pair a cognitive task (e.g., serial subtraction) with a motor-based secondary task (e.g., walking). Dual-tasking causes cognitive-motor interference, which influences performance on either the motor task (motor control cost) or the cognitive task (cognitive cost), depending on the severity and intensity of the task¹. Cognitive motor-interference stems from the limited processing resources of the brain. Specifically, cognitive responses to external stimuli are reduced during dual-task scenarios when there is competition between cognitive and motor resources². This 'bottlenecking' of cognitive and motor processes can be compensated for through changes in gait biomechanics that preserve the physical stability of walking and decrease the likelihood of falling, or through decreasing attention towards cognitive tasks.

Cognitive-motor interference is demonstrated when a person's general walking ability is compromised, such as in elderly individuals³ and individuals with lower-limb loss⁴. Compensatory strategies, such as wider stance and more time spent in the double stance phase of gait, are employed to increase stability when cognitive limits have been reached. For example, Pruziner et al. found individuals with lower limb amputation exhibited a wider base of support and more stable gait patterns when assigned a cognitive task compared to walking without any additional task⁵. Similarly, Al-Yahya *et al.* found that dual-tasking, increased age, and changes in mental state were found to reduce gait speed during walking within a range of impaired and unimpaired populations¹.

In persons without motor-impairments or during motor activities other than walking, gait preserving compensatory strategies may be difficult to measure or not present in typical gait performance measures such as gait speed. However, in this case, cognitive costs may still be present and measurable from the

brain's underlying cortical dynamics. Non-invasive brain imaging techniques such as electroencephalography (EEG) offer insight into the cognitive processing during taxing cognitive events, even in the absence of motor control costs. EEG can also be used in mobile and stationary settings and provide a task-agnostic modality for directly assessing cognitive load. In De Sanctis *et al.*, no motor control costs were seen in terms of the participants' ability to complete a cognitive task (a Go/No Go response inhibition task), whereas robust differences in cognitive cost, namely in event-related potential components, were seen between the motor tasks (sitting and walking)⁶.

Event-related potentials (ERPs) provide a method for obtaining cognitive load from brain signals via the application of stimuli such as auditory, visual, or tactile cues. Stimulus paradigms used to elicit ERPs commonly include the oddball paradigm, in which a participant attends to a train of target and non-target stimuli. The participant is asked to ignore the frequently-occurring non-target stimuli and keep a mental count of the target (oddball) stimuli⁷. The amplitude of the brain response, or the EEG signal at the time of stimulus onset, reflects the amount of cognitive processing that takes place when the stimulus is perceived. Due to the limited processing resources of the brain, the cognitive response to external stimuli is reduced during dual-task scenarios when more cognitive resources are required². The P3 potential is the third positive peak in the ERP, found at approximately 300 ms after stimulus presentation². The P3 potential is thought to represent context-dependent processing of external stimuli², and its amplitude has an inverse relationship to cognitive load⁷.

Advances in wireless electrode technology have recently allowed EEG measurement during movement in unconstrained environments such as table tennis⁸, jogging⁹, and cycling¹⁰. Some studies do not measure or explicitly account for the possible degradation of EEG signal quality due to movement-related artifacts⁶. Other works have explored the removal of movement-related artifact with mixed results. Some groups suggest that walking-related motion artifacts are negligible for gait speeds below 4.5 km/h¹¹. However, others claim that motion artifacts do impact signal from gel-based EEG during walking and that motion related artifacts are not removable using traditional signal processing methods¹². These results point toward the continued need for evaluating the impact of movement-related artifacts on EEG signal quality.

Factors that impact the post processing signal quality are the artifact removal methods and parameters, the EEG hardware (wet, dry, or gel), and the type of analysis performed on the EEG signal. Despite these variety of factors, most studies that looked at the impact of movement-related artifacts used continuous and spectral gel-based EEG¹¹ rather than ERP from dry EEG. Advantages of dry EEG include reduced cross-talk between electrodes, increased, participant comfort (no need for gel application, skin preparation (with inherent risk of bacterial infection), and post-record cleaning)¹³ and fast setup time¹⁴, which may be of increased importance when working with impaired populations. Faster setup of the EEG may allow additional time for experimentation, including potentially evaluating and adjusting the assistive device which could improve the quality of the study. Although previous work has measured differences between EEG systems¹⁵, this work uses a dry EEG headset with internal signal processing

(ultra-high input impedance common mode follower¹⁶) and physical equipment setup (spring-loaded electrodes with head strap) that may consequently lead to a more robust signal to noise ratio¹⁷ and potentially negligible effect of head movement artifact on the EEG signal¹⁸. To our knowledge, no other authors have used this particular dry EEG system (DSI-7) to evaluate ERP during walking, but ERP during outdoor walking has been evaluated using at least one other dry EEG system¹⁹.

Evaluating the ability of populations with motor impairments to safely navigate inside and outside the home includes the assessment of tasks associated with many activities²⁰⁻²². Three critical tasks that test the range of activities in ecologically valid settings include sitting, standing, and walking²⁰. To our knowledge, there was only one other study which compared sitting, standing, and walking using wet EEG²³: Protzak et al.'s study, which used a visual cognitive task to induce P3 in both young and old populations²³. In contrast, our present work uses an auditory oddball task to examine P3 as auditory stimuli are easier to administer in unconstrained activities than visual stimuli. This study also further seeks to identify the impact of head movement artifact on P3 amplitude.

To our knowledge, this study is the first to compare P3 across the tasks of sitting, standing, and walking using dry EEG with an auditory oddball paradigm. Here, we demonstrate that cognitive load can be measured from the P3 event-related potential during all three tasks. This work also examines the possible impact of motion on the P3 ERP component during walking, the most motion-inducing condition of the three. We hypothesized that the cognitive requirements of walking will result in a reduction of the P3 amplitude compared to sitting and standing, as shown in studies using wet EEG and a visual cognitive task²³. We also hypothesized that standing will be more cognitively demanding than sitting, as suggested by prior studies using dual-tasks²⁴ and P3 amplitude²³.

Methods

Ten participants (5 female, 5 male, mean age 22 ± 3 years, age range 20-29 years) were recruited for this study in accordance with Northwestern IRB guidelines. Results from one subject were excluded from the analysis due to cardioballistic artifacts in the EEG signal, thereby leaving data from nine participants in our final analysis.

Participants completed three sessions each for three conditions: sitting, standing, and walking. The conditions were completed in a randomized, counter-balanced order so that each session consisted of one of each condition. Our pilot studies indicated that participants became too fatigued with long sessions of 15 minutes each. Thus, our current study separated each condition into three separate 5-minute tasks, with each set of three tasks followed by a 5-minute break. The total session time was approximately one hour. Stimuli were delivered in a randomized order and included 90% target (standard) tones and 10% non-target (oddball) tones (270 non-target trials and 30 target trials per task), according to a two-tone auditory oddball paradigm²⁵. Auditory stimuli were applied at random intervals between 675 and 1365 ms, which was chosen based on 1000 ms intervals ± 365 ms jitter, in order to include 30 target

tones within each task of approximately 5 minutes duration. Oddball stimuli were infrequently occurring high-pitched tones at 1200 Hz and standard stimuli were frequently occurring non-target low-pitched tones at 900 Hz. Stimuli were played by a microcontroller (Arduino) with an audio wave shield to wired earbuds. Before beginning each experiment, participants were allowed to adjust the volume on the stimulus delivery and verified that they could clearly distinguish between the two types of tones.

Participants were asked to count the number of target tones they heard in each session. Differences in reaction time between motor tasks were not measured as there was no physical button press. This decision was made to reduce confounding the P3 response with the motor activity associated with pushing a button²⁶. Instead, participants kept a mental count of the target tones heard throughout the task, and the total number of target tones heard by each participant was recorded and compared against the actual number, which was documented as the task error. Task error was measured as the difference between the number of tones actually played and the number that was reported to be heard by the participant. A two-way ANOVA was calculated for task error across all sessions and conditions.

The audio signal was simultaneously delivered to the stimulus Trigger Hub (Wearable Sensing) which identified the timing onset for each stimuli so that it could be synchronized to the EEG signal. EEG signal was recorded using a DSI-7 (Wearable Sensing), a wireless headset with seven dry electrodes located on the scalp at F3, F4, C3, C4, P3, Pz, and P4. The ground electrode was located at Fz. Linked ears (LE) reference electrodes were placed on both earlobes. Signals were recorded at 300 Hz through an ultra-high impedance amplifier. The impedance at each electrode was monitored to ensure it was below 1 M Ω before starting the experiment. Participants wore a stabilization strap with Velcro straps to secure the EEG cap. A 3-axis accelerometer located inside the EEG cap measured head movement in each condition.

Continuous EEG data were band-pass filtered between 0.1 Hz to 30 Hz through a zero-phase 4th order Butterworth filter, similar to previous ERP studies²⁷. Infomax Independent Component Analysis (ICA) was applied to the continuous filtered data to separate neural from non-neural components using EEGLAB²⁸ with built-in functions from ERPLAB²⁹. All trials (from continuous data) were used for the ICA signal decomposition. The ICA components were used for the artifact identification process as described in Swerdloff et al¹⁴. The small number of electrodes used here is not sufficient for robust source decomposition via ICA; thus, in lieu of source decomposition, the ICA process was used to identify epochs from ICA components containing eye-movement related artifacts, which were subsequently removed from the analysis. No independent components from the ICA decomposition were removed from the dataset.

EEG data was sectioned and aligned to the start of the stimuli to produce epochs surrounding the onset of each stimuli, from -200 ms prior to stimulus onset to 800 ms post stimulus onset. Epochs contaminated by artifacts (eye-blink, muscular and cardiovascular artifacts, etc.) were identified from the ICA components according to standard and previously-used parameters^{14,46}. Rejection criteria included abnormal values (i.e., those outside the range of -25 to 25 μ V in the pre-stimulus period and -75 to 75 μ V post stimulus period), strong linear trends (maximum slope of 50 and r-squared up to 0.3), abnormal joint probabilities (single-component and all component probabilities of up to 5), strong kurtosis (distributions with kurtosis up to 5), and abnormal spectral properties (i.e., those outside the range of -50 to 50 dB between 0 to 2 Hz and from -100 to 25 dB between 20 to 40 Hz).

Grand average ERP were generated by aligning the onset of the stimuli and averaging across trials. The P3 timeframe was chosen as 250-350 ms based the peak P3 amplitude from the grand average waveform from Target trials across all conditions (P3 peak amplitude latency was 320 ms averaging all sitting, standing, and walking trials). After removing artifact trials, there were at least 37 artifact-free trials per condition per subject. Although a classic subject-exclusionary approach would require approximately 20 trials or more per subject³⁰, a linear mixed effects model can include all subjects with least one trial because the model does not assume equal numbers of trials across subjects³¹. Thus, instead of excluding subjects having below a certain number of non-artifact trials, we used a linear mixed effects model as a conservative method to determine statistical significance following the methods used by Heise et al.³¹ Accelerometer signals were segmented with the same timeframe as the EEG data and aligned to stimuli onset. The magnitude of the accelerometer RMS was taken to represent the head motion for each trial and averaged into eight bins to maintain consistency with previous literature which measured the impact of motion artifacts on ERP during cycling¹⁰.

Results

Oddball auditory task applied during sitting, standing, and walking

Able-bodied participants performed a series of three activities: sitting, standing still, and walking on a treadmill, while wearing a dry EEG headset and associated stimulus synchronization equipment contained within a lightweight backpack (Figure 1). Oddball counting errors are shown for all conditions (Figure 2). Participants were able to complete the auditory oddball counting task with less than 8% task error. A two-way ANOVA was calculated for task error across all sessions and conditions. No significant differences in task error were found across conditions or sessions (p = .86), indicating that participants were able to complete task equally as well, on average, for any of the conditions or sessions.

ERP differentiates walking from that of sitting and standing

The grand average ERP taken from the central parietal electrode (Pz, Figure 3) across all three conditions for both target and non-target stimuli is shown in Figure 4. Non-target ERP does not show any deflections, suggesting that motor-related cognitive activity did not impact cognitive responses to stimuli. In contrast to non-target ERP, the ERP for target stimuli showed a positive deflection at around 320 ms (P3) in all conditions. Average brain activity is also shown for electrodes across the scalp at the P3 timeframe (250 to 350 ms) for target stimuli in each condition (Figure 4, insets).

The ERP responses during the P3 timeframe were compared across all trials and conditions. A linear mixed effects model accounting for individual subject differences indicated that the average voltage

during the P3 timeframe was significantly lower for walking compared to sitting (p = .039). This result indicates that cognitive load is greater during walking than during sitting and standing.

Head motion does not impact ERP during walking

Baseline EEG (RMS voltage) during the time prior to stimuli presentation showed the highest overall magnitude of the signal and had the most variation in EEG signal during walking as compared to sitting and standing (Figure 5). As such, the impact of motion on the EEG signal during walking was also analyzed. Figure 6A shows the distribution of motion across all trials in the sit, stand, and walk conditions. The mean and standard deviation of the RMS magnitude of the acceleration vector was greater during the walking condition as compared to that of the sitting and standing conditions. This was expected due to the increased motion of the head during walking. Figure 6B shows the average voltage during the P3 timeframe at the Pz electrode as a function of the motion during the corresponding P3 timeframe for each condition. The variation in motion (Figure 6B) was not correlated to voltage during the P3 timeframe for any condition (r < .5). Gaussian probability distributions (Figure 6 and Table 1) show that the mean P3 was lower for walking ($\mu = 2.809 \ \mu$ N) compared to sitting ($\mu = 6.651 \ \mu$ N) and standing ($\mu = 6.733 \ \mu$ N) and the variability in the walk condition ($\sigma = 8.39 \ \mu$ N) was greater than for sit ($\sigma = 4.58 \ \mu$ N) and stand ($\sigma = 5.54 \ \mu$ N). To account for individual differences, the correlation was calculated for individual subjects. No individual trends were found (r < .5), suggesting that head motion did not impact the P3 responses.

To further investigate the impact of motion on the P3 response, all walking trials were sorted according to their corresponding RMS magnitude from the accelerometer and binned according to eight motion levels, to get ERP for each motion level as done by Zink et al¹⁰. Average ERP for each motion level is shown in Figure 6C. The average voltage in the P3 timeframe was plotted against the motion level during walking, as shown in Figure 6D. The average P3 voltage as a function of motion level during walking did not yield a significant trend (r = .24, p = .125).

Condition	RMS Head Motion	Average P3 (µV)
	mean (SD)	mean (SD)
Sit	.0085 (.002)	6.651 (4.58)
Stand	.0111 (.004)	6.733 (5.54)
Walk	.1209 (.022)	2.809 (8.39)

Table 1. Estimated Gaussian parameters for RMS head motion and average P3 for each condition. (Summary ofdata shown in Figure 6B.)

Discussion

Cognitive requirements that are known to impact activities of daily living in both healthy individuals and those with motor impairments have been difficult to measure due to methodological limitations. EEG provides a possible method to directly measure the ease of completing a task with high temporal resolution. This study is the first to use dry EEG to measure the cognitive load of three tasks: sitting, standing, and walking. The P3 response found in this study was lowest during walking, indicating that walking was the most cognitively burdensome task. These results support those of prior studies, including those that have compared the P3 responses during walking and sitting^{5,19,23,32,33}.

In agreement with the results of Protzak et al.²³, our results indicate that walking had the lowest P3 amplitude (Figure 2). However, we found similar P3 amplitudes for both the sitting and standing tasks. This finding contrasted with at least one other study which compared the cognitive load of sitting and standing: a dual-task study that found slower reaction times during standing compared to sitting³⁴. While not significantly different, Protzak et al.²³ also showed higher cognitive load for standing compared to sitting. However, the visual task used in Protzak et al.²³ was different from that of our current study. Our work used an auditory oddball task that is easier to administer in unconstrained environments compared to visual stimuli, which may be the reason for this difference.

A limitation of this study is that the auditory task did not distinguish between the cognitive load of sitting and standing. Tasks that are nearly equally easy, as in the case of sitting and standing for able-bodied individuals, are not expected to yield differences in P3 unless the cognitive task is difficult enough. In contrast to the auditory task used in the current study, the visual task used by Protzak et al.²³ was able to distinguish the cognitive load between sitting and standing in healthy participants of similar age to those in the current study. This may have been because visual tasks are more difficult to complete during activities that require trunk support, as the balance required to maintain posture relies more on the visual system than the auditory system³⁵. Thus, the auditory oddball task used here may have been not difficult enough for us to distinguish between the cognitive load of sitting and standing for the able-bodied individuals who participated in this study. The advantage of using auditory tasks is that they only require headphones, in comparison to visual tasks which require an environment outfitted with LEDs²³. Future work may consider the use of a more difficult auditory task or a visual task in augmented reality to maintain the possibility of administering these tasks in unconstrained, outdoor environments.

While the auditory oddball task used in this study is appropriate for distinguishing the cognitive requirements of sitting compared to walking, it might be too simple to cause a change in the cognitive response shown in the ERP in populations without motor impairments when comparing sitting to standing. However, the lack of a difference in P3 between sitting and standing is an interesting finding in that it could inform future work with different tasks and populations with motor impairments. For example, we expect that individuals with poorer trunk support and balance would not find sitting and standing to be equally easy tasks and thus would have lower P3 amplitude for standing compared to sitting. Another area of interest is for lower-limb prosthesis users, as it is possible to use this paradigm to

evaluate changes in cognitive load for users of different devices. For example, a microprocessor knee that provides stance support may be easier for a person to use while standing compared to a purely mechanical device that does not provide stance control.

Independent component analysis (ICA) is a widely used method that separates statistically independent sources in a continuous EEG signal, which can then be localized to specific brain regions using inverse head modeling. ICA is useful for obtaining source localization in high-density EEG, but it is not a robust method for low-density (e.g., below 32 channel) EEG and it cannot reliably separate non-brain components from brain-components. However, ICA can be used to identify eye-blinks such that those segments can be removed from continuous EEG. We applied ICA to find components with ocular artifact, and instead of removing any possible non-brain components, we simply use the component(s) representative of ocular artifact as part of the artifact rejection process. Although some studies have examined the parameters used for optimal ICA during mobile experiments, such as filter cutoff³⁶, these parameters may not be applicable for ICA as an artifact removal method instead of a means of source localization. Furthermore, to prevent attenuation of ERP waveforms, the analysis parameters that were chosen are those that are commonly used for ERP analysis (e.g., Tanner *et al.*²⁷) instead of those commonly used for Source-localization of mobile EEG (e.g., Klug *et al.*³⁶), as source localization is not required for ERP analysis.

While previous works have demonstrated excellent signal to noise characteristics in wet EEG, no previous studies have used a dry EEG with ERP as we used in the current work. Fortunately, there may be a lesser impact of movement-related artifacts on ERP compared to continuous EEG. Due to the averaging process involved in the methodology to obtain event-related potentials, there is a stronger likelihood that movement-related artifacts will be averaged out in ERP compared to continuous EEG, where there is no averaging processes³⁷. For instance, Zink *et al.* examined the impact of motion on ERP recorded during biking in seated (non-moving), stationary (pedaling on a stationary bike), and moving (biking through a college campus) conditions and found no effect of movement artifacts on P3 amplitude¹⁰. Zink *et al.* also introduced using the RMS (root mean square) of accelerometer data as a measure of the amount of head motion per trial. This was compared to P3 amplitude and they demonstrated that head motion was not correlated to P3 amplitude. This study follows suit, but using a dry (i.e., gel-free) electrode EEG headset instead of a wet EEG.

Wireless, dry EEG provides additional benefits over wet or gel-based EEG methods. Dry EEG has a fast setup time (5-10 minutes, as in the current and previous study¹⁴), can be used in environments where gels are not allowed³⁸, and avoids the need for re-application of gel in prolonged experiments³⁹. With the advent of EEG systems that are dry and mobile also comes the need to determine the effect of motion on EEG signal^{40,41}. Although not all dry electrode headsets provide the same level of signal quality⁴², studies have found similar signal quality with dry electrodes compared to wet for certain systems^{42,43}, including the DSI-7, the system used in this study, during seated⁴⁴ and dynamic testing environments⁴⁵.

Consequently, we analyze an additional modality that is greatly impacted by motion and can be measured during both conditions (i.e., acceleration).

Dry EEG headsets have not been widely used in dynamic environments due to a poor signal quality that varies greatly across different dry EEG systems^{42,43}. Whereas Oliveira et al. reported that no data was usable (i.e., 100% of epochs were discarded) using their dry EEG system and removing epochs exceeding a threshold difference of 75 μ V from baseline, our results successfully yielded a suitable amount of clean epochs from dry EEG recorded during walking using the same standard threshold as in Oliveira et al.¹⁵ This difference in signal quality may be explained by differences in the dry EEG system technologies.

While there is proprietary information that may help explain the differences in dry EEG technology, the system used in the current study may provide superior signal quality in part due to its stabilization strap, spring-loaded electrodes, and common mode follower. Participants wore a Velcro strap wrapped around the forehead. This strap connected to the top of the cap, pulling it downwards to allow the spring-loaded electrodes to perform at their optimum pressure and maintain contact with the scalp. The common mode follower measures the external electrical activity from the environment so that it can be removed from the EEG signal. The use of a common mode follower is important to signal analysis, because it records electrical noise coming from outside of the EEG cap and subtracts that signal from the rest of the EEG signals. To our knowledge, the DSI-7 is the only research grade dry-electrode EEG available that includes a common mode follower, which may further contribute to the difference in signal quality¹⁷ between the dry system used in our paper and the one in Oliveira et al.¹⁵ This makes our EEG system superior to Oliveira et al.'s previously used EEG system, which does not provide pressure to the scalp and does not have a common mode follower.

This study provides a method for measuring cognitive load using a dry EEG interface that is robust enough to handle tasks of various dynamic movement artifact. Current methods in EEG allowed the measurement of EEG during mobile activities in ecologically relevant settings. Future work could use this methodology to understand the impact of cognitive load during dynamic activities. Variation in P3 across days, stress level, cognitive function, and levels of motor impairment for a range of dynamic tasks is important to identify and understand factors that influence cognitive load.

Declarations

Acknowledgements

The authors would like to thank all participants of this experiment.

Author contributions statement

M.S. and L.H. conceived the experiment, M.S. conducted the experiment, M.S. and L.H. analyzed the results. All authors reviewed the manuscript.

Competing interests

The authors declare no competing interests.

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Figure 1

A participant during treadmill walking wearing an EEG headset with stabilization straps, headphones for listening to auditory oddball stimuli, and backpack containing stimulus-synchronization equipment.



Counting task error during oddball stimulus task. All raw data points are shown on top of kernel density plots and boxplots (1st thru 3rd quartiles). Medians are denoted by horizontal lines between 95^{th} percentile notches and mean values are denoted by asterisks. No significance differences were found for task error (*p* = .86).



Scalp electrode placement. Pz (circled in red) is the location of the common mode follower.



Figure 4

Grand average ERP from all conditions (sit, stand, and walk) for oddball (Target) and frequently-occurring (Non-Target) tones, combined for all sessions. Yellow shading indicates significant difference in average voltage in the P3 interval (250 ms to 350 ms) during the walk condition compared to the sitting condition (p = .039).



Scalp plots showing median RMS voltage during baseline periods of no stimulus presentation (i.e., from -200 to 0 ms).



(A) Histogram of accelerometer RMS magnitude for each condition (sit, stand, and walk). (B) Mean P3 voltage at Pz plotted against the associated motion RMS vector for each subject in each condition. Axes show the Gaussian probability distributions for each condition. Colors correspond to those presented in A. (C) Averaged ERP for eight levels of head motion during walking. (D) Mean P3 voltage from ERP in C plotted against motion level, with each head motion level represented by a different color. Colors correspond to those presented in C.