

Fast Detection And Quantification of Plasmodium Species Infected Erythrocytes In A Non-Endemic Region By Using The Sysmex XN-31 Analyzer

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1 **TITLE PAGE**

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5 **Fast detection and quantification of *Plasmodium* species infected erythrocytes in a non-**
6 **endemic region by using the Sysmex XN-31 analyzer**

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26 **ABSTRACT**

27 **BACKGROUND:** Due to increased travel from endemic countries, malaria occurs more frequently
28 in non-endemic regions. It is a challenge for diagnostic laboratories in non-endemic countries to
29 provide reliable results, as experience of staff is often limited to only a few cases per year. In this
30 study, we evaluated the diagnostic accuracy of the fully automated Sysmex XN-31 malaria
31 analyzer in a routine diagnostic setting in a non-endemic region.

32 **METHODS:** Samples from 112 patients suspected for malaria were examined by the Sysmex XN-
33 31 analyzer to determine the absolute count of malaria-infected red blood cells count (MI-RBC/ μ l).
34 Microscopic examination of both Quantitative Blood Coat capillary tubes and thick and thin blood
35 films were used as reference methods. Limits of blank (LoB), detection (LoD) and quantification
36 (LoQ) were investigated using an *in vitro Plasmodium falciparum* culture. Nine hundred twenty
37 samples of patients with RBC abnormalities were included to determine which RBC abnormalities
38 trigger indeterminate or false positive results.

39 **RESULTS:** No false positive nor false negative results were obtained for the examined patient
40 samples suspected for malaria. For 3% of samples an indeterminate result by the XN-31 was
41 obtained. The Passing-Bablok regression line for diagnostic accuracy of the parasitemia was $y =$
42 $39.75 + 0.7892x$ showing a positive bias of about 21% when comparing the MI-RBC results to
43 microscopy. The LoB, LoD and LoQ were calculated to be 4.7, 5.9, and 19.0 infected RBC/ μ L,
44 respectively. From the 920 abnormal RBC samples collected, 4.6% resulted in a false positive MI-
45 RBC result and almost half of the samples produced indeterminate results. These results were
46 related to increases in nucleated red blood cells, reticulocytes and other abnormal RBC
47 morphologies such as sickle cells.

48 **CONCLUSIONS:** Based on the results we conclude that the XN-31 is a fast and reliable screening
49 method in the detection and quantification of *Plasmodium* species in patients. However, if an
50 abnormal red blood cell morphology is present, the results of the XN-31 should be interpreted with
51 caution as false positive results can be caused by interfering abnormal erythrocytes.

52 **Keywords:** Malaria, diagnosis, flow cytometry, hemocytometry, *Plasmodium*

53

54 INTRODUCTION

55 Malaria is a life-threatening disease caused by the protozoan parasite *Plasmodium*, which is
56 transmitted through the bite of an infected *Anopheles* mosquito. In 2019 there were 229 million
57 infections with 409,000 deaths reported globally.¹ Most of these cases occur in sub-Saharan Africa,
58 which is responsible for 93% of cases and 94% of deaths.¹ Due to the increasing number of global
59 travelers and immigration from endemic countries malaria becomes more relevant in non-endemic
60 countries in moderate climates as well. The five common *Plasmodium* species known to infect
61 humans are *P. falciparum*, *P. vivax*, *P. ovale*, *P. malariae* and *P. knowlesi*. *P. falciparum* causes
62 more than 90% of malaria cases and also causes the most fulminant and possibly fatal disease.²

63

64 Early and accurate diagnosis of malaria is fundamental for successful and timely treatment of the
65 disease, as delay and/or misdiagnosis can result in morbidity and mortality. According to the
66 World Health Organization (WHO), it is recommended to have prompt malaria diagnosis either
67 by microscopy or by a malaria rapid diagnostic test (RDT) in all patients with suspected malaria
68 before treatment is administered.³ Microscopic examination of thick and thin blood films remains
69 the golden standard according to current CDC guidelines.⁴ This method, however, is time
70 consuming and requires the availability of an experienced microscopist to examine the blood films,

71 which is often a challenge outside office hours and significant variability in applied methods exist
72 among laboratories.⁵ Therefore, RDTs for malaria have been developed of which
73 immunochromatographic card tests (ICT) that detect antigens of *Plasmodium* in blood of the host,
74 are most commonly used. These ICTs are easy to perform and provide results within 10-15
75 minutes.⁶ However in case of infections with a low parasitemia, false negative results can be
76 obtained.⁷ Furthermore, the sensitivity of RDT testing is decreasing for the detection of *P.*
77 *falciparum* due to specific mutations in, or complete deletion of, the *HRP-2* gene of *P. falciparum*.⁸
78 Alternative methods like Loop Mediated Isothermal Amplification (LAMP), Real-Time PCR (rt-
79 PCR) and Quantitative Buffy Coat (QBC) fluorescence analysis can be used as reliable alternative
80 screening methods, but are either time consuming, expensive and/or in need of trained staff to be
81 available 24 hours a day, seven days a week.^{5,9-11} Hence, no ideal laboratory method is currently
82 available that provides fast and reliable results with information on both the *Plasmodium* species
83 (as these can require different therapy) and the parasitemia value needed to assess the disease
84 severity of patients infected with *P. falciparum* or *P. knowlesi*) without the need of well-trained
85 technicians. This is especially relevant for diagnostic laboratories in non-endemic countries,
86 because these encounter often only a few malaria cases per year and thus lack experience to
87 diagnose malaria by microscopic examination of blood films.⁵

88
89 The Sysmex XN-31 hemocytometer is an automated analyzer launched in September 2019 to
90 support malaria diagnosis in whole blood samples in the clinical diagnostic laboratory. Using
91 fluorescence flow cytometry (FFC) technology and a violet semiconductor laser with a 405 nm
92 wavelength, this hemocytometer can detect, specify and quantitate malaria-infected red blood cells
93 (MI-RBC) within a specific area of the scattergram known as the M-gating area. Previous studies

94 on the XN-31 and its predecessor the XN-30 reported mainly data on *P. falciparum* infected
95 patients in endemic countries¹²⁻¹⁶ or on *in vitro* cultures^{13,17,18}. The XN-30, was approved for
96 research purposes only, whereas the XN-31 is a CE marked *in vitro* diagnostic device with identical
97 hardware, software, and user interface. The XN-31 has not been evaluated in clinical practice in
98 non-endemic countries nor for *Plasmodium* species other than *P. falciparum* and *P. vivax*.^{13,14,16}.
99 In this study we compared the performance of the XN-31 in clinical practice with the current
100 diagnostic workflow in our hospital, which is based on ICT in combination with microscopy by
101 QBC analysis and thin and thick blood film examination. In addition, the limit of blank (LoB),
102 limit of detection (LoD), and the limit of quantification (LoQ) were determined and compared to
103 previous values reported by Sysmex in the Instructions for Use. Since the XN-31 can report
104 indeterminate results that have been suggested to be linked to interference caused by certain RBC
105 abnormalities, such as are commonly observed in peripheral blood smears of patients with sickle
106 cell disease or other hemoglobinopathies.¹² We investigated the specificity of *Plasmodium* infected
107 RBC detection by the XN-31, by examining 920 blood samples derived from patients with a wide
108 array of RBC abnormalities.

109

110 **METHODS**

111 **Sample inclusion**

112 One hundred and twelve EDTA whole blood samples, for which malaria examination was ordered
113 by the physicians, were collected at the Erasmus MC University Medical Center in Rotterdam, the
114 Netherlands, between December 2019 and December 2020, including the follow-up samples of
115 patients after initiation of treatment. In addition, 32 samples from asymptomatic, healthy
116 individuals with no suspicion of malaria were collected for the determination of the Limit of Blank

117 (LoB). Samples that were older than 24h post collection or had a volume of less than 500 μ L were
118 excluded. To investigate which RBC abnormalities perturb the MI-RBC examination, blood
119 samples were selected from routine hematology of patients that were not suspected of malaria,
120 comprised a high number of NRBC (>5%) and a relatively normal WBC count of <20 ($\times 10^3/\mu$ L).
121 NRBC was used as the primary selection criterion as these frequently co-exist with other RBC
122 abnormalities. With these selection criteria, 920 blood samples were examined with a high amount
123 of NRBCs, reticulocytes and/or morphological RBC abnormalities from patients with thalassemia,
124 sickle cell disease without hemato-oncological diseases, and ICU patients with infections and
125 stress erythropoiesis.

126

127 **Malaria examination reference methods**

128 In our hospital the standard procedure to diagnose malaria in freshly collected EDTA-blood
129 specimens involves an ICT RDT for malaria antigens and microscopic examination of both a QBC
130 capillary and stained thick and thin blood films. The rapid diagnostic antigen test (Binax NOW®
131 Malaria Test Binax, Inc. Maine, USA) and the QBC analyses were performed according to the
132 manufacturer's instructions. QBC capillaries were examined independently by two technicians by
133 microscopic analysis of two complete rows of the region between the bottom of the capillary and
134 the polynuclear leukocyte layer using an Olympus BX-60 fluorescence microscope equipped with
135 UV-filter, 50 \times objective and 12.5 \times oculars (total magnification 625 \times). Thick blood films were
136 stained with Field's stain (Waldeck GMBH & CO KG, Münster, Germany) and thin blood films
137 were fixed with absolute methanol for three minutes and subsequently stained with Diff Quick
138 (RAL Diagnostics, Martillac, France). Both staining procedures had been optimized for optimal
139 staining of *Plasmodium* parasites as well as Schüffner's dots and Maurer's clefts in infected

140 erythrocytes. Thick and thin films were examined with regular light microscopes at a total
141 magnification of 1,250x. For all *Plasmodium* positive blood specimens the *Plasmodium* species
142 was confirmed by a real-time PCR method based on the method of Shokoples *et al.*¹⁹

143

144 **Flow cytometry analysis**

145 The XN-31 was operated in the Low Malaria (LM) mode, as this mode uses a three times higher
146 sample volume compared to other modes, which lowers the detection limit and thus increases the
147 sensitivity of the method. Using this Low Malaria mode of analysis, the XN-31 provides a
148 complete blood count (CBC), a qualitative result (positive, negative or indeterminate for malaria-
149 infected red blood cells, MI-RBC), a quantitative result (an absolute MI-RBC count and the
150 percentage of *Plasmodium* infected RBC) and a result for the suspected *Plasmodium* species (*P.*
151 *falciparum* or *P. other*, i.e. non-falciparum, or *P. unknown*). Speciation provided by XN-31 is a
152 suspect flag approved for research use only purposes by the manufacturer. All study samples were
153 also processed on the Sysmex XN-1000 Series analyzer to collect full profile data (complete blood
154 count, CBC), white blood cell differential (WDF), and reticulocytes (RET). The XN-31 results for
155 malaria were compared to those of the combined results of RDT, QBC and rt-PCR analyses in
156 order to determine the negative predictive value (NPV), positive predictive value (PPV), and
157 efficiency. The XN-31 MI-RBC count includes all malaria-infected RBCs, irrespective of life
158 stage. The differentiation into sexual (gametocytes) and non-sexual (rings, mature trophozoites,
159 schizonts) are research use only parameters. The quantification of infected erythrocytes on the XN-
160 31 for both sexual and asexual stages of *Plasmodium* parasites were compared to the counting
161 results obtained by microscopic examination of thin and thick blood films.

162

163 The LoB assessment was based on 32 malaria-negative blood samples from healthy individuals
164 with no symptoms and no suspicion for malaria. These samples had CBC values on the Sysmex
165 XN-1000 hemocytometry analyzer within the reference ranges used in our department. The LoB
166 was calculated using the following formula: $LoB = \text{mean blank} + 1.645(\text{1SD of blank sample})$.

167

168 The LoD was determined using *in vitro* cultured RBC infected with *P. falciparum* NF54 parasites
169 (a generous gift of Dr. M. McCall, Radboudumc, Nijmegen, The Netherlands), that were serially
170 diluted in freshly collected full blood of a healthy donor. The LoD was calculated by the following
171 formula; $LoD = LoB + 1.645 (1SD \text{ of the sample with the lowest MI-RBC concentration above}$
172 $\text{the LoB with a reproducible qualitative test result})$.

173

174 The LoQ was calculated from the same dilution series and based on the point in the dilution series
175 that exceeded a coefficient of variation (CV) of 20%. Quantification of infected RBC was also
176 determined by microscopic examination of thick and thin blood films. Thin blood films were used
177 to count infected erythrocytes if the parasitemia was above 4783 parasites per μL and thick blood
178 films were used to quantify parasites for all dilutions with a lower parasitemia.

179

180 **RBC abnormality interferences on XN-31**

181 In addition to a positive or negative result for MI-RBC, the XN-31 can also provide an
182 indeterminate result. Blood films were made for all these XN-31 examined samples to confirm the
183 presence of RBC morphologies. The XN-31 scattergrams of all these samples were compared to
184 true positive *Plasmodium* samples in order to investigate the potential causing interference. The
185 selected blood samples were examined by the XN-31 hemocytometer and thin blood films were

186 prepared and examined to confirm the presence of RBC morphologies. The XN-31 scattergrams
187 of all these samples were compared to true positive *Plasmodium* samples in order to investigate
188 the potential causing interference.

189

190 **Statistical analysis**

191 Data analysis was performed by using Analyse-it for Microsoft Excel version 2.30 and Microsoft
192 Excel 2016. Passing-Bablok regression analysis was used to determine accuracy of the MI-RBC
193 produced by the XN-31 compared to microscopy.

194

195 **RESULTS**

196 **Performance of the XN-31 in clinical practice**

197 Due to the COVID-19 pandemic and the drop in international travelers during the time of this
198 study, the number of requests for malaria examination was substantially decreased compared to
199 the pre-COVID-19 period. There were 112 included and 14 of them contained *Plasmodium*
200 parasites based on the results of analysis by RDT, QBC, thin and thick blood film examination and
201 rt-PCR. These 14 positive samples were derived from 8 patients, because 6 samples were follow-
202 up samples after initiation of malaria treatment. The 8 malaria patients were infected with *P.*
203 *falciparum* (n=6), *P. malariae* (n=1) or *P. vivax* (n=1). Of the 112 samples, 109 were correctly
204 diagnosed by the XN-31, either as negative (n=96) or positive (n=13) and for those the
205 *Plasmodium* species were correctly determined as *P. falciparum* or *P.* other. The XN-31 produced
206 an indeterminate result for the remaining three samples, and therefore, the XN-31 had a positive
207 and negative predictive value of 100% within an efficiency of 96% (**Table 1**). Of the three samples
208 for which an indeterminate result was reported, one sample contained *P. malariae*. The parasitemia

209 in this sample appeared to be very low as only sporadically infected erythrocytes were found in
 210 thick and thin blood films. In addition, this sample was also determined to contain microfilaria of
 211 *Mansonella perstans*. The other two samples that had an indeterminate XN-31 result did not
 212 contain *Plasmodium* infected RBC.

213 **Table 1:** Performance of XN-31 compared to the combination of parasitological examinations used in routine
 214 patient care

215

Overall Result of Parasitological Examinations			
		Positive	Negative
XN-31 Result	Positive	13	0
	Indeterminate	1	2
	Negative	0	96
	Total	14	98
Predictive Value and Efficiency			
	PPV	NPV	Efficiency
	100%	100%	96%

216 PPV, positive predictive value, defines the probability of having *Plasmodium* in a sample with a
 217 positive result. NPV, negative predictive value, describes the probability of not having
 218 *Plasmodium* in a sample with a negative test result. Efficiency is the proportion of correctly
 219 classified samples as negative or positive among all samples.

220

221 To investigate the correlation between the parasitemia determination by the XN-31 and
 222 microscopic examination, Passing-Bablok analysis was performed on 12 of the 14 positive
 223 samples. For one positive sample the parasitemia could not reliably be determined by microscopy
 224 because the patient was treated for malaria long enough that the parasite morphology in infected
 225 erythrocytes was too aberrant to be reliably determined. In addition, in the *P. malariae* sample too
 226 few infected erythrocytes were present that the parasitemia could not be accurately be determined
 227 by microscopy. On the 12 remaining positive samples, Passing-Bablok analysis was performed
 228 with the parasitemia results from the XN-31 and the parasitemia determined in thin and thick blood
 229 films to determine the accuracy of the XN-31 across a range of distinct concentrations of

230 *Plasmodium* infected RBC. The parasitemia of the XN-31 correlated well with the parasitemia
 231 determined by microscopy (**Figure 1**) with a proportional bias of 21% (y-intercept of 39.75 and
 232 slope of 0.79). In addition, quantification of *Plasmodium* infected RBC in a dilution series prepared
 233 from *in vitro* cultured RBC infected with *P. falciparum* in freshly collected blood of a healthy
 234 donor, demonstrated a good agreement between the parasitemia determined by the XN-31 and
 235 microscopic methods as well as a clear linear response with a best fit line of $y = 440.7 + 1.12x$
 236 (**Figure 2**).

237

238 **Determination of XN-31 detection limits for *Plasmodium* infected erythrocytes**

239 As shown in **Table 2** the LoB was determined to be 4.7 infected RBC/ μ L and the LoD was
 240 determined to be 5.9 infected RBC/ μ L. In addition, a dilution series of *in vitro* cultured *P.*
 241 *falciparum* was used to determine the LoQ. As shown in **Figure 3** the lowest concentration at
 242 which the %CV was still below 20% was 19/ μ L, which had a CV of 19%.

243

Table 2: Determination of LoB and LoD for the XN-31

Determination of LoB for MI-RBC# (/μL)	
Mean #MI-RBC of blanks (32 samples)	2.4
1SD	1.4
LoB = mean blank + 1.645(1SD of blank sample)	4.7
Determination of LoD for MI-RBC# (/μL)	
Mean #MI-RBC of Target LoD Sample (10 replicates)	4.9
1SD	0.7
LoD = LoB + 1.645 (1SD of low conc. sample)	5.9

244 LoB was calculated using samples of patients not infected with *P. falciparum*. The LoD was
 245 calculated based on the LoB and 1SD of the dilution sample mean with a reproducible positive test
 246 result with the lowest number of MI-RBCs above the LoB. Abbreviations: LoB, limit of blank;
 247 LoD, limit of detection; SD, standard deviation.

248

249 **RBC abnormalities interfering with XN-31 analysis**

250 In order to examine which RBC abnormalities could trigger indeterminate results, 920 samples
251 from 254 unique patients with RBC abnormalities (ranging from 1 to 16 samples per patient) were
252 selected from regular patient care hemocytometry. Included samples contained no *Plasmodium*
253 parasites, had greater than 5% NRBC's and less than 20×10^9 WBC/L, or came from the hematology
254 clinic. Processing of these 920 blood samples on the XN-31 generated 449 negative, 429
255 indeterminate and 42 false positive results for the detection of *Plasmodium* infected RBC. The MI-
256 RBC values of these false positive samples varied substantially as a wide range of 20 to 44,310
257 infected RBC/ μ L was observed. This result demonstrates that the false positive results did not only
258 occur with low MI-RBC values being incorrectly detected. **Table 3** shows the frequency of
259 occurrence of specific RBC abnormalities (as determined by the XN-1000) in samples classified
260 by XN-31 as positive, negative or indeterminate for MI-RBC qualitative judgment. Upon
261 reviewing the results for these samples on the XN-1000, more than 67% of the samples with an
262 MI-RBC false positive result and 62% of the samples with indeterminate result had greater than
263 10% NRBCs. It is clear in **Table 3** that there are lower percentages of samples with increased
264 NRBCs and reticulocytes that are triggering the false positive MI_RBC results. There were also
265 samples with lower numbers of NRBC and reticulocytes triggered an indeterminate or false
266 positive MI-RBC result. Therefore, thin blood films of all false positive MI-RBC samples, were
267 manually re-evaluated by two trained microscopists to examine the abnormal RBC morphology
268 present in these samples. In total 42 samples from 31 patients gave false positive MI-RBC results.
269 Of these, 15/42 samples (36%) were from 8 sickle cell disease patients with sickle cells present in
270 their blood films. In the examined group of abnormal RBC samples especially the sickle cell
271 patients triggered many a false positive or an indeterminate MI-RBC result and never a negative
272 result apart from the few samples from sickle cell patients for which no sickle cells in their blood

273 films could be found. Furthermore false positive or indeterminate MI-RBC results were also
 274 generated with the samples from premature newborns (6/42), having high numbers of reticulocytes
 275 and NRBC counts. Other patient groups and RBC anomalies occurring in the false positive MI-
 276 RBC result group were hemochromatosis (8/42), beta thalassemia (12/42), leukemia/lymphoma
 277 (6/42), and sepsis (1/42). Many patients in the false positive MI-RBC group had multiple diagnoses
 278 and had multiple samples taken at different time points. Many patients in the false positive MI-
 279 RBC group had multiple diagnoses, notable all hemochromatosis samples came from a single
 280 patient with beta thalassaemia.

281 **Table 3:** Detection of *Plasmodium* infected RBCs in routine blood samples with RBC
 282 abnormalities using the XN-31 analyzer

Sysmex XN-1000 Parameter (# out of 920)	XN-31 Result for the detection of <i>Plasmodium</i> infected RBCs		
	NEGATIVE (% out of 920)	INDETERMINATE (% out of 920)	POSITIVE (% out of 920)
NRBC >10% (404)	111 (12%)	265 (29%)	28 (3%)
RET >1.5% (699)	251 (27%)	411 (45%)	37 (4%)
RBC ABN Flag (448)	105 (11%)	313 (34%)	30 (3%)
RET ABN SCAT (251)	22 (2%)	205 (34%)	24 (3%)
Total	489	1194	119

283
 284 Most samples included comprise more than a single abnormality.
 285 Abbreviations: NRBC, nucleated red blood cell; RET, reticulocytes; WBC ABN, white blood cell
 286 abnormal; RBC ABN, red blood cell abnormal; RET ABN SCAT, reticulocyte abnormal
 287 scattergram.
 288

289 To determine whether a true positive MI-RBC sample can be distinguished from a false positive
 290 MI-RBC sample, we compared the MI-RBC scattergrams of the XN-31 analyses. In **Figure 4**, a
 291 representative example of a true positive MI-RBC sample and a false positive sample are shown.
 292 The events in the forward scatter light and side-fluorescent light (FSC and SFL) scattergram of a
 293 true positive MI-RBC sample demonstrate a compact and perpendicular pattern with the defined

294 clusters of RBC infected by one or multiple ring forms as explained by Pillay et al.¹² (shown in
295 the green circles in **Figure 4A**). On the other-hand the scattergrams of false positive MI-RBC
296 samples demonstrate a dispersed pattern at a 45° angle (located within the orange circle) (**Figure**
297 **4B**).

298

299 **DISCUSSION**

300 Our study shows that the XN-31 can be used in clinical practice as a fast and easy screening assay
301 for malaria that provides reliable qualitative and quantitative results. Therefore, XN-31 can easily
302 be integrated in regular 24/7 patient care diagnostics settings in non-endemic countries and can
303 provide all required information to clinicians to timely start proper treatment. Compared to other
304 screening assays, the XN-31 is the only test that can provide rapid results (< 1 minute) to determine
305 the presence of *Plasmodium* infected RBC with a detection limit equivalent to thick blood film
306 examination⁵ in combination with a *Plasmodium* species differentiation and an accurate
307 parasitemia quantification. The XN-31 can accurately determine the parasitemia in samples with
308 low numbers of infected erythrocytes, as the limit of detection and quantification was determined
309 to be 5.9 and 19 infected RBC per μL , respectively. Thereby the detection limit of the XN-31 is
310 equivalent to thick and thin blood examination which has on average a detection limit of ~ 10
311 parasites per μL .⁵

312

313 Although we did not get any false positive or false negative results for samples of patient suspected
314 for malaria, it is known that submicroscopic malaria exists.²⁰ In these cases the number of infected
315 erythrocytes is below the detection limit of thick blood film examination, and thus also below the
316 detection limit of the XN-31. Therefore, false negative results can occur, but most submicroscopic

317 malaria cases are asymptomatic and occur in patients from endemic areas with extensive immunity
318 against malaria or in patients infected with a benign, non-falciparum, *Plasmodium* species that will
319 present with a typical and characteristic fever pattern returning every 48 or 72 hours.²¹⁻²³ Hence,
320 for patients whom malaria is clinically suspected, but a negative result is obtained, regardless of
321 which test is used, repeat testing should be undertaken periodically. In specific cases further
322 examinations by more sensitive methods can be indicated for patients for which a negative result
323 by the XN-31 has been generated. In our analytical performance evaluation we achieved an LoD
324 of ~6 MI-RBC/ μ L, which is significantly lower than the 20 MI-RBC/ μ L cut-off set for qualitative
325 judgment of MI-RBC present or absent. The threshold for defining a sample as positive could
326 therefore possibly be adjusted by the manufacturer.

327
328 Next to the hypothetical possibility of false negative results due to patients with very low
329 parasitemia, our study demonstrated that indeterminate and false positive MI-RBC results can
330 occur as well. Examination of a large panel of blood samples of patients with a variety of RBC
331 abnormalities demonstrated not only a high frequency of indeterminate results (~ 50%), but also
332 false positive results (~ 5%). These false positive MI-RBC results were predominantly encountered
333 for blood samples from sickle cell patients and patients with increased numbers of nucleated
334 erythrocytes and/or reticulocytes. Conditions of stressed erythropoiesis such as may occur in
335 thalassemia or other hemoglobinopathies are mentioned in the instructions for use as potentially
336 giving an erroneous MI-RBC positive result. Analysis of the scattergrams demonstrated substantial
337 differences between the true positive and false positive MI-RBC samples, and therefore, future
338 refinement of the automatic interpretation script of the XN-31 for the obtained scattergrams should
339 result in improved performance of the XN-31. Thus, caution is required for patients with abnormal

340 blood cell morphology and review of the scattergram is advised when authorizing results. It should
341 however be noted that in our study we deliberately enriched the number of samples measured with
342 those expected to cause interferences and that the actual occurrence of such issues may be
343 substantially lower in the routine setting where only samples from patients suspected to have
344 malaria will be measured on XN-31.

345
346 In order to better determine what could produce indeterminate and false positive MI-RBC results
347 by the XN-31, abnormal RBC samples were examined. For detection of MI-RBC, the software
348 determines the number of events in the M-gating area as shown in **Figure 4**. If the number of
349 detected events exceeds a certain threshold an indeterminate or positive result will be generated
350 according to clustering patterns defined by algorithms. When interfering cell types are present that
351 produce a scattergram with a distinct cluster of particles in the M-gating area, the algorithm will
352 override the presence of generalized background scatter, producing a false positive MI-RBC result.
353 This also means that when 20 or more parasites/ μL are present, but no distinct cluster can be
354 detected due to interference, an indeterminate result will be produced that is marked with an
355 abnormal scattergram flag. Examination of the panel of abnormal RBC samples showed that a
356 number of diseases and conditions frequently occurred in both the false positive and indeterminate
357 MI-RBC results group; beta thalassemia, leukemia, lymphoma, premature newborns, and sickle
358 cell disease. The observation occurring most frequently for the false positive samples was sickle
359 cell disease in crisis. Although there were no sickle cell patients included in the study population
360 for suspected *Plasmodium* infection, it can be hypothesized that a patient with sickle cell disease
361 and malaria should get a valid MI-RBC present result (cluster detected) although the actual
362 parasitemia value would be overestimated. Premature newborns, beta thalassemia and

363 hemochromatosis are associated with stressed or disturbed erythropoiesis as well and we speculate
364 that triggering of indeterminate and false positive results is highly correlated with diseases and
365 conditions associated with the presence of immature cells in the erythrocyte lineage or with
366 severely abnormal RBC morphology.

367
368 Although in our study population of patients suspected for a *Plasmodium* infection, none of the
369 mentioned diseases were present, it is very well possible as RBC abnormalities occur relatively
370 frequently in the population in malaria endemic areas.²⁴ Some of these abnormalities can even
371 cause mortality in malaria patients making it even more important to understand exactly which
372 RBC abnormalities cause indeterminate or positive result on the XN-31. Mortality in sickle cell
373 patients with malaria is a problem in endemic countries that have a high prevalence of sickle cell
374 disease. More than 80% of people that have sickle cell disease live in sub-Saharan Africa where
375 most *Plasmodium* deaths occur.²⁵ In one study from Cameroon, it was found that 2 out of every
376 10 sickle cell patients who died had malaria.²⁶ To date, there have been preliminary studies done
377 how interferences affect the results of the XN-31, but there is some discrepancy in the results and
378 what conditions can trigger an abnormal scattergram on the XN-31.^{14,15} Further need to be done in
379 order to determine whether the XN-31 can properly detect *Plasmodium* infected RBC in patients
380 with sickle cell disease and other diseases that significantly affect red blood cell morphology
381 and/or erythropoiesis.

382
383 When an indeterminate result occurs, it is clear that additional examinations by other methods are
384 required to confirm whether *Plasmodium* infected RBC are present or not to prevent the reporting
385 of a false positive MI-RBC result, which could lead to misdiagnosis. To mitigate the possibility of

386 having a false positive MI-RBC result with potentially serious consequences, we recommend to
387 evaluate the hemocytometric scattergrams to determine whether or not there is distinct cluster
388 formation of particles within the M-gating area where parasites are detected, as seen in a true
389 positive *Plasmodium* sample. In case of abnormal RBC morphology and a positive malaria result,
390 *Plasmodium* infected RBC should be confirmed by microscopic examination of thick and/or thin
391 blood films. However the scattergrams of true positive and false positive MI-RBC samples are
392 different and therefore further refinement of XN-31 gating and interpretation algorithms should be
393 able to increase the specificity.

394
395 Finally, there are some limitations of this study. Firstly, the number of included patients for
396 diagnostic accuracy was smaller than anticipated due to travel restrictions during the COVID-19
397 outbreak. Secondly, the LoD and LoQ studies were performed using *P. falciparum* parasites
398 cultured *in vitro* in RBC as there were no patient samples with a parasitemia high enough to dilute
399 serially across the linear range. Although the use of *in vitro* cultures on the XN-31 is not approved
400 in the specifications, our results showed that no interferences were present if the dilution series is
401 prepared by dilution of *P. falciparum* parasites cultured in RBC *in vitro* in freshly collected blood
402 of a healthy donor. Using this method accurate results were obtained for the LoB, LoD, and LoQ.

403

404 **CONCLUSION**

405 The XN-31 is a promising alternative for rapid diagnostic antigen tests, as mutations in the *HRP-*
406 *2* gene will not interfere with the accuracy of results on the XN-31. However, our study
407 demonstrated that false positive results can occur in sickle cell patients or other RBC abnormalities
408 such as elevated NRBCs and/or reticulocytes and confirmation by the reference method is

409 necessary. However, the scattergrams of true positive and false positive MI-RBC samples are
410 different and therefore further refinement of XN-31 gating and interpretation algorithms should be
411 able to increase the specificity. And until that has been achieved, caution is required for patients
412 with abnormal blood cell morphology. Ultimately, we showed that the XN-31 can be a fast and
413 accurate screening method for the detection and quantification of *Plasmodium* infected RBC in
414 blood samples of patients suspected for malaria.

415

416 **DECLARATIONS**

417 **Ethics approval**

418 Ethical clearance for the use of residual blood samples for scientific purposes was granted by the
419 Medical Ethics Review Board of the Erasmus MC, University Medical Center Rotterdam, the
420 Netherlands (MEC 2012-047).

421

422 **Consent for publication**

423 Not applicable.

424

425 **Availability of data and materials**

426 The data that support the findings of this study are available from Erasmus MC, Department of
427 Clinical Chemistry but restrictions apply to the availability of these data, which were used under
428 license for the current study, and so are not publicly available. Data are however available from
429 the authors upon reasonable request and with permission of Erasmus MC, Department of Clinical
430 Chemistry.

431

432 **Competing interests**

433 The authors declare that they have no competing interests.

434

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436 Sysmex Europe GMBH provided free of charge reagents for the study. No monetary payments
437 were made to any of the investigators.

438

439 **Authors' contributions**

440 TK, YB, RK, JH, and HR all contributed to the study design, study execution, interpretation of the
441 data, and substantially revised the drafts. TK performed the statistical analysis and drafted the
442 work.

443

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447

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529

530 FIGURES

531 **Figure 1:** Accuracy of XN-31 parasitemia determination in clinical patient samples compared to
 532 parasitemia determined by microscopy
 533

534 **Figure 2:** Accuracy of XN-31 for dilution series of *in vitro* cultured *P. falciparum* compared to
 535 microscopy

536 A linear dilution series was prepared of *in vitro* cultured *P. falciparum* infected RBC in freshly
 537 obtained blood of a healthy donor, after which the parasitemia was determined by XN-31 and
 538 microscopic examination of blood films. Line of best fit is in red comparing the results of the XN-
 539 31 MI-RBC# (μL) to the microscopy results.

540

541 **Figure 3:** Determination of Limit of Quantification (LoQ) of the XN-31

542 Each point in the graph represents the mean of 10 replicates in that concentration and the %CV
 543 associated with those replicates. The LoQ is the lowest concentration of infected erythrocytes with
 544 a %CV <20 (indicated with the red line), which is in this case an MI-RBC of 19 parasites/ μL .
 545 Abbreviations: LoQ, limit of quantification; CV, coefficient of variation.

546

547 **Figure 4:** Comparison of XN-31 scattergrams of a true positive *Plasmodium falciparum* sample
 548 with a false-positive MI-RBC sample
 549

550 **Figure A** is a scattergram of a true positive MI-RBC samples containing erythrocytes infected
 551 with *Plasmodium falciparum*. **Figure B** is a scattergram of a sample of a patient in sickle crisis
 552 that produced a false positive MI-RBC result by the XN-31. The red particles are what the XN-31
 553 suspects to be a *Plasmodium* infected red blood cell, the teal particles are the leukocytes, and the
 554 dark blue particles are the non-infected red blood cells or debris. In **Figure A**, a true positive
 555 sample, the cluster of events is vertical (green circles), whereas the false-positive events identified
 556 in the MI-RBC channel in the sickle cell crises samples cluster at a 45 ° angle (orange circle in
 557 panel B).

558 Abbreviations: FSC, forward scatter light; SFL, side-fluorescent

Figures

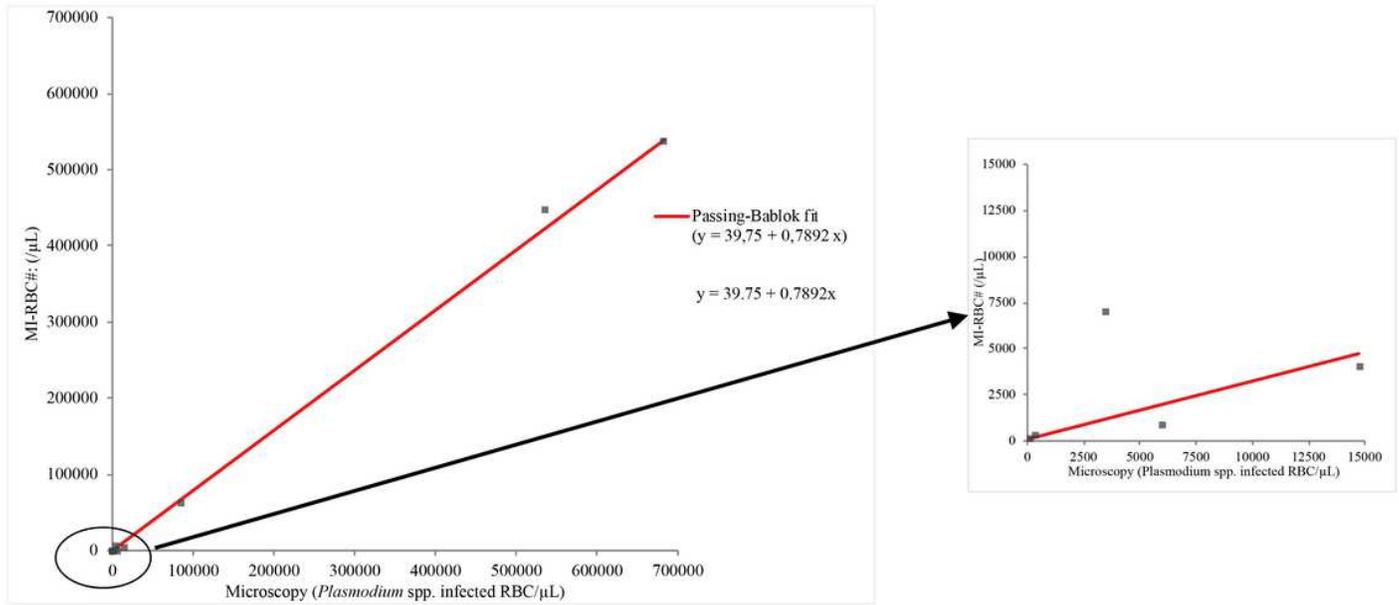


Figure 1

Accuracy of XN-31 parasitemia determination in clinical patient samples compared to parasitemia determined by microscopy

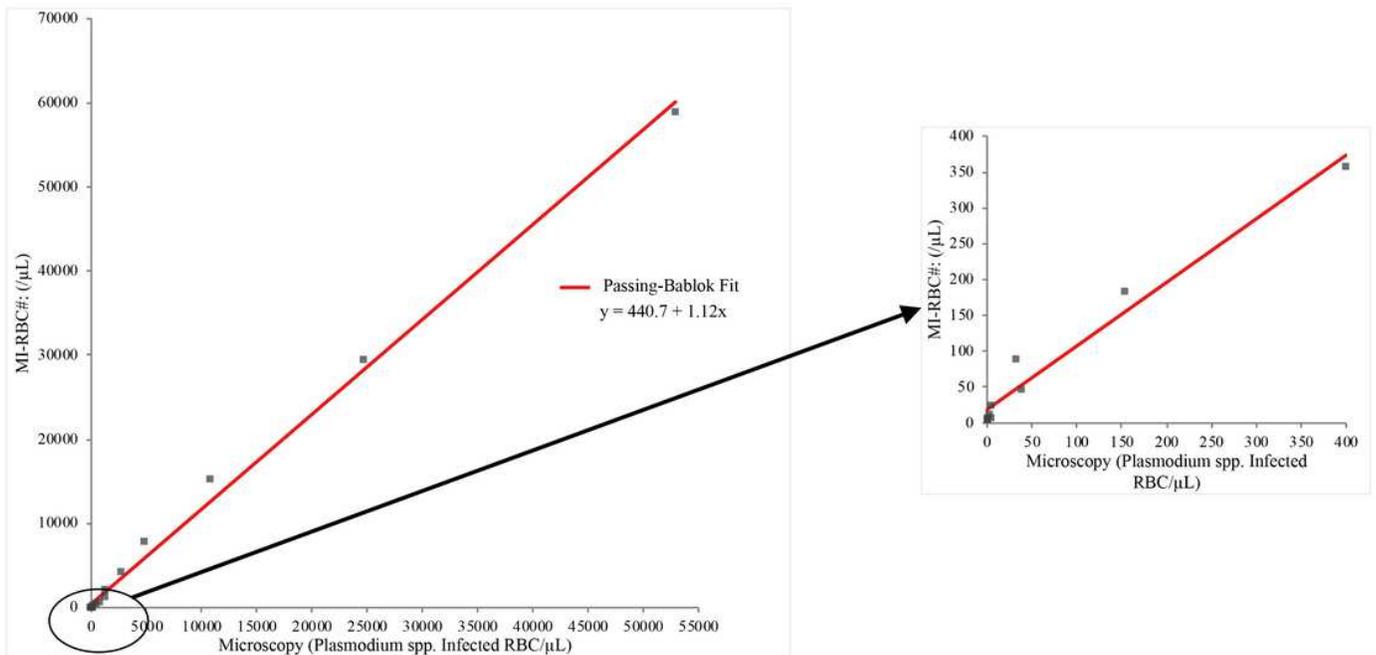


Figure 2

Accuracy of XN-31 for dilution series of in vitro cultured *P. falciparum* compared to microscopy A linear dilution series was prepared of in vitro cultured *P. falciparum* infected RBC in freshly obtained blood of a healthy donor, after which the parasitemia was determined by XN-31 and microscopic examination of blood films. Line of best fit is in red comparing the results of the XN-31 MI-RBC# ($/\mu\text{L}$) to the microscopy results.

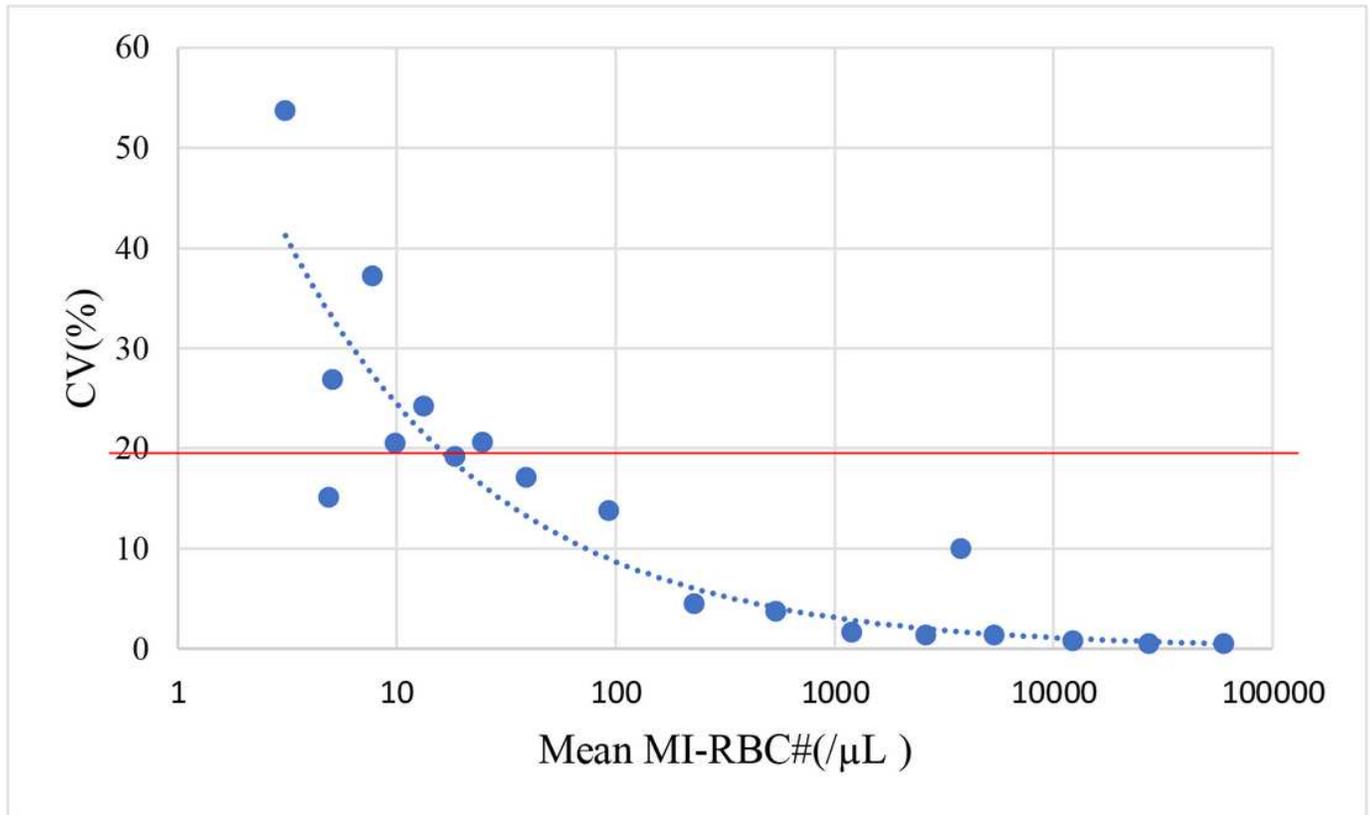


Figure 3

Determination of Limit of Quantification (LoQ) of the XN-31 Each point in the graph represents the mean of 10 replicates in that concentration and the %CV associated with those replicates. The LoQ is the lowest concentration of infected erythrocytes with a %CV <20 (indicated with the red line), which is in this case an MI-RBC of 19 parasites/ μL . Abbreviations: LoQ, limit of quantification; CV, coefficient of variation

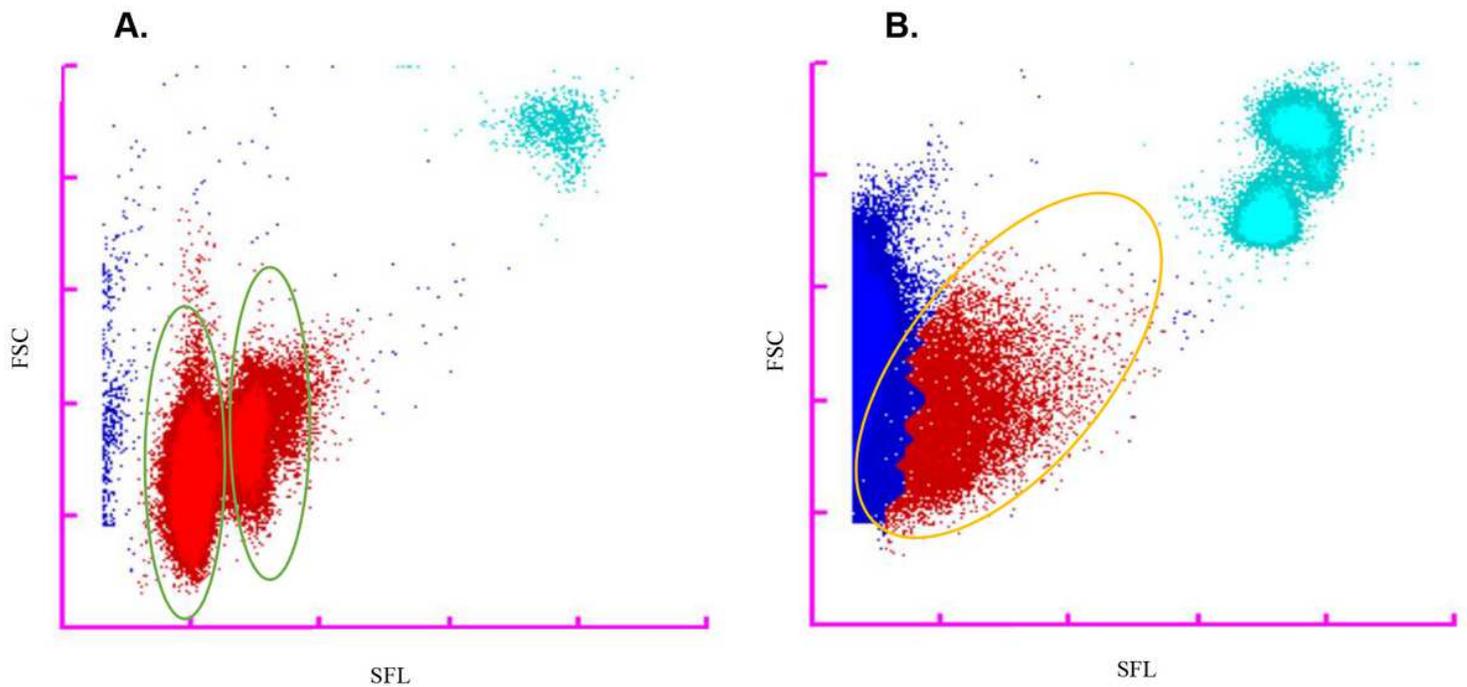


Figure 4

Comparison of XN-31 scattergrams of a true positive *Plasmodium falciparum* sample with a false-positive MI-RBC sample

Figure A is a scattergram of a true positive MI-RBC samples containing erythrocytes infected with *Plasmodium falciparum*. Figure B is a scattergram of a sample of a patient in sickle crisis that produced a false positive MI-RBC result by the XN-31. The red particles are what the XN-31

suspects to be a *Plasmodium* infected red blood cell, the teal particles are the leukocytes, and the dark blue particles are the non-infected red blood cells or debris. In Figure A, a true positive sample, the cluster of events is vertical (green circles), whereas the false-positive events identified

in the MI-RBC channel in the sickle cell crises samples cluster at a 45° angle (orange circle in panel B).

Abbreviations: FSC, forward scatter light; SFL, side-fluorescent