

The targeted SMAC mimetic SW IV-134 augments platinum-based chemotherapy in pre-clinical models of ovarian cancer

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1 **The targeted SMAC mimetic SW IV-134 augments platinum-based chemotherapy in pre-clinical**
2 **models of ovarian cancer**

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43 **Abstract**

44

45 **Background:** Ovarian cancer is initially responsive to frontline chemotherapy. Unfortunately, it often
46 recurs and becomes resistant to available therapies and the survival rate for advanced and recurrent
47 ovarian cancer is unacceptably low. We thus hypothesized that it would be possible to achieve more
48 durable treatment responses by combining cisplatin chemotherapy with SW IV-134, a cancer-targeted
49 peptide mimetic and inducer of cell death. SW IV-134 is a recently developed small molecule conjugate
50 linking a sigma-2 ligand with a peptide analog (mimetic) of the intrinsic death pathway activator SMAC
51 (second-mitochondria activator of caspases). The sigma-2 receptor is overexpressed in ovarian cancer and
52 the sigma-2 ligand portion of the conjugate facilitates cancer selectivity. The effector portion of the
53 conjugate is expected to synergize with cisplatin chemotherapy and the cancer selectivity is expected to
54 reduce putative off-target toxicities.

55 **Methods:** Ovarian cancer cell lines were treated with cisplatin alone, SW IV-134 alone and a
56 combination of the two drugs. Treatment efficacy was determined using luminescent cell viability assays.
57 Caspase-3/7, -8 and -9 activities were measured as complementary indicators of death pathway activation.
58 Syngeneic mouse models and patient-derived xenograft (PDX) models of human ovarian cancer were
59 studied for response to SW IV-134 and cisplatin monotherapy as well as combination therapy. Efficacy of
60 the therapy was measured by tumor growth rate and survival as the primary readouts. Potential drug
61 related toxicities were assessed at necropsy.

62 **Results:** The combination treatment was consistently superior in multiple cell lines when compared to the
63 single agents *in vitro*. The expected mechanism of tumor cell death, such as caspase activation, was
64 confirmed using luminescent and flow cytometry-based assay systems. Combination therapy proved to be
65 superior in both syngeneic and PDX-based murine models of ovarian cancer. Most notably, combination
66 therapy resulted in a complete resolution of established tumors in all study animals in a patient-derived
67 xenograft model of ovarian cancer.

68 **Conclusions:** The addition of SW IV-134 in combination with cisplatin chemotherapy represents a
69 promising treatment option that warrants further pre-clinical development and evaluation as a therapy for

70 women with advanced ovarian cancer.

71

72 **Key Words:** Sigma-2 receptors, Sigma-2/SMAC drug conjugate, cisplatin, combination therapy, ovarian
73 cancer.

74

75

76 **Background**

77 The majority of patients diagnosed with ovarian, fallopian or primary peritoneal cancer, commonly
78 referred to as Mullerian cancer, present with advanced stage disease [1]. Primary treatment includes a
79 combination of cytoreductive surgery and systemic chemotherapy. Upfront surgery followed by
80 chemotherapy or interval surgery after several cycles of chemotherapy have been employed as standard
81 therapeutic options. Chemotherapy followed by surgery increases the likelihood of complete resection
82 with no gross residual cancer behind at the surgical sites with acceptable morbidity [2-4]. The
83 recommended first line chemotherapies include platinum- and taxane-based regimens, both via
84 intravenous (IV) and intraperitoneal (IP) administration routes [5-7]. Recently, an anti-angiogenic drug,
85 bevacizumab, was approved in combination with chemotherapy as a maintenance regimen for patients
86 with stage III or IV epithelial Mullerian cancer after initial surgical resection. This combination led to a
87 modest improvement in progression-free survival, but overall survival benefit was only seen in patients
88 with high-risk disease [8, 9]. Also, therapies targeting the DNA replication machinery of the cells with
89 Poly (ADP-ribose) polymerase inhibitors (PARP-inh) have been approved as maintenance regimen in
90 patients with and without homologous recombination repair deficiency (HRD) and has significantly
91 improved survival in patients with HRD [10-12].

92 Most ovarian cancer patients tolerate initial chemotherapy well. However, 10% - 58% of patients do not
93 complete the initial six-cycle regimen due to severe toxicities, including thrombocytopenia, neutropenia,
94 gastrointestinal symptoms, neuropathy and other drug-related reactions [5-7]. These toxicities may result
95 in dose delays, dose reductions, changes in chemotherapy regimen, or the addition of medications for
96 bone marrow support. The majority of patients will achieve a complete clinical response to primary

97 treatment; unfortunately, 70% will recur within three years, and over 85% will recur within five years
98 after diagnosis [13-15]. If recurrence starts more than six months after completion of primary therapy, the
99 recommended follow-up treatment is platinum-based combination therapy. While second-line treatment is
100 available, it is limited due to increased toxicity and decreased efficacy.

101 Apoptosis represents an important mechanism of cancer cell death but is often blocked during disease
102 initiation and progression [16]. More specifically, the X-linked inhibitor of apoptosis proteins (XIAP), is
103 a potent negative regulator of the apoptotic pathways involving caspases-3, -7 and -9 blockade and thus
104 promotes cancer cell survival via overexpression [17-19]. As such, down-modulation of XIAP activity
105 has been studied as a mechanism to increase apoptosis and to overcome continued cell proliferation *in*
106 *vitro* and in preclinical mouse models of ovarian cancer [20-22]. Second mitochondria-derived activator
107 of caspases (SMAC) is an endogenous negative regulator of inhibitors of apoptosis proteins, including
108 XIAP and cellular IAP (cIAP) and, in doing so, restores caspase activity and cancer cell death [23]. These
109 findings have initiated the development of synthetic small molecule mimics of endogenous SMAC
110 protein, which have been studied in a wide variety of human malignancies, including ovarian cancer,
111 either as single agents or in combination with platinum-based therapies as a means to further improve
112 patient outcomes [24-29].

113 In an attempt to further improve the therapeutic index of cancer drugs and to minimize off-site toxicities,
114 our laboratory has developed a drug delivery concept that is based on the chemical conjugation of small
115 molecule compounds, such as the SMAC mimetic SW IV-52, to ligands, e.g. SW43 to the sigma-2
116 receptor - highly upregulated in a number of solid tumors, including ovarian cancer [30]. This conjugation
117 process resulted in a novel chemical entity, SW IV-134, that combines an improved internalization
118 efficacy into the cancer cells with superior cytotoxicity, mediated via the distinct structural domains of the
119 dual-functional drug conjugate [31]. Recently, we have shown that SW IV-134 induced much stronger
120 cytotoxicity than its individual components administered as equimolar mixes, decreased the tumor burden
121 and improved animal survival in a mouse xenograft model of ovarian cancer [32]. Since one of the
122 limitations of platinum-based chemotherapy is significant systemic toxicity and cancer cell resistance, we

123 sought to demonstrate that the targeted SMAC mimetic SW IV-134 in combination with low-dose
124 cisplatin chemotherapy would provide efficient treatment benefits while systemic toxicities are reduced to
125 a minimum.

126

127 **Methods**

128 **Compounds**

129 The synthesis of SW IV-134 was performed in our laboratory and has been previously described [31, 32].
130 Cisplatin was purchased from the pharmacy at Washington University School of Medicine.

131

132 **Cell lines**

133 OVCAR-3 cells were purchased from American Type Culture Collection (ATCC, Manassas, VA)
134 and cultured under ATCC-recommended conditions. SKOV-3 cells obtained from Dr. Robert Mach
135 (Washington University School of Medicine, St. Louis, MO) were maintained in McCoy's 5a medium
136 containing 2 mM Glutamine and 10% Fetal Bovine Serum (FBS). ID8 mouse ovarian surface epithelial
137 cells (MOSEC) obtained from Dr. Kathy Roby (Kansas University Medical Center, Kansas City, KS)
138 were maintained in Dulbecco's Modified Eagle's medium (DMEM, Gibco-Life Technologies) containing
139 4% FBS. ID8 cells were labeled with eYFP/luciferase reporter fusion protein by retroviral infection to
140 generate ID8-Lucy cells. Protein expression was confirmed in 75% of the cells by flow cytometry and *in*
141 *vitro* luciferin conversion. Antibiotics, penicillin (100 µg/mL) and streptomycin (100 µg/mL) were added
142 to the media. Cells were maintained in a humidified incubator at 37 °C with 5% CO₂. All cell lines were
143 confirmed to be *mycoplasma*-negative prior to initiation of experiments.

144

145 **Mice**

146 C57BL/6 mice, NSG and NOD.CB17-PRKDCID mice were obtained from Jackson Laboratory at age 6
147 - 8 weeks. Injection of tumor cells or transplant of tumor tissues was performed no sooner than one week
148 after the mice were received. All animal experimentation was performed in accordance with the

149 Washington University Division of Comparative Medicine guidelines for care and use of laboratory
150 animals. The protocol was approved by the Animal Studies Committee of Washington University
151 (protocol 20130073). End points for euthanasia included excessive lethargy, decreased motility, tumor
152 ulceration or cross-sectional tumor diameter greater than 2 cm.

153

154 **Evaluation of cytotoxicity *in vitro***

155 SKOV-3 cells were plated at a density of 1×10^4 /well, OVCAR-3 at a density of 1.5×10^4 /well and ID8 at
156 a density of 3×10^3 /well in 96-well plates for 24 hours prior to treatment. Cisplatin was dissolved in PBS
157 to achieve a concentration of $5 \mu\text{g/mL}$. SW IV-134 was dissolved in dimethyl sulfoxide (DMSO) and
158 diluted in culture medium to achieve a final concentration of $0.25 \mu\text{M}$ for SKOV-3 cells, $4 \mu\text{M}$ for
159 OVCAR-3 cells and $2 \mu\text{M}$ for ID8 cells (DMSO concentration was kept below 1% to have no impact on
160 experimental results). Cells were treated with cisplatin, SW IV-134, and a combination of the two drugs
161 for 72 hours (SKOV-3 and OVCAR-3) and for 36 hours (ID8), respectively. Cell viability was
162 determined using CellTiter-Glo Luminescent Viability Assay (Promega, Madison, WI). Luminescence
163 signal was measured using a multi-mode microplate reader (Bio-Tek, Winooski, VT). All assays were
164 performed in triplicates.

165

166 ***In vitro* caspase activation assays**

167 ID8 cells were plated at a density of 3×10^3 in 96-well plates for 24 hours prior to treatment. The
168 following day, the cells were treated with $5 \mu\text{g/mL}$ cisplatin, $1 \mu\text{M}$ SW IV-134, a combination of the two
169 drugs, and DMSO-containing media as a control for 48 hours. The contents of the plate were mixed using
170 an orbital shaker for 30 seconds and incubated at room temperature for 90 minutes. Caspase-3/7, -8 and -9
171 activities were measured in the plates using Caspase-Glo Assay Systems (Promega, Madison, WI)
172 according to the manufacturer's instructions. This assay is based on luminogenic caspase substrates which
173 are cleaved by activated caspases resulting in generation of a luminescence signal. Luminescence signals
174 were measured using a multi-mode microplate reader (Bio-Tek, Winooski, VT).

175 ***In vivo* assessment of tumor growth, survival, and toxicity in C57BL/6 mouse model**

176 C57BL/6 mice were injected in the right flank with 200 μ L single cell suspension of 1×10^7 ID8-Luey
177 cells in DMEM medium. Treatment started after ~4 weeks when tumors were established to be growing
178 and reached 6 – 7 mm in diameter. Mice were randomized into four groups with 10 mice per group (n =
179 10). Treatment included intraperitoneal injection of 100 μ L of vehicle daily (25% cremophor-EL in
180 water), SW IV-134 (500 nanomoles) daily, cisplatin (2 mg/kg) every 3 days or combination of SW IV-
181 134 (500 nanomoles) daily and cisplatin (2 mg/kg) every 3 days for a total of 21 days. On the days mice
182 received both SW IV-134 and cisplatin, and as a preventive measure, the injections were given at least
183 two hours apart in case of potential drug incompatibilities regarding their respective solvent requirements.
184 Tumors were measured every 2-3 days with a digital caliper and the volumes were calculated using the
185 equation $V = d_1 \times (d_2)^2 / 2$, (V = volume, d_1 = larger diameter, d_2 = smaller diameter). Mice were
186 euthanized using a carbon-dioxide chambers when tumors reached a diameter of 2 cm or became
187 ulcerated. In order to probe for potential drug toxicities, 12 additional naive mice were treated with same
188 treatment regimens described above (n = 3/group), and sent for autopsy at the end of the 21-day treatment
189 interval (Division of Comparative Medicine, Washington University). Blood was collected for complete
190 blood count (CBC) and biochemical analysis (AST, ALT, BUN, total bilirubin, and Cr). Organs were
191 examined grossly and histologically.

192

193 **PDX model and *in vivo* assessment of tumor growth and survival**

194 Omental metastatic tumor was harvested from a patient undergoing cytoreductive surgery for ovarian
195 cancer and placed in RPMI on ice. The harvested tumor was divided into four 5 mm tumors and
196 implanted into the right flank of two NSG mice under general anesthesia. Implantation was performed
197 within 20 minutes of tissue harvest. Once the tumors grew larger than 15 mm, they were harvested and
198 implanted into subsequent NSG mice to generate stable *in vivo* PDX lines (three passages). Hematoxylin
199 and eosin staining (H&E) of an established PDX tumor was harvested and confirmed its initial
200 characteristics determined at biopsy - high-grade serous adenocarcinoma (Suppl. Fig. S1). This confirmed

201 tumor was then transplanted into the flanks of 25 NOD.CB17-PRKDSCID mice. Tumors were
202 established and treatment started at ~150 mm³ tumor volume. Mice were randomized into four treatment
203 groups with five mice per group (n = 5). The mice then received daily intraperitoneal injections with 100
204 µL of vehicle (25% cremophor in H₂O), weekly cisplatin 4 mg/kg, daily SW IV-134 (750 nmoles), and a
205 combination of daily SW IV-134 (750 nmoles) and weekly cisplatin 4 mg/kg for 14 days. Tumors were
206 measured every 3 - 4 days with a digital caliper and mice were euthanized when tumors reached a cross-
207 sectional diameter of 2 cm or ulcerated.

208

209 **Statistics**

210 Statistical analyses and data plotting were performed using GraphPad Prism software version 8 (San
211 Diego, CA) and IBM SPSS Statistics 25 (Armonk, NY). Results were expressed as mean ± SEM of at
212 least 3 biological replicates for in vitro data. One-way ANOVA was used to analyze the differences in
213 viability and caspase activity assays. Unpaired two tailed t-tests were used to evaluate the difference in
214 CBC, biochemistry analyses, and to confirm the difference in subgroups. Mixed model two-way ANOVA
215 was used to analyze the difference in tumor sizes in order to adjust for missing data when mice died or
216 were euthanized. Kaplan-Meier survival analysis was used and the difference between the groups was
217 compared with a log-rank test. A *p*-value of < 0.05 was considered significant for all analyses.

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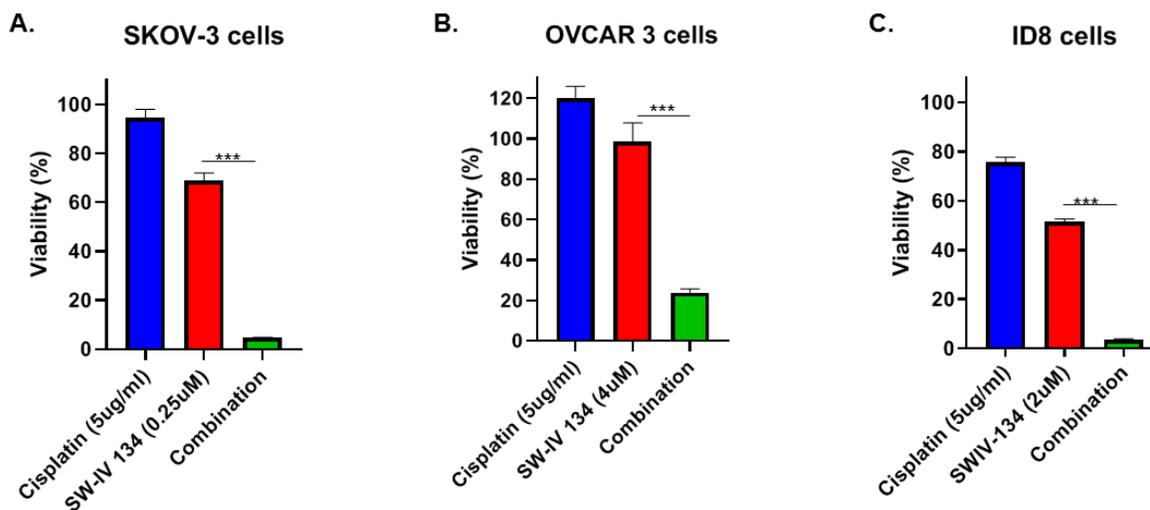
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226

227 **Results**

228 **The targeted SMAC mimetic SW IV-134 is a potent enhancer of cisplatin-induced cell death**

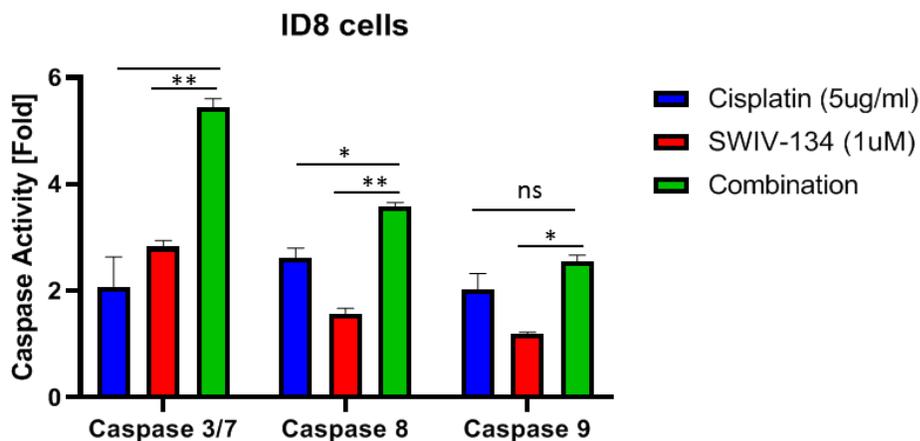
229 Three frequently utilized ovarian cancer cell lines were chosen for our initial treatment assessments. In
230 order to investigate the combined effects of our study drugs, we determined the minimally effective dose
231 of each drug alone in a series of pilot experiments. The drug concentration required to induce limited cell
232 death (50% or less) varied between cell lines and ranged from 0.25 μ M (SKOV-3, human) to 4 μ M
233 (OVCAR3, human), with ID8 cells (mouse) requiring an intermediate dose of 2 μ M (Fig. 1, blue and red
234 bars). To test whether a combination of these sublethal doses would increase cell death beyond single-
235 agent potency, we treated SKOV-3, OVCAR3 and ID8 cells with a combination of both compounds.
236 Indeed, the drug combinations substantially increased the overall cytotoxicity in all cell lines with
237 OVCAR3 cells (20% viability), being less sensitive than SKOV-3 and ID8 cells (5% viability) (Fig. 1A -
238 C, $p < 0.001$ for all analyses). The response to combination treatment was far more pronounced than
239 anticipated, given the modest cytotoxicity of the individual components suggesting a synergistic rather
240 than an additive mode of action.



241
242 **Figure 1. The combination of cisplatin and SW IV-134 shows enhanced reduction in ovarian cancer**
243 **cell viability. A, SKOV-3, B, OVCAR-3 and C, ID8 cells were treated with cisplatin (5ug/ml), SW IV-**
244 **134 (varying concentrations), or the combination of the two drugs using the same concentrations. Titer-**

245 Glo viability assays were performed after 72 hours (SKOV-3 and OVCAR-3) or 36 hours (ID8) of
246 treatment. The data were normalized to DMSO treated control cells. (***) $p < 0.001$ (n = 3).

247
248
249 Since SW IV-134 is designed to interfere with XIAP, in effect increasing the activity of intracellular
250 caspases, we studied the relative contribution of drug treatment on the various effector arms of the
251 apoptotic machinery, i.e. the activation of caspases-3/7 (terminal pathway), caspase-8 (extrinsic pathway)
252 and caspase-9 (intrinsic pathway). Using a fluorescence-based caspase activation assay, treatment of ID8
253 cells with cisplatin and SW IV-134 alone induced only a slight activation process for all caspases ranging
254 from 1.2 - 2.8-fold over baseline (Fig. 2). Combination of cisplatin and SW IV-134 led to an even further
255 increase in caspase activity (2.5 - 5.4-fold) and reached the highest levels of activation across all single-
256 agent regimens with one exception - caspase-9/cisplatin (Fig. 2). These data suggest that the strongest
257 impact on overall cell death induction is likely mediated via the terminal apoptosis pathway (executioner
258 caspase-3).

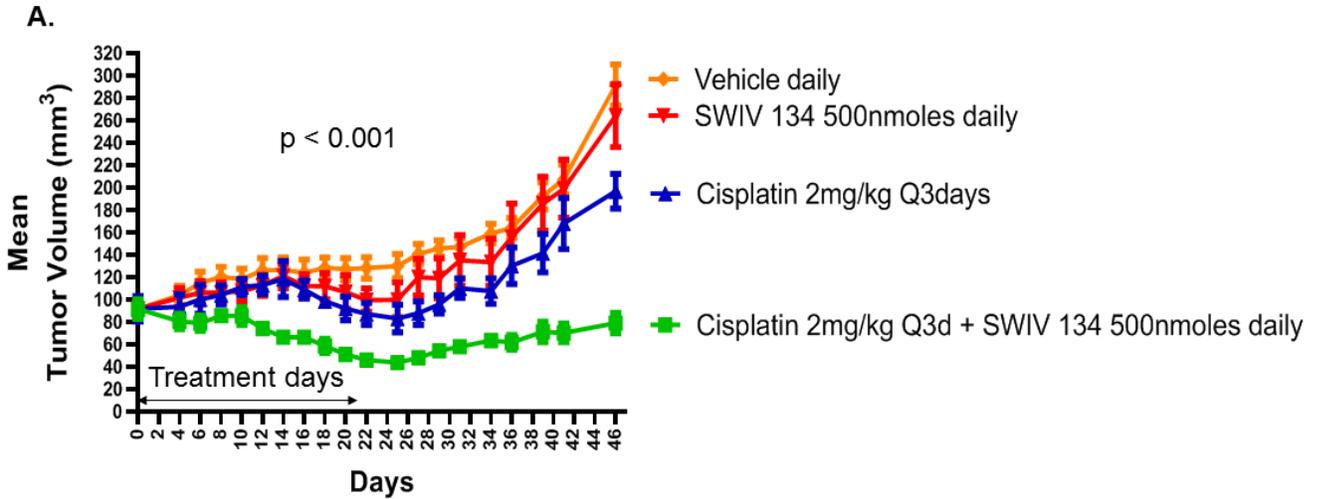


259
260 **Figure 2. The combination of cisplatin and SW IV-134 leads to augmented apoptotic cell death.**
261 **Mouse** ID8 cells were treated with cisplatin (5 $\mu\text{g}/\text{mL}$), SW IV-134 (1 μM), and a combination of the two
262 drugs at their respective concentrations. The activation status of caspases 3, 8 and 9 were measured using
263 a Caspase-Glo Assay System. The data are normalized to the luminescence signals for each caspase on
264 cells treated with DMSO (baseline) (n = 3, * $p < 0.001$, ** $p < 0.0001$, ns = non-significant).

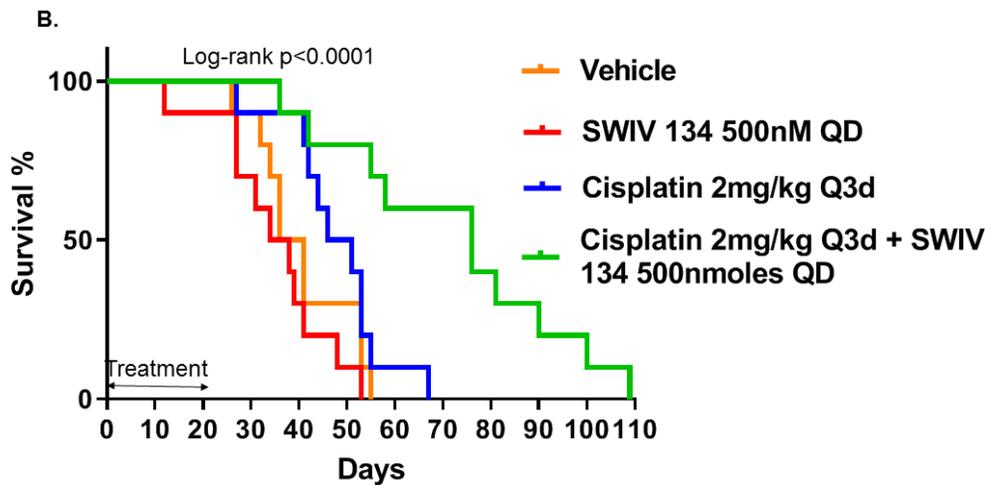
265 **SW IV-134/cisplatin combination therapy leads to an improved treatment response in an**
266 **immunocompetent mouse model of ovarian cancer (syngeneic model)**

267 In order to determine if the drug combination concept observed *in vitro* would translate to a similar
268 response *in vivo*, we applied a syngeneic animal model by injecting luciferase-labeled ID8 ovarian cancer
269 cells (ID8-Luey) into the flanks of immunocompetent C57BL/6 mice. The mice were randomized into
270 four groups and a three-week treatment regimen started when tumor volumes reached $\sim 100 \text{ mm}^3$. Mice
271 treated with vehicle served as a control. Both single-agent treatment arms showed little signs of treatment
272 response, reflected by tumor growth patterns similar to the vehicle control. In contrast, the combination
273 group demonstrated a strong treatment response, associated with tumor shrinkage, which started shortly
274 after drug administration (Fig. 3A). About 14 days into the treatment period, both single-agent groups
275 appeared to develop mild treatment responses and a reduction in tumor size. Several days post-treatment
276 cessation, the tumors of all groups started growing again, albeit at differential kinetics, with the control
277 and single-agent groups resuming at a higher growth pace than the combination group (Fig. 3A, $p <$
278 0.0001). The median survival of the combination group was nearly twice as long (76 days) as the most
279 effective monotherapy (cisplatin, 46 days), followed by vehicle (36 days) and SW IV-134 (34 days),
280 respectively (Fig. 3B, $p < 0.0001$). Of note, two out of ten mice (20%) in the combination group survived
281 for more than 100 days, while no such long-term survivors were identified in any other treatment group.
282 We did not observe significant differences in complete blood counts or serum chemistry between the
283 treatment groups, indicative of only mild, if any systemic toxicities of drug therapies (Suppl. Table S1).
284 Some mice demonstrated mild irritation or ulcers at the site of peritoneal drug injection as well as slight
285 initial weight loss (SW IV-134). However, this trend did not continue and all mice recovered from this
286 drug effect by day 10 of therapy. In addition, organ analysis (brain, heart, lungs, alimentary tract, kidneys,
287 liver and pancreas) did not reveal signs of adverse drug effects and the absence of discernible change in
288 mouse behavior (failure to groom) and treatment-related deaths further support the notion that SW IV-
289 134/cisplatin combination therapy was well tolerated.

290



291



292

293 **Figure 3. The combination of SW IV-134 and Cisplatin therapy leads to improved objective**
 294 **response rate and survival in an immune-competent ovarian cancer mouse model.** An immune-
 295 competent allograft mouse model of ovarian cancer was established after right flank injection a 200 μ L
 296 single cell suspension of 1×10^7 ID8-Luey cells. The mice were treated with the above 4 treatment
 297 regimen with vehicle being the control group. **A**, The tumors were measured every other day using digital
 298 calipers. The change in tumor volumes between the groups was statistically significant with the tumor
 299 volumes of the combination group being significantly lower than vehicle ($p < 0.0001$), SWIV-134 ($p =$
 300 0.01) and cisplatin ($p = 0.001$) at 36 days. **B**, Kaplan-Meier survival curve of mice in (A). Survival of the
 301 combination treatment group was significantly longer than any other treatment group with median

302 survival being 36, 34, 46 and 76 days in the vehicle, SW IV-134 alone, cisplatin alone and combination
303 treatment groups, respectively ($p < 0.001$).

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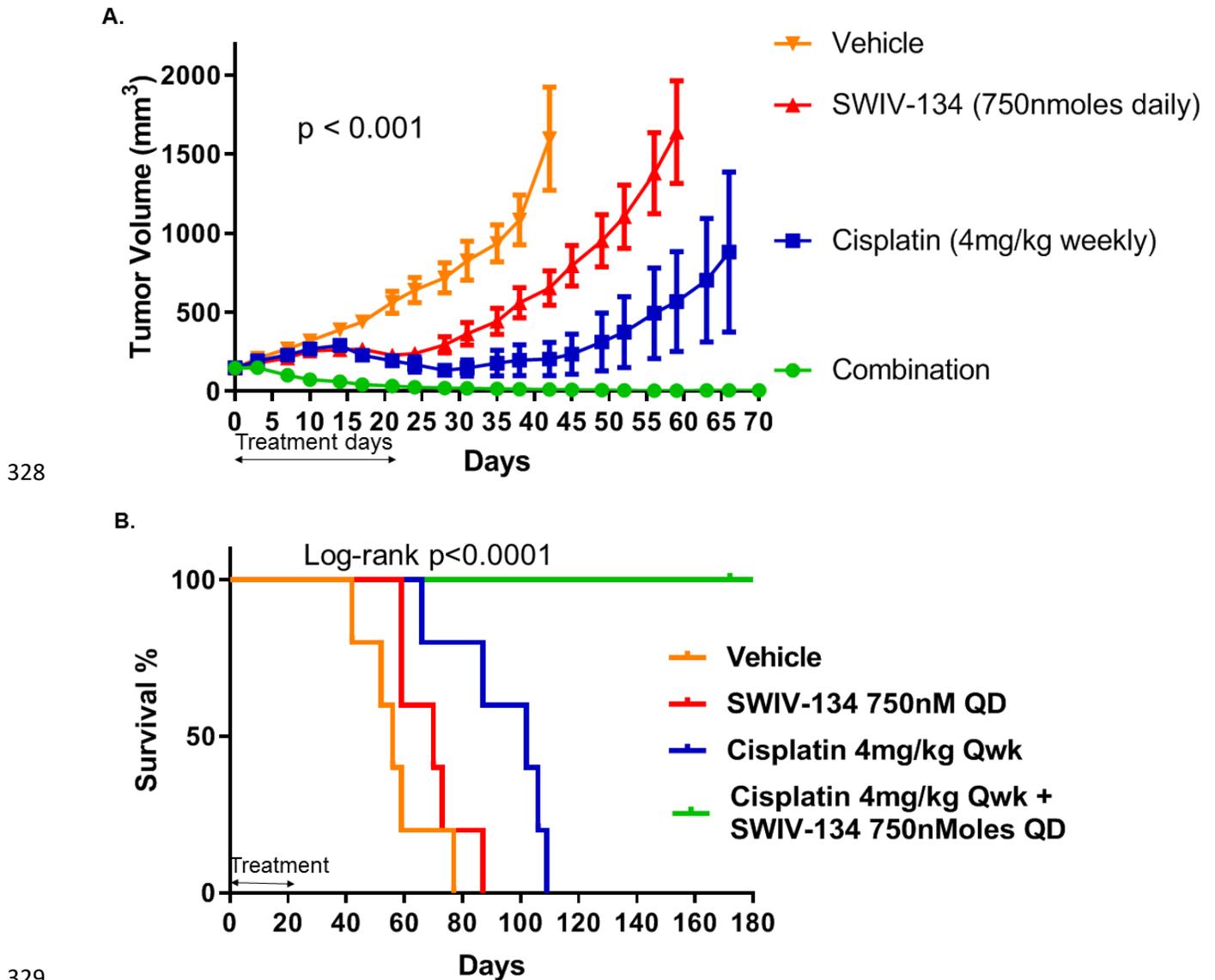
306 **SW IV-134/cisplatin combination therapy leads to complete tumor eradication in a patient-derived**
307 **xenograft (PDX) model of ovarian cancer**

308 With the goal of performing a clinically more relevant efficacy model, we successfully generated a
309 patient-derived tumor line in immunocompromised mice using omental tumor tissue obtained from a
310 woman with a fallopian tube carcinoma undergoing cytoreductive surgery. In order for it to be considered
311 a stable PDX line, the initial tumor implant was passaged four times using naïve founder mice. At this
312 point, the tumor was harvested and H&E staining confirmed a high-grade serous carcinoma (Suppl. Fig.
313 S1). Tumor tissues (5 mm) were transplanted into NOD.CB17-PRKDCID experimental mice. When the
314 tumor volumes reached $\sim 150 \text{ mm}^3$, the mice were randomized and treated using the same conditions and
315 shorter schedule than described above for the syngeneic mouse model.

316 Most noticeably, combination therapy showed an immediate and robust response to the drugs and led to a
317 complete disappearance of visible tumors in three of the mice (60%) without signs of disease recurrence
318 throughout their lifetime (Fig. 4A, $p < 0.0001$). Similar to the syngeneic tumor model described above,
319 we noticed some response to the single-agent groups after ~ 15 days of treatment. Shortly after treatment
320 cessation, tumors started growing again with cisplatin alone being somewhat more effective than SW IV-
321 134 alone, illustrated by a more rapid tumor growth curve in the latter group. Three of the mice in the
322 combination group died of natural causes while the median survival of mice treated with vehicle, SW IV-
323 134 alone and cisplatin alone was 56, 70 and 102 days, respectively (Fig. 4B, $p < 0.0001$). We observed
324 some weight loss in the mice treated with Cisplatin but failed to detect abnormalities in mouse behavior
325 (failure to groom) and drug-related deaths throughout the course of the experiment.

326

327



330 **Figure 4. The combination of SW IV-134 and Cisplatin therapy leads to improved complete tumor**
 331 **response rate and survival in a patient-derived xenograft (PDX) model of ovarian cancer.** A patient-
 332 derived xenograft model of ovarian cancer was established by transplanting 5 x 5 mm tumors into the
 333 right flank of immunocompromised NOD.CB17-PRKDSCID female mice. Once growing tumors were
 334 confirmed, the mice were treated with the above 4 treatment regimen with vehicle being the control
 335 group. **A**, The tumors were measured every other day using digital calipers. The change in tumor volumes
 336 between the groups was statistically significant and only the combination therapy group saw a significant
 337 reduction in tumor volume as well as 3 complete responses. **B**, Kaplan-Meier survival curve of mice in

338 (A). Three mice in the combination therapy group had a complete response and long-term survival until
339 natural cause of death. The median survivals were 56, 70, 102 and 200 days in the vehicle, SW IV-134
340 alone, cisplatin alone and combination treatment groups, respectively ($p < 0.001$).

341

342

343 **Discussion**

344 In our current study, we have evaluated a novel drug treatment and combination strategy for ovarian
345 cancer. We sought to investigate if cisplatin, an established standard-of-care treatment for Mullerian
346 carcinomas, could be safely and effectively combined with a cancer-targeted SMAC mimetic (SW IV-
347 134) as a means to substantially improve cancer outcomes and toxicities. When used in combination,
348 sublethal doses of cisplatin and SW IV-134 led to substantially increased death pathway activation *in*
349 *vitro*, much more so than the individual cancer drugs were able to accomplish in isolation, suggestive of a
350 more than additive effect. Similarly, when tested *in vivo* employing syngeneic (immunocompetent hosts)
351 and patient-derived xenograft (PDX) models of ovarian cancer (immunocompromised hosts),
352 combination therapy consistently resulted in robust tumor responses and corresponded with greatly
353 improved animal survival when compared to monotherapy control arms. Most noticeably, combination
354 therapy led to complete responses in the PDX ovarian cancer model, in which 60% of the mice were
355 tumor-free and showed no evidence of recurrent disease over the course of their natural lifetime. These
356 pre-clinical studies demonstrate that the combination of cisplatin and SW IV-134 represents a viable and
357 promising treatment strategy for Mullerian carcinomas, which include ovarian, fallopian and primary
358 peritoneal carcinomas.

359

360 Platinum-based medications have been safely combined with other chemotherapeutics in the primary
361 treatment of Mullerian carcinomas [5, 33-35]. In cases where the cancers recurs less than 6 months from
362 completion of chemotherapy, platinum-based chemotherapy is usually discontinued, unless evidence of
363 resistance reversal is presented [36]. Since subsequent treatment regimens are usually associated with

364 minimal efficacy and increased toxicities, we are in dire need of innovative and novel treatment strategies
365 for recurrent Mullerian carcinomas [33-35]. Our research has demonstrated that low-dose SW IV-
366 134/cisplatin combination therapy resulted in better treatment outcomes than merely the sum of its
367 individual components, indicative of a synergistic drug interaction in the absence of overt toxicities.

368 With respect to ovarian cancer in particular, overexpression of inhibitor of apoptosis proteins (IAPs)
369 contribute to a significant degree of drug resistance by preventing efficient activation of apoptotic cell
370 death [17-19, 37]. XIAP and cIAP are the most prominent and potent members of this family and its
371 pharmacologic blockade with SMAC mimetics has been shown to sensitize ovarian cancer efficiently to
372 chemotherapy [25-29, 38]. We have previously shown that the conjugate SW IV-134 leads to rapid cell
373 death via activation of caspases, degradation of cIAP-1, cIAP-2, activation of NF- κ B and induction of
374 TNF α [32, 39]. As a result, our prior research has indicated that this drug conjugate exerted increased
375 activity against ovarian cancer *in vitro* and *in vivo*, and sensitized chemo-resistant pancreatic cancer to
376 gemcitabine-based combination therapy [30-32, 39, 40]. Our next steps would be to study the role of SW
377 IV-134 in sensitizing chemotherapy resistant ovarian cancer to platinum-based chemotherapy, since
378 resistance to platinum-based chemotherapy is one of the most important prognostic factors for this
379 disease.

380

381 Therefore, restoring the ability to undergo programmed cell death by inhibiting XIAP and activating
382 TNF α via cIAP degradation appears to be an attractive strategy for the treatment of Mullerian carcinomas.
383 In order to most effectively target ovarian cancer cells and decrease systemic toxicities, the delivery of the
384 XIAP antagonist has been rendered cancer selective by linking the SMAC mimetic to the sigma-2 ligand
385 SW43, the receptors of which are upregulated in ovarian cancer cells [30]. This treatment concept uses
386 targeted therapeutics capable of delivering the cytotoxic agents directly into the cancer cells [31] and
387 requires less drug to accomplish the same biologic effects the non-targeted compounds can only achieve
388 at a much higher dose. Here, we have also shown that this novel drug can be safely used in combination

389 with standard of care platinum-based chemotherapy with a trend toward synergistic tumor eradication and
390 limited overall systemic toxicities.

391

392 **Conclusions**

393 Future studies are highly warranted to test our particular drug combination to obtain evidence for
394 overcoming apoptosis-related platinum resistance in Mullerian carcinomas using additional chemotherapy
395 resistant ovarian cancer but also fallopian or primary peritoneal cancer cell lines as well as patient-derived
396 tumors. Platinum-resistant and refractory ovarian cancer has a very poor prognosis with an overall
397 survival of months, and novel therapeutic approaches in this arena are thus desperately needed. Given that
398 combination therapy significantly decreased the tumor burden in immunocompetent as well as in the
399 clinically relevant patient-derived xenograft models of ovarian cancer, resulting in complete treatment
400 responses, we propose that this drug combination should be tested more broadly in PDX-based animal
401 models before advancing toward clinical trials.

402

403

404 ***Declarations***

405 **Ethics approval and consent to participate**

406 All methods were carried out in accordance to the ethics standards of Washington University and are reported in
407 accordance with ARRIVE guidelines (<https://arriveguidelines.org>). Procedures involving mice were approved by
408 the Washington University Animal Studies Committee and conducted in accordance with the guidelines for the care
409 and use of laboratory research animals established by the NIH.

410

411 **Consent for publication**

412 Not applicable.

413

414 **Availability of Data and Materials**

415 All data generated or analyzed during this study are included in this published article [and its
416 supplementary information files].

417

418 **Competing interests**

419 The authors declare no competing interest.

420

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428 Pratibha S. Binder: PDX generation, Performed research, data analysis, manuscript writing and editing.

429 Yassar M. Hashim: Assay development, manuscript editing.

James Cripe: PDX generation, manuscript editing.

- 430 Tommy Buchanan: Help with animal work, manuscript editing.
- 431 Abigail Zamorano: Mycoplasma testing and removal, manuscript editing.
- 432 Suwanna Vangveravong: Drug synthesis, manuscript editing.
- 433 David G. Mutch: Supervision, manuscript editing.
- 434 William G. Hawkins: Study advisor, manuscript editing.
- 435 Matthew A. Powell: Study design, supervision, manuscript editing.
- 436 Dirk Spitzer: Study design, supervision, manuscript editing.
- 437

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