

Singing experience influences swallowing function

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1 **TITLE**

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17

18 **ABSTRACT**

19 It has recently been shown that the aging population is refractory to the maintenance of swallowing function,
20 which can seriously affect quality of life. Singing and vocal training contribute to mastication, swallowing
21 and respiratory function. Previous studies have shown that singers have better vocal cord health. No consensus
22 has been reached as to how vocal training affects swallowing function. Our study was designed to establish
23 evidence that singers are statistically superior at swallowing function. In an effort to test our hypothesis we
24 undertook a clinical trial on 55 singers and 141 non-singers (mean age: 60.1 ± 11.7 years). This cross-sectional
25 study with propensity score matching resulted in significant differences in the repetitive saliva swallowing
26 test among singers: 7.1 ± 2.4 , $n = 53$ vs non-singers: 5.9 ± 1.9 , $n = 53$, $p < 0.05$. We therefore conclude that
27 singing can serve an important role in stabilizing the impact of age-related disease on speech.

28
29

30 INTRODUCTION

31 Pneumonia, including aspiration pneumonia, is a common cause of death in the elderly population¹⁻². The
32 World Health Organization has noted that dysphagia due to difficulty swallowing leads to an increased risk of
33 mortality. The likelihood of dysphagia increases with age, and substantially affects the morbidity of older
34 adults. The best option for end-of-life care for patients with dysphagia, such as Percutaneous Endoscopic
35 Gastrostomy (PEG), remains controversial. Risk-factors associated with dysphagia are major clinical
36 therapeutic targets. Video fluoroscopy and endoscopy are considered the gold standard for evaluating
37 dysphagia. As these invasive diagnostic techniques are not frequently used, non-invasive methods have been
38 developed³⁻⁵. During swallowing the larynx elevates due to the contraction of several muscles to protect the
39 airway against aspiration. The larynx serves many functions, including swallowing, respiration and
40 vocalization, and its functional impairment leads to an increased incidence of dysphagia or dysphonia and a
41 poor quality of life⁶.

42 Several studies have previously addressed the efficacy of oral care and exercise in the elderly
43 population. Various treatments have been suggested including rehabilitation programs, but few have been
44 shown to be effective. Further, studies that investigate the mechanism of action of such agents are rare. No
45 evidence is available regarding the effects of singing on airway protection. Several studies on breath-swallow
46 discoordination⁷⁻⁸ and tongue motor functions⁹⁻¹⁰ have been performed. Although a previous study
47 investigated the efficacy of singing on slowing the progression of voice aging based on several voice
48 parameters¹¹, the association between singing experience and swallowing function remains unclear. To our
49 knowledge, no clinical studies have investigated an association between swallow function and vocal training.
50 Due to this lack of knowledge, no consensus has been reached as to how vocal training should be used in

51 clinical practice.

52 Based on these challenges the purpose of this study was to understand the impact of vocal training
53 on swallow function. We hypothesized that singing may have a significant impact on deglutitive conditions,
54 and performed a cross-sectional study to test this.

57 RESULTS

58 Characteristics of the subjects

59 In an effort to identify factors that contribute to improved swallow function we performed a study
60 involving 212 subjects aged 40 and over. The flow diagram as shown in Figure 1 summarizes the study
61 selection criteria. The baseline characteristics of included subjects are shown in Table 1. In total, about one-
62 third ($n = 55$) of 196 subjects were classified as experienced singers, having had at least one year of voice
63 training. The EAT-10 screening tool was used to ensure that no subjects had a swallowing disorder. We found
64 a weak correlation between grip strength and RSST in all classifications (Singers: $r = -0.3093$, $p < 0.05$, Non-
65 singers: $r = -0.3150$, $p < 0.0005$, Both: $r = -0.3196$, $p < 0.0005$, Table 2), and between grip strength and BMI
66 (Non-singers: $r = 0.3299$, $p < 0.0001$).

67 The possibility of bias arises because a difference in training outcome between experienced and
68 unexperienced groups may be caused by a factor that predicts the training rather than the training itself. Taken
69 together, propensity score matching (PSM)¹²⁻¹⁴ was used to adjust for gender, age, BMI and grip strength
70 (Table 3: Before matching). The MPT data was excluded as a matching factor due to its high likelihood of
71 modifying RSST. The criteria used in our matching procedure were based on variables considered to be

72 important determinants and predictors of swallowing ability, which were matched by gender, age, BMI, and
73 grip strength. The 1:1 nearest neighbor within the caliper was defined as the matching allowable area¹⁵, with
74 an initial caliper coefficient of 0.2 and a caliper value of 0.143. Two cases were rejected, while 53 were
75 accepted. The final study population included 106 case-control pairs, with 53 subjects each in the singer group
76 and the non-singer group. Categorical gender comparisons were performed using Pearson's chi-squared test
77 while continuous variables (age, BMI and grip strength) were compared using the t-test. The p-values for
78 gender, age, BMI, and grip strength after matching were larger than ones measured before matching. The
79 standardized difference allowed us to identify differences in the range and mean. Statistical significance was
80 measured using an unpaired t-test for RSST and MPT between singers and non-singers.

82 **Analysis of propensity score matching**

83 The RSST score was significantly higher in the singer group than in the non-singer group (singer: 7.1
84 \pm 2.4, n =53 vs non-singer: 5.9 \pm 1.9, n = 53, $p < 0.05$, Figure 2). Singers had higher mean RSST scores and
85 had a result that met the criterion for vocal training. Table 4 shows the results of univariate analyses in which
86 the dependent variable is the RSST score. No significant correlations were measured in the singer group while
87 the RSST was significantly associated with age and MPT in the non-singer group (RSST vs age: $p = 0.0115$,
88 RSST vs MPT: $p = 0.0173$).

89 The above results can be attributed to baseline imbalance. ANCOVA adjusted for age and grip
90 strength on RSST yielded a statistically significant p-value of 0.01 (Figure 3).

93 **DISCUSSION**

94 This is the first report to our knowledge that found that singers have better swallowing function than
95 non-singers. Of particular note is that vocal training experience was associated with an increased rate of
96 swallowing function improvement. Our findings underscore the fact that vocal training may also affect
97 intervention efficiency. In this study we have shown that vocal training experience affected RSST score and
98 singers are statistically superior in their swallowing function. We conducted a cross-sectional study of singers
99 and non-singers to gain insight into the clinical significance of little functional deterioration of singers due to
100 aging. Our results support a hypothesis that vocal training experience is associated with swallowing ability,
101 and that vocal training interventions focused on improved swallowing function will be better candidates for
102 the treatment and rehabilitation of dysphagia. Understanding the changes in swallowing function that
103 accompany age is of critical importance. Our results suggest that vocal training decreased the risk of
104 developing dysphagia over the course of aging. The elderly population would therefore be better served by
105 vocal treatment.

106 Unlike previous studies these results confirmed that vocal training can make a significant contribution
107 to improving swallowing function in an aging population.

109 **Dysphagia treatment of swallowing therapy**

110 Many studies and case reports evaluate swallowing training practice, such as feeding and basic
111 exercise. However, few studies with a high level of evidence exist regarding the usefulness of these
112 treatments¹⁶⁻¹⁷. A systematic review in collaboration with the American Speech-Language-Hearing
113 Association and the Department of Veterans Affairs summarized how to incorporate Evidence-based Medicine

114 (EBP) into clinical studies by providing an overview of swallowing posture and voluntary swallowing¹⁸.
115 Although this work reported that compensatory methods had statistically significant beneficial effects, the
116 management of exclusion bias remains a major challenge. The elderly responded to a head-raising exercise,
117 resulting in augmentation of a deglutitive upper esophageal sphincter (UES) opening¹⁹⁻²⁰. The outcome of this
118 work could not exclude the influence of variability attributable to individual training effort. It has been
119 previously shown that decline in voice stability is more frequently observed in non-singers compared with
120 singers, and that singing is associated with aging⁶. Vocalization supports the respiratory musculature, including
121 pharyngeal and laryngeal units. It is therefore conceivable that vocalization increases the influence of
122 swallowing movements by using the same muscles as vocalization and elevating the larynx. This may
123 therefore have great promise in the treatment of the internal laryngeal muscles naturally used during singing¹¹.

124

125 **Study limitation**

126 The sample size in our study was relatively small, especially of male patients. Our findings should
127 be interpreted with caution due to uncertainties around some of the model parameters and baseline data. There
128 is a need for further studies to test our findings in a larger population in the future. While the physiologic
129 mechanism of cation of vocal training need to be explained, it is important to note that it offers many clinical
130 benefits. Further research is needed to investigate the relationship between vocal training and brain activity²¹
131 and understand the mechanisms behind swallowing function and disorder.

132

133

134 **CONCLUSION**

135 In summary, we found a difference in swallowing function between singers and non-singers. Our new
136 findings provided evidence that vocal training may be correlated with improved swallowing regulation. To
137 our knowledge, this is the first study to demonstrate the impact of vocal training on swallowing function.

140 **METHODS**

141 **Study design and oversight**

142 The Institutional Review Board at the National Institute of Information and Communications
143 Technology(05/09/2019, Non-Registration Number), Himeji Dokkyo University (13/11/2019, Registration
144 No. 19-13) and Osaka University (04/07/2017, Registration No. 16469-2) approved the study protocol. Written
145 informed consent was obtained from all subjects before enrollment. Study procedures were carried out in
146 accordance with the Declaration of Helsinki and the Good Clinical Practice guidelines. The subject's
147 information was anonymized and de-identified prior to analysis. All anonymized reports; gender, vocal
148 training experience, age, and Body Mass Index (BMI) were reviewed for a history associated with impaired
149 swallowing ability. Dysphagia screening was performed using the Japanese version of the 10-item Eating
150 Assessment Tool (EAT-10). A score of 3 or more was defined as a swallowing difficulty²². We recorded clinical
151 data along with Repetitive Saliva-Swallowing Test (RSST), Maximum Phonation Time (MPT) and grip
152 strength scores.

153 A clinical trial was performed on 212 subjects from Tanba City Cohort in September 2019. Inclusion
154 criteria were defined as follows: (1) subjects with no history of aspiration pneumonia; (2) no clinically evident
155 cerebrovascular or respiratory disease; (3) no hospitalization within one year; and (4) aged between 40 and

156 over. Exclusion criteria were: (1) no basic-information available; (2) full dentures; (3) EAT-10 score ≥ 3 ; (4)
157 Repetitive Saliva-Swallowing Test (RSST) score ≤ 2 ; (5) not given accurate instructions. In this cross-
158 sectional study we identified and enrolled 196 subjects (55 males and 141 females) with a mean \pm SD age of
159 60.1 ± 11.7 years old (range 40 to 93 years).

161 **Procedure**

162 Three clinical assessments were performed: RSST, MPT, and grip strength.

164 (1) RSST

165 The RSST score measures swallowing function. The speech therapist counts the number of times that
166 saliva is swallowed over thirty seconds. Less than three swallows indicates dysphagia, and is highly correlated
167 with video fluorographic diagnosis with a sensitivity of 0.98 and a specificity of 0.66²³⁻²⁴. This is one of the
168 most widely used swallowing assessment tests.

169 (2) MPT

170 The MPT of aerodynamic inspection measures the longest time in seconds over three attempts that
171 the patient sustains the vowel "a:" as a clinical evaluation of vocal and laryngeal function²⁵. The average
172 duration for healthy subjects is 20 seconds or more, and men can hold it longer. Less than 10 seconds often
173 interferes with daily conversation. The MPT is affected by general health and lung function²⁶.

174 (3) Grip strength

175 To assess muscle strength we recorded grip strength using a hand dynamometer GT-1201D (OG
176 Wellness Technologies Co., Ltd., Okayama, Japan). A single measurement was obtained using the dominant

177 hand while standing.

178
179 To avoid enrollment bias we put subjects into two groups based on vocal training experience; singers
180 and non-singers. To ensure the objectivity of the analysis both vocal training and inexperienced participants
181 were studied with a large sample size. We then compared differences in clinical outcomes between the subjects
182 with and without vocal training.

185 **Statistical Analysis**

186 Results are expressed as mean \pm standard deviation and range for continuous data and frequencies
187 for categorical data. Pearson's correlation coefficient was used to assess the relationship between each
188 parameter. Comparisons between subjects with/without vocal training experience were performed using the
189 chi-squared test for categorical variables and two-sided t-tests for continuous variables. A P value < 0.05 was
190 considered statistically significant. Statistical analyses were performed using JMP Pro 14.2 (SAS Institute Inc.,
191 Cary, NC, USA). The assignment of subjects in this cross-sectional study is typically not random. For
192 randomization we matched to ensure that the two groups were similar²⁷. Propensity scores assisted with
193 matching variables or covariates. The factors used for matching are described in the results section.
194 Confirming the matching balance provides an absolute standardized difference²⁸⁻²⁹, $asd_{continuous}$
195 and $asd_{nominal}$.

196
197 (1) The continuous variable covariate case:

$$asd_{continuous} = \frac{|\overline{x}_{singers} - \overline{x}_{non-singers}|}{\sqrt{\frac{s_{singers}^2 + s_{non-singers}^2}{2}}} \quad (1)$$

where $\overline{x}_{singers}$ is the mean of the singer group, $\overline{x}_{non-singers}$ is the mean of the non-singer group, $s_{singers}$ is the standard deviation of the singer group and $s_{non-singers}$ is the standard deviation of the non-singer group.

(2) The nominal variable covariate case:

$$asd_{nominal} = \frac{|p_{singers} - p_{non-singers}|}{\sqrt{\frac{p_{singers}(1 - p_{singers}) + p_{non-singers}(1 - p_{non-singers})}{2}}} \quad (2)$$

where $p_{singers}$ is the rate of the singer group and $p_{non-singers}$ is the rate of the non-singer group.

To evaluate swallowing ability univariate analyses between the RSST score and patient characteristics were performed. The RSST scores in the singer group and the non-singer group were compared using an unpaired t-test. An analysis of covariance (ANCOVA) revealed a baseline imbalance, and the RSST rank reports underscored and highlighted the significant odds ratio.

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306 **Author Contributions**

307 Substantial contributions to the conception or design of the work: Y.S., Y.H., M.H., H.K. and T.Y. Substantial
308 contributions to the acquisition of data for the work: N.Y., N.K., and H.M. Substantial contributions to the
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314 **Competing interests**

315 All authors declare no competing interests.

316

Table 1. Full Cohort Demographic and Clinical Data

		All Subjects			
N		196			
		Male		Female	
Vocal training	Experience	6		51	
	No-experience	49		90	
		Mean	±	SD	range
Age	[years]	60.1	±	11.7	(40 – 93)
BMI	[kg/m ²]	22.4	±	3.2	(16.2 – 38.8)
Grip strength	[kg]	29.9	±	8.9	(15.7 – 55.3)
RSST	[times]	6.4	±	2.2	(3 – 16)
MPT	[sec]	23.7	±	9.2	(6 – 62)

317

Continuous variables are presented as the mean ± SD (range).

318

Table 2. Correlations between parameters associated with swallowing ability.

		RSST	Age	BMI	Grip strength	MPT
Singers	RSST	1.0000	-0.1815	-0.2037	0.2219	0.2605
	Age	-0.1815	1.0000	0.2306	-0.3093*	-0.0192
	BMI	-0.2037	0.2306	1.0000	0.1317	-0.1448
	Grip strength	0.2219	-0.3093*	0.1317	1.0000	0.2402
	MPT	0.2605	-0.0192	-0.1448	0.2402	1.0000
Non-singers	RSST	1.0000	-0.2344**	-0.0335	0.2446#	0.1778*
	Age	-0.2344**	1.0000	-0.1096	-0.3150†	-0.2043*
	BMI	-0.0335	-0.1096	1.0000	0.3299††	-0.0284
	Grip strength	0.2446#	-0.3150†	0.3299††	1.0000	0.2829##
	MPT	0.1778*	-0.2043*	-0.0284	0.2829##	1.0000
Both	RSST	1.0000	-0.2042#	-0.0884	0.1815*	0.1859**
	Age	-0.2042#	1.0000	-0.0382	-0.3196††	-0.1696*
	BMI	-0.0884	-0.0382	1.0000	0.2965††	-0.0501
	Grip strength	0.1815*	-0.3196††	0.2965††	1.0000	0.2811††
	MPT	0.1859**	-0.1696*	-0.0501	0.2811††	1.0000

* $p < 0.05$, ** $p < 0.01$, # $p < 0.005$, ## $p < 0.001$, † $p < 0.0005$, †† $p < 0.0001$.

323

Table 3. Covariate imbalance prior to matching and matched samples.

324

A: Before matching.

		Before matching			
Matching				p-value	Standardized
Factor		Singers	Non-singers		difference
	N	55	141		
Used	Gender			0.0005	0.6237
	Male ([%])	6 (10.9)	51 (36.2)		
	Female ([%])	49 (89.1)	90 (63.8)		
	Age [years]	61.5 ± 10.2	59.6 ± 12.2	0.3039	0.1704
	(range)	(40 – 82)	(40 – 93)		
	BMI [kg/m ²]	22.2 ± 2.9	22.6 ± 3.3	0.4302	0.1289
	(range)	(16.9 – 29.8)	(16.2 – 38.8)		
	Grip Strength [kg]	26.0 ± 5.8	31.4 ± 9.5	0.0001	0.6843
	(range)	(15.7 – 44.3)	(15.9 – 55.3)		
Non-used	RSST [times]	7.0 ± 2.5	6.2 ± 2.1	0.0430	0.3134
	(range)	(3 – 16)	(3 – 13)		
	MPT [sec]	22.7 ± 7.9	24.1 ± 9.7	0.3651	0.1504
	(range)	(8 – 45)	(6 – 62)		

325

The continuous variables are presented as the mean ± SD (range).

326

B: After matching.

		After matching			
Matching				p-value	Standardized
Factor		Singers	Non-singers		difference
	N	53	53		
Used	Gender			1.0000	0.0000
	Male ([%])	5 (9.4)	5 (9.4)		
	Female ([%])	48 (90.6)	48 (90.6)		
	Age [years]	61.4 ± 10.3	61.2 ± 13.5	0.9292	0.0173
	(range)	(40 – 82)	(40 – 93)		
	BMI [kg/m ²]	22.1 ± 3.0	22.5 ± 3.6	0.5175	0.1262
	(range)	(16.9 – 29.8)	(16.8 – 38.8)		
	Grip Strength [kg]	26.2 ± 5.8	26.4 ± 5.9	0.9149	0.0208
	(range)	(15.7 – 44.3)	(15.9 – 43.0)		
Non-used	RSST [times]	7.1 ± 2.4	5.9 ± 1.9	0.0109	0.5039
	(range)	(3 – 16)	(3 – 11)		
	MPT [sec]	22.7 ± 7.8	22.1 ± 8.7	0.7162	0.0708
	(range)	(8 – 45)	(10 – 52)		

The continuous variables are presented as the mean ± SD (range).

330

Table 4. Univariate analysis of RSST score.

Factor	Singers			Non-singers		
	r	95% CI	p-value	r	95% CI	p-value
Age	-0.1590	-0.4116 – 0.1163	0.2554	-0.3447	-0.5626 – -0.0821	0.0115
BMI	-0.1930	-0.4404 – 0.0815	0.1661	-0.1442	-0.3989 – 0.1312	0.3030
Grip strength	0.1859	-0.0889 – 0.4344	0.1826	0.2576	-0.0137 – 0.4935	0.0626
MPT	0.2458	-0.0263 – 0.4839	0.0761	0.3258	0.0609 – 0.5478	0.0173

331

332 r: Pearson's correlation coefficient.

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334

335 **Figure Legends:**

336

337 Figure 1: Flow diagram summarizing study selection criteria

338 Figure 2: RSST and MPT scores before and after matching

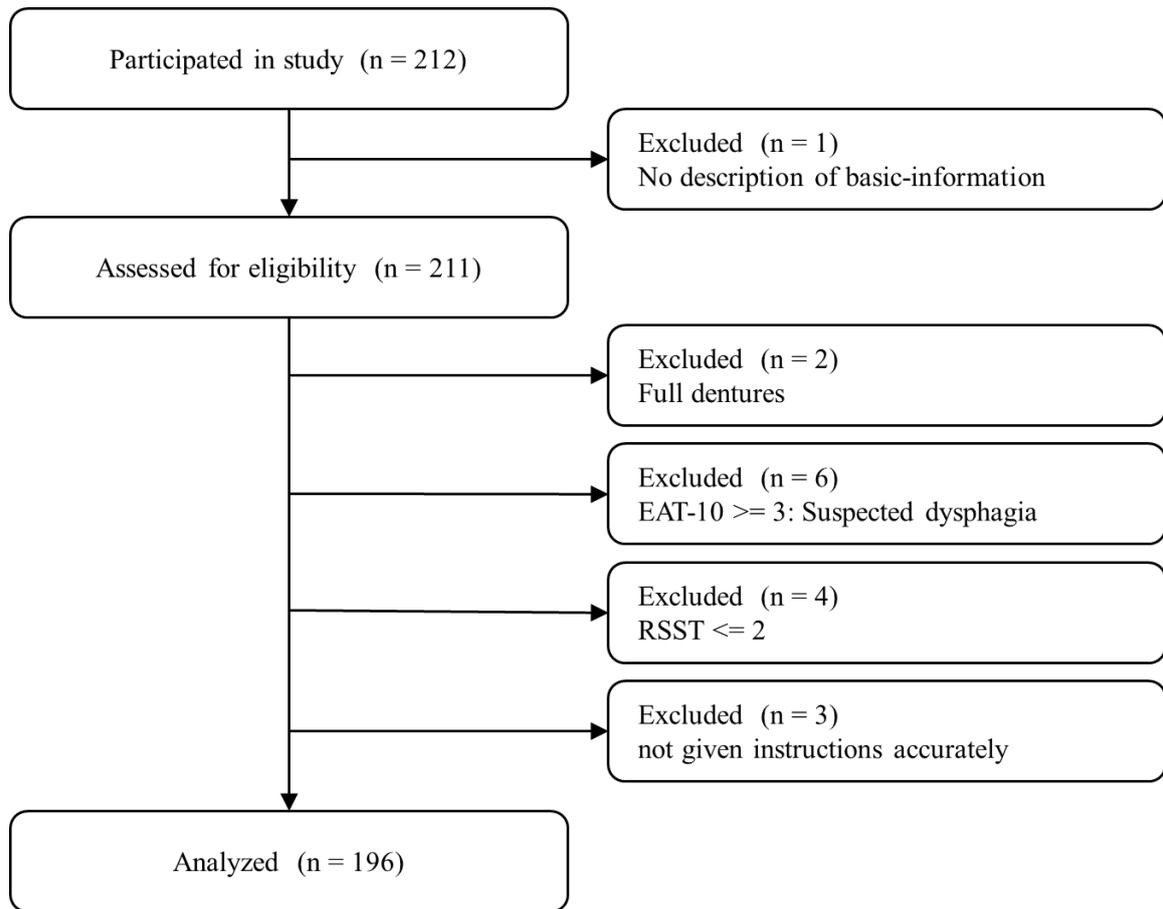
339 Figure 3: ANCOVA of age (A) and grip strength (B) plotted as a function of RSST score

340

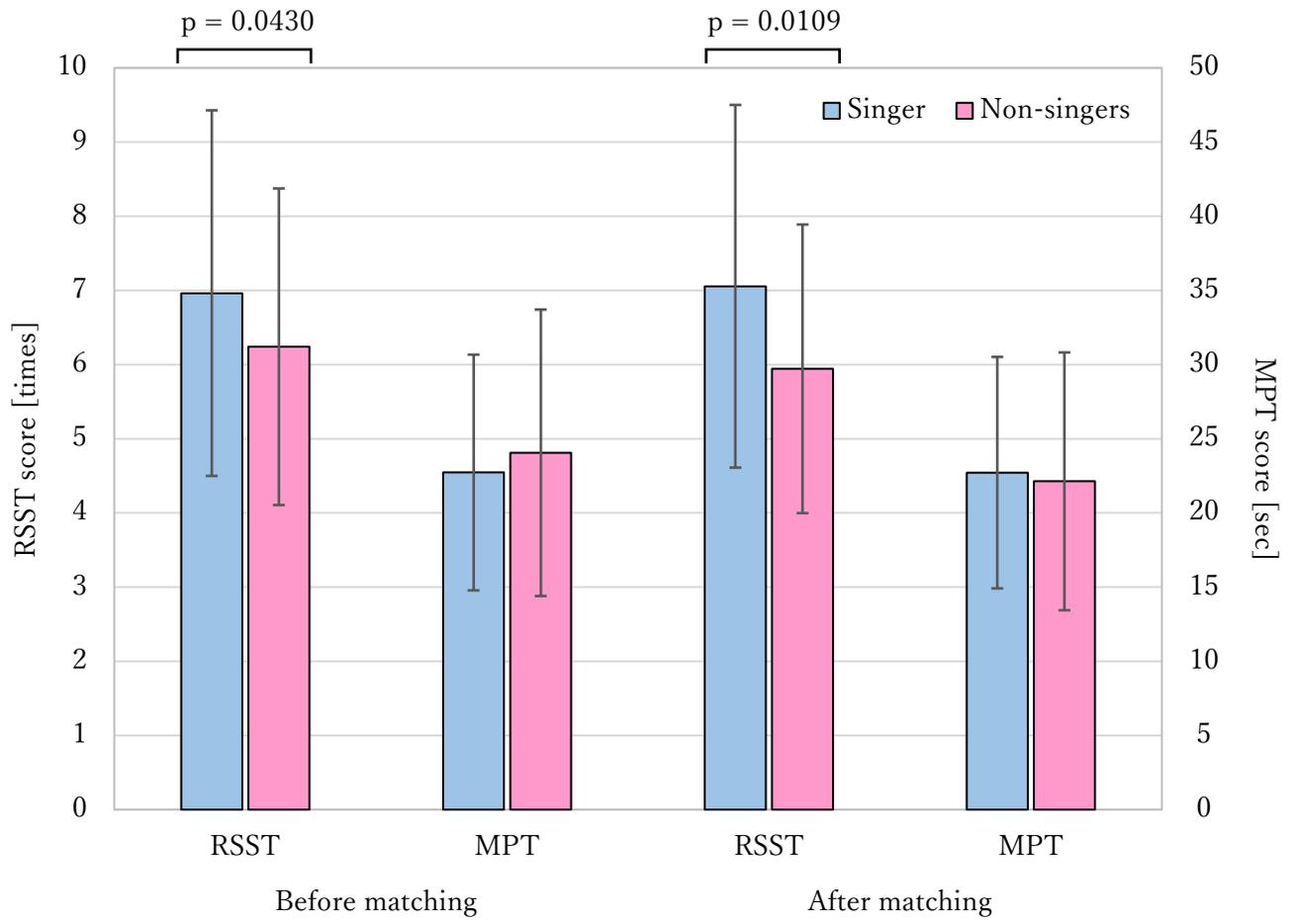
341

342 Figure 1.

343



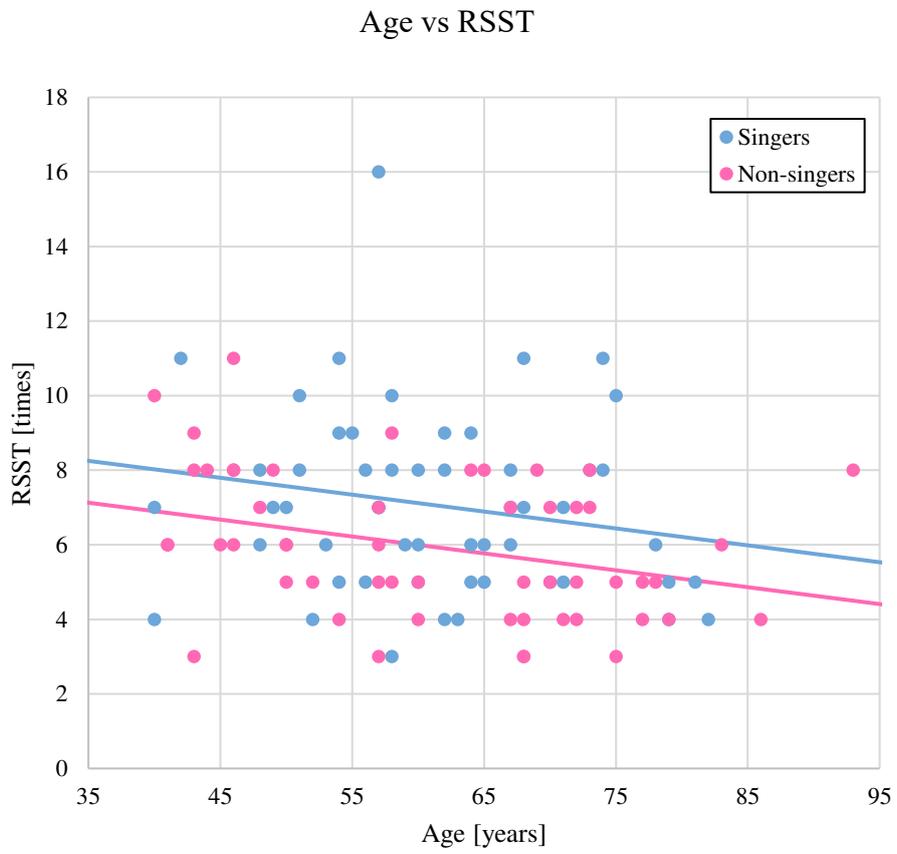
344



348 Figure 3.

349 A

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351

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$$\text{RSST} = -0.045 \times \text{Age} + 9.837 - 1.122 \times \text{Group}$$

353

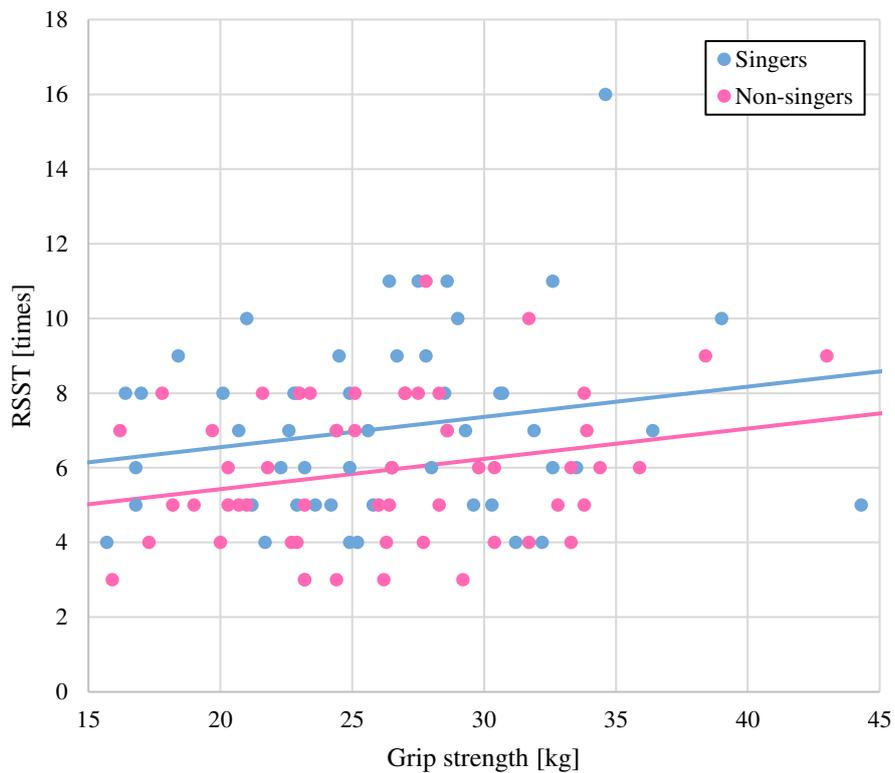
(Singers: Group = 0, Non-singers: Group = 1, p = 0.0084)

354

355 **B**

356

Grip strength vs RSST



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358

$$\text{RSST} = 0.081 \times \text{Grip strength} + 4.931 - 1.124 \times \text{Group}$$

359

(Singers: Group = 0, Non-singers: Group = 1, p = 0.0089)

360

Figures

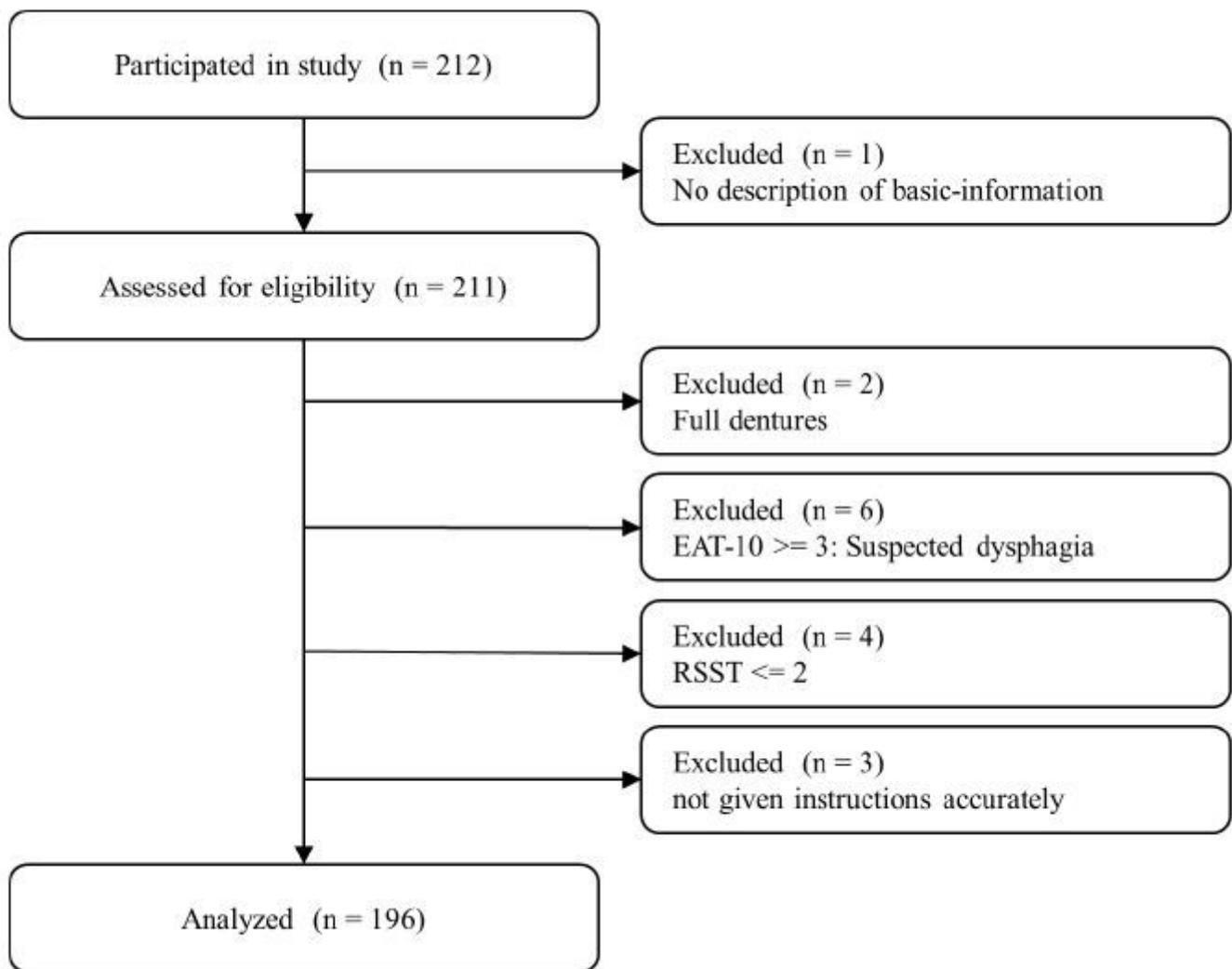


Figure 1

Flow diagram summarizing study selection criteria

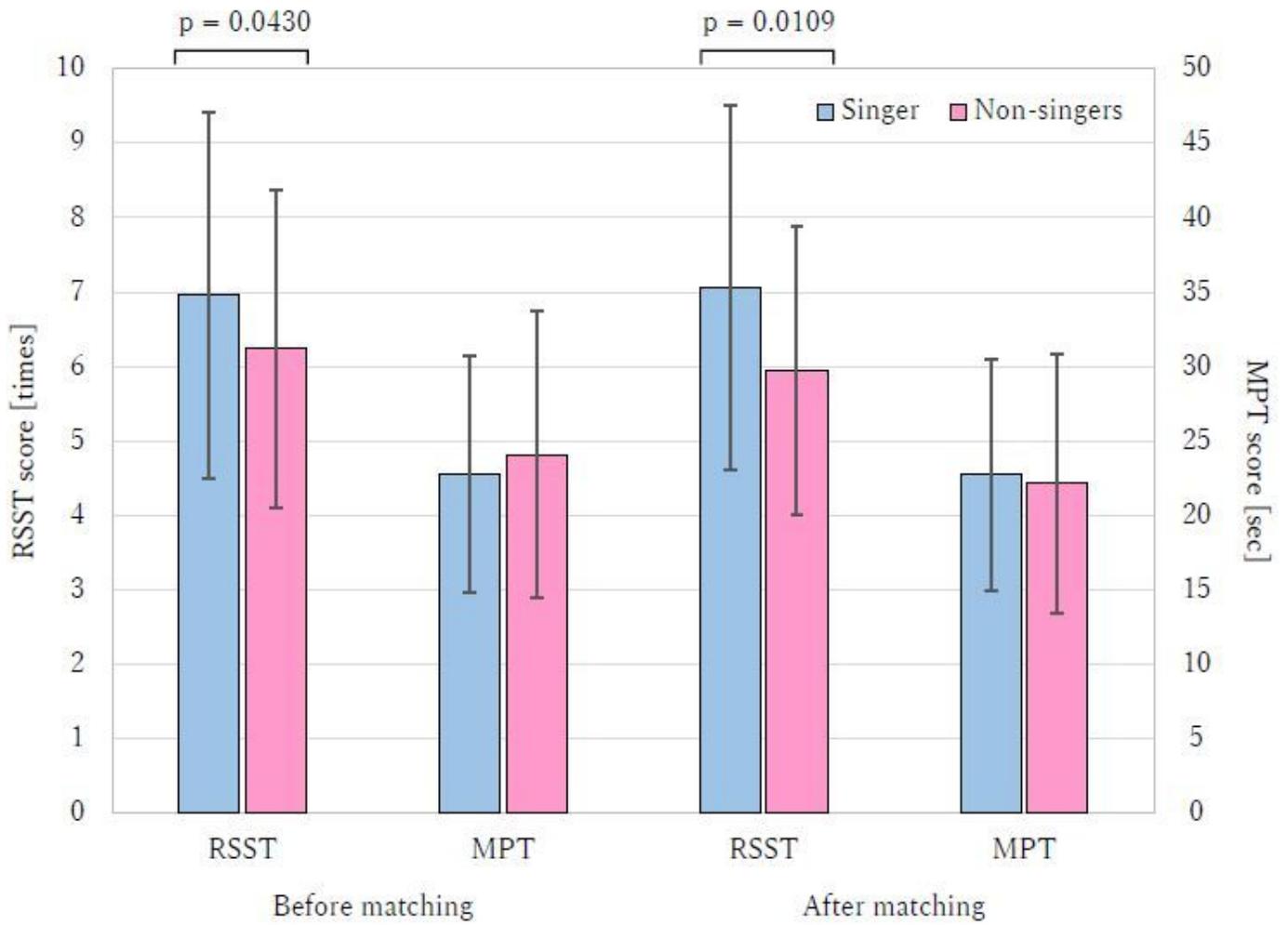
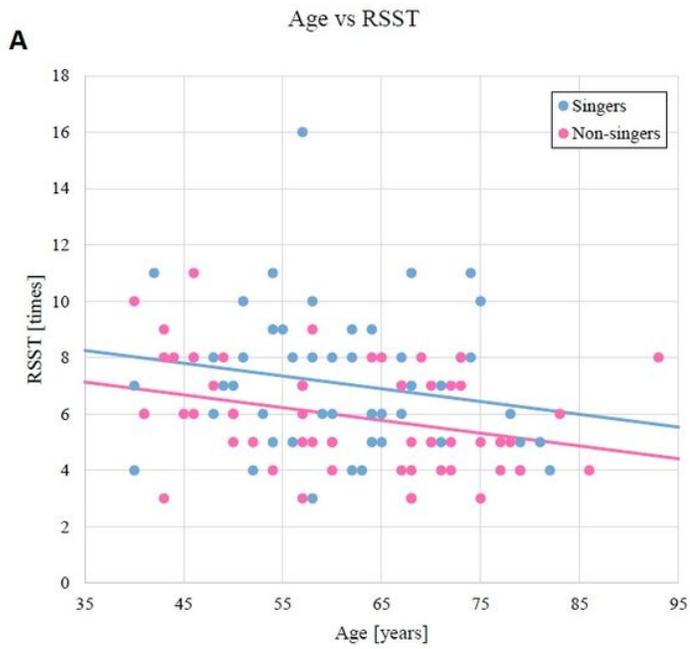


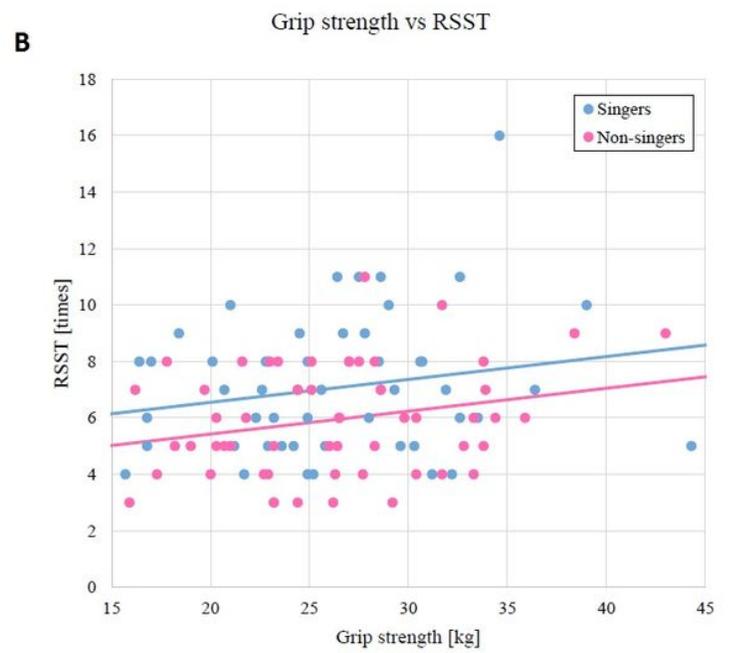
Figure 2

RSST and MPT scores before and after matching



$$\text{RSST} = -0.045 \times \text{Age} + 9.837 - 1.122 \times \text{Group}$$

(Singers: Group = 0, Non-singers: Group = 1, $p = 0.0084$)



$$\text{RSST} = 0.081 \times \text{Grip strength} + 4.931 - 1.124 \times \text{Group}$$

(Singers: Group = 0, Non-singers: Group = 1, $p = 0.0089$)

Figure 3

ANCOVA of age (A) and grip strength (B) plotted as a function of RSST score