

Woody Plant Species Diversity and Carbon Stocks Potential of Homegarden Agroforestry in Ephratana Gidimdistrict, Central Ethiopia.

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1 WOODY PLANT SPECIES DIVERSITY AND CARBON STOCKS POTENTIAL OF HOMEGARDEN AGROFORESTRY
2 IN EPHRATANAGIDIM DISTRICT, CENTRAL ETHIOPIA.

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7 **ABSTRACT**

8 **Background:** Tropical agroforestry systems can contribute incredible benefit for carbon sequestration and plant diversity. This
9 system is one of the common practices in the Central part of Ethiopia. This is because of source of the multifunctional ecosystem
10 services, such as food, feed, biodiversity conservation and carbon storage potential.

11 **Methodology:** This study was carried out to assess the influence of land size on floristic diversity, richness and biomass carbon
12 stock. The homegardens were classified into small (<0.06 ha), medium (0.06–0.1 ha) and large (>0.1 ha). Biomass of the
13 homegarden was computed using allometric equations.

14 **Results:** A total of 39 woody species, belonging to 24 families were recorded in all the study homegardens. Tree density 625.8
15 tree ha⁻¹ and basal area 17.3 m²ha⁻¹ were highest for small-size HGs. However, large homegarden had more species richness
16 (Margalef Index) per garden (12.4) compared to medium and small size homegarden. Mean biomass carbon ranged from 9 to
17 89.3 ton ha⁻¹. Mean biomass carbon stock per unit area was higher in small homegarden (49.3 ton ha⁻¹) compared to medium
18 (38.4 ton ha⁻¹) and large (35 ton ha⁻¹).

19 **Conclusions:** This result implies that homegarden can serve as both for carbon sequestration and conservation of woody species
20 diversity. However, a specific homegarden management plan is necessary to improve the carbon storage and species
21 diversification to the respective area. The results provide a catalyst the implication of the future potential of homegarden
22 management in carbon storage thereby for climate change adaptation and mitigation purpose.

23 **Keywords:** Agroforestry; biomass; carbon stock; climate change; species diversity

24 **Background**

25 Rising levels of atmospheric carbon dioxide (CO₂) and associated greenhouse gases (GHG) are contributing to the global
26 warming. It is becoming a central point of discussion for climate change (CC) adaption and mitigation (IPCC, 2007). The
27 increased atmospheric CO₂ concentration distorts the living standard of the people and makes earth unsuitable for life (Kumar
28 and Nair, 2011). Generally, there are signs of climate change in the world, particularly in East African countries including
29 Ethiopia. This is revealed by the recurrent drought, floods and famine that have threatened millions of people and livestock

30 (Mesele Negash., 2013). Removing atmospheric carbon (C) and storing it within vegetation pool in terrestrial ecosystems is one
31 of the means to mitigate GHG emissions (IPCC, 2013).

32 The world needs carbon sequestration techniques that provide social, environmental, and economic benefits while reducing
33 atmospheric CO₂ concentration (Kumar and Nair, 2011). Thus, tree-based farming (agroforestry system) is believed to be a major
34 potential for carbon sink and could absorb large quantities of C (Kumar and Nair, 2011). Agroforestry as a land use system is
35 getting wider recognition not only in terms of agricultural sustainability but also in issues related to CC (Mesele Negash, 2013).
36 Agroforestry systems maximize carbon stocks in the terrestrial biosphere due to diversity and management for biomass (Henry et
37 al., 2009). The assessment report in different parts of the world including tropical regions showed that agroforestry could offer
38 the highest C sequestration (IPCC, 2007).

39 The precise relation between diversity and sustainability is still heavily debated. However, home-garden agroforestry is
40 ecologically and socio-economically sustainable due to their species diversity. A homegarden agroforestry is defined as an
41 intensive land use system that combine diverse farming components such as annual, perennial crops and livestock that can
42 provide environmental services, employment opportunities and household demands ((Tsfaye Abebe *et al.*, 2005). In addition, it
43 has a potential for C sequestration and thereby maintain a sound and sustainable ecology (Mohan, 2004) mainly for CC
44 mitigation and adaptation under changing environment. This is because of the multifunctional ecosystem services and multiple
45 arrangements of plant and relatively high species diversity compared to other agroforestry practices (Mersha Gebrehiwot, 2013).
46 HG agroforestry could also play a significant role in adaptation and mitigation to CC i.e. change the microclimate, provide
47 permanent cover, diversify the agricultural systems, improve resource use efficiency, improve soil fertility, reduce carbon
48 emissions and increase carbon stock in the soil and biomass (Rao *et al.*, 2007). According to studies conducted in Sub Saharan
49 Africa homegardens is one of the land use practices suggested for CC adaptation and mitigation more than the monoculture
50 practice (Asia Pacific Network for Global Change Research [APN], 2010).

51 Therefore, the introduction and development of agroforestry practices in farmlands provide multifunctional uses and are best
52 options in the sustainable conservation mainly in agriculture dominated regions like Amhara. Specifically, information and
53 documentation on the diversity of woody plants and their relation to carbon stock potential in homegarden agroforestry systems
54 are important both to improve the income and climate change adaptation and mitigation. Farm characteristics, such as farm size,
55 shape, species adaptability, nature of cropping pattern, and management variation also affect the structure and composition in
56 agroforestry. Few studies are conducted in relation to HG and carbon stock (Mesele Negash, 20013).

57 Most of the reports which are studied in Amhara region focus on the plant species diversity of the natural/church forests. But the
58 status of woody plant species diversity in homegarden agroforestry and their carbon stock potential is not well studied. Therefore,

59 this study designed to show the contribution of traditional homegarden agroforestry of *Ephratanagidim* district for woody species
60 diversity conservation and the potential role on carbon stock to use as a means for CC mitigation and adaptation strategy. The
61 study can also be used as baseline information to understand the role of diversity on carbon stock and biomass production. The
62 objectives of this study were to assess the current structure and composition of woody plant species and to estimate the biomass
63 carbon stock in homegarden agroforestry.

64 MATERIAL AND METHOD

65 Study Site Description

66 The study was carried out in *Ephratanagidim* district, located in the central part of Ethiopia. It is geographically located between
67 9° 45' N to 10° 11' N latitude and 39° 43' E to 40° 06' E longitude.

68 The study area is categorized under moist tropical climate and receives a mean annual rainfall ranging from 900 - 1200 mm with
69 considerable variation from year to year (*Ephratanagidim* Wereda Agricultural Office [EGWAO], 2018). The rainfall pattern is
70 bimodal, with short rain season, which is extended between March and June and long rain season between July and October. The
71 mean monthly temperature is 22°C with a mean monthly minimum and maximum temperatures of 11°C and 36°C,
72 respectively. The altitude of the district ranges from 1200 to 2500 m.a.s.l. The major soil types of the study district are vertisol
73 (58%), cambisol (25%) and nitosol (17%) (DBARC, 2016). The study area is specifically located in *Yilmo Kebele* (Figure 1)

74

75 Sampling and Data Collection Methods

76 Vegetation sampling

77 The sample was stratified according to landholding size of the HG. The HGs were categorized into three classes, i.e. small (<
78 0.05 ha), medium (0.06 - 0.1 ha) and large (> 0.1 ha) based on the existing HG size. The number of samples in each land size was
79 10 HG. All sampled HG were between 30 and 32 years old and the size of homegardens ranged from 0.01 to 0.42 ha. All HG are
80 located close to the homestead of the farmers. There is no sample measurement using quadrat. A complete enumeration of the
81 woody species was carried out in each sample using the method used by (Motuma Tolera *et al.* 2008). However, for coffee
82 sampling, 10 m × 10 m plot was used by (Mesele Negash, 2013). The information obtained from the survey was the composition
83 of wood species, DBH, height; land size and management practices. On each HG woody species seedlings (<2.5 cm diameter and
84 height < 1 m), saplings and shrub (2.5 - 5 cm diameter and height 1 - 2 m) and trees and shrub (≥5 cm diameter and height ≥ 2 m)
85 were recorded by complete counting method (Jiangshan *et al.*, 2009). For the coffee shrubs, the diameter was measured at 40cm
86 from the ground using the method used by (Mesele Negash 2013). All tree and shrub species were recorded in their local names
87 and later the scientific names were obtained from using the books of (Azene Bekele 2007).

88 **Methods of Data Analysis**

89 **Diversity Analysis**

90 To compare woody species composition among homegarden size class, species richness (Margalef Index), Shannon diversity
91 (H'), and evenness (E) and Simpson diversity indices (D') were calculated and analyzed:

92
$$H' = - \sum_{i=1}^n p_i \ln p_i$$
 Equation (1)

93 Where, H' = Shannon-Wiener index of species diversity s = number of species in community p_i = proportion of total abundance
94 represented by i^{th} species.

95 Evenness index describes the equality of species abundance in a community. Evenness (E') was calculated as:

96
$$E = \frac{H'}{H_{\max}} = \frac{H'}{\ln S}$$
 with $H_{\max} = \ln S$ Equation (2)

97 Where; H' = is the Shannon diversity index, S = is the number of species, P_i = is the proportion of total
98 individuals in the i^{th} species and $H_{\max} = \ln(s)$ (species diversity under maximum equitability conditions).

99 The Simpson's diversity index was derived from probability theory and it is the probability of picking two organisms at random
100 which is of different species .We get Simpson's diversity (D):

101
$$D = 1 - \sum p_i^2$$
 Equation (3)

102 Where D = Simpson's diversity, P_i = as described above

103 Species richness (Margalef Index): The higher the Margalef Index, the higher the species richness of the population:

104
$$\text{Margalef Index} = \frac{N-1}{\ln(n)}$$
 Equation (4)

105 Where, N is the number of species, and n is the total number of individuals in the sample.

106 Basal area (BA) is the cross-sectional area of a tree estimated at breast height (1.3 m), which is expressed in m^2 . Basal area
107 will be calculated using the formula of :

108
$$BA = \pi r^2$$
 Equation (5)

109 **Importance Value Index**

110 The importance value index (IVI) indicates the importance of species in the system and it is calculated with three components as
111 follow:

112 Importance Value Index (IVI) for each species = $RD + Rd + RF$ ---- Equation (6)

113 Where Relative density (RD), Relative dominance (Rd) and Relative frequency (RF)

114 **Carbon Estimation**

115 **Aboveground tree biomass carbon**

116 The above- and belowground biomass ($Mg\ ha^{-1}$) of woody plants for 30 homegarden was estimated using allometric equations
117 developed by Chave *et al.* (2005) for wet tropical woody species and Mesele Negash *et al.*, (2013) for south-east traditional
118 agroforestry system coffee shrub in Ethiopia and Kuyah *et al.*, 2012b. The C stocks of the tree, shrub and coffee were
119 determined. All trees and shrubs $>2.5\ cm\ dbh$ were considered for determining above and belowground biomass, which were used
120 in estimating carbon stocks in woody species in the 30 homegarden agroforestry system. Values for wood specific density
121 were taken from the global wood density database (Zanne *et al.*, 2009).

122 The equation developed by Chave *et al.* (2005):

123 $AGB = WD * \exp(-1.239 + 1.980 * \ln(D) + 0.207 * (\ln(D))^2 - 0.0281 * (\ln(D))^3)$ -- Equation(7)

124 Where; AGB = above ground biomass of tree-1 (kg), D = dbh (cm) and WD = species-specific wood density in $g\ cm^{-3}$

125 The total aboveground biomass for coffee shrub was estimated using equation developed by Mesele Negash *et al.*, 2013 for
126 south-east traditional agroforestry system coffee shrub in Ethiopia:

127 $AGB = 0.147 \times d_{40}^2$ -----Equation (8)

128 Where; AGB= above ground biomass of tree⁻¹ (kg) and D = dbh (cm)

129 Belowground biomass ($>2\ cm$ diameter) of the tree and coffee plants were calculated using the generic equation developed by
130 (Kuyah *et al.*, 2012b):

131 $BGB = 0.490AGB^{0.923}; R^2=0.95$ -----Equation (9)

132 Where BGB is the belowground biomass (kg dry matter/ plant) and AGB is aboveground biomass (kg dry matter/plant). For
133 comparisons on unit area basis for each homegarden the values was extrapolated to hectare size.

134 Then tree biomass was converted into C by multiplying the total tree/shrub biomass by 0.49 (IPCC, 2006).

135 Carbon stock = $Y * 0.49$ _____ Equation (10)

136 *Where;* $Y = AGB + BGB \text{ tree}^{-1} \text{ (kg)}$.

137 Eventually, total woody biomass carbon stock calculated on a hectare basis.

138 **Statistical Analysis**

139 Prior to statistical analyses, all data were checked for meeting the assumptions of normality using Kolmogorov–Smirnov’s test
140 (and by inspecting the histogram of distribution) and homogeneity of variance using Levene’s test. In cases where some
141 assumptions (normality and/or homogeneity) were violated, log transformed data were used for the analyses. Variables were
142 compared using one-way analysis of variance (ANOVA) following linear model (GLM) procedure at $P < 0.05$ with the help of
143 Statistical Package for Social Sciences (SPSS) for Window versions 16 (SPSS Inc., Chicago, USA, 2007) . If statistical
144 significance difference were observed $P < 0.05$, Duncan test was used to separate means.

145 **RESULTS AND DISCUSSIONS**

146 **Woody Species Composition and Diversity four**

147 A total of 39 woody species, representing 24 families were identified and recorded in the HG agroforestry practices of the study
148 area. The result from this study showed that HG agroforestry comprised of high number of woody species compared to other land
149 uses found in and around the country. HG agroforestry has been known for its diversity, ecosystem balance, sustainability,
150 household food security and rural development (Tesfaye Abebe *et al.*, 2005).The woody species richness of the HG agroforestry
151 was comparable with other homegarden agroforestry found in different part of Ethiopia like, (Motuma Tolera *et al.*, 2008; Aklilu
152 Bajigo *et al.*, 2015 and Yirefu Tefera *et al.*, 2016). However, the woody species richness is by far lower than some sites in
153 Ethiopia. For example, 120 trees and shrubs are found from Sidama zone in Southern Ethiopia (Tesfaye Abebe *et al.*, 2005).
154 Plantings of various exotic and native woody species in the HGs lead to higher species richness and diversification of products
155 (Figure 2).

156 **Diversity Indices**

157 Shannon diversity, Evenness and Simpson diversity index did not significantly differ among the homegarden size class, but
158 Margalef’s diversity index of species richness was highly significant ($P < 0.001$). The mean number of woody species per hectare
159 (ha) was 147. The maximum diversity of an individual was recorded in a large size HG and the minimum diversity was found in

160 a medium homegarden (Table 1). However, large HG size planting perennial crop and tree components and livestock (Mersha
161 Gebrehiwot, 2013) which maximize the diversity of woody species. In regarding to on species richness HGs are the highest
162 human-made agro ecosystem next to natural forest (Kumar and Nair, 2004). This is due to selective and repeated planting and
163 management of useful woody species from a natural regeneration (Kumar and Nair, 2004).

164 The value of described by the Shannon diversity index (H') for woody species was from 1.6–1.9 with the mean value 1.7 (Table 2).
165 HG agroforestry consists of a good collection of tree shrub and annual species in the *Ephratana Gidm*. The mean Shannon
166 diversity index (1.7) is higher than as reported by Tesfaye Abebe *et al.*, (2005) in Sidama village ($H' = 1.41$) and Bikila Mengstu
167 and Zebene Asfaw (2016) in the Dallomena district ($H' = 0.47$). However, lower than other countries, Keeriyagaswewa village
168 ($H' = 2.13$) as reported by APN (2012). In addition, the measure of evenness (E) was 0.8. This means the relative homogeneity of
169 the species in the samples is 80%. Some species are thus more abundant than others. Species evenness varied between 0.43 and
170 0.96 (Table 1). As the size of homegardens increased, woody species richness within homegarden size basis showed increase.
171 However, species richness ha^{-1} was the highest in small sized homegardens followed by, medium sized (Table 1). In other words,
172 there is an inverse relationship between land size and tree species richness. The same result reported by higher the species
173 richness the smaller the land size as shown by Kumar and Nair (2011). The land owners of the homegardens often adopt more
174 intensive management and denser planting in multiple layers, thus, higher tree species richness (Eskil *et al.*, 2014). However,
175 Tesfaye Abebe *et al.*, (2005) found that there is a positive relationship between land size and species richness. The number of tree
176 species per hectare increased with increasing farm size.

177 **Woody Species Structure**

178 The Important Value Index (IVI) of all woody species in the study area is listed descending order in (Table 2). The species with
179 the highest IVI were *Coffea arabica*, *Cordia africana*, *Melia azedarach* and *Croton macrostachyus* *structurally very important*
180 *woody species in the study area*. On the other hand, 6 tree/shrub species (*Persea Americana*, *Acacia polycantha*, *Casuarina*
181 *equisetifolia*, *Arundo donax*, *Ceiba pentandra* and *Commiphora africana*) were found to be very rare each occurred only in one
182 of the farm plot.

183 The IVI is an aggregate index that summarizes the density abundance, and distribution of a species. It measures the overall
184 importance of a species and gives an indication of the ecological success of a species in a particular area (Kent and Coker 1992).

185 The IVI values can also be used to prioritize species for conservation, and species with high IVI value need less conservation
186 efforts, whereas those having low IVI value need high conservation effort (Neill *et al.*, 2001). Native tree species ranked high in
187 terms of frequency, abundance and dominance had an importance value index in the homegarden, this support homegarden
188 agroforestry practices are among the agroforestry systems with the potential to harbor native woody species (Kumar and Nair,
189 2004) (Table 2). The three commonly planted native tree species, namely *Coffea arabica*, *C. africana* and *C. macrostchyus*
190 account for about 73.31% of the relative abundance of all recorded tree species in the homegarden of the study area investigated.

191 The dominance of native species may be due to their ecological and economic importance for use as timber, the source of organic
192 matter and income generation. This finding is in line with the reports by Ewuketu Linger (2014) which show that species with
193 multiple uses showed higher IVI value. Similar results were reported by Yitebitu Moges (2009) from a comparison of woody
194 species diversity along an elevation gradient in southern Ethiopia. This could be associated with their importance in improving
195 soil fertility and high economic importance; hence the farmers prefer this tree and maintain it in their homegarden. The existence
196 of these species in the homegarden agroforestry, that it has the advantage of conserving native species.

197 The structural parameters of woody species for each size class are shown in (Table 3). The three HG size class showed
198 variations in their structural characteristics except for the dbh. The basal area and stem density were significantly affected by the
199 size of the homegarden ($P < 0.05$). However; dbh showed that there was no any significant different among homegarden size
200 class. The mean number of stem decreased in the order Small > Large > Medium. The mean density of all the woody species
201 recorded was 424 individuals per hectare (Table 3). This result showed that the density is higher than the result reported by Bikila
202 Mengistu and Zebene Asfaw (2016) in South-East Ethiopia. Indigenous woody species accounted for 73% ($24\text{m}^2\text{ha}^{-1}$) of total
203 basal area on average across all homegarden ($n=30$). Among all homegarden, the dominant indigenous tree /shrub species
204 were *Cordia africana* (mean basal area $6.71\text{m}^2\text{ha}^{-1}$) and *Coffea arabica* (mean basal area $6.89\text{m}^2\text{ha}^{-1}$). Similar result was
205 reported by Mesele Negash (2013).

206 According to Motuma Tolera *et al.* (2008) and Getahun Haile *et al.* (2016) the determinant factor for variation in the structure of
207 elements within HG agroforestry are due to the the area of the homegarden. The land size strongly influenced the composition
208 and structure of woody species. The tree density higher in small size class was may be excluding of annual crop and growing of
209 woody species. For example, in larger HG the spatial arrangements between trees and crops were distinct. Most of the trees are
210 planted around and close to the homestead. Low tree density is found away from the homestead. These have to be close to
211 homestead for controlling and management (Jaman *et al.*, 2016). The mean basal area of woody species was higher than that
212 reported for *Enset*-coffee systems of southern Ethiopia (Mesele Negash, 2013). However, it is lower than that of coffee-based
213 agro forests in Guinea (Correia *et al.*, 2010). These difference could be the dominance of large tree species in the homegarden
214 like; *Ficus* species (Chave *et al.*, 2003).

215 **Carbon Stock**

216 The mean C stock of total biomass (above plus below ground biomass) for the 30 sampled HG was $40.9 \pm 3.7\text{ ton C ha}^{-1}$, mean
217 $\pm\text{SE}$. The mean AGB carbon was $30.03\text{ ton C ha}^{-1}$ (73.5%) and the BGB carbon was $10.82\text{ ton C ha}^{-1}$ (26.5%). Statistically there
218 were no any significance difference among homegarden size ($p=0.262$), but mean carbon stocks per unit area was slightly higher
219 in the small HG ($49.3 \pm 8.1\text{ ton C ha}^{-1}$). The mean carbon stock for medium and large size HG was $38.4 \pm 6.4\text{ ton C ha}^{-1}$ and 35 ± 3.3

220 ton C ha⁻¹, respectively (Figure 6). The small homegarden relatively higher may be as result of large basal area and tree density
221 (Kumar and Nair, 2004). This result is in contrary to the study of Kumar and Nair (2011). The smaller size HG had higher
222 biomass and carbon stock than medium and large. This may be due to the intensive management of farm plots by the farmers of
223 *Yilmo Kebele*.

224 The total biomass C stocks of the HG agroforestry in *Ephratanagidim* ranges 35– 49.3 ton C ha⁻¹ (Figure 3). And the average
225 aboveground C storage potential of agroforestry systems in semiarid, sub-humid, humid and temperate regions has been
226 estimated to be 9, 21, 50 and 63 ton C ha⁻¹, respectively (Montagnini and Nair, 2004). The mean carbon stock substantially lower
227 than the range reported from the Bangladesh and Indonesia, which ranges from 6.25- 193.83 ton C ha⁻¹ (Jaman *et al.*, 2016) and
228 30- 123 ton C ha⁻¹ (Roshetko *et al.*, 2002a). However, the carbon stock of HG of *Ephratanagidim* is higher than the HG of
229 Woleyata 15 ton C ha⁻¹ as reported by (Aklilu Bajigo *et al.* 2015). The HG from Sri Lanka which is the tropical region is 13 ton C
230 ha⁻¹ (Mattsson *et al.* 2014). This difference is due to the difference in the amount of trees in the agroforestry systems. And it
231 could be variability of model use for biomass estimation (Sileshi, 2014).

232 **Relationship between diversity and carbon stocks**

233 A correlation analysis was conducted by using AGB carbon with selected diversity parameters and HG size measures from 30 of
234 HG (Table 4). There were significant correlation between the AGB carbon and the stand characters (i.e., Basal area ha⁻¹, Trees
235 density ha⁻¹, Shannon index H' and DBH). Even though statistically not significant, the HG size has a negative correlation in
236 carbon stock. The larger the HG size the lesser the carbon stock per unit area due to small basal area and low stem density (Table
237 4).

238 Basal area is significantly correlated to AGB carbon stock ($r= 0.89$; $P<0.001$) than number of species and Shannon (H') indices
239 with ($r=0.11$; $P>0.05$ and $r= 0.29$; $P>0.05$) respectively. Similar result is reported by Jaman *et al.* (2016) from quantification of
240 carbon stock and tree diversity of HG in *Rangpur* district, Bangladesh where basal area is strongly affect carbon stock potential
241 of homegarden agroforestry. Tree density of the study area varied from 115.7 to 1301.6 per hectare (9-95 trees per homegarden).
242 Correlation analysis showed a positive and significant relationship between tree density and carbon stock where ($r=0.39$; $p<0.01$)
243 (Table 7). Tree density is an important factor to store carbon as it directly relates to the carbon stock (Roshetko *et al.*, 2002a).
244 Considering the relationship between tree density and biomass carbon stock it is indicated that tree density is a strong
245 determinant factor of aboveground carbon stock. Diameter at breast height is also a strong determinant factor which is
246 significantly affecting carbon stock potential of homegarden agroforestry($r=0.66$, $p< 0.001$); similar result reported by (Mattsson
247 *et al.*, 2014).

248 CONCLUSIONS AND RECOMMENDATIONS

249 The experiences of establishing HG by the farmers' of *Ephratana gidim* are not only to optimize food production and sustainable
250 land management, but are also important for conserving indigenous species, optimizing biomass and improving carbon stock, this
251 in turn contributes to climate change adaptation and mitigation. The HG also conserves indigenous species such as, *Commiphora*
252 *Africana*, *Cordia africana*, *C. macrostachyus*, *Ehretia cymosa* and *Prunus africana*. The woody plant species evenness and
253 diversity index's were not affected by homegarden size.

254 The results suggested that homegarden size was not the factor for AGB carbon stock however, the investigated homegardens in
255 the study area hold a wide range of carbon between 9 to 89.3 ton ha⁻¹ and a mean above-below ground biomass C stock of 41.4
256 ton C ha⁻¹, which is higher than other reported carbon estimates for homegardens in different ecological zones and equaling the
257 amount of C stored in other tree-based systems. The carbon estimates found here are reflecting the differences in tree density, tree
258 diversity and management practices between individual homegardens. In addition, there were strong and positive interaction
259 between AGB carbon and HG basal area of trees/shrubs. Homegarden with large basal area retained more carbon in their biomass
260 compared to those with small basal area.

261 The finding of the present study revealed that homegardens should be established by maintaining proper species composition
262 model focusing on the diversity of tree species so that it sequester a substantial amount of carbon and contribute to the global
263 climate change mitigation. The results of this study show that the investigated homegardens have a good capacity for carbon
264 storage capacity which provides useful information for the national process of whether homegardens should be considered to be
265 included as an activity within Ethiopia commenced National Programme on REDD+. In addition, the study suggested timely and
266 appropriate mechanism to explore the CDM/ REDD investment on smallholder farmers can access international C investment
267 funds to convert low-biomass lands.

268 **List of abbreviations:** AGB: above ground biomass; BGB: belowground biomass; C: carbon; CDM: Clean Development
269 Mechanism; DBARC: Debre Birhan Agricultural Research Center; CO₂e: Carbon dioxide equivalent; DBH: Diameter at Breast
270 Height; EGWAO: *Ephratana Gidm Wereda* Agriculture Office; HG: homegarden; IPCC: Intergovernmental Panel on Climate
271 Change; IVI: Important Value Index; REDD+: Reducing Emissions from Deforestation and Forest Degradation.

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279 **Authors' contribution:** Mesafint Minale, designed and conducted the experiments at field, data collection, analysis, interpret the
280 results and finally prepared the draft manuscript. Menale Wondie, designed the experiments, interpret the results, review the full
281 manuscript and improve the contents of these manuscripts. All authors read and approved the final manuscript.
282 **Availability of data and materials:** The datasets used and/or analyzed during the current study are available from the
283 corresponding author on reasonable request.
284 **Ethics approval and consent to participate:** Not applicable.
285 **Consent for publication:** Not applicable.

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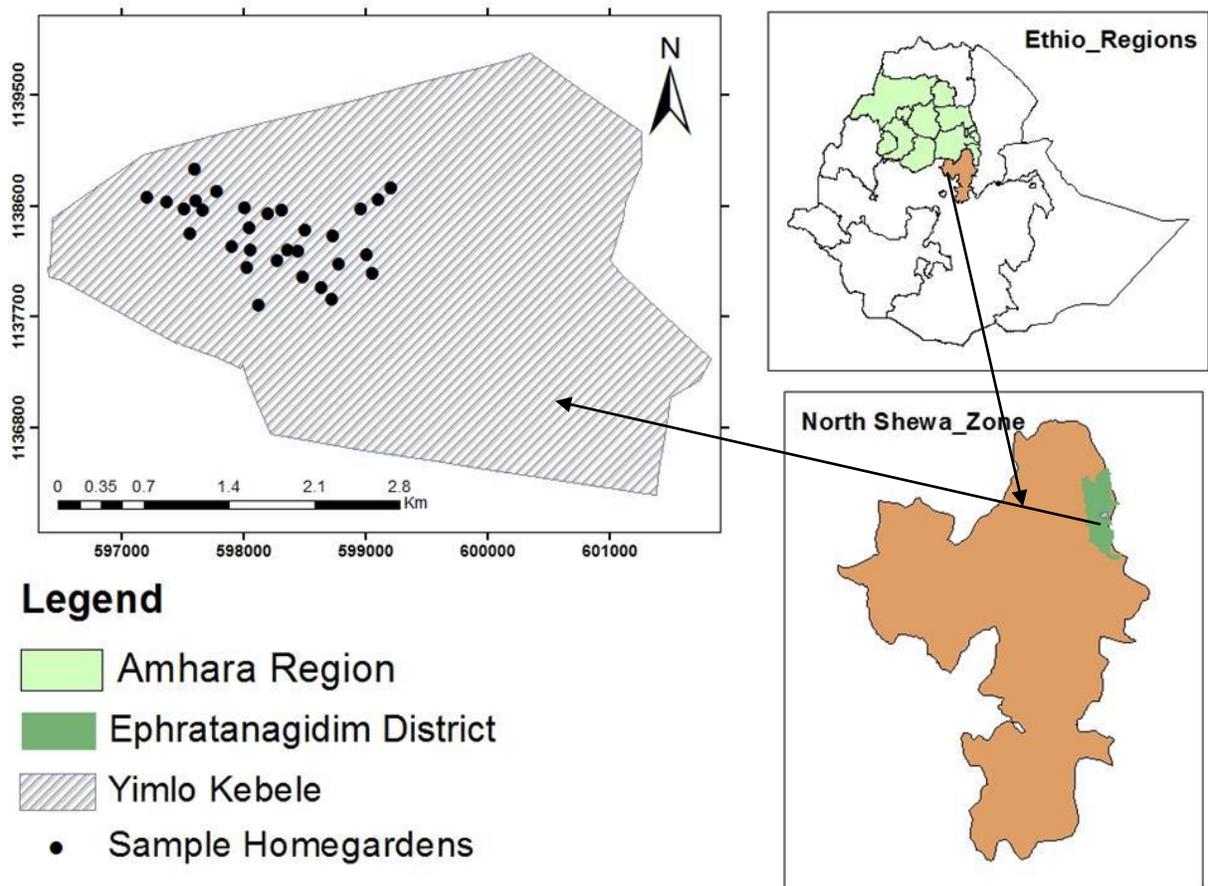
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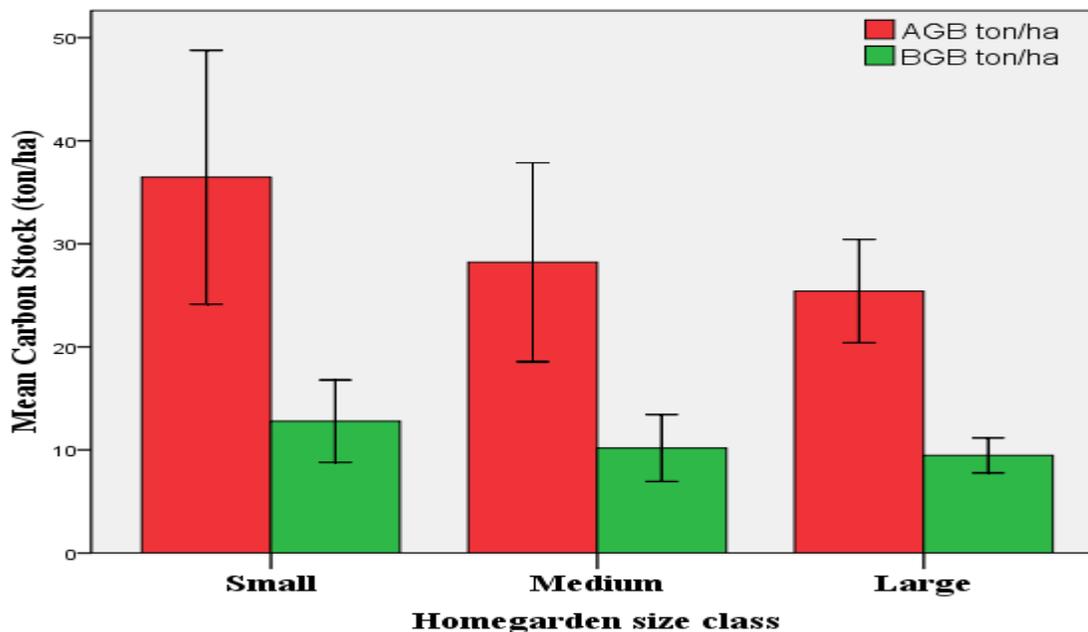
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364 Fig 1 location of the study area



365

366 Fig 2 Homegarden agroforestry with different strata's.



367
368 **Figure 3** Above and below ground carbon stock in the three homegarden sizes. Error bar show the standard error.

369 **Table 1** Mean woody species Shannon index, Shannon evenness, Simpson's index of diversity, Margalef's index and
370 number of species.

Homegarden Class	H'	E	1-D	MI	No. of species ha ⁻¹
Small	1.8 (0.08)	0.85 (0.02)	0.78 (0.02)	8.3 (0.7) ^b	261(37) ^a
Medium	1.6 (0.1)	0.79 (0.05)	0.75 (0.03)	7.3 (0.5) ^b	102 (8) ^b
Large	1.9 (0.2)	0.76 (0.04)	0.75 (0.05)	12.4 (1.0) ^a	80 (11) ^b
Overall mean	1.7 (0.07)	0.8 (0.03)	0.76 (0.02)	9.1 (0.6)	147 (20)
P_value	Ns	Ns	Ns	< 0.001	< 0.001

371 SE is shown in parenthesis. Letter with the same are not significant at 0.05; Ns= not significant p >0.05; SE standard error

372
373 **Table 2** Tree density ha⁻¹, Relative frequency, Relative abundance, Relative dominance and Importance Value Index of
374 woody species.

Scientific Name	RF (%)	RA (%)	RD (%)	IVI	Tree density/ha
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<i>Coffea Arabica</i>	9.12	67.42	21.00	97.54	685
<i>Cordia Africana</i>	7.77	4.45	20.46	32.68	45
<i>Melia azedarach</i>	9.46	3.49	9.37	22.32	35
<i>Croton macrostchyus</i>	8.11	1.44	5.20	14.75	15
<i>Eucalyptus camaldulensis</i>	4.39	3.94	6.25	14.59	40
<i>Ficus sur</i>	2.70	0.48	7.44	10.62	5
<i>Ehretia cymosa</i>	5.74	1.74	3.07	10.56	18
<i>Acacia nilotica</i>	2.03	2.38	4.30	8.70	24
<i>Ficus sycomorus</i>	2.70	0.30	4.92	7.92	3
<i>Prunus africana</i>	5.41	1.41	0.47	7.29	14
<i>Combretum molle</i>	0.68	0.15	6.31	7.13	2
<i>Calpurina aurea</i>	4.39	2.20	0.32	6.91	22
<i>Mangifera indica</i>	2.70	1.59	1.79	6.08	16
<i>Grewia bicolor</i>	3.72	0.51	1.73	5.95	5
<i>Citrus aurantifolia</i>	3.38	0.57	0.22	4.17	6
<i>Jatropha carcus</i>	2.03	1.50	0.22	3.75	15
<i>Carica papaya</i>	2.36	0.72	0.56	3.65	7
<i>Rhamnus prinoides</i>	1.35	1.74	0.00	3.10	18
<i>Olea europaea</i>	2.03	0.33	0.72	3.07	3
<i>Ziziphus spina-christi</i>	1.35	0.15	1.20	2.71	2
<i>Citrus sinensis</i>	2.03	0.24	0.23	2.50	2
<i>Ziziphus mucronata</i>	2.03	0.21	0.13	2.37	2
<i>Leucaena leucocephala</i>	1.69	0.27	0.38	2.34	3
<i>Psidium guajava</i>	1.35	0.39	0.41	2.16	4
<i>Ricinus communis</i>	1.35	0.36	0.30	2.01	4
<i>Jacaranda mimosifolia</i>	1.35	0.12	0.38	1.85	1
<i>Celtis africana</i>	1.35	0.12	0.36	1.83	1
<i>Citus limonia</i>	1.35	0.21	0.18	1.74	2
<i>Faidherbia albida</i>	0.68	0.15	0.87	1.70	2
<i>Schinus molle</i>	1.01	0.15	0.05	1.22	2
<i>Piliostigma thomingii</i>	1.01	0.09	0.07	1.18	1

<i>Delonix regia</i>	0.68	0.12	0.20	1.00	1
<i>Cupressus lusitanica</i>	0.68	0.06	0.24	0.97	1
<i>Arundo donax</i>	0.34	0.48	0.05	0.87	5
<i>Persea americana</i>	0.34	0.27	0.26	0.87	3
<i>Casuarina equisetifolia</i>	0.34	0.03	0.19	0.56	1
<i>Ceiba pentandra</i>	0.34	0.06	0.11	0.51	1
<i>Acacia polyacantha</i>	0.34	0.09	0.01	0.44	1
<i>Commiphora africana</i>	0.34	0.03	0.02	0.39	1
	100.00	100.00	100.00	300.00	

375

376 **Table 3 Mean diameter abreast height, basal area and stem density for each homegarden agroforestry size class.**

Homegarden size	DBH(cm)	Basal area (m ² ha ⁻¹)	Stem density ha ⁻¹
Small	15.9(1.3)	17.3 (5.5) ^a	625.8 (125.5) ^a
Medium	17.0(1.6)	9.1 (1.4) ^b	309.5 (59.4) ^a
Large	15.3(1.1)	6.9 (0.9) ^b	337.6 (50) ^b
Mean	16.1(0.8)	11.1(2.0)	424.3 (54.4)
P- Value	Ns	<0.038	<0.025

377 Ns - Not significant at 0.05; Standard error of the mean (SE) in parenthesis.

378 **Table 4 Non-parametric correlation (Spearman's Rho)**

Spearman's Rho	Basal area	Size	No. of species	Shannon	Trees density	DBH
Basal area (ha ⁻¹)	1					
Size (ha ⁻¹)	-0.46**	1				
No. of species (ha ⁻¹)	0.11	0.39*	1			
Shannon (H')	0.29	0.10	0.68**	1		
Tree Density (ha ⁻¹)	0.52**	-0.47**	0.26	0.09	1	
DBH (cm)	0.62**	-0.03	-0.04	0.24	-0.04	1

AGB_C Stock (t/ha)	0.89**	-0.31	0.20	0.40*	0.39*	0.66**
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379 ** Correlation is significant at the 0.01 level (2-tailed);

380 * Correlation is significant at the 0.05 level (2-tailed)

381