

Tropical modulation of East Asia air pollution

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1 **Tropical modulation of East Asia air pollution**

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24 **Abstract**

25 Given the high population density and serious air pollution problems, understanding and predicting air
26 pollution in East Asia are of great importance. Here, we show that the day-to-day variability of East Asia
27 air pollution in winter is remotely controlled by the convection over the tropical Indian Ocean and western
28 Pacific, the so-called Madden–Julian oscillation (MJO), through its extratropical teleconnections. In
29 particular, the concentration of particulate matter with aerodynamic diameter less than 10 micron (PM_{10})
30 becomes significantly high when the tropical convection is suppressed over the Indian Ocean (MJO phases
31 5–6). In contrast, PM_{10} concentration becomes significantly low when the convection is enhanced there
32 (MJO phase 1–2). The station-averaged PM_{10} difference between the two MJO phases reaches up to 47%
33 of the daily PM_{10} variability, indicating that the MJO is a primary source of wintertime subseasonal
34 variability of East Asia PM_{10} concentration. We also show that PM_{10} anomaly typically lags the tropical
35 convection by one to two weeks. This opens a new window of opportunity for subseasonal PM_{10} prediction
36 in East Asia.

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47 **Keywords:** PM_{10} , East Asia, Madden-Julian oscillation, tropical-extratropical teleconnection

48 **Main**

49 Severe air pollution events, with high PM₁₀ concentration, have frequently occurred in East Asia over
50 past decades, despite the government's air quality regulation¹⁻³. Such events, which are most frequent in
51 cold season⁴, have often been explained by local emissions and meteorological conditions that control
52 aerosol deposition, accumulation, and transport^{5,6}. Although minor, they are also influenced by climate
53 variability on a wide range of time scales. On the interannual time scale, for instance, wintertime PM₁₀ level
54 in East Asia is weakly sensitive to the El Nino–Southern Oscillations (ENSO)⁷ and the Arctic sea ice
55 variability^{8,9}. The relationship between haze days and Pacific Decadal Oscillation (PDO)^{10,11} also implies
56 that PM₁₀ may be affected by climate oscillation on the decadal time scale.

57 On the daily time scale, East Asia PM₁₀ substantially varies. In this study, we show that its daily
58 variability is not random but significantly influenced by subseasonal climate variability in the tropics.
59 Specifically, we show that the wintertime PM₁₀ variability is to a large extent determined by the tropical
60 climate variability, referred to as the Madden-Julian oscillation (MJO)^{12,13}. The MJO is a planetary-scale
61 convection–circulation coupled system in the tropics that typically moves eastward from the tropical Indian
62 Ocean to the western Pacific with a period of 30 to 60 days. Although organized in the tropics, its impacts
63 are not limited to the tropics. As the MJO propagates, it influences the midlatitude circulation by exciting
64 the Rossby wave train^{14,15}. Over East Asia, which is on the main pathway of the Rossby wave train,
65 precipitation, temperature, and atmospheric circulations are significantly modulated by the MJO¹⁶⁻¹⁸,
66 affecting local air pollution.

67

68 **MJO-related East Asia PM₁₀ change**

69 Figure 1a presents PM₁₀ climatology in China and Korea during the boreal winter (December-
70 February). The overall PM₁₀ level, ranging from 30 μg m⁻³ to 200 μg m⁻³, is relatively high compared to
71 that in Europe and North America¹⁹. Urban areas in northern China, including Beijing, whose PM₁₀ level is
72 determined by industrial emissions, residential heating, and transportation, show particularly higher values

73 than rural areas in southern China. Most monitoring stations in Korea exhibit relatively low PM₁₀
74 concentration than those in China, but megacities such as Seoul still show a high PM₁₀ concentration.

75 The daily PM₁₀ variability is quantified in Fig. 1b by computing daily one standard deviation. The
76 overall variability, averaged over the analysis domain, is 28 $\mu\text{g m}^{-3}$. This value accounts for 29% of the
77 daily climatology, indicating a significant day-to-day variability of East Asia PM₁₀, especially at the stations
78 with a high PM₁₀ climatology in northern China. Approximately 30% to 60% of the daily variability is due
79 to subseasonal variability, derived from a 20–96 day band-pass-filtered PM₁₀ anomaly (Fig. 1c). Such a
80 large contribution of the subseasonal PM₁₀ variation, particularly in southeastern China and Korea, cannot
81 be explained by local emissions alone. It can be also associated with meteorological conditions.

82 On the subseasonal timescale, midlatitude atmospheric circulation is influenced not only by local
83 processes but also by remote processes. One of the most important remote processes that determine the
84 atmospheric circulation in East Asia is the tropical–extratropical teleconnections driven by the MJO¹⁴. By
85 exciting the Rossby gyres in the subtropics and the Rossby waves that propagate into the midlatitude^{14,15},
86 the MJO effectively modulates the precipitation and atmospheric circulation in the midlatitude. It is well
87 documented that winter precipitation in East Asia, especially in southern China, Korea, and western Japan,
88 increases when the MJO convection is enhanced over the tropical Indian Ocean but suppressed over the
89 western Pacific (MJO phase 1–2; Fig. 2c). The opposite is true when the MJO convection is suppressed
90 over the tropical Indian Ocean but enhanced over the western Pacific (MJO phase 5–6; Fig. 2d).

91 The MJO-related precipitation and atmospheric circulation anomalies significantly modulate the
92 wintertime PM₁₀ concentration in East Asia. Figures 2a and b show subseasonal PM₁₀ anomalies during
93 MJO phases 1–2 and 5–6 at lag 6 to 10 days (i.e., 6–10 days after the selected MJO phase). During MJO
94 phase 1–2, PM₁₀ concentration becomes anomalously low almost everywhere except in northeastern China
95 (Fig. 2a). The station-averaged PM₁₀ anomaly is approximately $-5 \mu\text{g m}^{-3}$, being statistically significant at
96 the 95% confidence level. In contrast, PM₁₀ concentration is anomalously high during MJO phase 5–6 (Fig.
97 2b). Their spatial distribution is almost identical to that during MJO phase 1–2, but with an opposite sign

98 (see Extended Data Fig. 1 for the other MJO phases). The station-averaged PM₁₀ anomaly of approximately
99 6 μg m⁻³ is also comparable to that in MJO phase 1–2.

100 This mirroring result indicates that the MJO systematically modulates PM₁₀ concentration in East Asia.
101 When considering the unfiltered PM₁₀ anomaly (Extended Data Fig. 2), the station-averaged PM₁₀
102 difference between MJO phases 1–2 and 5–6, which is greater than 10 μg m⁻³, is up to 47% of daily PM₁₀
103 variability (~28 μg m⁻³).

104 The temporal evolution of the station-averaged PM₁₀ anomaly is further examined by considering eight
105 MJO phases (Extended Data Fig. 3a). Not surprisingly, it follows well the evolution of the MJO convection
106 with a maximum value at lag 6 to 10 days (Extended Data Fig. 3b). This time lag matches with the time
107 scale by which the MJO-induced Rossby waves take to affect in the midlatitude^{20,21}. This accentuates the
108 importance of the MJO in subseasonal PM₁₀ variability in East Asia.

109 The MJO also modulates high PM₁₀ days. Figure 3 shows the frequency distribution of the station-
110 averaged PM₁₀ concentration. No time filtering is applied here. The frequency distribution exhibits a long
111 tail with increasing PM₁₀ concentration (Fig. 3a), indicating that high pollution days occur more frequently
112 than clean air days. A similar frequency distribution, with different mean and extremes, is also found when
113 MJO phases 1–2 and 5–6 are separately considered (Fig. 3b). The PM₁₀ concentration during MJO phase
114 1–2 is on average around 88 μg m⁻³, which is 10% smaller than the winter average of about 98 μg m⁻³.
115 However, the PM₁₀ concentration during MJO phase 5–6 is biased toward the higher values, with its mean
116 value of about 103 μg m⁻³. This is approximately 1.2 times higher than that during MJO phase 1–2. This
117 result is consistent with Fig. 2 which is based on subseasonally-filtered PM₁₀ anomalies. It is evident from
118 Fig. 3b that the PM₁₀ distribution is skewed toward high pollution days during MJO phase 5–6. High PM₁₀
119 days, defined as days with PM₁₀ concentration greater than 140 μg m⁻³ corresponding to the top 10 percentile,
120 are more frequently observed during MJO phase 5–6. This result indicates that the MJO teleconnections
121 modulate not only the mean PM₁₀ concentration but also the frequency of high PM₁₀ days in East Asia.

122

123 **How does the MJO modulate East Asia PM₁₀?**

124 The MJO-related PM₁₀ anomalies likely result from the changes in regional precipitation and
125 atmospheric ventilations. The enhanced convection over the tropical Indian Ocean during MJO phase 1–2
126 yields the subtropical Rossby gyre and excites the Rossby wave train toward midlatitudes^{22,23}. They
127 strengthen moisture transport and vertical motion in East Asia, leading to more frequent precipitation events
128 (Fig. 2c). Since precipitation can remove PM₁₀ via wet scavenging processes²⁴, it is natural to anticipate
129 reduced PM₁₀ during MJO phase 1–2. The positive precipitation frequency anomalies (Fig. 2c) indeed
130 match well with PM₁₀ anomalies, especially in southeastern China (Fig. 2a).

131 During MJO phase 5–6, precipitation events become less frequent in East Asia (Fig. 2d). The opposite
132 precipitation anomaly, compared to MJO phase 1–2, is explained by systematic atmospheric circulation
133 change via the MJO teleconnections. This contributes to anomalously high PM₁₀ concentration (Fig. 2b).
134 However, this may not be sufficient to produce high PM₁₀ days as a relatively dry condition itself does
135 not guarantee more frequent high PM₁₀ days. Other factors such as atmospheric ventilation changes may
136 also play a role^{8,25}.

137 Consistent with previous studies, anticyclonic anomaly is observed in the mid-troposphere from
138 eastern China to Korea during MJO phase 5–6 (Fig. 4a). This circulation anomaly, which is a part of the
139 Rossby gyre, drives anomalous downward motion that promotes the accumulation of local pollutants⁶. The
140 anticyclonic anomaly can also enhance the atmospheric stratification near the surface. The bulk stability,
141 which is defined by the potential temperature difference between 925 and 1000 hPa, is indeed enhanced,
142 especially in eastern China (Fig. 4b). The stable boundary layer can lead to reduce vertical ventilation,
143 increasing PM₁₀ concentration^{8,9}. Horizontal ventilation also becomes weak as evidenced by the weak
144 surface wind (Fig. 4c). All of these circulation patterns suggest that relatively high PM₁₀ concentration in
145 East Asia during MJO phase 5–6 is likely caused by the combined effects of less frequent precipitation
146 events and reduced atmospheric ventilations. The atmospheric circulation anomalies opposite to these are
147 observed during MJO phase 1–2 (Extended data Fig. 4). This indicates that low PM₁₀ anomaly in Fig. 2a is

148 also partly influenced by the enhanced atmospheric ventilations besides reduced precipitation.

149

150 **Implication to PM₁₀ prediction**

151 This study reveals that the winter PM₁₀ concentration in East Asia is significantly modulated by the
152 MJO. Specifically, MJO phase 1–2 tends to lead to reduced PM₁₀ concentration, presumably due to more
153 frequent precipitation event in East Asia. In contrast, during MJO phase 5–6, PM₁₀ concentration becomes
154 anomalously high possibly due to less frequent precipitation event and weak atmospheric ventilation. This
155 finding is all based on the time average from lag 6 to 10 days (Figs. 2–4), the former lagging the latter. This
156 time lag suggests that East Asia PM₁₀ can be predicted at least one week in advance by considering the state
157 of the MJO. For instance, it is anticipated that when MJO phase 5–6 is detected in the tropics, the PM₁₀ in
158 East Asia increases with a high chance of heavy pollution days one to two weeks later. Since numerical
159 models are capable of predicting the MJO for two to three weeks in advance^{26,27}, the MJO–PM₁₀ connection
160 reported in this study can open a new window of opportunity for subseasonal PM₁₀ prediction in East Asia.

161 Given the high population density and serious air pollution problems in East Asia, any added
162 competency to PM₁₀ prediction is of great significance. Nevertheless, the results presented here need to be
163 interpreted with caution because of a number of limitations. First, PM₁₀ data in China are partly derived
164 from the air pollution index²⁸. The data conversion may have introduced small but non-negligible biases.
165 Second, PM₁₀ data are available only for 18 years from 2001 to 2018. This is a rather short-term record,
166 compared to long-term meteorological observations commonly used in the MJO teleconnection studies. To
167 better quantify the MJO–PM₁₀ relationship, longer data records are required. Third, the effects of local
168 emissions and regional transports are indirectly removed by filtering PM₁₀ data with a 20–96 day band-
169 pass-filter. Although this filtering is commonly used in MJO studies, it does not fully eliminate local and
170 regional effects. To isolate the MJO–teleconnection impacts, it may be useful to employ numerical
171 modeling in which the local processes can be controlled.

172

173 **Methods**

174 Observation and Reanalysis Data

175 Daily PM₁₀ concentrations are obtained from air quality monitoring stations in Korea and China for
176 18 years (2001-2018). The Korean data are directly obtained from the Ministry of Environment, Republic
177 of Korea (<https://www.airkorea.or.kr>), while the Chinese data are collected from two different sources. Until
178 December 2012, PM₁₀ in China is estimated by converting the air pollution index^{28,29}. The derived PM₁₀
179 concentrations are quantitatively similar to in-situ measurements³⁰ and have been widely used in literature
180 as an observations³¹. After December 2013, PM₁₀ data are collected from the Air Quality Inspection
181 Platform of China (<http://www.aqistudy.cn/historydata/>). It may be noted that no data are available in China
182 for 11 months from January to November 2013.

183 For both the Korean and Chinese stations, only those that exceed 75% of the temporal coverage are
184 considered. This allows inclusion of a total of 100 stations, with 58 in Korea and 42 in China. Because
185 Korean stations are densely distributed, only six stations (i.e., Busan, Daejeon, Gangneung, Gwangju, Jeju,
186 and Seoul) are subsampled when computing the station-averaged PM₁₀ concentration.

187 The daily meteorological variables, including geopotential height, wind, and temperature, are obtained
188 from European Centre for Medium Range Weather Forecasts Reanalysis 5 (ERA5)³². The gauge-based
189 precipitation data from the Climate Prediction Center (CPC)
190 (https://ftp.cpc.ncep.noaa.gov/precip/CPC_UNI_PRCP/) and the outgoing longwave radiation (OLR) data
191 from the National Oceanic and Atmospheric Administration (NOAA)
192 (https://psl.noaa.gov/data/gridded/data.interp_OLR.html) are also used. All variables are linearly
193 interpolated into a horizontal resolution of 0.5° longitude x 0.5° latitude.

194 The daily climatology of each variable is derived from a 31-day moving average of the calendar day
195 mean over 18 years. The anomaly is then calculated by subtracting the daily climatology from the raw data.
196 To focus on the subseasonal variability, the low-frequency anomaly is further isolated by applying a 20–96
197 day Lanczos band-pass-filter to the daily anomaly. This anomaly, which is referred to as the subseasonal

198 anomaly, is used in the composite analysis. The statistical significance of the composite value is tested using
199 Student's t-test with the degree of freedom often used in the MJO analysis³³. The null hypothesis is that the
200 population mean is zero.

201 Only the boreal winter (December to February) is considered in this study. When considering the
202 extended winter, the overall results do not change much although some results become slightly weak. There
203 is also no noticeable difference when detrended data are used.

204

205 MJO index and precipitation frequency index

206 The OLR-based MJO index (OMI)³⁴ is utilized in this study. This index is directly obtained from
207 NOAA website (<https://www.psl.noaa.gov/mjo/mjoindex/>). The MJO phase is defined with the two leading
208 principal components (PC) timeseries, which are calculated by projecting 20–96 day filtered OLR
209 anomalies over 20° S–20° N onto the two leading empirical orthogonal functions. Following previous
210 studies, the MJO phase is divided into eight phases, depending on the location of OLR anomalies. The MJO
211 amplitude is defined as $\sqrt{PC1^2 + PC2^2}$. Only well-organized MJO days, with the MJO amplitude greater
212 than one, are considered in the composite analysis. Approximately 63% of days in the analysis period are
213 classified as MJO days.

214 To relate PM₁₀ concentration to precipitation event, the precipitation frequency index is defined. It is
215 counted as one if the daily precipitation exceeds 0.1 mm day⁻¹, otherwise, it is set to zero. Although not
216 shown, the key results are not sensitive to the choice of precipitation threshold varying from 0.1 to 5 mm
217 day⁻¹. It is considered that more frequent precipitation (or a higher precipitation index) is associated with a
218 lower PM₁₀ concentration.

219

220 **Data availability**

221 Daily PM₁₀ data of Korea are obtained from the Ministry of Environment, Republic of Korea
222 (<https://www.airkorea.or.kr>). Chinese PM₁₀ data are collected from the air quality index website

223 (<https://aqicn.org/city/>) and the Air Quality Inspection Platform of China
224 (<https://www.aqistudy.historydata/>). The meteorological variables are downloaded from the ERA5 website
225 (<https://cds.climate.copernicus.eu/#!/search?text=ERA5&type=dataset>). Gauge-based precipitation data
226 from CPC (https://ftp.cpc.ncep.noaa.gov/precip/CPC_UNI_PRCP/) and OLR data from NOAA
227 (https://psl.noaa.gov/data/gridded/data.interp_OLR.html) are available online. The OMI index is also
228 available online (<https://www.psl.noaa.gov/mjo/mjoindex/>).

229

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236

237 **Author contributions**

238 M.-I. J. prepared all the figures, initial draft, and wrote the main manuscript. S.-W. S. initiated the
239 main idea, supervised the work and developed the manuscript. H. K. contributed to the manuscript
240 preparation and provided critical insights on the analysis. D. C. contributed to the interpretation of the result.

241

242 **Competing interests**

243 The authors declare no competing interests.

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318 **Figure lists**

319

320 **Fig. 1: Winter PM₁₀ concentration in East Asia.** (a) Daily climatology, (b) standard deviation, and (c)
321 the ratio of the 20–96 day filtered subseasonal standard deviation to a daily standard deviation.

322

323 **Fig. 2: MJO-related PM₁₀, precipitation frequency, and OLR anomalies.** Composite PM₁₀ anomalies
324 averaged over lag 6–10 days during MJO phases (a) 1–2 and (b) 5–6. Multiple counts of the same day are
325 not allowed. Sample size is denoted at the top-right corner, and values that are statistically significant at the
326 95% confidence level are denoted with filled circles. (c, d) Same as (a, b) but for midlatitude precipitation
327 frequency anomalies over 20° N to 50° N averaged over lag 6–10 days and tropical OLR anomalies from
328 the equator to 20° N at lag 0 day. Values that are statistically significant at the 95% confidence level are
329 dotted.

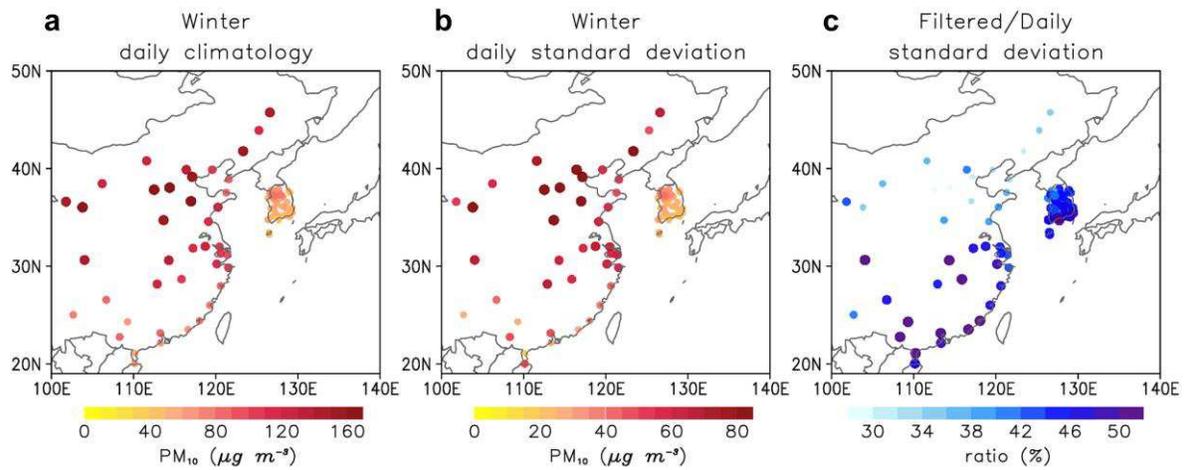
330

331 **Fig. 3: Frequency distribution of station-averaged PM₁₀ concentration.** (a) Frequency distribution of
332 all PM₁₀ observations with a bin size of 5 μg m⁻³. Black line represents the generalized extreme value
333 distribution. Unfiltered data are used. (b) Same as (a) but for the PM₁₀ from lag 6 to 10 days during MJO
334 phases 1–2 (blue) and 5–6 (red). Mean and one standard deviation are indicated at the top with dot and
335 horizontal error bar, respectively.

336

337 **Fig. 4: Atmospheric circulation anomalies during MJO phase 5–6.** Composite anomalies of (a) 500-
338 hPa geopotential height (shading) and wind (vectors), (b) bulk stability which is defined as potential
339 temperature difference between 925 hPa and 1000 hPa, and (c) 10-m wind speed averaged over lag 6–10
340 days during MJO phase 5–6. Dotted areas and black arrows denote statistically significant values at the 95%
341 confidence level.

342

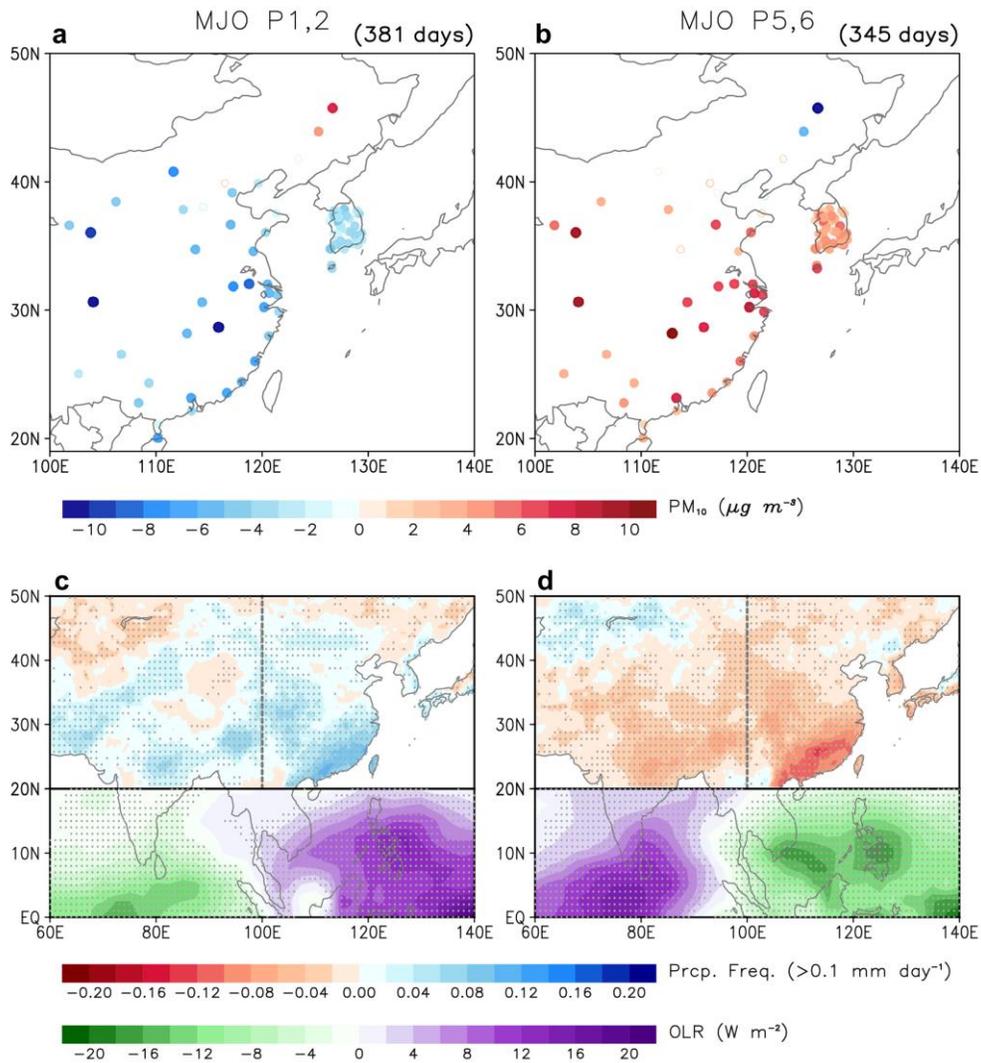


343

344 **Fig. 1: Winter PM₁₀ concentration in East Asia. (a)** Daily climatology, **(b)** standard deviation, and **(c)**

345 the ratio of the 20–96 day filtered subseasonal standard deviation to a daily standard deviation.

346



347

348 **Fig. 2: MJO-related PM_{10} , precipitation frequency, and OLR anomalies.** Composite PM_{10} anomalies

349 averaged over lag 6–10 days during MJO phases (a) 1–2 and (b) 5–6. Multiple counts of the same day are

350 not allowed. Sample size is denoted at the top-right corner, and values that are statistically significant at the

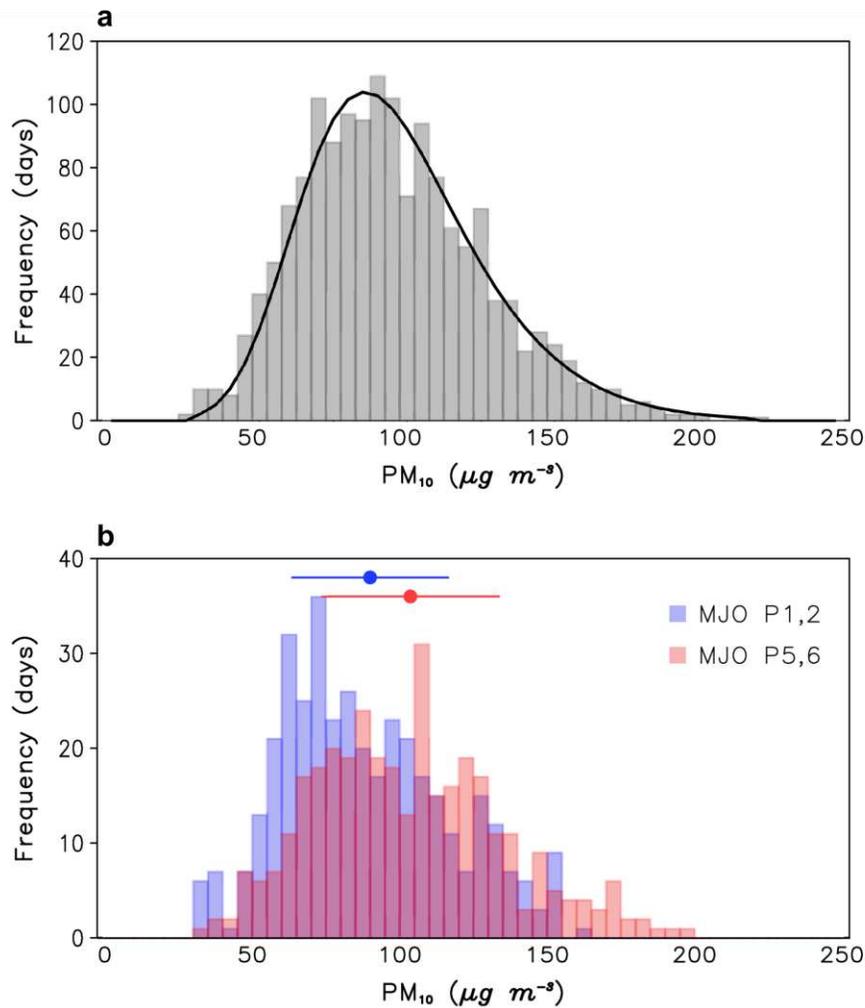
351 95% confidence level are denoted with filled circles. (c, d) Same as (a, b) but for midlatitude precipitation

352 frequency anomalies over 20° N to 50° N averaged over lag 6–10 days and tropical OLR anomalies from

353 the equator to 20° N at lag 0 day. Values that are statistically significant at the 95% confidence level are

354 dotted.

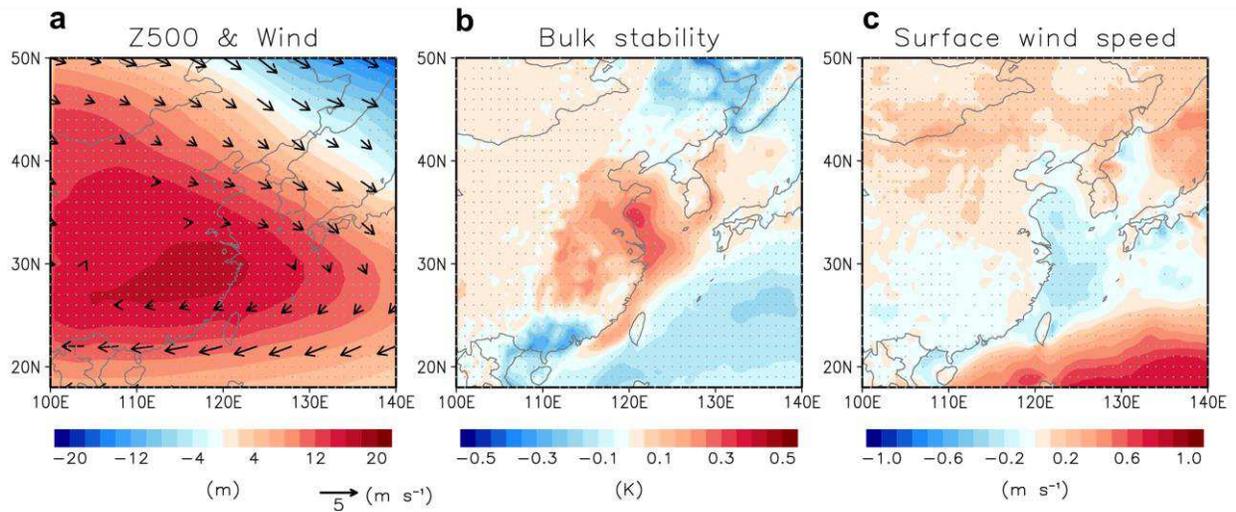
355



356

357 **Fig. 3: Frequency distribution of station-averaged PM₁₀ concentration.** (a) Frequency distribution of
 358 all PM₁₀ observations with a bin size of 5 μg m⁻³. Black line represents the generalized extreme value
 359 distribution. Unfiltered data are used. (b) Same as (a) but for the PM₁₀ from lag 6 to 10 days during MJO
 360 phases 1–2 (blue) and 5–6 (red). Mean and one standard deviation are indicated at the top with dot and
 361 horizontal error bar, respectively.

362



363

364 **Fig. 4: Atmospheric circulation anomalies during MJO phase 5–6.** Composite anomalies of (a) 500-

365 hPa geopotential height (shading) and wind (vectors), (b) bulk stability which is defined as potential

366 temperature difference between 925 hPa and 1000 hPa, and (c) 10-m wind speed averaged over lag 6–10

367 days during MJO phase 5–6. Dotted areas and black arrows denote statistically significant values at the 95%

368 confidence level.

Figures

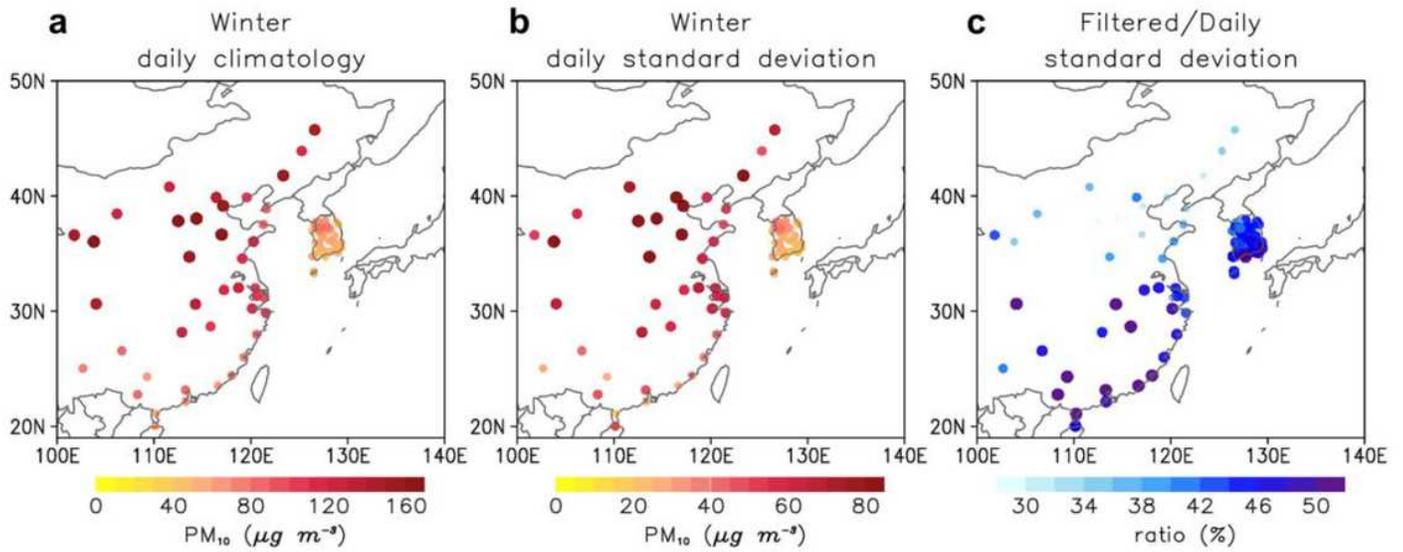


Figure 1

Winter PM₁₀ concentration in East Asia. (a) Daily climatology, (b) standard deviation, and (c) the ratio of the 20–96 day filtered subseasonal standard deviation to a daily standard deviation.

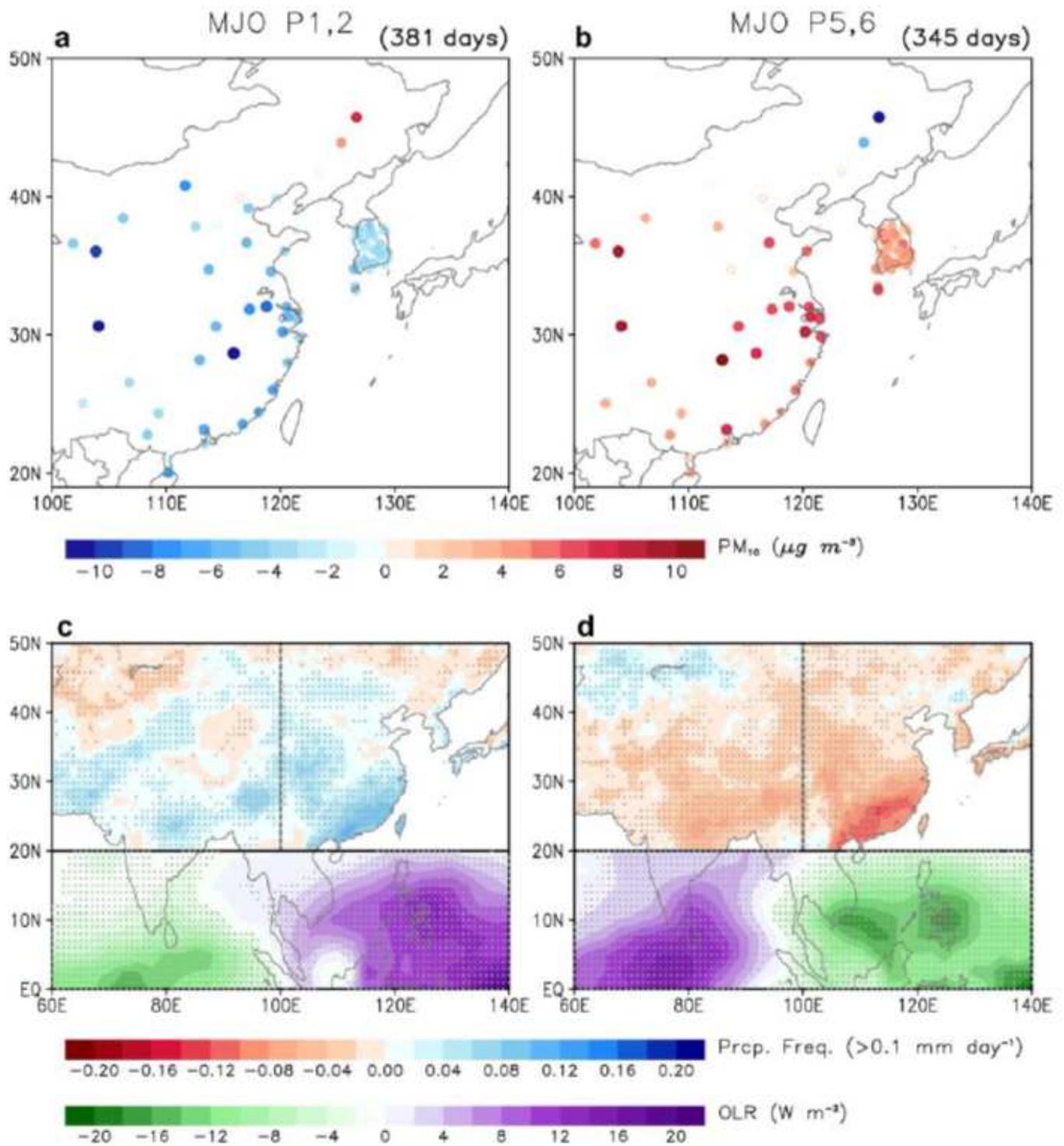


Figure 2

MJO-related PM10, precipitation frequency, and OLR anomalies. Composite PM10 anomalies averaged over lag 6–10 days during MJO phases (a) 1–2 and (b) 5–6. Multiple counts of the same day are not allowed. Sample size is denoted at the top-right corner, and values that are statistically significant at the 95% confidence level are denoted with filled circles. (c, d) Same as (a, b) but for midlatitude precipitation frequency anomalies over 20° N to 50° N averaged over lag 6–10 days and tropical OLR anomalies from

the equator to 20° N at lag 0 day. Values that are statistically significant at the 95% confidence level are dotted.

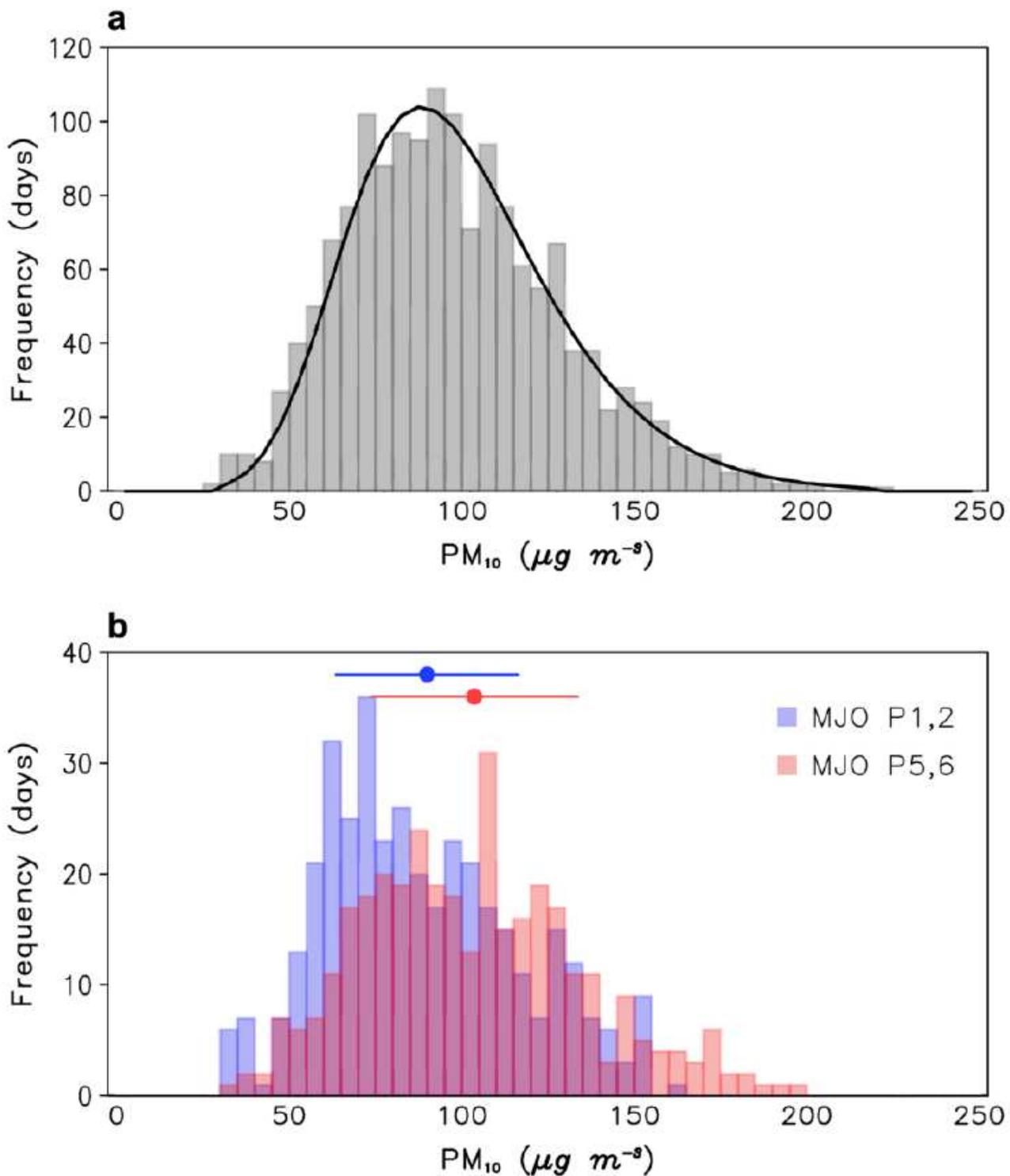


Figure 3

Frequency distribution of station-averaged PM₁₀ concentration. (a) Frequency distribution of all PM₁₀ observations with a bin size of 5 $\mu\text{g m}^{-3}$. Black line represents the generalized extreme value distribution. Unfiltered data are used. (b) Same as (a) but for the PM₁₀ from lag 6 to 10 days during MJO phases 1-2

(blue) and 5–6 (red). Mean and one standard deviation are indicated at the top with dot and horizontal error bar, respectively.

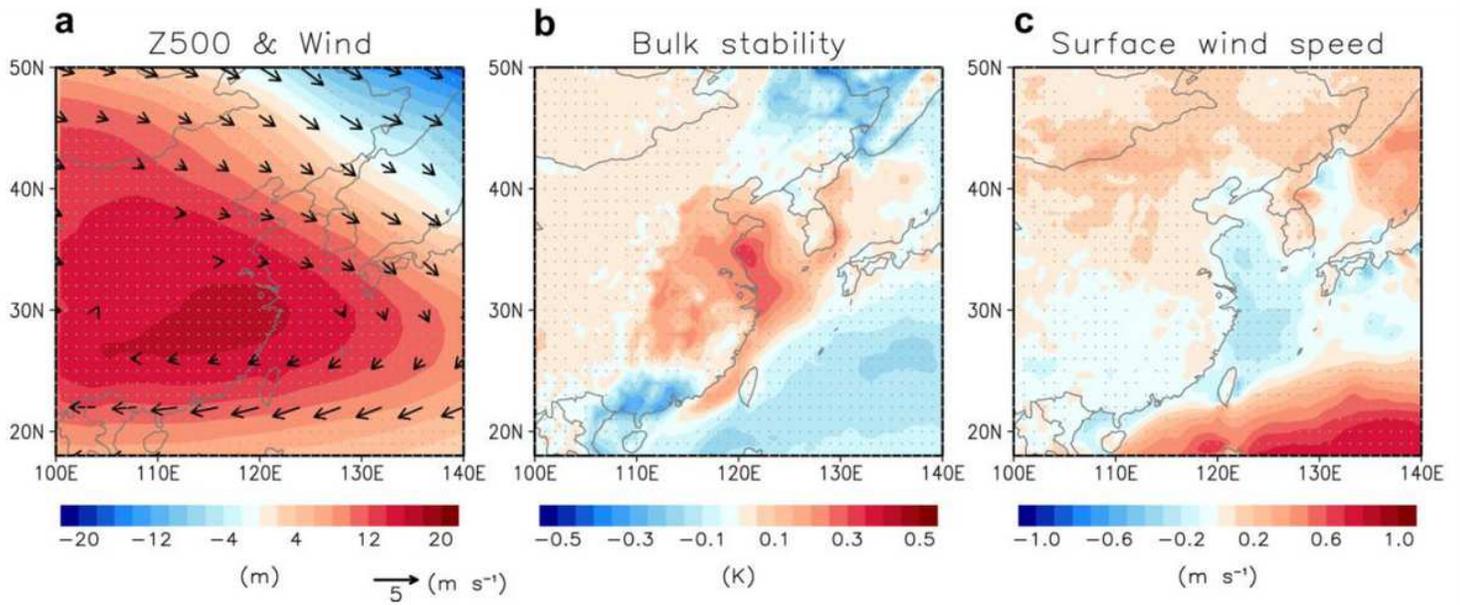


Figure 4

Atmospheric circulation anomalies during MJO phase 5–6. Composite anomalies of (a) 500-hPa geopotential height (shading) and wind (vectors), (b) bulk stability which is defined as potential temperature difference between 925 hPa and 1000 hPa, and (c) 10-m wind speed averaged over lag 6–10 days during MJO phase 5–6. Dotted areas and black arrows denote statistically significant values at the 95% confidence level.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [ExtendedData.pdf](#)