

Indian Ocean Dynamic Sea Level, Variability And Projections In CMIP6 Models

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Indian Ocean dynamic sea level, variability and projections in CMIP6 models

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Abstract

The regional sea level variability and its projection amidst the global sea level rise is one of the major concerns for coastal communities. The dynamic sea level plays a major role in the observed spatial deviations in regional sea level rise from the global mean. The present study evaluates 27 climate model simulations from the sixth phase of the coupled model intercomparison project (CMIP6) for their representation of the historical mean states, variability and future projections for the Indian Ocean. Most models reproduce the observed mean state of the dynamic sea level realistically, however consistent positive bias is evident across the latitudinal range of the Indian Ocean. The strongest sea level bias is seen along the Antarctic Circumpolar Current (ACC) regime owing to the stronger than observed south Indian Ocean westerlies and its equatorward bias. Further, this equatorward shift of the wind field resulted in stronger positive windstress curl across the southeasterly trade winds in the southern tropical basin and easterly wind bias along the equatorial waveguide. These anomalous easterly equatorial winds cause upwelling in the eastern part of the basin and keeps the thermocline shallower in the model than observed, resulted in enhanced variability for the dipole zonal mode or Indian Ocean dipole in the tropics. In the north Indian Ocean, the summer monsoon winds are weak in the model causing weaker upwelling and positive sea level bias along the western Arabian Sea. The high-resolution models compare better in simulating the sea level variability, particularly in the eddy dominated regions like the ACC regime in interannual timescale. However, these improved variabilities do not necessarily produce a better mean state likely due to the enhanced mixing driven by parametrizations set in these high-resolution models. Finally, the overall pattern of the projected dynamic sea level rise is found to be similar for the mid (SSP2-4.5) and high-end (SSP5-8.5) scenarios, except that the magnitude is higher under the high emission situation. Notably, the projected dynamic sea level change is found to be milder when only the best performing models are used compared to the full ensemble.

Keywords: Ocean dynamics, Climate modes, Indian Ocean Dipole, Global warming, Emission scenarios

1. Introduction

The ongoing global warming owing to the increase in the concentration of anthropogenic greenhouse gasses causing a devastating irreversible impact on the global climate systems (IPCC AR6, 2021). About 93% of the extra heat stored in the climate system is absorbed by the ocean, leading to a rapid sea level rise in the recent decade and therefore, posing a great threat to the densely populated low-lying coastal areas across the globe (Oppenheimer et al., 2019). As per the latest report of the IPCC (Intergovernmental Panel on Climate Change; IPCC AR6, 2021) the global mean sea level has increased at a rate of 1.8 mm/yr (Meehl et al., 2007). However, availability of the altimeter observation over the last few decades indicates a much rapid increase of global sea level rise at a rate

1 of ~3.2 mm/yr (Bindoff et al., 2007; Han et al., 2010; Church and White, 2011; Church et al., 2013;
2 Unnikrishnan et al., 2015). The rising rate further increased to 3.6 mm/yr during the period 2005-2015
3 (IPCC AR6, 2021), suggesting a nearly two-fold increase in the global sea level rise in the early part
4 of the 21st century. This rising sea level is expected to increase further at a rapid pace unless a deep
5 reduction in CO₂ emission becomes a reality across the globe (Perrette et al., 2013; Oppenheimer et
6 al., 2019). Moreover, this higher sea level along with warmer climate is expected to exacerbate
7 extreme weather events such as storm surges, cyclones, high waves and greater erosion which will put
8 human lives at the risk of coastal calamities around the globe, particularly in the heavily populated
9 south Asian countries where these effects will be devastating.

10
11 More importantly, the regional sea level change differs significantly from the global mean driven by
12 local wind, ocean dynamics, differential heating and gravitational and solid earth processes (Lowe and
13 Gregory 2006; Christensen et al., 2007; Milne et al., 2009; Yin et al., 2010, 2012; Meyssignac et al.
14 2012; McGregor et al. 2012; Landerer et al., 2007, 2014). Moreover, this non-uniform change of sea
15 level is also the manifestation of both the internal variability as well as the anthropogenic forcing
16 (Han et al., 2010). While the contribution of natural internal variability is one of the dominating
17 forcing in the interannual/decadal timescale, the contribution of the anthropogenic component is
18 expected to be the primary cause in the warmer future (Stammer et al., 2013). The internal variability
19 in the Indian ocean is associated with coupled climate modes such as El Nino Southern Oscillation
20 (ENSO), Indian Ocean Dipole (IOD), Pacific decadal Oscillation (PDO), Southern Annular Mode
21 (SAM), etc. (Phillips et al., (2021) and the references therein). Therefore, at a local level, for the
22 policymakers, the global mean assessments are of little use. In order to build and implement
23 mitigation policies against the climate change risks, the regional assessment of sea level rise and
24 associated extremes are more important than the global mean (Cazenave and Cozannet, 2014).

25
26 Phase six of the Coupled Model Inter-comparison Project (CMIP6), like its predecessors, brings
27 standardized model outputs of the historical simulations as well as the future projections of the groups
28 participating in the project (Eyring et al., 2015), allowing a detailed regional specific investigation of
29 the sea level change and associated processes. The model simulated dynamic sea level (DSL)
30 represents the change in sea level due to the thermal and halosteric changes along with dynamic
31 processes associated with wind forcings (Stammer et al., 2013). The mean state simulation of sea
32 level from CMIP3 to CMIP5 has shown a marked improvement while there has been no considerable
33 change from CMIP5 to CMIP6, but the zonal wind stress representation has slightly improved from
34 CMIP5 to CMIP6. The CMIP6 models report increased DSL projections compared to CMIP5 models,
35 which many linked to the inclusion of models with higher climate sensitivity (Andrews et al., 2012;
36 Lyu et al., 2020; Brunner et al., 2020; Nijssen et al., 2020; Tokarska et al., 2020; Hermans et al., 2021).
37 While, in the CMIP5, the future projections were driven by different climate scenarios based on the
38 representative concentration pathways (RCPs) associated with varied radiative forcing ranging from
39 2.6 to 8.5 Wm⁻² (Van Vuuren et al., 2011), In the CMIP6 models a new set of future climate scenarios
40 are adopted based on the Shared Socioeconomic Pathway (SSP) which additionally considers land
41 use, urbanization, economy, population, etc. (O'Neill et al., 2016; Riahi et al., 2017). Therefore, the
42 narrative of the projected changes under the CMIP6 scenarios are similar to the CMIP5 scenarios, but
43 not the same. We expect that the projected regional change in sea level and its variability may differ
44 significantly under these new SSP considerations compared to the previous estimates.

45
46 Notably, proper mean state representation is an important requisite for models simulating future
47 projections (Ritcher et al., 2017). Along with mean state simulations, an accurate representation of
48 internal variability is also imperative for better projections. However, the ensemble spread of the

1 climate models increases the uncertainty of the projected change. To reduce this uncertainty the
2 performance backed weightage or exclusion of models has been explored in many previous studies
3 (Greene et al. 2006; Yin et al., 2010; Watterson and Whetton 2011). Most of these assessment studies
4 for different variables and regions employed subjective ranking of models based on their ability to
5 simulate large scale climate patterns and skill score metrics (Mcsweeny et al.,2015; Halder et
6 al.,2020; Krishnan and Bhaskaran, 2019). McSweeney et al. (2015) provided a rationale that the
7 inability of the model to simulate key large-scale processes may reflect in the model's deficiency in
8 simulating climate signals induced by global warming. Nevertheless, finding a selection rationale that
9 suits best for the proposed purpose is a challenging task. Note, however, that while such exclusion of
10 non-performing models for the ensemble projection reduces the uncertainty and narrow down the
11 projected spread, it also increases the risk of mal-adaptation (McSweeney et al., 2015). However, as
12 the cost of implementing mitigation policies to safeguard a coast from rising sea level is expected to
13 increase exponentially with the projected future sea level rise, lowering the uncertainty is likely to
14 provide a sustainable solution. Further, as the oceanographic operational centres across the globe are
15 more interested in downscaling the global sea level rise using a dynamical and statistical approach,
16 the requirement of selected best performing models for the region of interest is needed for such
17 analysis.

18
19 In this study, we analyse historical sea level simulations from CMIP6 models to identify their fidelity
20 in simulating the observed mean state and variability of the Indian Ocean. Further, we select a subset
21 of models based on their performance for various climatic features of the Indian Ocean. We expect
22 that the exclusion of such least-performing models from the ensemble may likely provide policy-
23 relevant projections over the Indian ocean domain. Further, considering the different driving
24 dynamical mechanisms responsible for mean sea level and its variability, we discussed the model
25 biases and their underlying mechanisms separately for the north Indian Ocean (NIO; 0°-30°N), south
26 tropical Indian Ocean (STIO; 0°-30°S) and Southern Indian Ocean (SIO; 30°S-60°S). The rest of the
27 paper is organized as follows: Section 2 described the observation and models we use in this study,
28 the mean sea level, its variability, biases and projected change are discussed in Section 3 and finally,
29 in section 4 we conclude our results.

30 **2. Data and Methodology**

31 In this study, we use outputs from 27 CMIP6 models (Eyring et al., 2016; Table 1). To assess the
32 fidelity of each model in reproducing the Indian Ocean mean state and its variability the 'historical'
33 simulation (available from 1850 to 2014) of sea surface height above geoid (*zos*), downward zonal
34 and meridional windstress at the surface (*tauu*, *tauv*), and derived curl of the windstress are analysed.
35 For future projections, we analyse two Shared Socioeconomic Pathways (SSP) scenarios from
36 Scenario Model Intercomparison Project (ScenarioMIP; O'Neill et al., 2016) for a possible mid-
37 emission (SSP2-4.5) and high-emission (SSP5-8.5) radiative forcing at the end of 2100. To give equal
38 weightage to all the models only the first realization 'r1i1p1f1' is used for both historical and future
39 scenarios. The dynamic sea level (DSL) is defined as the *zos* after removing the global mean at each
40 time step (Gregory et al.,2019). This treatment is necessary to compare each model with the observed
41 satellite altimeter derived SSH as the global mean is zero at each time step. We apply the inverse
42 barometer effect corrections due to sea ice for those models for which this correction is not already
43 applied such as GISS-E2-1-G (Griffies et.al 2016). Also, to treat the sea ice mass per area of the
44 model is converted into the height it would occupy as water and is added to the model sea surface

1 height. Finally, all the model variables are re-gridded onto a uniform $1^\circ \times 1^\circ$ grid for ease of
2 comparison and to compute the multi-model mean (MMM) of these models.

3
4 Owing to the limitation of observational data for sea surface height the multi-mission gridded
5 altimeter derived sea surface height from the year 1993-2014 is used. In order to compare the model
6 derived DSL with the altimeter we remove the time-dependent global mean from the observation as
7 well. As the altimeter-derived sea level is found to have a correlation greater than 0.98 with the mean
8 dynamic topography (Maximenko et al., 2019; Lyu et al., 2020a), the use of altimeter sea level
9 anomaly does not affect our analysis. As the altimeter sea level observation is available only for a
10 shorter duration, the variability may be biased by the unresolved decadal climate variability.
11 Moreover, the phase of the model simulations is also expected to differ from the altimeter for such a
12 short time period. Hence, to assess the model simulated variability, we use a 20-year sliding window
13 on the entire available record from the model simulations.

14
15 Since the DSL is primarily influenced by dynamical forcing, we also analyze the windstress field for
16 each of the CMIP6 models. Windstress curl is derived from the models for historical and future
17 scenario simulations as it is one of the primary drivers of the mean dynamic sea level and its
18 variability in the interior ocean. To compare the wind field and its anomaly, we use European Centre
19 for Medium Range Weather Forecasts (ECMWF) Reanalysis (ERA5) dataset for the period 1979 to
20 2014 (Hersbach and Dee, 2016).

21
22 In order to assess the performance of each model, basic statistical metrics such as pattern correlation,
23 root mean square error, Empirical Orthogonal Function (EOF), etc. are used. We also use a skill score
24 metric defined by Taylor (2001) as given below:

$$S = \frac{4 + (1 + R)}{(\sigma + \frac{1}{\sigma})^2 (1 + Ro)} \quad (1)$$

25
26 $\sigma \left(= \frac{\sigma_m}{\sigma_o} \right)$ is the ratio of the standard deviation of the model (σ_m) and observation (σ_o). Ro the
27 maximum attainable correlation and is set to 1. The standard deviation is calculated on the detrended
28 sea level at each point in time for a specific frequency band. The skill score is repeated for each of the
29 20-year sliding windows to assess how each model captures the observed variability.

31 **3. Results**

32 **3.1 Mean dynamic sea level**

33 The mean DSL represents the annual geostrophic circulation driven by the overlying windstress and
34 windstress curl of the basin and therefore, plays a key role in the redistribution of heat and mass
35 across the basin. While in the SIO the DSL is primarily driven by the semi-permanent mid-latitude
36 Southern Ocean westerlies, in the STIO it is driven by the southeasterly trade winds. On the other
37 hand, DSL in the NIO is driven by the seasonally reversing monsoon winds which reverse from
38 southwesterly in the boreal summer to the northeasterly in the boreal winter. Hence, in the NIO the
39 sign of the DSL also reverses with the season. However, as the winds are much stronger during the
40 boreal summer, the annual mean DSL and winds are biased towards the summer monsoon season of
41 the NIO (Figure 1).

1
2 All the CMIP6 models could reproduce the mean DSL and wind field reasonably well across the
3 basin. Notably, while the multi-model-mean (MMM) could capture the mean sea level patterns very
4 well, there is a consistent positive bias in the zonally averaged sea level across the basin for most of
5 the models (Figure 2a). The strongest positive sea level bias is evident across the Antarctic
6 circumpolar current (ACC) regime in the SIO (Figure 1). This region is driven by strong westerlies
7 causing a marked shift in the sign of the windstress curl across the wind field maxima (Figure 2b, c).
8 In response to the winds, the sign of DSL across the Antarctic circumpolar current (ACC) regime also
9 shifts from negative in the south of ACC to positive in the north of ACC. Note here that most climate
10 models tend to simulate an equatorward bias in the westerly wind field causing an equatorward shift
11 in the ACC regime relative to the observation (Fyfe and Saenko 2006; Russell et al. 2006; Lyu et al.,
12 2020a, 2020b). While this equatorward westerly wind bias is reduced considerably in the 6th phase of
13 the CMIP models compared to its predecessors (Lyu et al., 2020a), it is still off by $\sim 1^\circ$ in the MMM in
14 the Indian Ocean sector of the mid-latitude Southern Ocean basin. In fact, $\sim 63\%$ models (17 model
15 out of total 27) produced such equatorward bias in the westerlies in the SIO which is slightly larger
16 than the global mean reported earlier by Lyu et al. (2020a). This strong wind bias also causes a very
17 strong negative (positive) windstress curl bias in the south (north) of the zero windstress curl region
18 (Figure 2c), causing a sharp change in the DSL compared to the observation. In the STIO, particularly
19 in the western part, the model simulated sea level is slightly higher compared to the observation
20 mainly linked to the stronger southeasterly trade winds in the model. However, in the NIO, weaker
21 model simulated summer monsoon winds (Figure 1 and S1) produce weak upwelling along the coast
22 of Somalia and Arabia, causing a positive bias in the model simulated mean sea level in the western
23 Arabian Sea (Figure 1c). This also led to a contrasting east-west bias in the sea level across the NIO in
24 most models.

25
26 The performance of the individual models differs considerably in the various latitude bands. Figure 3
27 shows the spread of the sea level bias of the individual CMIP6 models for the NIO, STIO and SIO.
28 Overall, the bias is more in the western part of the basin due to boundary currents and instabilities
29 across all latitudes. Also, bias generally increases from north to south. While in the NIO most models
30 show RMSE (with respect to altimeter) less than 0.15 m except for the INM-CM5-0; in the SIO the
31 RMSE is predominantly more than 0.15 m and reaches close to 0.3 m for the NorESM2-LM. The
32 RMSE for the MMM in the NIO, STIO and SIO are 0.9 m, 0.12 m and 0.16 m, respectively.

33 34 **3.2 Variability**

35 In this section, we analyze the ability of the CMIP6 models to simulate the key variability features in
36 the Indian ocean domain. The sea level over the Indian Ocean shows strong variability in a large
37 spectrum of timescale. While the variability in the seasonal timescale is most prominent due to the
38 dominance of the seasonally reversing monsoon winds in the north Indian Ocean (Chatterjee et al.,
39 2012), the variability in the interannual and decadal timescale is of key importance for the Indian
40 Ocean climate variability and its impact on the global climate (Schott et al., 2009; Phillips et al.,
41 2021).

42
43 Observed variability of the Indian Ocean shows maxima along the front of the ACC in the SIO
44 (Figure 4a) owing to the interannual meridional movement of the ACC current regime (Figure 4g)
45 driven by ENSO and SAM climate modes (Kim and Orsi, 2014). The thermocline ridge region of the
46 STIO also exhibits stronger variability primarily influenced by the South Equatorial Current (SEC)
47 and the associated embedded eddies in seasonal and interannual timescale (Wang et al., 2021; Deepa

1 et al., 2021). The region off the west coast of Australia in the latitude band of 15°S-35°S also show
2 strong interannual variability (Figure 4g) driven by the eddies generated due to the baroclinic
3 instabilities between the surface eastward flowing South Indian Counter Current (SICC) and
4 subsurface westward flowing SEC (Jia et al., 2011; Menezes et al., 2014; Zhang et al., 2020). In the
5 NIO, the western boundary of the Arabian Sea along the coast of Somalia and Arabia shows strong
6 variability in seasonal and interannual timescale driven by the summer monsoon winds and its climate
7 variability (Beal and Donohue, 2013; Chatterjee et al., 2019; Lakshmi et al., 2020; Vinayachandran et
8 al., 2021). Also, coastal waveguide along the perimeter of the Bay of Bengal exhibits significant
9 variability driven by coastal propagation of planetary waves forced by the equatorial winds (Vialard et
10 al., 2009; Suresh et al., 2012; Chatterjee et al., 2017; Mukherjee et al., 2017; Phillips et al., 2021).

11
12 The historical simulations of CMIP6 models are evaluated for the Indian Ocean variability for the
13 common period of data availability from altimeter and CMIP6 models i.e. during 1993-2014 (Figure
14 4b,e,h and Figure S2). Most of the CMIP6 models underestimate the Indian Ocean variability. This
15 also reflects in the weaker variability of the MMM across the Indian Ocean basin (Figure 4b).
16 Nevertheless, models perform relatively well for the seasonal scale with almost accurate
17 representation in the variability associated with the Great Whirl fronts in the western Arabian Sea, its
18 extension along the summer monsoon currents and along the perimeter of the Bay of Bengal (Figure
19 4e). Models produce much stronger variability in the eastern equatorial Indian Ocean and along the
20 coast of Java, likely due to the shallow mixed layer leading to stronger air-sea momentum exchange in
21 the upper water column and hence, anomalously stronger excitation of lower-order baroclinic modes.
22 In the interannual timescale, models severely underperformed in simulating variability along the
23 fronts of the ACC current regime. The weaker variability is noticed for the STIO along the band of the
24 SICC regime as well. Notably, the high-resolution models like CMCC-CM2-HR4, CNRM-CM6-1-
25 HR, HADGEM3-GC31-MM and MPI-ESM1-2-HR performed relatively better in reproducing this
26 observed variability, particularly along the ACC current regime. This indicates that the eddy
27 dominated regions are simulated well by the high-resolution models compared to the course CMIP6
28 models. As this eddy induced high-frequency variability cause strong low-frequency rectification
29 through modifying the mean state and therefore, impacting the response of climate modes and the
30 internal variability, the natural variability of the Indian Ocean is likely underestimated in most CMIP6
31 models. For the thermocline ridge region, the variability in MMM is more spread out compared to the
32 observation with a decrease in the magnitude of the variability eastwards. The CMCC models capture
33 the eastward variability properly but overestimate in the west. On the other hand, the high-resolution
34 CNRM model does capture the variability pattern, but the simulated magnitude of the variability is
35 lower than the observation. The HadGEM3-GC31-MM model fare better compared to others in
36 simulating the observed location and magnitude of the variability in this region (Figure S2).

37
38 Notably, the phase and magnitude of the internal variability in the model may differ with observation
39 due to freely evolving internal variability in the system. Therefore, one can expect the model
40 simulated variability to differ considerably during a given period (Richter et al., 2017). In order to
41 account for the contribution of internal variability, pattern correlation and RMSE of the model
42 simulated sea level for a 20-year sliding window is also analysed for each model to see if choosing a
43 specific period affects the models' skill in simulating the sea level variability (Figure 5) considerably.
44 Interestingly, the spread of the pattern correlation and RMSE doesn't vary significantly for most
45 models. The difference in the maximum and minimum correlation for most of the individual models is
46 ~0.1 and for RMSE it is ~0.25 cm. Models with larger correlation values tend to have smaller RMSE.
47 This indicates the fact that the internal variability doesn't affect the overall skill of the model in
48 simulating the Indian Ocean variability across the decade. Nevertheless, as observed in the model

1 simulated special variability maps (Figure 4), most models score poorly in pattern correlation with
2 very high RMSE. In comparison, the high-resolution models show a much superior correlation and a
3 significantly lower RMSE.

4 **3.3 Equatorial tilt and climate variability**

6 Next, we compare the model simulated mean DSL for the equatorial belt. The east-west sea level
7 gradient is an important parameter as it is dynamically linked to the depth of the thermocline and
8 therefore, modulates the air-sea interactions over the basin. This mean state particularly become
9 important for the initiation and progression of tropical climate modes such as El Nino, Indian Ocean
10 Dipole, etc. (Ham and Kug, 2015; Cai and Cowan, 2013). Earlier Lyu et al (2020a) showed that the
11 new generation CMIP6 models could not produce better results compared to the CMIP5 models in
12 simulating the observed equatorial sea level gradient. Here, we try to assess individual models mean
13 state and relate that to the model performance pertaining to the simulated tropical climate variability.
14 The mean DSL is averaged over 2°N-2°S and the zonal mean is removed to compare with the
15 observed sea level slope (low in the west and increasing eastward). As the equatorial slope is
16 primarily driven by the equatorial westerlies, zonal windstress averaged over the same equatorial band
17 is also analysed (Figure 6).

19 The equatorward bias of the SIO westerlies and STIO trade winds leads to unrealistic equatorial
20 easterlies in most of the models (Figure S1) and thus in the MMM wind field (Figure 1), causing
21 underestimation in the strength of the westerlies along the equator. Simulated bias in the equatorial
22 sea level is consistent with the bias in the zonal wind field. The MMM sea level is primarily flat along
23 the equator and completely failed to produce the observed slope of the sea level. In fact, 7 models
24 show a negative pattern correlation in producing mean sea level relative to the observation with
25 RMSE of more than 0.5. In general, the models with higher correlation show lower RMSE in
26 equatorial sea level. Moreover, in contrast to the variability, the high-resolution models do not show
27 any superiority over the coarser models. As the equatorial sea level is primarily forced by the
28 equatorial winds, the skill of the zonal windstress agrees well with the sea level. However, for winds,
29 unlike sea level, a higher positive correlation does not correspond to a lower RMSE.

31 Further, the anomalous equatorial easterlies in CMIP6 models cause an IOD like pattern with cooler
32 SST in the east and warmer in the west (Figure not shown). As a result, thermocline becomes too deep
33 in the western equatorial IO and unrealistically shallow in the eastern side in most models. Earlier
34 Fathrio et al. (2017) noted this warmer SST and deepened thermocline in the CMIP5 model. Also, Cai
35 and Cowan (2013) suggest that such shallow thermocline depth in the east leads to anomalously
36 strong air-sea interactions and thereby overestimate the IOD amplitude in the models. As the CMIP6
37 models do not show any major improvement in simulating the equatorial sea level tilt and therefore,
38 continue to remain biased towards the IOD like pattern in the tropical belt.

40 To understand how this underestimation of equatorial slopes affects the simulation of internal climate
41 modes, we perform EOF on the detrended model simulation after removing the annual cycle and
42 compared it with observations (Figure 7). The patterns of the first and second modes of EOF are
43 captured fairly well by the CMIP6 models. While the MMM could capture the observed spatial
44 patterns of the first and second modes of EOF, there is a considerable departure in explaining the
45 spatial magnitude and variability. The first mode of EOF, which represents the dipole mode of the
46 tropical Indian Ocean, explains about 30% in the MMM compared to the 16% of the observation. This
47 overestimation of the variability in IOD mode is primarily linked to the anomalous equatorial

1 easterlies in the CMIP models which causes unrealistically shallow thermocline in the eastern
2 equatorial IO and thereby intensify air-sea climate feedback (Cai and Cowan, 2013). The variability
3 for the second EOF is also high in the MMM compared to the observation. It also explains the weaker
4 model-simulated variability in the thermocline ridge region as observed in Section 3.2. As expected,
5 the pattern correlation for the first EOF for all the models are above 0.7 (Figure 8). Interestingly, the
6 correlation varies significantly for the second EOF across the models with the maximum correlation
7 of 0.8 for CanESM5-CanOE and the negative correlation of -0.36 for the UKESM1-0-LL. Moreover,
8 EC-Earth3 and EC-Earth3-Veg also exhibit no correlation for the second mode. In the case of
9 explaining variance INM-CM5-0, CNRM-CM6-1-HR and HadGEM3-GC31-MM show weaker
10 variability than observed for both the EOF modes, indicating weaker climate response in these
11 models. In contrast, NorESM2-MM, GISS-E2-1-G and the models from the EC-Earth3 family show
12 almost three times the observed variability for the first mode suggesting a strong bias towards the IOD
13 mode in these models.

14

15 **3.4 Skill score**

16 Most of the models produce a very high skill score of more than 0.98 in simulating the mean DSL for
17 the entire Indian Ocean basin (Figure 9d). In fact, the minimum score is 0.95 which is associated with
18 the INM-CM5-0 model. The skill scores for the windstress curl don't fare as good as the sea level and
19 lies between 0.6 and 0.8 with a few outliers (Figure 9d). Even though the models have a good spatial
20 correlation for windstress curl, the larger and varying normalized standard deviations cause the inter-
21 model spread in the skill scores. Note, however, that the skill score is dependent on the choice of the
22 reference dataset as well. For example, the model windstress is more correlated to QuikSCAT
23 scatterometer winds than the ERA-5 and produce a marginally higher skill score (Figure not shown).
24 Nevertheless, while the choice of reference dataset yields marginally different skill scores, the
25 outlying behaviour of most models remains the same. Since ERA-5 is available for a longer period
26 spanning 4 decades compared to QuikSCAT observation which is only available for a decade (1999-
27 2009), we prefer ERA-5 for further analyses in this paper. Interestingly, the skill score differs
28 significantly across the different latitude belts. For example, the skill score in simulating DSL is in
29 general relatively low for the NIO ranging from 0.75-0.98 with only three models could score more
30 than 0.95. In the STIO, most models score higher in simulating sea level, suggesting that the
31 subtropical gyre is simulated reasonably well in the Indian Ocean by most models. In the SIO, most
32 models produce sea level reasonably well with a score of more than 0.85 except for a few outliers.
33 Notably, here the model skill in simulating windstress curl is the maximum with a usual score of more
34 than 0.85 despite models predominantly produce anomalously strong westerlies. This discrepancy is
35 due to the fact that the skill score depends only on correlation and its variability and not the
36 magnitude of the variable. Hence, while skill score can provide a picture of the overall performance of
37 each model, but it should be considered along with other statistical/physical characteristics of model
38 simulations as well.

39

40 **3.5 Projection**

41 Most CMIP6 models conserve volume rather than mass and therefore, any change in the temperature
42 of the water column does not necessarily reflect in the sea level change. In order to account for this
43 effect, we followed the method adopted in Richter et al. (2017) wherein the dynamic sea level change
44 (z_{os}) is added to the global mean thermosteric sea level change (z_{ostoga}) after removing the global
45 mean of z_{os} at each time step. This allows the regional dynamical change consisting of changes due to
46 local winds, thermal expansion and the change in global mean steric height. Two models MRI-ESM2-
47 0 and INM-CM5-0 could not be considered for the analysis due to inconsistency in z_{ostoga} (MRI-

1 ESM2-0 show discontinuity in the *zostoga* time-series and INM-CM5-0 show insistent *zostoga*
2 compared to the rest of the model simulations (Figure S3)) and for two model future projections of
3 DSL is not available at the time of this analysis.

4
5 Considering that a few models completely failed to simulate the historical dynamic sea level, its
6 variability and the primary wind forcing, we have used a few subjective criteria to discard these
7 unrealistic models to get the most appropriate estimates. We mainly considered the mean state biases
8 and the representation of variability and climate modes to select the best-performing models. Hence,
9 the skill score of the model simulated sea level and wind field for the entire basin is considered to
10 identify the outliers. The minimum benchmark skill score for a model to qualify is set to 0.98 for
11 dynamic sea level and 0.7 for the windstress curl over the entire IO. This allows only 10 models to
12 qualify for the next screening criterion and discarded 15 models. Next, we looked at the key climate
13 features among these 10 models to identify any unrealistic simulations. In this test, we remove three
14 more models further and they are EC-Earth3, EC-Earth3-Veg and NorESM2-MM. This allows us to
15 use only seven models for the best estimate of the projected dynamic sea level and wind fields. These
16 models are BCC-ESM-MR, CNRM-CM6-1-HR, IPSL-CM6A-LR, CMCC-CM2-SR5, CMCC-CM2-
17 HR4, EC-Earth-Veg-LR, CNRM-ESM2-1 and CNRM-CM6-1.

18
19 In order to calculate the projected changes over the Indian ocean for mid-emission (SSP2-4.5) and
20 high-emission (SSP5-8.5) radiative forcing the averaged departure of projected variable for 2080-
21 2100 relative to the historical period of 1994-2014 is considered. Both the scenarios show a similar
22 projected patterns and differ primarily in magnitudes (Figure 10). Interestingly, the ensemble of the
23 best performing model shows a weaker sea level rise in the IO compared to the full MMM solution. In
24 NIO, the Arabian Sea shows a stronger rise in sea level compared to the Bay of Bengal. The best
25 model estimate shows a sea level rise of ~22-25 cm under the SSP2-4.5 scenario and ~35 cm rise for
26 the SSP5-8.5 scenario. The western Bay of Bengal also shows a similar rising trend as in the Arabian
27 Sea, but indicate a much weaker rise of DSL in the eastern part. While this increase in sea level is
28 primarily driven by the warming of the water column, ~12-15% is contributed by the weakening of
29 the summer monsoon wind field. The SIO also shows a strong sea level rise along the ACC current
30 regime. This increase in sea level is linked to the poleward shift of the Southern Ocean westerlies due
31 to warming of the Southern Ocean driven by the anthropogenic forcing. Under the SSP5-8.5 scenario,
32 the maximum sea level rise in this region is expected to be ~35-40 cm. In contrast, the STIO latitude
33 band show the minimum sea level rise driven by the weakening of the trade winds.

34
35 Like the mean field, the variability of the sea level also shows a similar pattern in the projected
36 change under the SSP2-4.5 and SSP5-8.5 scenarios. However, note that, in contrast to the mean field,
37 the best estimate of the ensemble mean variability is generally higher in the entire basin compared to
38 the mean field. The western Arabian sea shows the strongest increase in the sea level variability with
39 an increase of ~30% compared to the historical period under the high emission scenario. This is a
40 significant increase over the CMIP5 model estimates on the projected variability of this region (see
41 Figure 8e of Deepa et al., 2021). The AICC current regime of the SIO and the west coast of Australia
42 also show a considerable increase in the sea level variability. The thermocline ridge region, however,
43 shows a marked decrease in variability which is in agreement with the CMIP5 models (Deepa et al.,
44 2021). Notably, the thermocline ridge region is shown to be one of the internal variabilities dominated
45 regions (Han et al., 2014). Therefore, considering that the CMIP6 models underestimate the
46 variability of this region (Figure 7), the projected decreasing variability of this region should be
47 treated cautiously. In contrast, unlike CMIP5 model, CMIP6 models project a stronger increase in sea
48 level variability in the eastern equatorial Indian Ocean and along the coast of Java, suggesting the

1 influence of an increase in effective climate sensitivity of the CMIP6 models as reported earlier by
2 Hermans et al., (2021). Further, the overestimation of the dipole strength in the CMIP6 modes is also
3 likely to impact the long-term climate signatures of this tropical basin.
4

5 **4. Conclusion**

6 In this study, we evaluate the skill of models participating in the Sixth phase of coupled 10model
7 intercomparison project (CMIP6) in simulating the DSL and the associated wind field over the Indian
8 ocean. Subsequently, we select a subset of models by discarding grossly biased models from the
9 ensemble to get the best-estimated projections over the Indian Ocean. Models' ability to reproduce the
10 mean states, variability and climate modes for the observational period is used to assess the skills for
11 each model. We use statistical tools such as pattern correlation, RMSE, and skill score metrics based
12 on Taylor (2001) to assess the performance of models. Projections of sea level and wind field are
13 analysed for moderate (SSP2-4.5) and high (SSP5-8.5) radiation forcing future scenarios.
14

15 Most models could reproduce the mean DSL of the IO very well with a skill score of more than 0.95.
16 However, the skill score varies considerably across the latitude band with the lowest scores exhibited
17 in the NIO. All the models show consistent biases in sea level and winds in a few dynamically
18 dominated regions. Nevertheless, the zonally averaged DSL show consistent positive bias across all
19 latitude with maximum bias in the ACC regime. Also, the equatorward shift of the Southern Ocean
20 westerlies is found to be more in the Indian Ocean compared to the global averaged. In the NIO, the
21 weaker summer monsoon winds in the model cause weak coastal upwelling along the western
22 boundary of the Arabian Sea, resulting in a positive bias in the western IO.
23

24 The equatorward shift of the southwesterly trade winds causes anomalous easterly winds along the
25 equator in most models. These wind biases soaks the thermocline of the eastern equatorial IO and
26 reduce the west-east sea level (thermocline) tilt which is otherwise found in the observations. This
27 relatively flat equatorial thermocline enhances the air-sea feedback and causes a dipole pattern in SST
28 and sea level in the tropical basin. This IOD like bias is also reflected in the EOF analysis with
29 stronger than observed variability in the first EOF mode. Some of the models such as NorESM2-MM,
30 GISS-E2-1-G and the models from the EC-Earth3 family exhibits almost three times of the observed
31 variability indicating a strong bias towards the IOD mode.
32

33 In the case of variability, model simulations in general underestimate from the observation across the
34 entire basin except for the eastern equatorial IO and the Sumatran coast. The overestimated magnitude
35 of variability along the Sumatran coast is likely due to the shallow mixed layer driven by the easterly
36 bias in the equatorial zonal winds. As expected, the high-resolution models reproduce the observed
37 variability better compared to the coarser models, particularly in the high eddy dominated regions like
38 ACC current regime.
39

40 The sea-level change towards the end of the century is calculated as the difference between the sea
41 level averaged over the last two decades of the projection (2080-2100) and historical simulations
42 (1994-2014). Both scenarios show a similar spatial pattern of sea-level change with differences in
43 magnitude. The spatial pattern of the sea level change shows a west-to-east gradient in the northern
44 IO with a significant rise in the Arabian Sea and along the east coast of India. This projected sea level
45 rise in the Arabian Sea is primarily due to the warming of the water column and weakened summer
46 monsoon winds. The STIO, in contrast, shows an overall dip in the projected sea level. In terms of
47 variability, the western Arabian Sea show a 30% increase in projected sea level variability. In the SIO,

1 the ACC current regime also shows a marked projected increase but shifted poleward as the westerly
2 winds are also projected to move poleward owing to the anthropogenic warming of the Southern
3 Ocean.

4
5 Finally, the best estimate of sea level projection based on an ensemble of seven best performing
6 climate models indicates a slightly weaker rise in projected sea level compared to MMM ensemble
7 but show higher projected variability in the entire basin.

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14 facilities. This is INCOIS contribution number XXXX.
15

1 **Table**

No	Source id	Grid info	Historical simulation	Projected simulations
1	ACCESS-CM2	360 x 300	✓	
2	ACCESS-ESM1-5	360 x 300	✓	
3	BCC-CSM2-MR	360 x 232	✓	✓
4	BCC-ESM1	360 x 232	✓	
5	CMCC-CM2-HR4	1051 x 1442	✓	✓
6	CMCC-CM2-SR5	292 x 362	✓	✓
7	CNRM-CM6-1	362 x 294	✓	✓
8	CNRM-CM6-1-HR	1442 x 1050	✓	✓
9	CNRM-ESM2-1	362 x 294	✓	✓
10	CanESM5	360 x 291	✓	
11	CanESM5-CanOE	360 x 291	✓	
12	EC-Earth3	362 x 292	✓	
13	EC-Earth3-Veg	362 x 292	✓	
14	EC-Earth3-Veg-LR	362 x 292	✓	✓
15	GISS-E2-1-G	288 x 180	✓	
16	HadGEM3-GC31-LL	360 x 330	✓	
17	HadGEM3-GC31-MM	1440 x 1205	✓	
18	INM-CM5-0	360 x 180	✓	
19	IPSL-CM6A-LR	362 x 332	✓	✓
20	MIROC6	360 x 256	✓	
21	MPI-ESM-1-2-HAM	256 x 220	✓	
22	MPI-ESM1-2-HR	802 x 404	✓	
23	MPI-ESM1-2-LR	256 x 220	✓	

24	MRI-ESM2-0	360 x 363	✓	1
25	NorESM2-LM	360 x 385	✓	2
26	NorESM2-MM	360 x 385	✓	3
27	UKESM1-0-LL	360 x 330	✓	4
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Table 1: List of 27 CMIP6 models used in this study. The models used for historical simulation assessment and the models selected for best estimate of projection are identified using check boxes.

1 **Figures**

2 Figure 1: Comparison of mean dynamic sea level (top) and windstress curl (bottom; shaded)
3 overlaid by the mean winds (vectors) from observation (left), MMM (middle) and bias
4 (right).

5
6 Figure 2: Zonally averaged dynamic sea level (left), zonal windstress (middle) and windstress
7 curl (right) from observation (dotted black) and model. The shaded area represents model
8 spread.

9
10 Figure 3: Top panels show spread of the model simulated sea level bias for NIO (left), STIO
11 (middle) and SIO (right). Bottom panels show RMSE for individual models for each latitude
12 bands.

13 Figure 4: Sea level variability for the period 1993-2014 from (a) altimeter observation (b)
14 multi-model mean and (c) model bias. Panels (d,e,f) and (g,h,i) are for the annual and
15 interannual signals, respectively.

16
17 Figure 5: Pattern correlation and RMSE of sea level variability for (a,b) total, (c,d) annual
18 and (e,f) interannual signals. The whiskers represents the spread of corresponding metric
19 across the 20-year sliding window.

20
21 Figure 6: (a) The equatorial mean dynamic state of sea level averaged over 2°N-2°S from
22 observation (dashed black), multi-model mean (dashed blue) and all the CMIP6 models (solid
23 thin blue). (b) Same as (a) but for zonal windstress. (c) pattern correlation equatorial multi-
24 model mean dynamic sea level relative to the altimeter observations (blue bars) and the
25 corresponding RMSE (green squares). (d) Same as (c) but for zonal windstress.

26
27 Figure 7: Comparison of the first (top) and second (bottom) EOF modes of detrended
28 interannual dynamic sea level from observation (left) and multi-model mean (right).

29
30 Figure 8: Pattern correlation and variability for the first and second EOF modes of the
31 detrended interannual dynamic sea level from CMIP6 models relative to the altimeter
32 observations.

33
34 Figure 9: Scatter diagram of the skill score for the model simulated sea level and windstress
35 curl for the NIO (top left), STIO (top right), SIO (bottom left) and the entire Indian Ocean
36 (bottom right).

37
38 Figure 10: Projected change in the mean dynamic sea level (top), magnitude of the windstress
39 with windstress vectors overlaid (centre) and windstress curl (right) for all models (top) and
40 selected models (upper middle) for the SSP2-4.5 scenario and for all models (lower middle)
41 and selected models (bottom) for the SSP5-8.5 scenarios.

42

1 Figure 11: Same as Figure 10, but for the standard deviations.

2

3 **Supplementary Figures**

4

5 Figure S1: Model simulated mean windstress curl (shaded) overlaid by the mean winds (vectors) for
6 all CMIP6 models.

7

8 Figure S2: Total standard deviation (cm) of individual CMIP6 models.

9

10 Figure S3: Timeseries of zostoga from all the CMIP6 models.

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14 **Data availability**

15 All the datasets used in this study is available in public domain. The CMIP6 model simulation outputs
16 are downloaded from <https://esgf-node.llnl.gov/projects/esgf-llnl/>. The windfiled from ECMWF
17 Ranalysis 5th generation (ERA5) is available at <https://doi.org/10.24381/cds.f17050d7>. The gridded
18 altimeter data is obtained from Copernicus Marine Service data respiratory
19 <https://resources.marine.copernicus.eu/>.

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24 **Competing Interests**

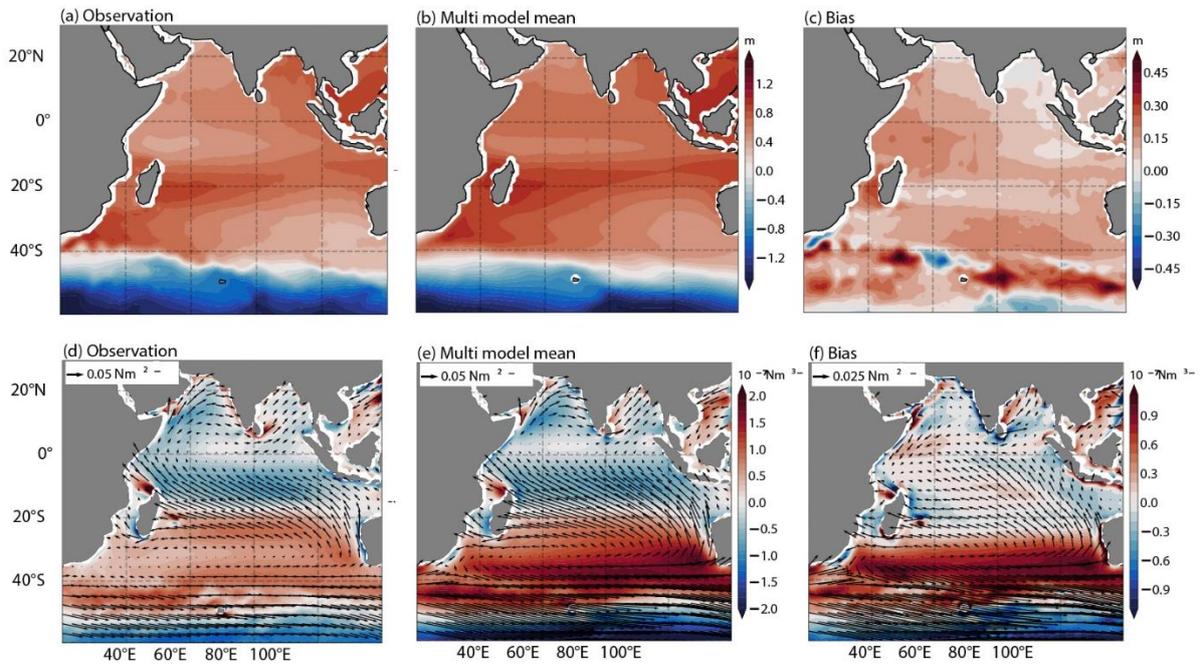
25 The authors have no relevant financial or non-financial interests to declare.

26 **Author contributions**

27 All authors contributed to the conceptualization and design of the study. Data download, plotting was
28 done by SCK. All authors analysed the data, discussed the results and wrote the paper. All authors read
29 and approved the final submitted manuscript.
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1 **Figures**

2



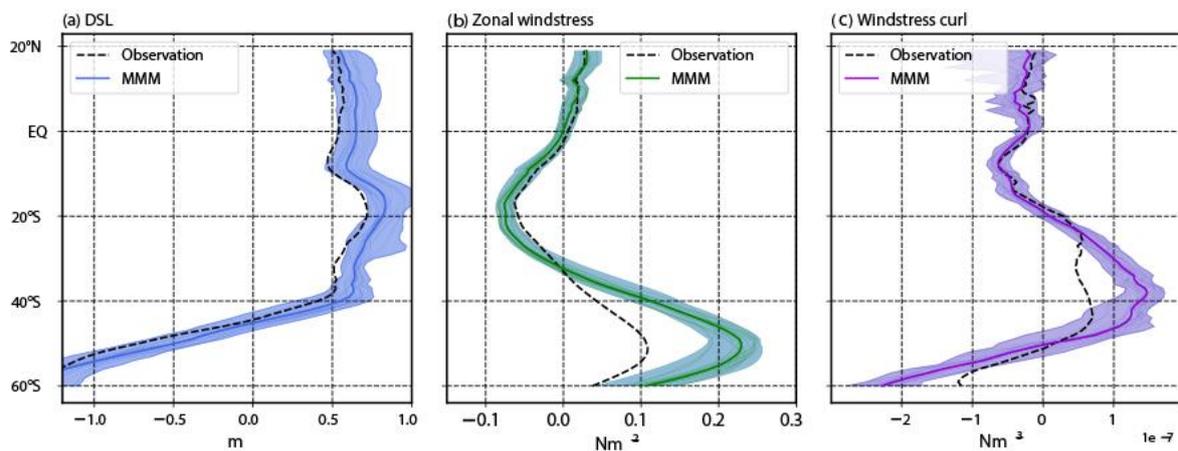
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4 Figure 1: Comparison of mean dynamic sea level (top) and windstress curl (bottom; shaded)

5 overlaid by the mean winds (vectors) from observation (left), MMM (middle) and bias

6 (right).

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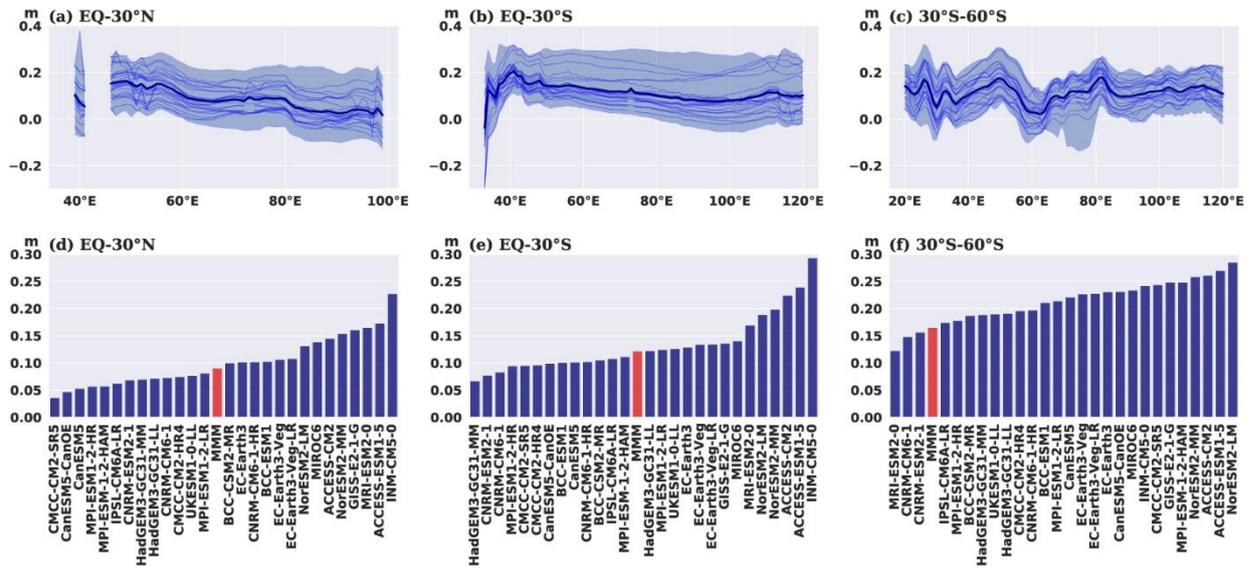
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9 Figure 2: Zonally averaged dynamic sea level (left), zonal windstress (middle) and windstress

10 curl (right) from observation (dotted black) and model. The shaded area represents model

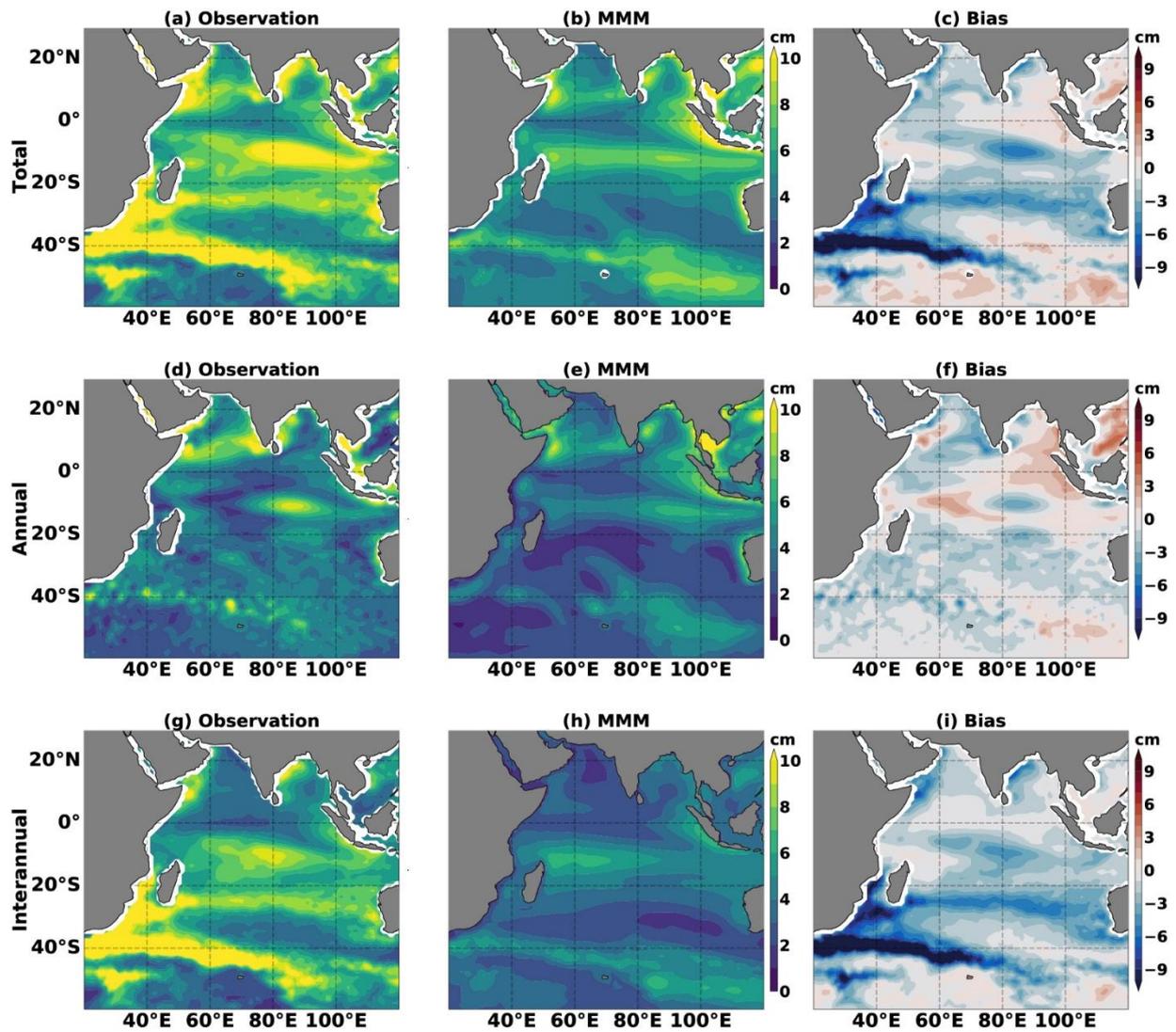
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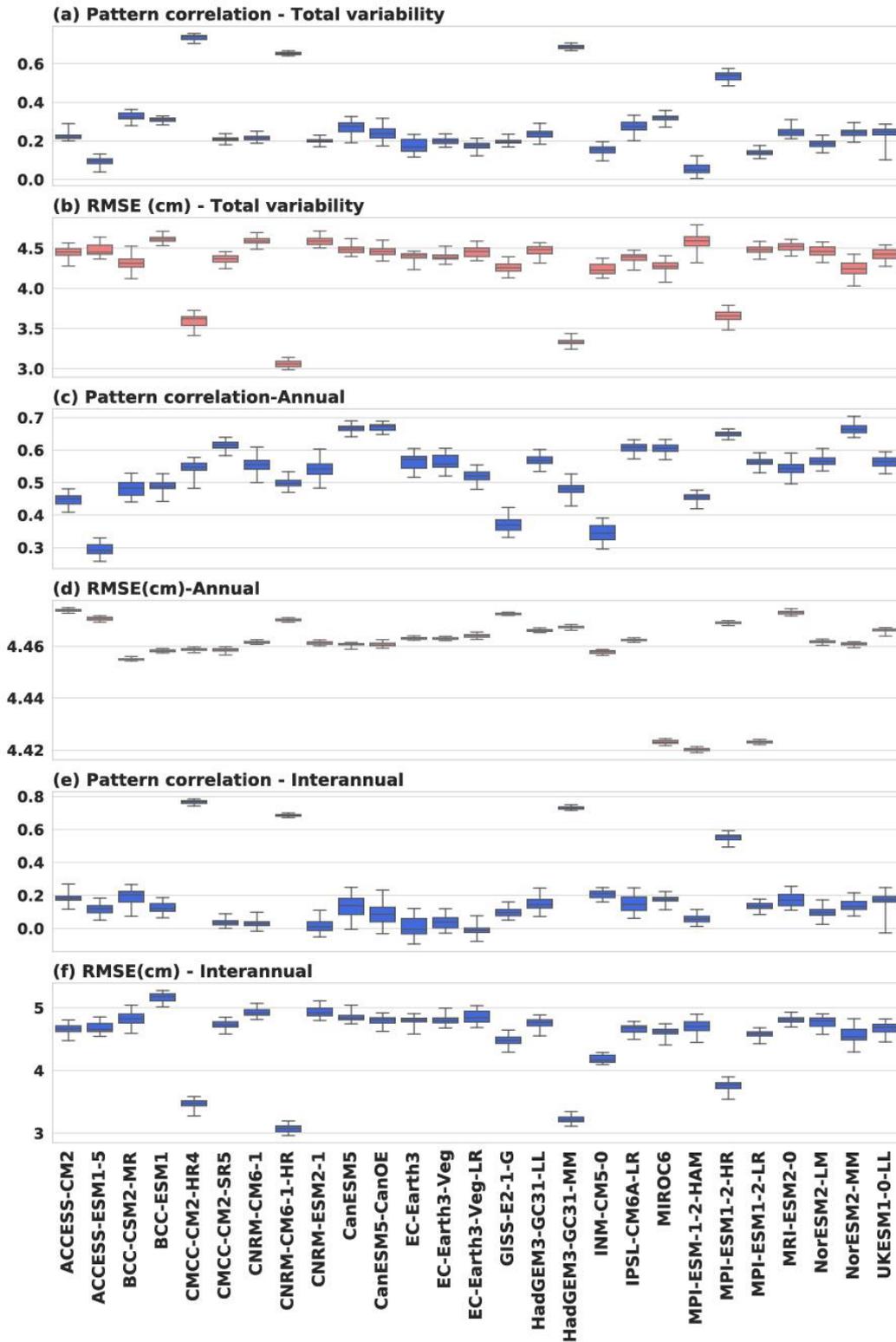


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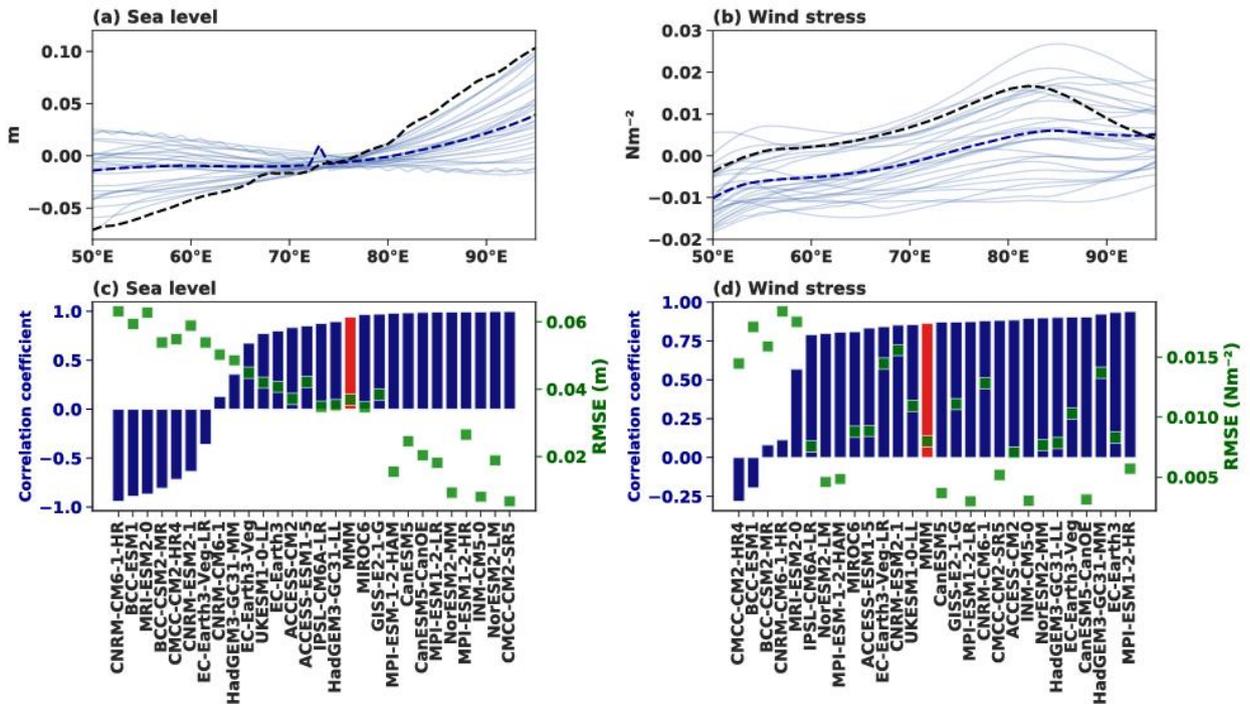
Figure 3: Top panels show spread of the model simulated sea level bias for NIO (left), STIO (middle) and SIO (right). Bottom panels show RMSE for individual models for each latitude bands.



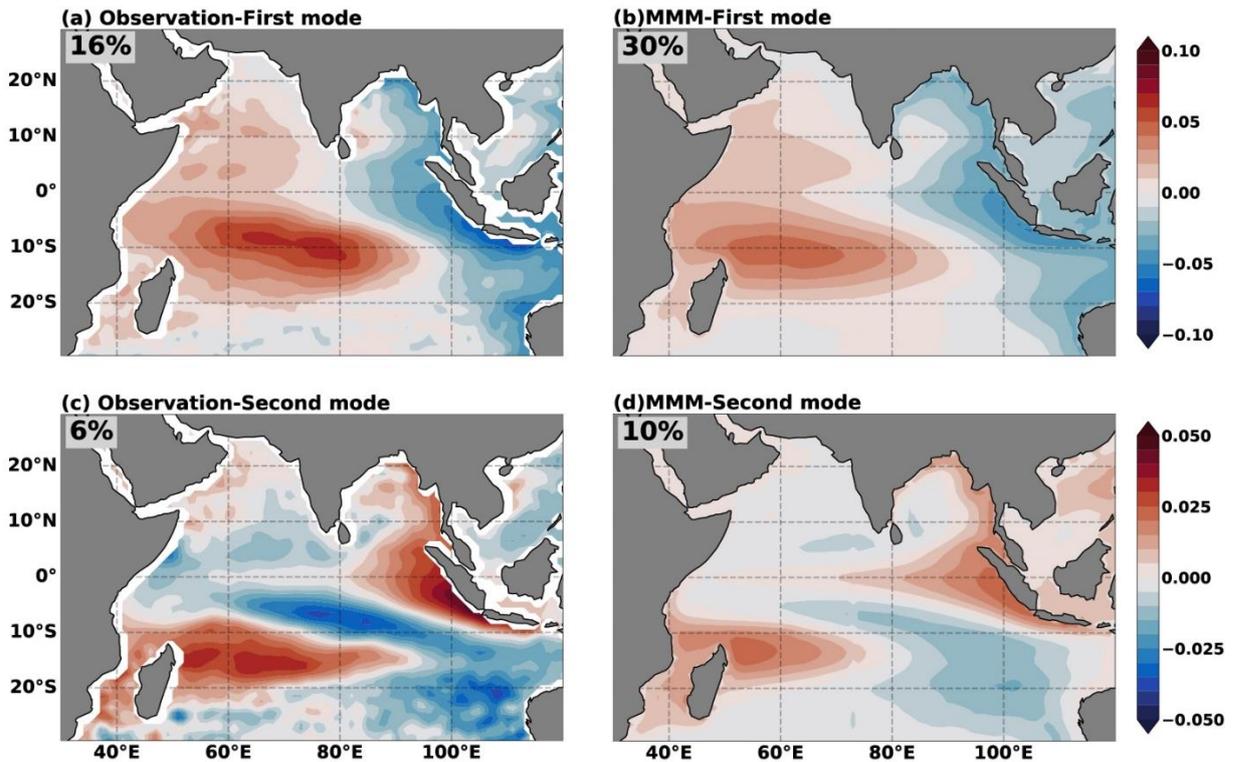
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 2 Figure 4: Sea level variability for the period 1993-2014 from (a) altimeter observation (b)
 3 multi-model mean and (c) model bias. Panels (d,e,f) and (g,h,i) are for the annual and
 4 interannual signals, respectively.



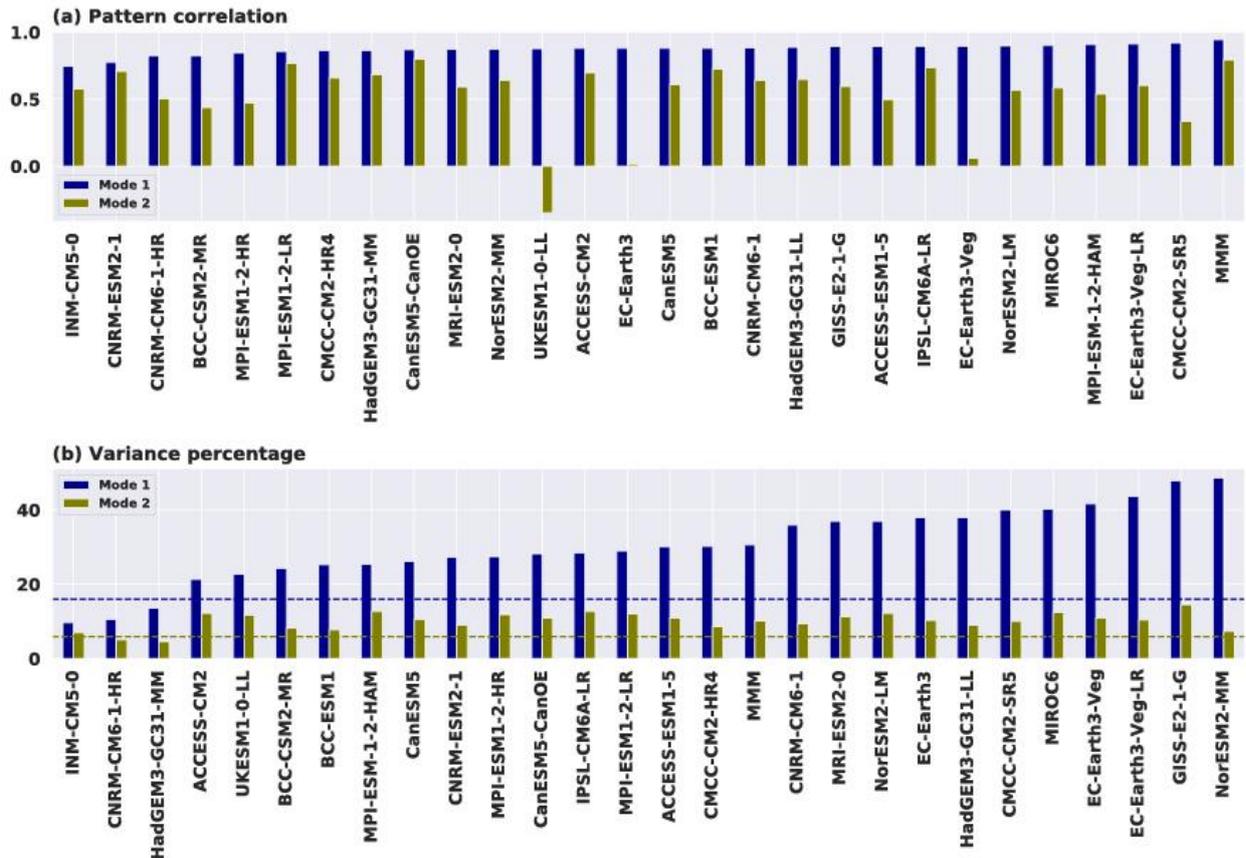
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 2 Figure 5: Pattern correlation and RMSE of sea level variability for (a,b) total, (c,d) annual
 3 and (e,f) interannual signals. The whiskers represents the spread of corresponding metric
 4 across the 20-year sliding window.



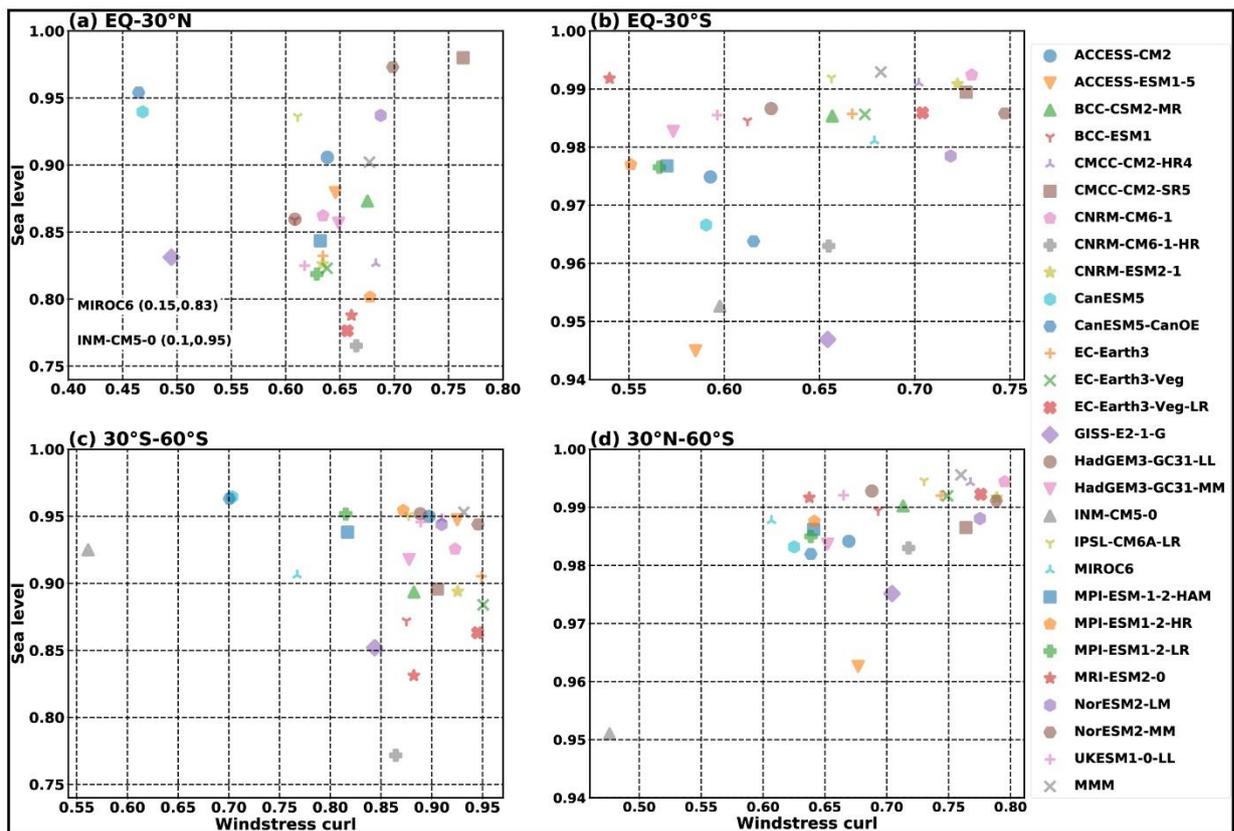
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 2 Figure 6: (a) The equatorial mean dynamic state of sea level averaged over 2°N-2°S from
 3 observation (dashed black), multi-model mean (dashed blue) and all the CMIP6 models (solid
 4 thin blue). (b) Same as (a) but for zonal windstress. (c) pattern correlation equatorial multi-
 5 model mean dynamic sea level relative to the altimeter observations (blue bars) and the
 6 corresponding RMSE (green squares). (d) Same as (c) but for zonal windstress.



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 8 Figure 7: Comparison of the first (top) and second (bottom) EOF modes of detrended
 9 interannual dynamic sea level from observation (left) and multi-model mean (right).

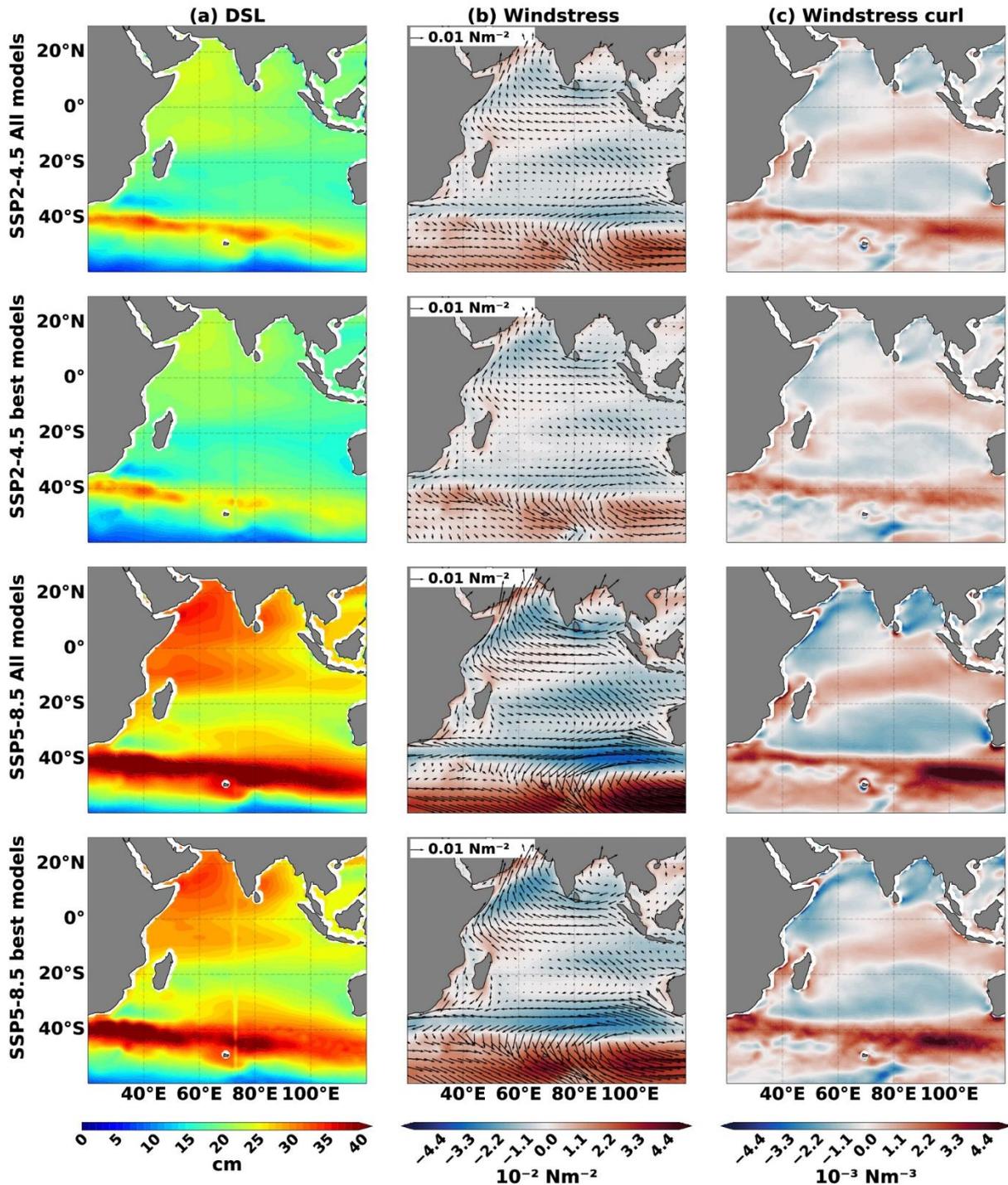


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 2 Figure 8: Pattern correlation and variability for the first and second EOF modes of the
 3 detrended interannual dynamic sea level from CMIP6 models relative to the altimeter
 4 observations.



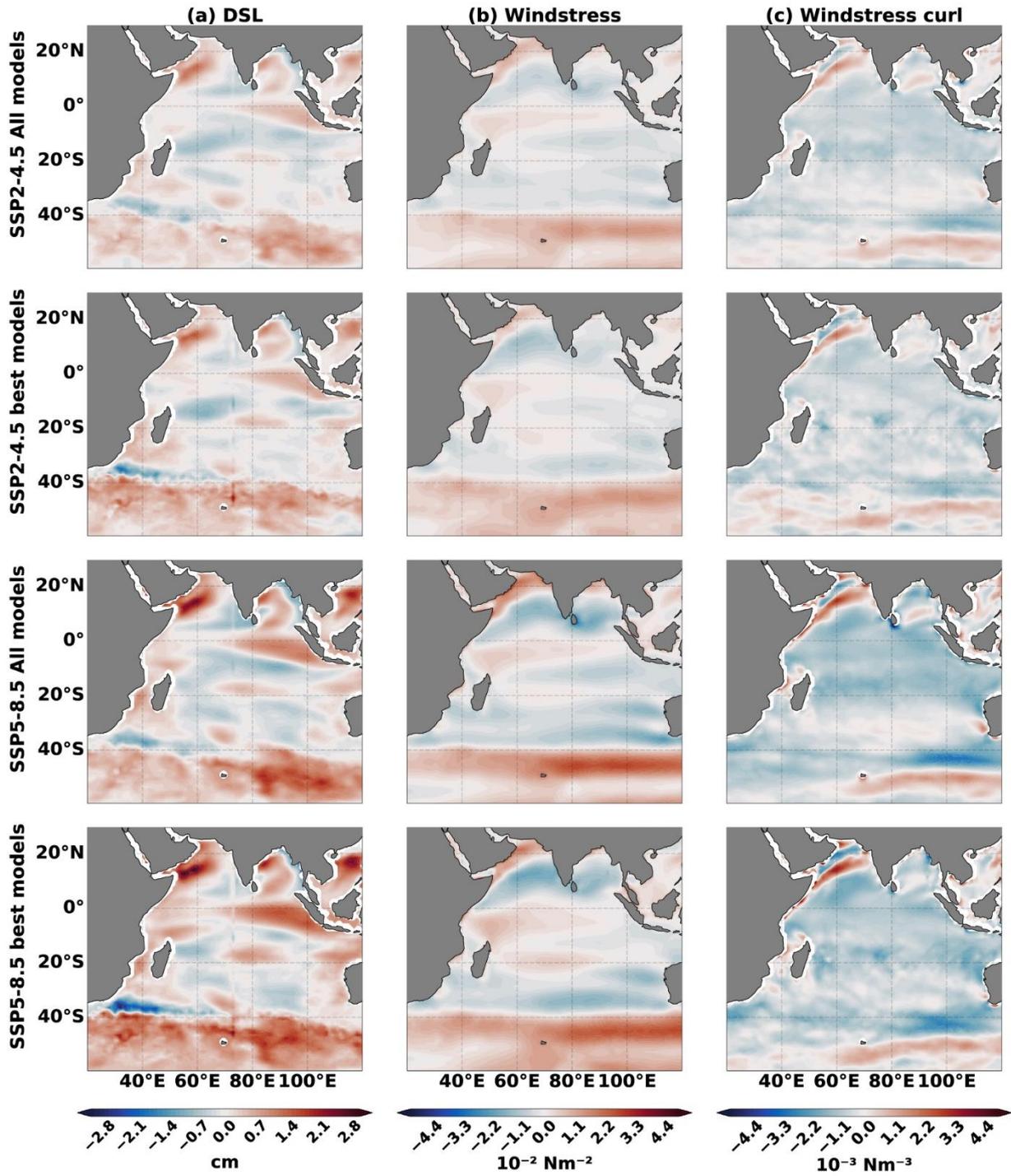
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1 Figure 9: Scatter diagram of the skill score for the model simulated sea level and windstress
 2 curl for the NIO (top left), STIO (top right), SIO (bottom left) and the entire Indian Ocean
 3 (bottom right).



4
 5 Figure 10: Projected change in the mean dynamic sea level (top), magnitude of the windstress
 6 with windstress vectors overlaid (centre) and windstress curl (right) for all models (top) and
 7 selected models (upper middle) for the SSP2-4.5 scenario and for all models (lower middle)
 8 and selected models (bottom) for the SSP5-8.5 scenarios.

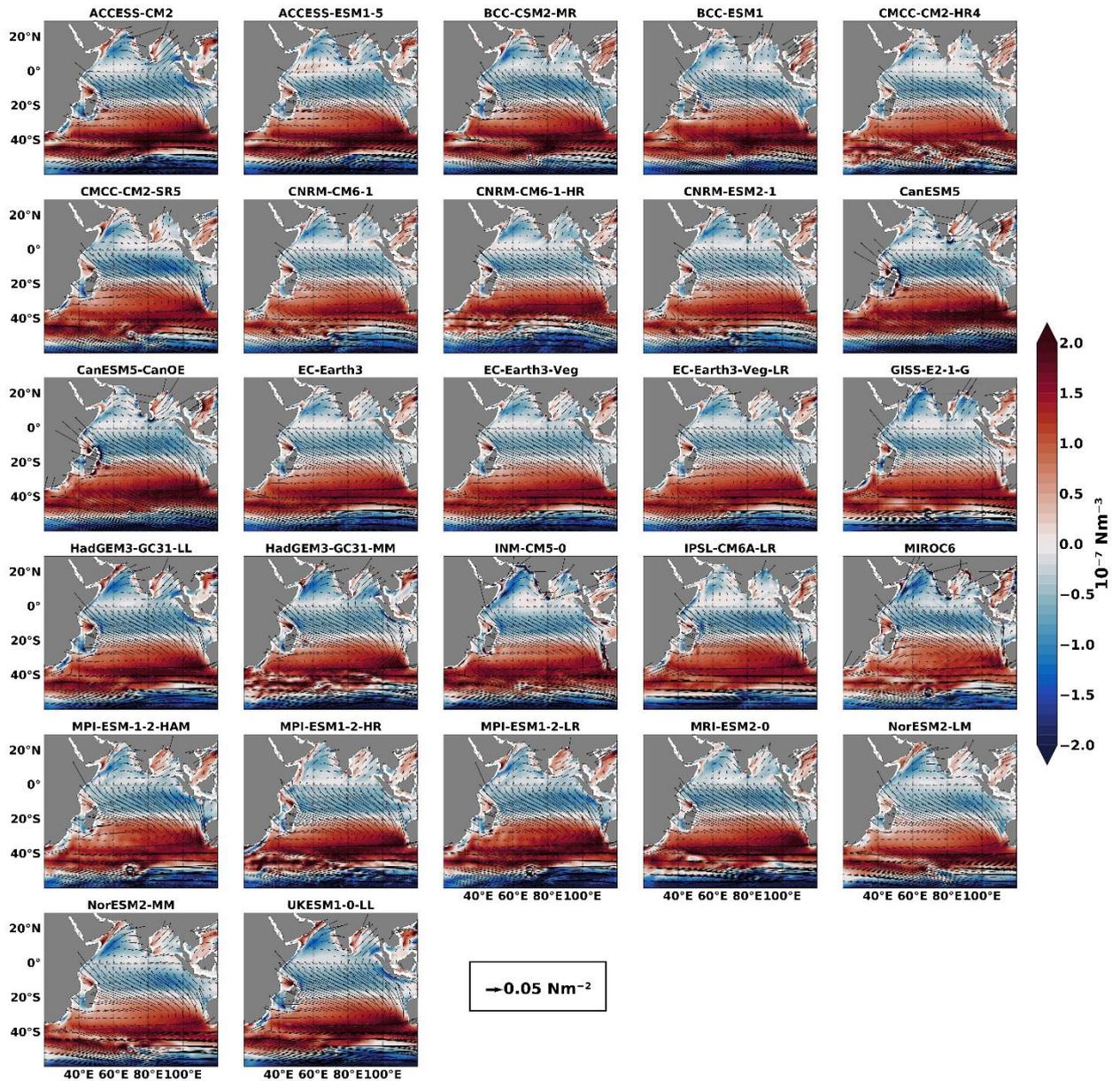
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 2 Figure 11: Same as Figure 10, but for the standard deviations.
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1 **Supplementary figures**

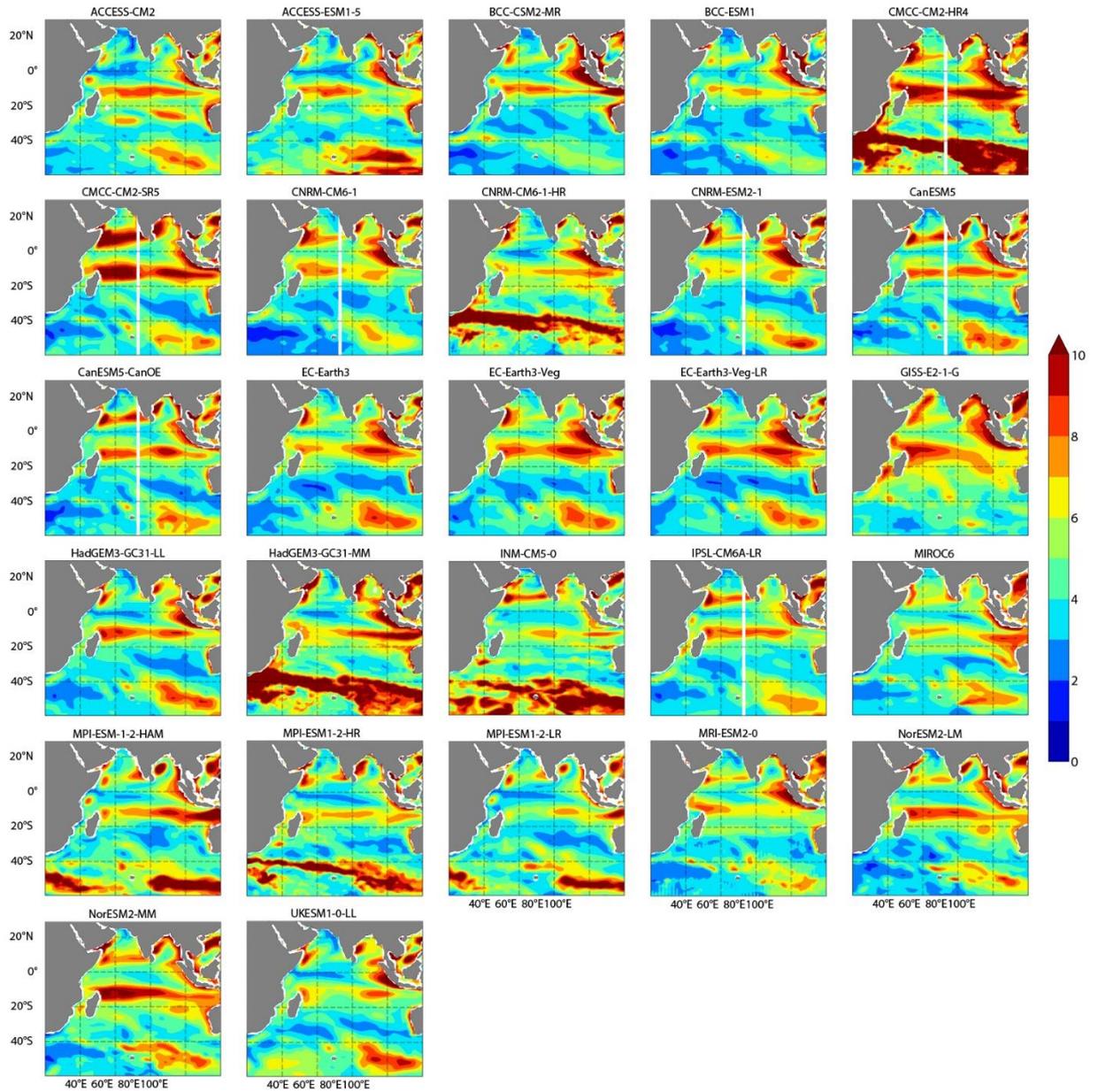
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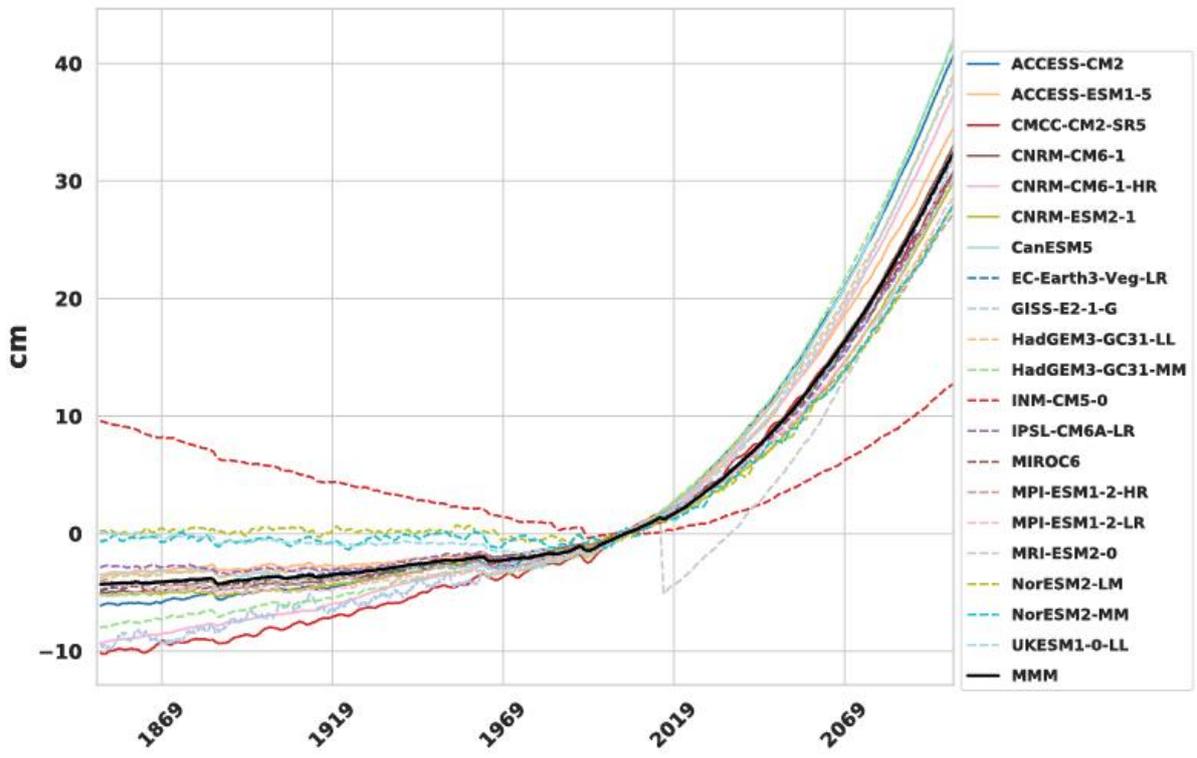
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4 Figure S1: Model simulated mean windstress curl (shaded) overlaid by the mean winds

5 (vectors) for all CMIP6 models.



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 2 Figure S2: Total standard deviation (cm) of individual CMIP6 models.
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2 Figure S3: Timeseries of zostoga from all the CMIP6 models.
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