

# Understanding Rheology of Metal Nanocontacts

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## Article

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# Understanding Rheology of Metal Nanocontacts

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**The mechanical rigidity or softness of metal-metal nanocontacts under large vibrations is important in nanoscale rheology and in technology. A puzzling shear-induced liquefaction under oscillatory strain, totally unexpected at room temperature, was suggested by recent experiments on nanosized gold junctions. Here we show theoretically that the simulated gold nanocontact structure actually remains crystalline even under large oscillatory strains. Tensile and compressive slips, respectively of “necking” and “bellying” types, do take place, but recover reversibly even during fast oscillatory cycles. We also explain why, counterintuitively, the residual stress remains tensile after both slips, driving the averaged stiffness from positive to negative, thus superficially mimicking a liquid’s. Unlike a liquid, however, the softening of the solid junction occurs by stick-slip, predicting largely frequency independent stiffness with violent noise in stress and conductance, all properties compatible with experiments. This surprising large amplitude rheology of nanojunctions and its consequences are likely to apply, with different parameters, to many other metals.**

## Introduction

The moment two metal bodies touch, however gently, they do that first through bridging nanoasperities with possible formation of tiny solid junctions, necks, or nanowires. Although basic to important mechanical and electrical properties from everyday life to nanoengineering, not much is firmly understood about the mechanical behavior of these nanojunctions in realistic operative conditions. Prototype metallic nanocontacts [1, 2], formed and studied by break junctions, scanning tunneling microscopes (STMs) [3, 4], transmission electron microscopes [5–8] or cold welding [9], have been investigated in past decades through conductance, force measurements and simulations, mostly at low temperatures [1, 2, 10] or other non-standard conditions [5–8]. Even if thermodynamically metastable against spontaneous thinning and eventual breaking [11, 12], metal nanocontacts can be engineered and mechanically controlled to last long enough to be electrically and magnetically characterized by gate and bias voltages. The ability of contacts in these conditions to transmit, as it were, mechanical rigidity, represents a basic issue which, even if delicate and important, has received

less attention. Because of short lifetimes associated with nanometric structures and the facile changes they can undergo at ordinary temperature, addressing their non-equilibrium rheological properties requires investigations that go beyond the static and cryogenic conditions of most prototype studies. The question we address here is how, at room temperature, a mechanical perturbation such as a vibration, commonplace in many technological applications, will affect and determine the detailed rheological behavior of contacts including stiffness, dissipation and yielding under large time-dependent, e.g., oscillatory stresses and strains.

In their pioneering experimental exploration of oscillatory stress-strain response of ultra-narrow gold nanojunctions, Comtet et al. [13] showed that after the initial small strain (0-5%) elastic response, regular plastic yield occurs first, as expected, around 6-7% strain. Recent work by Liu et al. [14] extended that work to larger cross sections, confirming that with an initially crystalline atomic arrangement inside the nanojunctions, their plastic yield is reasonably attributed to strain-driven slip planes as in macroscopic systems [1, 15, 16]. However, for larger oscillation amplitudes and atomically thin nanocontacts – with conductances  $g \approx 3 - 30g_0$  where  $g_0 = 2e^2/h$  – Ref [13] found a further dramatic softening suggestive of apparent liquefaction. The contact effective stiffness with oscillation amplitude above  $\approx 0.15$  nm drifted from positive to negative, as would befit a gradually melted nanojunction. How and why large dynamic strains – at room temperature but with mechanical heating totally excluded – could usher in the liquefaction of metal nanoasperities is quite puzzling, requiring a more fundamental understanding of their rheology. Besides the conceptual aspects, if indeed a strongly shaken ordinary metal-metal contact were to consist of myriads of liquefied nanonecks rather than of solid junctions, that would hardly be irrelevant to a host of technological issues.

Theory is ill equipped to confront this puzzle, owing to both its violently non-equilibrium nature, and its nanometer size scale. It is therefore fortunate that exactly these two features make it directly amenable to non-equilibrium molecular dynamics (NEMD) simulation, which, as we will show, points to an explanation which is different from liquefaction.

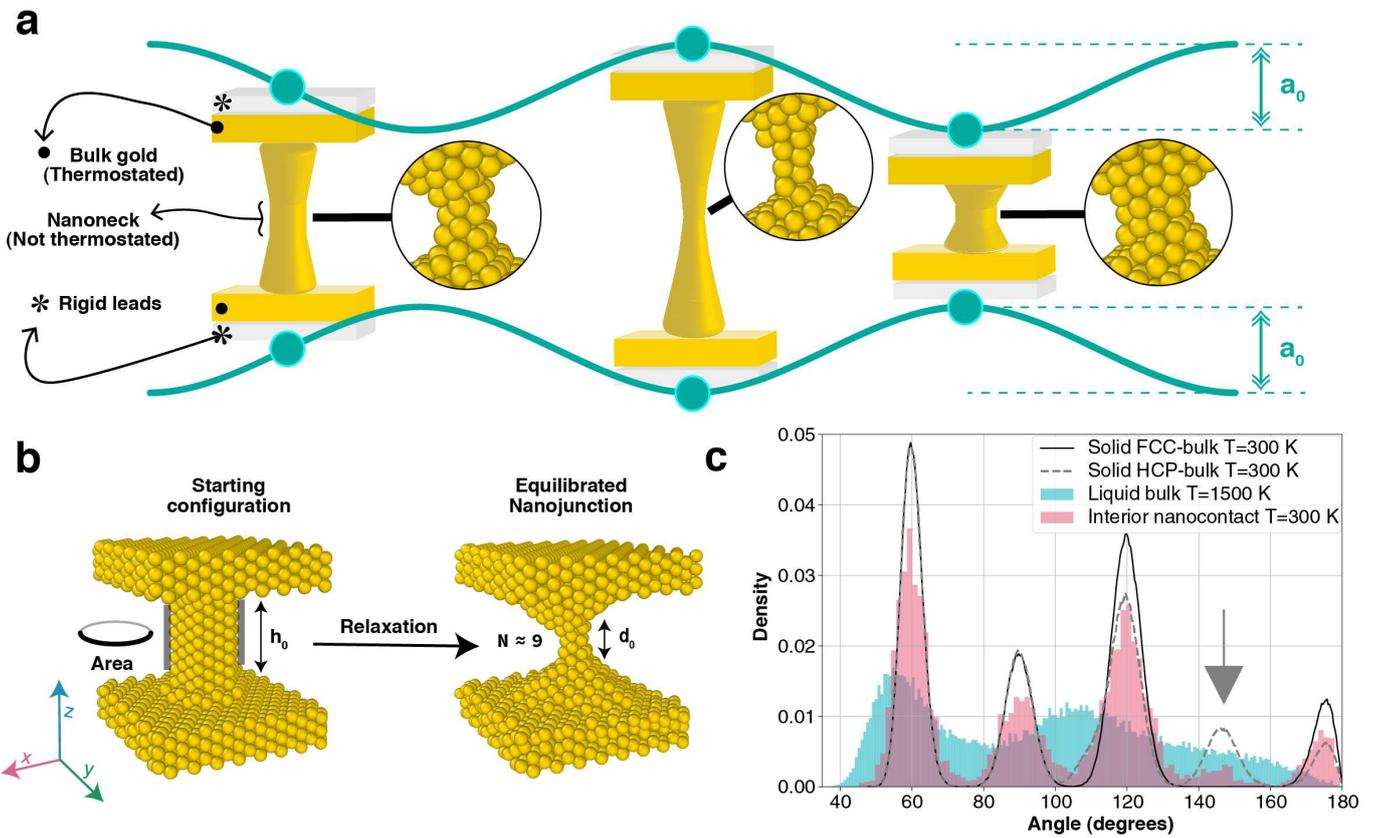


Figure 1: **Simulated rheology of an oscillating solid metal nanocontact.** (a) Sketch of a gold nanojunction under oscillatory strain, exerted through crystalline leads at room temperature. (b) Snapshots of a nanocontact ( $N \approx 9$  atom cross section) of initial crystalline columnar shape (left) and quasi-equilibrium working shape obtained after extended structural relaxation (right). Note the anvil-like tips formed on both sides of the effective nanojunction’s neck reducing its effective length from  $h_0$  to  $d_0$ . (c) Three-body angular distribution of inner core atoms of the relaxed junction measured during  $\approx 10$  strained cycles at the largest oscillatory amplitude (0.22 nm). The main peaks confirm the survival of fcc structure and coordination of the nanocontact core. The small structure around  $147^\circ$  (arrow) is a signature of the (111) slips.

## Nanojunction simulations

We simulated, suspended between two bulk-like crystalline leads (Fig. 1a) whose distance is oscillated as  $h(t) = h_0 + a_0 \exp(i\omega t)$ , the force-strain mechanical response of a family of nanometer radius model gold junctions, under increasing engineering strain amplitude  $\epsilon_0 = a_0/h_0$  (conveniently used, although different from true strain  $\epsilon_t = a_0/d_0$  where  $d_0 < h_0$  is an effective nanojunction length to be discussed later). All simulations were based on the well documented and reliable force field of Ref.[17](see Supplementary for more discussion of this). We focused on initially bulk-structure columnar junctions bridging between two large solid leads, in our case  $h_0 \approx 2.75$  nm apart. The initial junction transverse cross section ranged from  $N_i = 7 - 40$ .

The first important step was to establish a realistic nanojunction shape and inner structure. Both are generally unknown experimentally, and expected to evolve in the course of time [11, 12] with shape evolution routes which depend experimentally on temperature and formation protocols [3–5, 7, 8, 13, 18], and theoretically also on the choice of interatomic interactions [2, 17, 19–22]. In experimental protocols such as that of Ref. [13], the unknown nanojunction morphology is, through

feedback-actuated preservation of a constant average electronic conductance, stabilized to a roughly constant average cross section area of its narrowest neck. Our simulation protocol started with vigorous initial mechanical oscillations and thermal cycling that transformed the initial idealized column into a modified, relaxed, and reproducible structure that did not further evolve within our subsequent working time. That structure, now consisting of a shorter neck-like nanojunction between spontaneously formed anvil shaped “tips” survived quasi-stable at room temperature and under further oscillations always comprising no less than 10-20 cycles, with amplitudes up to 0.3 nm. Thus no feedback adjustment was required. The nanojunction retained a well defined minimal midpoint cross section (Fig. 1b, right) of area  $A \approx N\pi r_0^2$ , where  $r_0 \approx 0.144$  nm is the atomic radius and  $N \approx 4 - 26$  the atom number inside that cross section – more precisely the number of (110) z-oriented atomic chains crossing that section. In this relaxed configuration, the proper nanojunction length had shrunk from  $h_0 \approx 2.75$  nm to a smaller value  $d_0 \approx 1$  nm –now excluding the anvils. The relaxed anvil-nanojunction-anvil shape of the overall contact is not specific to any chosen initial  $h_0$ ; it just took a shorter relaxation time to realize it for the smallest reasonable lead-lead distance, which we therefore adopted.

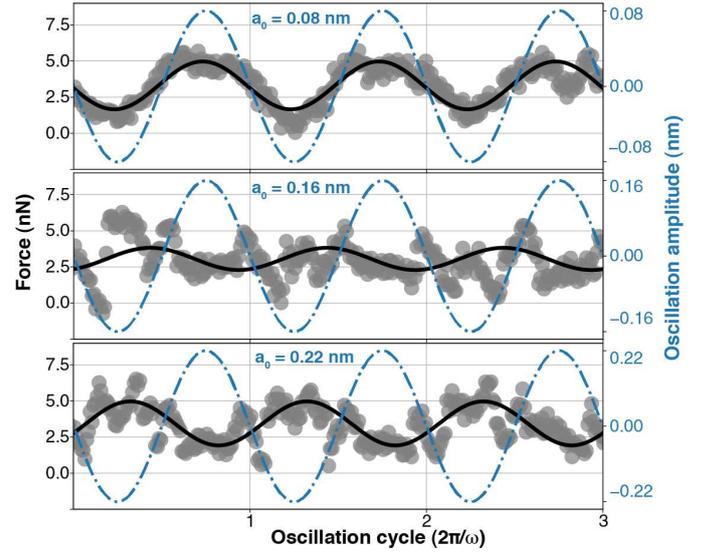
The protocol proceeded by submitting the thus relaxed nanojunctions to oscillatory strains with frequencies  $\omega/2\pi$  spanning three decades 50 MHz-10 GHz. Values which, even if much larger, still as we shall see extrapolate naturally down to experimental frequencies such as 31 KHz (see Fig. 3). Preliminary to describing the force results of the simulations, however, the inner atomic structure of the nanojunction and its core is a crucial question to be ascertained. That structure could remain simply fcc crystalline in the first place. It could be glassy – an appealing possibility because glasses are known to liquefy easily under oscillatory strains [23]. Or perhaps the thinnest nanonecks could even possess one of the helically incommensurate coaxial nanotube structures discovered in TEM [5, 7] and theoretically explained [11] by “magic” string tension minima that arise in the course of spontaneous thinning. Alas, all glassy and helical nanojunction structures which were tried did not survive even for the very first few simulation steps. The latter hypotheses should therefore be discarded.

Direct inspection of both the non-oscillating and oscillating nanojunction interiors in the relaxed structure, actually showed them to be crystalline. The signature is provided by the first-neighbour three-body angular correlation function  $\rho(\theta)$  of the interior atoms in the central nanoneck portion, defined by carefully excluding atoms in both the tip anvils and the outer nanojunction surface layer, more mobile than the rest. If the nanojunction interior atoms possessed fcc crystalline coordination,  $\rho(\theta)$  should show three peaks at  $60^\circ$ ,  $90^\circ$ , and  $120^\circ$ ; if liquid or glassy, only  $60^\circ$  and  $120^\circ$ ; if magic, the pattern should be much more complex owing to incommensurability [11]. The result, shown in Fig. 1c shows a clear  $60^\circ$ ,  $90^\circ$ ,  $120^\circ$  peak sequence, confirming that the inner core of the simulated nanojunction is and remains close to fcc solid throughout, despite room temperature and violent oscillatory shaking. In addition, the presence in the strongly shaken nanowire of a shoulder around  $147^\circ$ , signals ABC to ABA local sliding of (111) planes during the oscillation, to be discussed below and reminiscent of the yielding patterns proposed in experiments [13, 14].

## Reversible yielding

The structure evolution under oscillating strain showed up clearly in the simulation geometry, pictured in Fig. 1a, and in Supplementary Fig. S5. As tensile strain amplitude grew, the solid and largely crystalline nanojunction first yielded with a necking local interplane ABC-ABA slip – also causing some thinning. The necking slip took place once the tensile elongation exceeded  $\approx 0.16$  nm, which is close to  $l/(2\sqrt{2}) = 0.144$  nm, half of gold’s {110} spacing (the direction of oscillation), where  $l = 0.408$  nm is gold’s lattice constant. With some hysteresis, the necking however reversed on the way back, a fast stick-slip-like event made possible on the fly by the subnanometer thickness and by room temperature. In the second half of the cycle, where compression exceeded about the same magnitude in reverse, the nanojunction yielded backwards with an inverse necking, which we dub “bellying”, for it is accompanied by a noticeable nanojunction thickening. Again with some hysteresis, bellying finally reversed in the last part of the cycle, the

junction returning to its initial state. This simulated behaviour, whose consequences will also be discussed and pictured later in Fig. 4, exemplifies how reversible plastic deformation kinetics may emerge as a feature in nanocontacts of metals that are, at their working temperature, sufficiently ductile and sufficiently thin. Similar events were earlier reported in the MD simulations of the Au/Ni system by Landman et al. [24] using EAM potentials. Qualitatively analogous results were also shown by Sutton and Pethica [25] using a Lennard–Jones pair potential. Even in the bulk crystal, a large (111) uniaxial strain favors hcp relative to fcc, and the local sliding associated with the nanojunction yielding transforms local ABC stacking to ABA. (see Supplementary Fig. S5)



**Figure 2: Force time evolution under oscillating strain** Time dependent force results for the  $N \approx 9$  nanojunction of Fig. 1b with equilibrated shape, initial  $h_0 \approx 2.75$  nm, relaxed neck length  $d_0 \approx 1$  nm, average neck cross section area  $A \approx 0.6$  nm<sup>2</sup>, oscillation frequency 50 MHz,  $T = 300$  K. Blue dashed-dotted line: imposed lead-lead oscillating amplitude  $a(t)$ . Large grey dots: extracted instantaneous force between the leads. Black solid line: sinusoidal fit  $F(t) = F_0 + (A\sigma_0) \exp(i\omega t + i\phi)$  of the force. The fit parameter  $A\sigma_0$  is the resulting force magnitude. Note the change of rheological response with drastic increase of non-sinusoidal noise at and above  $a_0^* \approx 0.16$  nm. Note also the prevalence of tensile (positive) force for all strains (see text).

## Dynamical force and response function

The structural evolution described underpins the main dynamical output of nanojunctions which we extracted from the oscillatory simulations, that is the instantaneous force  $F(t)$  between the leads. Typical NEMD force-distance results at  $\omega/2\pi = 50$  MHz are presented in Fig. 2 for increasing strain amplitudes  $a_0$ , for a nanojunction with relaxed central cross section  $N \approx 9$ , chosen as the clearest showcase among all cases studied in the range  $N \approx 4 - 26$  (see Supplementary Fig. S1 for the largest thickness). At small amplitudes, the

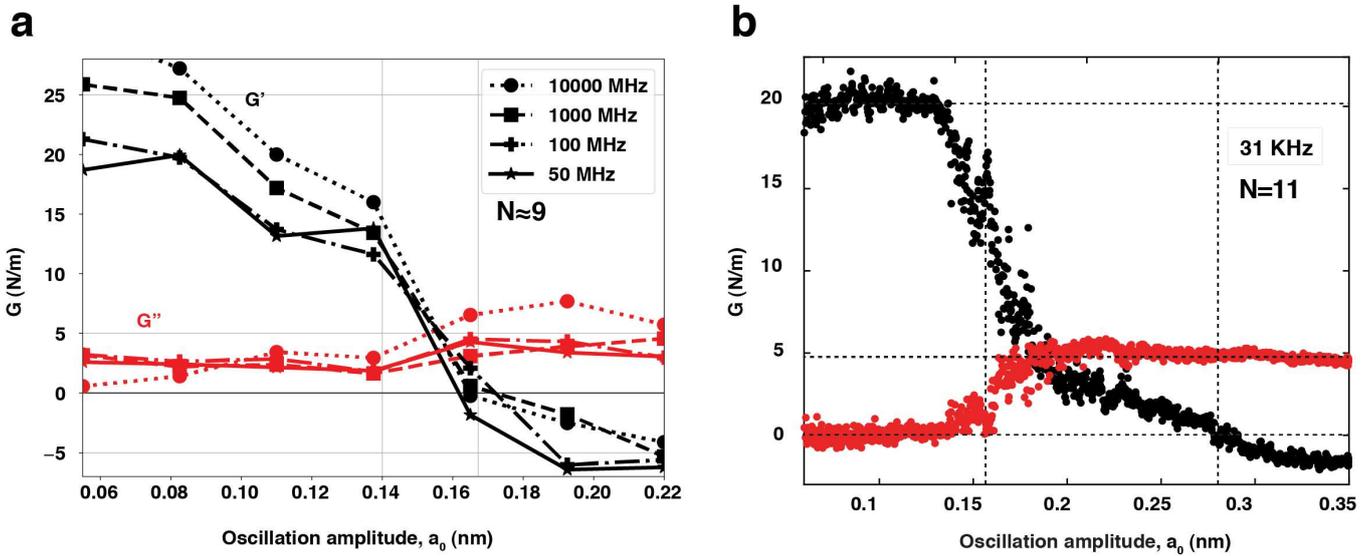


Figure 3: **Complex dynamical response function.** **a** Effective stiffness  $G'$  and dissipation  $G''$  at three decades of frequency 50 MHz-10 GHz for a  $N \approx 9$ -atom cross section nanojunction. Similar results are obtained for different sizes and frequencies (see Supplementary Fig. S2 for largest size of  $N \approx 26$ ). **b** Experimental data for  $N = 11$  at 30 KHz reproduced from [13]. Note the good overall agreement, parameter-free.

mechanical response was essentially elastic – except for some residual fluctuations (possibly connected with the force field’s weak fcc-hcp energy difference [26]) – characterized by a nearly sinusoidal force, and weak phase shift with strain, and thus negligible dissipation. At mechanical yielding, which began rather sharply at a threshold amplitude  $a_0^* \approx 0.16$  nm, the force turned noisier (see Supplementary Fig. S3), with sudden jumps associated with the structural interplanar sliding, either necking or bellying, taking place at one point in the nanojunction. From the force, the (conventional) stress magnitude  $\sigma_0$  was obtained by fitting  $F(t)$  in the form  $F_0 + A\sigma_0 \exp(i\omega t + i\phi)$  where  $F_0$  represents a background tensile force (string tension) between the leads at zero strain,  $\phi$  the phase shift between the imposed oscillatory strain and the force component of same frequency, and  $A$  the minimal cross section area of the relaxed strain-free nanojunction.

The extracted stress magnitude and phase lead to the complex dynamical rigidity  $G = G' + iG'' = (\sigma_0/\epsilon_0) \exp(i\phi)$  where the average is taken over a sufficient number of cycles. The real part  $G'$  is the effective nanojunction stiffness (also called storage modulus), the imaginary part  $G''$  describes mechanical dissipation (loss modulus). From force data obtained in a vast range of frequencies, nanocontact sizes and cross sections we extracted the complex dynamical linear response function  $G$ , shown in Fig.3a. There is first, as expected, an elastic response at small strains where the stiffness  $G'$  is positive and large, and dissipation  $G''$  is, discarding fluctuations, negligible. As the oscillation amplitudes surpass the yielding magnitude,  $G'$  drops and eventually turns from positive to negative, corresponding to the force-strain phase reversal that is visible in the raw data of Fig. 2. A corresponding rise of  $G''$  and a noise increase arose at yielding. The large deviations of force from sinusoidal (detailed in Supplementary, Fig S3) are responsible

for the noise and underscore the inadequacy of linear response description at and after yielding. We nonetheless stick for the time being to describing the linear response because, even if crude, it permits the simplest assessment of kinetics, as well as direct comparison with experiments. The comparison we found with the experimental complex dynamical rigidity is, even if not perfect, definitely convincing. As shown by Fig.3b [13] not only the drift of  $G'$  from positive to negative and the dissipation rise are recovered, but also quantitative values of stiffness and yielding strain are in the right range, without adjustable parameters.

We next investigated the oscillation frequency dependence – the response under variable shear rate is a crucial element providing a clear diagnostic of nanojunction rheology, with different outcomes between a yielding solid and a liquid neck. Ranging from 50 MHz to 10 GHz, the simulated frequency dependence of  $G$  and its characteristic change of behavior from rigid and elastic to yielding with apparently liquid-like was found in simulations to be essentially nil, suggesting that all important slip phenomena take place very fast. This result is coherent with an energy barrier  $\Delta$  – estimated across a slip, be it compressive or tensile – larger than  $k_B T$  by at least an order of magnitude, see Fig. S7. Similar to friction, the sharp slips lead to a rheological nanojunction behaviour close to a sequence of nanoscale stick-slip frictional events, known in turn to give rise to a logarithmically weak or negligible velocity dependence of the friction force [27]. The stick-slip-like rheology of simulated solid nanojunctions and their frequency independent response over many decades may in addition be extrapolated down to much lower oscillation frequencies – such as those used in experiments, presently out of simulation reach – as follows. There will exist by elementary transition state theory a crossover inverse rate,  $\omega_L/2\pi$  where

279  $\omega_L \sim \Omega \exp -\Delta/k_B T$  with  $\Omega$  some mesoscopic attempt  
 280 frequency scale at which the relevant barrier  $\Delta$  is thermally  
 281 overcome during a slip. Only for oscillation frequency below  
 282  $\omega_L$  the stick-slip behaviour should eventually cease, crossing  
 283 over to so-called thermolubric viscous sliding.[28, 29]

285 In our case the energy jumps at slips (Fig. S7) suggest  
 286 energy barriers of 0.2-0.4 eV. The range of  $\Omega$  values is harder  
 287 to estimate, but inspection of long simulated thermal dynamics  
 288 up to 0.1  $\mu$ s suggests it should at least lie below 10 MHz  
 289 (see Supplementary Sect. 5). That pushes the thermolubric  
 290 crossover frequency estimate  $\omega_L$  below the KHz range, fully  
 291 justifying extrapolation of high frequency stick-slip behaviour  
 292 to include experimental tuning fork frequencies like 31 KHz [13].

294 Besides the above explicit frequency behaviour, even at  
 295 fixed frequency the variation of  $G''$  with increasing oscillation  
 296 amplitude will implicitly reflect velocity dependence, because  
 297 the strain rate is proportional to amplitude. Both our simulation  
 298 and experiment show, for amplitudes above the slip thresholds  
 299 and up to the largest value, a basically constant  $G''$  (Fig. 3),  
 300 which could be interpreted as evidence of viscous response  
 301 [13], i.e.,  $G'' \sim \eta A \omega / d_0$ , independent of amplitude and pro-  
 302 portional to frequency, where  $\eta$  is the viscosity and  $A$  the area,  
 303 apparently contradicting stick-slip. As it turns out, nonetheless,  
 304 a constant  $G''$  in the post-slip regime is in the present case  
 305 compatible with stick-slip as well. Viscous and stick-slip friction  
 306 notoriously differ in steady state friction, where the shear  
 307 stress  $F$  grows linearly and continuously with velocity in the  
 308 former, while in stick-slip it undergoes jumps, whose steady  
 309 state spacing and dissipation averaging essentially cancel the  
 310 velocity dependence. In our short cycle, comprising only one  
 311 slip of each type, there is no such averaging, and the behaviour  
 312 of  $G''$  at large amplitude remains qualitatively the same as  
 313 in viscous friction, such as one would find in a thermolubric  
 314 junction, or in a melted nanoneck.

316 Why there is actually no mechanically induced nanojunction  
 317 melting in both experiment or simulation is a point already  
 318 discussed in Ref. [13], but worth re-examining here. Owing  
 319 to our large oscillation frequencies, one might suppose that  
 320 melting could in principle occur the large power  $P$  provided  
 321 by the oscillatory strain. In simulation, that power can be  
 322 evaluated, either as  $P = \frac{1}{\tau} \int_0^\tau F(t) \dot{h}(t) dt$  where  $\tau = 2\pi/\omega$  is  
 323 the period, or equivalently as the amount of heat absorbed by  
 324 the thermostat per unit time. For a nanocontact with a cross  
 325 section  $A \approx 0.6 \text{ nm}^2$ , oscillating with frequency  $\omega/2\pi = 50$   
 326 MHz and amplitude  $a_0 = 0.22 \text{ nm}$ , one obtains a value of  
 327  $P \approx 1.6 \times 10^{-11} \text{ W}$ , which, if concentrated on a hypothetical  
 328 isolated piece of gold of volume  $A d_0 \approx 0.6 \text{ nm}^3$ , would actually  
 329 melt it in less than 3 cycles. In a nanojunction, heat is, however,  
 330 conducted away through the suspending tips to the two leads  
 331 that are held at  $T = 300 \text{ K}$ . Owing to the large electronic ther-  
 332 mal conductance, estimated via the Wiedemann-Franz relation  
 333 (valid also in the ballistic regime, that should actually apply  
 334 here [30, 31]) essentially all heat escapes, leaving only a tiny  
 335 residue and a temperature increase in the nanojunction that  
 336 is completely negligible [13]. In our case, simulations actually  
 337 ignore electronic heat conduction, which in gold is about 95%  
 338 of the total, thus underestimating the rate of heat escape by a  
 339 large factor. All the same, the temperature rise in the simulated

340 nanojunction remained negligible, safely below 15 K even at the  
 341 largest strain amplitudes. The conclusion that the nanojunc-  
 342 tion does not thermally melt stands therefore absolutely correct.

## 344 Intrinsic tensile stress

345 The agreement of simulated and experimental dynamic re-  
 346 sponse leads us to address with some confidence the ques-  
 347 tion of how a sign change of  $G'$  at large strains may arise as  
 348 result of reversible yielding of a solid nanojunction, and why.  
 349 Reversibility of yielding is in itself not sufficient to account for  
 350 that, and some additional element must intervene to make the  
 351 force jumps at necking and bellying large enough, with accu-  
 352 mulation of tensile stress, surprisingly even after compressive  
 353 bellying. That element, theoretically predicted long ago [11, 12]  
 354 and recently demonstrated in Pt nanowires[18] is the intrinsi-  
 355 cally nonzero tensile force - a string tension between the leads  
 356 - even for a solid nanojunction. In our simulations, tension in-  
 357 deed appeared systematically in all oscillation-free and oscil-  
 358 lating nanocontact simulations, as shown by the positive mean  
 359 force value in Fig. 2. Following even the most careful structural  
 360 relaxation, which canceled the lead-lead force, tensile stress al-  
 361 ways resuscitated immediately afterwards. The bridge-mediated  
 362 attraction between two bulk-like leads reflects the nanojunc-  
 363 tion's intrinsic metastability against thinning and eventual break-  
 364 ing. Atoms in the bridge would, if they could, gain free energy  
 365 by migrating to the leads. Thinning and breaking, averted in ex-  
 366 periments by maintaining a constant average electrical current,  
 367 have no time to occur in the metastable conditions of a simu-  
 368 lation whose duration is much shorter: but the tensile force,  
 369 transmitted as it were between the leads by the outer nanojunc-  
 370 tion atoms, is their ubiquitous forerunner. The nonzero average  
 371 tension force seen in simulations confirm in fact that our rheo-  
 372 logical results reasonably represent quasi-equilibrium nano-  
 373 junction conditions. Its value is as it happens, quite easy to  
 374 predict, ahead of simulations, as follows. Assume a cylindrical  
 375 nanobridge, solid as well as liquid, with  $N_t$  atoms, radius  $R$ ,  
 376 length  $L$ , and total Gibbs free energy  $H$ . The intrinsic lead-lead  
 377 force is [11]

$$378 F_i = (H - \mu N_t) / L \quad (1)$$

379 where  $\mu$  is the bulk chemical potential (at  $T = 0$ , the cohe-  
 380 sive energy) per atom. Considering separate surface and bulk  
 381 contributions to  $H$ , the free energy difference at the numera-  
 382 tor can be written in terms of the metal surface free energy  $\gamma$ .  
 383 Yielding an intrinsic tensile force, or string tension, that is just  
 384 the total surface free energy  $2\pi R L \gamma$  divided by length

$$385 F_i(R) = 2\pi \gamma R \quad (2)$$

386 a strikingly simple formula. Equally valid for our solid as it is  
 387 for a liquid neck[13], for in both cases the nanocontact interior  
 388 (crystalline or fluid) is poorly affected by strain, and the surface  
 389 layer is the governing element. In our solid simulated junctions  
 390 this force formula is immediately verified. For example in the  
 391  $N \approx 9$  nanojunction of Fig. 2, where  $A \approx 0.6 \text{ nm}^2$ , whence  
 392  $R \approx 0.44 \text{ nm}$  we obtain, inserting gold's surface energy 0.9  
 393 J/m<sup>2</sup> of the force field[17], we obtain  $F_i = 2.5 \text{ nN}$ , (4.17 nN  
 394 using instead the experimental surface energy 1.5 J/m<sup>2</sup>) in

parameter-free agreement with  $F_0 \approx 3.3 \pm 1.0$  nN of the simulation (the confidence interval is connected with force fluctuations in Fig. S3). Similarly, for the  $N \approx 26$  nanojunction, where  $A \approx 1.7$  nm<sup>2</sup> we predict  $F_i = 4.2$  nN, (7.08 nN using the experimental surface energy) and observe  $F_0 \approx 5.6 \pm 2.2$  nN. This large intrinsic lead-lead attraction, capillary-like but present even across a largely solid nanojunction,[11, 12] should not be forgotten when interpreting experiments.

The intrinsic tension and its proportionality to radius  $R$  represents the second key element to understand the full rheological response. Each time in the cycle the nanojunction undergoes reversible yielding, both necking and bellying, the tensile force and stress undergoes a collapse. That starts from an initially large value as dictated by the intrinsic tension, down to nearly zero after the slip, canceling essentially the whole force, as demonstrated in Fig. 4.

The effect of intrinsic stress on  $G'$  can be further demonstrated analytically by e.g., assuming (e.g. for very low frequency  $\omega$ ) a stress-strain behaviour  $\sigma(\epsilon)$  that is a hysteresis-free single-valued zig-zag function (see Supplementary Fig. S6)

$$\sigma(\epsilon) = k\epsilon - j_n\Theta(\epsilon - \epsilon_n) + j_b\Theta(\epsilon_b - \epsilon) + \sigma_i \quad (3)$$

where  $k$  is the elastic stiffness,  $j_n$  ( $j_b$ ) are the necking (bellying) stress jumps,  $\Theta$  is the Heaviside function, and  $\sigma_i = F_i/A$  is the intrinsic stress at zero strain. The effective stiffness  $G'$  (strictly real in this case) is the ratio of the Fourier transforms  $\sigma(\omega)$  and  $\epsilon(\omega)$

$$\begin{aligned} G' &= \frac{2i}{\epsilon_0} \frac{\omega}{2\pi} \int_{-\pi/\omega}^{\pi/\omega} \sigma[\epsilon_0 \exp(i\omega t)] \exp(-i\omega t) dt \\ &= k - \frac{2}{\pi\epsilon_0} [j_n \sqrt{1 - (\epsilon_n/\epsilon_0)^2} + j_b \sqrt{1 - (\epsilon_b/\epsilon_0)^2}]. \end{aligned} \quad (4)$$

The last two terms show how the stress drops  $j_n$  and  $j_b$  – that are large because of the large intrinsic stress – effectively act to reverse the sign of  $G'$  when  $\epsilon_0$  exceeds the yielding thresholds  $\epsilon_n$  and  $|\epsilon_b|$  (see Supplementary Fig. S6).

## Discussion and conclusions

Summing up, we have found that the large-strain rheology of a ductile metal nanojunction, here exemplified by room temperature gold, is dominated by two elements: reversible yielding, and a large size-dependent intrinsic tensile stress, both already apparent in the raw simulation data of Fig. 2. The reversible yield slips occur in a basically crystalline structure, as opposed to a conjectured strain-driven liquefaction. The size-dependent tensile stress, predicted to exist in a metal nanojunction in quasi-equilibrium at finite temperature, even if solid inside, causes the stress jumps to be large. The two elements concur to change the sign of the effective stiffness  $G'$  from positive to negative, a reversal close to that seen in experiments. The dissipative response  $G''$  at large oscillation amplitudes is predicted to behave as stick-slip friction, that is with weak or negligible frequency dependence. The constant values of  $G''$  at fixed frequency and large amplitude shown

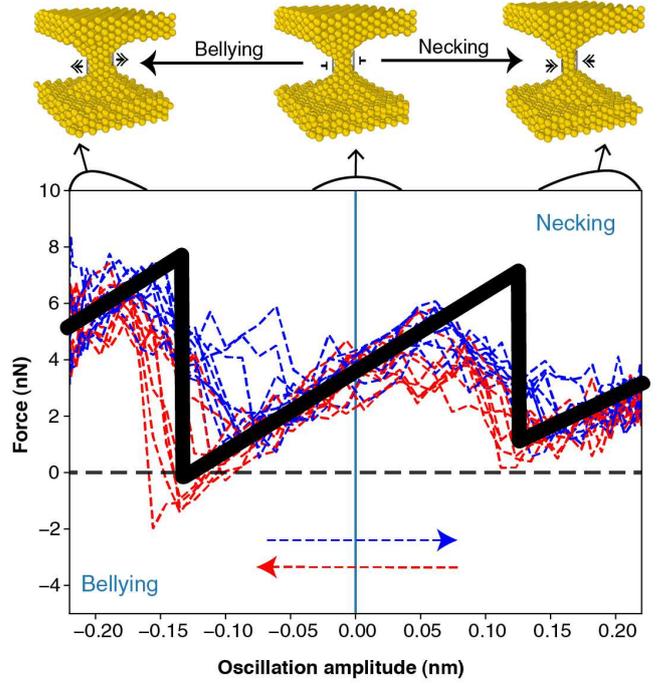


Figure 4: **Large amplitude force-strain characteristics.** Simulation trajectories in the force-strain plane, for the  $N \approx 9$  nanojunction at 50 MHz. Blue trajectories: half cycle with positive strain time derivative  $\frac{d\epsilon}{dt} > 0$ ; red trajectories: negative strain time derivative  $\frac{d\epsilon}{dt} < 0$ . Insets are snapshots frames showing the junction at rest (center), after necking (right) and after bellying (left) Black line: schematic adiabatic zig-zag force-strain characteristics. The straight tract near zero is (ignoring fluctuations) the elastic regime. Major yielding slips occurs at necking and bellying, which are the results of forced tensile and compressive strain, respectively. Note the average tension force dominating the whole cycle, surprisingly including the compressive portion, and the large jumps where the force falls near zero at both slips.

in Fig. 3 agree with either stick-slip or viscous flow. If the experimental tuning fork frequencies could hypothetically be extended to cover higher values they should directly exclude, we believe, the dramatic linear growth expected for a liquid. If on the other hand the oscillation frequency could hypothetically be lowered enough to reach  $\omega_L$ , or alternatively if  $\omega_L$  could be raised enough by increasing temperature, then stick-slip should cross over to thermolubric sliding. A cartoon of the full response in the limit of vanishing  $\omega$ , for example, is that portrayed in the zigzag model of Supplementary Fig.S6, where  $G'$  still switches from positive to negative, whereas dissipation vanishes,  $G'' = 0$ . A predicted additional consequence of the reversible stick-slip rheology is a large anharmonicity and associated force noise, also be accompanied by conductance noise. Clearly reported in experiments,[13] such a large noise cannot be easily rationalized for a liquid neck.

Investigated here in the specific instance of gold, a very ductile metal at room temperature, the conditions under which a vibration-induced nanojunction softening might occur in different metal contacts of technological importance should be pursued in future studies. Fully developed intrinsic tension in

generic nanocontacts might be hampered by slower kinetics in less ductile metals (thus showing up only at higher temperatures), where nonetheless the same conclusions about the non equilibrium dynamics of nano and atomic contacts will apply. The mechanical and rheological behaviour under oscillatory strain induced by vibrations of the specific case of gold junctions, expected to be technologically important in electrical contacts [32, 33] – should be of high interest for gold-plated connectors and switches in industrial applications [34, 35], for spacecrafts evolving in microgravity [36] and a multitude of other strongly vibrating contacts. Potential applications beyond the case of gold could play a role in adhesion and friction of vibrating systems, where negative stiffness could alter the mechanical stability, the friction, and the electronic performance of more general metal nanocontacts. Our findings may have major impact in emerging industrial applications such as a direct printing of metallic wires at the nanoscale. Indeed the increased stability due to shear induced negative stiffness can prevent mechanical instability of metallic wire and dewetting of surface at the nanoscale providing a novel class of electronic devices.

## Methods

The sub-microsecond simulations were carried out using the Large-scale Atomic/Molecular Massively Parallel Simulator (LAMMPS) open source code [37]. Following previous work by Park and Zimmermann [38], and many other studies, we adopted the realistic embedded-atom method (EAM) gold force field by Foiles et al [17]. The simulation setup consisted of 2516 particles, with periodic boundary conditions (PBC) in the (x,y) plane and free boundary conditions along the z axis (the strain axis). The two upper and lower gold leads consist of ABC fcc rigidly stacked (111) lattice planes. An initial 2.75 nm long column joining the leads is thermally and mechanically relaxed, as shown Fig. 1b, finally evolving into a shorter nanojunction subtended between two spontaneously formed anvil-shaped tips. An oscillatory z-displacement is added to the lead-lead distance, causing the nanojunction to undergo a tensile and compressive deformation. Non-equilibrium molecular dynamics (NEMD) simulation is carried out in the framework of Langevin approach at room temperature. In order to minimize the possible influence of this simulating procedure, the thermostat is applied just to the mobile atoms outside the physically relevant region defined by  $h_0$ . Besides, we checked that, within a significant range of values of the Langevin damping parameter, rheological response of the system is reasonably independent of its specific choice. Because of its immediate relevance to the proper understanding of results, much of the remaining technical information is already part of the main text.

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