

Functional interdependence of the actin regulators CAP1 and cofilin1 in control of dendritic spine morphology

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Research Article

Keywords: CAP1, actin dynamics, dendritic spine, cofilin, cyclase-associated protein

Posted Date: January 7th, 2022

DOI: <https://doi.org/10.21203/rs.3.rs-1198887/v1>

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1 Research article, submitted to the *Cell Mol Life Sci*

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3 **Functional interdependence of the actin regulators CAP1 and**
4 **cofilin1 in control of dendritic spine morphology**

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29 **Running title:** CAP1 controls synaptic actin dynamics

30 **Key words:** CAP1; actin dynamics; dendritic spine; cofilin; cyclase-associated protein

1 **Abstract**

2 The vast majority of excitatory synapses are formed on small dendritic protrusions
3 termed dendritic spines. Dendritic spines vary in size and density that are both crucial
4 determinants of excitatory synaptic transmission. Aberrations in spine morphogenesis
5 can compromise brain function and have been associated with neuropsychiatric
6 disorders. Because actin filaments (F-actin) are the major structural component in
7 spines, actin-binding proteins (ABP) that control F-actin dis-/assembly moved into the
8 focus as critical regulators of brain function. Indeed, mouse studies identified the ABP
9 cofilin1 as a key regulator of spine morphology, synaptic transmission and behavior.
10 These studies emphasized the necessity for a tight control of cofilin1 to ensure proper
11 brain function. We report spine enrichment of cyclase-associated protein 1 (CAP1), a
12 conserved multidomain protein with largely unknown physiological functions. Super-
13 resolution microscopy and live cell imaging of CAP1-deficient hippocampal neurons
14 revealed impaired synaptic F-actin organization and dynamics associated with
15 alterations in spine morphology. Mechanistically, we found that CAP1 cooperated with
16 cofilin1 in spines and that its helical folded domain mediated this interaction. Moreover,
17 our data proved functional interdependence of CAP1 and cofilin1 in control of spine
18 morphology. In summary, we identified CAP1 as a novel regulator of the postsynaptic
19 actin cytoskeleton that was essential for synaptic cofilin1 activity.

1 **Introduction**

2 Most excitatory synapses in the vertebrate brain are formed on small dendritic
3 protrusions termed dendritic spines (Bosch, 2012). Dendritic spines are composed of
4 specialized subdomains exerting specific functions in excitatory synaptic transmission.
5 Their postsynaptic density (PSD) opposes the presynaptic active zone and contains
6 neurotransmitter receptors, ion channels and signaling molecules that collectively
7 mediate postsynaptic responses to neurotransmitter release (Sheng, 2007). These
8 proteins are anchored via scaffolding molecules that connect the PSD to the underlying
9 cytoskeleton. Actin filaments (F-actin) are the major cytoskeletal component in spines,
10 and actin-binding proteins (ABP) that regulate assembly or disassembly of F-actin
11 control spine morphology and density (Bosch, 2012). Consequently, dysfunction of
12 ABPs causes aberrations in spine morphology or density that affect synaptic
13 transmission and brain function and that have been associated with mental retardation
14 or neuropsychiatric diseases such as autism spectrum disorders (ASD), attention-
15 deficit/hyperactivity disorder (ADHD) or schizophrenia (Bourgeron, 2015;
16 Hlushchenko, 2016; Spence, 2015). Hence, deciphering postsynaptic actin regulatory
17 mechanisms is mandatory to understand the processes that control brain function and
18 that contribute to pathologies of human neuropsychiatric diseases.

19 Studies of the past decade unraveled important synaptic functions for actin
20 depolymerizing proteins of the ADF/cofilin family (Bosch, 2014; Duffney, 2015; Feuge,
21 2019; Goodson, 2012; Gu, 2010; Hotulainen, 2009; Pyronneau, 2017; Rust, 2010;
22 Sungur, 2018; Wolf, 2015; Zimmermann, 2015). Specifically, these studies identified
23 cofilin1 as a key regulator of postsynaptic F-actin dynamics, spine morphology,
24 synapse physiology and brain function. Further, they implicated cofilin1 in the
25 pathology of neuropsychiatric diseases and proved modulation of actin-regulatory
26 mechanisms as a promising therapeutic avenue. Collectively, these studies highlighted
27 the necessity for a tight regulation of cofilin1 activity to ensure synapse physiology (Ben
28 Zablah, 2020; Rust, 2015a; Rust, 2015b). To date, various mechanisms that control
29 synaptic cofilin1 have been identified, including signaling cascades that control cofilin1-
30 actin interaction via posttranslational modifications, proteins that mediate cofilin1
31 recruitment into spines and local cofilin1 translation within the dendritic compartment
32 (Feuge, 2019; Meng, 2002; Pelucchi, 2020; Pontrello, 2012; Rust, 2015a).

1 Cyclase-associated protein 1 (CAP1) is a highly conserved, multidomain protein ABP
2 with largely unknown physiological functions (Rust, 2020). We found CAP1 enriched
3 in spine heads underneath the PSD. Inactivation of CAP1 in primary hippocampal
4 neurons from gene-targeted mice revealed a role for CAP1 in regulating the
5 postsynaptic actin cytoskeleton as well as spine morphology and density. CAP1's
6 function in regulating spine morphology and density depended on its helical-folded
7 domain (HFD) that mediated interaction with cofilin1 in neurons. Rescue experiments
8 in double knockout neurons lacking CAP1 and cofilin1 revealed their cooperation in
9 the regulation of spine morphology and they proved functional interdependence of both
10 ABP in the postsynaptic compartment. Together, we found CAP1 to be relevant for
11 cofilin1 function in spines. We thereby report a novel mechanism that controls synaptic
12 cofilin1 activity.

1 **Results**

2 **CAP1 is enriched in dendritic spine heads, beneath the postsynaptic density**

3 Previous studies reported presence of CAP1 during embryonic brain development
4 (Bertling, 2004; Schneider, 2021a), but its expression in the postnatal brain and its
5 subcellular localization in differentiated neurons is unknown. To determine CAP1
6 expression in the postnatal brain, we performed immunoblots with mouse cerebral
7 cortex lysates at various postnatal stages. We found CAP1 present at postnatal day
8 (P) 0 and increasing expression starting during the 2nd postnatal week (Fig. 1A).
9 Similarly, CAP1 expression increased during the 2nd week in cultured mouse neurons
10 suggesting that they imitated the temporal expression profile *in vivo* (Fig. 1B).
11 Additionally, we determined whether CAP1 was present in synapse-enriched fractions
12 termed synaptosomes. We separated synaptosomal lysates into soluble and insoluble
13 protein fractions. Separation has been verified by immunoblotting against
14 synaptophysin and PSD-95, respectively (Fig. 1C). We found CAP1 in the soluble, but
15 not in the insoluble fraction. Together, CAP1 was expressed throughout postnatal
16 mouse brain development with increasing expression levels during neuron
17 differentiation, and our data suggested synaptic localization.

18 To further study the subcellular localization of CAP1, we exploited mouse hippocampal
19 neurons isolated at embryonic day 18.5 (E18.5). We transfected neurons after 6 days
20 *in vitro* (DIV) with constructs expressing green fluorescence protein-tagged CAP1
21 (CAP1-GFP) and the volume marker *Discosoma* red fluorescence protein (dsRed), and
22 we determined CAP1-GFP localization at DIV16 (Fig. 1D). In control experiments, we
23 expressed GFP that showed the expected equal distribution within the dendritic
24 compartment as evident from a fluorescence intensity profile that overlapped with
25 dsRed (Fig. 1E). We found CAP1-GFP present in virtually all dendritic spines,
26 independent of their size or morphology (Fig. 1D). When compared to GFP-expressing
27 control neurons, GFP intensity appeared stronger in spines from neurons expressing
28 CAP1-GFP (Fig. 1D-F). Indeed, the ratio of GFP intensity in spine heads vs dendritic
29 shafts was more than twofold increased in CAP1-GFP neurons when compared to
30 GFP-expressing control neurons (Fig. 1G; GFP: 0.56 ± 0.02 , CAP1-GFP: 1.31 ± 0.07 ,
31 $n=150$ spines from 20 neurons from 3 hippocampal cultures, $P < 0.001$), and the ratio
32 in spine heads vs spine necks was increased by roughly 50% (GFP: 1.58 ± 0.04 , CAP1-

1 GFP: 2.40 ± 0.10 , $n=150/20/3$, $P < 0.001$). These data suggested CAP1 enrichment in
2 spine heads, which was further supported by co-localization of CAP1-GFP and the F-
3 actin marker mCherry-LifeAct (Fig. S1A-B). CAP1 immunoreactivity confirmed CAP1
4 enrichment in spines, thereby proving that CAP1-GFP faithfully reflects localization of
5 endogenous protein (Fig. S1C). Control experiments in hippocampal neurons from
6 brain-specific CAP1-KO mice (Schneider, 2021a) confirmed specificity of the CAP1
7 antibody (Fig. S1D).

8 To determine the sub-synaptic localization of endogenous CAP1, we performed
9 stimulated emission depletion (STED) nanoscopy. We confirmed CAP1 localization in
10 spines and additionally found CAP1 in presynaptic boutons identified by Bassoon
11 immunoreactivity (Fig. 1H). Fluorescent intensity profiles suggested abundance of
12 CAP1 in spine regions beneath the Shank3-labelled PSD (Fig. 1I). To better
13 characterize CAP1 distribution in spines, we performed STED nanoscopy on neurons
14 stained with Shank3 and the F-actin marker phalloidin (Fig. 1J), both of which were
15 used to outline spines. We determined relative fluorescence of CAP1, Shank3 and
16 phalloidin by using a mask that subdivides spines into quadrants (Q), which each were
17 composed of three equally sized segments (see mask in Fig. 1K), similar to a previous
18 study (Mikhaylova, 2018). As expected, Shank3 was enriched in QIV, opposite to the
19 spine neck, and phalloidin intensity was equally high in QI-III, but low in QIV (Fig. 1K).
20 CAP1 was present in all four quadrants, but intensity was somewhat higher in QII and
21 III. These data revealed CAP1 enrichment in spine heads outside the PSD and spine
22 head base (Fig. 1K). We also determined the centers of mass within spines for all three
23 fluorescent signals. As shown in scheme (Fig. 1K), the coordinate system's origin
24 represents the center of the circular region of interest. As expected, this analysis
25 revealed no differences between Shank3, phalloidin and CAP1 along the y-axis (Fig.
26 1L; Shank3: -1.86 ± 2.41 , phalloidin: -0.74 ± 1.29 , CAP1: -1.05 ± 1.50 , $n=20/3$; Shank3 vs
27 CAP1: $P=0.812$, phalloidin vs CAP1: $P=0.893$, Shank3 vs phalloidin: $P=0.730$). CAP1's
28 center of mass along the x-axis, which approximately reflected the PSD-spine neck
29 axis, laid between Shank3 and phalloidin and differed from both (CAP1: -7.93 ± 0.93 ,
30 Shank3: -14.88 ± 2.15 , $P < 0.05$, phalloidin: 0.11 ± 1.44 , $P < 0.001$). These data confirmed
31 CAP1 enrichment in spine head regions underneath the PSD. Together, our data
32 revealed localization of endogenous CAP1 in both pre- and postsynaptic

1 compartments of hippocampal excitatory synapses and an enrichment in spine heads,
2 beneath the PSD.

3

4 **CAP1 was specifically relevant for dendritic spine density and morphology**

5 To investigate the role of CAP1 in dendritic spines, we genetically removed CAP1 from
6 isolated hippocampal neurons. Since systemic CAP1 mutant mice died during
7 embryonic development (Jang, 2019), we exploited hippocampal neurons from
8 conditional mice (CAP1^{flx/flx}) in which we flanked exon 3 of the CAP1 gene by loxP sites
9 (Schneider, 2021a). We transfected isolated hippocampal neurons from E18.5
10 CAP1^{flx/flx} mice with either catalytically active mCherry-tagged Cre (Cre) or with a
11 catalytically inactive mCherry-Cre mutant (Cre-mut) at DIV6. Immunocytochemistry
12 proved absence of CAP1 from Cre-transfected CAP1^{flx/flx} neurons at DIV16 (Fig. S2),
13 which we refer to as CAP1-KO throughout the manuscript. Instead, CAP1 was present
14 upon Cre-mut transfection, and these neurons served as controls (CTR).

15 To characterize CAP1's neuronal function, we performed a thorough morphometric
16 analysis of GFP-transfected neurons at DIV16 (Fig. 2A). In CAP1-KO neurons, the
17 number of primary neurites, the number of branching points as well as the dendrite
18 complexity deduced from the branching point number normalized to dendritic length
19 was unchanged (Fig. S3A-C; primary neurites: CTR: 5.79±0.49, CAP1-KO: 6.04±0.53,
20 n=15 neurons from 3 cultures, P=0.731; branching points: CTR: 50.50±7.02, CAP1-
21 KO: 39.58±5.07, n=15/3, P=0.214; branching points/total length: CTR: 0.021±0.002,
22 CAP1-KO: 0.024±0.003, n=15/3, P=0.293). We therefore concluded that CAP1 was
23 dispensable for dendritic tree complexity.

24 The observed CAP1 enrichment in spines led us determine spine density and
25 morphology. Compared to CTR, spine density was reduced by 17% in CAP1-KO
26 neurons, while spine volume was increased by 27% (Fig. 2A-C; density (spines/μm):
27 CTR: 0.47±0.03, CAP1-KO: 0.39±0.04, n>3,750/15/3, P<0.01; volume (arbitrary units
28 (au)): CTR: 0.22±0.01, CAP1-KO: 0.28±0.03, n>3,750/15/3, P<0.001). Consistent with
29 enlarged spine volume, spine head width was increased by 31%, while total spine
30 length or spine head length were unchanged (Fig. 2D-F; (μm) spine length: CTR:
31 1.51±0.10, CAP1-KO: 1.42±0.09, P=0.488; head length: CTR: 0.93±0.08, CAP1-KO:
32 0.85±0.06, P=0.459; head width: CTR: 0.51±0.01, CAP1-KO: 0.67±0.03, P<0.001;

1 n>250/15/3). Further, we determined the fractions of spine types in CAP1-KO neurons
2 (Fig. S3D), similar to previous studies (Hering, 2001). Compared to CTR, the fractions
3 of filopodia-like and thin spines were reduced by 67% and 33%, respectively, in CAP1-
4 KO neurons, while the fractions of stubby or mushroom-like spines were increased by
5 62% and 31%, respectively (Fig. 2G; filopodia-like: CTR: 0.08 ± 0.02 , CAP1-KO:
6 0.03 ± 0.01 , thin: CTR: 0.39 ± 0.03 , CAP1-KO: 0.27 ± 0.03 ; stubby: CTR: 0.13 ± 0.02 ,
7 CAP1-KO: 0.22 ± 0.03 ; mushroom-like: CTR: 0.29 ± 0.02 , CAP1-KO: 0.37 ± 0.03 ;
8 n>250/15/3). Consequently, the spine type distribution was altered in CAP1-KO
9 neurons ($P<0.001$). Together, CAP1 inactivation did not impair neuron differentiation,
10 but increased spine size and reduced spine density. Further, the spine type distribution
11 was shifted towards mature spines (stubby, mushroom-like) in CAP1-KO neurons.
12 Since F-actin constitutes the major structural component in spines and thereby
13 determines spine morphology, we hypothesize a role for CAP1 in regulating the
14 postsynaptic actin cytoskeleton.

15

16 **CAP1 controls F-actin organization and actin turnover in spines**

17 To test whether CAP1 regulates the postsynaptic actin cytoskeleton, we investigated
18 both F-actin organization and actin dynamics in spines. For better comparability, we
19 restricted our analysis to mushroom-like spines, which displayed a 22% increase in
20 spine head width in CAP1-KO neurons (Figs. 3A; (μm) total length: CTR: 1.59 ± 0.09 ,
21 CAP1-KO: 1.69 ± 0.11 , $P=0.510$; head length: CTR: 0.69 ± 0.05 , CAP1-KO: 0.75 ± 0.04 ,
22 $P=0.466$; head width: CTR: 0.76 ± 0.02 , CAP1-KO: 0.93 ± 0.05 , $P<0.01$), while the
23 morphologies of filopodia-like, thin or stubby spines were unchanged (Fig. S3E-G;
24 filopodia-like: length: CTR: 2.91 ± 0.15 , CAP1-KO: 2.90 ± 0.48 , $P=0.976$; width: CTR:
25 0.27 ± 0.01 , CAP1-KO: 0.27 ± 0.01 , $P=0.952$; thin: total length: CTR: 1.28 ± 0.05 , CAP1-
26 KO: 1.13 ± 0.07 , $P=0.100$; head length: CTR: 0.80 ± 0.05 , CAP1-KO: 0.79 ± 0.05 ,
27 $P=0.933$; head width: CTR: 0.36 ± 0.01 , CAP1-KO: 0.36 ± 0.02 , $P=0.770$; stubby: length:
28 CTR: 0.50 ± 0.02 , CAP1-KO: 0.55 ± 0.04 , $P=0.180$; width: CTR: 0.51 ± 0.05 , CAP1-KO:
29 0.56 ± 0.05 , $P=0.464$; n>250/15/3). To study whether CAP1 was relevant for actin
30 turnover in spines, we expressed GFP-actin in CTR and CAP1-KO neurons and
31 performed fluorescent recovery after photobleaching (FRAP) experiments in individual
32 spines (Fig. 3B, Movies S1-2). During 300 s of recording, GFP-actin levels in CTR
33 neurons recovered and reached a plateau at roughly 80% of basal levels (Fig. 3C-D).

1 The stable actin fraction that did not recover within 300 s amounted to 0.22 ± 0.02
2 ($n=32/8/3$), similar to previous studies (Feuge, 2019; Michaelsen-Preusse, 2016).
3 While this fraction was 54% higher in CAP1-KO neurons (0.34 ± 0.06 , $n=33/9/3$), the
4 increase did not reach statistical significance ($P=0.087$). However, compared to CTR,
5 actin turnover was slower in spines from CAP1-KO neurons, as evident from a 51%
6 increase in half-recovery time of the dynamic actin fraction (Fig. 3E; (s) CTR:
7 31.60 ± 5.76 , CAP1-KO: 47.75 ± 4.83 , $P<0.05$). Hence, actin turnover was slowed down
8 in CAP1-KO spines, demonstrating a role for CAP1 in postsynaptic actin dynamics.

9 Next, we tested whether impaired actin dynamics were associated with an altered F-
10 actin organization in spines. We therefore performed STED imaging on GFP-
11 expressing neurons stained with phalloidin to label F-actin (Fig. 3F). GFP was used to
12 outline spines and, thus, to determine distribution of fluorescence intensities of
13 phalloidin as shown in the scheme in Fig. 1K. In CTR, we found the expected
14 homogenous distribution of phalloidin in QI-III and lower levels in QIV (Fig. 3G).
15 Compared to CTR, phalloidin distribution was different in CAP1-KO spines, in which it
16 was enriched in QI, at the spine head base. Hence, F-actin organization was altered
17 in spines of CAP1-KO neurons. Because the actin cytoskeleton is relevant for
18 anchoring scaffolding proteins of the PSD, we next determined by STED nanoscopy
19 whether disorganization of F-actin in CAP1-KO neurons was associated with an altered
20 sub-spinous distribution of the key PSD proteins Shank3, PSD-95 and Homer (Fig.
21 3F). As expected, all three scaffolding proteins were enriched in QIV in CTR spines
22 (Fig. 3G). Instead, such an enrichment was not present in spines from CAP1-KO
23 neurons. We also determined the centers of mass for phalloidin, PSD-95, Shank3 and
24 Homer in CTR and CAP1-KO spines (Fig. 3H). To exclude potential discrepancies due
25 to differences in spine size and morphology between both groups, we normalized the
26 centers of mass to that of GFP, which corresponded to the coordinate system's origin.
27 Consistent with a homogenous distribution of phalloidin in QI-III from CTR spines, it's
28 center of mass was close to the origin with a x-value of -1.65 ± 1.63 ($n=26$). The centers
29 of mass for PSD-95, Shank3 and Homer were found further on the left, as expected
30 from their enrichment in QIV (x-values: PSD-95: -7.68 ± 1.74 , $n=30$; Shank3: $-$
31 8.88 ± 2.25 , $n=26$; Homer: -8.11 ± 1.38 , $n=57$). Compared to CTR, the centers of mass
32 were different for phalloidin and all three PSD proteins in CAP1-KO spines, thereby
33 confirming F-actin disorganization in these spines and an altered localization of

1 postsynaptic proteins (χ -values: phalloidin: 3.91 ± 2.00 , $P < 0.05$, $n = 29$; PSD-95: -
2 1.64 ± 2.16 , $P < 0.05$, $n = 24$; Shank3: 0.89 ± 2.99 , $P < 0.05$, $n = 29$; Homer: -2.18 ± 1.72 ,
3 $P < 0.001$, $n = 47$). Hence, our data revealed an altered F-actin distribution within CAP1-
4 KO spines, demonstrating a role for CAP1 in organizing the postsynaptic actin
5 cytoskeleton. Interestingly, F-actin disorganization was associated with an altered
6 distribution of key PSD proteins. Together, we identified CAP1 as a novel regulator of
7 the postsynaptic actin cytoskeleton relevant for both actin dynamics and F-actin
8 organization.

9

10 **CAP1 domains mediating ADF/cofilin interaction are relevant for its function in** 11 **spines**

12 Having established CAP1 as a novel regulator of the postsynaptic actin cytoskeleton
13 relevant for spine density and morphology, we next set out to determine the CAP1-
14 dependent mechanism. CAP1 is a multi-domain protein comprising several conserved
15 domains capable of binding both actin as well as ABPs (Rust, 2020). By exploiting
16 recombinant proteins and mutant yeast strains, recent studies implicated its helical
17 folded domain (HFD) as well as β -sheets within its CARP domain in actin dynamics,
18 and they identified amino acid residues essential for these activities (Kotila, 2018;
19 Kotila, 2019). To test whether HFD and/or CARP domain were relevant in spines, we
20 expressed myc-tagged mutant CAP1 variants (CAP1-HFD and CAP1-CARP,
21 respectively) in CAP1-KO neurons (Fig. 4A). These experiments also included a CAP1
22 variant with mutations in a proline-rich domain (CAP1-P1) that reportedly disrupted
23 interaction with the ABP profilin (Bertling, 2007; Makkonen, 2013), an important
24 regulator of spine morphology (Ackermann, 2003; Lamprecht, 2006; Michaelsen-
25 Preusse, 2016; Michaelsen, 2010). In control experiments, we expressed myc-tagged
26 WT-CAP1 in hippocampal neurons. Myc antibody staining confirmed localization of
27 WT-CAP1 and all three CAP1 mutants in spines from CTR and CAP1-KO neurons
28 (Fig. 4B). Spines were identified by co-transfection of GFP that served as volume
29 marker. WT-CAP1 did not alter spine density and only very slightly reduced spine
30 volume in CTR neurons, while CAP1 mutants neither altered spine density nor volume
31 in CTR neurons (Fig. 4C-D; density (spines/ μm): CTR: 0.47 ± 0.03 ; WT-CAP1:
32 0.46 ± 0.03 , $P = 0.613$; CAP1-HFD: 0.46 ± 0.05 , $P = 0.602$; CAP1-CARP: 0.47 ± 0.03 ,
33 $P = 0.860$; CAP1-P1: 0.48 ± 0.03 , $P = 0.857$; $n > 3,750/15/3$ for each condition; volume

1 (a.u.): CTR: 0.22 ± 0.01 ; WT-CAP1: 0.20 ± 0.01 , $P<0.05$; CAP1-HFD: 0.21 ± 0.02 ,
2 $P=0.372$; CAP1-CARP: 0.24 ± 0.01 , $P=0.175$; CAP1-P1: 0.22 ± 0.02 , $P=0.705$;
3 $n>3,750/15/3$ for each condition). In contrast, WT-CAP1 increased spine density by
4 20% in CAP1-KO neurons, and it reduced spine volume by 22% (density (spines/ μm):
5 CAP1-KO: 0.39 ± 0.04 , WT-CAP1: 0.47 ± 0.05 , $P<0.05$, $n>3,750/15/3$; volume (a.u.):
6 CAP1-KO: 0.28 ± 0.03 , WT-CAP1: 0.22 ± 0.02 , $P<0.01$, $n>3,750/15/3$). Consequently,
7 spine density and volume in WT-CAP1-expressing CAP1-KO neurons were not
8 different from CTR neurons ($P=0.993$ and $P=0.825$, respectively). Hence, re-
9 expression of WT-CAP1 fully rescued spine morphological changes in CAP1-KO
10 neurons. Similarly, CAP1-P1 rescued spine changes in CAP1-KO neurons (density
11 (spines/ μm): 0.48 ± 0.04 , $P<0.05$, $n>3,750/15/3$; volume (a.u.): 0.22 ± 0.02 , $P<0.01$,
12 $n>3,750/15/3$). Instead, neither CAP1-HFD nor CAP1-CARP changed spine density or
13 volume in CAP1-KO neurons (density (spines/ μm): CAP1-HFD: 0.38 ± 0.03 , $P=0.837$;
14 CAP1-CARP: 0.42 ± 0.03 , $P=0.349$; $n>3,750/15/3$; volume (a.u.): CAP1-HFD:
15 0.27 ± 0.02 , $P=0.684$; CAP1-CARP: 0.27 ± 0.02 $P=0.497$; $n>3,750/15/3$). We therefore
16 concluded that HFD and CARP domain of CAP1, but not the P1 domain, are relevant
17 for spine morphology. In a current model for CAP1-dependent regulation of actin
18 dynamics, HFD mediates interaction with F-actin-bound ADF/cofilin and thereby
19 promotes dissociation of terminal actin subunits. Subsequently, CARP domain
20 releases ADF/cofilin from the G-actin complex (Kotila, 2018; Kotila, 2019; Rust, 2020).
21 Hence, our rescue experiments in CAP1-KO neurons let us hypothesize an interaction
22 of CAP1 and ADF/cofilin in spines.

23

24 **CAP1 and cofilin1 physically interact in hippocampal homogenates and neurons**

25 The ADF/cofilin family comprises cofilin1, cofilin2 and actin depolymerizing factor
26 (ADF, also known as destrin). While all three family members are expressed in the
27 mouse brain (Bellenchi, 2007; Gurniak, 2014), only cofilin1 and ADF have been
28 localized in excitatory synapses, and cofilin1 emerged as the key ADF/cofilin protein
29 in synaptic actin dynamics and spine morphology (Bosch, 2014; Görlich, 2011; Herde,
30 2010; Hotulainen, 2009; Racz, 2006; Rust, 2015a; Rust, 2010). Based on these
31 reports, we tested whether CAP1 interacts with cofilin1 in spines. Fluorescent intensity
32 profiles in neurons overexpressing CAP1-mCherry and cofilin1-GFP suggested co-
33 localization of both actin regulators in dendritic spines (Fig. 5A-B). Indeed, proximity

1 ligation assays exploiting antibodies against CAP1 and cofilin1 confirmed co-
2 localization of endogenous proteins in the dendritic compartment including dendritic
3 spines (Fig. 5C). By co-immunoprecipitation (CoIP), we further tested for physical
4 interaction between CAP1 and cofilin1. To do so, we coupled a mouse monoclonal
5 antibody against CAP1 to magnetic beads, which we incubated with mouse
6 hippocampal homogenate. Immunoblot analysis revealed presence of both CAP1
7 (identified by exploiting a rabbit polyclonal antibody) and cofilin1 in precipitated
8 complexes, thereby demonstrating physical interaction of both actin regulators in
9 hippocampus (Fig. 5D). We confirmed their physical interaction in a mouse
10 hippocampal neuronal cell line. In these experiments, we overexpressed myc-WT-
11 CAP1 together with either GFP or GFP-WT-cofilin1 in HT-22 cells. Myc-WT-CAP1 was
12 precipitated with an antibody against GFP in HT-22 cells overexpressing myc-WT-
13 CAP1 together with GFP-WT-cofilin1, but not in HT-22 cells overexpressing myc-WT-
14 CAP1 together with GFP (Fig. 5E-F). We also overexpressed GFP-WT-cofilin1
15 together with the CAP1 mutant variant myc-CAP1-HFD that was not able to rescue
16 spine morphology in CAP1-KO neurons (Fig. 4B-D). Interestingly, hardly any myc-
17 CAP1-HFD was precipitated with an antibody against GFP in these cells (Fig. 5E-F),
18 confirming that CAP1's HFD is relevant for the interaction with cofilin1 (Kotila, 2018;
19 Kotila, 2019). Together, co-localization of CAP1 and cofilin1 in spines as well as their
20 physical interaction in hippocampal neurons strengthened our hypothesis that both
21 actin regulators cooperate in regulating spine morphology.

22

23 **Cofilin1-KO neurons display spine changes similar to CAP1-KO neurons**

24 To test this hypothesis, we chose a genetic approach and compared under identical
25 experimental conditions spine defects in CAP1-KO neurons to those in neurons lacking
26 either cofilin1 alone or together with CAP1. We generated cofilin1-KO neurons by Cre
27 expression in hippocampal neurons from E18.5 *Cfl1^{flx/flx}* mice (Bellenchi, 2007),
28 *Cfl1^{flx/flx}* mice neurons expressing Cre-mut served as CTR (Fig. 6A). Cofilin1-KO
29 neurons displayed increased spine density and volume (Fig. 6B-C; density
30 (spines/ μm): CTR: 0.38 ± 0.01 , cofilin1-KO: 0.44 ± 0.01 , $n > 3,750/15/3$, $P < 0.001$; volume
31 (a.u.): CTR: 0.23 ± 0.01 , cofilin1-KO: 0.32 ± 0.01 , $n > 3,750/15/3$, $P < 0.001$), similar to
32 spine changes reported for cofilin1-KO mice (Rust, 2010). Further analysis of cofilin1-
33 KO neurons showed a 20% increase in spine head width, while total spine length or

1 spine head length were unchanged, similar to CAP1-KO neurons (Fig. 6D-F; (μm)
2 spine length: CTR: 1.42 ± 0.08 , cofilin1-KO: 1.46 ± 0.05 , $P=0.643$; head length: CTR:
3 0.89 ± 0.04 , cofilin1-KO: 0.80 ± 0.03 , $P=0.130$; head width: CTR: 0.59 ± 0.02 , cofilin1-KO:
4 0.71 ± 0.02 , $P<0.001$; $n>250/15/3$). While morphometric analysis of individual spine
5 types did not reveal differences between CTR and cofilin1-KO neurons (Fig. S4A-D;
6 (μm) mushroom-like: total length: CTR: 1.55 ± 0.07 , cofilin1-KO: 1.70 ± 0.04 , $P=0.085$;
7 head length: CTR: 0.61 ± 0.02 , cofilin1-KO: 0.67 ± 0.02 , $P=0.097$; head width: CTR:
8 0.85 ± 0.04 , cofilin1-KO: 0.93 ± 0.02 , $P=0.059$; filopodia-like: length: CTR: 3.07 ± 0.17 ,
9 cofilin1-KO: 3.13 ± 0.22 , $P=0.819$; width: CTR: 0.19 ± 0.01 , cofilin1-KO: 0.25 ± 0.02 ,
10 $P=0.086$; thin: total length: CTR: 1.36 ± 0.06 , cofilin1-KO: 1.26 ± 0.04 , $P=0.190$; head
11 length: CTR: 0.91 ± 0.05 , cofilin1-KO: 0.84 ± 0.05 , $P=0.307$; head width: CTR: 0.31 ± 0.01 ,
12 cofilin1-KO: 0.32 ± 0.02 , $P=0.610$; stubby: length: CTR: 0.54 ± 0.04 , cofilin1-KO:
13 0.54 ± 0.03 , $P=0.944$; width: CTR: 0.71 ± 0.05 , cofilin1-KO: 0.71 ± 0.03 , $P=0.972$;
14 $n>250/15/3$), the spine distribution among different categories was altered in cofilin1-
15 KO neurons (Fig. 6G; $n>300/15/3$, $P<0.01$). Specifically, the fractions of filopodia-like
16 (-57%) and thin spines (-29%) were reduced, while the fractions of stubby (+45%) and
17 mushroom-like spines (+25%) were increased (filopodia-like: CTR: 0.07 ± 0.01 , cofilin1-
18 KO: 0.04 ± 0.01 , thin: CTR: 0.35 ± 0.03 , cofilin1-KO: 0.25 ± 0.02 ; stubby: CTR: 0.11 ± 0.02 ,
19 cofilin1-KO: 0.16 ± 0.01 ; mushroom-like: CTR: 0.40 ± 0.05 , cofilin1-KO: 0.50 ± 0.02 ;
20 branched: CTR: 0.04 ± 0.01 , cofilin1-KO: 0.02 ± 0.01 ; $n>250/15/3$). Hence, the spine type
21 distribution in cofilin1-KO neurons was shifted towards mature spines ($P<0.01$).
22 Together, cofilin1-KO neurons displayed an increase in spine volume and spine head
23 width as well as a shift in the spine type distribution towards mature spines, similar to
24 CAP1-KO neurons.

25

26 **CAP1 and cofilin1 cannot compensate each other's inactivation**

27 The primary function of cofilin1 is to dynamize F-actin by accelerating the dissociation
28 of actin subunits (Hild, 2014). Consequently, cofilin1 inactivation stabilizes F-actin,
29 thereby causing an increase in spine size (Rust, 2010; Wolf, 2015; Zimmermann,
30 2015). Since we found a similar function for CAP1 in spines and similar changes in
31 spine morphology in CAP1-KO neurons, we next tested whether CAP1 or cofilin1 were
32 able to compensate each other's inactivation. To do so, we overexpressed WT-cofilin1
33 in CAP1-KO neurons at DIV6 and, *vice versa*, WT-CAP1 in cofilin1-KO neurons.

1 Overexpression of WT-cofilin1 neither altered spine density nor volume in CAP1-KO
2 neurons at DIV16 (Fig. 7A-C; density (spines/ μm): CTR: 0.42 ± 0.02 , CTR+WT-cofilin1:
3 0.45 ± 0.02 , $P=0.399$; CAP1-KO: 0.34 ± 0.02 , CAP1-KO+WT-cofilin1: 0.32 ± 0.03 ,
4 $P=0.512$, $n>3,750/15/3$ for each condition; volume (a.u.): CTR: 0.21 ± 0.01 , CTR+WT-
5 cofilin1: 0.19 ± 0.01 , $P=0.311$; CAP1-KO: 0.27 ± 0.01 , CAP1-KO+WT-cofilin1: 0.28 ± 0.01 ,
6 $P=0.713$; $n>3,750/15/3$ for each condition). Upon WT-cofilin1 overexpression, spine
7 density and volume were both still different between CAP1-KO and CTR ($P<0.001$ for
8 density and volume). Likewise, WT-CAP1 overexpression did not alter spine density
9 or volume in cofilin1-KO neurons at DIV16 (Fig. 7D-F; density (spines/ μm): CTR:
10 0.40 ± 0.01 , CTR+WT-CAP1: 0.40 ± 0.01 , $P=0.880$; cofilin1-KO: 0.47 ± 0.01 , cofilin1-
11 KO+WT-CAP1: 0.47 ± 0.01 , $P=0.945$; $n>3,750/15/3$ for each condition; volume (a.u.):
12 CTR: 0.20 ± 0.01 , CTR+WT-CAP1: 0.21 ± 0.01 , $P=0.748$; cofilin1-KO: 0.27 ± 0.01 ,
13 cofilin1-KO+WT-CAP1: 0.26 ± 0.01 , $P=0.166$; $n>3,750/15/3$ for each condition). Both
14 parameters were still increased in WT-CAP1-overexpressing cofilin1-KO neurons
15 when compared to CTR neurons ($P<0.001$ for density and volume). Together,
16 overexpression of either WT-CAP1 or WT-cofilin1 failed in rescuing spine defects in
17 neurons lacking cofilin1 or CAP1, respectively. We therefore concluded that cofilin1
18 and CAP1 were not able to compensate each other's inactivation in spines.

19

20 **CAP1 and cofilin1 are functionally interdependent in spines**

21 Next, we set out to test whether CAP1 and cofilin1 cooperated in spines or controlled
22 spine morphology via independent pathways. We therefore analyzed double KO (dKO)
23 neurons with compound inactivation of CAP1 and cofilin1, which we achieved by Cre
24 expression in CAP1^{flx/flx}/Cfl1^{flx/flx} neurons. CAP1^{flx/flx}/Cfl1^{flx/flx} neurons expressing Cre-
25 mut served as CTR (Fig. 8A). Different from CAP1-KO or cofilin1-KO neurons, spine
26 density was only very slightly reduced in dKO neurons (Fig. 8B; density (spines/ μm):
27 CTR: 0.39 ± 0.01 , dKO: 0.36 ± 0.01 , $n>3,750/15/3$, $P<0.05$). However, spine volume was
28 increased by roughly 40% (Fig. 8C; volume (a.u.): CTR: 0.21 ± 0.01 , dKO: 0.30 ± 0.01 ,
29 $n>3,750/15/3$, $P<0.001$). Notably, spine volume in dKO neurons did not differ from
30 CAP1-KO ($P=0.136$) or cofilin1-KO neurons ($P=0.143$). Hence, compound inactivation
31 of CAP1 and cofilin1 did not cause an additive effect in spine volume.

1 A detailed spine morphometric analysis in dKO neurons revealed a 40% increase in
2 spine head width, while total spine length or spine head length were unchanged (Fig.
3 8D-F; (μm) spine length: CTR: 1.62 ± 0.08 , dKO: 1.56 ± 0.07 , $P=0.553$; head length:
4 CTR: 1.03 ± 0.08 , dKO: 0.91 ± 0.05 , $P=0.209$; head width: CTR: 0.60 ± 0.03 , dKO:
5 0.87 ± 0.05 , $P<0.001$; $n>250/15/3$). While morphology of filopodia-like and stubby
6 spines was normal in dKO (Fig. S5A-D; (μm) filopodia-like: length: CTR: 3.10 ± 0.19 ,
7 dKO: 3.03 ± 0.12 , $P=0.787$; width: CTR: 0.28 ± 0.02 , dKO: 0.32 ± 0.04 , $P=0.371$; stubby:
8 length: CTR: 0.64 ± 0.05 , dKO: 0.63 ± 0.05 , $P=0.943$; width: CTR: 0.77 ± 0.07 , dKO:
9 0.93 ± 0.10 , $P=0.242$, $n>300/15/3$), total length was reduced by 15% in thin spines and
10 head width was increased by 20% in mushroom-like spines ((μm) thin: total length:
11 CTR: 1.41 ± 0.06 , dKO: 1.21 ± 0.08 , $P<0.05$; head length: CTR: 0.84 ± 0.08 , dKO:
12 0.79 ± 0.07 , $P=0.607$; head width: CTR: 0.35 ± 0.03 , dKO: 0.35 ± 0.03 , $P=0.919$;
13 mushroom-like: total length: CTR: 1.75 ± 0.12 , dKO: 1.82 ± 0.07 , $P=0.649$; head length:
14 CTR: 0.73 ± 0.05 , dKO: 0.82 ± 0.03 , $P=0.105$; head width: CTR: 0.92 ± 0.06 , dKO:
15 1.12 ± 0.05 , $P<0.05$). Spine categorization according to their morphology revealed
16 reduced fractions of filopodia-like (-50%) and thin spines (-45%) and increased
17 fractions of stubby (+50%) and mushroom-like spines (+60%; Fig. 8G; filopodia-like:
18 CTR: 0.10 ± 0.01 , dKO: 0.05 ± 0.01 ; thin: CTR: 0.38 ± 0.03 , dKO: 0.21 ± 0.02 ; stubby: CTR:
19 0.12 ± 0.02 , dKO: 0.18 ± 0.02 ; mushroom-like: CTR: 0.32 ± 0.03 , dKO: 0.51 ± 0.02 ;
20 branched: CTR: 0.06 ± 0.02 , dKO: 0.04 ± 0.01 ; $P<0.001$, $n>250/15/3$). Hence, spine type
21 distribution in dKO neurons was shifted towards mature spines. Together, spine size
22 was increased in dKO neurons, and this enlargement was caused by thicker
23 mushroom-like spines and by an increased fraction of mature spines. These changes
24 were very similar to those we found in CAP1-KO and cofilin1-KO neurons, suggesting
25 that both ABP cooperated in spines.

26 To finally test this, we expressed either WT-CAP1, WT-cofilin1 or both ABP in dKO
27 neurons and determined spine volume as a readout. Neither WT-CAP1 nor WT-cofilin1
28 reduced spine volume in dKO neurons (Fig. 8H; (a.u.): dKO: 0.30 ± 0.01 , dKO+WT-
29 CAP1: 0.30 ± 0.01 , $P=0.749$; dKO+WT-cofilin1: 0.30 ± 0.01 , $P=0.781$; $n>3,750/15/3$ for
30 each condition). Compared to CTR, spine volume was still higher in dKO neurons
31 expressing either WT-CAP1 or WT-cofilin1 ($P<0.001$ for both). Instead, spine volume
32 was reduced in dKO neurons upon expression of WT-CAP1 together with WT-cofilin1
33 (0.24 ± 0.01 , $n>3,750/15/3$, $P<0.001$), and spine volume in these neurons was not

1 different from CTR ($P=0.227$). We also expressed WT-cofilin1 together with
2 aforementioned CAP1 mutants in dKO neurons and found that spine volume was
3 rescued upon expression of WT-cofilin1 together with CAP1-P1 (0.22 ± 0.01 ,
4 $n>3,750/15/3$, $P<0.001$). Spine volume in these neurons was not different from CTR
5 ($P=0.409$). While expression of WT-cofilin1 together with CAP1-CARP slightly reduced
6 spine volume in dKO neurons (0.26 ± 0.01 , $n>3,750/15/3$, $P<0.05$), it did not change
7 spine volume upon co-expression with CAP1-HFD (0.28 ± 0.01 , $n>3,750/15/3$,
8 $P=0.187$). In dKO neurons expressing WT-cofilin1 together with either CAP1-HFD or
9 CAP1-CARP, spine volume was still higher when compared to CTR ($P<0.001$). In
10 summary, these data demonstrated that CAP1 and cofilin1 cooperated in the
11 regulation of spine size. Further, they revealed functional interdependence of CAP1
12 and cofilin1 in spines.

1 **Discussion**

2 The ABP CAP1 is a multidomain protein with largely unknown physiological functions.
3 In this study, we found increasing CAP1 expression during neuron maturation and an
4 enrichment in dendritic spines. By STED nanoscopy and live cell imaging in
5 hippocampal neurons from gene-targeted mice, we identified CAP1 as a novel
6 regulator of the postsynaptic actin cytoskeleton that controls spine density and
7 morphology. Mechanistically, we found CAP1's HFD to be relevant for its function in
8 spines. Further, we showed that HFD mediates interaction with the key actin regulator
9 cofilin1 and that both ABP cooperate in regulating spine morphology. Finally, rescue
10 experiments in dKO neurons lacking CAP1 and cofilin1 demonstrated mutual
11 functional dependence of both actin regulators in spines.

12 The yeast CAP ortholog has been recognized as an ABP three decades ago (for
13 review: Ono, 2013; Rust, 2020), but significant progress in its molecular functions has
14 been achieved only recently, predominantly by exploiting recombinant proteins or
15 mutant yeast strains (Jansen, 2014; Johnston, 2015; Kotila, 2018; Kotila, 2019;
16 Shekhar, 2019). In a current model of actin regulation, CAP interacts with cofilin-
17 decorated F-actin via its HFD and thereby accelerates dissociation of the terminal actin
18 subunit (Kotila et al., 2018, Kotila et al., 2019). Subsequently, G-actin-cofilin complexes
19 are passed on to CAP's CARP domain that, together with its WH2 domain, releases
20 cofilin from this complex and promotes nucleotide (ATP for ADP) exchange on G-actin,
21 which is required for actin polymerization. While these studies provided exciting novel
22 insights into CAP's molecular activities, the cellular and physiological functions of
23 mammalian orthologs largely remained unknown, also because appropriate animal
24 models were lacking. This held true specifically for CAP1, while earlier mouse studies
25 implicated CAP2 in heart physiology and skeletal muscle development (Kepser, 2019;
26 Colpan, 2021; Field, 2015; Peche, 2012). Recently, a TALEN-engineered systemic
27 CAP1-KO mouse model has been generated, but these mice died during embryonic
28 development and analysis of heterozygous mutants solely revealed a role for CAP1 in
29 lipoprotein metabolism (Jang, 2019). By exploiting a conditional CAP1 strain and
30 transgenic mice expressing Cre recombinase in neural stem cells under control of the
31 nestin promoter, we have generated a brain-specific CAP1-KO mouse model
32 (Schneider, 2021a; Tronche, 1999). Histology on CAP1-KO mice combined with an
33 analysis of hippocampal neurons from these mutants revealed a role for CAP1 in

1 regulating actin dynamics relevant for growth cone morphology and motility, neuron
2 differentiation as well as neuron connectivity (Schneider, 2021a; Schneider, 2021c).
3 Instead, the function of CAP1 in differentiated neurons or synapses has not been
4 studied to date.

5 In the present study, we demonstrated an important function for CAP1 in postsynaptic
6 actin regulation, in good agreement with the molecular function identified in the above
7 outlined *in vitro* and yeast studies. We here report CAP1 to be relevant for actin
8 dynamics in spines and we earlier showed its relevance for actin dynamics in growth
9 cones (Schneider, 2021a; Schneider, 2021c). We therefore postulate a general
10 requirement for CAP1 in actin regulation both during neuron differentiation and in
11 differentiated neurons, similar to cofilin1 (Bellenchi, 2007; Gomez, 2014; Omotade,
12 2017; Rust, 2015a; Rust, 2010; Schneider, 2021b). By PLA we found co-localization
13 (within 40 nm) of CAP1 and cofilin1 in spines, and our CoIP validated their physical
14 interaction in the hippocampus, which depended on CAP1's HFD. By rescue
15 experiments in dKO neurons lacking CAP1 and cofilin1, we demonstrated a
16 cooperation of both ABP in regulating spine morphology. Moreover, we showed mutual
17 functional dependence of CAP1 and cofilin1 in spines, similar to their functional
18 interdependence in growth cones (Schneider, 2021a).

19 While the present study for the first time reported an important synaptic function for
20 CAP1, studies of the past two decades recognized cofilin1 as an important regulator
21 of synapse physiology, brain function and behavior (Bosch, 2014; Fukazawa, 2003;
22 Gu, 2010; Hotulainen, 2009; Rust, 2015b; Rust, 2010; Rust, 2015c; Zhou, 2004). In
23 line with these studies, we found increased spine volume and density upon cofilin1
24 inactivation in primary hippocampal neurons. Collectively, these studies let cofilin1
25 emerge as a key regulator of spine morphology and as a major final point of signaling
26 output for actin dynamics in spines (Spence, 2015). This emphasized the necessity for
27 regulatory mechanisms that tightly control cofilin1 activity to ensure synapse
28 physiology and brain function. In fact, dysregulation of cofilin1 activity has been linked
29 to synaptic and behavioral deficits associated with ASD or ADHD (Duffney, 2015;
30 Zimmermann, 2015). To date, a plethora of signaling molecules ranging from the Rho
31 GTPases Rac1, Cdc42 and RhoA and their effectors PAK1, ROCK and LIMK1 to the
32 phosphatase calcineurin and its effectors PI3K and slingshot have been implicated in
33 synaptic cofilin1 phosphorylation that controls actin binding (for review: Ben Zablah,

1 2020; Rust, 2015b; Spence, 2015). Additionally, synaptic cofilin1 activity is regulated
2 by molecules that control its recruitment into spines and by translation within the
3 dendritic compartment (Feuge, 2019; Pelucchi, 2020; Pontrello, 2012). By
4 demonstrating mutual functional dependence of cofilin1 and CAP1 in spines, we
5 unraveled a conceptually novel mechanism of synaptic cofilin1 regulation. Further, our
6 finding that CAP1 was essential for cofilin1 activity in spines opened up a new avenue
7 for the modulation of synaptic cofilin1 activity and, hence, of synaptic actin dynamics.
8 Notably, CAP1 comprises several conserved domains allowing interaction with
9 molecules others than actin and cofilin1. To date, a number of interaction partners have
10 been found for CAP1 or its homologs (for review: Kakurina, 2018; Ono, 2013; Rust,
11 2020), including established regulators of spine morphology such as the ABP profilin
12 (Ackermann, 2003; Lamprecht, 2006; Michaelsen-Preusse, 2016; Michaelsen, 2010),
13 the proteinase MMP-9 (Tian, 2007; Wang, 2008), the tyrosine kinases Abl1 and Abl2
14 (Lin, 2013; Ma, 2014; Omar, 2017), focal adhesion kinase (Moeller, 2006; Shi, 2009)
15 and glycogen synthase kinase 3 (Ochs, 2015; Peineau, 2007). Normalization of spine
16 parameters in CAP1-KO neurons upon expression of a CAP1 variant with a mutated
17 proline-rich motif (CAP1-P1) suggested that its proline-rich domain and, hence, its
18 interaction with profilin, were not relevant in spines. Nevertheless, it will be exciting to
19 test in future studies whether other proteins interact with CAP1 in spines and how these
20 proteins control synaptic CAP1-cofilin1 interaction, synaptic actin dynamics and spine
21 morphology.

22 Apart from CAP1, mammals express a second family member, CAP2, with restricted
23 expression pattern and abundance in striated muscles and brain (Rust, 2020). Similar
24 to CAP1, CAP2 is expressed in the postnatal brain and located in spine heads from
25 cortical and hippocampal neurons (Kumar, 2016; Pelucchi, 2020). However, while
26 studies in mutant mice established important CAP2 functions in heart physiology and
27 skeletal muscle development (Colpan, 2021; Field, 2015; Kepser, 2019; Peche, 2012),
28 neuronal CAP2 functions are less clear. Increased spine density has been reported for
29 cerebral cortex neurons, but this study unfortunately lacked a detailed spine
30 morphometric analysis and did not provide mechanistic insights into spine density
31 changes (Kumar, 2016). Conversely, spine density was unchanged upon shRNA-
32 mediated CAP2 knockdown (CAP2-KD) in hippocampal neurons, and spine length and
33 width were both slightly increased (Pelucchi, 2020). However, compared to 30%

1 increased spine size in CAP1-KO neurons, the effect of CAP2-KD on spine morphology
2 was rather mild, suggesting that CAP1 is the key family member in spines. Instead,
3 CAP2 seems to be more relevant than CAP1 for dendritic branching, because CAP2-
4 KD, but not CAP1-KO, decreased dendritic length and arborization complexity.
5 Interestingly, this study revealed CAP2-dependent cofilin1 recruitment into spines
6 upon induction of long-term potentiation, which depended on disulfide bond-mediated
7 CAP2 dimerization (Pelucchi, 2020). Although spine changes in CAP2-KD neurons
8 suggested a role for CAP2 in synaptic actin dynamics, this has not been directly tested
9 in this study. Moreover, it remained unknown whether CAP2 and cofilin1 cooperated
10 in spine morphology and whether CAP2 was essential for synaptic cofilin1 activity as
11 both shown in the present study for CAP1. Nevertheless, a model in which both CAP1
12 and CAP2 cooperate with cofilin1 in synaptic actin dynamics and spine morphology is
13 very appealing, and it will be exciting in future studies to dissect CAP1- vs CAP2-
14 specific mechanisms and to test whether or not CAP1 and CAP2 cooperate and/or are
15 functionally redundant in spines.

16 In summary, we here identified CAP1 as an essential novel actin regulator in excitatory
17 synapses that was relevant for organization and dynamics of postsynaptic F-actin and
18 thereby controls spine density and morphology. Mechanistically, our data revealed
19 mutual functional dependence of CAP1 and cofilin1 in spine morphology, thereby
20 unravelling a novel actin regulatory mechanism in spines. Our data let us hypothesize
21 that CAP1 is equally important as cofilin1 for brain function and behavior and that CAP1
22 dysregulation may contribute to the pathologies of neuropsychiatric disorders as it has
23 been shown for cofilin1 (Duffney, 2015; Zimmermann, 2015).

1 **Material and methods**

2 **Transgenic mice**

3 Mice were housed in the animal facility of the University of Marburg on 12-hour dark-
4 light cycles with food and water available *ad libitum*. Treatment of mice was in
5 accordance with the German law for conducting animal experiments and followed the
6 guidelines for the care and use of laboratory animals of the U.S. National Institutes of
7 Health. Killing of mice has been approved by internal animal welfare authorities.
8 Generation of CAP1^{flx/flx} and Cfl1^{flx/flx} mice have been described before (Bellenchi,
9 2007; Schneider, 2021a).

10 **Cell culture and transfection**

11 Primary hippocampal neurons from embryonic day 18.5 (E18.5) mice were prepared
12 as previously described (Schratt, 2006). Briefly, hippocampi were dissociated, and
13 neurons were plated at a density of 62,000/cm² on 0.1 mg/ml poly-L-lysine-coated
14 coverslips. Neurons were cultured in Neurobasal medium containing 2% B27, 1 mM
15 GlutaMax, 100 µg/ml streptomycin, and 100 U/ml penicillin (Gibco, Thermo Fischer) in
16 a humidified incubator at 37°C with 5% CO₂. Neurons were transfected at DIV6 with 1
17 µg plasmid/well of 24 well plates using Lipofectamine 2000 reagent (Thermo Fischer)
18 according to manufacturer's protocol.

19 HT-22 cells were plated at a density of 10,000 cells/cm² in cell culture dishes. 24 to 30
20 h after plating, HT-22 cells were transfected with 20 µg plasmid/dish using
21 Lipofectamine 2000 reagent (Thermo Fischer). Cell culture medium was changed once
22 roughly 20 h after transfection. 40 to 48 h later, HT-22 cells were harvested by scraping
23 and homogenized in 1,500 µL lysis buffer (50 mM Tris/HCl pH 7.5, 150 mM NaCl, 1%
24 Triton-X, Roche Proteinase inhibitors) using a dounce homogenizer. HT-22 lysates
25 were then left on ice for approximately 30 min before centrifugation. The supernatant
26 of each condition was collected and used for further analysis.

27 CAP1-eGFP overexpression plasmid-pcDNA3.1-CAP1-eGFP was purchased from
28 Genscript. Other overexpression constructs, such as pEGFP-C1-CAP1, pmCherry-C1-
29 CAP1 and pCMV-Myc-N-CAP1 were generated by amplification of CAP1 ORF from
30 pcDNA3.1-CAP1-eGFP plasmid and cloning it in frame between corresponding
31 restriction sites. Point mutations were introduced according to modified site-directed

1 mutagenesis protocol (Liu, 2008) by exploiting restriction sites and oligonucleotides as
2 listed in Tab. S1.

3 **Immunocytochemistry**

4 Neurons were washed once with phosphate-buffered saline (PBS), fixed in 4%
5 paraformaldehyde (PFA)/sucrose in PBS for 15 min and rinsed in PBS three times.
6 After 10 min incubation in carrier solution (CS; 0.1% gelatin, 0.3% Triton-X100 in PBS),
7 neurons were incubated with primary antibodies in CS for 2h. Thereafter, neurons were
8 washed with PBS three times for 5 min and incubated with secondary antibodies in CS
9 for 45 min. After washing five times with PBS, coverslips were mounted onto
10 microscopy slides using AquaPoly/mount (Polysciences Inc.). Second last washing
11 step included Hoechst if used.

12 For STED microscopy, neurons were incubated with permeabilization buffer (0.2%
13 Triton-X-100 in PBS) for 10 min after fixation and washing with PBS. Subsequently,
14 neurons were washed twice with PBS for 5 min and incubated with blocking buffer (BB,
15 10% horse serum with 0.1% Triton-X-100 in PBS) for 45-60 min. Thereafter, neurons
16 were incubated with primary antibodies in BB o/n at 4°C. After three 10 min PBS
17 washing steps, neurons were incubated with secondary antibodies in BB for 1h and
18 washed with PBS five times for 10 min. In case phalloidin was included, neurons were
19 incubated with phalloidin-Atto647N in PBS o/n at 4°C. Coverslips were mounted onto
20 microscopy slides with Mowiol-488 mounting medium (ROTH, prepared according to
21 manufacturer's instructions). Tab. S2-3 provide lists of primary and secondary
22 antibodies, respectively, including Hoechst and phalloidin.

23 **Dendrite morphology**

24 Images were acquired with Zeiss LSM 5 PASCAL and PASCAL LSM5 software from
25 a single optical plane with a 20x objective at a resolution of 1024x1024 pixels.
26 Background of images were removed using the ImageJ extension program FIJI
27 (<https://fiji.sc>). Dendrite morphology was assessed by using NeuroMath (version 3.4.8;
28 (Rishal, 2013) and applying the following settings: noise level: 1, measure type: cell
29 morphology, segmentation type: threshold, min. cell intensity: 50, min. area: 100, max.
30 area: 600, min. diameter: 5, max. axial ratio: 10, min. neurite length: 32. Neurons of
31 three independent biological replicates, each with eight images per condition were
32 analyzed.

1 **Spine analysis**

2 Images were acquired with Leica TCS SP5 II LSM and LAS AF software using a 63x
3 oil immersion objective. Images were acquired with a resolution of 2048x2048 or
4 1024x1024 pixels as z-stacks of 9 optical planes with a step size of 0.49 μm and
5 projected to a single-plane image (maximum projection). To quantify spine density,
6 individual spines were tagged with a circle of 2.254 μm^2 (FIJI 'oval' tool). Spine number
7 was normalized to the length of the analyzed dendrite determined by using 'freehand
8 line' tool. Spine volume was determined simultaneously by measuring mean signal
9 intensity in the aforementioned circle. Usually 250-300 spines were counted per
10 neuron from secondary and tertiary basal dendrites. For every neuron, the mean signal
11 intensity of all analyzed spines was calculated and normalized to mean signal intensity
12 of the respective dendritic shafts. Neurons of three independent biological replicates
13 were analyzed, each with 5 neurons per condition. Spine morphology (total length,
14 spine head length and width) was analyzed by using FIJI 'freehand selection' tool in
15 images of dendrite sections with a length of approximately 30 μm . Based on these
16 values, spines were categorized as shown in scheme in Fig. S3D. Spines that did not
17 fit into these categories were classified as 'other'. The number of spines was
18 normalized to the length of the respective dendrite. Neurons of three independent
19 biological replicates were analyzed, each with 5 neurons per condition. Intensity
20 profiles in confocal images were acquired with FIJI 'plot profile' tool. Lines were
21 selected in a way that they cover two spines and interjacent dendritic shaft. GFP ratios
22 between spine head vs dendritic shaft or vs spine neck were analyzed with 'freehand
23 selection' tool. Like this, mean signal intensities in spine head, spine neck or an
24 underlying piece of dendrite was determined, which were used to calculate the ratios
25 of mean signal intensities.

26 **FRAP analysis**

27 Hippocampal neurons were cultured on 35 mm glass bottom dishes (WillCo-dish®)
28 coated with poly-L lysine, transfected at DIV7 with pEGFP-C1-Actin and pCig2-CRE-
29 mCherry or pCig2-CRE-mut-mCherry plasmids. The FRAP imaging was carried out
30 with Leica TCS SP5 II (FRAP-Wizard) equipped with a temperature-controlled
31 chamber. DIV16-17 neurons were imaged with 63x objective at 35°C in 1xHBSS
32 (Gibco; supplemented with 4 mM NaCO_3 and 2 mM CaCl_2). The following imaging
33 settings were applied: format 512x512 pixel, speed 700 Hz, 2-line averaging, pinhole

1 300 μm , 15% of argon laser power, bleaching with 100 % and image acquisition with
2 3-7% power intensity of AOTF 488 nM (FRAP-wizard). Imaging/bleaching program:
3 prebleaching 5x 2 s, bleaching 5x 1,5 s (3 μm diameter), postbleaching 20x 2 s, 10x 5
4 s and 20x 10 s.

5 The image series were analysed using FIJI as previously reported (Michaelsen-
6 Preusse, 2016). Briefly, background and bleaching correction was applied and
7 normalized fluorescence intensity for each time point was calculated. Nonlinear curve
8 fitting (one phase exponential association) of the fluorescence intensity was performed
9 with GraphPad Prism, where the net recovery after photobleaching is provided by the
10 following equation: $Y=Y_0+(Plateau-Y_0)\times(1-\exp(-K \times x))$, where Y_0 is the Y value
11 when time is zero directly after the bleaching impulse, Plateau is the Y value at infinite
12 times, expressed as a fraction of the fluorescence before bleaching and was used to
13 determine the dynamic actin pool (F-actin dynamic). The stable pool (F-actin stable) is
14 the fraction of fluorescence that does not recover within the imaging period of 95 sec
15 calculated as $1-(F\text{-actin dynamic})$, K is the rate constant, and τ is the time constant,
16 expressed in s; it is computed as the reciprocal of K.

17 **STED microscopy and image analysis**

18 STED nanoscopy was performed using a Leica SP8-3xSTED and an Abberior Facility
19 line imaging system. The Leica SP8-3xSTED microscope was used for the acquisition
20 of three colour STED images. A white light laser was used for excitation at the
21 wavelengths 488 nm for Alexa-488, 580 nm for Abberior-580 and 650 nm for Atto-
22 647N. Fluorophore depletion was achieved with a 775 nm laser for AttoFluor-647N/
23 Abberior-580 and a 592 nm laser for Alexa-488. All images were acquired using a 100x
24 oil objective (Leica, HC APO CS2). Emission light was detected in bins: 660-730 nm
25 for Atto-647N, 590-620 nm for Abberior-580 and 500-530 nm for Alexa-488. Gated
26 detection was applied with a delay of 0.3 to 1.5 nanosecond. Pinhole size was set to 1
27 AU. Images were acquired with a 5x zoom resulting in a pixel size of 22,73 nm, 1024
28 by 1024 and a 16x line averaging.

29 Additional STED imaging was performed on an Abberior STED Facility line microscope
30 (Abberior Instruments GmbH) with an UPLSAPO 100x oil immersion objective lens
31 (NA 1.4). Pixel size was set to 20 nm for all images. Images were obtained from a 16x
32 frame accumulation. Excitation was achieved with pulsed diode lasers PDL-T 488, 561

1 and 640. Both the red and far-red channel were depleted using a 775 nm laser (PFL-
2 40-3000-775-B1R). Pinhole size was set to 1AU. Gated detection was applied for both
3 channels.

4 Postsynaptic distribution of CAP1 was analyzed in mushroom-like spines of two
5 independent DIV16 hippocampal cultures using a custom-written script in MATLAB
6 (R2015a, MathWorks, Inc) that has been described previously (Mikhaylova, 2018).
7 Postsynaptic distribution of phalloidin, Shank3, PSD-95 and Homer in mushroom-like
8 spines from CTR and CAP1-KO neurons has been analyzed in three independent
9 DIV16 hippocampal cultures. Line scan analysis was carried out with FIJI 'plot profile'
10 tool (line width:15).

11 **Proximity ligation assay**

12 Rat hippocampal neuronal primary cultures were prepared from embryonic day 19
13 (E19) rat hippocampi as previously described (Piccoli, 2007). Primary hippocampal
14 cultures were transfected with GFP at DIV9-10 and fixed at DIV15 with 4%
15 PFA/sucrose in PBS for 10 min at room temperature (RT), then washed three times
16 with PBS. Neurons were permeabilized with 0.1% Triton X-100 in PBS for 15 min at
17 RT. After incubation with the blocking solution of the PLA kit (Duolink® PLA
18 Technology), cells were incubated o/n at 4°C with primary antibodies against CAP1
19 (1:100, Abnova, H00010487-M02) and cofilin1 (1:100, Cell Signaling, 5175). According
20 to the manufacturer's instructions, after 2 washes with Wash Buffer A, secondary
21 antibodies conjugated with oligonucleotides were added and the oligonucleotides of
22 the bound probes were ligated and amplified by a fluorescent polymerase that
23 visualizes the PLA clusters. Coverslips were then washed 3 times with decreasing
24 concentration of Buffer Solution B. After this, cells were labelled with primary antibody
25 against GFP (1:500, Millipore, AB16901) for 1 h at RT, washed and then incubated
26 with secondary antibody. After 3 washes with PBS, coverslips were mounted on slides
27 in Fluoromount™ Aqueous Mounting Medium (Sigma-Aldrich).

28 **Protein extraction and synaptosomes**

29 Prefrontal cortices were snap frozen in liquid N₂ and stored at -80°C. Homogenization
30 was done with 6-10 strokes in 750 µl lysis buffer (50 mM Tris-pH 7.5, 150 mM NaCl,
31 1% Triton-X100, 1x Complete Protease Inhibitor Cocktail, Roche) using a dounce
32 homogenizer. After 20 min centrifugation, 100 µl supernatant was collected and used

1 for further analysis. Protein lysates from isolated neurons were generated from five
2 coverslips (250,000 neurons/coverslip) by treating with 50 μ l lysis buffer incl. PST (1x
3 PhosSTOP, Roche) on ice. After 10 min incubation on the shaker at 4°C, neurons were
4 lysed by pipetting 10 times up and down.

5 Synaptosomes were purified as described (Lopes, 1999). Briefly, prefrontal brain
6 tissue was homogenized in chilled sucrose solution (0.32 M sucrose, 1 mM EDTA, 1
7 mg/ml bovine serum albumin, 5 mM HEPES, pH 7.4) with 8 strokes using a dounce
8 homogenizer. After removing nuclei and cell debris by centrifugation (3,000 g, 10 min,
9 4°C), supernatant was further pelleted at 14,000 g for 10 min at 4°C. A pellet containing
10 synaptosomes was enriched on a floatation gradient containing 45% Percoll in Krebs-
11 Ringer solution (140 mM NaCl, 5 mM KCl, 25 mM HEPES, 1 mM EDTA, 10 mM
12 glucose, pH 7.4), and the supernatant (S2) containing microsomes and soluble
13 enzymes was stored at -80°C. The synaptosomal fraction was resuspended in Krebs-
14 HEPES solution (124 mM NaCl, 3 mM KCl, 1 mM MgCl₂, 2 mM CaCl₂, and 10 mM
15 glucose buffered with 25 mM HEPES, pH 7.4) for subsequent use. For solubilization,
16 synaptosomes were diluted 1:1 with ice-cold 0.1 mM CaCl₂, then an equal volume of
17 2 x solubilization buffer (2% TX-100, 0.2 mM CaCl₂, 40 mM Tris buffer, pH 7.4) was
18 added. Soluble or membrane associated synaptic proteins were separated by
19 centrifugation at 10,000 g for 30 min at 4°C.

20 Proteins were separated on a 10% SDS-PAGE and transferred o/n at 4°C and 27 V
21 onto a poly-vinylidene difluoride membrane (GE Healthcare) using Mini-Protean
22 electrophoresis system (Biorad). Non-specific antibody binding was blocked in Tris-
23 buffered saline containing 5% milk powder and 0.2% Tween 20 (TBS-T/milk).
24 Membranes were incubated with primary antibody diluted in TBST-T/milk dilutions for
25 2 hours at RT. Thereafter, membranes were washed three with TBS-T/milk and
26 incubated with horseradish peroxidase-conjugated secondary antibodies (Thermo
27 Fisher Scientific) in TBS-T/milk for 1 h. Membranes were washed three times with TBS-
28 T before developing with Amersham ECLplus reagent (GE Healthcare). Tables S2-3
29 include lists of antibodies.

30 **Co-immunoprecipitation with hippocampal homogenates**

31 Mouse hippocampi were homogenized at 4°C in an ice-cold buffer with Roche
32 Complete™ Protease Inhibitor Cocktail, phosphatase inhibitors (PhosSTOP™, Sigma-

1 Aldrich), 0.32 M Sucrose, 1 mM HEPES, 1 mM NaF, 0.1 mM PMSF, 1 mM MgCl₂ using
2 a glass-teflon homogenizer. Aliquots of 50 µg of homogenate obtained from mouse
3 hippocampus were incubated for 1 h at 4 °C in 200 µL of RIPA buffer (200 mM NaCl,
4 10 mM EDTA, 10 mM Na₂HPO₄, 0.5% NP-40, 0.1% SDS) and SureBeads Protein A
5 magnetic beads (Bio-Rad) as pre-cleaning procedure. The supernatant was incubated
6 with primary antibody against CAP1 (Abnova, H00010487-M02) o/n at 4°C. SureBeads
7 Protein A magnetic beads were added and incubation was continued for 2 h at RT.
8 After 3 washes with RIA buffer, beads were resuspended in sample buffer and heated
9 for 10 min. Beads were collected by centrifugation and all supernatants were applied
10 onto SDS-PAGE. The precipitated immunocomplex was analyzed by anti-cofilin1 (Cell
11 Signaling, #5175) and anti-CAP1 antibody (Proteintech, 16231-1-AP).

12 **Co-immunoprecipitation in HT-22 cells**

13 For each CoIP assay 50 µL Dynabeads™ Protein G (Invitrogen, #10003D) were used
14 and incubated with 2 µg anti-GFP antibody (Invitrogen, 10362) for 1.5 h on a rotating
15 platform. In the meantime, transfected HT-22 cells were lysed, homogenized and
16 supernatant was obtained after centrifugation. After incubation, magnetic beads were
17 washed three times with CoIP wash buffer (20 mM Tris/HCl pH 8.0, 100 mM NaCl).
18 600 µL of supernatant for each condition were applied and samples were left for
19 incubation for approximately 2 h at 4°C on a rotating platform. Subsequently beads
20 were washed two times with CoIP wash buffer before resuspension in 120 µL of sample
21 buffer. For validation samples and controls were heated for 5 min and applied onto
22 SDS-PAGE. Afterwards, proteins were blotted onto a nitrocellulose membrane before
23 incubation with a c-myc monoclonal antibody (Invitrogen, MA1-980). Expression levels
24 of GFP and GFP-cofilin in the inputs were determined by exploiting an anti-GFP
25 antibody (Invitrogen, 10362). Immunoblots were analyzed by using the Odyssey DLx
26 imager (Li-Cor).

27 **Statistical Analysis**

28 Values are reported as mean ± standard error of the mean (SEM) and (if not otherwise
29 stated) based on three independent biological replicates. For data with single
30 comparison statistical significance was calculated using Student's t-test (two-sample,
31 unpaired), comparison of spine type distribution was tested with χ^2 -test. In all

- 1 experiments, experimenters were blind to the genotype during image acquisition and
- 2 analysis.

1 **Declarations**

2 **Ethics approval and consent to participate**

3 Treatment of mice was in accordance with the German law for conducting animal
4 experiments and followed the guidelines for the care and use of laboratory animals of
5 the U.S. National Institutes of Health. Killing of mice has been approved by internal
6 animal welfare authorities.

7

8 **Consent for publication**

9 Not applicable

10

11 **Data availability**

12 The datasets generated during and/or analysed during the current study are available
13 from the corresponding author on reasonable request.

14

15 **Competing interests**

16 The authors have no relevant financial or non-financial interests to disclose

17

18 **Funding**

19 This work was supported by research grants from Fondazione Cariplo (2018-0511)
20 and from Deutsche Forschungsgemeinschaft (DFG) RU 1232/7-1 to MBR as well as
21 DFG Emmy Noether Programme MI1923/1-2, FOR2419 TP2, and Excellence Strategy
22 – EXC-2049–390688087 to MM. Abberior STED System was funded by the DFG –
23 INST 276/760-1.

24

25 **Authors' contributions**

26 Experiments were designed and results were discussed by AH, CS, SK, BvB, DH, MM,
27 TS, SR, EM and MBR. Data were analyzed by AH, CS, TS, RS and SK. Manuscript
28 was written by MBR, AH, CS, EM and SK.

29

1 **Acknowledgements**

2 We thank Dr. Walter Witke (Bonn, Germany) for Cfl1^{flx/flx} mice and cofilin1 construct,
3 Dr. Robert Grosse (Freiburg, Germany) for LifeAct-GFP construct, Dr. David Solecki
4 (Memphis, USA) for mCherry-Cre constructs, Dr. Kristin Michaelsen-Preusse
5 (Braunschweig, Germany) for GFP-actin and dsRed construct, Eva Becker and Renate
6 Gondrum for excellent technical support, Felix Schneider for support in data
7 presentation and Dalila Colombaretti for support in image analysis. For technical
8 support and access to the STED imaging systems we thank Drs. Oliver Kobler, Manuel
9 Halte, Caroline Kühne and Marc Erhardt.

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1 **Legends to main figure**

2 **Figure 1. Enrichment of CAP1 in spine heads. (A)** Immunoblots showing CAP1
3 expression in cerebral cortex lysates throughout postnatal development. GAPDH was
4 used as loading control. **(B)** Immunoblots showing CAP1 expression in isolated
5 cerebral cortex neurons. β -tubulin was used as loading control. **(C)** Immunoblots
6 showing presence of CAP1 in synaptosomes and in the soluble, but not in the insoluble
7 synaptosomal protein fraction. PSD-95 and synaptophysin proved separation of
8 protein fractions. **(D)** DIV16 hippocampal neurons expressing the volume marker
9 dsRed (red) together with either GFP or CAP1-GFP (green). Boxes indicate areas
10 shown with higher magnification. **(E)** Fluorescence intensity profiles for GFP and
11 dsRed along white lines (labelled E1, E2) shown in left magnified micrograph in D. **(F)**
12 Fluorescence intensity profiles for CAP1-GFP and dsRed along white lines (labelled
13 F1, F2) shown in right magnified micrograph in D. **(G)** Graph showing ratio of GFP and
14 CAP1-GFP intensity in spine heads vs dendritic shaft and in spine heads vs spine
15 neck. **(H)** STED image of a neuron stained with antibodies against CAP1 (green), the
16 PSD marker Shank3 (red) and the presynaptic marker Bassoon (blue). **(I)**
17 Fluorescence intensity profiles for all three proteins along the box in H. **(J)** STED image
18 of a neuron stained with antibodies against CAP1 (green) and Shank3 (red) as well as
19 the F-actin marker phalloidin (blue). **(K)** Graphs showing distribution of CAP1, Shank3
20 and phalloidin in spine heads. Scheme on the left shows the mask that was used for
21 this analysis. **(L)** Graph showing center of mass for CAP1, Shank3 and phalloidin.
22 Coordinate system's origin indicates center of the spine (see scheme in K). Scale bars
23 (μm): 1 (H, J), 2 (D, high magnification), 20 (D, overview). *: $P < 0.05$, ***: $P < 0.001$.

24

25 **Figure 2. Reduced spine density and increased spine size in CAP1-KO neurons.**
26 **(A)** Micrographs of CTR and CAP1-KO neurons expressing GFP (green). Boxes
27 indicate areas shown at higher magnification. Graphs showing **(B)** total spine density,
28 **(C)** spine volume, **(D)** total spine length, **(E)** spine head length and **(F)** spine head
29 widths in CTR and CAP1-KO neurons. **(G)** Graph showing fractions of spine types in
30 CTR and CAP1-KO neurons. Scale bar (μm): 2 (A, high magnification) 20 (A,
31 overview). ns: $P \geq 0.05$, **: $P < 0.01$, ***: $P < 0.001$.

32

1 **Figure 3. CAP1 controls F-actin organization and actin dynamics in spines. (A)**
2 Graph showing morphometric analysis of mushroom-like spines in CAP1-KO neurons.
3 **(B)** Image sequence of mushroom-like spines from CTR and CAP1-KO neurons
4 expressing GFP-actin during fluorescent recovery after photobleaching (FRAP). **(C)**
5 Graphs showing GFP-actin recovery curve, **(D)** stable actin fraction and **(E)** half-
6 recovery time of GFP-actin in spines. **(F)** STED images showing mushroom-like spines
7 of GFP-expressing CTR and CAP1-KO neurons (green). Neurons were stained with
8 either phalloidin (blue) and an antibody against Shank3 (red) or with antibodies against
9 Homer (magenta) and PSD-95 (cyan). **(G)** Distribution of fluorescence intensities of
10 phalloidin, Shank3, PSD-95 and Homer in CTR and CAP1-KO spines. GFP was used
11 to determine spine morphology. Relative fluorescence intensities were plotted for each
12 sector as shown in Fig. 1K. **(H)** Graph showing centers of mass for phalloidin, Shank3,
13 PSD-95 and Homer and in CTR and CAP1-KO spines. Centers of mass were
14 normalized to GFP. Scale bars (μm): 1 (B, F). ns: $P \geq 0.05$, *: $P < 0.05$, **: $P < 0.01$.

15

16 **Figure 4. Helical folded domain and CARP domain are relevant for CAP1 function**
17 **in spines. (A)** Scheme showing domain structure of myc-tagged CAP1 as well as the
18 mutations introduced into CAP1-HFD, CAP1-CARP and CAP1-P1 constructs. Amino
19 acid residues shown in red were replaced by alanine. OD: oligomerization domain,
20 HFD: helical folded domain, P1: proline-rich domain 1, WH2: Wiskott-Aldrich syndrome
21 homology domain 2, P2: proline-rich domain 2, CARP: CAP and retinitis pigmentosa
22 protein 2 domain. **(B)** Micrographs showing myc antibody staining and GFP in CTR
23 and CAP1-KO neurons expressing myc-tagged WT-CAP1 or myc-tagged CAP1
24 mutant constructs. Graphs showing **(C)** spine density and **(D)** spine volume in CTR
25 and CAP1-KO neurons upon expression of WT-CAP1 or CAP1 mutants. Black
26 asterisks and ns above CTR or CAP1-KO values in C and D indicate significance of
27 changes when compared to CTR (furthest left values), blue asterisks and ns above
28 CAP1-KO values indicate significance of changes when compared to CAP1-KO
29 neurons (second values from left). Scale bar (μm): 2. ns: $P \geq 0.05$, *: $P < 0.05$, **: $P < 0.01$,
30 ***: $P < 0.001$.

31

1 **Figure 5. Physical interaction of CAP1 and cofilin1.** (A) Dendritic shaft of a
2 hippocampal neuron expressing cofilin1-GFP (green) and CAP1-mCherry (red). (B)
3 Fluorescence intensity profiles for cofilin1-GFP and CAP1-mCherry along white lines
4 shown in A. (C) Micrographs of DIV15 hippocampal neuron used for proximity ligation
5 assay (PLA). Neurons were transfected with GFP (green), that served as a volume
6 marker to outline dendritic compartment (line in black-white images on the right). Co-
7 localization of CAP1 and cofilin1 by PLA was performed by exploiting antibodies
8 against endogenous proteins. PLA signal (white signal in left images and black signal
9 in right images, respectively) was only detectable in neurons stained with antibodies
10 against both CAP1 and cofilin1, but not in control neurons stained with an antibody
11 against either CAP1 or cofilin1. (D) Immunoblot analysis of proteins precipitated with a
12 mouse monoclonal CAP1 antibody from hippocampal (HIP) homogenate. Cofilin1
13 coprecipitates with CAP1 in hippocampal homogenate. (+) IP: CAP1 antibody, (-) no
14 IgG. (E) Immunoblot with an antibody against myc in lysates from HT-22 cells
15 expressing either myc-WT-CAP1 together with GFP, myc-WT-CAP1 together with
16 GFP-WT-cofilin1 or myc-CAP1-HFD together with GFP-WT-cofilin1. In presence of
17 GFP-WT-cofilin1, an antibody against GFP precipitated myc-WT-CAP1, but not myc-
18 CAP1-HFD. (F) Immunoblot with an antibody against GFP antibody demonstrating
19 equal expression of GFP and GFP-WT-cofilin1 in HT-22 cells. Scale bars (μm): 2 (A),
20 5 (C).

21

22 **Figure 6. Changes in spine morphology of cofilin1-KO neurons.** (A) Micrographs
23 of GFP-expressing CTR and cofilin1-KO neurons. Boxes indicate areas shown at
24 higher magnification. Graphs showing (B) spine density and (C) spine volume in CTR
25 and cofilin1-KO neurons. Graphs showing (D) total spine length, (E) spine head length
26 and (F) spine head widths in CTR and cofilin1-KO neurons. (G) Graph showing relative
27 fraction of spine types in CTR and cofilin1-KO neurons. Scale bar (μm): 2 (A, high
28 magnification), 20 (A, overview). ns: $P \geq 0.05$, *: $P < 0.05$, **: $P < 0.01$, ***: $P < 0.001$.

29

30 **Figure 7: CAP1 and cofilin1 cannot compensate each other's inactivation in**
31 **spines** (A) Micrographs showing dsRed (red) in GFP-transfected CTR and CAP1-KO
32 neurons as well as dsRed (red) and cofilin1-GFP (green) in cofilin1-GFP-transfected

1 CTR and CAP1-KO neurons. Graphs showing **(B)** spine density and **(C)** spine volume
2 in CTR and CAP1-KO neurons either expressing GFP or cofilin1-GFP. **(D)** Micrographs
3 showing dsRed (red) in GFP-transfected CTR and cofilin1-KO neurons as well as
4 dsRed (red) and CAP1-GFP (green) in CAP1-GFP-transfected CTR and cofilin1-KO
5 neurons. Graphs showing **(E)** spine density and **(F)** spine volume in CTR and cofilin1-
6 KO neurons either expressing GFP or CAP1-GFP. Scale bars (μm): 2 (A, D). ns:
7 $P \geq 0.05$, **: $P < 0.01$, ***: $P < 0.001$.

8

9 **Figure 8. Functional interdependence of CAP1 and cofilin1 in spines. (A)**
10 Micrographs of GFP-expressing CTR and double-KO (dKO) neurons. Boxes indicate
11 areas shown with higher magnification. Graphs showing **(B)** spine density, **(C)** spine
12 volume, **(D)** total spine length, **(E)** spine head length and **(F)** spine head width in CTR
13 and dKO neurons. **(G)** Graph showing relative fraction of spine types in CTR and dKO
14 neurons. **(H)** Micrographs showing dsRed in CTR neurons as well as in dKO neurons
15 upon transfection of CAP1 and/or cofilin1 constructs as indicated. **(I)** Graph showing
16 spine volume in CTR and dKO neurons upon expression of WT-cofilin1 and/or CAP1
17 constructs as indicated. Black asterisks and ns above dKO values indicate significance
18 of changes when compared to CTR (furthest left values), purple asterisks and ns above
19 dKO values indicate significance of changes when compared to double mutant
20 neurons (second values from left). Scale bars (μm): 2 (A, high magnification, H), 20 (A,
21 overview). ns: $P \geq 0.05$, *: $P < 0.05$, ***: $P < 0.001$.

1 **Legends to supplementary figures, movies, tables**

2 **Figure S1. (A)** Dendritic shaft of a hippocampal neuron expressing CAP1-GFP (green)
3 and the F-actin marker mCherry-LifeAct (red). **(B)** Fluorescence intensity profiles along
4 white lines shown in A. **(C)** Antibody staining against endogenous CAP1 (green) in a
5 DIV13 hippocampal neuron expressing dsRed (red). **(D)** Antibody staining against
6 CAP1 (green) and doublecortin (Dcx, red) in DIV13 hippocampal neurons from
7 CAP1^{flx/flx} mice (CTR) and brain-specific CAP1-KO mice. Neurons were additionally
8 stained with the DNA dye Hoechst (blue). White boxes indicate areas shown at higher
9 magnification. Scale bars (μm): 2 (A, C), 20 (D).

10

11 **Figure S2.** Antibody staining against CAP1 (blue) in GFP-transfected DIV16
12 hippocampal neurons from CAP1^{flx/flx} mice expressing either catalytically active
13 mCherry-Cre (Cre, red) or catalytically inactive mCherry-Cre (Cre-mut, red). Scale bar
14 (μm): 20.

15

16 **Figure S3.** Graphs showing **(A)** number of primary neurites, **(B)** number of branching
17 points, and **(C)** branching point normalized to dendritic length in CTR and CAP1-KO
18 neurons. **(D)** Scheme showing categorization of spine types. Graphs showing **(E)**
19 length and width of filopodia-like spines, **(F)** total length, head length and head width
20 of thin spines as well as **(G)** head length and head width of stubby spines in CTR and
21 CAP1-KO neurons. ns: $P \geq 0.05$.

22

23 **Figure S4.** Graphs showing **(A)** length and width of filopodia-like spines, **(B)** total
24 length, head length and head width of thin spines, **(C)** head length and head width of
25 stubby spines as well as **(D)** total length, head length and head width of mushroom-
26 like spines in CTR and cofilin1-KO neurons. ns: $P \geq 0.05$.

27

28 **Figure S5.** Graphs showing **(A)** length and width of filopodia-like spines, **(B)** total
29 length, head length and head width of thin spines, **(C)** head length and head width of
30 stubby spines as well as **(D)** total length, head length and head width of mushroom-
31 like spines in CTR and dKO neurons. ns: $P \geq 0.05$, *: $P < 0.05$.

1

2 **Movie S1:** Movie showing FRAP of GFP-actin in a mushroom-like spine from a CTR
3 neuron.

4

5 **Movie S2:** Movie showing FRAP of GFP-actin in a mushroom-like spine from a CAP1-
6 KO neuron.

7

8 **Table S1:** List of oligonucleotides used for site-directed mutagenesis on CAP1
9 constructs

10

11 **Table S2:** List of primary antibodies used for immunocytochemistry (ICC) and
12 immunoblots (IB)

13

14 **Table S3:** List of secondary antibodies used for immunocytochemistry (ICC) and
15 immunoblots (IB)

Figures

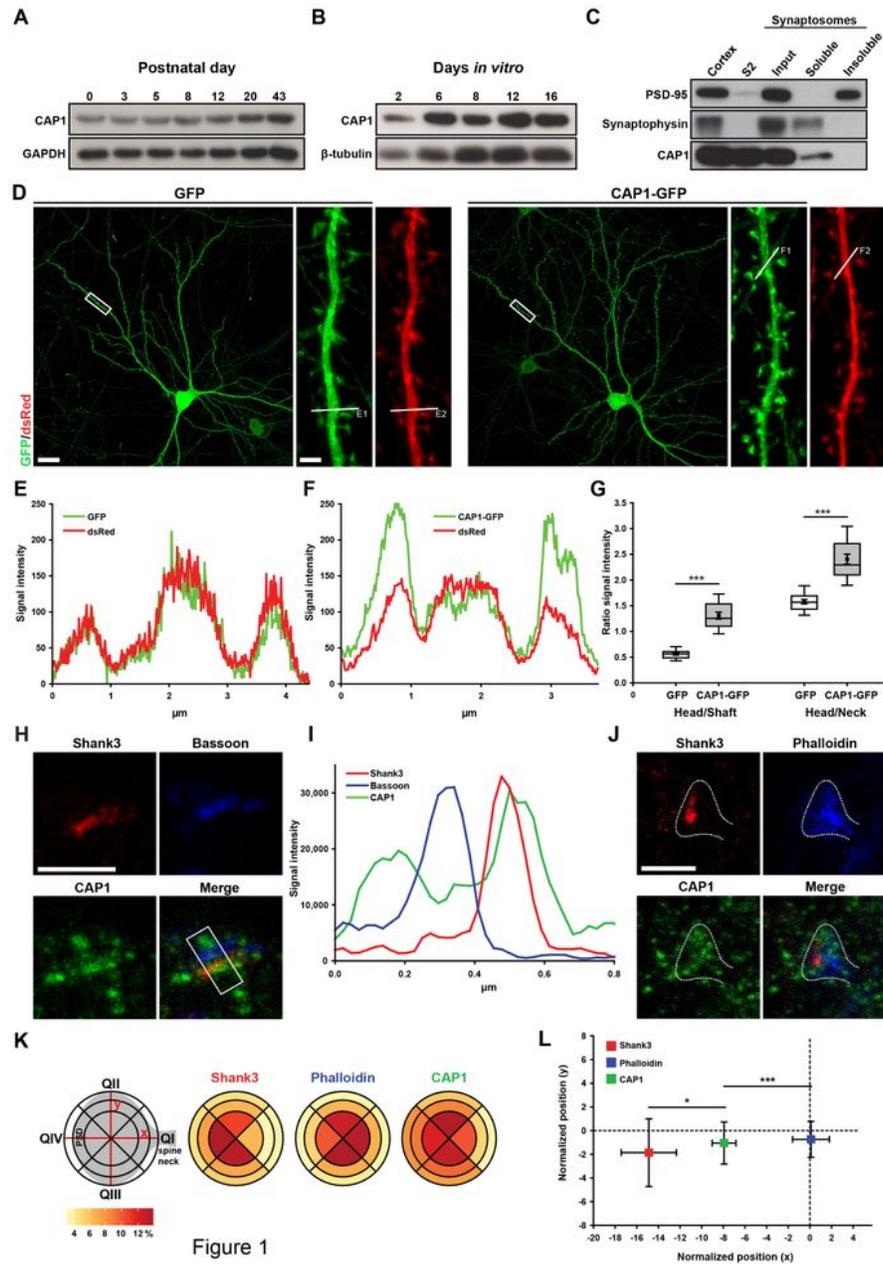


Figure 1

Figure 1

Enrichment of CAP1 in spine heads. (A) Immunoblots showing CAP1 expression in cerebral cortex lysates throughout postnatal development. GAPDH was used as loading control. (B) Immunoblots showing CAP1 expression in isolated cerebral cortex neurons. β -tubulin was used as loading control. (C)

Immunoblots showing presence of CAP1 in synaptosomes and in the soluble, but not in the insoluble synaptosomal protein fraction. PSD-95 and synaptophysin proved separation of protein fractions. (D) DIV16 hippocampal neurons expressing the volume marker dsRed (red) together with either GFP or CAP1-GFP (green). Boxes indicate areas shown with higher magnification. (E) Fluorescence intensity profiles for GFP and dsRed along white lines (labelled E1, E2) shown in left magnified micrograph in D. (F) Fluorescence intensity profiles for CAP1-GFP and dsRed along white lines (labelled F1, F2) shown in right magnified micrograph in D. (G) Graph showing ratio of GFP and CAP1-GFP intensity in spine heads vs dendritic shaft and in spine heads vs spine neck. (H) STED image of a neuron stained with antibodies against CAP1 (green), the PSD marker Shank3 (red) and the presynaptic marker Bassoon (blue). (I) Fluorescence intensity profiles for all three proteins along the box in H. (J) STED image of a neuron stained with antibodies against CAP1 (green) and Shank3 (red) as well as the F-actin marker phalloidin (blue). (K) Graphs showing distribution of CAP1, Shank3 and phalloidin in spine heads. Scheme on the left shows the mask that was used for this analysis. (L) Graph showing center of mass for CAP1, Shank3 and phalloidin. Coordinate system's origin indicates center of the spine (see scheme in K). Scale bars (μm): 1 (H, J), 2 (D, high magnification), 20 (D, overview). *: $P < 0.05$, ***: $P < 0.001$.

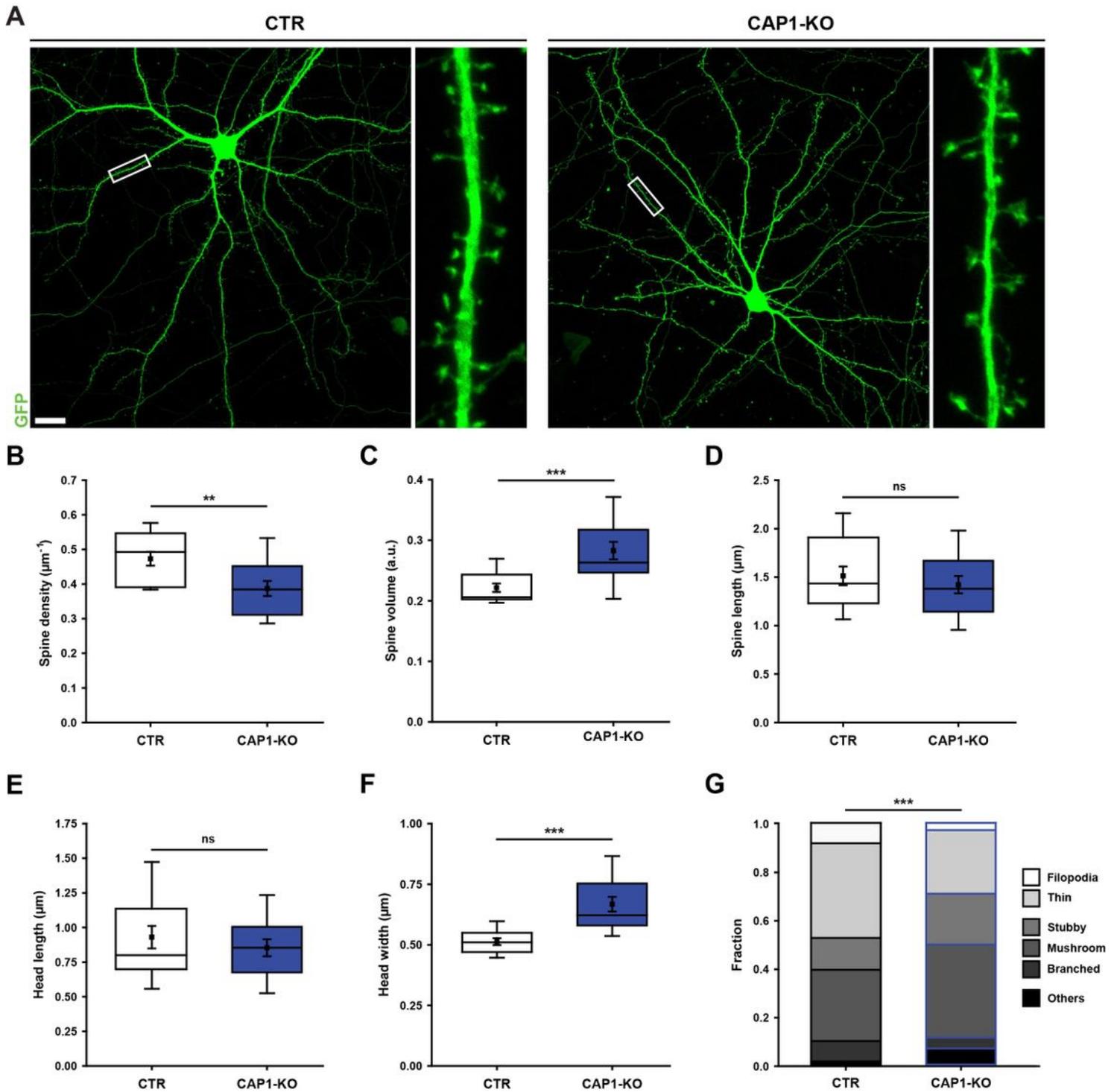


Figure 2

Figure 2

Reduced spine density and increased spine size in CAP1-KO neurons. (A) Micrographs of CTR and CAP1-KO neurons expressing GFP (green). Boxes indicate areas shown at higher magnification. Graphs showing (B) total spine density, (C) spine volume, (D) total spine length, (E) spine head length and (F) spine head widths in CTR and CAP1-KO neurons. (G) Graph showing fractions of spine types in CTR and

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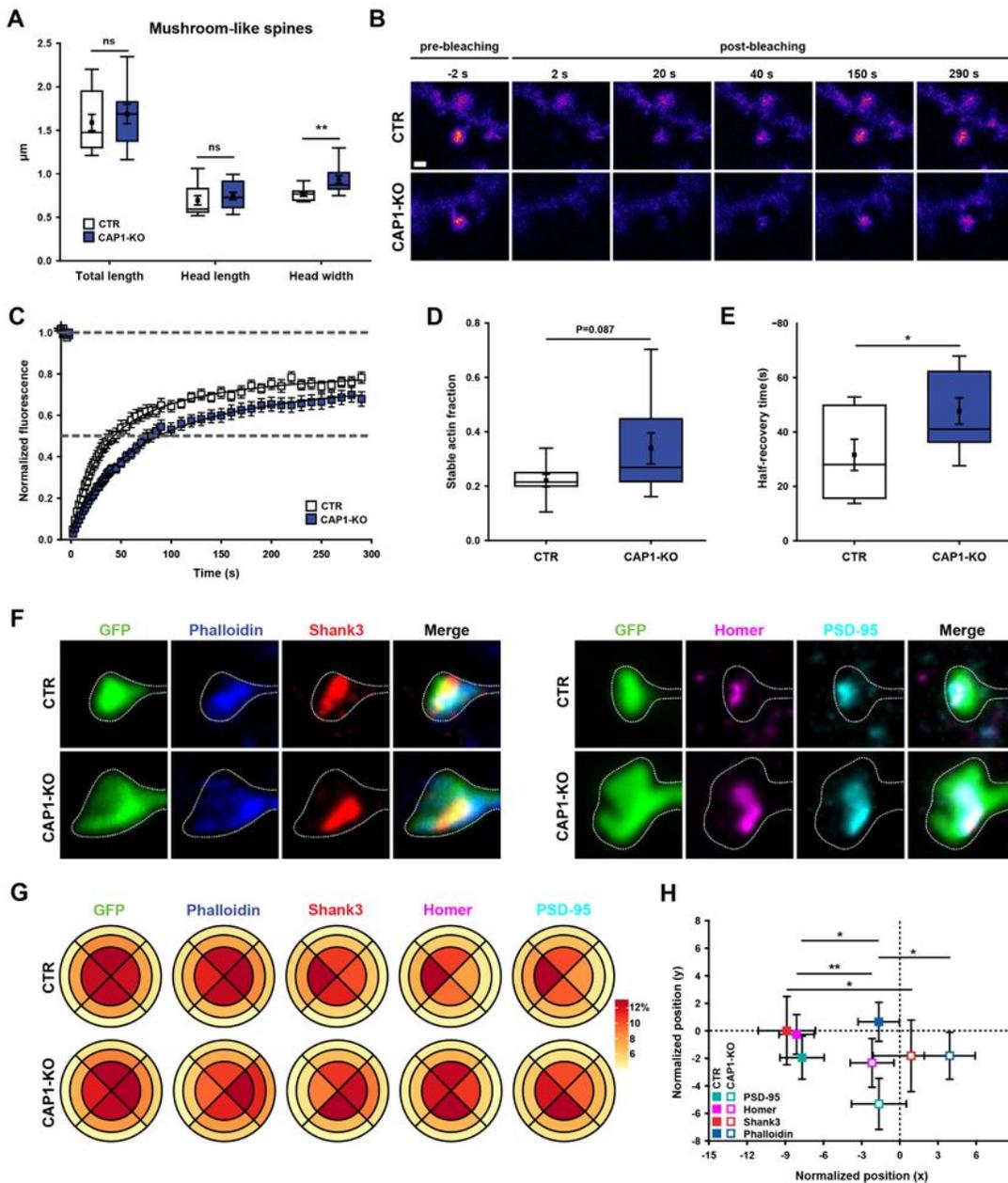


Figure 3

Figure 3

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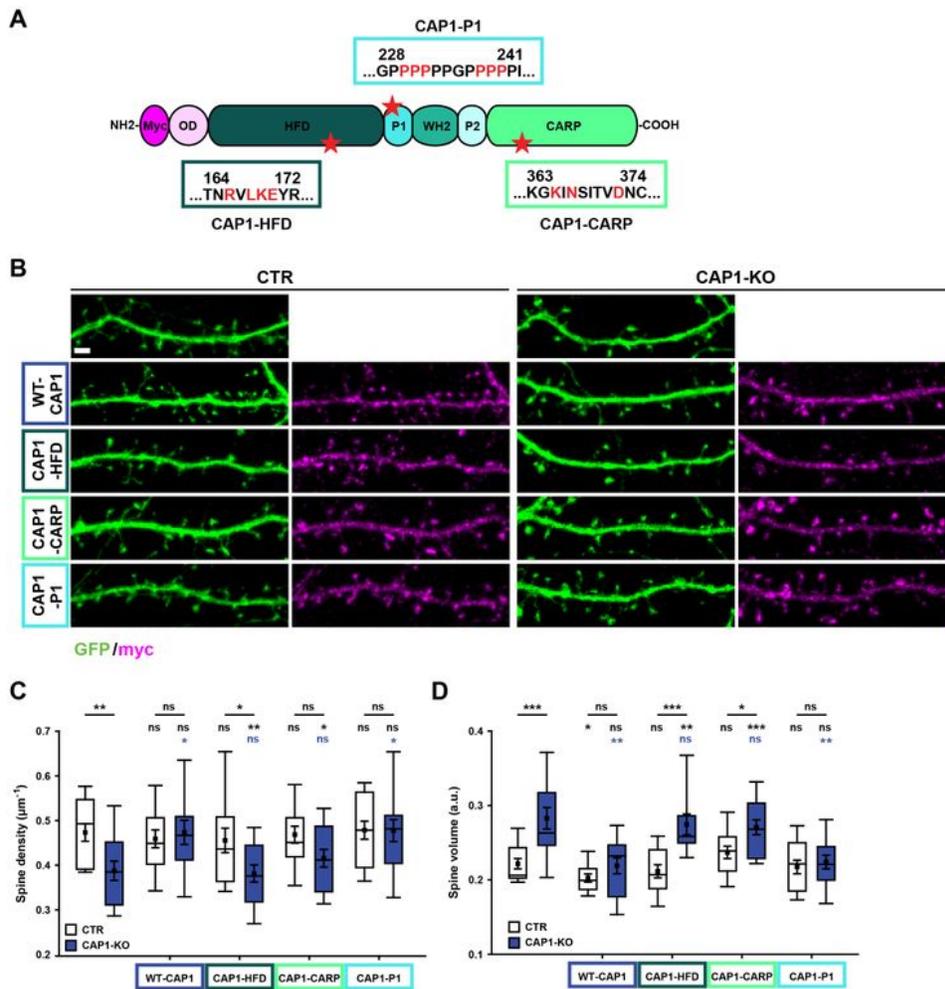


Figure 4

Figure 4

Helical folded domain and CARP domain are relevant for CAP1 function in spines. (A) Scheme showing domain structure of myc-tagged CAP1 as well as the mutations introduced into CAP1-HFD, CAP1-CARP and CAP1-P1 constructs. Amino acid residues shown in red were replaced by alanine. OD: oligomerization domain, HFD: helical folded domain, P1: proline-rich domain 1, WH2: Wiskott-Aldrich syndrome homology domain 2, P2: proline-rich domain 2, CARP: CAP and retinitis pigmentosa protein 2 domain. (B)

Micrographs showing myc antibody staining and GFP in CTR and CAP1-KO neurons expressing myc-tagged WT-CAP1 or myc-tagged CAP1 mutant constructs. Graphs showing (C) spine density and (D) spine volume in CTR and CAP1-KO neurons upon expression of WT-CAP1 or CAP1 mutants. Black asterisks and ns above CTR or CAP1-KO values in C and D indicate significance of changes when compared to CTR (furthest left values), blue asterisks and ns above CAP1-KO values indicate significance of changes when compared to CAP1-KO neurons (second values from left). Scale bar (μm): 2. ns: $P \geq 0.05$, *: $P < 0.05$, **: $P < 0.01$, ***: $P < 0.001$.

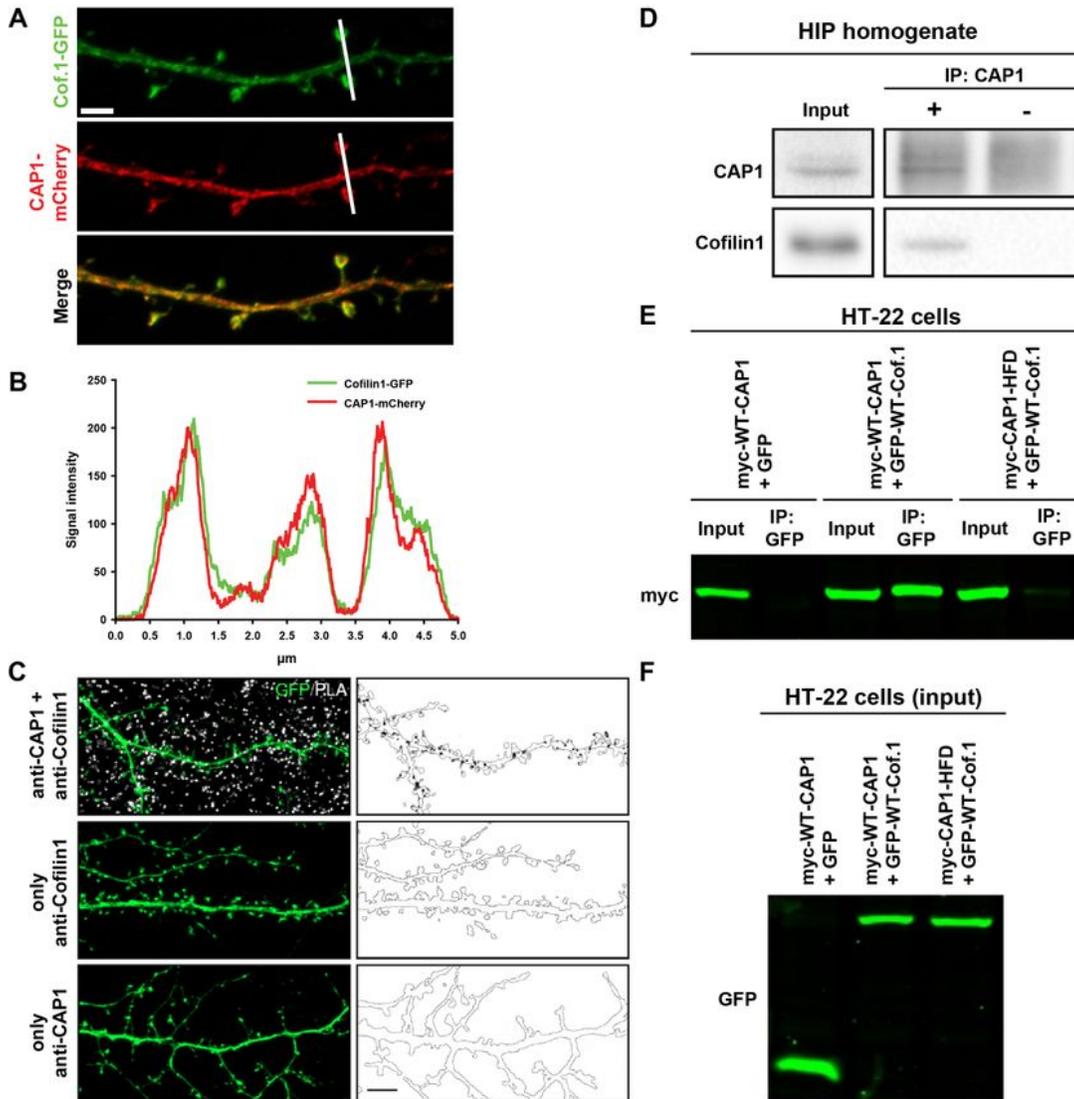


Figure 5

Figure 5

Physical interaction of CAP1 and cofilin1. (A) Dendritic shaft of a hippocampal neuron expressing cofilin1-GFP (green) and CAP1-mCherry (red). (B) Fluorescence intensity profiles for cofilin1-GFP and CAP1-mCherry along white lines shown in A. (C) Micrographs of DIV15 hippocampal neuron used for proximity ligation assay (PLA). Neurons were transfected with GFP (green), that served as a volume marker to outline dendritic compartment (line in black-white images on the right). Co localization of CAP1 and cofilin1 by PLA was performed by exploiting antibodies against endogenous proteins. PLA signal (white signal in left images and black signal in right images, respectively) was only detectable in neurons stained with antibodies against both CAP1 and cofilin1, but not in control neurons stained with an antibody against either CAP1 or cofilin1. (D) Immunoblot analysis of proteins precipitated with a mouse monoclonal CAP1 antibody from hippocampal (HIP) homogenate. Cofilin1 coprecipitates with CAP1 in hippocampal homogenate. (+) IP: CAP1 antibody, (-) no IgG. (E) Immunoblot with an antibody against myc in lysates from HT-22 cells expressing either myc-WT-CAP1 together with GFP, myc-WT-CAP1 together with GFP-WT-cofilin1 or myc-CAP1-HFD together with GFP-WT-cofilin1. In presence of GFP-WT-cofilin1, an antibody against GFP precipitated myc-WT-CAP1, but not myc CAP1-HFD. (F) Immunoblot with an antibody against GFP antibody demonstrating equal expression of GFP and GFP-WT-cofilin1 in HT-22 cells. Scale bars (μm): 2 (A), 20 5 (C).

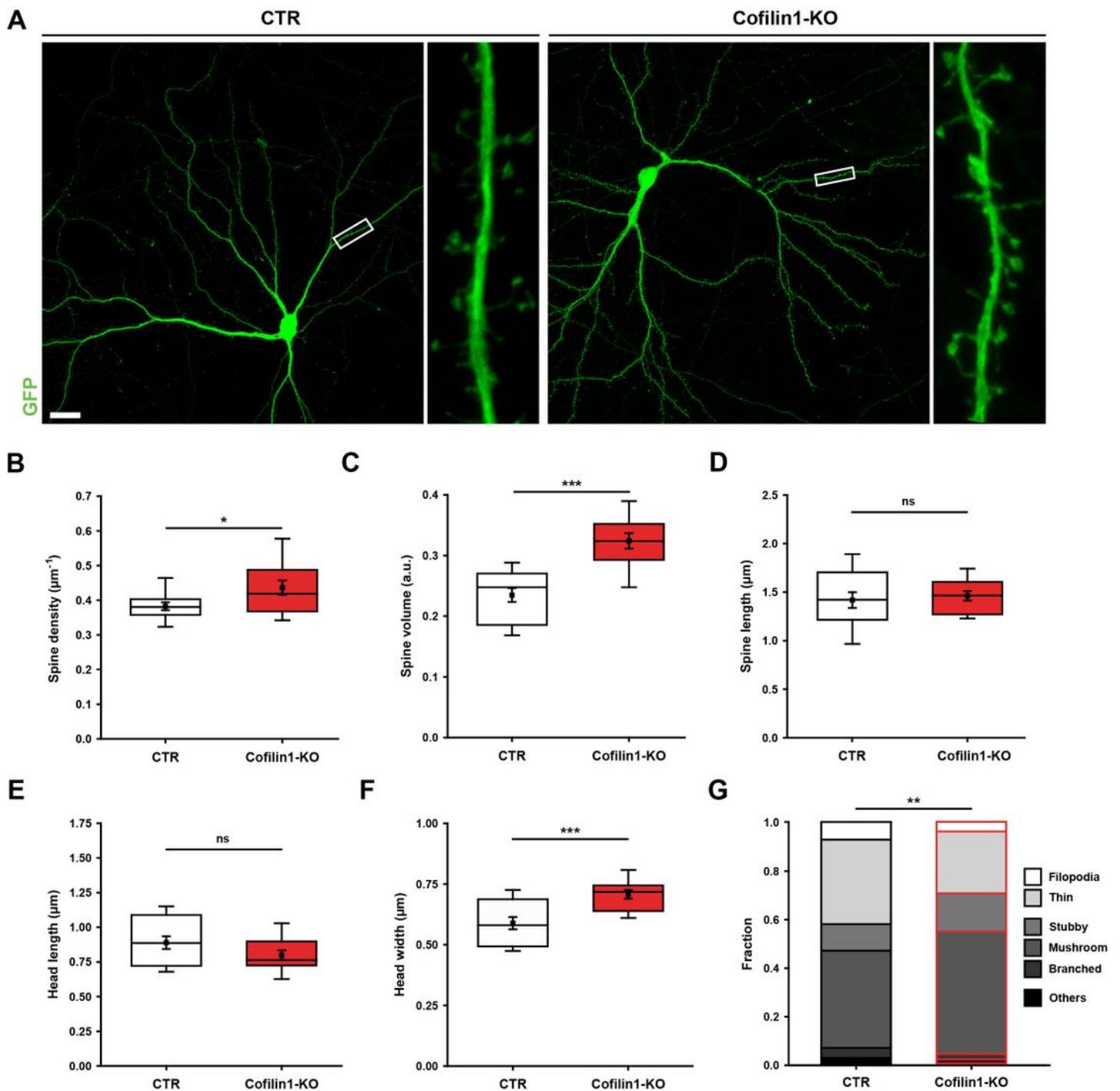


Figure 6

Figure 6

Changes in spine morphology of cofilin1-KO neurons. (A) Micrographs of GFP-expressing CTR and cofilin1-KO neurons. Boxes indicate areas shown at higher magnification. Graphs showing (B) spine density and (C) spine volume in CTR and cofilin1-KO neurons. Graphs showing (D) total spine length, (E) spine head length and (F) spine head widths in CTR and cofilin1-KO neurons. (G) Graph showing relative

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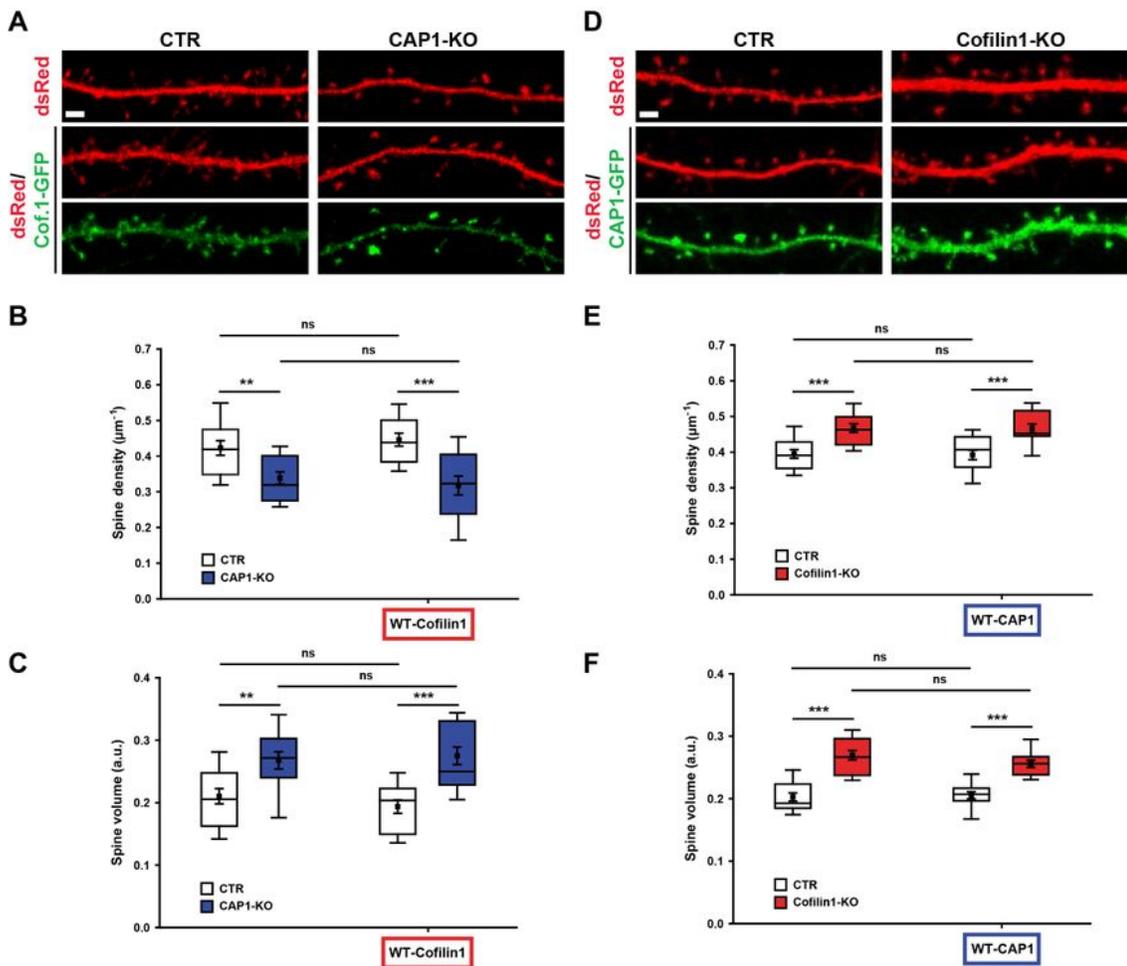


Figure 7

Figure 7

CAP1 and cofilin1 cannot compensate each other's inactivation in spines (A) Micrographs showing dsRed (red) in GFP-transfected CTR and CAP1-KO neurons as well as dsRed (red) and cofilin1-GFP

(green) in cofilin1-GFP-transfected CTR and CAP1-KO neurons. Graphs showing (B) spine density and (C) spine volume in CTR and CAP1-KO neurons either expressing GFP or cofilin1-GFP. (D) Micrographs showing dsRed (red) in GFP-transfected CTR and cofilin1-KO neurons as well as dsRed (red) and CAP1-GFP (green) in CAP1-GFP-transfected CTR and cofilin1-KO neurons. Graphs showing (E) spine density and (F) spine volume in CTR and cofilin1-KO neurons either expressing GFP or CAP1-GFP. Scale bars (μm): 2 (A, D). ns: $P \geq 0.05$, **: $P < 0.01$, ***: $P < 0.001$.

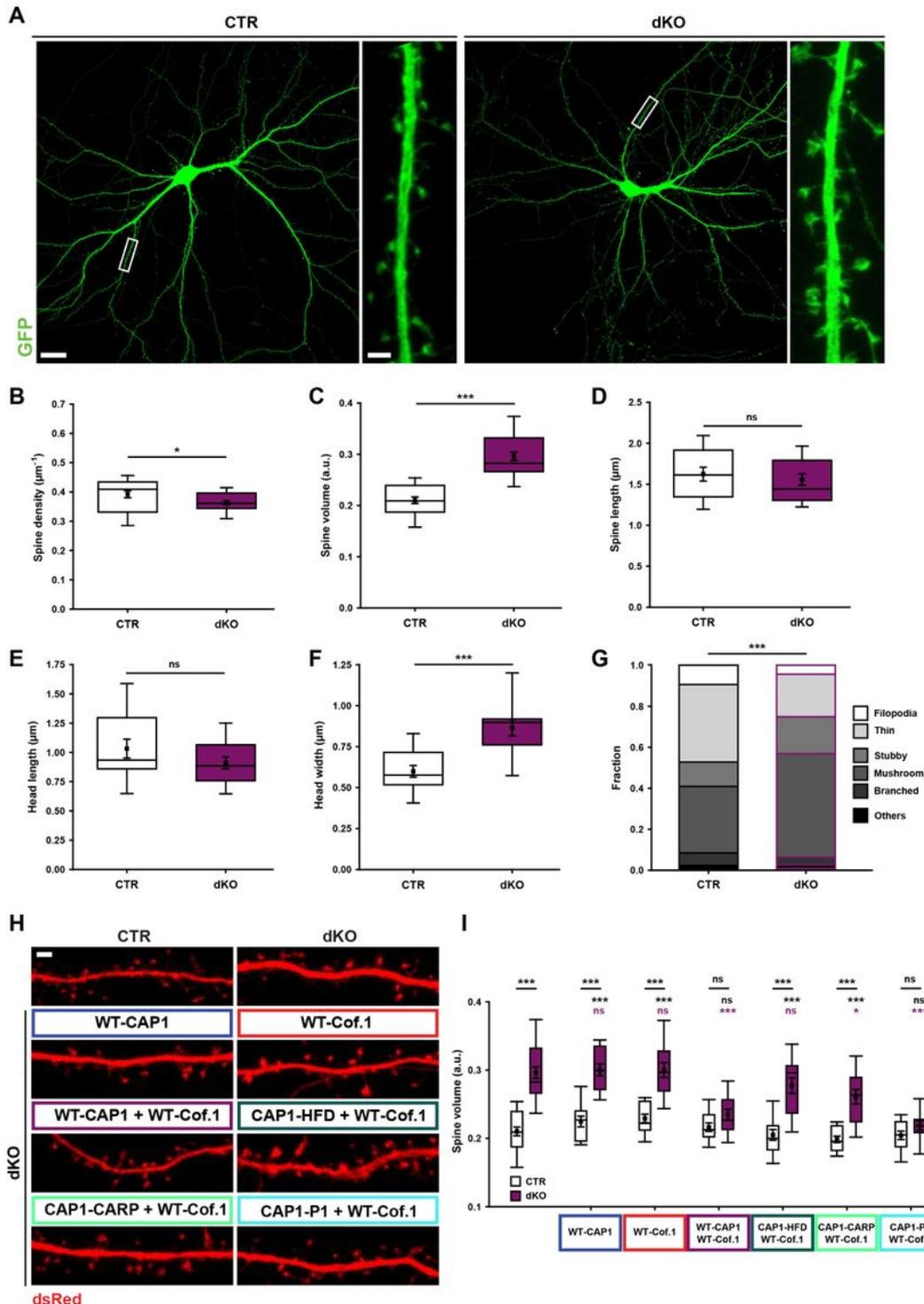


Figure 8

Figure 8

Functional interdependence of CAP1 and cofilin1 in spines. (A) 10 Micrographs of GFP-expressing CTR and double-KO (dKO) neurons. Boxes indicate areas shown with higher magnification. Graphs showing (B) spine density, (C) spine volume, (D) total spine length, (E) spine head length and (F) spine head width in CTR and dKO neurons. (G) Graph showing relative fraction of spine types in CTR and dKO neurons. (H) Micrographs showing dsRed in CTR neurons as well as in dKO neurons upon transfection of CAP1 and/or cofilin1 constructs as indicated. (I) Graph showing spine volume in CTR and dKO neurons upon expression of WT-cofilin1 and/or CAP1 constructs as indicated. Black asterisks and ns above dKO values indicate significance of changes when compared to CTR (furthest left values), purple asterisks and ns above dKO values indicate significance of changes when compared to double mutant neurons (second values from left). Scale bars (μm): 2 (A, high magnification, H), 20 (A, overview). ns: $P \geq 0.05$, *: $P < 0.05$, ***: $P < 0.001$.

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