

# Gaps in Health Care Services for Refugees in Cologne, Germany – a Mixed Methods Analysis

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## Research Article

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1 **Gaps in Health Care Services for Refugees in Cologne,**  
2 **Germany – a Mixed Methods Analysis**

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17

18 [Abstract](#)

19

20 **Background:** Ever since the peak in the number of refugees arriving in Germany in 2015,  
21 existing health care structures have faced major challenges. The city of Cologne developed ad-  
22 hoc new structures: a separate department for refugee medicine was set up. In the context of  
23 this study, the actual gaps in the health care of refugees in the city of Cologne were examined.  
24 The study provides lessons learnt for the health care of refugees.

25 **Methods:** The present study used an embedded mixed-methods approach using 20 semi-  
26 structured interviews and a database including 353 datasets with socio-demographic, health-  
27 related and resource-related information to cross-check the results of qualitative data.

28 **Results:** The qualitative data revealed gaps in providing health care to refugees. These gaps  
29 were found concerning approving health care services and medical aids by the municipality,  
30 communication and cooperation between the actors in care of refugees, undersupplies in  
31 mental health care and addictive disorders as well as improper housing situations for  
32 vulnerable groups of refugees with mental health issues, psychiatric disorders or elderly  
33 persons. Quantitative data confirmed the gap in approving health care services and medical  
34 aids, whilst no valid statement could be made about communication and cooperation.  
35 Undersupplies for mental health issues were confirmed, the gap for treatment of addictive  
36 disorders shows a divergence within the database. Improper housing situations for mentally  
37 ill persons were reflected, for elderly persons this did not appear in data.

38 **Conclusion:** Analysing the gaps can stimulate necessary changes to improve health services  
39 for refugees locally, while others are beyond the control of the local authority and require  
40 legislative and political action.

41 **Trial registration:** none

42

43 **Keywords:** health care services, migration, asylum seekers, Germany, Cologne, accessibility to

44 health care, mixed methods, qualitative

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## 52 Introduction

53 Refugees are more vulnerable than local people due to increased morbidity [1;2;3;4]. In  
54 recognition of their vulnerability, they have nationally and internationally also certain rights  
55 with regard to health care provision [5;6]. According to the Asylum Seekers Benefits Act § 3  
56 and §6, individual medical care includes “treatment of acute illnesses and pain conditions” for  
57 “recovery, improvement or for the relief of illnesses or consequences of illness” [7].

58 In Germany, the responsibility for health care (and other needs) of asylum seekers is  
59 structured regionally with different procedures and specifications by federal state [8;9;10] and  
60 by cities [11]. This has been an issue for discussion, as no general regulations for health care  
61 are in place [12;13].

62 The City of Cologne, located in the federal state North Rhine Westphalia, took in about 5% of  
63 the 21% [14] assigned refugees of this federal state [15] since a federally agreed distribution  
64 system, the so-called “Königsteiner Schlüssel”, was determined in 2015. In response to the  
65 large number of refugees arriving, the City of Cologne set up ad hoc new structures: a  
66 department within the Public Health Department was specifically responsible for the delivery  
67 of medical care for refugees. This made Cologne one of the pioneers regarding health care  
68 delivery structures for refugees.

69 Although the number of asylum seekers has steadily decreased since the peak of 890.000  
70 refugees arriving in Germany in 2015 [16], calculating the needs of refugees in advance [17]  
71 was difficult: The countries of origin varied due to changing inhumane conditions (for example  
72 war, civil war) or season. However, processes within the supply structures and their  
73 stakeholders were less subject to variability. Hence, an analysis with regard to coordination,  
74 structures, processes and cooperation appeared helpful to optimize supply. Before and during

75 the transition from specialized services for asylum-seekers to regular care, the effectiveness  
76 of the care structures has been shown to be important for vulnerable patients [15]. Previous  
77 medical care and medical aids<sup>1</sup> could only be accessed when granted by Social Welfare  
78 Departments of the city of residence. This process caused delays and occasional unjustified  
79 rejection, which has been improved by issuing an electronic health insurance card by the  
80 statutory health insurance for refugees [18;19] to clarify the issue of health care funding.  
81 However, barriers like communication [20;21], interpretation of statements and cultural  
82 differences [3;22;23] remain.

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<sup>1</sup> Medical aids are items that are prescribed by a doctor to support the therapy and healing process or to make everyday life easier. For example, bandages for herniated discs or wheelchairs for people with walking difficulties.

## 85 Methods

### 86 Aim

87 For improving quality and effectiveness [24;25] of the care structure for this particularly  
88 vulnerable population of asylum seekers and refugees, this study looked at gaps in health care  
89 for refugees in Cologne. Specifically, it 1) identifies reasons for sub-optimal care, 2)  
90 investigates which actors are involved in the care and how their cooperation is organized, and  
91 3) describes deficiencies as well as over- or undersupplies in particular areas.

### 92 Procedure (Study design)

93 An exploratory mixed methods approach with two data sources was used: the database of the  
94 refugee medicine department (Dep.RM) and interviews with key informants. First key actors  
95 were identified and interviews were conducted. The statements were analysed using content  
96 analysis based on the Grounded Theory methodology with regard to actual gaps and barriers  
97 in providing health care. These were cross-checked with the findings of the database. This  
98 approach was intended to check to what extent the statements from the qualitative data  
99 analysis were reflected in the secondary data. The research was conducted in German.

### 100 Setting

101 The study took place in the City of Cologne. In 2018 a total of 10.216 refugees were recorded  
102 in Cologne, in 2019 this figure dropped to 7.460 [26]. These refugees were provided shared  
103 accommodations and emergency care by the municipality in three major NGO operators  
104 managing the accommodations.

105 The study was conducted within the newly established department for Refugees health  
106 constitutes one of the 16 departments within the Public Health Department of the city. It  
107 consists of doctors and social workers and its function is to support the accommodation

108 operators, to advise other actors in the city administration (such as for example housing  
109 Department) and to organize the provision of health care for refugees in urgent medical cases.

110

## 111 Qualitative sampling and data collection

### 112 *Professionals*

113 Professionals were recruited according to the following selection criteria: Professionals were  
114 included who worked in accommodations for refugees as nursing staff or social workers as  
115 well as persons with an advisory function in health care for refugees. They had to work in  
116 Cologne and be older than 18 years. It was preferable if the persons had experience in cases  
117 of refugees with complex health problems. Professionals that were not involved in health care  
118 of refugees were excluded.

119

120 The professionals were recruited through contacts of the Dep.RM to operating institutions for  
121 refugee accommodations. Line managers permitted the staffs' participation and  
122 recommended employees. The first half of persons was recommended by the Public Health  
123 Department and contacted by email or telephone. Afterwards complementary participants  
124 were contacted by snowball principle by telephone and email. Recruiting was done  
125 sequentially and sampled, so that each profession and accommodation institution were  
126 represented. All major players who operated accommodations were invited. 20 professionals  
127 from managing and operating organisations in the administrative boundaries of Cologne were  
128 approached and 18 persons consented, 2 didn't respond to the request.

129 The professionals were interviewed either in their own offices, in other rooms within the  
130 accommodation or in a coffee house. The participants were provided with the consent form,  
131 the information leaflet and a short summary of the study in advance. Informed consent was

132 taken from all participating professionals. The researcher used audio recording to collect the  
133 data.

134

### 135 *Refugees*

136 Refugees were recruited according to the following selection criteria: They had to live in  
137 Cologne and be 18 or above years old and had to be able to communicate in German, English  
138 or with a language interpreter. They also needed to have experienced a gap in health care.  
139 This gap was not previously defined. Refugees were recruited through the contact with  
140 professionals. The accommodation staff got informed about the aim of the study and  
141 presented this to refugees with medical issues and perceived insufficient care. When the  
142 refugees agreed to participate, the consent form, the abstract and the information magazine  
143 were sent to them directly or to the accommodation where they were living in in advance.  
144 Three refugees were approached and two took part, one refugee refused to take part.  
145 Informed consent was taken from all participating refugees. The refugees chose a community  
146 room in their accommodation and a coffee house as location for being interviewed.

147

148

### 149 *Description of study participants*

150 The professionals (n=18) had a mean age of 45.5 years ( $\pm$  2.3), 88% were female (n=16) and  
151 had a mean work experience of 5.4 years (min: 0.5 years; max: 40 years). They worked for the  
152 municipality of Cologne (25%), the German Red Cross (40%), Caritas (20%) and a Lutheran  
153 Charity organisation (5%). 61% of the professionals were medical staff and 39 % social  
154 workers. 44% worked directly on the health care of refugees (executive role), 33% were

155 consulting other professionals or refugees (advisory role) and 22% were superiors of the  
156 executives (managerial role).

157 10% (n=2) of the participants were refugees. They were male, had a mean age of 42.5 ( $\pm$ 17.5),  
158 and had a mean time of being in Cologne of 4 ( $\pm$ 2) years.

159

#### 160 *Data collection*

161 A socio-demographic questionnaire including questions on gender, age, work experience in  
162 years, profession and actual role in the care of refugees, and characterization of the  
163 accommodation was given to and filled in by the participants before the actual interview.

164 A semi-structured interview guide was used for professionals and adjusted for refugees  
165 (adjustment in brackets). The following subject areas were queried in open questions: Work  
166 experience in the care of refugees (general experiences in Cologne), care pathways and actors  
167 (own pathway in health care), problems in care (own experience), explanations and  
168 similarities of the problems mentioned (explanations and similarities compared to the care of  
169 other refugees), effects on the individual, ideas for improvement. The interview guide was  
170 pre-tested and discussed in an interdisciplinary research colloquium on migration, organised  
171 by HIGH and in a research workshop for qualitative health care science.

172 All interviews were carried out by the first author in German language or with a language  
173 interpreter. The average time for interviews was 30-45 minutes, ranging from 20 minutes to  
174 90 minutes.

175

176 *Data analysis*

177 The content analysis was carried out after the Grounded Theory approach. The data was  
 178 coded inductively with MAXQDA [27]. Two independent persons who worked on health  
 179 services research, tested randomly selected transcripts, crosschecked and discussed  
 180 discrepancies to obtain a clear coding scheme of the codes with the first author.

181 In the analysis, different areas had been defined as gaps in the care of refugees. These areas  
 182 were divided into reasons for suboptimal care, cooperation and organization as well as  
 183 deficiencies, oversupplies and undersupplies (see figure 1).

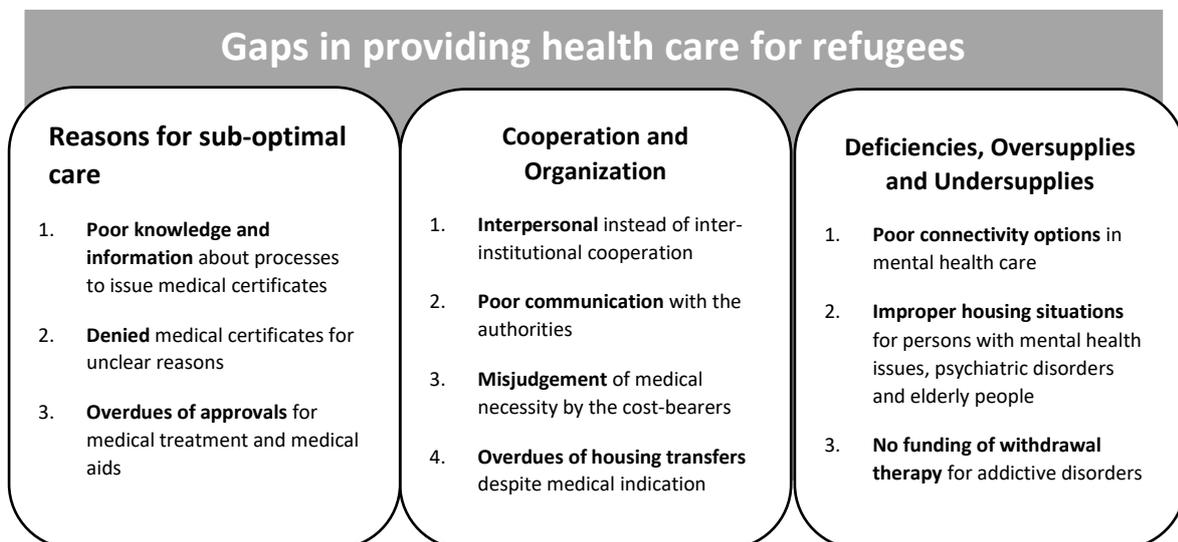


Figure 1: Coding paradigm of the study: themes and subthemes

184

185 **Quantitative data and populations**

186 A database was set up in March 2019 by the first author and supplemented subsequently the  
 187 following months. All refugees who were looked after by the Dep.RM from January 2018 to  
 188 June 2019 were included in the current data analysis. In total 353 data sets were entered with  
 189 the following information:

<b>Information about the patient</b>	<b>Medical information</b>	<b>Information concerning the linkage to the health care sector</b>
unique ID	classification of morbidity (mono-, co- and multimorbidity)	admission and closing date of the case (a case being a sick refugee with health care need who had been linked to the Dep.RM)
country of origin	number and type of diagnoses	the person who linked the refugee to the Dep.RM
birthday	ICD 10 diagnosis group [29]	access route
age and age cohorts <sup>2</sup> [28]		reason for contact
gender		number of contacts of the Dep.RM with the municipality concerning the refugee
family connection		number of contacts of the Dep.RM with the accommodation concerning the refugee
number of children		number of contacts with the providers in the health care sector
type of accommodation		total number of contacts (including all contacts for providing care)
		difficulty in care (rated from 0= no difficulties to 2= serious difficulties)

190

191 However, not all data sets consistently contain all information, data from January 2018 to

192 March 2019 was sometimes incomplete. The database was analysed descriptively with Excel.

193 The number, the mean and the confidence intervals were calculated when applicable results

194 of the qualitative interviews are matched with corresponding data of database analysis.

195

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<sup>2</sup> The categorization of age cohorts was based on the model of UN Migration Report 2017 [27]

196

197 

## Results

198 The qualitative and quantitative results are presented simultaneously regarding in line with  
199 the coding themes presented in the methods (see figure 1).

200

201 *Description of study population*

202 Out of the 348 client records 41.4 % (n=146) referred to men and 57.4% (n=202) to women.

203 The most common disease groups according to ICD 10 were F = "mental and behavioural  
204 disorders" with about 15% and O "pregnancy, childbirth, puerperium" with about 17%. The  
205 most frequent requests to the Dep.RM concerned residence certificates and the  
206 linkage/referral in the health care system.

207 The most important characteristics of the database are shown in figure 2. These were mostly  
208 the base for the following analysis.

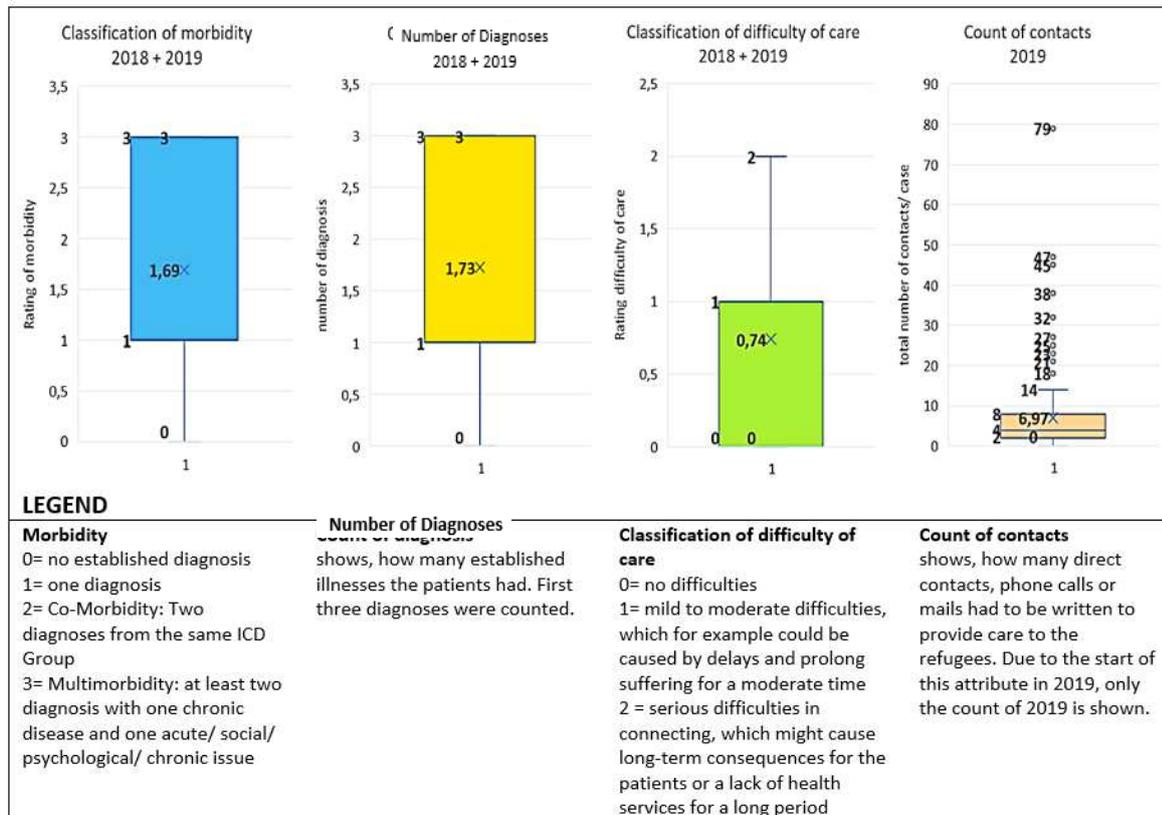


Figure 2: Overview of the classification of morbidity, difficulty in care, number of diagnoses and the count of contacts for 2018 and 2019

211 As shown in figure 2, the population had a mean of established diagnoses of 1.7, most of the cases  
 212 were between 1 and 3 diagnoses (cases with no information were excluded). In order to sort the  
 213 diagnoses, they were classified in a morbidity scheme [30;31] between 0 and 3 (0 = no established  
 214 diagnosis (n=45), 1 = one established diagnosis (n=137), 2 = co-morbidity (n=63), 3 = multi-morbidity  
 215 (n=83). There was no information for n= 22). The mean in **morbidity** was 1.7 (without morbidity = 0;  
 216 n=45, with morbidity = no information). The Dep.RM was asked to rate the challenges while helping  
 217 the refugees in a three step Likert Scale from 0 (=no difficulties) to 2 (=serious challenges). The mean  
 218 of this value was 0.7, most of the cases were rated between 0 (n= 104) and 1 (n=239). Only 10 cases  
 219 were rated with 2. Also every contact made by the Dep.RM for helping the refugees (e.g. with the

220 municipality, the accommodation, etc.) was counted: The mean for all cases was 7.0 ( $\pm 2.0$ )<sup>3</sup> contacts.  
221 The normal range was between 2 and 4 contacts with outliers.

222

### 223 [Reasons for sub-optimal care](#)

224 Identified reasons for suboptimal care were poor knowledge and information about processes  
225 to issue medical certificates, denied medical certificates for unclear reasons, and overdue  
226 approvals for medical treatment and medical aids.

227

### 228 **Poor knowledge and information about processes to issue medical certificates**

229 The provision of health services in the form of diagnostics and treatment was rated mostly the  
230 same as for German citizens by the interviewees. In critical or complex cases, organizational  
231 support from the Public Health Department was often used to integrate the refugees into the  
232 care structures.

233 A critical point in providing care for refugees was getting the approval for financing the health  
234 care services. This required a medical treatment certificate issued by the Social Welfare  
235 Department. The interviewees mentioned that the process of issuing treatment certificates  
236 had changed several times in the past few years. Due to lack of communication about these  
237 changes the employees had difficulties planning care within the accommodation facilities.

238 *"Then they come back without a medical treatment certificate. And that's just really happening*  
239 *a lot. Also, that women in late stages of pregnancy are sent to the Social Welfare Department*  
240 *and then you want to connect them, maybe you have even made an appointment. Because*

---

<sup>3</sup> Standard deviation in brackets

241 *you assume that she has an appointment today - so she goes to the Social Welfare Department*  
242 *today and then she also has a medical treatment certificate. And then she didn't get one." I17*

243 This meant additional work for the employees, because health care appointments had to be  
244 rescheduled and the issuing of the treatment certificate had to be taken up with employees  
245 from the Social Welfare Department.

246 *"You can only get them (...) in some cases only medical treatment certificates and also*  
247 *according to demand and pressure from us. (...) And that just inhibits our work, right? So, we*  
248 *can't connect the sick people to doctors. (...) What's the policy behind it? I can't say that. And*  
249 *this is annoying. This is unsatisfactory at all levels." I9*

250 In the quantitative data 21.8% of the cases connected to the Dep.RM needed to be linked to  
251 care providers. These patients had an average number of diagnoses of 1.6 ( $\pm 1.0$ ). This shows  
252 a high variability. The average difficulty of care was 0.8 ( $\pm 0.5$ ). In complex cases with two or  
253 more diagnoses difficulties in connecting were rated 1.0 ( $\pm 0.6$ ), indicating a light increase.  
254 This could also be seen in the count of contacts that had to be made for linking patients to the  
255 health care sector: In complex cases there had been 10.8 ( $\pm 11.8$ ) contacts, in all cases 9.7  
256 ( $\pm 11.5$ ). As such, the quantitative data shows, that many more patients were connected to  
257 and helped by the Dep.RM than suggested in the interviews.

258

### 259 **Denied medical certificates for unclear reasons**

260 The interviewees reported cases, where medical treatment was necessary right away for  
261 instance for children with diarrhoea. Due to the lack of treatment certificates, which could  
262 also have arisen from other causes such as office opening times, interviewees on occasion

263 tuned to trusted medical contacts. These doctors then treated the refugees without a  
 264 treatment certificate and had them submitted later.

265 *“You have usually found a paediatrician with whom you work. Who also takes in a child*  
 266 *when he is acutely ill and does not yet have a medical treatment certificate.” I17*

267

268 In quantitative data supporting refugees to get their medical treatment certificate had to be  
 269 done 7 times in 2018 and 2019. This compared to 2.0% of all cases. The patients all had one  
 270 diagnosis ( $\pm 0$ ) and the difficulty was rated with 1 ( $\pm 0$ ). Data did not allow for further  
 271 comparisons.

## 272 **Overdue approvals for medical treatment and medical aids**

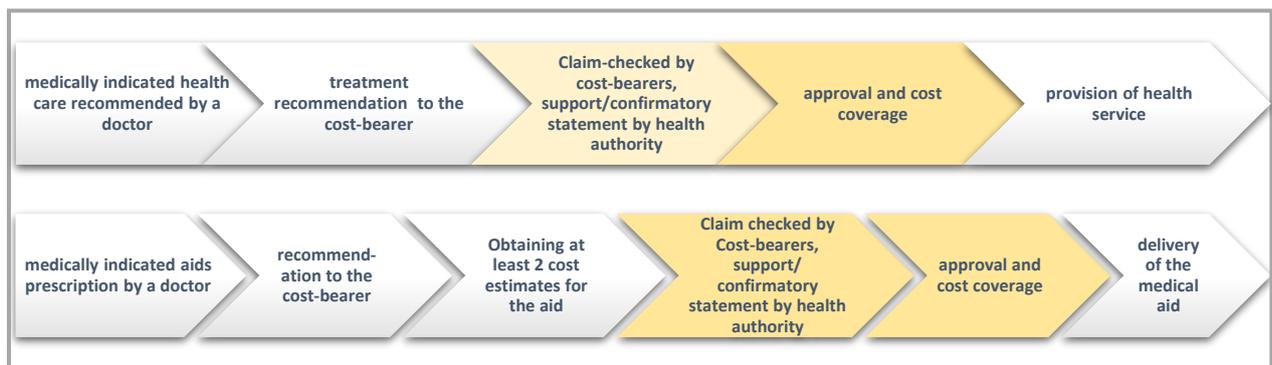


figure 3: Procedures of approvals for health care and medical aids.

The challenging steps are color-coded.

273

274 Additional health care benefits that went beyond regular care required additional permits to  
 275 cover costs (figure 3). According to medical advice and in the case of medical aids, the  
 276 estimated costs were checked on necessity and token over by the responsible department.  
 277 Obtaining medical aids had been described as difficult, complicated and lengthy.

278 *“It was about a child who needed a rehab buggy. And it was at an age where it could no*  
279 *longer sit in the stroller. It was also completely inadequate for the child's posture and (...)*  
280 *this child cannot be carried up and downstairs continuously. Then I lack the understanding*  
281 *of (...) where these hurdles arise, that it took time and time and time. And in this case, an*  
282 *almost unfortunate situation has arisen with regard to the housing situation and the supply*  
283 *of aids. And that has dragged on for months.” 12*

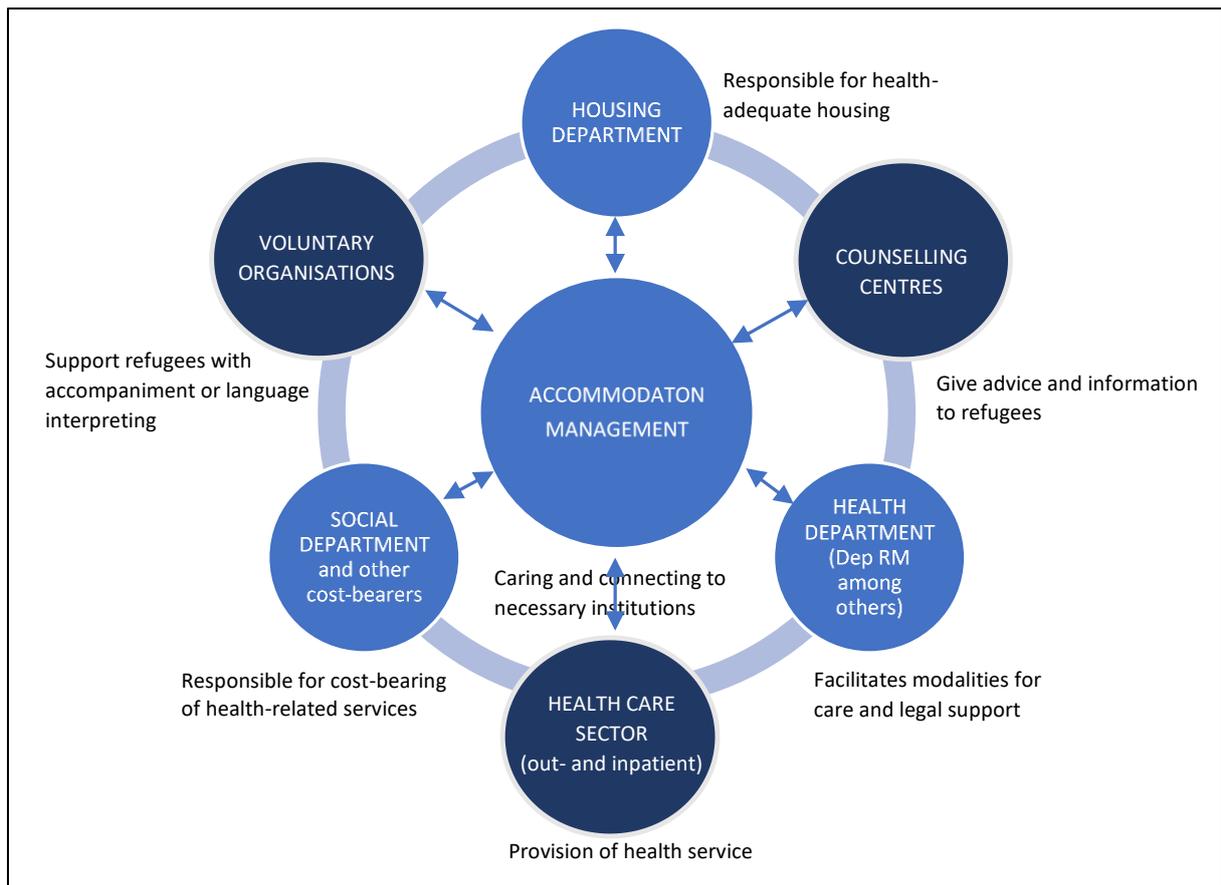
284 More than the half of the participants described situations with overdue approvals. Worries  
285 about long-term consequences were also described, but rarely situations where the aids were  
286 not delivered at all.

287 Statements for cost coverage for medical treatments were requested in 8.2% of cases,  
288 statements from cost-bearers excluded (in total with cost-bearers 10.2%). The mean diagnosis  
289 of these cases was 1.9 ( $\pm 0.8$ ), difficulty was rated at 0.7 ( $\pm 0.4$ ). It is evident that the patients  
290 were mostly comorbid or multimorbid and the fulfilment of the request was not associated  
291 with extraordinary difficulties. In 2019 the Dep.RM had to do 7 ( $\pm 6.7$ ) contacts in average  
292 regarding inquiries to cost coverages. This showed that the support was needed and that the  
293 problems were solved by the Dep.RM with less effort than by the accommodation staff, as  
294 was stated in the interviews.

295

## 296 [Cooperation and Organization within the Care of Refugees](#)

297 In the early process the accommodation staff was in charge of organizing most health care  
298 related matters for refugees. Later on, when the refugees became more integrated and got  
299 more knowledgeable of the system, they act more independently. As can be seen in figure 4  
300 many actors could be involved.



301

figure 4: Actor landscape

302

303 Gaps related to cooperation and organization within the care of refugees included issues such  
 304 as interpersonal instead of institutional cooperation, poor communication with authorities,  
 305 misjudgement of medical necessity by the cost-bearers, and overdues of housing transfers  
 306 despite medical indication.

307

### 308 **Interpersonal instead of inter-institutional cooperation**

309 It became clear in all interviews that communication and networking between these actors  
 310 was of great importance. Interpersonal relation and interaction were very important enablers  
 311 for accessing health care as exemplified by the following quote:

312 *“Two of our colleagues (...) did internships in the day clinic. It was actually nice, since then*  
313 *there has been personal contact. You can now also use the phone. There is a basis of trust.*  
314 *And in that respect, we got used to it a bit, they just come over from time to time. And we*  
315 *send people there. That is actually quite positive.”<sup>17</sup>*

316 This type of interpersonal cooperation was also highlighted in cases where health care funding  
317 was likely but not yet secured. This occurred in the event that a treatment certificate was not  
318 yet available, but treatment was necessary.

319 *“I had a paediatrician in DISTRICT, and even if they didn't have an insurance certificate, I*  
320 *could send them there. And I promised, as soon as the certificate arrives, I would bring it*  
321 *over myself. And then it was actually okay.”<sup>18</sup>*

322 This kind of personal level cooperation within the city could make work more difficult. The  
323 responders referred to these difficulties with clinics, medical and social centres like this:

324 *“It is often personal. Yes. I think it can be with different organizations or something like that,*  
325 *the cooperation with some of them goes very smoothly and the communication. And then*  
326 *you have another person, where it is a bit bulky like this.”<sup>15</sup>*

327

### 328 **Poor communication with the authorities**

329 Challenges could also be found within the communication between all persons organizing  
330 health care for refugees as well as with the municipality. Many interviewees mentioned a lack  
331 of communication especially with the Social Welfare Department.

332 *"I already know a few names [in the Social Welfare Department <sup>4</sup>] and mostly those where*  
333 *things didn't go well and I know about six names. Six, seven names." I17*

334 The respondents indicated that communication between the municipality and the  
335 accommodation should be expanded. Several respondents cited the introduction of "border  
336 crossing certificates" as an example. When refugees arrived in the emergency  
337 accommodations with this document, the staff wasn't informed about the scope of services.

338 *"With so-called border crossing certificates, that was a new paper that we knew nothing*  
339 *about, which suddenly appeared here at the end of the year." I7*

340 This lack of communication concerned not only exceptional situations like the one mentioned  
341 above, but also the scope of health services covered by the medical treatment certificate or  
342 the electronic health card. The limited/constrained communication led to dissatisfaction  
343 among many respondents. Some assumptions were made about how the changeable  
344 reimbursement of benefits arose. It was increasingly stated that the reimbursed scope of cost-  
345 bearing of health-related services was based on the personal opinion of the responsible  
346 person in the Social Welfare Department.

347 *"Depending on who takes care of it and ... how much understanding or sympathy, or I don't*  
348 *know, empathy? That they just have, I think. I think it depends on that." I17*

349

### 350 **Misjudgement of medical necessity by the cost-bearers**

351 Another repeatedly expressed assumption besides personal opinion was also the question of  
352 the responsibility and the medical knowledge of the people who decided about bearing the

---

<sup>4</sup> Supplemental information of the author

353 treatment costs. Several respondents expressed doubts that the people responsible could  
354 correctly assess the urgency of treatment.

355 *“They were purely administrative people who did not see what was directly happening to the*  
356 *people affected. And these are quite, that were ... so in the interim time very often things*  
357 *where we went insane (...) and said “That can't be true”. ... And then we had to translate why*  
358 *that's so bad now and why something has to happen today and not just next week.” I4*

359 When this kind of problem occurred the vast majority of interviewees working in emergency  
360 accommodations asked the Public Health Department to step in and support. Only a  
361 proportion of the interviewees working in counselling centres or shared accommodations  
362 used this option.

363 In 64% of all cases there was no information about the access path to the Dep.RM. Of the 126  
364 cases where information was available, 40% were connected through other departments in  
365 the municipality and 47% through accommodations. Health care providers connected 6% of  
366 the cases as well as other actors from refugee care.

367

### 368 **Overdues of housing transfers despite medical indication**

369 In cases of high urgency, the employees from the accommodations stated that quick transfers  
370 to an adequate housing situation were not always possible despite the medical indication.  
371 Sometimes this was due to local conditions. Solutions sometimes had to be found between  
372 the higher levels of the operator institution, the Public Health Department and the Housing  
373 Department.

374 *"That means that there is a lack of transparency ... definitely towards us and in some way the*  
375 *wish that we don't know about everything. Especially by the Housing Department, because*  
376 *otherwise we would also disagree with many people regarding the accommodation situation*  
377 *and would accordingly cause trouble that this would change." I3*

378 In addition, there was often a desire to for mor transparency and improved communication.  
379 Compression of work processes and better interface management would save time and the  
380 supply processes could be implemented more promptly and efficiently.

381 *"50 percent of the resources of our daily work are going into communication. If you think*  
382 *about it, that's the Housing Department. They have the power. That is their establishment.*  
383 *We are only a guest here. We are the home management." I18*

384 Improved transparency and communication would also counter considerations about the  
385 decision-making bases that currently exist.

386

### 387 [Deficiencies, Oversupplies and Undersupplies](#)

388 The interview analysis did not identify any oversupply of services, nor the complete absence  
389 of a required service. Four areas were identified where supply was regarded critically short:  
390 poor connectivity options in mental health care, improper housing situations for persons with  
391 mental health issues, no funding of withdrawal therapy for addictive disorders, and no proper  
392 housing situations for elderly people.

393

### 394 **Poor connectivity options in mental health care**

395 Undersupply in mental health care, partly declared as the greatest gap, was mentioned by all  
396 participants.

397 *"there is definitely a big problem ... and with the medical care it's similar. The biggest supply*  
398 *gap I see ...is in the psychiatric psychological area... in connection of people with post-*  
399 *traumatic stress disorder" I3*

400 Most notable was the lack of access to therapists and psychiatrists. Usually there were waiting  
401 periods of 12-24 months for adults, children could be referred faster within 3-6 months.  
402 Intermediate offers for bridging up to therapy had been rated as positive by persons who give  
403 advice, persons who worked in accommodations hadn't commented on it. The difficult linkage  
404 was due to lack of capacity, but also due to a reluctance to work with language interpreters  
405 and justify the financing of language interpreters.

406 *"Because the problem is: when they open the barrel, they get the barrel closed again? And*  
407 *that's a lengthy process, and that's just too difficult for them to find therapists or*  
408 *opportunities to even go into therapy. Because here again the language barrier exists and*  
409 *many psychologists or psychotherapists do not like to work with interpreters, but the*  
410 *language is not covered. Or just the problem again, who pays the interpreter? Where do*  
411 *you get this from?" I1*

412 It had often been noted that mostly only emergency care was provided in the clinics and that  
413 there was no long-term support, including language support. Due to the lack of care and  
414 support in the outpatient and inpatient sector, the refugees repeatedly found themselves in  
415 emergency care and suffered an aggravation or chronicity of their disease.

416 *"Yes, there are so many revolving doors patients, no. Who are always psychiatry in, out, in,*  
417 *out." I10*

418

419 The analysis of the database revealed the following: 14.7% of all cases were treated for ICD 10  
420 group F “psychological and behavioural disorders”, the second largest group after ICD Group  
421 O “Pregnancy, childbirth and puerperium”. In 2018 37 cases (10%) were linked to the Dep.RM,  
422 in 2019 there were 15 cases (11%). The patients had an average of 2 diagnoses ( $\pm 0.9$ ). The  
423 difficulty in care was rated 0.8 ( $\pm 0.4$ ) in average.

424

#### 425 **Improper housing situations for persons with mental health issues**

426 Furthermore, a large part of the respondents noted that the housing situation of mentally ill  
427 refugees was inadequate.

428 *"I feel like I've been in a room for two years, like a prison, like a solitary cell." I20*

429 Destabilization caused by a restless and noisy environment without privacy was seen as  
430 problematic for refugees with acute mental illnesses. This delayed an improvement in mental  
431 health and led to prolonged needs of therapeutic services.

432 *"Or somehow a lot of noise. Around. That simply a lot of people live together under one*  
433 *roof. These ones often have sleep disorders anyway and cannot calm down, not even during*  
434 *the day. Because there is no room for privacy. (...) So that there are drastic break-ins again*  
435 *(...) that has an incredible impact on health. "I16*

436 Furthermore, the accommodation of refugees with chronic psychiatric illnesses was discussed.  
437 This means refugees whose disorder did not necessarily arise due to the situation at home or  
438 while fleeing, but rather affected their personality. The shared accommodation of mentally ill  
439 people with other refugees would make the living situation more difficult for everyone

440 involved. Assisted living with care would be an added value, but integration was mostly not  
441 possible in the existing system before.

442 *“That is also the biggest shortcoming, (...) Because there is no special form of*  
443 *accommodation at all. (...) But there are also really blatant ... with psychiatric disorders.*  
444 *They drive all other residents crazy, too.” I12*

445 The most frequent reason for contact were inquiries for change of residence with 40%,  
446 followed by 25% without information and 21% for a connection to the healthcare system as  
447 well as advice and support. These numbers confirmed the statements of the interview  
448 partners that the biggest issues are mental health problems as well as the housing situation  
449 and the link to specialists.

450

#### 451 **No funding of withdrawal therapy for addictive disorders**

452 As secondary issue related of mental health, the subject of addictive disorders was discussed  
453 divergently by half of the participants.

454 *“I have not been able to find care gaps within addicts. They could be well connected*  
455 *everywhere.” I3*

456 This usually included the connection to a substitution clinic with methadone therapy.  
457 However, it was more commonly argued that there was still the need for withdrawal  
458 psychotherapy to reduce relapses. The approval of addiction treatment therapies depended  
459 on residency status and was a barrier in care as most were not approved. Close care of the  
460 patients was considered necessary for recovery.

461 *"They go into detoxification for a week, come back and quickly relapsed. (...) After the detox,*  
462 *they come to us after a week. And they would have to go through several weeks of*  
463 *withdrawal for it to really makes sense. Unfortunately, that will not be funded." I7*

464 As a consequence, relapses occur, which caused higher costs as a combined therapy of  
465 methadone and psychotherapy according to the respondents.

466 *"If you say "pay attention, then we'll do a full-time therapy ", directly detoxification plus for*  
467 *example three months of withdrawal. (...) Then that would be much cheaper than if he has*  
468 *been picked up by the ambulance, then by the police, then again by the ambulance, then*  
469 *emergency briefing, then by PsychKG<sup>5</sup>. In the end (...) thousands of euros were spent. But no,*  
470 *we'll stick to it. These follow-up therapies are not being adopted." I16*

471 Health assurance companies only costs assumed for treatment if the person had a permanent  
472 right of residence. Two respondents noted that cold withdrawal in jail was considered as an  
473 option for addicts to avoid access to drugs for a prolonged period and relapses.

474 *"The residents who consume drugs and are dependent also realize that after the*  
475 *detoxification nothing will move forward. And that then they can quickly relapse back ... They*  
476 *know that, too. So that they are considering the possibility of going to jail." I7*

477 For the most part, the problem of caring for people with an addictive disorder included  
478 granting psychotherapy; the difficult access to psychotherapeutic care wasn't called as a  
479 barrier.

480 Addiction was noted in 6 of 353 patients, which corresponded to a percentage of 1.7 %. Only  
481 2 patients were connected to the Dep.RM due to their addiction, in the other cases addiction

---

<sup>5</sup> Law for protective measures and help with mental illnesses

482 was a secondary diagnosis. On average these patients had 2,67 diagnoses ( $\pm 0.52$ ) and the  
483 difficulty in care was rated 0.8 ( $\pm 0.4$ ). None of the patients were linked to a mental health or  
484 addiction specialist due to addiction.

485 Here, a difference between the needs in qualitative data and the quantitative results could be  
486 seen.

487

#### 488 **No proper housing situations for elderly people**

489 Half of the respondents also mentioned the housing situation as a problem in health care. In  
490 addition to the structural situation of the accommodations, which were described as  
491 “ramshackle” (I18) and “old” (I12), the respondents emphasized the accommodation of those  
492 in particular need of protection. This relates not only the mentally ill but also the elderly, for  
493 whom there was no separate accommodation with additional care provision. It was cited by  
494 persons who worked in accommodations that the care of elderly refugees and migrants in  
495 need was a hurdle. At the time of the survey, there was a difficulty in accommodating refugees  
496 in need of care in retirement homes. Up to this point in time there was no separate  
497 accommodation option, so the question of a long-term solution arose.

498 *“For those in need of care in a retirement home. It's actually very, very, very difficult to get a*  
499 *place for them.” I12*

500 There were no indicators for movement to a retirement home in the quantitative data. In the  
501 age cohorts over 50 years, 6 cases (1.7%) related to a change in living situations were found.  
502 These persons had an average diagnosis number of 2.3 ( $\pm 0.8$ ). The average difficulty of their  
503 care was 0.5 ( $\pm 0.5$ ). On the basis of the patient database no statement was possible about the  
504 extent and the sustainability of the care as well as the accommodation in assisted living.

505

506

## 507 Discussion

508 The mixed methods analysis showed a number of modifiable aspects that impair adequate  
509 health care provision for the vulnerable group of refugees. Some of which have been clearly  
510 highlighted by interviewees directly involved in the support services for refugees.

511 The challenge of issuing medical treatment certificates concerned almost only emergency  
512 accommodations with newly arriving refugees. This matter had since been discussed and  
513 resolved within Cologne: The Social Welfare Department now issues non-personal, blank  
514 certificates, which can be used by the accommodations in order to close this care gap. In  
515 emergencies or at the discretion of staff following necessary medical examinations, the blank  
516 certificates could be issued to residents in order to provide health care through the regular  
517 system.

518 In order to get a more precise picture of the problem of cost approval for treatment and  
519 medical aids, the executing persons in the respective offices should be interviewed. Further  
520 surveys on this topic would have to be carried out with the responsible persons in the  
521 authority.

522 The interpersonal relationships mentioned in the qualitative survey as the basis for the  
523 cooperation should be viewed critically. It could not be ascertained in the interviews whether  
524 there was a contractually based cooperation between the different parties. Cooperation with  
525 the actors of the City of Cologne should be strengthened through improved communication  
526 so that the supply can be carried out more smoothly. In addition to changes to inner-city

527 regulations on care this also applies to changes in accommodation, especially in the case of  
528 medical indications.

529 The accommodation of refugees with mental illnesses in shared housing was mostly presented  
530 as inappropriate due to noise and lack of privacy [32], but this type of placement prevented  
531 other consequences like isolation. Nurses and social workers worked in the emergency  
532 accommodations to take care of residents' concerns and were able to deal with exceptional  
533 situations. But qualified staff for diagnosing or treating [33] mental illness represented a gap,  
534 which had also been criticized [34, p. 32].

535 Linkage to psycho therapists had also been seen as necessary and as has finding a solution for  
536 financing language interpreters [35]. Compared to the average waiting period of 23.1 weeks  
537 [36] (= 5.7 months) for German citizens, the waiting periods with 12 to 24 months for refugees  
538 in Cologne were at least twice as long.

539 The gap of financing and working with interpreters could also be found in other areas.  
540 However, due to the existing study situation [37;38;39], this will not be discussed further.  
541 Offers of temporary solutions were available from multiple providers. These offers had to be  
542 brought closer to refugees to bridge the time until therapy places were available [40].

543 The separation of psychiatric patients in a separate housing situation would eliminate the  
544 negative impact on refugees without psychiatric disorders. With the need for 24/7 care, it is  
545 questionable who would finance and operate this accommodation as well as whether such an  
546 accommodation separation would mean an improvement in the health of the patients.

547 It's possible that addictive disorders didn't show up a lot in quantitative data because the  
548 linkage to the methadone clinic was easy and no other possibilities for treatment were  
549 available. This might have caused the small number of patients with addictive disorders within

550 the database. Addictive disorders could arise from different reasons, for example mental  
551 health issues or medical treatment for physical illness. Further research about the need and  
552 the local situation would have to be carried out. In neighbouring countries like Austria or  
553 Switzerland refugees have full access to health care [9], which includes care for addictive  
554 disorders.

555

### 556 *Limitations*

557 We are aware and acknowledge that the analysis has its limitations. The database showed  
558 only a limited view on the linkage, care and support of refugees due to the introduction in  
559 March 2019 and further expansion during 2019. So, some characteristics like the “count of  
560 contacts” couldn’t be evaluated for 2018.

561 The scope of the database is limited as well: other departments in the Public Health  
562 Department were taking care of special diseases like tuberculosis as well as less serious  
563 illnesses were linked to the health care system by the accommodation staff themselves.  
564 Thereby these cases would never be covered and be part of this patient database.  
565 Furthermore, bias of selection could be found within the database due to the access path of  
566 refugees into the Public Health Department. Nevertheless, not only one type of patient group  
567 could have been examined like it was often the case in other studies [41]. Through the  
568 different types of linkages to the Dep.RM like accommodation staff or persons from the health  
569 care sector a broad range of clinical pictures and age groups of refugees was included.

570 In qualitative research, the participants were disclosed to the cooperation of the authors with  
571 the Public Health Department, what might have caused social desirability bias. The statements  
572 could also be biased through the recommendation of the superiors to participate. The sample

573 was focused more on persons working with refugees than on refugees due to their increased  
574 experience in challenges in health care. Therefore, the perspective of refugees is not strongly  
575 represented.

576

## 577 Conclusion

578 The aim of the study was to provide a broad overview of gaps and problems in the care of  
579 refugees in Cologne: approvals of health services, communication and cooperation, lack in  
580 care for mental health issues and improper housing situations. The most significant aspects of  
581 the interview partners' statements have been compiled here. The cross-check with the  
582 database of the Dep.RM showed which gaps were known and covered, like issuing medical  
583 treatment certificates.

584 As a recommendation a contact point for health care related topics could be installed within  
585 the municipality. This facility should network with all departments within the municipality and  
586 be able to make health care related decisions on the basis of specialist knowledge. The  
587 installation of a case manager for refugees is intended to optimize care and accelerate  
588 decisions.

589 Decisions about new regulations, issuing legal papers and other information must be clearly  
590 communicated by the city. This would have simplified processes and created clarity for every  
591 actor involved.

592 There needs to be more focus on mental health as key issue in health care. Due to the lack of  
593 therapists, intermediate offers should be communicated to the patients and new ones should  
594 be implemented if necessary.

595

596

597 [Declarations](#)598 **Ethics approval and consent to participate**

599 The study protocol was approved by the ethics committee of the faculty of medicine of  
600 Heidelberg University (S-351/2019) and complied with the declaration of Helsinki. For the  
601 participation of persons working in the care of refugees the permission of the respective  
602 superiors was asked. All data was pseudonymised. All participants received information about  
603 the study in advance. It is ensured that the data is safe from third party access.

604

605 **Consent for publication**

606 Not applicable.

607 **Availability of data and materials**

608 The datasets used and/or analysed during the current study are available from the  
609 corresponding author on reasonable request.

610 **Competing interests**

611 The authors declare that they have no competing interests

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### 617 **Authors' contributions**

618 Angelika Warmbein: conception/ design of the study, data collection, data analysis, writing  
619 the manuscript. This study was carried out as master thesis at the University of Heidelberg.

620 Claudia Beiersmann: Contributing to developing data collection tools, contributing to writing  
621 the manuscript, supervision

622 Jaqueline Demir: data collection (including specific contributions to developing data  
623 collection tools), data analysis, contributing to writing the manuscript

624 Andrea Eulgem: data collection (including specific contributions to developing data  
625 collection tools), data analysis, contributing to writing the manuscript

626

627 Florian Neuhann: conception / design of the study contributing to writing the manuscript,  
628 supervision

629

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635

636

637 Authors' information (optional)

638

639 This study was carried out as a master thesis at Heidelberg University.

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# Figures

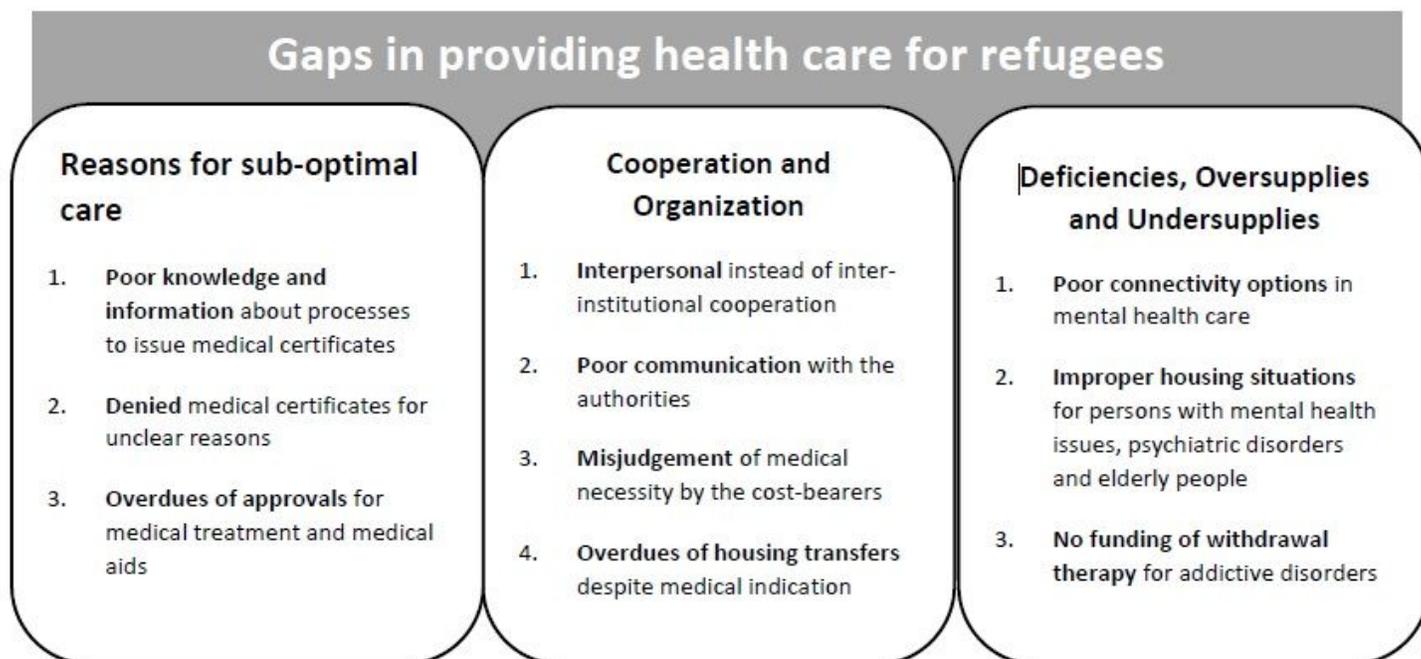
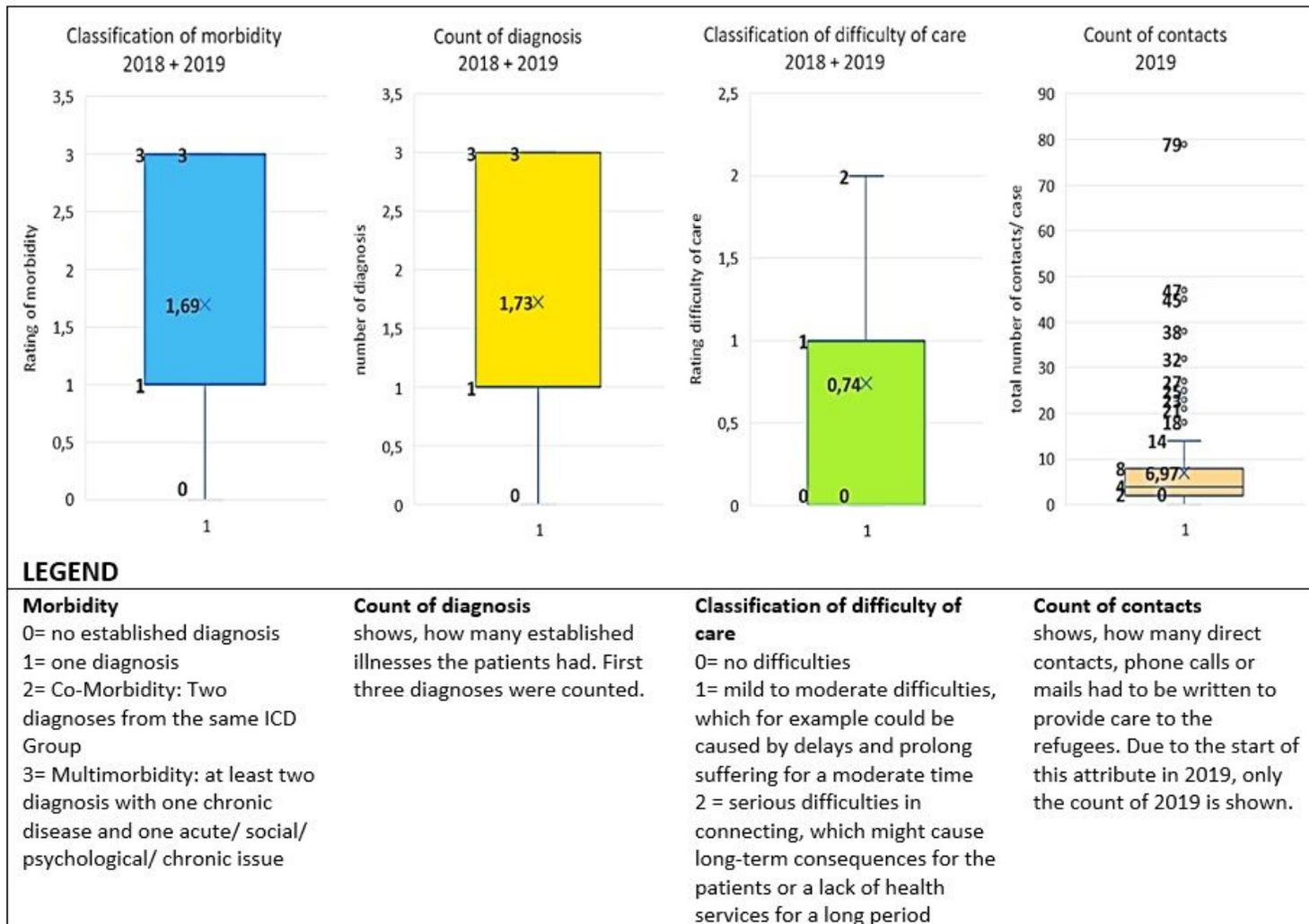


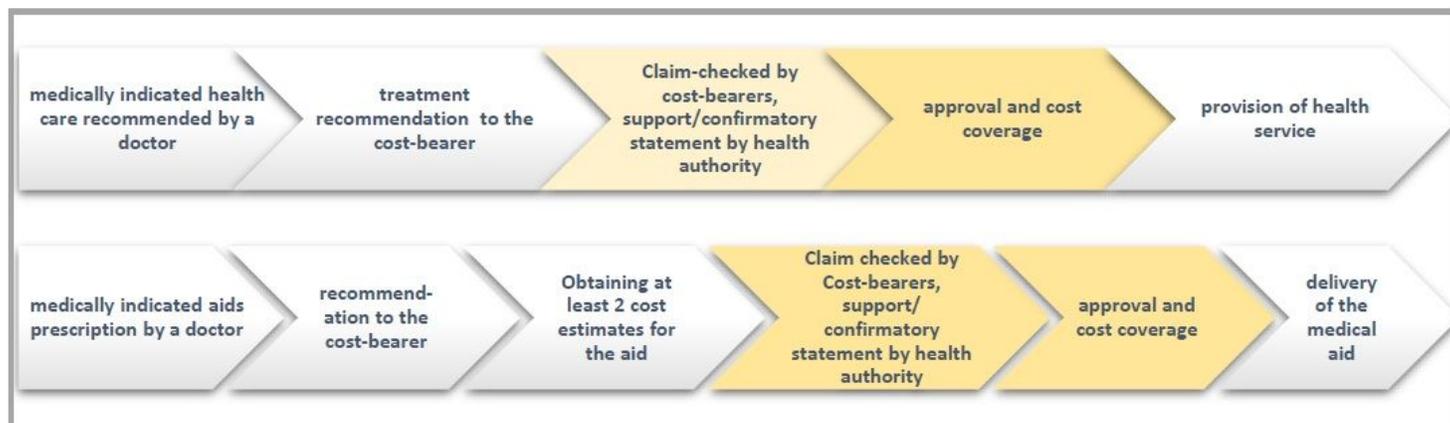
Figure 1

Coding paradigm of the study: themes and subthemes



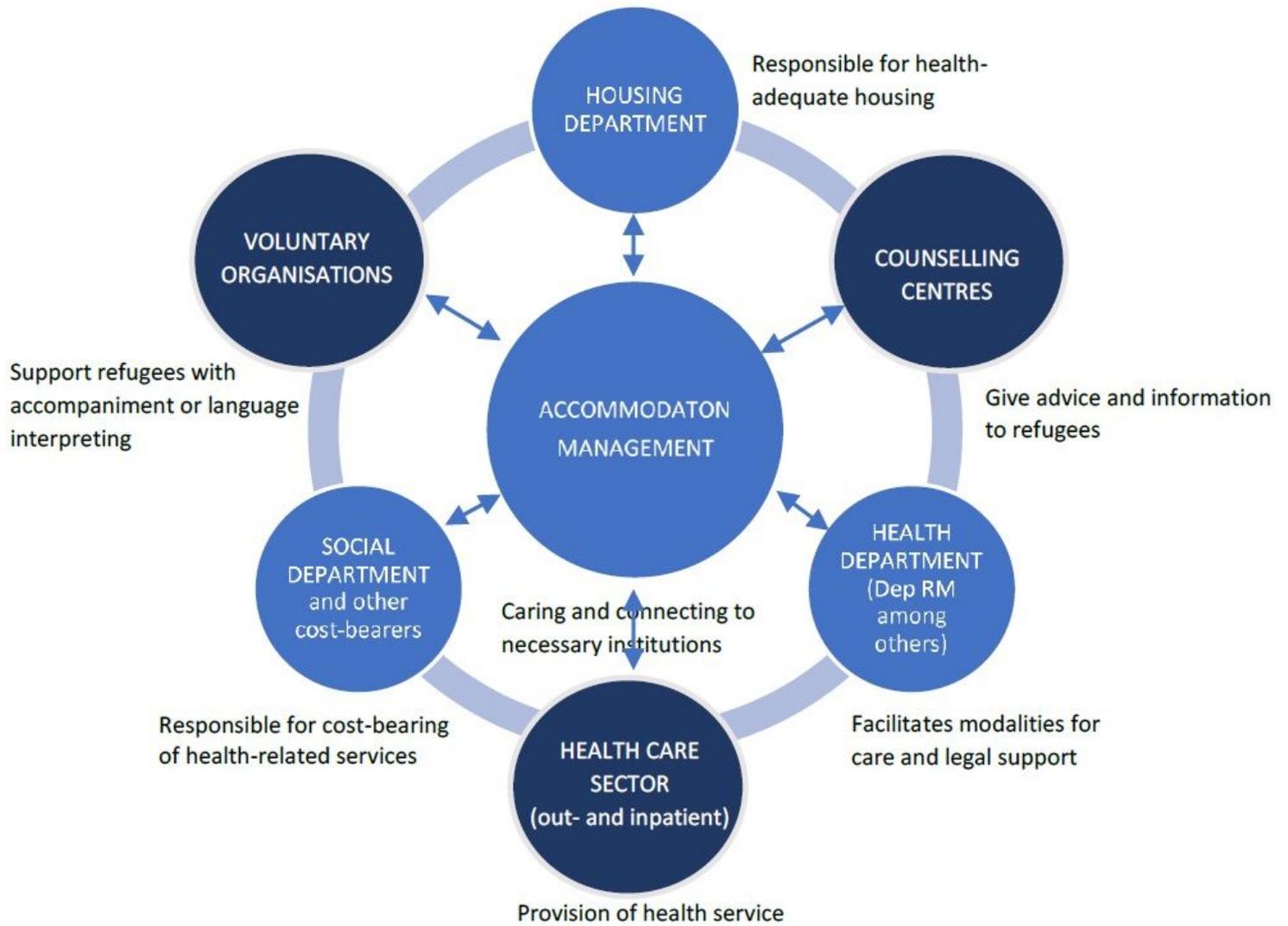
**Figure 2**

Overview of the classification of morbidity, difficulty in care, number of diagnoses and the count of contacts for 2018 and 2019



**Figure 3**

Procedures of approvals for health care and medical aids. The challenging steps are color-coded.



**Figure 4**

Actor landscape