

Factors influencing the improvement in external contracture following anterolateral total hip arthroplasty: A prospective study

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- 1 Title
- 2 Factors influencing the improvement in external contracture following anterolateral total hip arthroplasty:
- 3 A prospective study
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23 Author Contributions

24 TO, TK, and HT were responsible for the conception and design of the study. TO, TK, YK, DI, YY(Yuki),

25 AT, TK, SK, YY(Yu) and TY were involved in data acquisition. TO, YS and TK were responsible for data

26 analysis. TO, TK, YK(Yuki), DI, YY, AT, TK, SK, YY(Yu), TY and HT were involved in data interpretation.

27 TO developed the first draft of the manuscript. All authors contributed to the interpretation of the results

28 and critical revision of the manuscript for important intellectual content. All authors have read and approved

29 the final manuscript.

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37 Abstract

38 Background

39 External contracture of the hip joint occurs with the progression of hip osteoarthritis. It can be easily
40 imagined that improvement of the external contracture can be expected with the posterior approach as it
41 dissociates the short external rotator muscle group and the posterior tissue. However, improvement of
42 external contracture with the anterior approach, which does not dissociate the posterior tissue, is unclear.
43 In this study, we examined the degree of external contracture improvement after anterolateral approach
44 THA, its influencing factors, and the effect on lower limb function.

45 Methods

46 We prospectively examined 73 patients who underwent THA using an anterolateral approach for hip
47 osteoarthritis of Crowe type 1 and 2. Lower limb rotation, leg lengthening, and various offsets in the natural
48 position were measured using three-dimensional templating software based on computed tomography
49 before and two weeks after surgery; factors related to lower limb rotation were investigated by multiple
50 regression analysis. A functional evaluation, which are gait speed, knee extensor strength, functional reach
51 test, and the Japanese Orthopaedic Association hip score, was performed one year after surgery.

52 Results

53 All functional outcomes significantly improved after THA. As for the setting of implants, the acetabular
54 offset became shorter with the medialization of the cup as planned; the stem side could be compensated
55 accordingly, and the global offset could be almost reproduced. The stem was inserted almost along the
56 original anteversion. There were no postoperative dislocation cases. External contracture was improved by
57 $4.7^\circ \pm 8.3^\circ$ after anterolateral THA. Age and preoperative femoral external contracture were significant
58 factors for the amount of improvement in femoral external contracture (adjusted R2: 0.492) and for the
59 postoperative remaining external contracture (adjusted R2: 0.577), but they were not significantly
60 correlated with lower limb function and the Japanese Orthopaedic Association hip score 1 year after surgery

61 ($p > 0.05$).

62 Conclusion

63 Even anterolateral approach THA, which does not dissociate the posterior tissue, improved postoperative
64 external contracture of the hip joint by about five degrees, and the stronger the preoperative external
65 contracture, the greater the improvement. However, there was no significant correlation with lower limb
66 function one year after surgery.

67

68 Keywords: total hip arthroplasty, external contracture, anterolateral approach, hip osteoarthritis

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85 Introduction

86 Progression of osteoarthritis of the hip joint contributes to pain in the hip joint, shortening of the leg length,
87 and progression of external contracture(1–4). Total hip arthroplasty (THA) is the most common treatment
88 for hip osteoarthritis, and it is a well-known fact that improvement of hip joint function can be expected
89 after the procedure. There are many reports demonstrating good outcomes in terms of pain relief and leg
90 length correction following THA(5). On the other hand, there are few reports evaluating the degree of
91 external contracture improvement after THA. Remaining external contracture leads to gait problems and
92 decreased range of motion and may therefore lead to postoperative dissatisfaction(3,6). The degree of
93 external contracture improvement may differ depending on the approach used to perform THA (anterior or
94 posterior) because the posterior approach dissociates posterior tissues (including the short external rotator
95 muscle group), while the anterior approach does not. There are some reports describing the femur rotating
96 inward about 10 degrees(7,8). On the other hand, few reports have examined the rotational position of the
97 femur after anterior approach THA.

98 Until now, changes in the lower limb alignment before and after THA were mainly measured by Xp.
99 However, because of several position of the femur, an accurate evaluation of the amount of leg lengthening
100 and offset changes is not possible unless the relationship between the femur and the pelvis is kept constant.
101 Furthermore, there have been no studies on the effects of changes in lower limb rotation on postoperative
102 lower limb function. Therefore, in this study, we examined the degree of external contracture improvement
103 following anterolateral approach THA, and further examined the factors affecting it. In addition, effect on
104 lower limb function 1 year after surgery was also investigated.

105

106 Methods

107 Study design and statement of ethics

108 The protocol for this prospective study was approved by our institutional research ethics board, and all
109 patients provided informed consent.

110

111 Patient selection

112 Prospective patients were those who underwent primary THA at our hospital by the anterolateral approach
113 between December 2015 and November 2018, for the treatment of hip osteoarthritis. Patients with severe
114 hip deformity (Crowe types 3 and 4), those who underwent bilateral THA, as well as those with
115 osteonecrosis, prior history of hip surgery, flexion contracture of the knee ($10^{\circ}\leq$), knee pain from knee
116 osteoarthritis, lumbar spondylolisthesis of grade 2 or higher according to the Meyerding classification, or
117 lumbar compression fracture were excluded.

118 After screening, 73 hips were included in this study. (Figure 1)

119

120 Three-dimensional templating software

121 We obtained computed tomography (CT) images (LightSpeed VCT Series/Discovery CT750; GE
122 Healthcare, Tokyo, Japan) of all patients in a relaxed limb position before and two weeks after surgery.

123 3D models of the pelvis and femur were constructed by a CT-based three-dimensional (3D) templating
124 system (Zed Hip TM Lexi Co., Tokyo, Japan). Zed hip can be performed by virtual THA, and allows the
125 position of both femurs (varus/valgus, flexion/extension, internal rotation/external rotation) to be matched
126 to the pelvis. This software can be used to accurately measure leg length and several offset parameters(9–
127 12).

128

129 Preoperative planning and Operation

130 Preoperative planning was performed using Zed hip.

131 In principle, the cup height was set so that the center of the contralateral femoral head center was reproduced,

132 and the cup was medialized to contact the outer plate of the tear drop. Then, the femoral offset was increased
133 by the amount of medialization of the cup, and the global offset was adjusted to the contralateral side. The
134 leg length was planned to be matched that of the contralateral side. All operations were performed using
135 the anterolateral approach. Only the anterior joint capsule was dissected; the posterior joint capsule and
136 posterior muscle tendon were preserved. CT-based navigation was used, and the cups and stem were set to
137 reproduce the preoperative planning.

138

139 Three-dimensional coordinate plane

140 We defined the pelvic coordinate system relative to the functional pelvic plane (FPP)(13). Each axis is
141 shown in Figure 2a.

142 The femoral coordinate system was defined relative to the posterior condylar plane of the femur(14). Each
143 axis is shown in Figure 2b.

144

145 Neutral position

146 Based on the above reference coordinate system, the Y-axis of the pelvic coordinate system and the Y-axis
147 of the femur were made parallel. The rotation was such that the X-axis of the pelvic coordinate system and
148 the X-axis of the femoral coordinate system were made parallel. This position was defined as the neutral
149 position. (Figure 2c)

150

151 Three-dimensional evaluation

152 Leg length

153 The length projected distance from the anterior superior iliac spine (ASIS) to the knee center at the neutral
154 position was defined as the leg length. (Figure 3a) The amount of leg lengthening of the operated side was
155 calculated from the difference in leg length before and after surgery.

156

157 Offset

158 At the neutral position, the acetabular offset was defined as the length of the projected line on the XY plane
159 of the FPP. The projected line was obtained based on the line between the pubic symphysis and the cup
160 center. The femoral offset was defined as the length of the projected line on the XY plane of the FPP. The
161 projected line was obtained based on the line between the cup center and the femoral bone axis. Global
162 offset was defined as the sum of acetabular offset and femoral offset. (Figure 3b)

163

164 The angles shown below were measured. The direction of external rotation was set to a positive value.

165

166 Femoral anteversion

167 Preoperative femoral anteversion was measured according to the report by Sugano et al(15). Postoperative
168 femoral anteversion was measured as the angle between the posterior condyle line (PCL) and the line
169 including the head center and the neck center. (Figure 3c)

170

171 Rotational position of femur

172 The inclination of the femur with respect to the pelvis in the natural supine position was defined as the
173 degree of external contracture. According to the method of Uemura et al., the angle between the line
174 connecting both ASIS and the line connecting the PCL on the XZ plane of the FPP coordinate system was
175 defined as the femoral rotational angle (FRA), and the change in FRA before and after surgery was the
176 degree of improvement in external contracture(16). (Figure 3c) The direction in which the femur is
177 externally rotated with respect to the pelvis is defined as positive value.

178

179

180 Clinical Outcome measurements

181 The gait speed (comfortable and maximum), knee extensor strength (operated side), functional reach test
182 (FRT), and the Japanese Orthopaedic Association (JOA) hip score were measured before and one year after
183 THA. The JOA hip score, which has a maximum score of 100 points, is an observer-descriptive disease-
184 specific health outcome and consists of four parts: pain, range of motion, gait ability, and activities of daily
185 life.

186

187 Physical function tests

188 The 10-m gait time was the time that a participant needed to walk 10 m with a maximum and comfortable
189 speed after walking 2 m, and then, the gait speed (m/s) was calculated.

190 Knee extensor strength was measured for the operated side leg using a hand-held dynamometer (μ Tas F-1;
191 ANIMA Corp., Tokyo, Japan).

192 The FRT was measured by moving the upper limb as far forward as possible in a natural standing
193 position(17).

194

195 Statistical analysis

196 EZR (Saitama Medical Center, Jichi Medical University, Saitama, Japan), which is a graphical user
197 interface for R (The R Foundation for Statistical Computing, Vienna, Austria) was used in this study(18).

198 Continuous variables for normality are expressed as the mean and standard deviation, and continuous
199 variables for non-normality are expressed as the median value with interquartile range (IQR). The
200 comparison of two pairs of groups was performed by paired t-test and Wilcoxon signed rank sum test.

201 Univariate regression analyses were performed to find a good correlation. After choosing a factor $P < 0.20$
202 in univariate analysis, multiple linear regression analysis by a forced entry method was used to search for
203 meaningful factors.

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205 commercial, or not-for-profit sectors.

206

207 Results

208 Table 1 shows the results of various 3-dimensional parameters and functional outcomes before and after
209 surgery. All functional outcomes significantly improved after THA. As for the setting of implants, the
210 acetabular offset became shorter with the medialization of the cup as planned; the stem side could be
211 compensated accordingly, and the global offset could be almost reproduced. The stem was inserted almost
212 along the original anteversion, but it was about 3° smaller before and after surgery. There were no
213 postoperative dislocation cases during the study period.

214 FRA was significantly reduced from $-1.1^\circ \pm 10.7^\circ$ to $-5.8^\circ \pm 9.2^\circ$. Univariate analysis of the factors
215 affecting the change in FRA found that age, leg lengthening, leg lateralization, and preoperative FRA were
216 significant factors. While multiple regression analysis showed age and preoperative FRA to be significant
217 factors. (adjusted R^2 : 0.492; $p < 0.001$) (Table 2a) Univariate analysis of the factors affecting the
218 postoperative FRA revealed age, BMI, and preoperative FRA to be significant factors. As a result of
219 multiple regression analysis, age and preoperative FRA were significant factors. (adjusted R^2 : 0.577; p
220 < 0.001) (Table 2b)

221 Table 3 shows the correlation between changes in FRA, postoperative FRA, and various functional
222 outcomes one year after surgery. There was no significant correlation with any functional outcome.

223

224 Discussion

225 The most important findings in this study are that the external contracture of the hip joint improved even
226 with anterolateral approach THA, which does not dissociate the posterior tissue, and the degree of
227 improvement was greater when the preoperative external contracture degree was larger. On the other hand,

228 there was no significant correlation between the degree of improvement of external contracture and lower
229 limb function.

230 There are only three reports of hip rotational position after THA. As for the rotational position of the femur
231 in the early postoperative period (one to three weeks after the operation), Akiyama et al. reported 11°
232 internal rotation (5° internal rotation in the anterior approach, 13° internal rotation in the posterior approach),
233 while Uemura et al. reported 13.1° internal rotation, and Tezuka et al. reported 4.6° internal rotation(7,8,19).
234 However, in the study by Uemura et al., a mix of posterior and anterior approaches were employed, and in
235 the study by Tezuka et al., lateral and anterolateral approaches were mixed. Previous reports have verified
236 that the femur tends to rotate internally after THA. It can be easily imagined that improvement of the
237 external contracture can be expected with the posterior approach as it dissociates the short external rotator
238 muscle group and the posterior tissue. However, improvement of external contracture with the anterior
239 approach, which does not dissociate the posterior tissue, is unclear. In this study, even with the anterior
240 approach, the rotational limb position of the femur was internally rotated after THA, and an improvement
241 in external rotation contracture was observed.

242 It is well known that as hip OA progresses, osteophytes are formed medially and posteriorly to the
243 femur(20,21). Uemura et al. stated that this osteophyte formation is one of the causes of external rotational
244 contracture of the femur(22). In other words, the external rotational contracture of the lower limbs was
245 improved by removing the osteophytes around the femoral head without releasing the posterior soft tissue,
246 and the degree of improvement was approximately 5° on average. Other causes of external rotational
247 contracture include shortening of the joint capsule and surrounding muscles(23). Previous reports have
248 shown that the posterior approach improved external rotational contracture by 10° or more, suggesting an
249 increase in the amount of internal rotation of the femur due to the dissection of the posterior joint capsule
250 and short external rotation muscles. It is thought that the duration of OA affects the shortening of soft tissues,
251 but further studies are needed.

252 Although Akiyama et al. reported that sex, preoperative internal rotation (ROM), surgical approach,
253 underlying disease (OA), leg lengthening, and femoral anteversion are risk factors for femoral rotation,
254 Uemura et al. reported that leg lateralization was the only indicator(7,8,24). Thus, the factors of each past
255 reports were different. In addition, leg lengthening and leg lateralization did not correct the positional
256 relationship of the femur, so there was a possibility that the evaluation was not accurate. As a result of
257 correcting the positional relationship between the femur and the pelvis and measuring the postoperative
258 alignment three-dimensionally, the amount of rotation of the femur has no significant relationship with leg
259 lateralization, leg lengthening, or change in femoral anteversion. Age and preoperative FRA were the only
260 significant factors. A large preoperative FRA indicates that the preoperative external contracture is strong.
261 The stronger preoperative external contracture led to internal rotation of the femur after the operation.
262 Similarly, Tezuka et al. stated that preoperative FRA is an important factor for postoperative FRA(19).

263 Uemura et al. also showed a strong correlation ($r = 0.59$) between postoperative FRA and preoperative
264 FRA(22). In this study, the postoperative FRA is affected by age and preoperative FRA. Remaining
265 contracture has been shown to be an important factor for gait, but there have been no reports of changes in
266 femoral rotation after THA and the relationship between contracture and lower limb function, including
267 gait(25). We prospectively investigated the changes one year after the operation, but found no significant
268 correlation between gait speed, balance function, and knee extension muscle strength.

269 There are four limitations to this study. First, we only considered the anterolateral approach. It is necessary
270 to compare with the posterior approach. Second, we were not able to evaluate changes in FRA over time.
271 Regarding the long-term course of femoral rotation, Uemura et al. rotated 3.4° externally (13.1° internal
272 rotation immediately after surgery) two years after surgery, and Akiyama et al. reported 1.5° - 2.5° externally
273 (12.5° internal rotation immediately after surgery) two years after surgery(8,24). In other words, the amount
274 of change in the internal rotation of the femur after surgery is decreased by approximately 20% two years
275 after surgery. Third, the long-term (greater than one year) effect of FRA on lower limb function was not

276 evaluated. However, there was no effect on gait or muscle strength at one year after the operation, but
277 changes in functional limb position may lead to changes in muscle balance. Long-term studies are also
278 required, including changes in muscle mass. Fourth, we were unable to examine changes in contracture
279 during standing or gait. When standing, the gluteus medius muscle acts to apply force in the internal rotation
280 direction of the femur(26,27). As a result, it is said that the FRA becomes even smaller when standing
281 up(16).

282 Despite the above limitations, this report is the first report which indicate the degree of improvement in
283 external contracture of the femur with anterolateral THA alone, its influencing factors, and the relationship
284 with postoperative functional prognosis.

285

286 Conclusion

287 External contracture improved by approximately five degrees after THA via the anterolateral approach. The
288 stronger the preoperative external rotation contracture, the greater the improvement expected after the
289 operation. On the other hand, there was no effect on gait speed, lower limb muscle strength, and balance
290 function one year after surgery.

291

292

293 Declarations

294 Consent for publication

295 Not applicable

296

297 Competing interests

298 The authors declare that they have no competing interests.

299 Authors' contributions

300 TO, TK, and HT were responsible for the conception and design of the study. TO, TK, YK, DI, YY, AT, TK,
301 SK and TY were involved in data acquisition. TO, YS and TK were responsible for data analysis. TO, TK,
302 YK, DI, YY, AT, TK, SK, TY and HT were involved in data interpretation. TO developed the first draft of
303 the manuscript. All authors contributed to the interpretation of the results and critical revision of the
304 manuscript for important intellectual content. All authors have read and approved the final manuscript.

305

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308 for-profit sectors.

309

310 Availability of data and materials

311 All the data used and/or analyzed during this study are available upon reasonable request from the
312 corresponding author.

313

314 Ethics approval and consent to participate

315 This study was approved by the Kanazawa University Medical Ethics Review Committee (approval No.
316 1947-2, 1751) and conducted in accordance with the Declaration of Helsinki. Informed consent was
317 obtained in writing from all the individual participants included in the study.

318

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321

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323 Reference

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400 Figure legends

401 Figure 1

402 Flow chart of patient selection and characteristic of patients

403

404 Figure 2

405 a: Definition of FPP and each axis

406 b: Definition of posterior condylar plane and each axis

407 c: Neutral position of the femur

408

409 Figure 3

410 a: Definition of leg length

411 A: leg length

412 b: Definition of several offset

413 B: acetabular offset

414 C: femoral offset

415 B + C: global offset

416 c: Definition of femoral anteversion and femoral rotational angle

417 D: femoral anteversion

418 E: femoral rotational angle

419

Figures

Figure 1

Flow chart of patient selection and characteristic of patients

Figure 2

a: Definition of FPP and each axis

b: Definition of posterior condylar plane and each axis

c: Neutral position of the femur

Figure 3

a: Definition of leg length

A: leg length

b: Definition of several offset

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C: femoral offset

B + C: global offset

c: Definition of femoral anteversion and femoral rotational angle

D: femoral anteversion

E: femoral rotational angle

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