

1 The Deep Roots of Geology: Tectonic History of Australia

2 Preserved as Mantle Anisotropy

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6 Australia is an old stable continent with a rich geological history. Limitations in sub-surface
7 imaging below the Moho, however, mean that is unclear to what extent, and to what depth, this
8 rich geological history is expressed in the mantle. Scattering of surface waves at ~150km depth
9 by lateral gradients or boundaries in seismic anisotropy, termed Quasi-Love waves, offer
10 potential new insights. The first such analysis for Australia and Zealandia shown here detects
11 over 300 new scatterers that display striking geographical patterns. Around two-thirds of the
12 scatterers are coincident with either the continental margins, or major crustal boundaries within
13 Australia, suggesting deep mantle roots to such features. Within the continental interior such
14 lateral anisotropic gradients imply pervasive fossilized lithospheric anisotropy, on a scale that
15 mirrors the crustal geology at the surface, and a strong lithosphere that preserves this signal over
16 billions of years. Along the continental margins, lateral anisotropic gradients may indicate either
17 the edge of the thick continental lithosphere, or small-scale dynamic processes in the
18 asthenosphere, such as edge-drive convection, tied to the transition from oceanic to continental
19 crust/lithosphere.

20 The Australian continent preserves a vast tectonic history, stretching from the Archean to
21 the present day. By the Neoproterozoic, supercontinent cycles had assembled the Australian
22 cratons, while later continental accretion added the eastern orogens in the Phanerozoic. Since the
23 break-up of eastern Gondwana, and the formation of its rifted passive margins, the Australian
24 continent has moved northwards colliding with SE Asia. Today, Australia is the fastest moving
25 continent on Earth, exerting significant shear on the underlying mantle asthenosphere. Such
26 deformation is thought to cause a lattice preferred orientation (LPO) in olivine generating strong
27 azimuthal anisotropy aligned with plate motion ^{1,2}. Intriguingly, this strong azimuthal anisotropy
28 is not detected by shear-wave splitting studies, with weak and complex splitting generally
29 observed across Australia ³⁻⁵. Instead a contrasting contribution from fossilized anisotropy in the
30 lithosphere has been proposed, frozen-in by past deformational events ^{4,6}.

31 Observations of scattered surface waves, termed Quasi-Love (QL) waves ⁷, can be used
32 to pinpoint the location of lateral gradients in seismic anisotropy in the upper mantle (Fig. 1),
33 such as those that may exist along present (and/or past) plate boundaries. When a Love wave
34 encounters such a boundary, a portion of its energy is scattered from Love into Rayleigh wave
35 motion, generating a QL wave (Fig. 1). The QL wave retains a similar waveform shape as the
36 fundamental Love wave (G1), hence ‘Quasi-Love’, but travels with the slower velocity of the
37 fundamental Rayleigh wave (R1). This principle can be exploited to determine the distance (δx)
38 the QL wave has travelled and thus pinpoint the location of the anisotropic gradient. Previously
39 QL waves have been detected across a wide range of tectonic settings from continental collision
40 ^{8,9}, seafloor spreading ¹⁰, subduction ^{7,11-13}, and hotspot volcanism ^{14,15}. Most recently QL waves
41 have been recorded along the continental margin of eastern North America ¹⁶, implying localized
42 dynamic processes and a disruption of the underlying mantle flow-field at the margin, potentially

43 induced by the transition from continental to oceanic lithosphere. As of yet, however, there have
44 been no such studies targeting the rich history of the Australian continent and its extensive
45 passive margins.

46

47 **Results**

48 Using seismic stations from the Australian National Seismograph Network, and shallow
49 earthquakes at 70°-180° epicentral distance, QL waves were detected on 23% of the 2364
50 available event-station pairs (Fig. 2, Supplementary Data Table S1) with 303 QL scatterers
51 falling within the study area, as shown in Fig. 3. The QL waves detected are of varying
52 amplitude (represented by their symbol size) which is a function of the strength of the
53 anisotropic gradient as well as the geometry of the anisotropy relative to the angle of the raypath.
54 The back-projected scatterers are widely distributed, comprising one of the largest and most
55 geographically diverse QL datasets produced to date, as well as the first results within
56 Australasia. While the QL scatterers are widespread and numerous (the reliability of any single
57 measurement should be treated with caution), when considered collectively, they display a
58 striking correspondence with many tectonic and crustal features at the Earth's surface (Fig. 3 and
59 Figs. S4-7). Most noteworthy are continental margins (blue circles; Fig. 3a-b) with almost one-
60 third of scatterers (96; 32%) falling within 1° of the continental margin, i.e. the boundary
61 between continental and oceanic crust ¹⁷. The pattern is particularly remarkable around the
62 Tasman Sea, along the former rift boundary between Australia and Zealandia (Fig. 3b). The
63 scatterers appear to closely follow curvatures in this boundary and even coincide with micro-
64 continents such as the East Tasman Plateau (ETP) and Gilbert Seamount Complex (GSC), which
65 were isolated from the mainland during the rifting process ¹⁸.

66 Intriguingly, a considerable number of scatterers also fall within the Australian
67 continental interior, and most coincide with major tectonic provinces (yellow circles; Fig. 3). In
68 total, 83 scatterers lie within 1° of a known major crustal boundary in Australia (dotted yellow
69 lines)¹⁹. These crustal boundaries, mapped from the accumulation of 30 years worth of deep
70 seismic, geological and geophysical data, reflect ancient plate boundaries where individual
71 crustal blocks have been assembled together forming the internal architecture of the continent.
72 Similarly, many of these features are associated with prominent gravity anomalies (Fig. 3c),
73 indicating that they represent major crustal structures. Scatterers can often be found on the edge
74 of these strong anomalies, such as the east-west trending Musgrave and Arunta blocks in central
75 Australia, and the north-south trending Darling Fault in Western Australia at the southwest
76 corner of the Yilgarn Craton. Within the submerged continental area of Zealandia a
77 correspondence with topographic variations at the surface can also be seen (Fig. 3a), particularly
78 near New Caledonia (NC) and along the New Caledonia Trough and Norfolk Ridge. This hints at
79 an association with the internal geological architecture of Zealandia similar to that within
80 Australia.

81 Numerous scatterers can also be found in the oceans, in particular in the middle of the
82 Tasman Sea (between Australia and Zealandia) where scatterers appear to be associated with the
83 Tasman spreading ridge, an extinct mid-ocean ridge where spreading ceased 52 Myr ago²⁰. As
84 well as ancient tectonic features, multiple scatterers can be found at present day plate boundaries
85 (white dashed lines and white circles; Fig. 3), such as the New Hebrides Trench (NHT).

86

87 **Discussion**

88 The results of this study reveal the widespread presence of lateral anisotropic gradients
89 capable of generating QL waves. The location of such gradients appear closely linked to tectonic
90 boundaries and major crustal features visible from the Earth's surface, which by inference, must
91 also extend to depth within the mantle. With a dominant frequency of 0.01Hz (100s) the QL
92 wave has peak sensitivity between 100-200km depth ^{8,12}, well below even the thickest
93 continental crust. For central and western Australia this depth range would sample the old thick
94 cratonic lithosphere (blue colors; Fig. 4b-c), while for eastern Australia the lithosphere is
95 younger (Phanerozoic) and much thinner, ~75km ²¹. Except in regions of thick continental
96 lithosphere, the QL scatterers should therefore correspond to lateral anisotropic gradients in the
97 asthenosphere, where olivine LPO is expected to develop through mantle flow ²².

98 A large number of scatterers in our dataset are associated with continental margins (Fig.
99 3a-b), similar to the findings of Servali et al., (2020) who detected pervasive scatterers along the
100 eastern North America passive margin. For western and southern Australia the margin is co-
101 located with the edge of the thick continental lithosphere (Fig. 4). QL scatterers along this
102 boundary may therefore simply reflect the transition from active flow in the asthenosphere to
103 anisotropy fossilized within the lithosphere. Alternatively a significant step in lithospheric
104 thickness may trigger edge-driven convection (EDC) at the margin ²³. Numerous scatterers along
105 the eastern margin of Australia, and the western margin of Zealandia, are however less easy to
106 explain given that there is little apparent variation in lithospheric thickness. This may instead
107 hint at a fundamental difference between how continental versus oceanic lithosphere couples to
108 the underlying asthenosphere, perhaps in terms of differences in viscosity ²⁴. Alternatively finer-
109 scale variations in lithospheric thickness along the margins may exist than those currently
110 resolved. Such 3D topography on the lithosphere-asthenosphere boundary may locally divert or

111 disrupt the mantle flow-field, such as localized EDC processes proposed at the Newer Volcanics
112 Province in SE Australia^{25,26}.

113 Another significant finding of this study is the presence of numerous QL scatterers
114 associated with major crustal boundaries within Australia (Fig. 3). Most of these scatterers are
115 located within thick Precambrian cratonic lithosphere (Fig. 4), implying scatterers originate due
116 to anisotropy frozen-in since the last deformational episode, and therefore that they record the
117 evolution of the continent. Furthermore this implies that geological boundaries are preserved
118 over billions of years not only in the crust but also as anisotropic boundaries at significant depth
119 (>100km) in the lithospheric mantle. If fossilized lithospheric anisotropy is pervasive, yet
120 heterogenous with strong lateral gradients, this lithospheric layer would add interference to the
121 SKS splitting accrued from the strong active flow in the underlying mantle, causing a loss of
122 coherency in the overall signal. This may explain the long-standing conundrum as to why SKS
123 splitting is perplexingly weak and complex across Australia³⁻⁵, despite fast Australian plate
124 motion, and strong azimuthal anisotropy imaged beneath the continent (Fig. 4c)^{1,2,6}. Further
125 studies to constrain lithospheric anisotropy may therefore help to decode the complex SKS
126 splitting, as well as provide further insights on the past deformational history of continent.

127

128 **Conclusion**

129 Observations of QL waves have revealed extensive lateral anisotropic gradients beneath the
130 Australian continent, preserving a rich and ancient tectonic history as fossilized lithospheric
131 anisotropy. Small-scale convective processes in the asthenosphere, such as EDC, likely also play
132 a role in generating scatterers, particularly along the continental margins of the Tasman Sea
133 where the lithosphere is younger and thinner. In the future QL scatterers may be used as a

134 predictive tool, helping to constrain major crustal structures at depth, such as those currently
135 hidden under cover ¹⁹, and in particular identifying those with deep mantle connections that may
136 suggest potential for mineralization ^{27,28}.

137

138 **Methods**

139 *Overview of data selection and processing*

140 Earthquakes from 1994-2020 of magnitude >6.5 , depth <100 km, and in the epicentral
141 distance range of 70° - 180° were selected to ensure large amplitude surface waves and enough
142 separation to distinguish QL from R1. All data are low-pass filtered at 100s (0.01Hz) to isolate
143 the fundamental Love and Rayleigh wave components and suppress overtones. At low
144 frequencies the surface waves are insensitive to shallow crustal structure, with peak sensitivity in
145 the mantle between 100-200km depth (refer to Fig. S1 of Levin et al. (2007), Fig. 9 of Chen and
146 Park (2013)). Following previous studies, the delay time (δt) between the QL and G1 is
147 calculated using the cross-correlation technique ^{8,10,16}. In the final step the delay time is
148 converted to distance (see Fig. 1b), and back-projected along the great-circle path to determine
149 the location of the scatterer. In this study a few modifications have been made to the cross-
150 correlation technique to improve detection and measurement quality. These are outlined in
151 further detail below along with a more detailed description of the methodology.

152

153 *Strategy for Detection and Measurement of Quasi-Love Waves*

154 Data for each event is requested from the IRIS Data Management Centre (ds.iris.edu/) for 5000
155 seconds following the origin time. After low-pass filtering at 0.01Hz, seismograms are rotated
156 into the vertical, radial, and transverse, and normalised by the maximum amplitude on each

157 component. The maximum on the transverse signifies the fundamental Love wave (G1), and on
158 the vertical the fundamental Rayleigh wave (R1), indicated by the vertical dashed green and
159 dashed blue lines in Fig. S1. Initial quality control restricts events to those with a signal-to-noise
160 ratio (SNR) of the Love wave greater than 5, where the ‘noise’ amplitude is determined by the
161 first 300 seconds of the seismogram. A cut-off of 5 was determined empirically from the data. In
162 general large amplitude Love waves are a necessary pre-requirement to generating observable
163 QL waves, given that gradients of 3-5% anisotropy will produce QL amplitudes of 5-10% of the
164 Love wave⁹.

165

166 Most previous studies only measure QL waves on the vertical component even though the
167 particle motion is elliptical and distributed across both the vertical and radial components (Fig.
168 S1b-c). Same as for R1, for QL, the vertical and radial components are 90° out of phase. The
169 first derivative of the radial component (dashed red line Fig. S1d) matches the vertical
170 component. This is a useful diagnostic feature. Any seismograms that do not display this
171 characteristic during the visual inspection process are discarded, and the QL wave must be
172 clearly visible on both components, not just the vertical. Furthermore, stacking of the vertical
173 component with the derivative of the radial component amplifies the QL (and R1) while
174 dampening the noise (magenta line Fig. S1e). This improves both the visibility and confidence of
175 the QL detection.

176

177 The next step is to cross-correlate this QL stack with G1 on the transverse component. Fig. S1f
178 shows the absolute amplitude of the cross-correlation (thin black line) as a function of lag time
179 relative to the position of G1. Peaks of this cross-correlation function (i.e. maxima, where the

180 derivative equals zero) are identified by blue crosses and connected by a new blue curve. Peaks
181 in this new curve are then selected and highlighted by blue circles. Typically the largest peak is
182 associated with the correlation between G1 and R1 (as seen in Fig. S1f). The QL peak, if present,
183 is a secondary peak located before the highest R1 peak but after the G1 arrival (indicated by
184 dashed green line). The inferred QL delay time is marked by a green asterisk and dotted green
185 line. The time shifted Love wave is plotted for comparison with the QL stack in Fig. S1e.

186

187 All seismograms and cross-correlation functions are visually inspected for quality based on the
188 criteria outlined above. Only those with a clearly visible and distinct QL wave are kept. It is
189 possible however that multiple QL waveforms may appear in one seismogram if multiple
190 scatterers are located along the great circle path. In such cases, for simplicity, the first QL wave,
191 closest to G1, and therefore closest to Australia is recorded. Conversely, the absence of a QL
192 detection (Fig. S3) is not necessarily evidence for an absence of scatterers. QL amplitude is
193 dependent not only on the strength of the anisotropic gradient but also on the anisotropic
194 geometry relative to the propagation path. Maximum QL conversion will occur when the
195 propagation azimuth is 45° to the symmetry axis, and zero conversion when the propagation
196 azimuth is either parallel or perpendicular^{10,29}. This creates a symmetric four-lobed radiation
197 pattern with both positive and negative polarities of the QL wave, which in theory could be used
198 to infer not only the location of an anisotropic gradient but also the geometry of anisotropy. The
199 amplitude of the QL wave will therefore also vary as a function of propagation azimuth, as well
200 as the strength of the anisotropic gradient. A particularly large QL amplitude is therefore
201 indicative of the presence of a strong gradient but a small amplitude, or even absence of a QL
202 wave, is not conclusive evidence of a weak or non-existent gradient.

203

204 The last step in the process is to convert the delay time (δt) into distance and back-project the
205 location of the scatterer along the great-circle path. Considering that the QL wave travels
206 distance, δx , of the total path, Δ , (Fig. 1a) with the slower Rayleigh wave phase velocity (V_R),
207 the delay time can be expressed as:

208
$$\delta t = \frac{\delta x}{V_R} - \frac{\delta x}{V_L} \quad (1)$$

209

210 Where V_L is the Love wave phase velocity. To negate having to use either an average guess for
211 the phase velocities or calculate individually for every seismogram, the following substitution
212 can be made,

213
$$\delta t = \frac{\delta x(t_R - t_L)}{\Delta} \quad (2)$$

214

215 where Δ is the epicentral distance between source and receiver, and $t_R - t_L$ is the time delay
216 between the Rayleigh (R1) and Love (G1) waves. Simply rearranging this equation for δx gives,

217

218
$$\delta x = \frac{\delta t \Delta}{(t_R - t_L)} \quad (3)$$

219

220 The scatterer distance is therefore independent of the absolute phase velocities or the arrival
221 times, and only depends on the relative time difference between G1 and R1. This is useful given
222 the exact start of the R1 is difficult to determine, especially considering likely overlap with QL.
223 Instead the time difference between the maximum amplitude of G1 and R1 can be utilized, which
224 is much easier to calculate from the seismogram.

225

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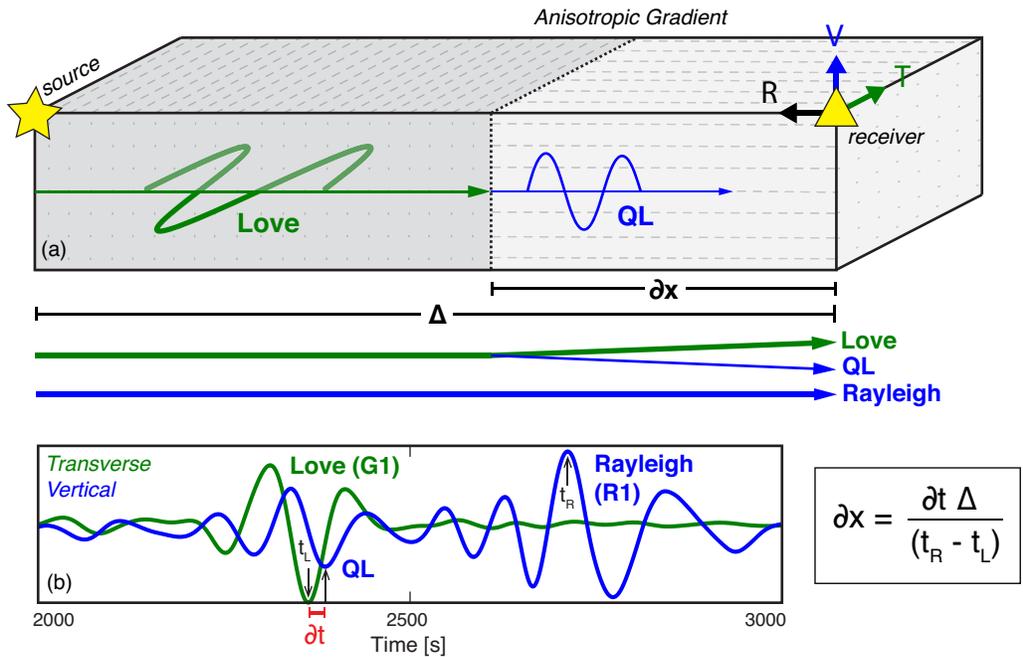
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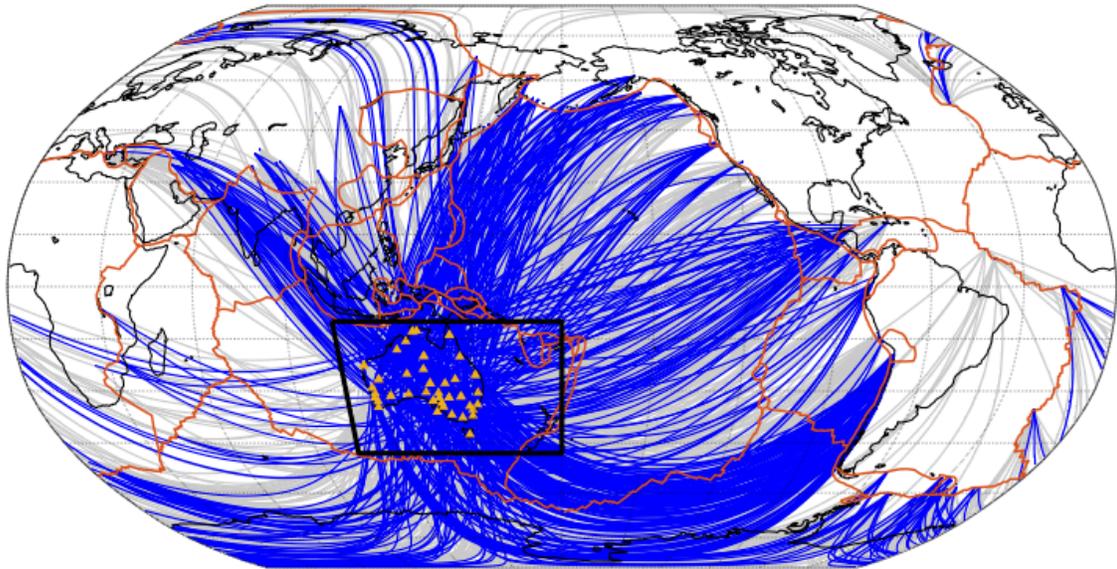
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319

320 **Figure 1.** Process of QL wave scattering due to an anisotropic gradient. (a): Schematic
 321 illustration of a Love wave with transverse (T) particle motion (indicated in green), which upon
 322 encountering a lateral gradient in seismic anisotropy, partially converts into a QL wave with the
 323 same phase velocity and vertical (V) particle motion (indicated by blue) as a Rayleigh wave. The
 324 fundamental Love (G1) and Rayleigh (R1) waves travel a distance Δ between the source and
 325 receiver, whereas the QL wave only travels a distance δx between the scattering point and the
 326 receiver. The scattering is caused by a change in strength and/or orientation of the mantle
 327 anisotropy, indicated by the grey fabric pattern. (b): Example recording of a prominent QL wave
 328 arrival. The distance to the scattering point (δx) is related to the time delay (δt) between the QL
 329 and G1 via the equation shown.

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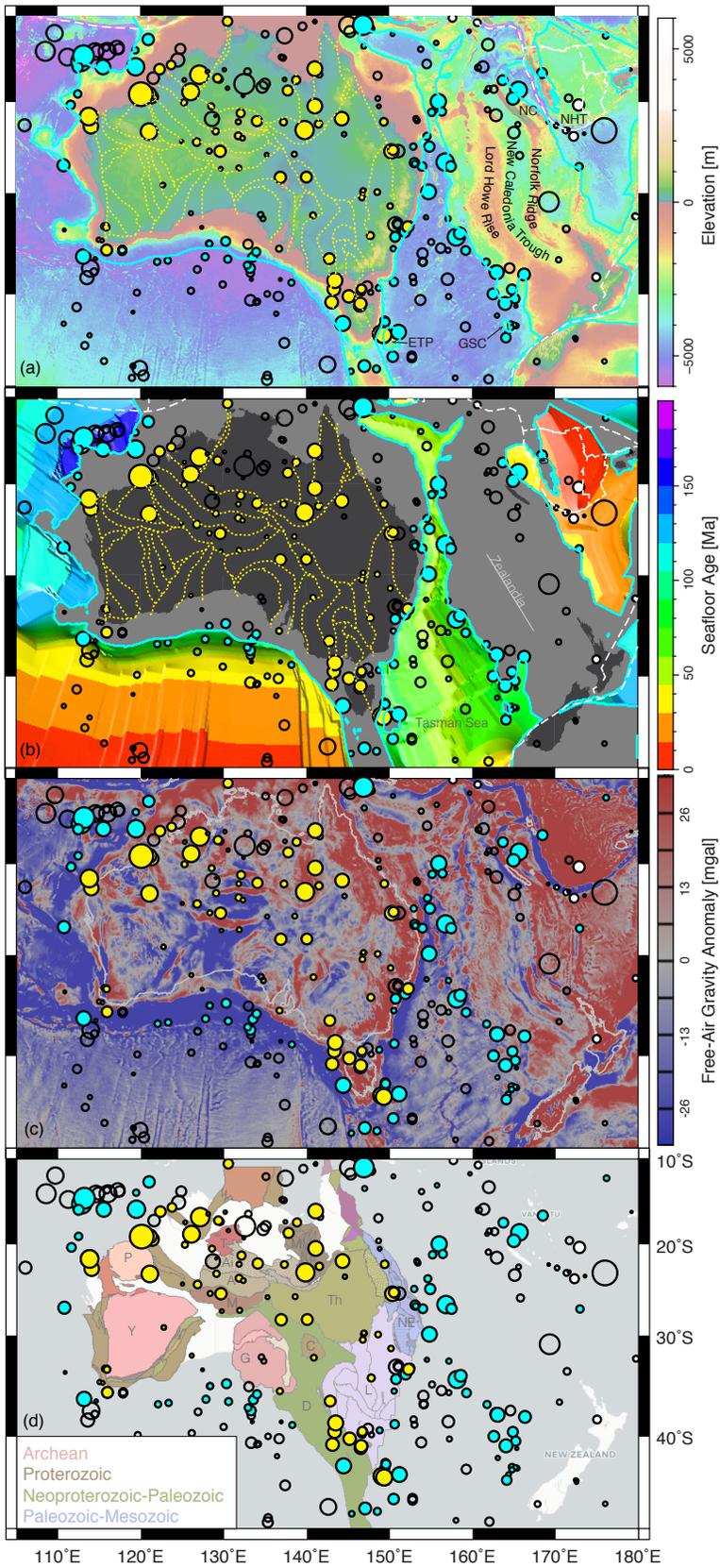


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332 **Figure 2.** Global distribution of source-receiver paths analyzed for QL waves. Raypaths on
333 which QL waves were present are shown in blue, the remainder in grey. The location of
334 anisotropic gradients within the area of interest (black box, Figs. 3-4) is investigated using 43
335 seismic receivers (yellow triangles) based within Australia. Plate boundaries (in orange) from ³¹.

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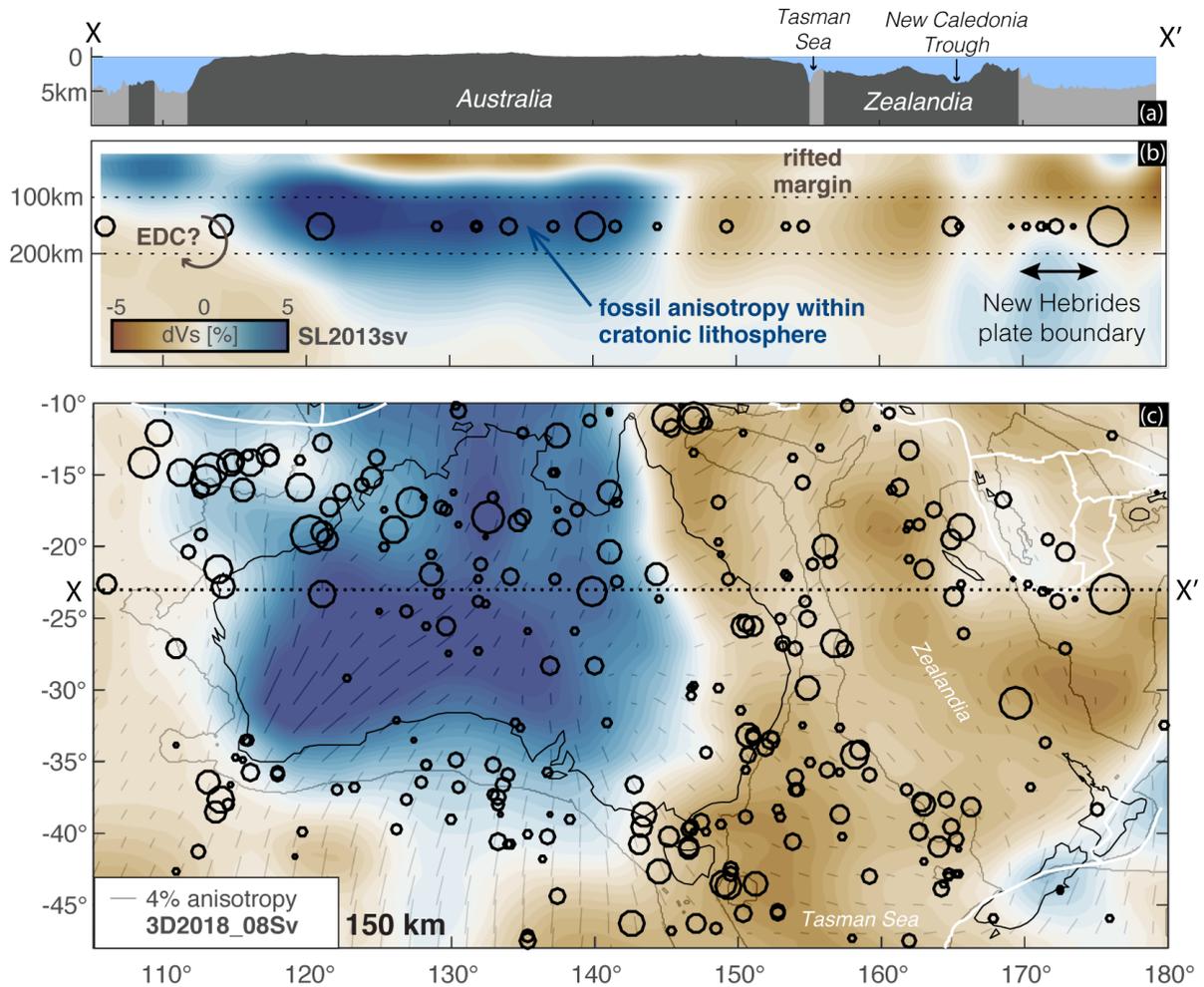
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339 **Figure 3.** QL wave scattering points (black circles) compared to (a): surface topography ³², (b):
340 continental versus oceanic crust and seafloor age ¹⁷, (c): free-air gravity ³³, and (d): major
341 tectonic provinces of Australia ³⁴. Circles are scaled in size relative to the amplitude of the QL
342 wave. Those which coincide (within 1°) with either a plate boundary (dashed white lines), the
343 ocean-continent margin (solid cyan lines), or major crustal boundaries within Australia (dotted
344 yellow lines) ¹⁹, are highlighted by a solid circle with the respective color. In (a) abbreviations
345 are as follows: ETP- East Tasman Plateau, GSC- Gilbert Seamount Complex, NC- New
346 Caledonia, NHT- New Hebrides Trench. In (d) abbreviations refer to major tectonic provinces:
347 A- Arunta, Ai- Aileron, C- Curnamona, D- Delamerian, G- Gawler, L- Lachlan, M- Musgrave,
348 MI- Mount Isa, NE- New England, P- Pilbara, T- Tanami, Th- Thomson, Y- Yilgarn.

349

350



351
 352 **Figure 4.** Interpretation of QL wave scatterers in relation to lithospheric versus asthenospheric
 353 mantle anisotropy. (a): Cross-section of elevation³² along profile X-X', with dark versus light
 354 grey shading signifying continental versus oceanic crust. (b): Shear wave velocities from
 355 SL2013sv³⁵ along the same X-X' cross-section. Scatterers (black circles) are plotted at 150km
 356 depth, while dotted black lines represent zone of peak sensitivity from 100-200km. (c):
 357 Horizontal slice of SL2013sv velocity model at 150km depth, overlain by azimuthal anisotropy
 358 from 3D2018_08Sv². Orientation and length of small grey bars correspond to the fast direction
 359 and strength (%) of azimuthal anisotropy. Thin continuous thin grey lines represent the
 360 continental margins, while white lines are plate boundaries (same as Fig. 3).