

Mapping the Trends in Esophageal Cancer Disease Burden in the United States: Results from the Global Burden of Disease Study 2017

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**Mapping the Trends in Esophageal Cancer Disease Burden in the United States:
Results from the Global Burden of Disease Study 2017**

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18 **Abstract**

19 **Background:** Esophageal cancer is the 7th leading cancer globally and the 10th leading cancer in the
20 United States. However, it is has received limited attention over more common malignancies. Only a
21 few studies have comprehensively assessed disease burden from esophageal cancer in the United
22 States (US).

23 **Methods:** Using states-categorized data on incidence, mortality, and Disability-adjusted Life Years
24 (DALYs), this study analyzed the current trends in esophageal cancer disease burden. Data and risk
25 factor indicators were obtained from Global Burden of Disease (GBD) online resource and used to
26 determine annual relative change.

27 **Results:** We report here that between 1990 and 2017, the number of esophageal cancer new cases,
28 deaths and DALYs in the US increased significantly, while the Age-standardized Rate (ASR) of
29 disease incidence remained constant. During the same time, disease burden from esophageal cancer
30 in males was higher than that in females. Economically stronger states trend to had lesser disease
31 burden from esophageal cancer. Smoking and alcohol use contributed most of the burden while
32 influence of high body-mass index and diet low in fruits grew largely.

33 **Conclusions:** This study provided an analysis of esophageal cancer disease burden in the United
34 States that will inform the design of targeted strategies for disease prevention tailored to different
35 states.

36 **Keywords:** epidemiology, burden, esophageal cancer, Global Burden of Disease, estimated
37 annual percentage change

38

39 **Background**

40 Esophageal cancer, the 7th leading cancer globally, is becoming the fastest growing cancer in the
41 Western world. In the United States, esophageal cancer is now the 10th leading cancer overall, and the
42 7th leading cause of cancer deaths in males. The disease contributes to 20% increase in US male death
43 rates and approximately 85% country mortality rate. Despite of this, esophageal cancer still receive
44 little attention from government agencies and the research community, with a negative implication on
45 establishment of a large-scale public health intervention[1-3].

46 Previous studies have reported regional, temporal and ethnic differences in esophageal cancer
47 disease burden in United States[4-6]. However, most of them employ incidence as the sole parameter
48 for analyzing disease burden. Only a few have reported using multiple parameters and datasets to study
49 esophageal cancer disease burden in the US.

50 The Global Burden of Disease (GBD) study is published by the Global Health Data Exchange
51 (GHDx), world's most comprehensive catalog of surveys, censuses, vital statistics, and other health-
52 related data. Additionally, GHDx is unique in its approach for generating estimates for all locations
53 using all available data from literature, administrative hospital and medical claims records, and cause
54 of death records[7, 8]. GBD provides multi-angle epidemiological data on different diseases from
55 different locations.

56 This study used GBD data to study disease burden from esophageal cancer in the United States under
57 three parameters; incidence, mortality, Disability-adjusted Life Years (DALYs) in an effort to provide
58 a comprehensive view of esophageal cancer. In addition, esophageal cancer disease burden attributed
59 to different risk factors in different states was studied, and association between burden and the
60 respective economic status explored.

61

62 **Methods**

63 **Incidence, mortality and DALYs estimates**

64 Incidence, mortality and DALYs for esophageal cancer in the United States between 1990-2017 was
65 obtained from the GBD online repository. Accessed data had been categorized by gender, year, measure,
66 metric, age, location and risk factors.

67 DALYs is a metric describing the total time lost due to a health condition, including premature death
68 and disability. The metric was developed by the World Health Organization (WHO) GBD study of the
69 year 1990 and has been considered a standard measure of disease burden since then[7, 9].

70 **Age-standardized rate and estimated annual percentage change**

71 Age-standardized rate (ASR) and estimated annual percentage change (EAPC) were used to
72 analyze the burden from esophageal cancer. Age structures of population in different states are not
73 similar and changes over time. This necessitates age standardization to limit the effect of difference
74 age structures on disease burden. ASR of incidence, mortality and disability-adjusted life years were
75 acquired from the GBD.

76 Annual relative change is a method to evaluate trends in age-adjusted rates, obtained from a log-
77 liner modal as

$$78 \quad \log R = \beta_0 + \beta_1 X + \varepsilon,$$

79 where R = age-adjusted rate, and X = calendar year. Annual percent change is given by $100 \times [\exp(\beta_1) - 1]$
80 [10-13]. Age-standardized rate was presented as ASR (obtained from $\ln R = \beta_0 + \beta_1 X + \varepsilon$), and EAPC
81 of ASR was calculated as $100 \times [\exp(\beta_1) - 1]$. The ASR was considered to be increasing if the EAPC
82 estimation and the lower boundary of its 95% Confidence Interval (CI) were both greater than 0. In
83 contrast, the ASR was considered to be decreasing if the EAPC estimation and the upper boundary of

84 its 95% CI were both lesser than 0. Otherwise, ASR was considered stable over the period.

85 **Per capita disposable personal income**

86 To explore the relation between the economic level and burden from esophageal cancer, a linear
87 regression model was drawn for per capita disposable personal income (PCDPI) and ASR or EAPC of
88 incidence, mortality and DALYs.

89 **Statistical analysis**

90 Data was analyzed using the IBM SPSS statistics version 23, and graphs drawn using GraphPad
91 Prism 8 and Microsoft Excel (Office 365).

92

93 **Results**

94 **Incidence**

95 New cases of esophageal cancer in the United States increased from 11391.02 ×1000 in the year
96 1990 to 20690.21 ×1000 in 2017. Although the age-standardized rate of esophageal cancer in 2017
97 (3.85 per 100,000) was higher than that of 1990 (3.61 per 100,000), there was no significance difference
98 in the estimated annual percentage change. In both 1990 and 2017, the US male population contributed
99 four-fold more incidences of new esophageal cancer cases over females (Table 1). However, between
100 1990-2017, the age-standardized rate of esophageal cancer incidences in males remained constant
101 while it decreased in females with an estimated annual percentage change of -0.444% (Table 1).

102 At states level, between 1990 and 2017, the highest increase of new esophageal cancer cases was
103 recorded in Nevada (262.32%), followed by Alaska (246.51%) and Utah (208.88%). In contrast, the
104 lowest increase of new cases was observed in New York (30.83%), followed by New Jersey (39.02%)
105 and Michigan (49.40%). In the District of Columbia, emergence of new cases decreased by -24.84%

106 (Figure 1A). The highest age-standardized rate of incidence in 2017 was recorded in South Dakota
107 (5.93 per 100,000), followed by Colorado (5.40 per 100,000) and Virginia (5.17 per 100,000). On the
108 other hand, the lowest age-standardized rate of incidence in 2017 was recorded in Oklahoma (2.88 per
109 100,000), followed by Montana (3.03 per 100,000) and Kentucky (3.15 per 100,000) (Figure 1B).

110 Between 1990-2017, the largest increase in ASR of incidence was observed in Oklahoma (EAPC =
111 1.301%), followed by West Virginia (EAPC = 1.187%) and Arkansas (EAPC = 0.999%), while the
112 largest decrease was observed in the District of Columbia (EAPC = -2.112%), followed by Maryland
113 (EAPC = -0.900%) and California (EAPC=-0.786%). In the same time, 6 states recorded a constant
114 ASR of incidence (Figure 1C). A significant negative correlation was observed between EAPC of
115 incidence and PCDPI in 1990 (Figure 1D), while there was no significant correlation between ASR of
116 incidence and PCDPI in 2017 (Figure 1E).

117 **Mortality**

118 Deaths from esophageal cancer in the United States rose from 11391.02 ×1000 in 1990 to 15097.64
119 ×1000 in 2017. Nonetheless, in the same period, the ASR of mortality decreased from 2.91 per 100,000
120 to 2.79 per 100,000 with an average -0.347% per year change. In both 1990 and 2017, males
121 contributed the majority of deaths recorded, with a quintuple higher ASR of mortality over females.
122 However, the ASR of mortality decreased in both males and females, with an EAPC of -0.340% and -
123 1.116%, respectively. Smoking was the leading risk factor in esophageal cancer mortality, followed by
124 high body-mass index, alcohol consumption, low-fruit diet and chewing tobacco. Nonetheless, the
125 fastest growing risk factor was high body-mass index (EAPC = 0.777%), followed by low-fruit diet
126 (EAPC = 0.547%). Risk factor contribution by alcohol consumption remained constant while smoking
127 (EAPC = -1.613%) and chewing tobacco (EAPC=-0.481%) decreased over time (Table 2).

128 The highest increased in deaths was observed in Nevada (236.67%), followed by Alaska (215.58%)

129 and Arizona (174.93%), while the lowest was observed in New York (11.15%), followed by New Jersey
130 (19.63%) and Illinois (30.47%). District of Columbia was the only state recording a decrease in deaths
131 (-34.75%) (Figure 2A). In 2017, the highest ASRs of mortality was recorded in District of Columbia
132 (4.49 per 100,000), followed by Maine (3.79 per 100,000) and Ohio (3.60 per 100,000), while the
133 lowest one was observed in Utah (1.95 per 100,000) followed by Hawaii (2.05 per 100,000) and
134 California (2.12 per 100,000) (Figure 2B). Between 1990-2017, the District of Columbia had the
135 highest ASR of mortality. Furthermore, its ASR of mortality recorded the fastest decrease (EAPC = -
136 2.591%), followed by Maryland (EAPC = -1.325%) and New York (EAPC = -1.048%). The fastest
137 growing ASR of mortality was recorded in Oklahoma (EAPC = 1.142%), followed by West Virginia
138 (EAPC = 0.920%) and Arkansas (EAPC = 0.723%). Fourteen states sustained a constant ASR of
139 mortality (Figure 2C). Moreover, a strong correlation was observed between PCDPI and EAPC of
140 mortality that was not apparent in the ASR of mortality (Figure 2D, 2E).

141 In 2017, the highest contribution of risk factors (smoking, 2.33 per 100,000; alcohol use, 2.12 per
142 100,000; high body-mass index, 2.16 per 100,000; and low-fruit diet, 0.75 per 100,000) to the ASR of
143 mortality was recorded in the District of Columbia. Chewing tobacco was the major risk factor in West
144 Virginia (0.66 per 100,000). The investigated risk factors had the highest impact on the ASR of
145 mortality in the District of Columbia, Maine and Ohio (Figure 3A). The highest increase in ASR of
146 mortality resulting from alcohol use, high body-mass index and low-fruit diet was observed in
147 Oklahoma with an EAPC of 1.495%, 2.612% and 2.229%, respectively. In Arkansas, chewing tobacco
148 was a significant risk factor with an EAPC of 1.535%. Decrease in ASR of mortality as a result of
149 smoking, alcohol use, high body-mass index and chewing tobacco was observed in the District of
150 Columbia with an EAPC of -3.815%, -2.365%, -1.892% and -2.171, respectively. In California, low-
151 fruit diet was the least contributing risk factor with an EAPC of -0.906%. Overall, the ASR of mortality
152 attributed to smoking declined in all states except Oklahoma (with no significant EAPC). In contrast,

153 the ASR of mortality attributed to high body-mass index and low-fruit diet increased in majority of
154 states as shown in Figure 3B. PCDPI was negatively correlated with ASR of mortality attributed to
155 smoking, low-fruit diet and chewing tobacco (Figure 3C-G), and that of EAPC of mortality attributed
156 to all risk factor investigated except for chewing tobacco (Figure 6H-L).

157 **DALYs**

158 Disability-adjusted life years of esophageal cancer in United States increased from 213467.95 years
159 in 1990 to 330723.23 years in 2017. Additionally, the ASR of DALYs decreased from 70.92 years per
160 100,000 to 64.18 years per 100,000 in the same period with an average -1.238% per year change. In
161 both 1990 and 2017, males made the largest contribution to DALYs and ASR of DALYs. However, a
162 decreasing trend was recorded in the ASRs of DALYs for both males (EAPC = -0.576%) and females
163 (EAPC = -0.563%). The most significant risk factor attributed to DALYs was smoking, while the
164 largest ASR of DALYs was recorded in alcohol use, followed by high-body mass index, smoking, diet
165 low in fruits and chewing tobacco. The ASR of DALYs contributable to smoking (EAPC = -1.912%)
166 recorded the fastest dip, followed by chewing tobacco (EAPC = -0.627%) and alcohol use (EAPC = -
167 0.197%). In contrast, change in DALYs and ASR of DALYs attributed to high body-mass index and
168 low-fruit diet increased with an EAPC of 0.510% and 0.280%, respectively (Table 3).

169 The highest increase in DALYs was observed in Nevada (67.54%), followed by Alaska (64.65%)
170 and Utah (62.67%) while the lowest increase was recorded in New York (0.72%), New Jersey (10.12%)
171 and Illinois (18.51%). The district of Columbia was the only state recording a decrease in deaths from
172 esophageal cancer (-63.69%) (Figure 4A). In 2017, the highest ASR of DALYs was observed in District
173 of Columbia (108.65 years per 100,000), followed by Maine (86.85 years per 100,000) and West
174 Virginia (84.38 years per 100,000), while the lowest ASR was observed in Utah (44.92 years per
175 100,000), followed by California (46.64 years per 100,000) and Hawaii (49.12 years per 100,000)
176 (Figure 4B). Although the ASR of mortality was highest in the District of Columbia, the state recorded

177 a rapid dip in ASR of DALYs between 1990-2017 with an EAPC of -2.879%. This was closely followed
178 by Maryland (EAPC = -1.575%) and New York (EAPC = -1.456%). The highest increase in ASR of
179 DALYs was observed in Oklahoma (EAPC = 1.109%), followed by West Virginia (EAPC = 1.013%)
180 and Arkansas (EAPC = 0.709%). Ten states sustained a constant ASR of DALYs (Figure 4C). There
181 was a significant association between PCDPI and EAPC of mortality that was not apparent in ASR of
182 mortality (Figure 4D, 4E).

183 In 2017, the highest ASR of DALYs attributed to smoking, alcohol use, high body-mass index and
184 low-fruit diet was observed in the District of Columbia (53.57 years per 100,000, 53.99 years per
185 100,000, 53.08 years per 100,000, 18.40 years per 100,000, respectively), while that attributed to
186 chewing tobacco was recorded in West Virginia (15.92 years per 100,000). In the states of District of
187 Columbia, Maine, West Virginia and Ohio, high ASRs of DALYs was attributed to the investigated risk
188 factors (Figure 5A). The highest increase in ASR of DALYs as a result of high body-mass index and
189 low-fruit diet was recorded in Oklahoma (EAPC = 2.505% and 2.186%, respectively), while that
190 attributed to alcohol use was observed in West Virginia (EAPC = 1.462%) and chewing tobacco in
191 Arkansas (EAPC = 1.556%). In contrast, the most significant decrease in ASRs of DALYs attributed
192 to smoking, alcohol use, high body-mass index and chewing tobacco was recorded in the District of
193 Columbia (EAPC -4.178%, -2.668%, -2.195% and -2.503%, respectively), while that of low-fruit diet
194 was observed in California (EAPC = -1.276%). There was a decrease in ASR of DALYs attributed to
195 smoking in all states except Oklahoma (with an insignificant EAPC). However, ASR of DALYs
196 attributed to high body-mass index and low-fruit diet increased in most states (Figure 5B). A negative
197 correlation was observed between PCDPI and ASR of DALYs as a result of smoking, low-fruit diet
198 and chewing tobacco (Figure 5C-G), as well as the EAPC of DALYs attributed to all risk factor except
199 chewing tobacco (Figure 5H-L).

200

201 **Discussion**

202 This study investigated disease burden from esophageal cancer under the parameters of incidence,
203 mortality as well as DALYs. Findings presented an overview of disease burden in different states and
204 the contribution of selected risk factors. Results from this study suggested that incidence rate of
205 esophageal cancer in United States has kept stable during 1990 to 2017 while mortality rate and DALYs
206 rate kept decreasing. During the same time, disease burden from esophageal cancer in males was higher
207 than that in females. Additionally, states that were economically stronger had a lesser disease burden
208 from esophageal cancer. Smoking was the most significant risk factor contributing to disease burden
209 but high body-mass index and diet low in fruits played more and more important roles.

210 Studies show that esophageal adenocarcinoma (EA) has increased in the United States while
211 esophageal squamous cell carcinoma (ESCC) is continually decreasing[5, 14, 15]. Studying different
212 histological types as a whole, it was suggested in our study that respiting largely growing new cases,
213 incidence rate of esophageal cancer in United States has kept stable during 1990 to 2017. During the
214 same time, mortality rate and DALYs rate of esophageal cancer in United States decreased, though the
215 total number of deaths and DALYs increased significantly. As reported by Global Burden of Disease
216 Study 2017 (GBD 2017) Population Estimates 1950-2017, population in United States had risen from
217 2.534 billion in 1990 to 3.248 billion in 2017. This might be the reason why new cases, deaths and
218 DALYs of esophageal cancer all rose enormously though incidence rate kept stable while mortality
219 rate and DALYs rate decreased. The recorded declining disease burden from esophageal cancer in
220 United States indicated that control strategies put in place have been effective.

221 Nicolas Patel and Bikramjit Benipal reported a higher incidence of esophageal cancer in males
222 compared to females in the United States[5] which was similar to the report by Luckson N et al.[16].
223 From this study, disease burden from esophageal cancer in males was higher than that in females, with
224 significantly larger ASRs of incidence, mortality and DALYs. Overall, we report a general decrease in

225 disease burden from esophageal cancer in both the male and female population, which was more
226 obvious in female population in incidence rate and mortality rate. This difference in disease burden
227 from esophageal cancer suggested that female might be a protective factor for esophageal cancer.
228 Females were in less risk of esophageal cancer, which might be resulted by different endocrine milieu
229 in males and females. Studies had reported the effect of Estrogen in inhibiting esophageal squamous
230 cell cancer growth both in vitro[17, 18] and in vivo[19]. The estrogen receptor ER beta was thought to
231 be responsible to this anti-proliferative effect, which had reported to be expressed also in esophageal
232 adenocarcinoma[20]. On the other hand, better prognosis was observed in females, as results of
233 different endocrine milieu[21], lower alcohol consumption and smoking addiction[22], different gene
234 expression[23] and so on.

235 Jennifer Drahos et al. reported significant geographical variability in esophageal adenocarcinoma
236 incidence rate and esophageal squamous cell carcinoma incidence rate by census region (the Northeast,
237 Midwest, South, and West)[6]. From this study, although in average the ASR of incidence did not
238 change over time while the ASR of mortality and DALYs decreased, all three parameters increased in
239 the states of Oklahoma, West Virginia, Arkansas, North Dakota, Iowa, Kansas, Indiana, Kentucky,
240 South Dakota, Utah, Idaho, Wyoming, Maine, Ohio, Nebraska and Tennessee. Interestingly, in coastal
241 area states, ASR of incidence, mortality and DALYs recorded a decreasing trend. It seemed this
242 geographical variability might to some extent be resulted by economical variability in different states.
243 To explain why geographical variability was observed in disease burden from esophageal cancer, we
244 explored the association between economic level and disease burden from esophageal cancer. Findings
245 indicated that states that were economically stronger had a lesser disease burden from esophageal
246 cancer. This was particularly apparent in the burden attributed to smoking, alcohol use, high body-mass
247 index or diet low in fruits. Economic factor plays an important part in incidence rate and mortality rate
248 of esophageal cancer. Low socioeconomical status was in relation to the higher incidence, which might

249 be a result of combined influence of more alcohol use and smoking, lower annual income, lower annual
250 expenditure on food, lower annual expenditure on fruit and vegetables, higher percentage of
251 unemployment, and higher percentage of employment in agriculture and construction sectors and so
252 on[24-26]. In addition, low socioeconomical status was in relation to poorer prognosis, resulted by
253 poorer cognition of the malignant disease, poorer access to health services, less performed resection
254 and chemotherapy and so on[27-29].

255 Numerous risk factors contribute to esophageal cancer[3, 9, 15, 30-34]. GBD lists smoking, alcohol
256 use, high body-mass index, diet low in fruits and chewing tobacco as the five main risk factors
257 associated with esophageal cancer. From our analysis, smoking was the most significant risk factor
258 contributing to disease burden from esophageal cancer in United States. In most states, the effect of
259 alcohol use and chewing tobacco either did not vary or reduced over time. However, high body-mass
260 index and diet low in fruits played more and more important roles, with the disease burden from
261 esophageal cancer attributed increasing in most states. The change of population with different factors
262 might explain the trend of disease burden from esophageal cancer attributed to different factors to a
263 certain degree. Data from The Behavioral Risk Factor Surveillance System (BRFSS) reported that the
264 population with smoking had decreased from 22.4% in 1995 to 17.1% in 2017, while alcohol use from
265 52.8% in 1995 to 54.7% in 2017, high body-mass index from 52.0% in 1995 to 66.2% in 2017,
266 Chewing tobacco from 4.3% in 2013 to 4.0% in 2017(It was not recommended by BRFSS to compare
267 fruit and vegetable intake from 2017 to prior years due to the changes in methodology). However, there
268 were still some doubts not settled. For example, why smoking was the most significant risk factor
269 though its related population was not the largest? Why were the trends of mortality rate and DALYs
270 rate contributed to diet low in fruits in conflict with the change of population who with low-fruits diets?
271 A possible explain was that the effects of different risk factors on prognosis of esophageal cancer were
272 different, but the deeper reason needed further explore.

273 This study had a few limitations that constrained the range of insights generated from our analysis.
274 First, GBD online resource lack data on different histological types of esophageal cancer, thereby
275 limiting our ability to compare disease burden between histological types. Secondly, it was not feasible
276 distinguishing whether a person was affected by one or more risk factors, thereby missing the
277 opportunity to analyze the interactions among different risk factors and their influence on disease
278 burden from esophageal cancer. Thirdly, datasets from the GBD are estimates of quantified health loss.
279 Therefore, accuracy of the results is as good as the data collected and shared by the GBD consortium.
280

281 **Conclusions**

282 We provide here trends in esophageal cancer burden in the United States that will inform design of
283 efficient prevention strategies tailored for different states. A recommendation is fronted for the United
284 States to strengthen efforts in controlling smoking while encouraging and supporting optimal body-
285 mass index and inclusion of fruits in diets. Ultimately, economically weaker states (Oklahoma, West
286 Virginia and so on, in which ASRs of incidence, mortality and DALYs all increased) should make
287 deliberate efforts to contain the growing disease burden from esophageal cancer.
288

289 **List of abbreviations**

290	GBD	Global Burden of Disease
291	DALYs	Disability-adjusted Life Years
292	PCDPI	Per Capita Disposable Personal Income
293	ASR	Age-standardized Rate

294 EAPC Estimated Annual Percentage Change

295

296 **Declarations**

297 **Ethics approval and consent to participate**

298 Not applicable

299 **Consent for publication**

300 Not applicable

301 **Availability of data and materials**

302 All data was obtained from the GBD online resource available at <http://ghdx.healthdata.org>. PCDPI
303 of 50 states and the District of Columbia between 1990-2017 was obtained from the Bureau of Labor
304 Statistics available at <https://www.bls.gov/>.

305 **Competing interests**

306 The authors alone are responsible for the views expressed in this article and they do not necessarily
307 represent the views, decisions, or policies of the institutions with which they are affiliated. The
308 authors declare that they have no competing interest.

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313 **Authors' contributions**

314 DL, JZ (Jintao Zhan) and KC conceptualized the study. DL, JZ (Jintao Zhan) and XL designed the
315 study. JZ (Jintao Zhan) and XL acquired the epidemiology / PCDPI data. DL, JZ (Jintao Zhan), XL
316 and XD analyzed the data. SF, JZ (Jianxue Zhai) and SM drew the statistic figures and tables. JJ, ZW
317 and XJ reexamined the results. DL and JZ (Jintao Zhan) wrote the first draft of the manuscript. ML,
318 HW and KC revised the manuscript. All authors reviewed the manuscript and approved the final
319 version of the manuscript.

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322

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410 .

411 **Figure legends**

412 **Figure 1.** Maps of parameters of incidence, and the correlation between PCDPI and EAPC.

413 (A) The relative change in new cases of esophageal cancer between 1990 and 2017. (B) The ASR of
414 incidence of esophageal cancer in 2017 (C) The EAPC of ASR of incidence of esophageal cancer
415 from 1990 to 2017. (D)The correlation between PCDPI and ASR of incidence of esophageal cancer.
416 (E) The correlation between PCDPI and EAPC. The B indices and p values presented in (D) and (E)
417 were derived from Pearson correlation analysis. The circles in (C) to (L) represent states. ASR, age-
418 standardized rate; EAPC, estimated annual percentage change. ▲, EAPC is not significant. District
419 of Columbia was not included in (D) and (E) because of its outlier high PCDPI.

420

421 **Figure 2.** Maps of parameters of mortality, and the correlation between PCDPI and EAPC.

422 (A) ASRs of mortality of esophageal cancer attributed to different risk factors in different states. (B)
423 EAPC of mortality of esophageal cancer attributed to different risk factors in different states. (C-G)

424 The correlation between PCDPI and ASR of mortality of esophageal cancer attributed to certain risk
425 factor and its EAPC. (H-L) The correlation between PCDPI and EAPC of mortality of esophageal
426 cancer attributed to certain risk factor and its EAPC. ASR, age-standardized rate; EAPC, estimated
427 annual percentage change. Boxes with slashes mean that EAPC is not significant. The circles
428 represent states. The B indices and p values were derived from Pearson correlation analysis. ASR,
429 age-standardized rate; EAPC, estimated annual percentage change. District of Columbia was not
430 included in (C) and (L) because of its outlier high PCDPI.

431

432 **Figure 3.** Heat map of EAPCs of mortality, and the correlation between PCDPI and EAPC.

433 (A) ASRs of mortality of esophageal cancer attributed to different risk factors in different states. (B)
434 EAPC of mortality of esophageal cancer attributed to different risk factors in different states. (C-G)
435 The correlation between PCDPI and ASR of mortality of esophageal cancer attributed to certain risk
436 factor and its EAPC. (H-L) The correlation between PCDPI and EAPC of mortality of esophageal
437 cancer attributed to certain risk factor and its EAPC. ASR, age-standardized rate; EAPC, estimated
438 annual percentage change. Boxes with slashes mean that EAPC is not significant. The circles
439 represent states. The B indices and p values were derived from Pearson correlation analysis. ASR,
440 age-standardized rate; EAPC, estimated annual percentage change. District of Columbia was not
441 included in (C) and (L) because of its outlier high PCDPI.

442

443 **Figure 4.** Maps of parameters of DALYs, and the correlation between PCDPI and EAPC.

444 (A) The relative change in DALYs of esophageal cancer between 1990 and 2017. (B) The ASR of
445 DALYs of esophageal cancer in 2017. (C) The EAPC of ASR of DALYs of esophageal cancer from

446 1990 to 2017. (D) The correlation between PCDPI and ASR of DALYs of esophageal cancer. (E)
447 The correlation between PCDPI and EAPC. The B indices and p values presented in (D) and (E)
448 were derived from Pearson correlation analysis. The circles in (C) to (L) represent states. DALYs,
449 mortality and disability adjusted life years; ASR, age-standardized rate; EAPC, estimated annual
450 percentage change. ▲, EAPC is not significant. District of Columbia was not included in (D) and (E)
451 because of its outlier high PCDPI.

452

453 **Figure 5.** Heat map of EAPCs of DALYs, and the correlation between PCDPI and EAPC.

454 (A) ASRs of DALYs of esophageal cancer attributed to different risk factors in different states. (B)
455 EAPC of DALYs of esophageal cancer attributed to different risk factors in different states. (C-G)
456 The correlation between PCDPI and ASR of DALYs of esophageal cancer attributed to certain risk
457 factor and its EAPC. (H-L) The correlation between PCDPI and EAPC of DALYs rate of esophageal
458 cancer attributed to certain risk factor and its EAPC. ASR, age-standardized rate; EAPC, estimated
459 annual percentage change. Boxes with slashes mean that EAPC is not significant. The circles
460 represent states. The B indices and p values were derived from Pearson correlation analysis. DALYs,
461 mortality and disability adjusted life years; ASR, age-standardized rate; EAPC, estimated annual
462 percentage change. District of Columbia was not included in (C) to (L) because of its outlier high
463 PCDPI.

464

465 **Tables**

466 **Table 1.** New cases, ASRs of incidence of esophageal cancer in 1990 and 2017 and temporal trends.

Category	New cases. ×1000 (95% UI)		ASR per 100,000 (95% UI)		EAPC % (95% CI)
	1990	2017	1990	2017	1990-2017
Overall	11391.02	20690.21	3.61	3.85	0.007
	(11247.59, 11556.12)	(20040.79, 21331.42)	(3.56, 3.66)	(3.73, 3.97)	(-0.186, 0.200)
Sex					
Male	8604.47	16415.49	6.28	6.64	-0.006
	(8467.84, 8771.37)	(15778.08, 17032.67)	(6.18, 6.39)	(6.38, 6.90)	(-0.197, 0.186)
Female	2786.55	4274.72	1.50	1.45	-0.444
	(2731.80, 2843.72)	(4087.10, 4452.67)	(1.47, 1.53)	(1.39, 1.51)	(-0.667, -0.220)

467 ASR, age-standardized rate; CI, confidence interval; EAPC, estimated annual percentage change; UI,

468 uncertainty interval.

469

470

471 **Table 2.** Deaths and ASRs of mortality of esophageal cancer in 1990 and 2017 and temporal trends.

Category	No. ×1000 (95% UI)		ASR per 100,000 (95% UI)		EAPC % (95% CI)
	1990	2017	1990	2017	1990-2017
Overall	9285.18 (9876.15, 8602.70)	15097.64 (16663.54, 13248.09)	2.91 (3.09, 2.70)	2.78 (2.44, 3.07)	-0.347 (-0.493, -0.200)
Sex					
Male	7281.27 (7730.07, 6754.50)	12586.16 (13927.15, 10928.60)	5.30 (5.63, 4.91)	5.07 (4.40, 5.61)	-0.340 (-0.492, -0.188)
Female	2003.91 (2301.55, 1682.34)	2511.48 (3020.14, 1960.36)	1.06 (1.21, 0.89)	0.83 (0.65, 0.99)	-1.116 (-1.267, -0.965)
Risk factors					
Smoking	6420.32 (5740.24, 7024.07)	7493.44 (6521.15, 8504.44)	2.00 (1.80, 2.18)	1.37 (1.19, 1.55)	-1.613 (-1.825, -1.400)
Alcohol use	3827.03 (2730.28, 4847.96)	6784.80 (4582.50, 8831.67)	1.23 (0.89, 1.55)	1.27 (0.86, 1.64)	0.055 (-0.094, 0.205)
High body-mass index	3153.58 (1062.05, 5545.67)	6981.15 (2429.76, 11398.00)	1.00 (0.34, 1.75)	1.29 (0.45, 2.11)	0.777 (0.560, 0.993)
Diet low in fruits	1507.13 (308.28, 2994.30)	2920.67 (615.42, 5594.33)	0.47 (0.10, 0.94)	0.54 (0.11, 1.03)	0.547 (0.470, 0.624)
Chewing tobacco	554.49 (321.24, 807.54)	879.89 (465.46, 1362.45)	0.17 (0.10, 0.25)	0.16 (0.09, 0.25)	-0.481 (-0.557, -0.405)

472 ASR, age-standardized rate; CI, confidence interval; EAPC, estimated annual percentage change; UI,
473 uncertainty interval.

474

475 **Table 3.** DALYs and ASRs of DALYs of esophageal cancer in 1990 and 2017 and temporal trends.

Category	Year ×1000 (95% UI)		ASR per 100,000 (95% UI)		EAPC % (95% CI)
	1990	2017	1990	2017	1990-2017
Overall	213467.95 (226157.04, 198788.43)	330723.23 (364856.87, 290539.06)	70.92 (75.17, 66.02)	64.18 (70.78, 56.44)	-1.238 (-1.121, -1.355)
Sex					
Male	172728.76 (183291.51, 160688.33)	281353.02 (311060.98, 245363.34)	126.79 (134.59, 117.80)	115.36 (127.51, 100.63)	-0.576 (-0.441, -0.711)
Female	40739.19 (46360.38, 34711.73)	49370.21 (58521.31, 39108.44)	23.91 (27.11, 20.44)	18.04 (21.33, 14.34)	-0.563 (-0.422, -0.703)
Risk factors					
Smoking	145477.43 (157890.44, 131987.58)	160233.75 (179799.14, 140237.02)	47.94 (51.97, 43.76)	30.48 (34.20, 26.71)	-1.912 (-1.719, -2.105)
Alcohol use	93814.69 (116931.38, 69625.24)	156948.51 (200340.05, 109707.07)	31.84 (39.44, 23.69)	31.01 (39.36, 21.96)	-0.197 (-0.055, -0.338)
High body-mass index	75075.05 (130926.43, 25248.13)	156932.18 (254322.82, 53341.17)	25.24 (43.73, 8.47)	30.52 (49.33, 10.33)	0.510 (0.716, 0.304)
Diet low in fruits	34341.41 (68026.85, 7056.94)	62790.16 (121273.22, 13272.90)	11.42 (22.64, 2.34)	12.26 (23.59, 2.61)	0.280 (0.360, 0.200)
Chewing tobacco	12574.38 (18307.86, 7217.65)	19609.44 (30394.92, 10350.81)	4.19 (6.13, 2.39)	3.81 (5.91, 2.05)	-0.627 (-0.542, -0.711)

476 DALYs, mortality and disability adjusted life years; ASR, age-standardized rate; CI, confidence
 477 interval; EAPC, estimated annual percentage change; UI, uncertainty interval.

Figures

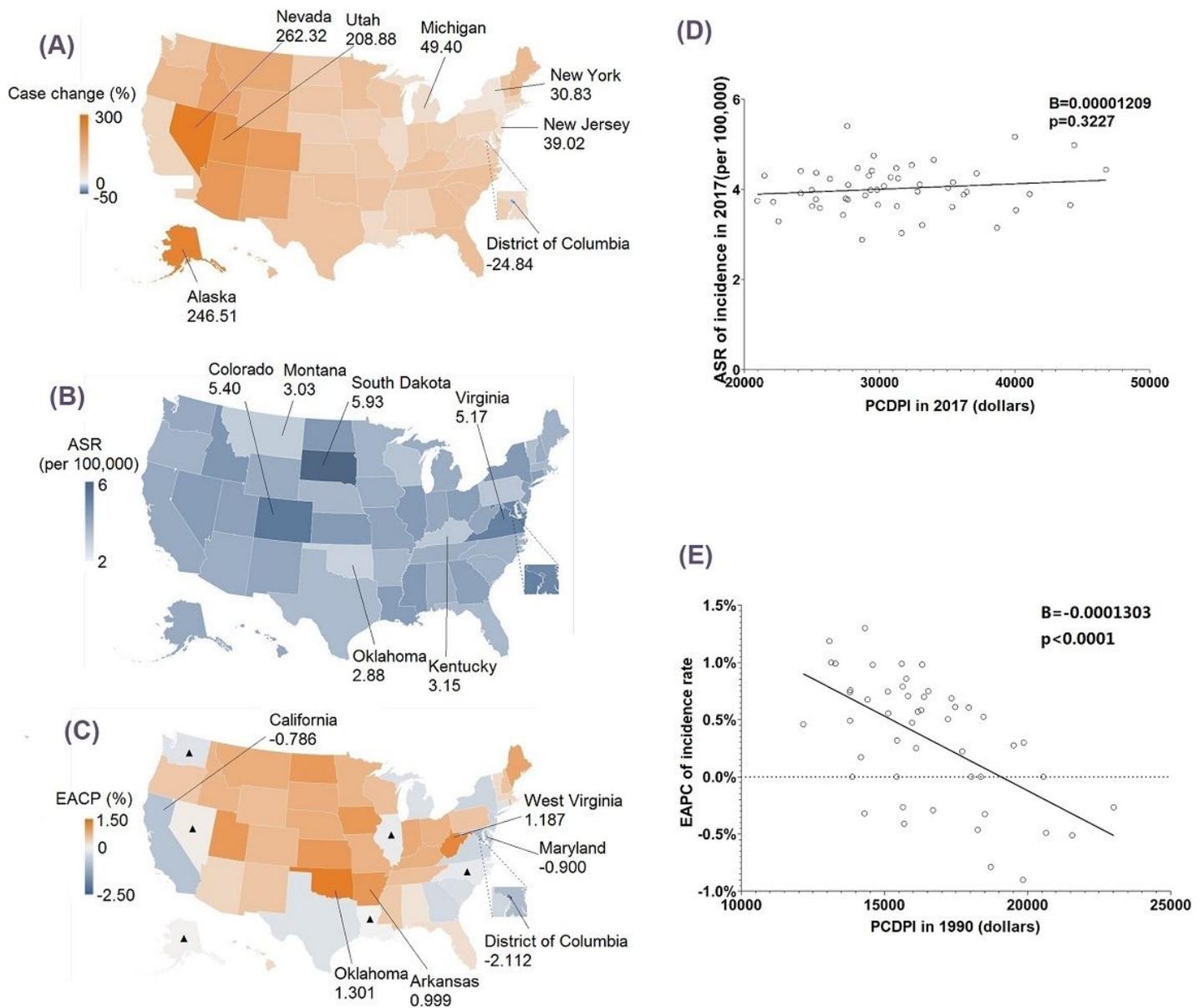


Figure 1

Maps of parameters of incidence, and the correlation between PCDPI and EACP. (A) The relative change in new cases of esophageal cancer between 1990 and 2017. (B) The ASR of incidence of esophageal cancer in 2017 (C) The EACP of ASR of incidence of esophageal cancer from 1990 to 2017. (D) The correlation between PCDPI and ASR of incidence of esophageal cancer. (E) The correlation between PCDPI and EACP. The B indices and p values presented in (D) and (E) were derived from Pearson correlation analysis. The circles in (C) to (L) represent states. ASR, age-standardized rate; EACP, estimated annual percentage change. ☐, EACP is not significant. District of Columbia was not included in (D) and (E) because of its outlier high PCDPI.

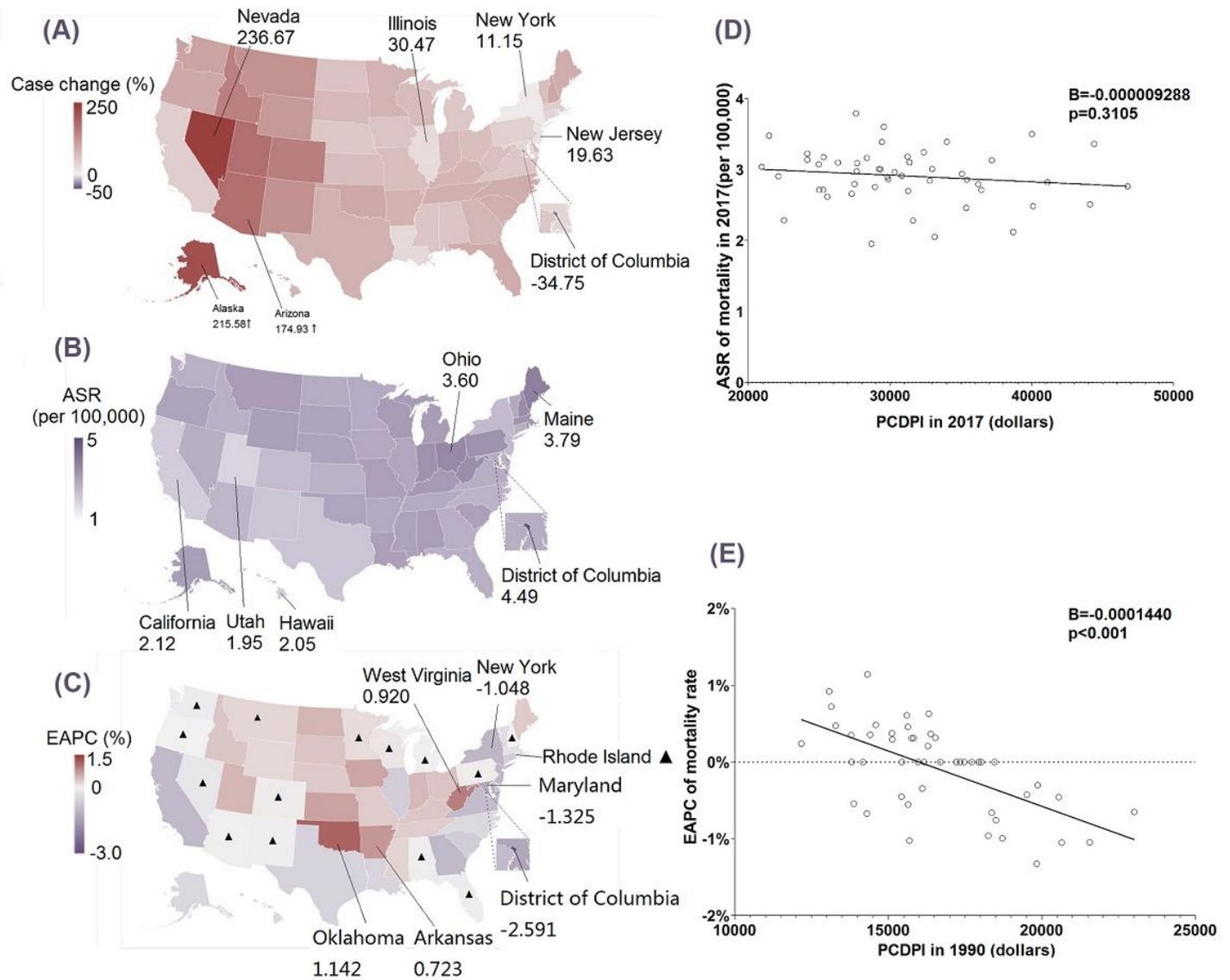


Figure 2

Maps of parameters of mortality, and the correlation between PCDPI and EAPC. (A) ASRs of mortality of esophageal cancer attributed to different risk factors in different states. (B) EAPC of mortality of esophageal cancer attributed to different risk factors in different states. (C-G) The correlation between PCDPI and ASR of mortality of esophageal cancer attributed to certain risk factor and its EAPC. (H-L) The correlation between PCDPI and EAPC of mortality of esophageal cancer attributed to certain risk factor and its EAPC. ASR, age-standardized rate; EAPC, estimated annual percentage change. Boxes with slashes mean that EAPC is not significant. The circles represent states. The B indices and p values were derived from Pearson correlation analysis. ASR, age-standardized rate; EAPC, estimated annual percentage change. District of Columbia was not included in (C) and (L) because of its outlier high PCDPI.

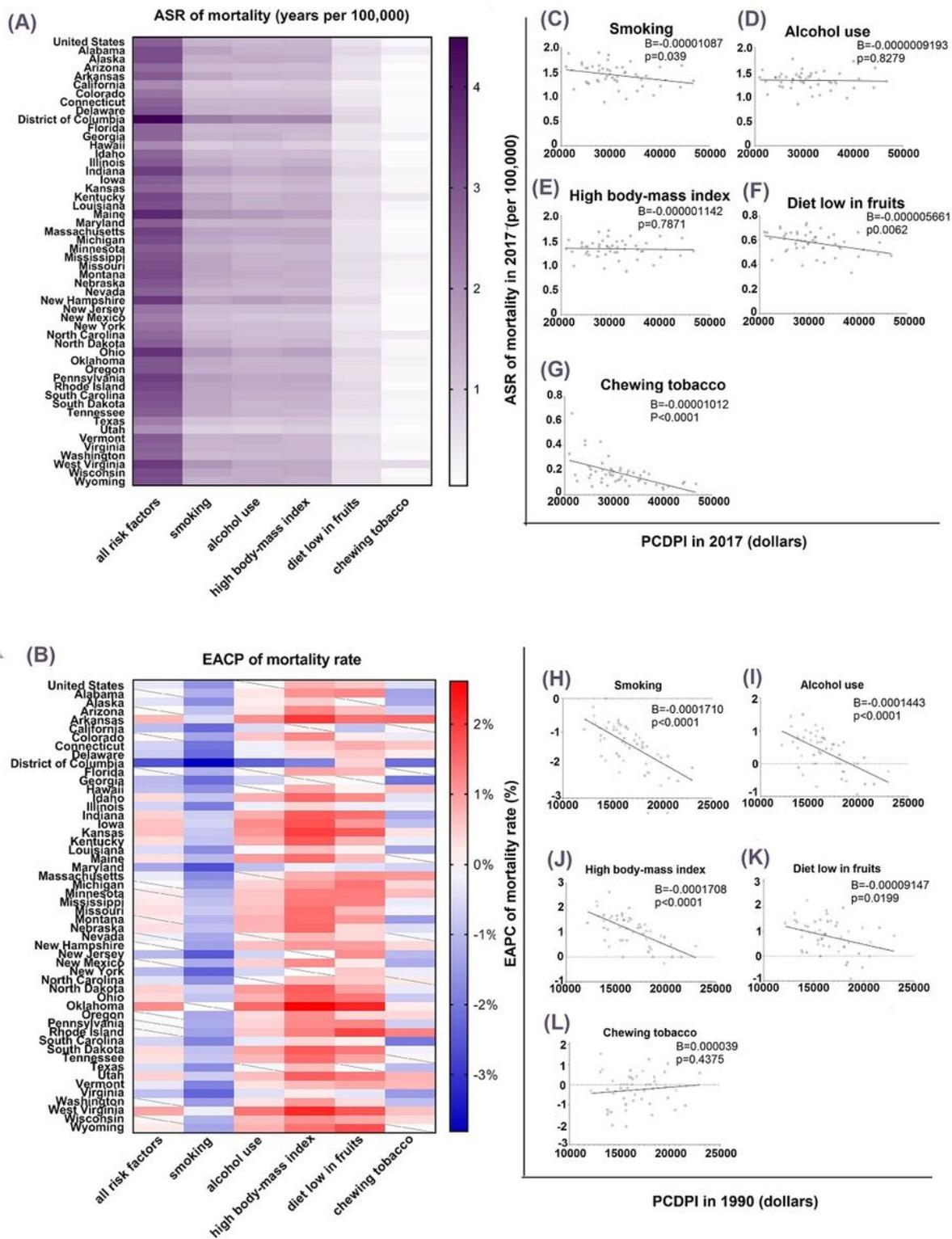


Figure 3

Heat map of EAPCs of mortality, and the correlation between PCDPI and EAPC. (A) ASRs of mortality of esophageal cancer attributed to different risk factors in different states. (B) EAPC of mortality of esophageal cancer attributed to different risk factors in different states. (C-G) The correlation between PCDPI and ASR of mortality of esophageal cancer attributed to certain risk factor and its EAPC. (H-L) The correlation between PCDPI and EAPC of mortality of esophageal cancer attributed to certain risk factor

and its EAPC. ASR, age-standardized rate; EAPC, estimated annual percentage change. Boxes with slashes mean that EAPC is not significant. The circles represent states. The B indices and p values were derived from Pearson correlation analysis. ASR, age-standardized rate; EAPC, estimated annual percentage change. District of Columbia was not included in (C) and (L) because of its outlier high PCDPI.

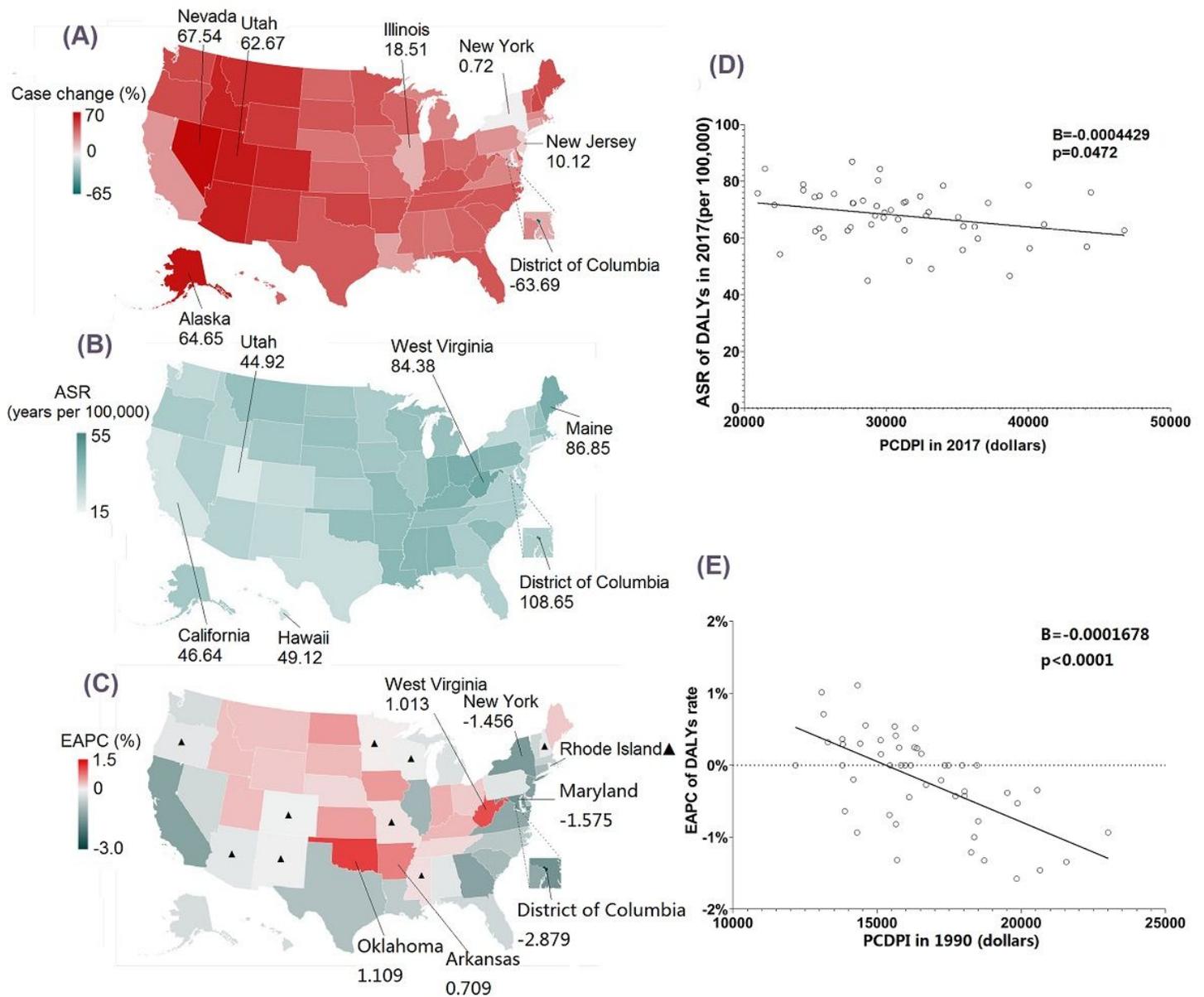


Figure 4

Maps of parameters of DALYs, and the correlation between PCDPI and EAPC. (A) The relative change in DALYs of esophageal cancer between 1990 and 2017. (B) The ASR of DALYs of esophageal cancer in 2017. (C) The EAPC of ASR of DALYs of esophageal cancer from 1990 to 2017. (D) The correlation between PCDPI and ASR of DALYs of esophageal cancer. (E) The correlation between PCDPI and EAPC. The B indices and p values presented in (D) and (E) were derived from Pearson correlation analysis. The circles in (C) to (L) represent states. DALYs, mortality and disability adjusted life years; ASR, age-

standardized rate; EAPC, estimated annual percentage change. \square , EAPC is not significant. District of Columbia was not included in (D) and (E) because of its outlier high PCDPI.

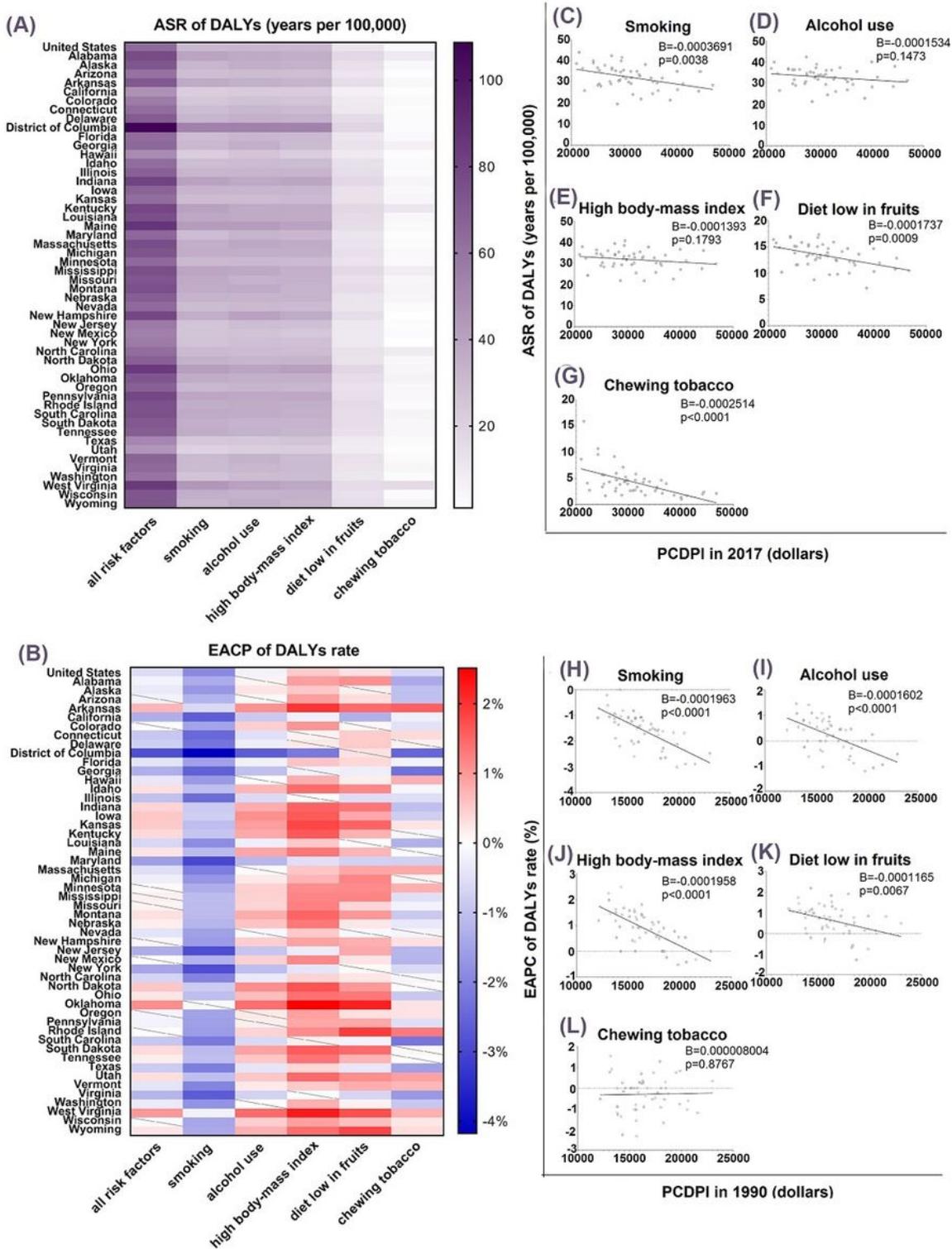


Figure 5

Heat map of EAPCs of DALYs, and the correlation between PCDPI and EAPC. (A) ASRs of DALYs of esophageal cancer attributed to different risk factors in different states. (B) EAPC of DALYs of esophageal cancer attributed to different risk factors in different states. (C-G) The correlation between

PCDPI and ASR of DALYs of esophageal cancer attributed to certain risk factor and its EAPC. (H-L) The correlation between PCDPI and EAPC of DALYs rate of esophageal cancer attributed to certain risk factor and its EAPC. ASR, age-standardized rate; EAPC, estimated annual percentage change. Boxes with slashes mean that EAPC is not significant. The circles represent states. The B indices and p values were derived from Pearson correlation analysis. DALYs, mortality and disability adjusted life years; ASR, age-standardized rate; EAPC, estimated annual percentage change. District of Columbia was not included in (C) to (L) because of its outlier high PCDPI.