

# Reproducibility of Lymphocyte-To-Monocyte Ratio (LMR), Neutrophil-To-Lymphocyte Ratio (NLR) and Platelet-To-Lymphocyte Ratio (PLR) in Patients with Locally Advanced Rectal Cancer

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## Research Article

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# Abstract

Rectal cancer constitutes over one-third of all colorectal cancers (CRC) and is one of the leading cause of cancer-related death in developed countries. Treatment modalities applied in locally advanced tumors differ substantially among research centers. In order to identify high-risk patients and better adjust the therapy new markers are needed. Systemic inflammatory response (SIR) markers such as LMR, NLR and PLR have been proved highly prognostic in many malignancies, including CRC; however, they lack proper validation. In our study we assessed the reproducibility of LMR, NLR and PLR. Sixty patients with locally advanced rectal cancer treated in Maria-Sklodowska Curie National Research Institute of Oncology in Warsaw, Poland between 08.2017 and 12.2020 were prospectively enrolled in the study. Three consecutive blood morphology tests of each patient within a median period of 21 days were obtained before start of the treatment.

LMR, NLR and PLR calculated at two time-points were correlated with the coefficient of 0.776, 0.696 and 0.751 ( $p < 0.005$  in all measurements), respectively. Cohen's Kappa statistic for the extent of agreement between the 1st and the 2nd measurement for LMR was  $\kappa = 0.59$  (95% CI, 0.39-0.79),  $p < 0.001$ . For NLR the Kappa was  $\kappa = 0.45$  (95% CI, 0.22-0.68),  $p < 0.001$  and for PLR  $\kappa = 0.53$  (95% CI, 0.32-0.75. Mean percentage change between the third and the first measurement of lymphocytes, monocytes, neutrophils and platelets count ranged from -5.59–4.76% and the standard error from 2.0 to 3.9.

In conclusion, SIR markers are moderately reproducible, easily obtained biomarkers with potential application in clinical practice.

## Introduction

Rectal cancer constitutes around 35% of all colorectal cancers (CRC) and its incidence in the European Union is estimated at 125 000 per year. Due to sociodemographic changes and population ageing this figure is predicted to rise causing a growing problem for public health care. Despite significant progress in recent years prognosis, especially in advanced stages of the disease, remains unsatisfactory. The Union for International Cancer Control (UICC) tumor node metastasis (TNM) staging system, histological grade, tumor location, MRI-derived radiological findings, distance from the tumor to the mesorectal fascia are main prognostic factors essential for treatment planning [1, 2]. The current standard of care for patients with locally advanced rectal cancer (LARC) is neoadjuvant radiotherapy/chemo-radiotherapy followed by surgery according to total mesorectal excision (TME) principles and postoperative chemotherapy. The novel approach of total neoadjuvant therapy (TNT) consists in incorporating all chemotherapy in the neoadjuvant setting [3]. There are considerable differences in terms of treatment modalities applied in patients with LARC among countries and research centers. It is crucial to appropriately identify high-risk patients to optimize the therapy. In order to avoid both under- and over-treatment new, easily accessible and reliable markers are needed. In recent years, a strong prognostic value of blood-based systemic inflammatory response (SIR) biomarkers such as LMR, NLR and PLR has become well-proven in many malignancies [4–6]. SIR markers have been thoroughly investigated in CRC. High NLR, high PLR and low

LMR are predictors of unfavorable prognosis - it applies to both overall survival (OS) and disease-free survival (DFS)/recurrence-free survival (RFS) [7–9]. In order to implement SIR markers into risk assessment protocols and use them for stratifying the prognosis in clinical practice their reliability needs to be determined.

In our study, we evaluated the pre-treatment reproducibility of LMR, NLR and PLR measurements in patients with LARC in order to assess the possibility of their use in the treatment allocation process.

## Materials And Methods

A single-arm prospective study among patients treated in Maria Skłodowska-Curie National Research Institute of Oncology in Warsaw was conducted. The eligibility criteria were as followed: 1) patients were diagnosed with primary locally advanced rectal cancer confirmed by histopathology; 2) clinical records including demographic data and laboratory data were available and complete; 3) patients were >18 years old. The exclusion criteria were: 1) presence of distant metastasis at the time of diagnosis; 2) patients received neoadjuvant chemo- and/or radiotherapy 3) presence of malignant tumors in other organs; 4) presence of hematological malignancies, acute or chronic inflammatory diseases and other medical conditions that could affect inflammatory markers; 5) prior immunosuppressive therapy. Blood samples from patients were obtained three times within a median period of 21 days (range, 7-55 days). All the tests were performed prior to any oncological treatment. The differential white blood cell count was analyzed using the Sysmex XN-550 hematology analyzer following the manufacturer protocol. LMR, NLR and PLR were calculated from the blood samples by dividing an absolute lymphocyte count by an absolute monocyte count, an absolute neutrophil count by an absolute lymphocyte count and an absolute platelet count by an absolute lymphocyte count, respectively. Patients were divided in terms of baseline values of SIR markers into LMR,NLR,PLR-high and low groups. The cut-off values were determined based on our previous studies and data available in the literature [4, 6, 10, 11].

Formulas:

LMR – absolute lymphocyte count (g/l)<sup>1</sup> / absolute monocyte count (g/l)

NLR – absolute neutrophil count (g/l) / absolute lymphocyte count (g/l)

PLR – absolute platelet count (g/l) / absolute lymphocyte count (g/l)

<sup>1</sup>gram per liter

## Statistical analysis

The Shapiro-Wilk test was used to test the normality of data distribution. The analysis of repeatability of measurements of SIR markers was evaluated using the Friedman's test. Binomial variables were compared between measurements with McNemar test. Additionally, confidence intervals for proportion

were calculated, using binomial exact calculation. Cohen’s Kappa was calculated to assess the extent of agreement between the 1st and the 2nd measurement, including 95% confidence interval. The relationship between parameters was assessed by Pearson’s correlation analysis. Statistical analyses were performed using the IBM SPSS Statistics ver. 23 software package and R software, version 4.0.5.

## Ethical considerations

The study conformed to the provisions of the Declaration of Helsinki and was approved by the ethics committee of National Institute of Oncology. All patients were informed of the investigational nature of this study and provided written informed consent.

## Results

A total of 60 patients with rectal cancer treated in Maria Skłodowska-Curie National Research Institute of Oncology in Warsaw between 08.2017 and 12.2020 were prospectively enrolled in the study. Forty-three males and seventeen females were included. The median age was 66.5 years (range, 29-89 years old). Distribution of cancer stages were as followed: stage II-IIIA - 8 (13%), stage IIIB – 41 (68%) and stage IIIC – 10 (17%). Stage of one of the patients remained undefined. There were no stages I or IV. Characteristics of patients is presented in table 1.

**Table 1.** Characteristics

All patients (n=60)	
Age (years), median (range)	66.5 (29-89)
Sex, n (%)	
Male	43 (71.7)
Female	17 (28.3)
Tumor, n (%)	
T3	55 (91.7)
T4	5 (8.3)
Lymph nodes, n (%)	
N0	8 (13.3)
N1	35 (58.3)
N2	16 (26.7)
Nx	1 (1.7)
Grade, n (%)	
G1	2 (3.3)
G2	42 (70)
G3	2 (3.3)
Gx	14 (23.3)
Stage, n (%)	
II-IIIA	8 (13.3)
IIIB	41 (68.3)
IIIC	10 (16.7)
Time between measurements (days), median (range)	
1st-2nd	9 (1-42)
2nd-3rd	11 (1-34)
1st-3rd	21 (7-55)

The median value of LMR in three consecutive measurements was 2.71 (range 1.11-6.42), 2.93 (0.24-7.32) and 2.8 (0.87-6.98) ( $p = 0.766$ ). Median value of NLR was 2.71 (1.17-7.58), 2.84 (0.81-8.96) and 2.47 (1.04-10.22) respectively ( $p = 0.344$ ). Medians of PLR were 150 (67-551), 141 (54-479) and 141 (67-430) ( $p = 0.627$ ). All median and mean values of lymphocyte, monocyte, neutrophil and platelet count as well as their ratios are shown in table 2. The results of three measurements of LMR, NLR and PLR are illustrated in appendix fig.1.

**Table 2.** Median values of measurements

X	1 <sup>st</sup> measurement	2 <sup>nd</sup> measurement	3 <sup>rd</sup> measurement	All measurements (mean)	p value <sup>1</sup>
ALC ( $10^9/l$ ), median (range)	1.72 (0.70-3.79)	1.65 (0.69-4.02)	1.67 (0.52-3.92)	1.67 (0.52-4.02)	0.541
ALC ( $10^9/l$ ), mean (SD)	1.84 (0.69)	1.87 (0.76)	1.86 (0.73)	1.86 (0.69)	
AMC ( $10^9/l$ ), median (range)	0.61 (0.30-1.30)	0.64 (0.27-5.26)	0.64 (0.33-1.21)	0.63 (0.27-5.26)	0.800
AMC ( $10^9/l$ ), mean (SD)	0.68 (0.25)	0.77 (0.65)	0.68 (0.24)	0.71 (0.30)	
ANC ( $10^9/l$ ), median (range)	4.89 (2.42-12.36)	5.10 (2.11-12.27)	4.43 (2.35-13.69)	4.84 (2.11-13.69)	0.770
ANC ( $10^9/l$ ), mean (SD)	5.43 (2.05)	5.26 (2.00)	5.02 (2.03)	5.24 (1.91)	
Platelets ( $10^9/l$ ), median (range)	273.00 (116.00-666.00)	254.00 (149.00-607.00)	269.00 (152.00-601.00)	264.00 (116.00-666.00)	0.198
Platelets ( $10^9/l$ ), mean (SD)	293.00 (109.60)	284.00 (94.12)	291.00 (101.82)	289.00 (99.16)	
LMR, median (range)	2.71 (1.11-6.42)	2.95 (0.24-7.32)	2.8 (0.87-6.98)	2.89 (0.24-7.32)	0.766
LMR, mean (SD)	2.94 (1.22)	2.93 (1.25)	2.91 (1.18)	2.93 (1.11)	
NLR, median (range)	2.71 (1.17-7.58)	2.84 (0.81-8.96)	2.47 (1.04-10.22)	2.65 (0.81-10.22)	0.344
NLR, mean (SD)	3.25 (1.47)	3.13 (1.56)	3.10 (1.80)	3.16 (1.47)	
PLR, median (range)	150.00 (67.00-551.00)	141.00 (54.00-479.00)	141.00 (67.00-430.00)	142.00 (54.00-551.00)	0.627
PLR, mean (SD)	179.00 (95.69)	173.00 (91.15)	180.00 (95.01)	177.00 (87.90)	

<sup>1</sup>p value calculated using the Friedman's test

Patients were divided into high and low groups according to baseline value of each SIR marker. The predetermined cut-offs were 2.6 for LMR, 3.0 for NLR and 150 for PLR. The number of patients who belonged to each group in each measurement is presented in table 3.

**Table 3.** LMR,NLR, PLR-high/low patients in each measurement.

	Measurement						p <sup>1</sup>		
	1 <sup>st</sup>		2 <sup>nd</sup>		3 <sup>rd</sup>		2nd vs. 1st	3rd vs. 1st	3rd vs. 2nd
	n (%)	CI <sub>95</sub> for % <sup>2</sup>	n (%)	CI <sub>95</sub> for % <sup>2</sup>	n (%)	CI <sub>95</sub> for % <sup>2</sup>			
LMR									
LMR-high (>2.6)	34 (56.7)	43.2-69.4	35 (58.3)	44.5-70.9	33 (55.0)	41.6-67.9	>0.999	0.791	0.607
LMR-low (≤2.6)	26 (43.3)	30.6-56.8	25 (41.7)	29.1-55.1	27 (45.0)	32.1-58.4			
"True LMR-high / LMR-low" – patients who remained in the same group (LMR-high or LMR-low) after the 2 <sup>nd</sup> / 3 <sup>rd</sup> measurement	x	x	49 (81.7)	69.6-90.5	41 (68.3)	55.0-79.7	x	x	0.804
NLR									
NLR-high (≥3.0)	23 (38.3)	26.1-51.8	27 (45.0)	32.1-58.4	21 (35.0)	23.1-48.4	0.455	0.754	0.146
NLR-low (<3.0)	37 (61.7)	48.2-73.9	33 (55.0)	41.6-67.9	39 (65.0)	51.6-76.9			
"True NLR-high / NLR-low" – patients who remained in the same group (NLR-high or NLR-low) after the 2 <sup>nd</sup> / 3 <sup>rd</sup> measurement	x	x	44 (73.3)	60.3-83.9	41 (68.3)	55.0-79.7	x	x	0.146
PLR									
PLR-high (≥150)	29 (48.3)	35.2-61.6	24 (40.0)	27.6-53.5	26 (43.3)	30.6-56.8	0.180	0.581	0.581
PLR-low (<150)	31 (51.7)	38.4-64.8	36 (60.0)	46.5-72.4	34 (56.7)	43.2-69.4			
"True PLR-high / PLR-low" –	x	x	47 (78.3)	65.8-87.9	42 (70.0)	56.8-81.2	x	x	>0.999



patients who  
remained in the  
same group

(PLR-high or PLR-  
low) after the 2<sup>nd</sup> /  
3<sup>rd</sup> measurement

<sup>1</sup> Comparison between measurements with McNemar test

<sup>2</sup> 95% confidence interval (CI) for proportion based on binomial exact calculation

Over half of the patients - 56.7% (95% CI, 43.2-69.4%) were classified as LMR-high; 61.7% (95% CI, 48.2-73.9%) and 51.7% (95% CI, 38.4-64.8%) of patients were assigned to NLR-low and PLR-low group accordingly. After the second measurement 81.7% (95% CI, 69.6-90.5%) of patients belonged to the same group (LMR-high or LMR-low). In terms of NLR and PLR there was 73.3% (95% CI, 60.3-83.9%) and 78.3% (95% CI, 65.8-87.9%) of patients in the same group, respectively. Interestingly, after three measurements the percentage of patients who stayed in the same group ("true LMR, NLR, PLR-high or low") was almost identical: 68.3% (95% CI, 55.0-79.7%) for LMR and NLR and 70.0% (95% CI, 56.8-81.2%) for PLR. For LMR, NLR and PLR there were no significant changes in percentage of patients classified as low/high between all 3 measurements  $p > 0.05$  in all comparisons).

Mean percentage change between the third and the first measurement of lymphocytes, monocytes, neutrophils and platelets count ranged from -5.59% to 4.76% and the standard error from 2.0 to 3.9 (table 4.; fig. 1).

**Table 4. Calculation as % change 3<sup>rd</sup> measurement vs 1<sup>st</sup> measurement**

% change	n	Mean	Standard deviation	Standard error	Median	Minimum	Maximum
L	60	4,76	30,23	3,9	0,75	-60,61	92,86
M	60	3,88	24,39	3,1	4,78	-40,00	85,71
N	60	-5,59	20,57	2,7	-8,20	-47,70	43,66
WBC	60	-2,39	17,28	2,2	-3,86	-39,78	42,12
PLT	60	1,29	15,30	2,0	-0,70	-29,32	44,60

Cohen's Kappa statistic for the extent of agreement between the 1st and the 2nd measurement for LMR was  $\kappa = 0.59$  (95% CI, 0.39-0.79),  $p < 0.001$ . For NLR the Kappa was  $\kappa = 0.45$  (95% CI, 0.22-0.68),  $p < 0.001$  and for PLR  $\kappa = 0.53$  (95% CI, 0.32-0.75),  $p < 0.001$ , meaning in all cases a moderate agreement between both measurements.

If LMR at the first time was out of the range of 2.2-3.0 ( $\pm 0.4$  from the cut-off) the risk of misclassification in the second measurement, defined as an affiliation to a different (high or low) group than initially, dropped to 5.0% (95% CI, 1.0-13.9%). In case of NLR, when outside of the range of 2.5-3.5 ( $\pm 0.5$ ) in the first test, it was 8.3% (95% CI, 2.8-18.4%) and in case of PLR outside of the range of 125-175 ( $\pm 25$ ) 10.0% (95% CI, 3.8-20.5%). The distribution of misclassifications based on initial values of SIR markers are shown in fig. 2.

The analysis of correlation between the first and the third measurement of LMR, NLR and PLR was conducted. LMR values were correlated with the coefficient of 0.776 ( $p < 0.00001$ ). NLR and PLR were correlated with the coefficient of 0.696 ( $p < 0.000089$ ) and 0.751 ( $p < 0.00001$ ) (appendix fig. 2.).

## Discussion

LMR, NLR and PLR are thoroughly investigated biomarkers with high prognostic and potential predictive value in many malignancies. However, they still lack proper validation and the number of studies assessing their reproducibility is very limited. To the best of our knowledge, our study is the first to directly investigate this subject in prospectively enrolled cohort. The reference and cut-off values of SIR markers are not well-established. Based on analyses of ostensibly healthy populations average value of LMR, NLR and PLR may differ depending on race, sex and age. Mean values of LMR in healthy individuals were significantly higher and mean values of NLR and PLR lower in comparison to our results [12–14]. Our findings suggest that all three SIR markers are reproducible in rectal cancer patients. These results are in line with our previous retrospective study of reproducibility of LMR in patients with LARC where two peripheral blood tests within 5 weeks prior to anti-cancer therapy were performed. LMRs calculated at two time-points were correlated with the coefficient of 0.588 ( $p < 0.005$ ). Patients were allocated into LMR-high and LMR-low groups using the cut-off value of 2.6. The same percentage of patients (18%) as in the current study had not been re-assigned to the LMR group in the second test (95% CI, 8.6-31.4%). The chance for misclassification was 7.5% (95% CI, 1.6-20.4%) if LMR in the first measurement was outside of the range of 2.2-3.0 compared to 5% (95% CI, 1.0-13.9%) in our current study [11]. The stability of NLR over time, up to 100 days, was demonstrated in cardiac surgery patients, it was not confirmed in cancer population, though [15]. No other studies investigating the reproducibility of SIR markers have been found in the literature. As more research of cancer-related inflammation (CRI) indices is still needed in order to apply them in clinical practice, better understanding of CRI phenomena is of the essence.

The relationship between cancer and inflammation has been investigated since the 19th century when Virchow first observed that cancer tends to originate from chronically inflamed sites [16]. Through recruitment of inflammatory cells and cytokines, production of reactive oxygen species and inhibiting repair programs, inflammation promotes uncontrolled proliferation of defective cells and potentiates neoplastic risk. Inflammatory cells are abundant in tumor's microenvironment [17]. They reflect not only a reaction of the host towards tumor, but also, as a product of cancer-related cells, tumor's predisposition towards invading and suppressing the immune system [18]. Lymphocytes which count is assumed to reflect systemic inflammatory response, by inducing the production of anti-tumor cytokines and cytotoxic

activity suppress cancer's proliferation and spread [19]. Correlation between lymphopenia and poor prognosis has been demonstrated in many malignancies [20]. Monocytes, on the contrary, are proved to contribute to tumor's progression and metastatic activity [21]. Tumor-associated macrophages (TAMs) are monocyte-derived macrophages abundantly present within infiltrates of the cancer tissue. TAMs potentiate tumor's progression by producing growth factors, cytokines and proteases. Moreover, TAMs secrete Il-10 which suppresses anti-tumoral CD4+ T cells activation [22]. High peripheral monocyte count has a negative impact on OS and PFS in solid tumors [23]. Combining the effect of lymphocytes and monocytes on immunological system led to an introduction of lymphocyte-to-monocyte ratio (LMR) which is one of the most investigated SIR marker with potent prognostic value. Studies show that low and high LMR is associated with poor and favorable prognosis respectively. This pattern is consistently confirmed in various malignancies [5]. In CRC the prognostic value of LMR is well-documented in both early, locally advanced and metastatic stages [24]. Low pre-treatment LMR was proved to predict a significantly worse prognosis in patients with LARC following TME [25]. It predicts a pathological response to neoadjuvant chemoradiotherapy [26]. LMR was also investigated as a predictive factor for a neo-adjuvant radiation regimen with promising results [10]. An association between the biomarker and tumor location (sidedness), metabolic parameters of baseline  $^{18}\text{F}$ -FDG PET/CT or postoperative infectious complications in patients with CRC have been demonstrated in recent years [27–29]. Neutrocytes and thrombocytes are both negative prognostic factors in cancer patients [30, 31]. Neutrophils, accounting for 50-70% of leukocytes, play a central role in CRI. Releasing reactive oxygen and nitrogen species which damage DNA they take a substantial part in cancer initiation [32]. Tumor progression is boosted by neutrophil-derived chemokines and cytokines mediating the process of angiogenesis [33]. Neutrocytes take part in suppressing lymphocyte T proliferation, reducing the anti-tumoral effect of NK-cells and promoting metastatic spread [34, 35]. Similarly, platelets by releasing cytokines and growth factors contribute to carcinogenesis. There is a substantial interaction between thrombocyte activation and cancer progression. Tumor cells produce cytokines such as IL-6 which stimulate thrombocytosis. In turn thrombocytes promote further tumor growth leading to an even more intensive stimulation and activation of platelets [36]. The association between thrombocytosis and cancer is well-documented and high platelet count emerges as an important marker of cancer [37]. NLR and PLR have been proved to have negative prognostic value in solid tumors [38, 39]. Their prognostic role have been demonstrated in non-oncological medical conditions such as sepsis, pulmonary embolism or COVID-19 pneumonia [40–42]. An increasing number of studies indicate predictive properties of these hematological indices e.g. in breast cancer low pretreatment values of NLR and PLR were proved to be associated with higher complete response rate to neoadjuvant chemotherapy [43]. Pre-treatment NLR and PLR may be helpful in identifying patients who would be most appropriate for immunotherapy as a second line treatment in non-small cell lung cancer [44]. Similarly to LMR an association was found between PET-CT metabolic parameters and both NLR and PLR [45, 46]. NLR and PLR may be useful in predicting lymph node positivity and recurrences after surgery in gastrointestinal cancers [47].

All three SIR markers have strong prognostic value in cancer and their predictive role is heavily investigated. Results of our study confirm that LMR, NLR and PLR are good candidates for biomarkers

given their accessibility, reproducibility and low cost. A vast potential for use in clinical practice is evident and efforts to include them into existing risk scoring systems or use them as parts of novel ones are already on the way and the results are promising [48, 49].

There are several limitations concerning our study: a) a relatively small group of patients; b) possibility of undocumented patients' medical conditions that might have affected SIR markers count such as infection, exacerbation of chronic renal, hepatic or cardiac disease etc.; c) homogeneity of studied population which consisted entirely of citizens of Poland of Caucasian ethnicity. More studies which would include larger, mixed populations are required to confirm our results. Despite limitations our study concentrates on the subject hardly present in the literature which is crucial for proper determination of reliability of LMR, NLR and PLR as prognostic markers and their application in clinical practice.

## Conclusions

LMR, NLR and PLR are easily accessible and reproducible parameters. Considering their well-proved high prognostic value they have potential to become new biomarkers for patients with colorectal cancer and other malignancies. More studies are needed to improve their validation and enable broader application in clinical practice.

## Abbreviations

LMR, lymphocyte-to-monocyte ratio; NLR, neutrophil-to-lymphocyte ratio; PLR, platelet-to-lymphocyte ratio; CRI, cancer-related inflammation; SIR, systemic inflammatory response

## Declarations

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### Competing interests

The author(s) declare no competing interests.

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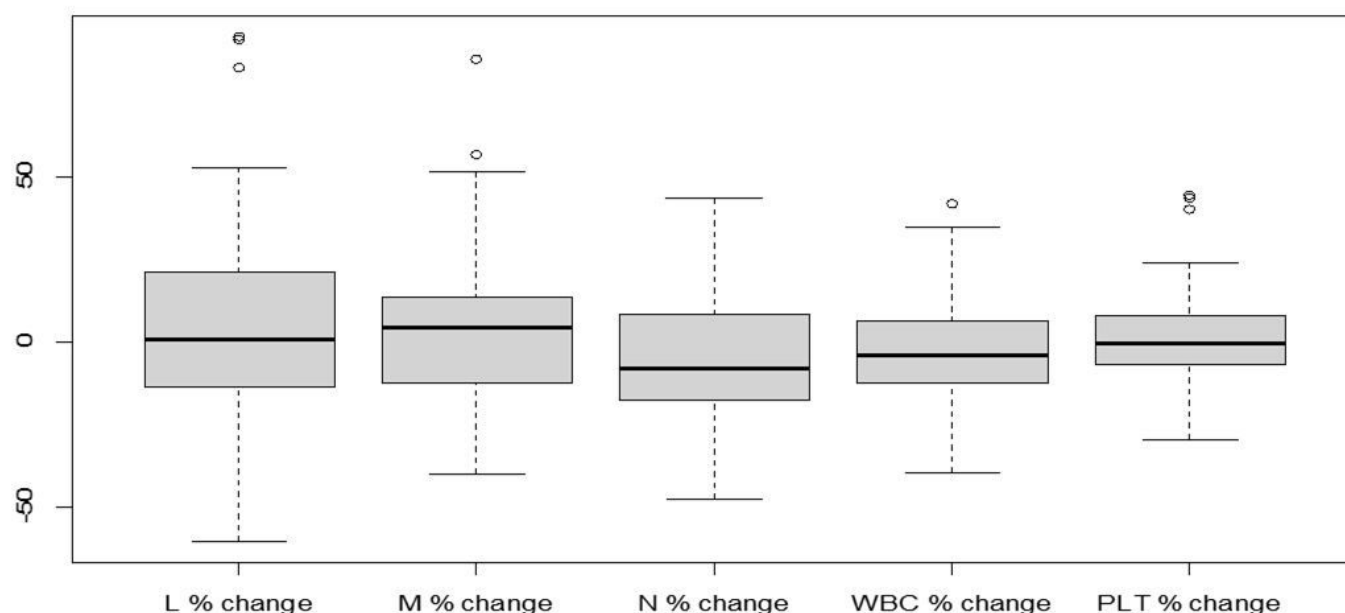
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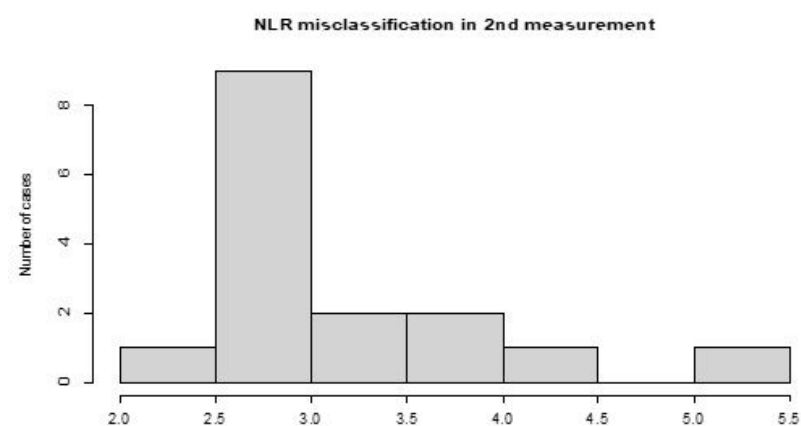
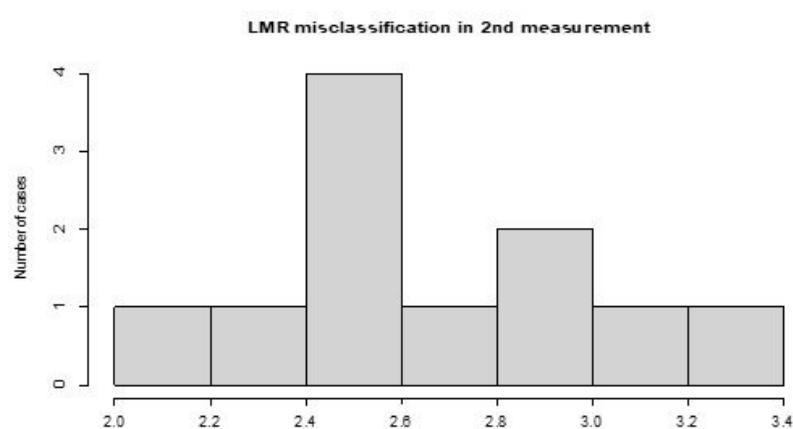
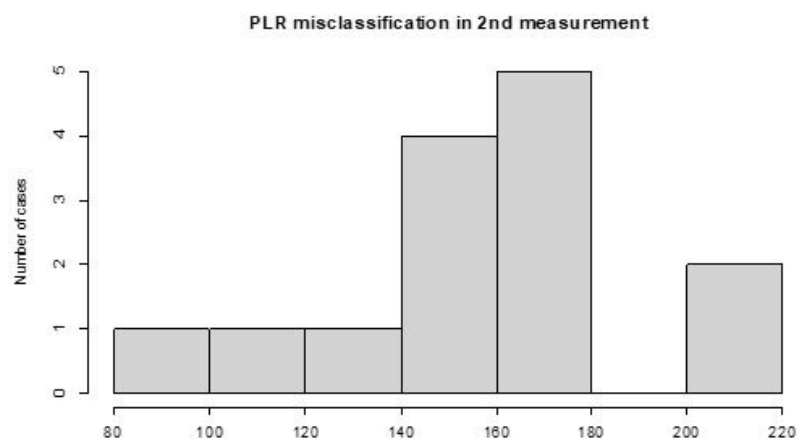
## Figures



**Figure 1**

Boxplot of % changes between 3<sup>rd</sup> and 1<sup>st</sup> measurement





**Figure 2**

Number of misclassifications in the 2<sup>nd</sup> measurement (LMR,NLR,PLR-high/low) based on the value of LMR, NLR and PLR.

## Supplementary Files

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