

# Expanded CD1c+CD163+ DC3s population in synovial tissues is associated with disease progression of osteoarthritis

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## Research Article

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22

23 Conflict of Interest: The authors declare that they have no conflict of interest.

24

25 **Abstract**

26 Objective: The mechanisms underlying osteoarthritis (OA) have recently been  
27 hypothesized to involve a dysfunctional immune system. This study aimed to evaluate  
28 the landscape of immune cells infiltrating synovial tissue and to determine their  
29 function in OA progression.

30 Design: Synovial tissue, synovium fluid (SF) and peripheral blood were collected from  
31 21 patients with OA. Mononuclear cells were isolated and characterized using flow  
32 cytometry. H&E staining and multiplex immunohistochemistry (mIHC) histological  
33 assessment of synovial samples were performed. Cytokine levels in the SF were  
34 measured using ELISA.

35 Results: We observed similar frequencies of immune cells in the synovium and SF,  
36 which were enriched in macrophages, T cells, and DCs. Notably, DC3s, CD1c<sup>+</sup>DC163<sup>+</sup>  
37 DCs, an inflammation-induced subpopulation of DCs, was significantly expanded in  
38 the synovium and SF. Furthermore, we found that DC3s were primarily located within  
39 the ectopic lymphoid-like structure (ELLS) in close proximity to CD8<sup>+</sup> T cells. Finally,  
40 the level of TNF- $\alpha$  and IL12p70 in the SF correlated with the severity of OA, suggesting  
41 a possible link between DC3s and OA progression.

42 Conclusion: These data suggest that OA is an immune system-related disease and that  
43 DC3s may play an active role in OA progression by promoting ELLS formation and  
44 inflammatory responses.

45 **Keywords:** Osteoarthritis, Dendritic Cells, DC3, Synovium Fluid

46

47 **Introduction**

48 Osteoarthritis (OA) is the most prevalent arthritic condition in the elderly (over 60 years  
49 worldwide), and thus has a high impact on patient activity, and is associated with heavy  
50 economic burden<sup>1</sup>. Pain is the most common sign of disease and the leading cause of  
51 disability<sup>2</sup>. Although OA is mainly considered a degradative condition of the articular  
52 cartilage, there is increasing evidence demonstrating that OA is a low-grade  
53 inflammatory disease that affects all tissues of the joint, characterized by profound  
54 changes in intracellular mechanisms, and decreased efficiency of the immune system  
55 with ageing<sup>3</sup>.

56 After T cells, macrophages, and other immune cells infiltrate into joint tissues,  
57 cytokines and chemokines such as TNF- $\alpha$  and IFN- $\gamma$  are secreted, the complement  
58 system is activated, and cartilage-degrading factors such as matrix metalloproteinases  
59 are released, causing damage to the articular cartilage<sup>4</sup>. There has been considerable  
60 success in the treatment of rheumatoid arthritis using anti-cytokine therapies<sup>5</sup>. However,  
61 these therapies did not show much effect in OA, highlighting the more complex nature  
62 of OA pathogenesis. Thus, a better understanding of the pathogenic mechanisms of  
63 chronic immune activation and the development of novel therapeutic strategies for OA  
64 are urgently required.

65 Dendritic cells (DCs) are a class of bone marrow-derived cells arising from  
66 lymphomyeloid hematopoiesis. DCs form an essential interface between the innate  
67 sensing of pathogens and the activation of adaptive immunity<sup>6</sup>. The initiation and  
68 control of immune responses depends on three major subsets: plasmacytoid DCs  
69 (pDCs), myeloid/conventional DC1 (cDC1s), and DC2 (cDC2s). Previously, we

70 demonstrated that both macrophages and DCs were enriched in the joint synovium,  
71 suggesting that the type, density, and location of immune cells within the local milieu  
72 may strongly influence OA pathogenesis. Here, we aimed to evaluate and explore the  
73 full spectrum of immune cell types and DC subsets using high-dimensional flow  
74 cytometry and multiplex immunohistochemistry (mIHC). Specifically, cDC2s can be  
75 further separated into two subpopulations according to BTLA and CD163 expression:  
76 BTLA<sup>+</sup>CD163<sup>-</sup> cDC2s and BTLA<sup>-</sup> CD163<sup>+</sup> DC3s, with the latter primarily induced by  
77 inflammation<sup>7 8</sup>. In the current study, significantly increased levels of inflammatory  
78 DC3s were observed in the synovium compared with PBMCs and synovial fluid (SF).  
79 In addition, DC3s and CD8<sup>+</sup> T cells co-localized within ectopic lymphoid-like  
80 structures (ELLS), suggesting a role for DC3s in organizing ELLS by attracting and  
81 activating CD8<sup>+</sup>T cells. Moreover, the number of ELLS is proportional to disease  
82 severity. Together, this study revealed that DC3s were highly enriched in the joints of  
83 OA patients, with potentially pathogenic roles in disease progression.

84

## 85 **Method**

### 86 1. Study Population

87 From March 2021 to June 2021, patients with OA in the Orthopedic Surgery  
88 Department of Guanghua Hospital, Shanghai University of Traditional Chinese  
89 Medicine (21 patients) were enrolled. The diagnostic criteria for OA conformed to those  
90 of the American College of Rheumatology. We used the Kellgren and Lawrence (K&L)  
91 score to assess disease severity in patients with OA through imaging. Blood testing of

92 each patient revealed that there was no inflammatory reaction in the body before the  
93 operation. The clinical characteristics of the study population are shown in Figure 1A.  
94 This study was approved by the Ethics Committee of Guanghua Hospital and all study  
95 participants provided written informed consent.

## 96 2. Sample Preparation for Flow Cytometry Analysis

97 Before surgery, 5 mL of venous whole blood from was collected OA patients, placed in  
98 a sodium heparin anticoagulation tube and transported at room temperature. During  
99 total knee arthroplasty (TKA) surgery, synovial tissue was collected, and the joint fluid  
100 was placed in EDTA tube, and transported on ice at 4°C. Peripheral blood mononuclear  
101 cells (PBMCs) were separated by density centrifugation on Lymphoprep (Axis-Shield,  
102 Norway). For the flow cytometry assay, 100 µl fluorescently labeled antibody mixture  
103 was added to each sample (containing CD16-BV510, CD33-BV711, CD56-PE, CD19-  
104 BV650, CD14-A700, CD15/CD66b-PerCP-Cy5.5, CD1c-BB515, CD45-APC-Cy7,  
105 CD3-PE-Cy5.5, BTLA-PE-Cy5, CD88-PC594, CD141-APC, FcεRIα-  
106 Biotin/Streptavidin -BUV395, CD123-BV786, CD163-BV605, and HLADR-BV421).  
107 Meanwhile, mononuclear cells (MNs) were obtained from tissues as follows: after  
108 rinsing tissue with 1×PBS three times, weighing, and first cutting into 1 cm × 1 cm  
109 pieces with ophthalmological scissors for the mIHC assay, the remaining tissue was cut  
110 into small pieces. SF were frozen in aliquots of 100 µl at -80 °C for subsequent cytokine  
111 detection; digestive enzymes (type II collagenase 10 mg/mL+DNaseI100 U/mL) was  
112 then separately added to synovial tissue and SF, digested for 1 h in a 37 °C shaker, and  
113 filtered through a 70 µm mesh. Five ml of MACS buffer containing 1% FBS was then

114 added, and the sample was centrifuged at 500×g for 10 min. The supernatant was  
115 discarded, 100 µL of MACS buffer was added to resuspend the cells, the cells were  
116 transferred into a sterile EP tube, Zombie Yellow-BV570 was added for staining (RT,  
117 30 min), and 100 µl fluorescently labeled antibody mixture was added for cell surface  
118 staining (room temperature, 15 min). The cells were washed with 1 mL of MACS buffer  
119 (800×g, 2 min) and the supernatant was discarded. Cells were then fixed in fixation  
120 buffer (eBioscience, Intracellular Fixation Buffer) for 10 min at 4 °C, washed with 1  
121 mL MACS buffer and resuspended in 500 µL MACS buffer. A BD Fortessa flow  
122 analyzer and FlowJo software (V10.7.2) was used for data analysis.

### 123 3. Histological assessment of the synovium samples

124 Synovial tissue samples were retrieved for histological analysis after paraffin  
125 embedding. Following hematoxylin and eosin (H&E) staining of 4 µm-thick paraffin-  
126 embedded sections, multiplex immunohistochemistry (mIHC) was performed  
127 according to the manufacturer's instructions (Thermo Fisher Scientific Logo, Opal®  
128 Kit). This process was performed using the following antibodies and fluorescent dyes  
129 in the following order: CD1c/Opal570, CD8/Opal520, and CD163/Opal650. Detailed  
130 procedures for mIHC and quantitative analysis were performed as previously reported<sup>9</sup>.  
131 Slides were scanned and imaged using the PerkinElmer Vectra3® platform and  
132 analyzed in batches using PerkinElmer inform and R script for the quantification of  
133 positively stained cells.

### 134 4. Cytokine detection

135 The joint fluid was thawed at room temperature for 10 min. Before use, the joint fluid

136 was centrifuged at 10,000×g for 10 min and the supernatant was collected. ELISA  
137 assays for human TNF- $\alpha$ , IL12p70, or IL23 were performed according to the  
138 manufacturer's instructions (Absin Bioscience Inc., abs510012/abs510006/abs510013).  
139 The corresponding cytokine concentrations in each sample were obtained by referring  
140 to the standard curve.

## 141 5. Statistical analysis

142 Continuous variables were compared using the t-test or non-parametric Mann–  
143 Whitney U test, as appropriate. The Kruskal-Wallis test was used for the analysis of  
144 immune cell frequencies between the study groups. In the latter case, variations in  
145 statistical significance were further subjected to post-hoc pairwise analyses. The Mann-  
146 Whitney U test was performed to assess differences in cytokine expression in SF  
147 samples. All the reported p-values were two-tailed. A p-value <0.05 was considered to  
148 show a statistically significant difference. Statistical analyses were performed using  
149 GraphPad Prism 8.3.0 software and SPSS 25.0. The number of nearest neighbors was  
150 calculated on the assigned coordinates of each cell using R software with the spatstat  
151 package.

152

## 153 **Results**

### 154 **1. Differences in immune-cell patterns between synovium tissue, SF and PBMCs** 155 **in OA patients.**

156 OA patients undergoing total knee arthroplasty (TKA) were enrolled in our study.

157 Classification of 10 KL3 and 11 KL4 OA patients was performed on the basis of clinical

158 signs. Of the 21 patients identified, the mean age was 71 years, with no symptoms of  
159 inflammation (Figure 1A). A total of 17/21 patients with OA were female, consistent  
160 with the fact that autoimmune disease patients are most commonly female<sup>10</sup>. For each  
161 patient, mononuclear cells from the tissues (MNs) and peripheral blood (PBMCs) were  
162 isolated, as shown in Figure 1B.

163 To characterize the immune status of the synovium, we used 18-marker high-  
164 dimensional flow cytometry to study the major immune cell populations compared to  
165 paired PBMCs and SFs. Nine immune cell subsets were annotated: neutrophils (SSC-  
166 H<sup>hi</sup>CD15<sup>+</sup>CD16<sup>+</sup>), eosinophils (SSC-H<sup>hi</sup>CD15<sup>+</sup>), basophils (FceRI $\alpha$ <sup>+</sup>HLADR<sup>-</sup>), T cells  
167 (CD3<sup>+</sup>), B cells (CD19<sup>+</sup>), NK cells (CD3<sup>-</sup>CD56<sup>+</sup>), NKT cells (CD3<sup>+</sup>CD56<sup>+</sup>),  
168 macrophages (CD88<sup>+</sup>CD14<sup>+</sup>), and DCs (CD123<sup>+</sup>HLADR<sup>+</sup> pDCs, CD1c<sup>+</sup> mDCs, and  
169 CD141<sup>+</sup> mDCs). Fig. 1 shows the conventional manual gating strategy used to define  
170 these populations.

171 A comparative analysis was performed on the synovium, SF, and PBMCs. A  
172 similar pattern of immune cells was observed in the synovium and SF, which was  
173 completely different from that observed in PBMCs (Figure 1C-E). Although the number  
174 of each immune cell population varied greatly among patients, the frequency of  
175 macrophages showed major differences in synovium (50.77%  $\pm$  29.65% of CD45<sup>+</sup> cells;  
176 P = .0001) compared to PBMCs (10.96%  $\pm$  6.38) (Figure 1D, SFigure 2). The  
177 frequencies of total DCs in the SF and synovium were also significantly higher than  
178 those in the PBMCs, whereas fewer neutrophils and B cells were found. NK cells and  
179 NK T cells did not exhibit any major differences. However, a decreased percentage of

180 T cells was observed in both the synovium and SF (~25% CD45<sup>+</sup> cells). Taken together,  
181 these data showed that macrophages, T cells, and NK cells were enriched, whereas  
182 granulocytes were decreased in the synovium compared with PBMCs.

183

## 184 **2. High infiltration of CD1c<sup>+</sup>CD163<sup>+</sup> DC3s in synovium.**

185 DCs comprise various subsets and exhibit distinct functions in autoimmune diseases<sup>11</sup>.  
186 The DC subgroups pDCs (CD123<sup>+</sup>), DC1s (CD123<sup>-</sup>CD141<sup>+</sup>), DC2s  
187 (CD1c<sup>+</sup>BTLA<sup>+</sup>CD163<sup>-</sup>), and DC3s (CD1c<sup>+</sup>BTLA<sup>-</sup>CD163<sup>+</sup>) were further investigated in  
188 patients with OA. Each DC subgroup was gated as shown in Figure 2A. In line with  
189 earlier reports, the percentage of DC1s or total DCs was significantly higher in the  
190 synovium and SF than in the PBMC<sup>12</sup> group, whereas the percentage of pDCs did not  
191 differ between the three groups (Figure 2B). A similar proportion of DC2s was observed  
192 in SF and PBMCs. Intriguingly, DCs in the synovium were composed of almost 100%  
193 DC3s. Among CD45<sup>+</sup> immune cells, ~0.5% of DC3s were enriched in the synovium,  
194 while DC3 levels were found to be 10-fold higher in the SF. These results indicate that  
195 an increased number of cDCs accumulates during OA progression.

196

## 197 **3. DC3s organize into an ectopic lymphoid -like structure (ELLS) associated with** 198 **disease severity.**

199 To better explore the extent of synovitis and the contribution of cells to the degree of  
200 inflammation, we performed histopathological analysis of synovial tissue samples  
201 collected from KL3 and KL4 OA patients. As shown in the left H&E image in Figure

202 3A, the lining cells formed a single layer, and the synovial stroma showed normal  
203 cellularity with no inflammatory infiltrates. However, the presence of synovial lesions  
204 consistent with low-grade synovitis demonstrated an increase in the thickness of the  
205 lining layer and stromal cellularity, with the presence of a few, mostly perivascular  
206 lymphocytes. Comparatively, high-grade synovitis (Figure 3A, right picture) was  
207 distinguished by the presence of a greatly thickened lining, increased infiltration of  
208 numerous lymphocytes, and typical ELLS.

209 Next, we applied mIHC to further investigate cellular infiltration in the OA  
210 synovium by simultaneously gating for CD1c, CD8, and CD163. CD1c<sup>+</sup>CD163<sup>+</sup> DC3s  
211 and CD8<sup>+</sup> T cells were readily detected (Figure 3B). Recently, DC3s was proved *in vivo*  
212 to efficiently induce differentiation of CD8<sup>+</sup>T cells, while DC3 infiltration was found  
213 to correlate with CD8<sup>+</sup> T cells accumulation in breast tumors<sup>7</sup>. Consistent with this, we  
214 observed that DC3s and CD8<sup>+</sup>T cells were highly enriched in ELLS. We hypothesized  
215 that ELLS might be organized by DC3s, together with CD8<sup>+</sup>T cells. To confirm this,  
216 we applied cellular phenotyping of the fluorescence image and depicted the spatial  
217 location of DC3s and CD8<sup>+</sup> T cells *in situ* (SFigure 3). We divided the DC3s-CD8<sup>+</sup> T  
218 cell distance as < 20 μm and > 20 μm<sup>9</sup>. The relative number of DC3s was calculated  
219 and significantly higher numbers of DC3s were recovered within 20 μm than beyond  
220 (Figure 3C). In particular, ELLS were only found in < 20 μm positive samples including  
221 OA18, OA38, and OA39. These data suggest that the aggregation of DC3s was  
222 positively related to the formation of the ELLS structure.

223 DC3s are an important source of pro-inflammatory cytokines, including TNF-α,

224 L12p70, and IL23<sup>7</sup>. As shown in Figure 3D-F, the levels of TNF- $\alpha$  and IL12p70 in the  
225 SF of KL4 patients were significantly higher than those in KL3. Together, these results  
226 suggest that the increased infiltration of DC3s in joint infiltration, together with the  
227 elevated proinflammatory cytokines in SF, may play a critical role in the progression of  
228 OA.

## 229 **Discussion**

230 OA was initially defined as a disease induced by mechanical stress in the form of  
231 cartilage destruction, with minimal, if any, involvement of immune responses. Thus,  
232 OA, in contrast to rheumatoid arthritis (RA), has long been regarded as a non-  
233 inflammatory disease<sup>13-15</sup>. However, recent studies have demonstrated that, at least in  
234 certain patients, OA is an inflammatory disease, with patients frequently found to  
235 exhibit inflammatory infiltration of synovial membranes<sup>16,17</sup>. Recent studies have  
236 shown that the number of inflammatory cells in synovial tissue is higher than that in  
237 peripheral blood<sup>18,19</sup>. Both macrophages and T cells play important roles in OA  
238 pathogenesis<sup>20-23</sup>. Consistent with previous studies, we also found higher percentages  
239 of macrophages in synovial tissue and SF than in PBMCs, whereas a decreased number  
240 of T cells was measured in synovial tissue and SF<sup>16,24</sup>.

241 Surprisingly, among CD45<sup>+</sup> cells, DCs were redundant in synovial tissue and SF.  
242 DCs have the broadest range of antigen presentation. The activation of innate immunity  
243 plays a critical role in the development and progression of OA. Innate immunity,  
244 including inflammasome activation, is triggered by small endogenous molecules called  
245 damage-associated molecular patterns, which are released in the extracellular media

246 after cell stress or damage, bind to pathogen-recognition receptors, including distinct  
247 Toll-like receptors, and activate the secretion of pro-inflammatory cytokines, resulting  
248 in joint inflammation. Moreover, the functional role of Toll-like receptors expressed on  
249 DCs in OA development has also been reported<sup>25</sup>.

250 DCs are necessary for the activation of naïve T-cells. In this study, we found that  
251 DC3s, defined as CD1c<sup>+</sup>CD163<sup>+</sup> cells, were significantly enriched in the synovium and  
252 could prime naïve CD8<sup>+</sup> T cells. In synovial tissue, CD8<sup>+</sup>T cells are often located at the  
253 periphery of the aggregation<sup>24</sup>. As shown by our mIHC results, a substantial number of  
254 DC3s and CD8<sup>+</sup>T cells were observed within the synovium. Consistent with this, higher  
255 levels of TNF- $\alpha$  and IL12p70, which are mainly secreted by DC3, were measured in  
256 the SF of patients with OA. Finally, DC3s-CD8<sup>+</sup>T cells formed a tight structure named  
257 ELLS. Similar lymphoid-like structures, such as tertiary lymphoid organs in tumors,  
258 have been previously noted<sup>26,27</sup>. These structures are composed of various immune cell  
259 types, including dendritic cells and antigen-specific B-and T-lymphocytes. In contrast  
260 to secondary lymphoid organs, TLSs are not imprinted during embryogenesis, but are  
261 formed in non-lymphoid tissues in response to local inflammation. Before surgery, all  
262 enrolled patients with OA experienced pain with severe joint deformation. This may be  
263 related to the increased infiltration of DC3s into the synovium, which would prime  
264 more CD8<sup>+</sup>T cells, causing more serious damage to the joint. Indeed, increased levels  
265 of TNF- $\alpha$  and IL12p70, of which DC3s are a major source, were observed in the SF of  
266 KL4 patients compared to KL3 patients. Although whether DC3s could predict poor  
267 OA prognosis needs to be further studied, our results strongly suggest that DC3s could

268 play a critical role in OA pathogenesis, and that targeting DC3s might be a novel  
269 therapeutic target to treat OA in both the early and late phases.

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### 275 **Contributions**

276 All authors contributed to the study conception and design. Material preparation,  
277 data collection and analysis were performed by [Lu Meng], [Guowei Qiu] and [Chenxin  
278 Gao]. The first draft of the manuscript was written by [Guowei Qiu] and [Lu Meng].  
279 All authors commented on previous versions of the manuscript. All authors read and  
280 approved the final manuscript.

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286 the preparation of this manuscript.

### 287 **Competing interests**

288 The authors declare that they have no conflict of interest.

### 289 **Data Availability**

290 The datasets generated during and/or analysed during the current study are  
291 available from the corresponding author on reasonable request.

## 292 **Ethics approval**

293 This is an observational study. The Ethics Committee of Guanghua Hospital has  
294 confirmed that no ethical approval is required.

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365

### 366 **Figure Legends**

367 **Figure 1.** Landscape of immune cells in synovium, SF and paired PBMC.

368 (A) 21 OA patients undergoing TKA were studied. (B) PBMC cells and tissue MNs  
369 were isolated separately from 21 OA donors. (C) Identification of 9 major immune cell  
370 subsets by tSNE of flow cytometry data of synovium, SF and PBMC using the  
371 FlowSOM algorithm. (D) Proportions of monocytes/macrophages, DCs, T cells and  
372 NK cells to CD45<sup>+</sup> cells in PBMC, synovium and SF. Significance was assessed by  
373 Wilcoxon matched-pairs signed rank test. \*\*\*, P < 0.001. \*\*\*\*, P < 0.0001.

374 **Figure 2.** DC3s significantly infiltrated in synovium. (A) Gating strategy for  
375 identification of DC2s and DC3s in Flow Cytometry. (B) 4 DCs subsets were defined  
376 in PBMC, synovium and SF. (C) Histograms showing the proportion of DCs of CD45<sup>+</sup>  
377 cells in synovium comparing with PBMC or SF. Significance was assessed by Wilcoxon

378 matched-pairs signed rank test. \*\*,  $P < 0.01$ . \*\*\*,  $P < 0.001$ . \*\*\*\*,  $P < 0.0001$ .

379 **Figure 3.** DC3s infiltrated in synovium is correlated with CD8<sup>+</sup>T cells. (A) H&E stain.  
380 (B) Representative mIHC image shows the staining for CD1c (yellow), CD163 (red),  
381 CD8 (green) in the OA synovium. In left picture, white arrow indicates DC3s  
382 (CD1c<sup>+</sup>CD163<sup>+</sup>). A typical ELLS consist plenty of DC3s and CD8<sup>+</sup>T cells was showed  
383 in right image. Magnification  $\times 200$ . (C) Association of DC3s infiltration with CD8<sup>+</sup> T  
384 cells. Increased number of DC3 were measure when CD8<sup>+</sup>T cells located close to DC3s  
385 ( $< 20\mu\text{m}$ ). Each dot represents a single data point; blue dots represent ELLS negative  
386 samples including OA37 and OA40, and violet dots represent ELLS positive ones.  
387 Histograms showing higher level of (D) IL12p70 and (E) TNF- $\alpha$  in severer OA patients.  
388 (F) No significant differences of IL23 were observed. Significance was assessed by  
389 Wilcoxon matched-pairs signed rank test. \*,  $P < 0.05$ . \*\*,  $P < 0.01$ .

390 **Figure S1.** Gating strategy used to define immune cell types by flow cytometry.

391 **Figure S2.** Proportions of each immune cell subsets to CD45<sup>+</sup> cells in PBMC,  
392 synovium and SF. Red line present 10%CD45<sup>+</sup>.

393 **Figure S3.** Distance analysis of DC3s and CD8<sup>+</sup>T cell. Cellular phenotype of the  
394 fluorescence image depicted the spatial location of CD1c+CD163+ (red dots),  
395 CD163+(green dots) and CD8+(blue dots) in the synovium. Solid plots connected the  
396 nearest cells within 20  $\mu\text{m}$  from the CD1c+CD163+ and CD163+ to CD8+ respectively.

Figure 1.

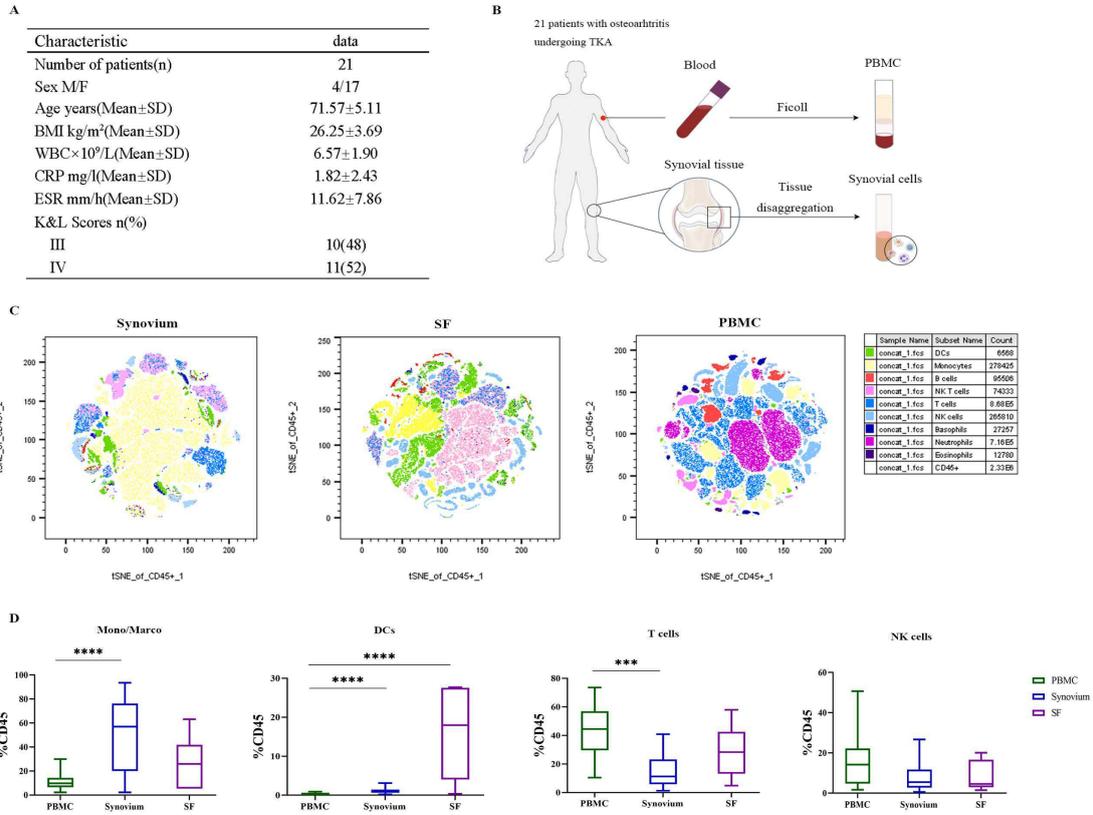
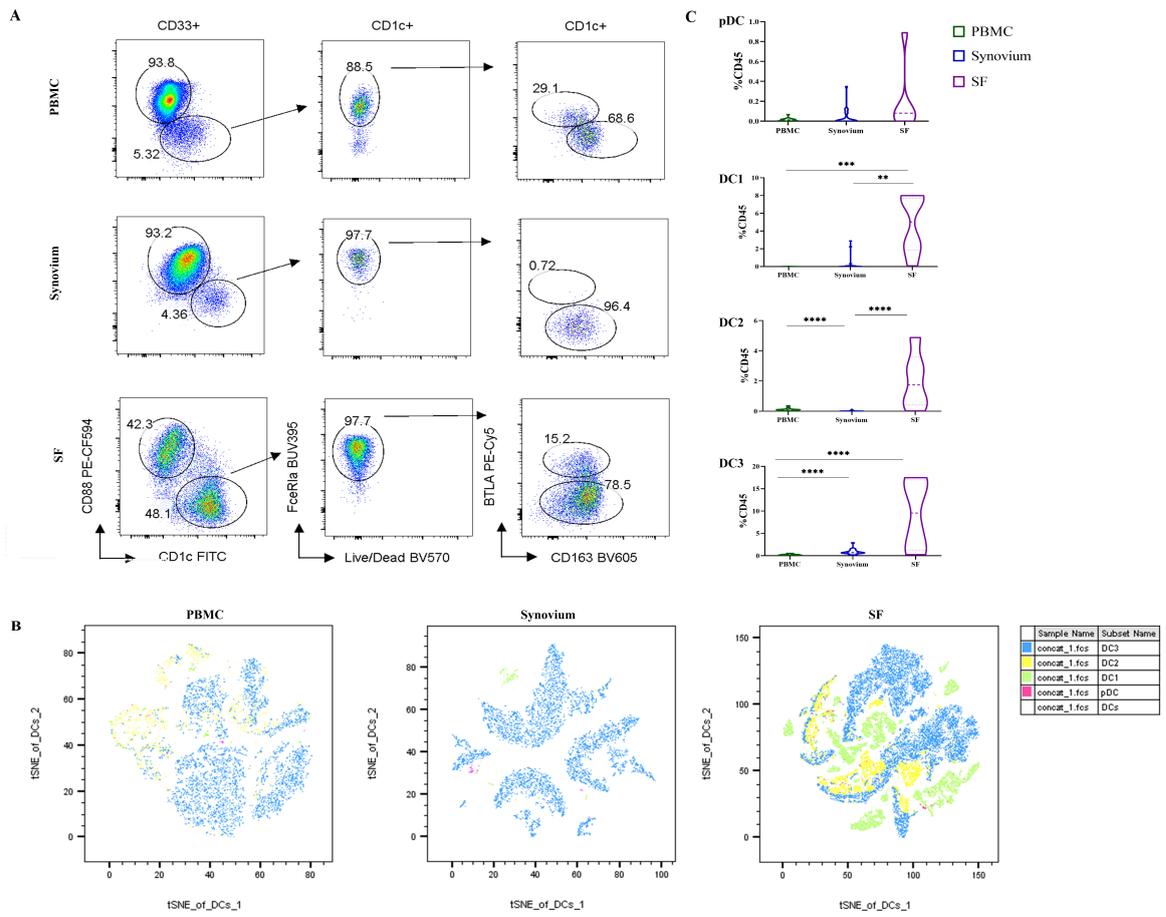


Figure 2.





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