

# Nonlinear phonon laser with dissipation-governed levitated optomechanics

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## Article

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34 **Our work drives LOM into a new regime where it becomes promising to**  
35 **study mechanical properties or quantum entanglement of typical micro-**  
36 **size objects, such as atmospheric particulates and living cells, and build**  
37 **levitated force sensors with these objects for biomedical or astronomical**  
38 **applications.**

39 Conventional optomechanical systems rely on fixed frames to support mechanical  
40 elements, leading to unavoidable energy dissipation and thermal loading at the  
41 nanoscale<sup>1</sup>. Levitated optomechanics (LOM), i.e., controlling motions of levitated  
42 objects with optical forces<sup>2</sup>, have provided unique advantages<sup>3</sup>, such as fundamental  
43 minimum of damping and noise, the possibility for levitating large and complex objects,  
44 as well as high degree of control over both conservative dynamics and coupling to the  
45 environment. These advantages are of significance for both fundamental studies of non-  
46 equilibrium physics or thermodynamics and applications in metrology<sup>4-11</sup>. In recent  
47 years, remarkable achievements have been witnessed in LOM<sup>12-14</sup>, such as the  
48 realizations of motional ground-state cooling<sup>15,16</sup>, room-temperature strong coupling<sup>17</sup>,  
49 or ultrahigh-precision torque sensing<sup>18</sup>, to name only a few. In a very recent work<sup>19</sup>, a  
50 phonon laser or coherent amplification of phonons, the quanta of vibrations, was  
51 demonstrated for a levitated nanosphere, based on dispersive LOM coupling, in which  
52 the optical resonance frequency is modulated by mechanical motion. This work offers  
53 exciting opportunities of exploring the boundary of classical and quantum worlds with  
54 levitated macroscopic objects<sup>16,20,21</sup>, as well as making hybrid quantum sensors with  
55 levitated spins<sup>22,23</sup>. Nevertheless, sophisticated external feedback controls based on  
56 electronic loops<sup>19</sup> are needed to compensate the purely passive optical field, in order to  
57 reach the phonon lasing regime. Only single-mode output was observed for phonons  
58 above the lasing threshold, without any evidence of nonlinear high-order sidebands.

59 Except for LOM systems, phonon lasers have also been built by using e.g.,  
60 semiconductor superlattices<sup>24</sup>, nanomagnets<sup>25</sup>, single ions<sup>26</sup>, and nanomechanical<sup>27</sup> or  
61 electromechanical<sup>28</sup> devices. These coherent sound sources, with shorter wavelength  
62 of operation than that of a photon laser of the same frequency, are indispensable in  
63 steering phonon chips<sup>30</sup>, improving the resolution of motional sensors<sup>31</sup>, and making  
64 nonreciprocal or non-Hermitian devices<sup>32-34</sup>. However, as far as we know, the ability of  
65 achieving nonlinear phonon lasers with multiple frequencies, has not been reported.  
66 This ability can provide the first step for many important applications such as

67 mechanical frequency conversion, acoustic frequency combs<sup>35</sup>, multi-wave mixing or  
 68 squeezing of phonons<sup>36-39</sup> and multi-frequency motional sensors.

69 In this Letter, we develop a strategy to achieve nonlinear phonon lasers for a levitated  
 70 object at microscales by utilizing an active LOM system. We show that in such a  
 71 system, dissipative optomechanical coupling<sup>40-43</sup> can be significantly enhanced by  
 72 introducing an optical gain, thus leading to tunable and efficient multi-frequency  
 73 phonon lasers. Our system is immune from external feedback control<sup>19</sup> for achieving  
 74 such phonon lasers, due to the critical role of gain. In fact, for passive systems, only  
 75 thermal phonons exist for a levitated sphere with the radius  $\sim 2 \mu\text{m}$  and the mass  
 76  $1 \times 10^{-4}$  kg (see Table. 1). To steer this system from a chaotic regime into a phonon  
 77 lasing regime, we introduce an optical gain to increase the photon lifetime and enhance  
 78 the photon-phonon coupling, thus achieving *three-order-enhancement* in the power  
 79 spectrum of the fundamental-mode phonons, with also *30-fold narrowing* in its  
 80 linewidth. More importantly, above the lasing threshold, we observe higher-order  
 81 sidebands with double and triple mechanical frequencies, featuring the gain-enhanced  
 82 nonlinearity in this system.

83

Features	WGM cavities <sup>33,44</sup>	F-P cavities <sup>37</sup>	Passive LOM <sup>19</sup>	Active LOM
Optical gain	✗	✗	✗	✓
Optomechanical coupling	Dispersive	Dispersive	Dispersive	Dissipative
External feedback	✗	✗	✓	✗
Size of the oscillator	$\sim 50 \mu\text{m}$	$\sim 1 \text{ mm}$	$\sim 0.1 \mu\text{m}$	$\sim 2 \mu\text{m}$
Mass of the oscillator	$\sim 10^{-9} \text{ kg}$	$\sim 10^{-9} \text{ kg}$	$\sim 10^{-18} \text{ kg}$	$\sim 10^{-14} \text{ kg}$
Mechanical frequency	10 ~ 100 MHz	$\sim 100 \text{ kHz}$	$\sim 100 \text{ kHz}$	$\sim 10 \text{ kHz}$
Nonlinear sidebands	✗	✗	✗	✓

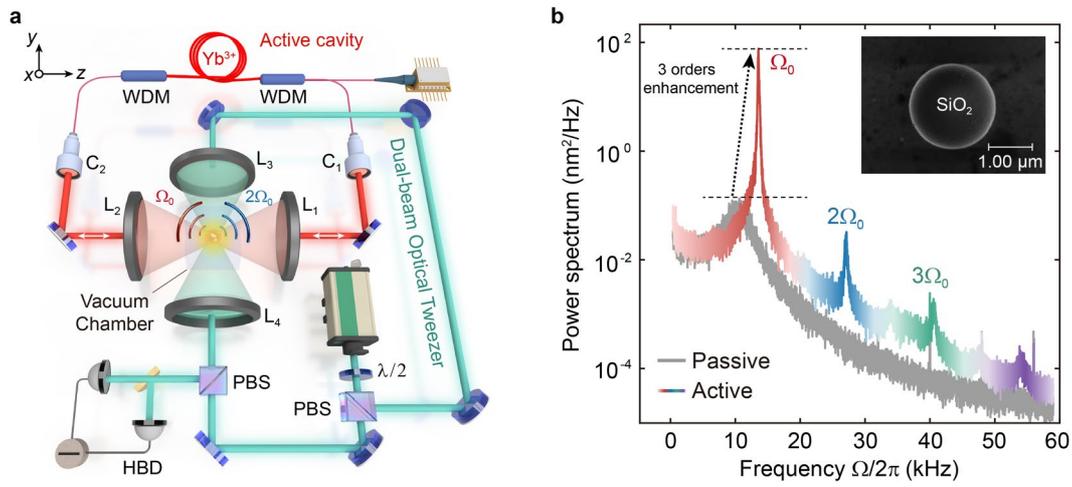
Notation: WGM, whispering-gallery-mode; F-P, Fabry-Pérot; LOM, Levitated optomechanics; ✓ for Yes; ✗ for No.

84

85 New characteristics of our work include the following 3 major points. First,  
 86 oscillators of the phonon lasers are significantly distinct: the size or the mass of our  
 87 micro-sphere is 3 or 4 orders larger than the nano-sphere<sup>19</sup>, and our LOM systems  
 88 can thus capture typical micro-size objects and measure their mechanical properties,  
 89 which is unattainable by nanoscale levitated devices. Second, the physical  
 90 mechanisms are fundamentally different: our system is governed by the dissipative  
 91 LOM coupling, due to much stronger optical scattering losses by much larger objects,  
 92 in contrast to the dispersive LOM coupling in previous works dealing with nanoscale

93 objects; Third, the nature of phonon lasers are clearly different: we not only  
 94 demonstrate a fundamental-mode phonon laser, but also observe for the first time  
 95 coherent higher-order phonon sidebands, including their lasing threshold feature and  
 96 second-order correlations. In a broader view, our gain-assisted dissipative LOM  
 97 platform opens the possibility to achieve highly-sensitive LOM control of various  
 98 micro-size objects, which is of utmost importance for both fundamental studies of  
 99 macroscopic quantum physics and practical metrology.

100



102 **Fig. 1 Experimental overview.** **a**, Schematic diagram of the active levitated optomechanical system,  
 103 including an active optical cavity (red) and a dual-beam optical tweezer (green). WDM, wavelength  
 104 division multiplexer; C<sub>1</sub>, C<sub>2</sub>, collimators; L<sub>1</sub> ~ L<sub>4</sub>, lenses; PBS, polarizing beam splitter; HBD, heterodyne  
 105 balanced detection. **b**, Measured power spectra of phonons in an active cavity (coloured curve) and a  
 106 passive cavity (grey curve). Inset: Photograph of the levitated microsphere detected with a Scanning  
 107 Electron Microscope (SEM).

108

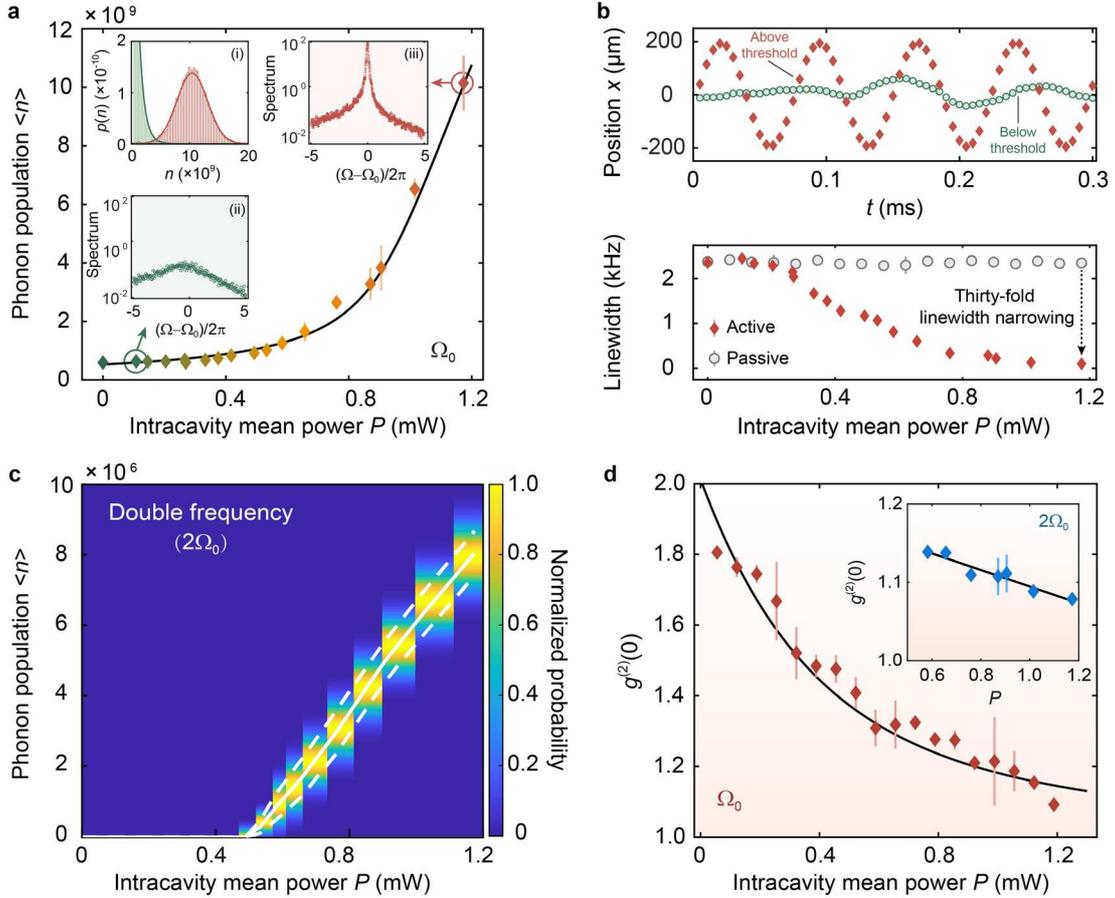
113  $1 \mu\text{s}$ , which benefits enhanced coherent vibrational amplifications of  
 114 the sphere. In addition, due to the presence of the optical cavity, our system is free of  
 115 any need of using complicated feedback control devices<sup>19</sup> (see Supplementary Section  
 116 1 for details). As shown in Fig. 1b, essentially different features can be observed in the  
 117 power spectrums of phonons between our active LOM system and the purely passive  
 118 system. We see that in the absence of any gain, only thermal phonons can exist; in sharp  
 119 contrast, three-order-of-magnitude enhancement is achieved in the presence of an

120

$$\Omega_0 = 13.6 \text{ kHz}.$$

121 Moreover, by tuning the pump power, high-order sidebands with mechanical multiple  
 122 frequencies  $2\Omega_0$ ,  $3\Omega_0$ , ... can also be observed in the spectrum (see also  
 123 Supplementary Section 1). This enables us to realize a nonlinear multiple-frequency  
 124 phonon laser in such an active LOM system.

125



127 **Fig. 2 Experimental results of nonlinear phonon lasers with higher-order sidebands.** **a**, Phonon  
 128 population with fundamental frequency  $\Omega_0$  as a function of intracavity mean power  $P$ . The measured  
 129 threshold power is in good agreement with the theoretical prediction. The insets show (i) the phonon  
 130 probability distributions, and (ii, iii) the phonon power spectra below and above the oscillation threshold.  
 131 **b**, Measured oscillation dynamics (upper panel) and linewidths (lower panel) of the fundamental mode by  
 132 tracing the 2- $\mu\text{m}$  SiO<sub>2</sub> micro-sphere. **c**, Threshold behaviour of the nonlinear phonon laser with double  
 133 frequency  $2\Omega_0$  (white solid curve). The white dashed curves represent  $\pm 1$  s.d. of each measurement,  
 134 consisting of  $5 \times 10^5$  samples. The normalized phonon probability distribution is displayed in colour. **d**,  
 135 Measured second-order phonon autocorrelation function at zero time delay  $g^{(2)}(0)$  versus  $P$  for  $\Omega_0$ . Inset:  
 136 Measured  $g^{(2)}(0)$  with  $2\Omega_0$  above the threshold. The solid curves are theoretical results. Error bars  
 137 represent  $\pm 1$  s.d. of each measurement, consisting of  $5 \times 10^5$  samples.

138

140

$$\langle N \rangle = M\Omega_0 \langle x^2 \rangle / \hbar, \text{ where } M \text{ is the mass}$$

141 of the levitated sphere,  $\Omega_0$  is the oscillation frequency of the mode,  $x$  is the centre of

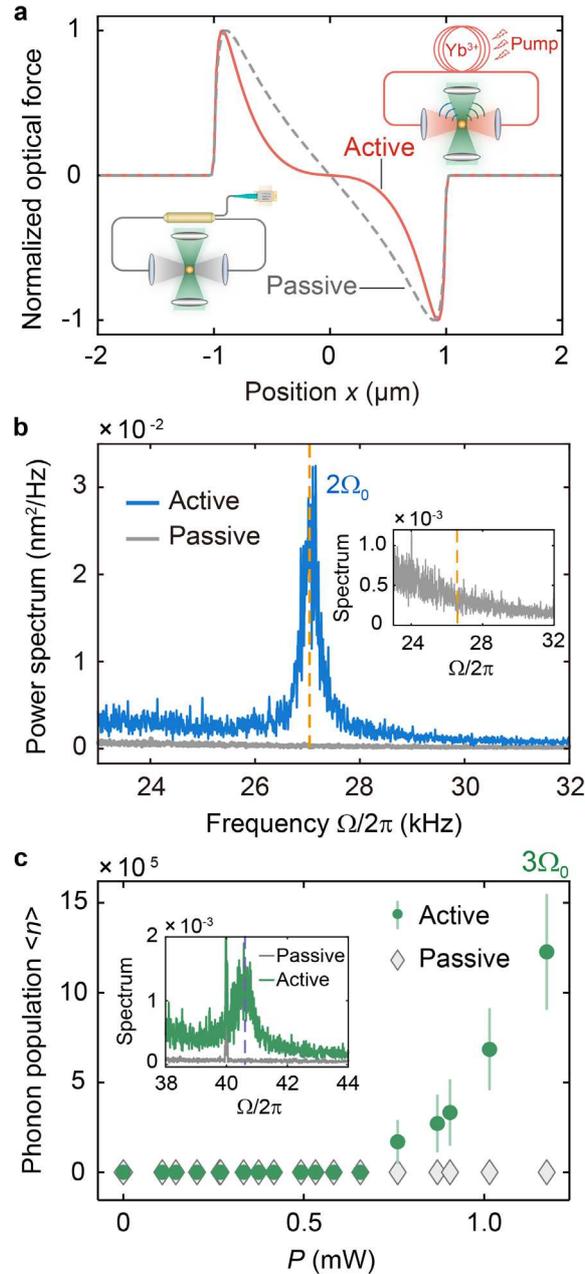
142  $\hbar$  is the reduced Planck's constant. Explicit  
 143 signatures of a lasing threshold can be observed for the fundamental mode with the  
 144 frequency  $\Omega_0$ , as shown in Fig. 2a, by increasing the intracavity mean power  $P$ . The  
 145 threshold value is  $P_{\text{th}} = 0.37 \text{ mW}$ , which agrees well with theoretical calculations  
 146 (Supplementary Section 2). The insets of Fig. 2a showcase a linewidth narrowing,  
 147 accompanying the transition from thermal to coherent oscillations. Below the threshold,  
 148 the oscillator experiences thermal dynamics with mean phonon number  $5.89 \times 10^8$ , and  
 149 the phonon probability distribution is well described by the Boltzmann distribution. By  
 150 surpassing the lasing threshold, the phonon number is greatly enhanced to  $1.02 \times 10^{10}$  at  
 151  $P = 1.2 \text{ mW}$ , also in conjunction with significant narrowing of linewidth. This is  
 152 closely related to the fact that the system is switched from spontaneous to stimulated  
 153 emissions above lasing threshold, resulting in the Gaussian distribution of the generated  
 154 coherent phonons.

155 Figure 2b further presents the experimental results of the dynamical behaviours of  
 156 the sphere. We find that significant vibrational amplifications of the micro-sphere  
 157 emerge above the lasing threshold. 30-fold improvement in linewidth narrowing is  
 158 achieved here, compared to purely lossy systems. Clearly, in the passive system, the  
 159 interaction between the intracavity light and the levitated microsphere is rather weak,  
 160 due to the large optical loss, that the linewidth remains at about 2.5 kHz. However,  
 161 when introducing the gain, the linewidth can be significantly modulated by the  
 162 intracavity power  $P$ , and approaches as low as 0.08 kHz (above the threshold).

163 Intriguingly, apart from the giant enhancement of fundamental-mode phonon lasing,  
 164 we also observe spontaneously emerging mechanical higher-order sidebands.  
 165 Therefore, we reveal the phonon population of the double-frequency mode by filtering  
 166 out thermal phonons and fundamental-mode phonons. We find similar lasing features  
 167 for the double-frequency mechanical mode as seen in Fig. 2c, differing from the single-  
 168 mode phonon laser achieved in the previous works<sup>19,26,28,33,44</sup>. It is also distinguished  
 169 from the multi-mode phonon laser demonstrated with a flat membrane trapped in a  
 170 Fabre-Perot cavity<sup>37</sup>, in which mode competitions make it only possible to stimulate  
 171 one single phonon mode into the lasing regime.

173 
$$g^{(2)}(0) = (\langle N^2 \rangle - \langle N \rangle^2) / \langle N \rangle^2$$
  
 174 , where  $\langle N^2 \rangle$  is the second moment of this distribution (Fig. 2d). For the lowest-order

175  $\Omega_0$ , we find  $g^{(2)}(0) = 2$  below the threshold  $P_{\text{th}}$ ,  
 176 demonstrating the thermal statistics. As  $P$  well exceeds  $P_{\text{th}}$ ,  $g^{(2)}(0)$  is decreased to 1,  
 177 which indicates that the phonon dynamics changes from thermal state to coherent state,  
 178 i.e., the oscillation of the levitated micro-sphere is stimulated into the lasing regime.  
 179 Moreover, we find  $g^{(2)}(0)$  approaches 1 for the nonlinear phonon laser with  $2\Omega_0$   
 180 when operating in the lasing regime, as shown in the onset of Fig. 2d.



182 **Fig. 3 Optical gain induced nonlinear phonon lasing with double and triple frequencies.** **a**,  
 183 Normalized optical force distributions for active (red solid curve) and passive (grey dashed curve).  
 184 The active case shows a strongly nonlinear distribution for the position  $x$  between  $-1 \mu\text{m}$  and  $1 \mu\text{m}$ , while  
 185 the distribution is linear in the passive case. Inset: Schematic diagrams of the active (upper panel) and  
 186 passive (lower panel) cases. **b**, Power spectra around  $2\Omega_0$  in the active (blue curve) and passive (grey  
 187 curve) cases. Inset: 30 times magnified spectrum for better view. **c**, Phonon population with the triple  
 188 frequency  $3\Omega_0$  versus the intracavity mean power  $P$ . Phonon laser with  $3\Omega_0$  (green dots) and thermal

189 phonons (grey curve) are observed in the active and passive cases, respectively. Inset: Power spectra  
190 around  $3\Omega_0$  in the passive and active cases.

191 This nonlinear phonon laser results from the anharmonic optical potential produced  
192 by the optical-gain-enhanced nonlinearity. Without optical gain, the intracavity light is  
193 scattered by the levitated sphere of larger size than that in Ref.<sup>19</sup>, leading to a small  
194 cavity quality factor and a weak interaction between the cavity field and mechanical  
195 oscillator. Therefore, one can find the intracavity optical power  $P$  is independent of  
196 the  $x$ -position of the oscillator (Supplementary Section 3), while the optical force  $F_{\text{opt}}$   
197 , relying on both of  $P$  and  $x$ , responds linearly to the position  $x$  (Fig. 3a). However,  
198 for the active case, the intracavity optical power can be modulated by the mechanical  
199 position due to the strong interaction between the light and oscillator. Thus, we can find  
200 a strongly nonlinear optical force for the active case, as shown in Fig. 3a. As a result,  
201 the double-frequency component emerges in the phonon power spectrum (Fig. 3b). We  
202 find a two-order-of-magnitude enhanced amplitude in our active system compared to  
203 the conventional passive system with the same intracavity mean power  $P = 1.2$  mW.  
204 Similar lasing features are also observed for the phonon mode with the triple-frequency  
205  $3\Omega_0$  as shown in Fig. 3c, which again cannot be achieved in the absence of optical  
206 gain.

207 In summary, we have experimentally reported nonlinear phonon lasers in active  
208 LOM. By introducing optical gain, we have realized a phonon laser on the fundamental  
209 mode with three-order-of-magnitude enhancement in the power spectrum, and thirty-  
210 fold improvement in linewidth narrowing, without the need of any complicated external  
211 feedback control techniques. We also present unequivocal evidence of lasing threshold  
212 behaviour, and the phase transition from thermal to coherent phonons by measuring the  
213 phonon autocorrelations. More interestingly, for the first time, we observe nonlinear  
214 phonon lasers with multiple frequencies, resulting from the optical-gain-enhanced  
215 nonlinearity. As far as we know, this is the first observation of such nonlinear  
216 mechanical sidebands in LOM systems, which does not rely on the specific material or  
217 the shape of the oscillator<sup>45</sup>. We measured also quantum correlations  $g^{(2)}(0)$  of  
218 sideband phonon lasing for the first time. These results push forward phonon lasers into  
219 the nonlinear regime and make many exciting applications more accessible, such as  
220 optomechanical combs<sup>35</sup>, high-precision metrology, and non-classical state  
221 engineering. Our work opens up new perspectives for achieving levitated phonon  
222 devices with active LOM, and enables a wide range of applications such as quantum

223 phononics, multi-frequency mechanical sensors, and high-precision acoustic frequency  
224 combs.

225

226

227

## 228 **Methods**

229 **Cavity alignment.** We mount the pumping laser to one collimator (Thorlabs, ZC618FC-B), and a power  
230 meter to another. The alignment is evaluated by the coupling coefficient from one collimator to another.  
231 Through adjusting the lenses and mirrors, the loss of the free-space optical path can be regulated to its  
232 lowest value (usually lower than 0.31 dB).

233 **Micro-sphere trapping.** The micro-sphere (diameter, 2  $\mu\text{m}$ ) was loaded to the trapping region by using  
234 an ultrasonic nebulizer, composed of an ultrasonic sheet metal with a great number of 5  $\mu\text{m}$  holes  
235 distributed. It was trapped at atmospheric pressure. In most cases, a micro-sphere can be trapped within  
236 30 s. Then, we reduced the pressure to the desired experimental level.

237

## 238 **Data availability**

239 The data that support this article are available from the corresponding author upon reasonable request.

240

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329

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339

## 340 **Author contributions**

341 G.X. and H.J. conceived the idea. T.K. and G.X. designed the experiments. T.K., W.X. and X.H.  
342 performed the experiments and analyzed the experimental data with the help of G.X. R.H. and T.K.  
343 performed the theoretical analysis and numerical simulations, guided by H.J. R.H., T.K. and Y.Z. wrote  
344 the manuscript with contributions from G.X., H.J., F.N. and C.W.Q. G.X., H.J. and H.L. support the  
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346

347 **Competing interests**

348 The authors declare no competing interests.

349

350 **Additional information**

351 **Supplementary information** is available for this paper at <http/>

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [NonlinearphononlaserAppendix.docx](#)
- [FigS1.1.png](#)
- [FigS1.2.png](#)
- [FigS1.3.png](#)
- [FigS1.4.png](#)
- [FigS3.1.png](#)