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Subacromial Erosion after Hook Plate Fixation in Acute Acromioclavicular Joint Dislocation

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1 **ABSTRACT**

2 **Background:** This study was to analyze the clinical results of locking hook plate fixation for acute
3 acromioclavicular joint (ACJ) injury and to find out the incidence of subacromial erosion, carry out quantitative
4 analysis and identify risk factors.

5 **Methods:** The study was conducted on 35 patients who underwent the locking hook plate fixation for acute ACJ
6 joint injury. The clinical outcomes were evaluated measuring the visual analog scale (VAS) for pain, and the
7 University of California at Los Angeles (UCLA) score. The computed tomography (CT) was conducted to
8 measure the subacromial erosion. The acromioclavicular slope (AC slope) of the unaffected side, the acromion-
9 hook angle (AH angle), the acromioclavicular anteroposterior distance (AC-AP distance), and the preoperative
10 acromioclavicular interval (ACI) of the affected side were analyzed to identify the risk factors of subacromial
11 erosion.

12 **Results:** The mean preoperative VAS score was 7.6 points, which improved by a significant level of 0.3 at the
13 final follow-up ($P < 0.001$). The UCLA score at the last follow-up was 32.3 points, which was higher than the
14 preoperative average of 15.2 points ($P = 0.003$). According to the computed tomography (CT) findings,
15 subacromial erosion was found in all cases, and the mean value was 5.0mm, which is 53% of the entire acromion
16 thickness. The AC slope ($B = -0.159$, $P < 0.001$) and AC-AP distance ($B = 0.233$, $P = 0.004$) were found to have a
17 significant influence on postoperative subacromial erosion. The AC slope showed a negative correlation with the
18 amount of erosion, while the AC-AP distance showed a positive correlation with erosion.

19 **Conclusion:** The study was able to obtain satisfactory clinical and radiological results after locking hook plate
20 fixation for acute ACJ injury. The CT findings revealed that subacromial erosion occurred in all cases, and the
21 mean erosion depth was about 50% of the acromial thickness. If the preoperative AC slope of the unaffected side
22 was more acute and the AC-AP distance was larger, the incidence of subacromial erosion was higher.

23 Level of evidence: Therapeutic Level IV

24 Keywords: Acromioclavicular joint injury; Locking Hook plate; Subacromial erosion; shoulder

25 **Retrospectively registered study:** This study was retrospective in nature, and final approval of informed consent
26 exemption by the institutional review board was obtained (KHUH IRB 2019-04-079)

27 **Background**

28 The acromioclavicular joint (ACJ) injury is a common injury that accounts for about 9% of the total shoulder
29 girdle injuries. About half of the ACJ injuries occur in young people in their 20s, and the incidence among males
30 is 5 times higher than among females.[1] The most common injury mechanism of the ACJ injury is considered as
31 an external force applied directly from above the acromion process, which usually occurs when falling with the
32 upper limb in an adducted position.[2] Among ACJ injuries, incomplete injuries which belong to Rockwood type
33 I to II have been reported to show good results with non-surgical treatment. In contrast, there is still much
34 controversy over optimal treatments for higher grader injuries which belong to Rockwood type III~VI.[3-5]
35 Recent biomechanical studies have suggested that restoring acromioclavicular distance as closely as possible to
36 an preinjury state is important for maintaining the superoinferior and anteroposterior stability of ACJ.[2]

37 A variety of surgical treatments for ACJ injuries have been reported to date. Of these surgical treatments, the
38 locking hook plate, which is a technique to promote the natural healing of the injured ligament through ACJ
39 fixation, has been used widely as an surgical treatment for acute ACJ injuries because of a simple surgical
40 technique, a short operation time and satisfactory clinical results.[6, 7] The locking hook plate forms a non-rigid
41 fixation between the distal clavicle and the acromion to allow the rotation of the ACJ and a more free motion of
42 the shoulder joint when compared with the conventional rigid fixation, which in turn extends the implant retention
43 and provides sufficient time for ligament healing.[8] On the other hand, subacromial erosion is a typical
44 complication of the hook plate which can occur due to its characteristics of forming non-rigid fixation, which may
45 lead to acromial fracture.[9-12] It has been reported that 16-26% of those patients who underwent hook plate
46 fixation had subacromial erosion.[13, 14] However, since the studies up to now determined the presence of erosion
47 only by with visible radiolucency around the hook on the plain X-ray images, the incidence rate must have been
48 underestimated because it was difficult to discover modest erosion.

49 The purpose of this study was to evaluate the clinical and radiological outcomes after locking hook plate fixation
50 for acute ACJ injury and to conduct quantitative analysis of the true incidence and erosion of subacromial erosion
51 through the CT evaluation. In addition, the study also tried to identify the preoperative and postoperative risk
52 factors of erosion. The authors of the study hypothesized that the non-rigid fixation characteristics of the hook
53 plate might cause a much higher incidence of subacromial erosion than reported in the previous literatures.

54

55 **MATERIALS AND METHODS**

56 *Ethics approval and consent to participate*

57 This study was retrospective in nature, and final approval of informed consent exemption by the institutional
58 review board was obtained ((KHUH IRB 2019-04-079)

59

60 *Patient Selection*

61 This study retrospectively examined those patients who underwent hook plate fixation for acute ACJ injury at
62 our hospital from January 2011 to October 2015. The inclusion criteria were as follows: 1) ACJ injury of
63 Rookwood type III or higher, 2) operation within 2 weeks from the date of injury, and 3) follow-up of more than
64 1 year after surgery. 4) CT scan before implant removal. A total of 35 patients were selected for the study based
65 on these criteria.

66

67 *Operative Technique and Rehabilitation*

68 All surgeries were performed by one senior author. The operation was done in a beach-chair position under
69 general anesthesia; a longitudinal incision was performed along the long axis of the clavicle centered on the ACJ,
70 and then the upper part of the dislocated joint was exposed. After inserting a locking hook plate (Synthes,
71 Solothurn, Switzerland) into the proper position, we checked whether ACJ was reduced in C-arm or not and then
72 fixed the hook plate. Hook depth was determined based on the restoration of the position of distal clavicle as
73 closely as possible to the unaffected side.

74 Patients put on shoulder slings and were immobilized for up to 2 weeks after operation. After that, they started
75 with passive motion and the range of motion (ROM) was gradually increased. Patients were instructed to refrain
76 from engaging in excessive physical activities other than ordinary daily activities until implant removal, and the
77 ROM was exercised to a tolerable level, and extreme motion was avoided.

78 *Preoperative and Postoperative Evaluations*

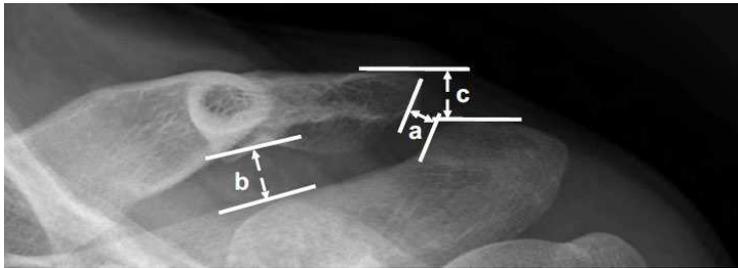
79 Clinical evaluation was performed before surgery and in each follow-up examination. Pain was measured using
80 the Visual Analogue Scale (VAS) scores. The range of motion of the shoulder joint was assessed by measuring
81 forward flexion, external rotation at side, internal rotation to posterior, and abduction. The study measured changes
82 in shoulder function using the University of California at Los Angeles (UCLA) score as a comprehensive clinical
83 evaluation.

84 *Radiologic Evaluations*

85 Anteroposterior radiographs of the affected and contralateral unaffected ACJ in neutral rotation were obtained
86 with patients in a standing position immediately after operation and in each follow-up examination including the
87 last one. To evaluate the postoperative reduction quality, the following radiologic factors were measured. (Figure
88 1):1. Acromioclavicular interval (ACI), 2. Coracoclavicular distance (CCD), 3. Acromioclavicular distance (ACD)

89 ACI was defined as the perpendicular distance between clavicle distal end and acromion; CCD was defined as
90 the perpendicular distance between the upper border of the coracoid process and the inferior cortex of the clavicle;
91 ACD was defined as the perpendicular distance between the line passing the upper border of acromion and the
92 line parallel to the upper border of the lateral part of clavicle. All radiographs were analyzed by two authors who
93 reached a consensus. Reduction loss was decided by comparing ACI, CCD, and ACD in the immediately
94 postoperative and the last follow-up radiographs. Radiologic results of contralateral unaffected side and affected

95 side at the last follow-up examination were compared in order to determine whether anatomical reduction was
96 appropriate.[3]

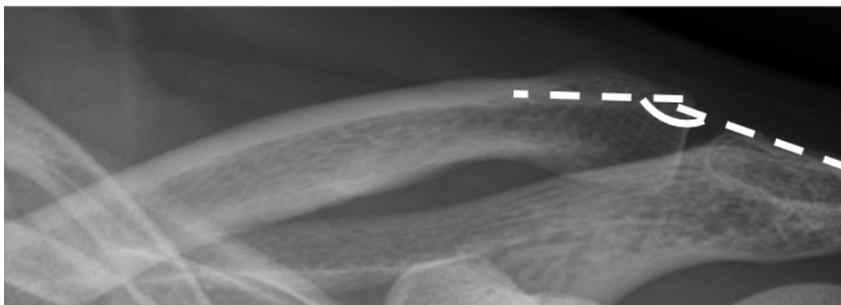


97

98 Figure. 1 Radiologic analysis on the plain anteroposterior radiograph for the assessment of postoperative reduction
99 quality. a: acromioclavicular distance, b: coracoclavicular distance, c: acromioclavicular interval.

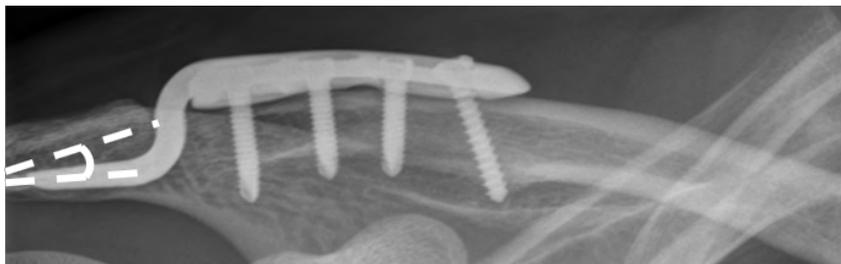
100 The CT scan was performed just before implant removal for quantitative analysis of subacromial erosion. and
101 the length of a vertical line drawn from the undersurface of acromion to the upper end of the erosion was taken as
102 the depth of erosion and measured in a sagittal and coronal view, respectively. The largest erosion depth measured
103 in each view was recorded as the final erosion depth.

104 The following radiologic factors on plain anteroposterior radiographs or CT images were additionally measured
105 to determine the risk factors of subacromial erosion occurring after hook plate fixation (Figure 2):1.
106 Acromioclavicular slope (AC slope) of unaffected side, 2. Acromion-hook angle (AH angle) of affected side 3.
107 Acromioclavicular anteroposterior distance (AC-AP distance) of affected side 4. Preoperative acromioclavicular
108 interval (ACI) of affected side



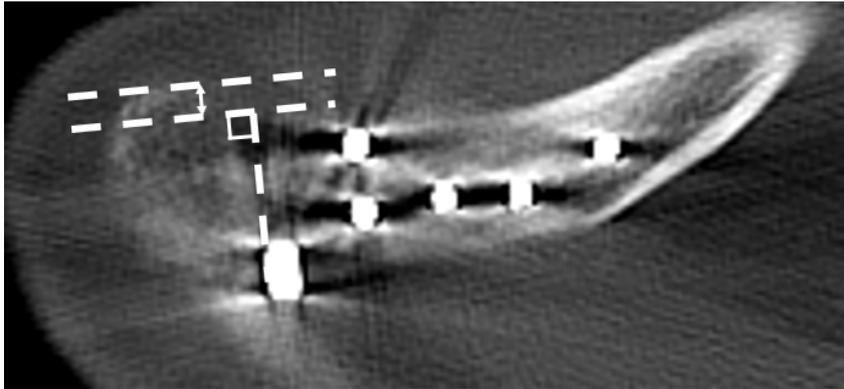
109

110 Figure 2.1)



111

112 Figure 2.2)



113

114 Figure 2.3)



115

116 Figure 2.4)

117 Figure 2. Risk factor analysis for the subacromial erosion. 1) Acromioclavicular slope (AC slope), 2) Acromion-
 118 hook angle (AH angle), C) Acromioclavicular AP distance (AC-AP distance) D) Acromioclavicular interval (ACI)

119 The AC slope was defined as the angle formed between the upper surface of the acromial process and the upper
 120 surface of the distal clavicle on the plain anteroposterior radiograph. The AH angle was defined as the angle
 121 formed between the upper surface of the acromial process and the hook of the locking hook plate on a plain
 122 anteroposterior radiograph. The AC-AP distance, which was measured in the axial view of the CT, was defined as
 123 the distance between the two lines tangent to the anterior margin of the acromial process and the anterior margin
 124 of the distal clavicle. In addition, the pre-operative ACI of the affected side was included as a risk factor and
 125 measured together with the above three items.

126 *Statistical Analysis*

127 The paired t-test was performed to assess the difference between the preoperative and postoperative results.
 128 Comparisons between the 2 groups were performed using the χ -square test for comparing proportions between
 129 groups and the independent t-test for mean difference between groups. The regression analysis was used to identify
 130 the risk factors for the subacromial erosion. Statistical analyses were conducted using IBM SPSS Statistics,
 131 version 20.0 (SPSS, Chicago, IL), and $P < 0.05$ was considered statistically significant.

132

133 RESULTS

134 *Patients demographics*

135 The mean age of the patients was 40.0 years old (range: 20~82) and all of them were male. The mean follow-up
136 period was 45.6 months and the mean duration from hook plate fixation to implant removal was 3.2 months.
137 According to Rockwood classification, there were 5 cases of type III (14%), 2 cases of type IV (6%), and 28 cases
138 of type V (80%).

139 *Clinical Outcomes*

140 According to the VAS scores, the mean pain level before operation was measured at 7.6 points, which improved
141 significantly by 0.9 points right after hook plate removal and by 0.8 points at the last follow-up examination (P
142 <0.001). The range of motion (ROM) of the affected side showed a slight limitation when compared with the
143 unaffected side. Statically significant differences were seen only in the forward flexion and the internal rotation
144 posterior but the differences were not so large (P = 0.004 and 0.005) (Table 1). The mean UCLA score at the last
145 follow-up examination was 32.3 points, which is a significant improvement when compared to the mean UCLA
146 score before operation. (P = 0.003)

147 Table 1. Comparison of range of motion between the affected and unaffected shoulder at the last follow-up.

	Affected	Unaffected	Significance
Forward flexion	147.4	158.7	0.004
External rotation at side	58.0	64.1	0.175
Internal rotation to posterior	T10.0	T7.6	0.005
Abduction	126.9	137.4	0.221

148

149 *Radiologic Outcomes*

150 In terms of reduction quality, three factors showed that the affected side was well restored right after surgery
151 without any significant difference from the unaffected side. At the final follow-up examination after the removal
152 of the hook plate, all three factors showed a significant reduction loss compared to the uninjured side, but the
153 difference was as modest as 1.6 ~ 2mm (Table 2).

154 Subacromial erosion was found in all case in the CT images. Erosion depth was 4.7 mm in the coronal view and
155 4.7 mm in the sagittal view, which corresponded to 47% and 53% of the total acromion thickness, respectively.
156 The mean value calculated by taking higher measurement values between the coronal and sagittal views was 5.0
157 mm (53%). 17 cases or 49% of the entire patient group showed erosion with a size of more than 50% of the total
158 acromion thicknesses.

159 *Risk factor analysis for the subacromial erosion*

160 According to the results of correlation analysis of four radiologic factors measured to identify risk factors of
 161 subacromial erosion, all of them showed significant correlations with postoperative erosion. A negative correlation
 162 was found in AC slope ($r = -0.491$, $P = 0.003$), while positive correlations were found in AH slope ($r=0.579$,
 163 $P<0.001$), AC-AP distance ($r=-0.436$, $P=0.009$), and preoperative ACI ($r=0.341$, $P=0.045$). were all positively
 164 correlated. In the final multivariate regression analysis of these three factors, two factors such as AC slope ($B=-$
 165 0.159 , $P<0.001$) and AC slope ($B=0.233$, $P=0.004$) were found to have significant influence on postoperative
 166 subacromial erosion.

(mm)	Affected	Unaffected	Significance
Immediate postoperative			
ACD	3.5	3.0	0.101
CCD	7.8	8.0	0.758
ACI	2.6	3.4	0.157
Last follow-up			
ACD	5.0	3.0	0.006
CCD	9.9	8.0	<0.001
ACI	5.0	3.4	<0.001

167 *Complications*

168 The most common postoperative complication was subacromial erosion which was found in all patients on CT
 169 scan. Among them, 4 cases (11%) showed that only a thin cortical shell remained as a bone above the hook, and
 170 2 cases (6%) had acromial fracture. Amount of erosion were not significantly related to clinical outcomes such as
 171 VAS scores and UCLA scores($P=0.218$, $P=0.342$,respectively).

172 Table 2. Quality of reduction in immediate postoperative period and last follow-up compared with the unaffected
 173 side

174 * Acromioclavicular distance; ACD, Coracoclavicular distance; CCD, Acromioclavicular interval; ACI

175

176 **DISCUSSION**

177 In the past, trans articular fixation using Kirschner's wire or Steinmann pin was widely performed and showed
 178 satisfactory clinical results. However, as complications such as wire breakage or migration have been reported
 179 recently, it is rarely performed now.[15, 16] Hook plate has been actively used as an ACJ fixation device recently
 180 to obtain good clinical and radiological results. Koukakis et al.[17] reported an excellent functional outcome after
 181 hook plate fixation in patients with ACJ injury. Especially, as it does not require a high level of surgical skill, it
 182 can be performed successfully by a relatively less experienced surgeon without any difficulty. Unlike the
 183 previously used Wolter plate, hook plate fixation does not require drilling into acromion, which makes the
 184 operation easy to perform. However, complications have been reported to occur due to the use of mobile hook.

185 The subacromial erosion described in this study is a typical complication caused by mobile hook, and extra care
186 and precaution need to be taken, as it may lead to pathologic acromial fracture in a severe case.

187 The most notable finding in this study was that subacromial erosion was found in all cases of hook plate in the
188 CT images. The previous hook plate studies reported that subacromial erosion occurred as a postoperative
189 complication, but because they used a plain radiograph, they reported a considerably lower incidence rate (16-
190 26%) than what the authors of this study have found out.[13, 14] In the analysis of acromial morphology in case
191 of hook plate fixation, Yoon et al.[18] reported that there was a wide individual variation in the posterior acromial
192 process when the hook was being fixed, which made it difficult to represent it as an average model. It means that
193 it is not easy to judge subacromial erosion on plain radiograph. Therefore, the authors used the CT to determine
194 the occurrence of erosion before hook plate removal and found that subacromial erosion occurred in all cases.
195 This incidence rate was higher than the ones reported by the previous studies using plain radiographs, so the
196 clinician should pay more attention to complications. The quantitative analysis of erosion showed the mean
197 erosion depth of 5.0 mm, which was equivalent to 53% of the total acromial thickness, and it was found that the
198 erosion of a size of more than 50% of the acromial thickness occurred in about half of all patients. In addition, it
199 was found that six cases or 17% of the entire patient group, which was considered to be a significantly high
200 percentage, had acromial fracture or impending fracture where erosion was severe to the extent that only the
201 acromion cortical shell remained. Many previous studies recommended that the retention after hook plate fixation
202 should lasted for 3 to 6 months before it was removed.[8, 12, 19] However, the implant retention lasted for 3.2
203 months in average in this study before it was removed, but only 17% of the patients had severe subacromial
204 erosion or fracture, which means that if the implant retention period lasts longer for the better healing of ligament,
205 it can increase the incidence rate of acromial fracture.

206 The authors tried to identify risk factors for subacromial erosion among various preoperative and postoperative
207 radiologic factors. Finally, both the preoperative AC slope of the unaffected side and the postoperative AC-AP
208 distance of the affected side were found to be significant risk factors. The AC slope was negatively correlated with
209 the amount of erosion, which means that the amount of erosion was increased as the slope was decreased to form
210 a sharp angle. If the AC slope becomes smaller, the hook located on the acromial undersurface will have a more
211 pointed contact, which leads to a greater stress on the tip of the hook, resulting in more erosion. The AC-AP
212 distance was positively correlated with the amount of erosion, meaning that more distal erosion may occur if the
213 distal clavicle is reduced more in backward than acromion. As this is related to anteroposterior stability after
214 fixation of the hook plate, it is thought that the displacement of a hook plate in the superoinferior direction is
215 controllable but the displacement in the anteroposterior direction is difficult to control. In other words, if the
216 anteroposterior instability is in a serious condition due to a severe soft tissue and ligament damage around the
217 ACJ, the hook plate alone is not sufficient to restore the affected side to the original anatomy, and the resulting
218 erosion may be more serious.

219 The limitations of this study are as follows; first, since most of the patients had hook plate removed after a similar
220 implant retention period, it was impossible to analyze changes in erosion amount depending on different retention
221 periods from the initial operation. Therefore, it is difficult to present a proper hook plate removal time to prevent
222 serious erosion based on the data of this study.

223

224 **CONCLUSIONS**

225 The locking hook plate fixation for acute ACJ injury showed satisfactory clinical and radiological outcomes after
226 operation. The CT scan images showed that subacromial erosion occurred in all cases and about half of the patient
227 group had erosion of more than 50% of the total acromial thickness. It was found that if the preoperative AC slope
228 of the unaffected side became more acute, and if the postoperative AC-AP distance was larger, there was more
229 subacromial erosion. Therefore, considering these risk factors, it is considered necessary to determine a patient's
230 rehabilitation protocols and hook plate removal time.

231 **List of abbreviations**

232 acromioclavicular joint (ACJ)

233 visual analog scale (VAS)

234 University of California at Los Angeles (UCLA)

235 computed tomography (CT)

236 acromioclavicular(AC)

237 acromion-hook (AH)

238 acromioclavicular interval (ACI)

239 acromioclavicular distance (ACD)

240 range of motion (ROM)

241 **Declarations**

242 **Ethics approval and consent to participate**

243 All methods were performed in accordance with relevant guidelines and regulations. All experimental protocols
244 were approved by Kyung-hee university hospital institutional review board. This study was retrospective in nature,
245 and final approval of informed consent exemption by the institutional review board was obtained ((KHUH IRB
246 2019-04-079)

247 **Consent for publication**

248 Not applicable

249 **Availability of data and materials**

250 The datasets used and/or analysed during the current study are available from the corresponding author on
251 reasonable request

252 **Competing interests**

253 The authors declare that they have no competing interests

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256 sectors

257 **Authors' contributions**

258 JY, YW and YG analyzed and interpreted the patient data. SM was a major contributor in writing the manuscript.

259 All authors read and approved the final manuscript.

260 **Acknowledgements**

261 Not applicable

262

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315 **FIGURE LEGENDS**

316 Figure. 1 Radiologic analysis on the plain anteroposterior radiograph for the assessment of postoperative reduction
317 quality. a: acromioclavicular distance, b: coracoclavicular distance, c: acromioclavicular interval.

318 Figure 2. Risk factor analysis for the subacromial erosion. A) Acromioclavicular slope (AC slope), B) Acromion-
319 hook angle (AH angle), C) Acromioclavicular AP distance (AC-AP distance) D) Acromioclavicular interval (ACI)

Figures

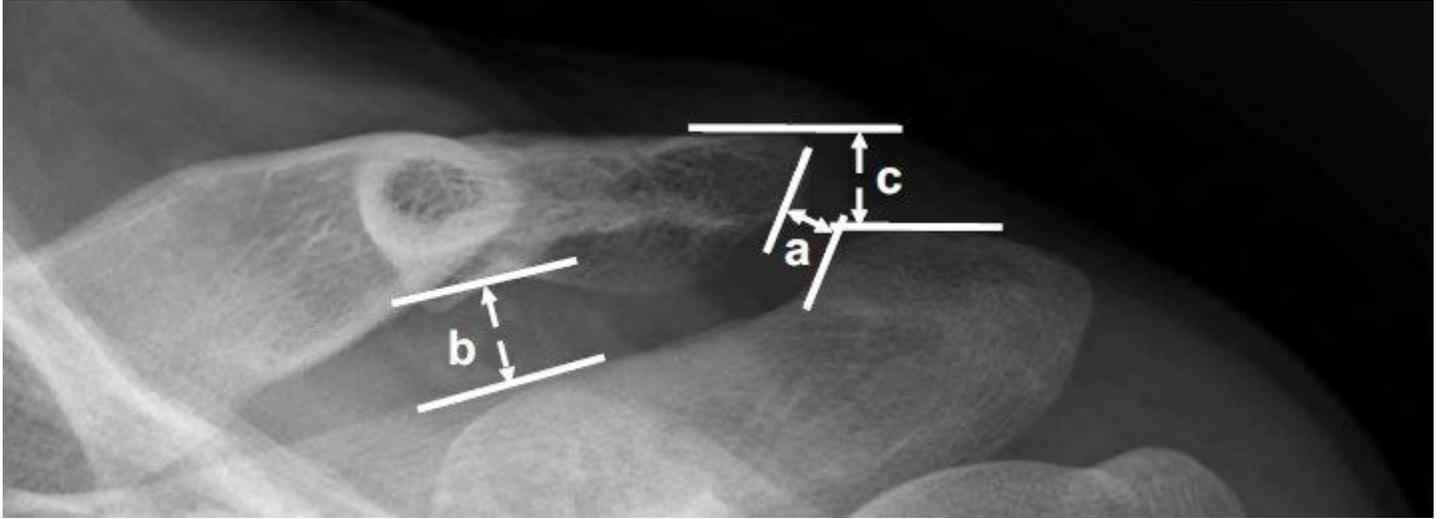


Figure 1

Radiologic analysis on the plain anteroposterior radiograph for the assessment of postoperative reduction quality. a: acromioclavicular distance, b: coracoclavicular distance, c: acromioclavicular interval.

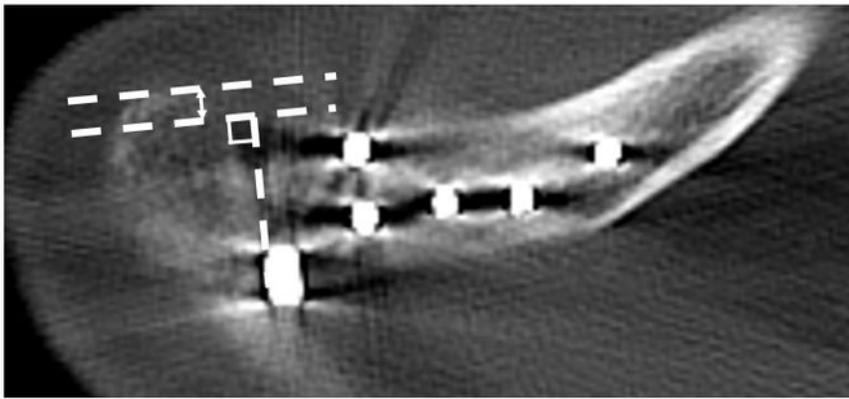
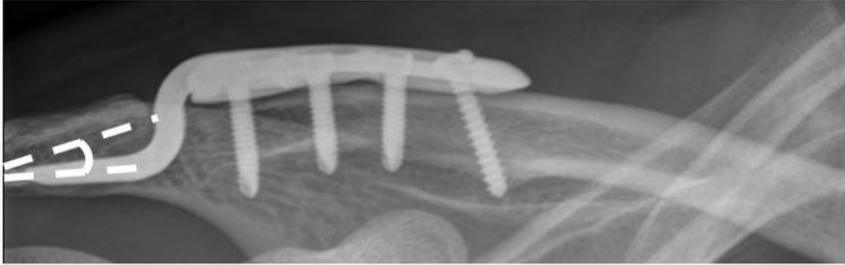
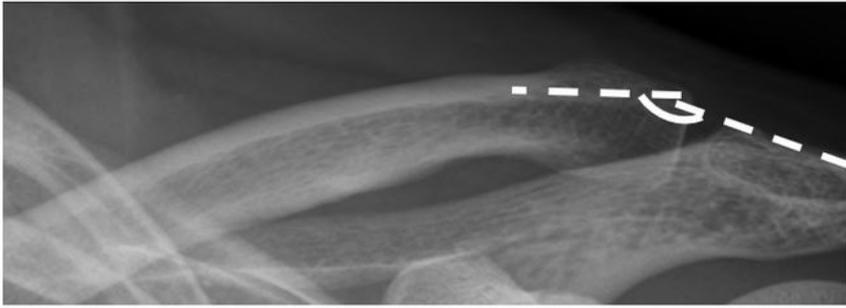


Figure 2

Risk factor analysis for the subacromial erosion. 1) Acromioclavicular slope (AC slope), 2) Acromion-117 hook angle (AH angle), C) Acromioclavicular AP distance (AC-AP distance) D) Acromioclavicular interval (ACI)