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# **Novel bipolar charge collecting structure enabling overall water splitting on ferroelectric photocatalysts**

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## **Abstract**

Due to the unidirectional charge separation and above-gap photovoltage, ferroelectrics have been considered as excellent photocatalytic candidates for solar fuel production. However, the performance of ferroelectric photocatalysts is often moderate. Few reports demonstrated that these kinds of photocatalyst could achieve overall water splitting. Here we propose a novel approach to fabricate interfacial charge collecting nano-structures on ferroelectric's positive and negative domains, enabling overall water splitting in ferroelectric photocatalysts. We observed efficient accumulations of photogenerated electrons and holes within their thermalization length (about 50 nm) around the Au nanoparticles located in the positive and negative domains of BaTiO<sub>3</sub> single crystal. Photocatalytic overall water splitting was firstly observed on ferroelectric BaTiO<sub>3</sub> single crystal after assembly oxidation and reduction cocatalysts on the positive and negative charged Au nanoparticles. The idea of fabricating bipolar charge collecting structure on the ferroelectrics to achieve overall water splitting paves the new way for utilizing the energetic photogenerated charges in solar energy conversion.

## Introduction

Ferroelectrics with switchable spontaneous polarization have shown tantalizing potential in memory storage and integrated microelectronics<sup>1-5</sup>. Meanwhile, power conversion efficiency exceeds unity, and large photovoltage above the bandgap have been reported in some ferroelectrics<sup>6-10</sup>. The photoelectric characters of ferroelectrics have drawn much interest in solar fuel production<sup>11-14</sup>. Compared to the traditional charge separation driving force via drift or diffusion mechanisms in common semiconductor photocatalyst, ferroelectric semiconductors possess charge separation driving force due to spontaneous polarization<sup>15-20</sup>. The unique characters in asymmetric crystals endows ferroelectric semiconductors the bulk photovoltaic effect (BPVE), favoring efficient photogenerated charge separation within the nonthermalization length<sup>21, 22</sup>. With these photogenerated charges, the Shockley–Queisser limit for the power conversion efficiency in the ferroelectric devices have been exceeded under one sun illumination (AM 1.5 G)<sup>6</sup>. Despite the enormous potential applications, the application of ferroelectrics in photovoltaic devices remains scarce. In particular, ferroelectric semiconductors are not yet reported for photocatalytic overall water splitting. Although they possess both spontaneous ferroelectric polarization induced internal field for massive charge separation and thermodynamically suitable energy band structure for overall water splitting.

The charge separation mechanisms in ferroelectric, known as BPVE, are often explained by two mechanisms: shift and ballistic<sup>23</sup>. The shift mechanism originates from a quantum phenomenon in the noncentrosymmetric crystal. It is the result of the coherent evolution of a quantum wave packet and the photoexcitation-induced shift of real space. The ballistic mechanism is related to the photogenerated, nonthermalized charges with asymmetric momentum distribution in the noncentrosymmetric crystal (FIG. 1a). The nonthermalized charges descend to the band bottom via a length  $L_0$ , also called thermalization length.  $L_0$  depends on materials and incident photons in tens to hundreds of nanometers. Within  $L_0$ , all the photogenerated charges contribute to BPVE and yield the highest solar energy conversion efficiency. Hexagonal close-packed

metallic electrode arrays with accurate distance were predicted to have the highest collection and utilization of photogenerated charges (FIG. S1). Based on this principle, Spanier *et al.* prepare a device with a single-tip electrode contact and an array with 24 tips. The device generated a current density of  $17 \text{ mA cm}^{-2}$  under the illumination of AM 1.5 G<sup>6</sup>. Photogenerated charges are concentrated around every individual tip and then collected via the ITO electrode. However, the fully-covered ITO electrode hinders the transmissivity in the ultraviolet range, where BTO has the most significant absorption coefficient. As a result, the performance of this device is mediocre and performs well below expectations. The utilization of photogenerated charges in ferroelectrics for high-efficiency solar energy conversion remains a longstanding challenge, despite the theoretical basis seeming quite clear. Thus, well-designed micro/nanostructures in ferroelectric-based semiconductors are of substantial importance in solar energy conversion. There left plenty of room for the explore of charge separation mechanisms at micro/nanoscale to achieve photocatalytic overall water splitting.

In this work, we proposed a novel approach to fabricate nano charge collecting structures at metal/ferroelectric interface to enable overall water splitting ability in ferroelectric photocatalysts, Au array patterned BaTiO<sub>3</sub> single crystal. We find the anomalous concentration of photogenerated electrons and holes in Au particles, located at +P and -P domains in BaTiO<sub>3</sub> single crystal, respectively. It is proved that the photogenerated charges are concentrated around Au particle within a hemisphere of radius  $L_0$ , the thermalization length, about 50 nm. Due to the energetic photogenerated charges, fabricated Au array/BTO photocatalysts show substantial photocatalytic overall water splitting performance. The measured thermalization length  $L_0$  is also the key experimental prescription in designing high efficiency ferroelectrics in solar energy conversion at nanoscales.

FIG. 1a shows our approach for high-efficiency solar energy conversion. In detail, Au particles in hexagonal arrays with proper density are fabricated on the surface of the ferroelectric semiconductor substrate. Individual Au particle is an enhanced charge

collection and utilization point. And then, cocatalysts can be selectively deposited on Au particles under illumination. On the one hand, the concentrated photogenerated charges within thermalization length could promote photocatalytic activity. On the other hand, the photocatalytic reduction and oxidation reactions can be spatially separated on positive and negative polarization ferroelectric domains, respectively. In the case of photocatalytic water splitting, the hydrogen evolution reaction and oxygen evolution reaction can simultaneously occur. Solar energy conversion into chemical energy is feasible via overall water splitting.

Then, a typical model is established to clarify the behavior of photogenerated charges at the interface of metal and ferroelectrics. In detail, a (001)-oriented BaTiO<sub>3</sub> single crystal is applied as ferroelectric substrate, where Au nanoparticles are dispersed. Au particles are about 200 nm in diameter and about 50 nm in thickness (FIG. 1b, FIG. S1). Kelvin probe force microscopy (KPFM) is then applied to map the surface potential of Au/BTO under dark and light excitation conditions, as shown in FIG. 1c, d. Measured surface potential is the contact potential difference (CPD) between the AFM tip and the sample. And then, the CPD is converted to localized workfunction (LWF) for better understanding (Details in Experiments and Supporting Information). As shown in FIG. 1c and Line 1, the LWF of BTO at -P and +P ferroelectric domain is markedly different. At the +P BTO, BTO has downward surface band bending and the LWF is lower. On the contrary, BTO has upward surface band bending at the -P domain, and the LWF is higher. The polarization-induced surface contrast coincides with previous results<sup>24, 25</sup>. It is noticeable that the LWF changes at the interface of Au/BTO are more obvious (FIG. 1c and Line 3). At the Au/BTO interface in -P domain, the LWF of BTO is even higher, indicating that a Schottky-like junction with a depleting layer formed at the interface of Au/BTO. Because ferroelectric BTO is known as oxygen vacancies induced n-type semiconductor and Au possess large work function. Similarly, at the +P Au/BTO interface, the LWF of BTO is even lower due to the formation of a quasi-Ohmic contact and an accumulation layer. The LFW of Au/BTO in the dark

confirms the formation of Schottky-like junction at -P and quasi-Ohmic contact at +P via KPFM, same as previous ferroelectric devices<sup>26-28</sup>.

The KPFM experiments are performed under 355 nm UV-light excitation (3.49 eV, about 0.5 mW/cm<sup>2</sup>) to investigate the photogenerated charge separation. The photon energy is higher than the bandgap of BTO ( $E_g=3.2$  eV), which is super-band illumination. The selected photon energy exceeds the bandgap of BTO but away from the surface plasmon resonance (SPR) excitation of Au particles at this size (SPR peak position at about 790 nm)<sup>29</sup>. Thus, plasmon resonance absorption of Au would not affect BTO substrate at 355 nm UV illumination (FIG. S3). As shown in FIG. 1d, under the UV-light excitation, the LWF at the Au/BTO interface is significantly changed. And the LWF of the domain wall shifts about 0.34 eV due to the bulk photovoltage effect (BPVE) of ferroelectric polydomain in the bulk of BTO (FIG. S7). And thus, the LWF at the domain wall between two antiparallel domains is taken as a reference<sup>25</sup>. The bar scale in both FIG. 1c and 1d is 0.42 eV.

Then, a detailed analysis of the LWF line profiles taken across the LWF images (FIG. 1c and 1d) is described. Firstly, the energy-band diagram of bare BTO is analyzed. As shown in FIG. 1e, line 1 and line 2 are the LWF line profiles between two antiparallel domains in dark and under UV-light excitation, respectively. The contrast of LWF between the two antiparallel domains decreases from about 0.12 eV to about 0.1 eV. The result confirms that both the ferroelectric polarization induced downward band bending at +P domain and upward band bending at -P domain are reduced due to the photogenerated charges transferring to the surface. It is worth noting that the measured domain contrast is much lower than the ideal value due to the screening charges<sup>24, 30</sup>. Afterward, in analogy to bare BTO, the LWF line profiles across Au particles across different BTO domains are analyzed. The LWF values extracted across lines 3 and 4 (FIG. 1f) are displayed in FIG. 1f. Interestingly, under illumination, the LWF difference between the two Au particles is increased from about 0.18 eV to about 0.28 eV. The result indicates that the built-in voltage of Au/BTO interface at either +P or -P domain is further enhanced. In contrast, the built-in voltage at SCRs, such as bared BTO and



common metal/semiconductor Schottky junction, always decreases under illumination<sup>31, 32</sup>. The enhanced built-in voltage at the two types of Au/BTO interface proves that the Schottky-like depleting layer at -P domain is further depleted and the quasi-Ohmic-like accumulation layer at +P domain is also further accumulated. The above results provide strong evidences that photogenerated charges are concentrated around Au particles in the SCRs, agreeing with Spanier's speculation<sup>6</sup>. In the surface SCRs of bare BTO and common semiconductors, the built-in voltage decrease under illumination (FIG. S4, S5, S6)<sup>31-34</sup>. This phenomenon at Au/BTO is quite anomalous, entirely different from common metal/semiconductor junction.

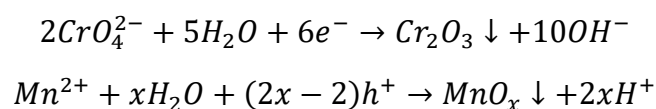
To obtain further information, a detailed quantitative analysis is carried out. As shown in FIG. 2, the LWF at Au/BTO interface is nonlinearly fitted with an exponential decay formula (Details in Supporting Information), giving built-in voltage  $\varphi_{bi}$  and SCR width  $L$ . At +P Au/BTO, the additional built-in voltage  $\varphi_{bi}$  at Au/BTO interface increases from 32.2 mV in the dark to 78.5 mV under UV light excitation. It should be mentioned that the  $\varphi_{bi}$  is the additional built-in voltage of BTO, obtained by subtracting the surface potential of bare BTO from that of BTO at Au/BTO (FIG. S2). And thus, measured  $\varphi_{bi}$  is smaller than the real built-in voltage at Au/BTO heterojunction. And the additional space charge region (SCR) width decreases from 90.7 nm to 52.3 nm ( $L_0$ ) in UV-light. The photogenerated electrons are concentrated around Au particles with a narrower hemisphere of radius  $L_0$ (FIG. 2c, left). The thermalization length or the mean free path for a hot photoexcited electron or hole  $L_0 = g_{31}e^{-1}\hbar\omega/(\Phi\xi^{ex})^{23}$ . For bulk BTO single crystal,  $g_{31}=3\times 10^{-9}$  cm V<sup>-1</sup>,  $\hbar\omega$  is the incident photon energy and 3.49 eV (355 nm) in our experiment,  $\Phi$  is the quantum yield,  $\xi^{ex}$  is the photoexcitation asymmetry parameter and the max value of  $\xi^{ex}$  is  $10^{-2}$ - $10^{-3}$ . Because  $\alpha_{BTO} \approx 5-10$  cm<sup>-1</sup> at  $\hbar\omega = 3.06$  eV, most of the light is absorbed in the crystal. So  $L_0$  is 10-100 nm. Moreover, the excitation asymmetry parameter falls as the photon frequency increases. Previously experiment demonstrated that the  $L_0$  is about 100 nm when  $\hbar\omega$  is 3.06 eV<sup>6</sup>. Based on the above discussion, measured 50 nm  $L_0$  at 3.49 eV is

reasonable in this work. Measured  $L_0$  is an essential prescription in designing ferroelectric photovoltaic devices and photocatalysts.

More remarkable, the electric field intensity at Au/BTO interface increases from about 3 kV/cm to about 15 kV/cm, five times larger (FIG. S8). 15 kV/cm is almost one order of magnitude higher than that of common SCRs<sup>19, 35-39</sup>. The analogous phenomenon at -P Au/BTO is shown in FIG. S8b. It is estimated that the steady-state charges density at the interface increases about 2-3 orders of magnitude under illumination (FIG. S8c).

The concentration of photogenerated charges can be attributed to twofold factors, the enhanced electric field around Au particles and the oxygen vacancies in BTO (FIG. S10). Due to the constructed metal/ferroelectric junctions, an intense field is concentrated about 150 nm away from the margin of Au particles. Under light excitation, impact ionization of oxygen vacancies occurs within the enhanced electric field, as reported in the literature<sup>6, 40</sup>. A photon produces the first pair of electron  $e_1$  and hole  $h_1$  from the oxygen vacancy. In this situation,  $e_1$  with high mobility relaxes and produces a second pair of electron  $e_2$  and hole  $h_2$ . As a result, photogenerated charges are concentrated at the SCRs beneath BTO within a hemisphere of radius  $L_0$  about 50 nm around Au.

To further confirm the charge transfer between ferroelectric BTO to Au, *in situ* photodeposition and KPFM experiments are performed. Two typical photodeposition reactions based on reduction (with photogenerated electrons) and oxidation (with photogenerated holes) reactions are carried out, under 355 nm UV-light excitation:



AFM topography in FIG. 3a shows that  $CrO_4^{2-}$  is primarily reduced on Au particles to form a solid layer in +P domain, indicating the formation of  $Cr_2O_3$  layer. The charge density of electrons on Au particles is higher than that of BTO. Thus, the  $CrO_4^{2-}$  is primarily reduced to  $Cr_2O_3$  on Au particles instead of ferroelectric BTO. Furthermore, the KPFM images before and after photodeposition are measured and shown in FIG. 3b

and 3c. The darker in the LWF images indicates higher LWF. After deposition, the photogenerated electrons remain concentrated around Au particles. The built-in voltage at Au/BTO is further enhanced due to the deposition of Cr<sub>2</sub>O<sub>3</sub>. High-resolution Scanning Electron Microscope (HRSEM) image in FIG. 3d also shows a thin layer of Cr<sub>2</sub>O<sub>3</sub> on the surface of Au particles. In contrast, as shown in FIG. 3e, Mn<sup>2+</sup> prefers to be oxidized to MnO<sub>x</sub> on Au particle under UV-light. At the same time, the KPFM images in FIG. 3f and 3g indicate the charge separation at Au/BTO interface remains the same after photodeposition. HRSEM in FIG. 3h shows that MnO<sub>x</sub> prefers to deposit on Au particle. The above *in situ* photodeposition experiments validate the proposed model that the photogenerated electrons and holes are separately collected by the Au particles in +P and -P domains of BTO.

Ferroelectric photocatalyst is then designed based on the above experiment phenomenon and measured essential experimental prescription. Except for the thermalization length of BTO, several other factors should also be concerned, such as array density, Au particle size. The work function, metal-ferroelectric interface, and surface plasmon resonance (SPR) of Au particles are pronouncedly size-dependent<sup>41,42</sup>. Despite the Au array's density decrease with Au particle size, large Au particles with higher charge capacity, better metal/ferroelectric interface, and red-shift SPR are preferred. Besides, the electric field around the charged Au particle arrays should also be well considered. Thus, the distance between the margin of two adjacent Au particles should be more than twice  $L_0$  due to the electrostatic repulsion between them (FIG. S18 and S19). Based on these aspects, appropriately designed ferroelectric photocatalysts are shown in FIG. 4a. Periodic hexagonal close-packed (hcp) Au particles on BTO are prepared with self-assemble polystyrene microsphere template (Details in Supporting Information, FIG. S12-16). The Au particles are about 200-230 nm in diameter with 500 nm periodicity. The distance between the margin of two adjacent Au particles is about 250-300 nm. The electric field simulation indicates that the electric field surrounding Au array is massively enhanced and radially expands, but different from an individual one. The enhanced field around the center Au particle is almost a

hemisphere and contracted horizontally compared with individual one due to the electrostatic repulsion between the neighbor Au particles. The enhanced field extends about 80 nm from the margin of Au particle. A nonenhanced area is also found between the two Au particles due to the electrostatic repulsion. When the distance between the margin of two adjacent Au particles is 100 nm, i.e., twice of  $L_0$ , the periodicity decreases to 300 nm. The strong electrostatic repulsion between the neighbor Au particles enables a shrunken and reduced electric field (FIG. S19). The electric field extends less than the  $L_0$  and cannot conform to the demand of charge collection within  $L_0$ . Based on the simulation results, we demonstrate that the designed Au array on BTO is rational.

Furthermore, X-ray photoelectron spectroscopy (XPS) is conducted to investigate the interfacial contact between Au array and BTO. As shown in FIG. 4b, several peaks are layered together and can be figured as 4d peaks of  $Ba^{2+}$  and 4f peaks of  $Au^0$ . These peaks can fit certain constraints, such as area ratio and characterized peak location (Details in Supporting Information). Both the  $Au^0 4f_{7/2}$  and  $Au^0 4f_{5/2}$  peaks are divided into two peaks. The binding energy (BE) between the two peaks is about 0.6 eV. Because the BE difference between the  $Au^0$  and  $Au^{1+}$  is usually about 1.5 eV. And it is the same with  $Au^{1+}$  and  $Au^{3+}$ . Thus, the Au element is in the chemical state of  $Au^0$  but different charge density due to the constructed heterojunction with BTO. In detail, the higher BE of  $Au^0 4f$  can be assigned to the Au particle at +P with quasi-Ohmic contact. Analogously, the lower BE of  $Au^0 4f$  can be assigned to the Au particle at -P with Schottky contact. Notably, the electrostatic charges on Au particles are the requirement for the enhanced electric field at Au/BTO interface. Both the XPS and KPFM results confirm the well-fabricated metal/ferroelectric heterojunctions and the polydomain ferroelectric structure of BTO crystal. And thus, the reduction and oxidation reactions can be simultaneously achieved and spatially separated on the surface of the BTO crystal.

Hydrogen evolution reaction in FIG. 4c demonstrates that the Au/BTO exhibits significantly higher activity than bare BTO, providing further experimental verification of the collecting and utilizing photogenerated charges at metal/ferroelectric interface.

After selectively photodeposition (Rh/Cr<sub>2</sub>O<sub>3</sub> and CoOOH)<sup>43, 44</sup>, the overall water splitting is achieved in pure water (FIG. 4d). This could be the first case in the literature that ferroelectric structures can split pure water via photocatalysis. Even though perovskite BTO possesses both thermodynamically suitable energy band and massive charge separation driving force for water splitting, the overall water splitting of BTO is still not reported yet. After constructing nanostructures to collect and utilize the photogenerated charges, we successfully demonstrate that the overall water splitting in pure water can be achieved. These results emphasize the significance of utilizing the photogenerated charges in ferroelectrics within the thermalization length.

## **Conclusions**

In summary, we have shown that the overall photocatalytic water splitting can be achieved in ferroelectric photocatalysts via collecting and utilizing the photogenerated charges within the thermalization length in a prototype of Au/BTO photocatalysis. Using KPFM, we have observed the concentration of photogenerated charges within the thermalization length of BTO at the Au/BTO interface. Measured thermalization length is an essential experimental prescription for fabricating high-efficiency photocatalytic and photovoltaic devices at the nanoscale. With this novel structure design, constructed ferroelectric photocatalysts can perform photocatalytic overall water splitting. The experimental design definitely opens a paradigm of designing the ferroelectric photocatalysts for efficient solar energy conversion.

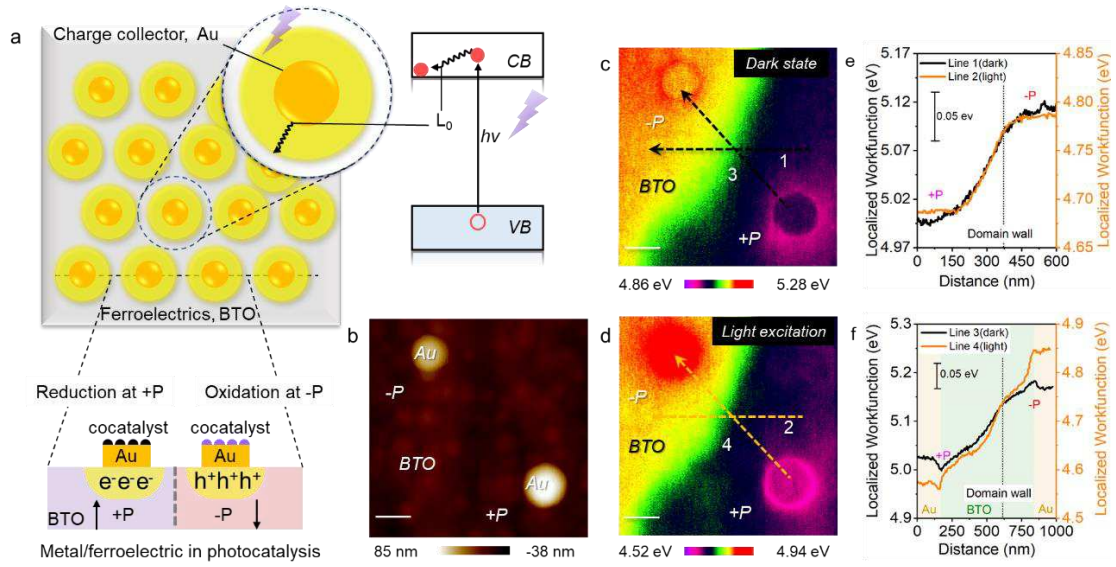


FIG. 1 (a) Schematics of proposed metal/ferroelectric photocatalyst. (b) AFM topography of Au particles on BaTiO<sub>3</sub> single crystal. Scale bar, 200 nm. (c) LWF of Au/BTO in the dark. Scale bar, 200 nm. (d) LWF of Au/BTO under 355 nm UV-light (0.5 mW/cm<sup>2</sup>). Scale bar, 200 nm. (e) Line 1 (dark) and 2 (UV-light) profile images were taken across two antiparallel ferroelectric domains of BTO. (f) Line 3 (dark) and 4 (UV-light) profile images were taken across two antiparallel ferroelectric domains of Au/BTO.

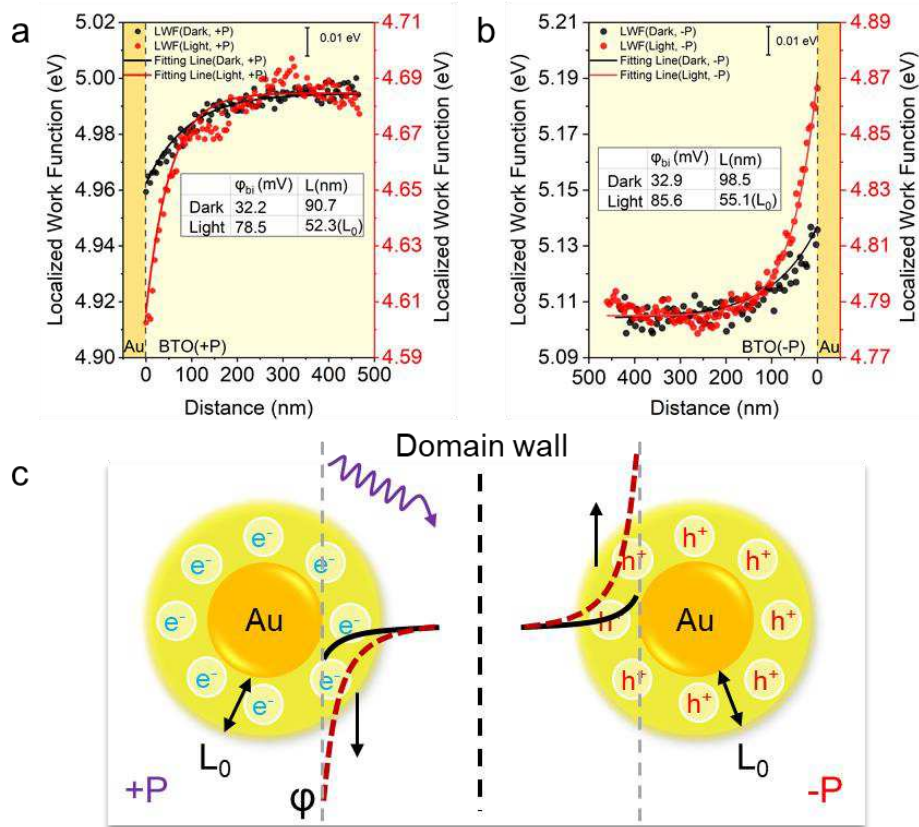


FIG. 2 (a) LWF and fitting line at the interface of +P Au/BTO in the dark (black) and 355 nm UV-light (red). (b) LWF and fitting line at the interface of -P Au/BTO in the dark (black) and 355 nm UV-light (red). (c) Diagram of charge separation of Au/BTO at +P(left) and -P(right) in dark(black solid line) and light(red dashed line).

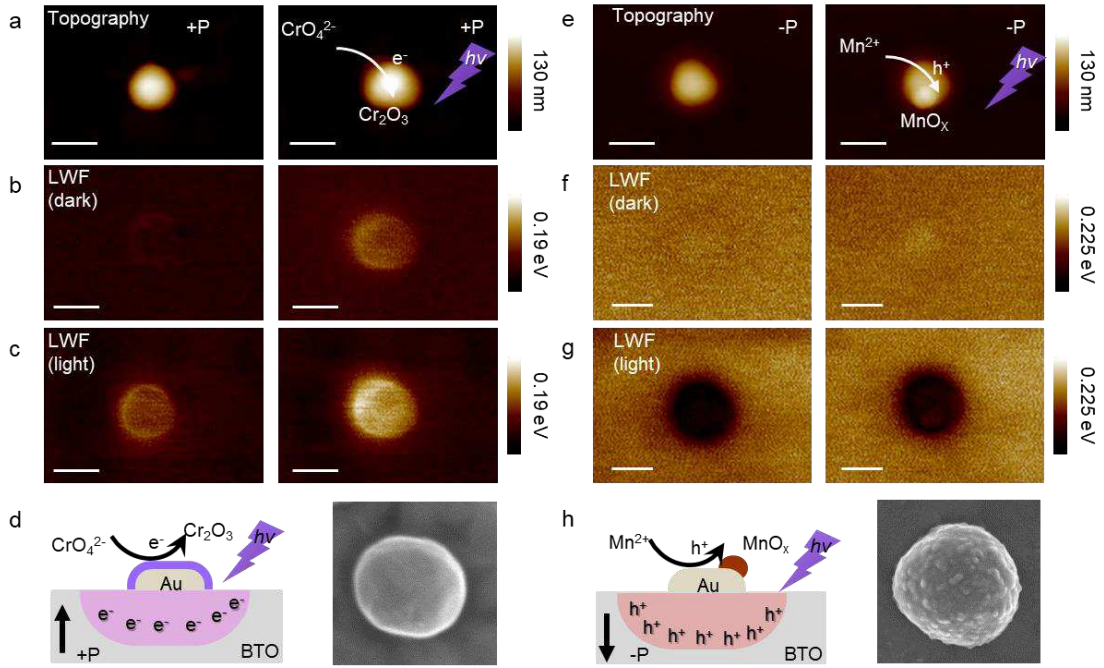


FIG. 3 (a-d) Photoreduction on Au at +P BTO. (a) AFM topography of Au/BTO at +P before (left) and after (right) photodeposition. (b) LWF in dark before (left) and after (right) photodeposition. The darker indicates the higher LWF. (c) LWF in light before (left) and after (right) photodeposition. (d) Schematic illustrations of photoreduction of  $\text{Cr}_2\text{O}_3$  on Au at +P. (e-h) Photooxidation on Au at -P BTO. (e) AFM topography of Au/BTO at -P before (left) and after (right) photodeposition. (f) LWF in dark before (left) and after (right) photodeposition. The darker indicates the higher LWF. (g) LWF in light before (left) and after (right) photodeposition. (h) Schematic illustrations of photooxidation of  $\text{MnO}_x$  on Au at -P. Scale bar, 200 nm.



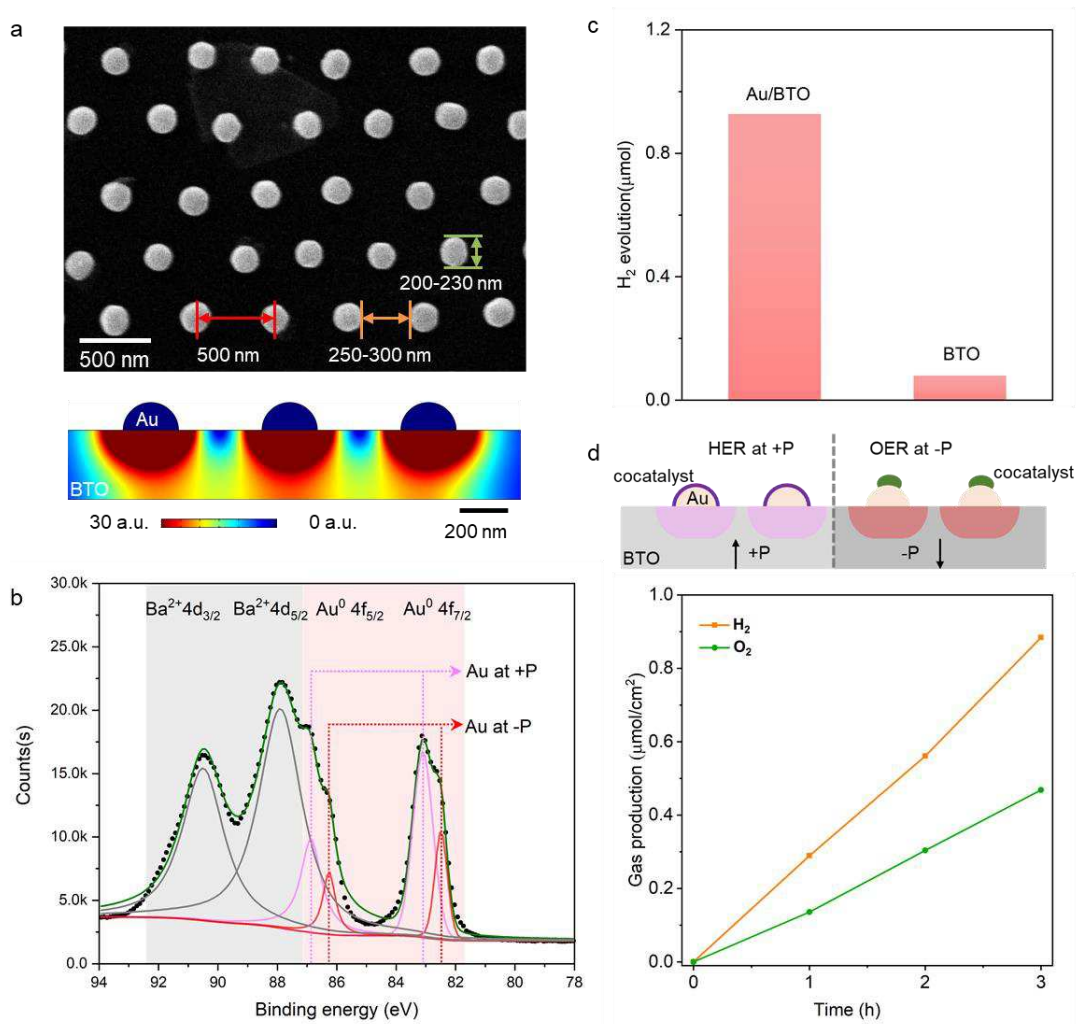


FIG. 4 (a) SEM image of Au array on BTO single crystal (upper) and simulated electric field intensity distribution of Au array/BTO (lower). (b) High-resolution XPS profiles of Au array/BTO. (c) Hydrogen evolution reaction of Au array/BTO. (d) Overall water splitting reactions of Au array/BTO with cocatalysts in pure water.

## Supporting Information

Supporting Information is available from the xxx or from the author.

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## Author contributions

Y.L. performed the experiments and wrote the paper. Z. W. and Y. W. performed the fs-TAS experiments. X. W, D. L, and H. Y. performed the analysis of fs-TAS measurements. S.Y. and J.H. performed the photocatalytic experiments. Q. Z. and H.J. performed the analysis of KPFM measurements. F.F. and C.L. analyzed data and revised the manuscript.

## Competing interests

The authors declare no competing financial interests and no competing non-financial interests.

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