Ivermectin-induced Gene Expression Changes in Adult *Parascaris Univalens* and *Caenorhabditis Elegans*- a Comparative Approach to Study Anthelmintic Metabolism and Resistance *in Vitro*

Faruk Dube (✉ faruk.dube@slu.se)

Swedish University of Agricultural Science Faculty of Veterinary Medicine and Animal Science: Sveriges Lantbruksuniversitet Veterinärmedicin och husdjursvetenskap <https://orcid.org/0000-0003-1340-9123>

Andrea Hinas

Department of Cell and Molecular Biology, Uppsala University

Shweta Roy

Department of Cell and Molecular Biology, Uppsala University

Frida Martin

Swedish University of Agricultural Science Faculty of Veterinary Medicine and Animal Science: Sveriges Lantbruksuniversitet Veterinärmedicin och husdjursvetenskap

Magnus Åbrink

Swedish University of Agricultural Science Faculty of Veterinary Medicine and Animal Science: Sveriges Lantbruksuniversitet Veterinärmedicin och husdjursvetenskap

Staffan Svärd

Department of Cell and Molecular Biology, Uppsala University

Eva Tydén

Swedish University of Agricultural Science Faculty of Veterinary Medicine and Animal Science: Sveriges Lantbruksuniversitet Veterinärmedicin och husdjursvetenskap

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Abstract

Background: The nematode *Parascaris univalens* is one of the most prevalent parasitic pathogens infecting horses but anthelmintic resistance undermines treatment approaches. The molecular mechanisms underlying drug activity and resistance remain poorly understood in this parasite since experimental *in vitro* models are lacking. The aim of this study was to evaluate the use of *Caenorhabditis elegans* as a model for *P. univalens* drug metabolism/resistance studies by a comparative gene expression approach after *in vitro* exposure to the anthelmintic drug ivermectin (IVM).

Methods: Twelve adult *P. univalens* worms in groups of three were exposed to ivermectin (IVM, 10^{-13} M, 10^{-11} M, 10^{-9} M) or left unexposed for 24 h at 37 °C, and total RNA, extracted from the anterior end of the worms, were sequenced using Illumina NovaSeq. Differentially expressed genes (DEGs) involved in metabolism, transportation, or gene expression with annotated *Caenorhabditis elegans* orthologues, were identified as candidate genes to be involved in IVM metabolism/resistance. Similarly, groups of 300 adult *C. elegans* worms were exposed to IVM (10^{-9} M, 10^{-8} M and 10^{-7} M) or left unexposed for 4 h at 20 °C. Quantitative RT-PCR of RNA extracted from the *C. elegans* worm pools was used to compare against the expression of selected *P. univalens* candidate genes after drug treatment.

Results: After IVM exposure, 1085 DEGs were found in adult *P. univalens* worms but the relative gene expression changes were small and large variabilities were found between different worms. Fifteen of the DEGs were chosen for further characterization in *C. elegans* after comparative bioinformatics analyses. Candidate genes, including the putative drug target *lgc-37*, responded to IVM in *P. univalens*, but marginal to no responses were observed in *C. elegans* despite dose-dependent behavioral effects observed in *C. elegans* after IVM exposure. Thus, the overlap in IVM induced gene expression in this small set of genes was minor in adult worms of the two nematode species.

Conclusion: This is the first time a comparative gene expression approach has evaluated *C. elegans* as a model to understand IVM metabolism/resistance in *P. univalens*. Genes in *P. univalens* adults that responded to IVM treatment were identified. However, identifying conserved genes in *P. univalens* and *C. elegans* involved in IVM metabolism/resistance by comparing gene expression of candidate genes proved challenging. The approach appears promising but was limited by the number of genes studied (n=15). Future studies comparing a larger number of genes between the two species may result in identification of additional candidate genes involved in drug metabolism and/or resistance.

Introduction

The equine roundworm, *Parascaris univalens*, is one of the most important pathogenic parasites infecting foals and young horses, with a prevalence of 31-58% on stud farms (1–4). Clinical manifestations of infection include stunted growth, respiratory symptoms and in severe cases lethal obstruction and rupture of the small intestines (5). In addition, *P. univalens* are known shedders of high numbers of eggs (6) and larvated eggs can remain viable on grazing fields for many years (7). This asserts a high infection pressure on farms, resulting in foals requiring several treatments with deworming drugs during their first year (8).

Three main classes of anthelmintic drugs, benzimidazoles (BZ), tetrahydropyrimidines (THP) and macrocyclic lactones (MLs), are registered for use in horses to combat parasitic infections, including *Parascaris spp.* Macrocyclic lactones are the most commonly used drug class in veterinary medicine due to their high efficacy, low toxicity, and broad spectrum of target parasites (9). The primary target of MLs in parasitic nematodes are Glutamate-gated ion channels (GluCl), where for example the ML drug ivermectin (IVM) binds irreversibly to cause hyperpolarization of the pharynx and flaccid paralysis of muscles in the worm (10). Development of anthelmintic resistance (AR) in *Parascaris spp.* has evolved as a result of extensive drug usage (11) and resistance to MLs is now widespread (3, 4, 11–14).

Several ML drug resistance mechanisms have been proposed, e.g., polymorphisms or changes in expression of the GluCl target and transport proteins genes (see (15) for review). In addition, increased expression of genes encoding drug metabolizing enzymes have been shown to be involved in resistance (16). Polymorphisms cause conformational changes and alter drug-binding sites in the GluCl targets in the pathogenic nematodes *Cooperia oncophora* (17) and *Haemonchus contortus* (18). In addition, decreased expression of drug target genes may lead to a reduction of drug binding sites and thus reduced drug effectiveness (19, 20). Transport protein genes, particularly encoding P-glycoproteins (Pgps) and other ATP-binding-cassette family members, have been implicated in anthelmintic drug resistance through xenobiotic substances elimination from the cell, thus preventing the drugs from reaching their target sites (21–24). Multiple studies have also reported higher constitutive expressions of Pgps in resistant parasite strains compared to their susceptible counterparts (20, 21). Drug metabolism comprises of Phase I and II enzymes, Phase I enzymes (e.g. Cytochrome p450 family) modify the drug through oxidation, reduction or hydrolysis to a more reactive form so in Phase II, it can be conjugated to an endogenous molecule such as glucose or glutathione, rendering the drug inactive and expellable (25). For example, an increased constitutive expression of Phase I (26) and Phase II metabolic genes (27) was reported in resistant *H. contortus* isolates after *in vitro* exposure with BZs. However, the exact roles of Phase I and II enzymes in development of ML resistance in parasitic nematodes is not known.

The genetic mechanisms underlying ML resistance in *P. univalens* remain inadequately understood. Comprehensive research of resistance mechanisms in *P. univalens* is hampered due to the complex host-dependent life cycle and the lack of *in vitro* experimental models. Several studies have used live adult worms isolated from slaughtered horses or euthanized research herd foals (28–30). However, this approach is not only laborious and expensive but also slaughters of foals are infrequent and the number of research herds are limited. The free-living nematode, *Caenorhabditis elegans*, makes a suitable model as it possesses similar drug targets as parasitic round worms (31, 32) and is cheap and easy to maintain in the laboratory. In addition it has a short life-cycle of 3.5-days, and there are several powerful genetic tools available for manipulation of *C. elegans* (33). Several previous studies directed to decipher ML-resistance in *P. univalens* have employed transgenic lines of *C. elegans* as models (28, 34, 35). To improve the likelihood of deriving conclusions from *P. univalens* research that use *C. elegans* as a model organism, it is necessary to assess its applicability, at the very least in terms of gene expression following xenobiotic exposure.

In the current study, we investigated differentially expressed genes (DEGs), which encode drug targets, transporters, transcription regulators or enzymes involved in drug metabolism in adult *P. univalens* after *in vitro* exposure to the ML substance, IVM. We further investigated the expression profile of the *C. elegans* orthologues of the above genes in adult *C. elegans* after *in vitro* exposure to IVM. Our objective was to evaluate whether *C. elegans* is a suitable model for *P. univalens* by studying and comparing gene expression of selected candidate

genes in *P. univalens* and *C. elegans* after *in vitro* IVM exposure. Our findings showed that candidate genes, including the putative drug target gene, *lgc-37* responded to IVM in *P. univalens*, but marginal to no response was observed in *C. elegans* despite dose dependent behavioral effects observed in *C. elegans* after IVM exposure.

Materials And Methods

3.1 Parascaris univalens

Adult *P. univalens* worms collected from two anthelmintic-naïve Icelandic foals at an abattoir in Selfoss, Iceland were exposed to IVM *in vitro*. A detailed account of the experimental setup has been described in Martin *et al.* (29). Briefly, worms were incubated for 24 h in cell culture media containing 0.1% DMSO supplemented with 10^{-13} , 10^{-11} or 10^{-9} M IVM. In addition, a control group (media^{+DMSO}) of unexposed worms receiving cell culture media containing 0.1% DMSO was used (Fig. 1a). The experiment was performed in three biological replicates at least three worms in each group. One worm from each subgroup was dissected. The anterior end of the worm was cut in to fine pieces and suspended in 1mL Trizol (Invitrogen, Carlsbad, USA). After homogenization with a glass tissue grinder phenol and chloroform were used to isolate the aqueous phase of the homogenized suspension. The aqueous phase was advanced into NucleoSpin® RNA Plus Kit (Macherey Nagel, Düren, Germany) for RNA extraction according to the manufacturer's instruction. Before preparing sequencing libraries from 500 ng total RNA, Fragment Analyzer (Agilent, Santa Clara, USA) was used to determine RNA concentration and quality. Illumina NovaSeq S1 flow cells and 100 bp paired end v1 sequencing chemicals were used to sequence three biological replicates per condition (Fig. 1a).

3.1.1 RNA sequencing analysis

Reads were quality assessed using blastp (36) in a pipeline available at https://github.com/SLUBioinformatics/Infrastructure/RNAseq_nf. Mapping and quantification of reads against the predicted reference *P. univalens* transcriptome (https://parasite.wormbase.org/Parascaris_univalens_prjna386823/Info/Index/) was performed using Salmon v.0.11.3 (37). Salmon output was matrix-summarized using R package tximport (38) for DESeq2 v.1.22.2 R package to determine DEGs as Log2foldchange). Wald test derived *P*-values from DESeq2 were modified for multiple testing using the Benjamini-Hochberg approach based p.adjust function in R base (39). Differentially expressed genes were defined as those with an adjusted *P*-value of less than 0.05. Functional annotations of DEGs were identified by a BlastP search (e-value $\leq 10^{-5}$) of their respective protein sequences against the Swiss-Prot database.

To determine the number of genes shared by different drug concentrations, gene IDs were used to build comparative Venn diagrams in R v.3.6.1 using the venn.diagram function of the VennDiagram package (40). The get.venn.partition function was used to retrieve gene IDs corresponding to each Venn diagram partition.

3.1.2 Principal component analysis

To analyze differences in the response to IVM exposure among individual worms, principal component analysis (PCA) was employed using scaled read counts greater than zero for 10830 genes from each worm (n=12). The prcomp function in R was used on the scaled read count to perform PCA. To determine how much variation each principal component (PC) accounts for in the data, standard deviations generated by PCA were used to compute

variances. The percentage variances were visualized in a scree plot using barplot function in R. The top two PCs, which account for most variation in the data, were used to build a PCA plot using ggplot2 R package.

3.1.3 Selection of candidate genes

The ten most DEGs in *P. univalens* were identified for each IVM concentration and considered as potential candidate genes. In addition, genes shared by two or more IVM concentrations were considered as potential candidates. Using the BiomaRt package in R, the potential candidate gene IDs were used to retrieve their respective ontologies and *C. elegans* orthologues from WormBase ParaSite (<https://parasite.wormbase.org>). From the pool of potential candidates, the selection of candidate genes were based on the presence of an orthologue gene in *C. elegans*, and that they were functionally characterized as drug targets or involved in at least one of the following processes; xenobiotic metabolism, metabolite transportation, and gene expression regulation.

The similarity of *P. univalens* and corresponding *C. elegans* orthologues from WormBase were verified on the I-TASSER web-server (41) using their respective amino acid sequences.

3.2 Caenorhabditis elegans

3.2.1 *Caenorhabditis elegans* culture and maintenance

Wild-type N2 Bristol strain of *C. elegans* obtained from Caenorhabditis Genetics Center (CGC) was used in this study.

Worms were grown and maintained on OP50 *Escherichia coli* seeded Nematode Growth Media (NGM) at 20°C as per standard methods (42).

3.2.2 Worm synchronization

Unless otherwise stated all M9 buffer (43) used was supplemented with 0.005% Tween20 to avoid worms/embryos adhesion to plastic ware.

Caenorhabditis elegans of different life stages were cultured on 10- cm-diameter NGM agar plates for 72 h to gravid adults. Worms were harvested with ice-cold M9 buffer into 15 mL falcon tubes and synchronized by bleaching with 1.3% NaOCl and 0.5 M KOH, according to methods described by Porta-de-la-Riva *et al.* (44). Embryo floatation was performed with 60% sucrose-0.1 M NaCl mixture (ratio: 1:1) and subsequently re-suspended in M9 buffer. Hatching into L1 larvae was done on NGM agar plates without bacteria for ~20 h to obtain a synchronous L1 population.

Approximately 860 synchronized L1 larvae per 10 cm-diameter OP50 *E.coli* seeded NGM plate were incubated at 20°C for 76 h to an adult population at maximum reproduction stage (N2-mr) (Fig. 1b).

3.2.3 *C. elegans* ivermectin exposure

Synchronized N2-mr (~ 300 worms/mL) were incubated in S-complete media supplemented with 10^{-7} , 10^{-8} , 10^{-9} M IVM (+ 0.025% DMSO final concentration) and media^{+DMSO} (control). All treatments were set up in biological quadruplets supplemented with 1 mg/mL OP50 *E.coli* and incubated for 4 h at 20°C (Fig. 1b).

3.2.4 Behavioral assays

After 4 h incubation at 20°C, worms were phenotypically scored for each IVM concentration and control at room temperature. Scoring was based on three assays and performed with minor modifications as previously described by Johnson *et al.* (45).

The pharyngeal pumping assay was performed on three randomly selected worms per biological replicate. Worms were placed on 6 cm-diameter NGM plate containing a lawn of OP50 *E.coli*. Under an 80X magnification stereomicroscope (Nikon, Amstelveen, Netherlands), the number of pharyngeal pumps per minute for each worm was counted.

The thrashing assay was performed on 12 worms, three randomly selected from a pool of at least 6 worms per biological replicate. Scoring was performed within their respective treatment solutions. Worms were video recorded for at least a minute. For scoring, a photographic image of the pool was taken at 0 sec. Worms were randomly assigned numbers between one and n, where n is the total number of worms in the pool. Assigned numbers were randomized thrice, and three numbers were drawn. Worms with the drawn number were used for the scoring. The number of thrashings per minute was recorded. A thrash was defined as a complete sinusoidal motion from maximum to minimum amplitude and back.

In the dispersal assay, three to five worms from each treatment group were placed on the opposite edge of an OP50 lawn on a 6 cm-diameter NGM plate. Plates were scored after ~35 minutes based on the percentage of worms that left the inoculation spot and reached the OP50 lawn.

3.2.5 *C. elegans* RNA extraction, quality check and cDNA synthesis

The remaining approximately 300 *C. elegans* worms were retrieved from the wells, washed twice in ice-cold M9 buffer, and centrifuged to pellet. The pellet was frozen in liquid nitrogen, ground with a pestle, and suspended in 900 µL Trizol (Invitrogen, Carlsbad, USA) during continuous grinding. The aqueous phase of the homogenized suspension was isolated using chloroform and then advanced into the NucleoSpin® RNA Plus Kit (Macherey Nagel, Düren, Germany) for RNA extraction according to the manufacturer's instructions. RNA quality and quantity checks were performed on TapeStation 4150 (Agilent, Santa Clara, USA) according to manufacturer's instructions. Two micrograms of total RNA were reverse transcribed using SuperScript™ III First-Strand Synthesis System (Invitrogen, Carlsbad, USA) to generate cDNA, which was then used in the qPCR assays.

3.3 Primer design and optimization

Coding sequences (CDS) for the candidate genes were retrieved from the *P. univalens* genome (accession no. PRJNA386823) in the WormBase ParaSite database (46). Primers were designed on an open-source online application, primer3 Version 0.4.0 available at <http://bioinfo.ut.ee/primer3-0.4.0/> aiming for an amplicon size of approximately 500 bp, spanning at least two exons (Table S1). For genes with multiple transcripts, only CDS for transcripts with the highest read counts in the RNA sequencing (RNAseq) data were chosen. Coding sequences were aligned in CodonCode Aligner program (version 9.0.1, CodonCode Corporation) and unique or homologous regions were selected for the design of primers. Polymerase chain reaction (PCR) was performed on 2720 thermocycler (Applied Biosystems, Waltham, USA) in a reaction mix consisting of 12.5 µL ToughMix (QuantaBio, Beverly, USA), 1 µL of each 10-µM primer, 9.5 µL of water and 1 µL of *P. univalens* DNA extract as a template. The PCR program was as follows; initial denaturation at 95°C for 5 min, 40 cycles of denaturation at 95°C for 45 sec,

55°C annealing temperature for 45 sec, elongation at 72°C for 1 min and final elongation at 72°C for 5 min. Amplicons were submitted for Sanger sequencing at MacroGen. Resulting sequences were quality assessed and aligned to obtain consensus sequences in CodonCode Aligner. As a validation step, consensus sequences were blasted against their corresponding CDS on BLAST tool in WormBase ParaSite. Thereafter, consensus sequences were used to design qPCR primers (Table S1) aiming for an amplicon size of 75-150 bp using the same online platform as above.

Similarly, CDS of *C. elegans* orthologues were retrieved from WormBase (47) using respective genes IDs. For orthologues with splice variants, primers were designed for CDS regions that were homologous to all variants. Likewise, amplicons were Sanger sequenced at MacroGen and validated by BLAST searches against their corresponding CDS in the WormBase database. Quantitative PCR assays were optimized for primer concentration, annealing temperature and efficiency (Table S1).

3.4 Relative gene expression of candidate genes using Reverse Transcriptase-qPCR

Samples and a non-template controls were run in duplicate reactions of 25 µL, composed of 12.5 µL Quantitect SYBR Green PCR mix (Qiagen, Hilden, Germany), 1 µL of each 10-µM primer, 8.5 µL of water and 2 µL (dilution factor: 5) cDNA a template on a CFX96 Touch PCR machine (Bio-Rad, Solna, Sweden). The Reverse Transcriptase-qPCR (RT-qPCR) program was as follows; initial denaturation at 95°C for 15 min, 40 cycles of denaturation at 95°C for 15 sec, assay specific annealing temperature for 30 sec and elongation at 72°C for 30 sec. For amplicon verification, a subsequent melt curve analysis was performed by temperature elevation from 60°C to 95°C, with increments of 0.5°C for 5 sec.

The Livak method (48) was used to determine the relative expression of the candidate genes. The gene expression was normalized to the geometric mean of two references genes for each species, i.e. actin, *act-5* (PgR070_g023) and Glyceraldehyde-3-phosphate dehydrogenase, *gpd-1* (PgB20_g009) for *P. univalens*, and *tba-1* (tubulin, alpha) and *eif-3.C* (Eukaryotic Initiation Factor) for *C. elegans* and related to media^{+DMSO} controls (Table S2 & S3). Gene expression was presented as Log2foldchange. As a validation step, the Log2foldchange values of candidate genes from RNAseq DEG analysis and RT-qPCR assays were imported into R, and graphically compared in ggplot2's scatterplot.

3.5 Statistical analysis

The average counts of behavioral assays were calculated in Microsoft Excel (Table S4) and then imported into GraphPad Prism (Version 9.1.0 (221)) for statistical analysis and visualization. In a one-way ANOVA with Dunnett's multiple comparisons test, average counts for the drug-treated worms were compared to media^{+DMSO} controls and graphically represented.

Log2foldchanges of gene expression in the IVM exposed biological replicates were imported into GraphPad Prism (Version 9.1.0 (221)) for each candidate gene and graphically represented. Graphical data is presented as mean values with a standard error of the mean (SEM) where applicable.

Results

4.1 *Parascaris univalens* RNAseq and differential gene expression

Adult *P. univalens* worms, collected from Icelandic horses, were treated with sublethal doses of IVM *in vitro* (10^{-13} , 10^{-11} , 10^{-9} M IVM for 24 hrs) and non-treated worms served as controls (see Materials and Methods). Total RNA with RIN values ranging from 6.6 to 8.7 was sequenced on an Illumina Nova Seq. After read quality control with fastp, the number of reads per sample ranged between 63 and 132 million. Read sequences are available at <https://www.ebi.ac.uk/ena> (European Nucleotide Archive (ENA), accession no. PRJEB37010). Read mapping against the reference transcriptome (https://parasite.wormbase.org/Parascaris_univalens_prjna386823/Info/Index/) yielded an average rate of 80–90% mapped reads, indicative of a high similarity between this transcriptomic data and the reference transcriptome.

After PCA, 12 PCs were revealed of which PC1 and PC2 accounted for the most variation in the data, 35.5% and 14.1% respectively (Fig. 2 & Fig. S1). PC1 grouped worms into IVM treated and IVM untreated, except for worm 12. However, PC2 revealed variation in response within biological replicates but worm, 1, 4 and 12 appear away from their treatment groups (Fig. 2).

Following DESeq analysis of the 1085 genes differentially expressed across the three drug concentrations, sixty five percent (703 genes) had known functions according to Swiss-Prot annotations. Twenty one percent of the DEGs were found in 10^{-13} M, 17% in 10^{-11} M and 62% in 10^{-9} M (Fig. S2). The overall amplitude of gene expression changes after IVM treatment was small, 84 genes were up-regulated more than 2-fold and 147 genes were down-regulated more than 2-fold. It was also a quite high level of variability in gene expression between the different worms.

Selection of candidate genes for further characterization was based on the presence of an orthologue in *C. elegans*, level of differential gene expression and functional characterization as drug target or involvement in at least one of the following processes: xenobiotic metabolism, metabolite transportation, or gene expression regulation. Fifteen candidate genes were selected (11 up- and 4 down-regulated) and further categorized into six groups; drug target, phase I metabolic enzyme, phase II metabolic enzyme, transporter, transcription regulator and others (Table 1). The I-TASSER web-server was used to verify *P. univalens* - *C. elegans* similarity for 80% and 87% of the candidate genes based on molecular function and biological process, respectively (Table 1).

Table 1

The I-TASSER web server comparison of selected candidate genes' orthologues in *Caenorhabditis elegans* and *Parascaris univalens* (genelDs begin with "Pg").

	GenelD	GO:MF ^a	GO-score ^c	GO:BP ^b	GO-score	<i>P. univalens</i> RNAseq Log2Foldchange		
						10 ⁻¹³ M	10 ⁻¹¹ M	10 ⁻⁹ M
Drug target	<i>lgc-37</i>	GO:0004889	0.34	GO:0006811	0.73			
	<i>PgR047_g061</i>		0.33		0.60			8.20
Phase I enzyme	<i>dhs-2</i>	GO:0005488	0.83	GO:0055114	0.83			
	<i>PgR127_g021</i>	GO:0004303	0.36		0.87	1.40	1.50	1.50
	<i>dhs-4</i>	GO:0004316	0.73	GO:0055114	0.81			
	<i>PgR004_g112</i>	GO:0005488	0.83		0.83		1.90	
	<i>dhs-27</i>	GO:0004316	0.81	GO:0055114	0.81			
	<i>PgR007_g080</i>		0.59		0.77	1.80	1.70	1.90
	<i>F13D11.4</i>	GO:0050662	0.98	GO:0055114	0.80			
	<i>PgB01_g106</i>		0.97		0.76	-1.50	-1.60	-1.10
Phase II enzyme	<i>C13A2.7</i>	GO:0008171	0.52	GO:0032259	0.35			
	<i>PgR018_g071</i>	GO:0008376	0.53	GO:0043413	0.56	1.20		1.90
	<i>ugt-54</i>	GO:0016758	0.70	GO:0030259	0.52			
	<i>PgB20_g050</i>		0.72		0.62	-0.80		-1.20
Transport	<i>cup-4</i>	GO:0004889	0.45	GO:0006811	0.65			
	<i>PgR075_g041</i>		0.48		0.67	-2.80		-2.10
	<i>hmit-1.2</i>	GO:0015168	0.36	GO:0055085	0.40			
	<i>PgR015_g078</i>	GO:0042900	0.32		0.38	2.40	2.10	2.30
	<i>hmit-1.3</i>	GO:0042900	0.36	GO:0055085	0.41			
	<i>PgR015_g078</i>		0.32		0.38	2.40	2.10	2.30
	<i>slc-17.2</i>	GO:0042900	0.57	GO:0055085	0.57			
	<i>PgR047_g023</i>		0.57		0.61	-1.00		-1.10
	<i>Y71G12B.25</i>	GO:0015168	0.55	GO:0055085	0.69			
	<i>PgR005X_g127</i>		0.54		0.64	1.60		1.50

^aGO term molecular function ^bGO term Biological process ^c is the average weight assigned to the GO term, where the weights are determined using a combined measure of global and local similarity between the query and template proteins. It is a numeric value between 0 and 1, with higher values indicating more certain predictions.

	GeneID	GO:MF ^a	GO-score ^c	GO:BP ^b	GO-score	<i>P. univalens</i> RNAseq Log2Foldchange	
	<i>F17C11.12</i>	GO:0042900	0.34	GO:0055085	0.51		
	<i>PgR003_g012</i>		0.51		0.39	-1.00	0.70
Transcription regulator	<i>nhr-3</i>	GO:0003700	0.65	GO:0006355	0.65		
	<i>PgR005X_g204</i>		0.50		0.50	1.2	0.90
Others	<i>F08F8.7</i>	GO:0004750	1.00	GO:0006098	0.94		
	<i>PgR013_g129</i>		0.99		0.67	8.90	
	<i>pkc-1</i>	GO:0005524	0.60	GO:0014059	0.32		
	<i>PgB05_g097</i>		0.64		0.31	1.00	0.90
^a GO term molecular function ^b GO term Biological process ^c is the average weight assigned to the GO term, where the weights are determined using a combined measure of global and local similarity between the query and template proteins. It is a numeric value between 0 and 1, with higher values indicating more certain predictions.							

4.2 Caenorhabditis elegans behavior assays after IVM exposure

Synchronized *C. elegans* N2 adults were initially exposed to similar IVM concentrations as adult *P. univalens* (10^{-9} , 10^{-11} , 10^{-13} M). However, as there were no observable phenotypic or gene expression effects on *C. elegans* with such low IVM concentrations (data not shown), the drug concentrations were increased to 10^{-9} , 10^{-8} , 10^{-7} M respectively.

Increased IVM concentration showed an observable effect on the worms in all behavioral assays (Fig. 3). Ivermectin exposure also showed a significant dose-dependent reduction of the number of pharyngeal pumps per minute (Fig. 3a). In the thrashing assay, IVM concentrations (10^{-8} and 10^{-7} M) significantly reduced thrashes, with even immobile worms in the 10^{-7} M concentration demonstrated by the very low adjusted *P*-value ($P \leq 0.001$) (Fig. 3b). The dispersal assay showed a similar dose-dependent trend, but was significant only in 10^{-7} M IVM (Fig. 3c). Worms incubated in media^{+DMSO} (control) showed no observable effects and no significant behavioral differences (Fig. 3). The 10^{-7} M concentration was excluded from the qPCR assays, as we aimed for mechanisms at play only in sub-lethal conditions.

4.3 Expression of candidate genes in P. univalens and C. elegans after IVM exposure

Log2foldchange of candidate genes from RNAseq DEG analysis appeared consistent to those from RT-qPCR, with very few exceptions (Fig. S3). In order to verify differential gene expression in IVM treated *P. univalens* and *C. elegans*, RNA with RIN values ranging from 6.6 to 9.8 was used in RT-qPCR assays and all gene expressions described below are based on these assays. For simplicity, only *C. elegans* gene names are used for both *P. univalens* and *C. elegans*. Corresponding *P. univalens* gene names can be referenced in Table 1.

In general, albeit IVM appears to have an effect on the expression levels of candidate genes in *P. univalens*, this effect appears to vary amongst individual worms, agreeing with the observations seen in the RNAseq based PCA plot (Fig. 2). In *C. elegans* however, even if there is a strong phenotypic effect of IVM it has marginal to no effect the change in expression levels of any of the 15 selected candidate genes (Fig. 5, 6, 7, 8).

The GABA receptor subunit gene, *lgc-37*, a putative drug target for IVM showed a generally increased trend in change in expression in *P. univalens* after IVM exposure (Fig. 5a), however, increments in *C. elegans* were marginal (Fig. 5b).

The change in expression profiles of the Phase I metabolic genes in *P. univalens* showed non-uniform patterns. The short chain dehydrogenases (SDR), *dhs-2* and *dhs-27* showed an increased change in expression while *F13D11.4* was decreased in *P. univalens* (Fig. 6a). The expression change of Phase II metabolic genes varied. The glucuronosyltransferase gene *ugt-54* was decreased in *P. univalens*, while transferase *C13A2.7* showed a trend of increased change in expression (Fig. 6c). However, in *C. elegans*, all genes generally appear not to be affected by the IVM exposure (Fig. 6b and 6d).

All investigated transport protein genes were enriched with Major Facilitator Superfamily (MFS) members, except *cup-4*, which belongs to the Ligand –gated ion channel family. Overall change in expression of transport protein genes showed a decreased trend in *P. univalens* and minor to no change in *C. elegans* (Fig. 7). Genes *hmit-1.2/hmit-1.3* and *Y17G128B.25* showed an increased change in expression in *P. univalens* (Fig. 7a).

Finally, in response to IVM, transcriptional regulator, *nhr-3*, showed an increased change in gene expression trend in *P. univalens* (Fig. 8a) but marginal to no change in expression was observed in *C. elegans* (Fig. 8b).

The neural signaling protein, *pkc-1* showed an increased change in gene expression in *P. univalens* exposed to 10^{-11} and 10^{-9} M IVM (Fig. S3A), but showed little to no change was observed in *C. elegans* (Fig. S3B). Only the 10^{-13} M IVM showed marginal increased change in expression of ribulose-phosphate 3-epimerase gene *F08F8.7* in *P. univalens* and little to no change in *C. elegans* across all IVM concentration was observed in both species (Fig. S3).

Discussion

Recent studies show that the equine roundworm *Parascaris univalens* have developed resistance to the three major classes of anthelmintic drugs used and the emergence of multi-drug resistant variants is apparent (4, 12, 49). However, the mechanisms underlying resistance in parasitic worms remain poorly understood. This is mainly due to their complex lifecycle where access to live adult worms requires host euthanization. More so, the general lack of experimental *in vitro* methods to understand core mechanisms of drug resistance also exacerbates the challenge.

In this study, we evaluated the usage of *C. elegans* as a model for *Parascaris univalens* by a comparative gene expression approach. Fifteen DEGs identified with RNAseq of *P. univalens* exposed to sub-lethal doses of IVM were selected as candidate genes and were further evaluated and compared with orthologous genes in *C. elegans* exposed to IVM. Although, IVM affected gene expression in *P. univalens*, there was little to no response in expression of the candidate genes in *C. elegans*, despite the significant dose dependent behavioral effect of IVM on adult *C. elegans* worms. The premises for *C. elegans* as a model for studies in parasitic nematodes have

been thoroughly described in other studies (33, 50–52). For example, *Ascaris suum* and *C. elegans* share 68.9% of predicted genes (53), which supports *C. elegans* as a suitable model for the *Ascarididae* family. However, despite all the above, our results show differences in expression of the selected genes between *C. elegans* and *Parascaris univalens* after exposure to IVM. This observation can be attributed to a variety of factors. *C. elegans* and *P. univalens* are members of different clades, V and III respectively, and they also have different lifecycles and evolutionary history (54). As a result, genes and gene families associated with parasitism are either absent or have different functions in *C. elegans* (55–57). The chosen candidate genes in this study are a small subset (1.4%) of all the genes responding to IVM exposure in *P. univalens*. Therefore, a global transcriptomic approach comparing whole worm transcriptomes of both *C. elegans* and *P. univalens* after IVM exposure may be a better method to evaluate whether *C. elegans* can be an accurate *in vitro* model for *P. univalens*.

The putative IVM target, *lgc-37* (GABA receptor subunit) showed an increased expression in *P. univalens* and Martin *et al.* (29) reported similar observation. Increased expression of *lgc-37* has not been correlated to AR; however, an amino acid substitution (K169R) in *H. contortus lgc-37* transfected in *C. elegans* has been reported to exhibit reduced sensitivity to ML (58). Taken together, these results highlight the importance of *lgc-37* and prompt further functional studies to characterize its role in response to IVM in *P. univalens*.

In *P. univalens*, a decreased expression was observed for the genes belonging to MFS-transport proteins, *slc-17.2* and *F17C11.12*, and the ligand-gated ion channel, *cup-4* after exposure to IVM. The role of MFS genes in parasitic worms has yet to be determined, but their involvement in drug resistance in bacteria and yeast has been reported (59). Other than the efflux role, MFS mediate cellular uptake of glucose and other saccharides (60). Because IVM causes worm starvation through pharyngeal paralysis (61–63), we speculate that the decreased glucose concentration, a consequence of starvation may trigger decreased gene expression of MFS responsible for glucose or other saccharide uptake. The ATP-binding cassette transporters, primarily Pgp efflux pumps have been implicated in resistance in parasitic nematodes (21, 24, 64). Interestingly, we found no DEGs of Pgps in our RNAseq dataset, which is consistent with previous studies in *P. univalens* (28, 30, 65, 66). However, other studies have reported induced gene expression of Pgps in IVM-resistant larvae of *Cooperia oncophora* (67) and multi-drug resistant larvae of *H. contortus* exposed to IVM (64).

Differential expression of several Phase I enzymes was observed in *P. univalens* after IVM exposure. Expression of *dhs-2* and *dhs-27* were increased whereas the reverse was seen for *dhs-4* and *F13D11.4*. However, in *C. elegans*, all SDR genes showed a consistent minor decrease in expression levels. The role of SDRs in anthelmintic resistance is not well known. However, in several helminths, SDR genes have been shown to be involved in metabolic activities of BZs (68–72). Even though we did not observe any major expressional response of SDR-genes in *C. elegans* after IVM exposure, an increase in expression of *dhs-23* in a BZ resistant strain of *C. elegans* after *in vitro* exposure to BZ derivatives has been reported (73).

Expression of the Phase II enzyme, *ugt-54*, was decreased in *P. univalens* after IVM exposure, but no response was observed in *C. elegans*. Similarly, decreased expression of other members of the UGT family, *ugt-3*, *ugt3a1*, *ugt-47* and *ugt-48*, have been observed in *P. univalens in vitro* exposed to pyrantel citrate, thiabendazole and oxibendazole (29, 30). Although we did not observe any response of *ugt-54* in *C. elegans*, other studies have shown differential gene expression of UGTs in IVM-tolerant strain of *C. elegans* after exposure to 10^{-6} M IVM (74) and in the BZ-resistant strain of *C. elegans* after exposure to BZs (73, 75, 76). Together, it is clear that UGTs are important in drug metabolism and their role in anthelmintic resistance needs to be further elucidated.

The transcriptional regulator, *nhr-3*, showed increased change in expression in *P. univalens* after IVM exposure but a slightly decreased change in *C. elegans*. Increased expression of *nhr-8* has reported to upregulate expression of Pgps and Phase I enzyme genes in *C. elegans*, and resulted in a decreased efficacy of IVM (77). A similar effect of IVM was also been observed in *C. elegans* carrying a transgenic construct expressing *H. contortus-nhr-8* (77), suggesting that the function of *nhr-8* could be similar in different nematode species. Even though *nhr-3* does not respond in a similar way in *C. elegans* and *P. univalens*, the NHR-family may play a role in AR.

Conclusion

In summary, this is the first time *C. elegans* has been evaluated as a model for *P. univalens* using a comparative gene expression approach. However, the genes selected showed dissimilar expression patterns between the two nematode species. This difference in expression could be due to caveats in the experimental design or simply the differences in life cycles of *P. univalens* and *C. elegans*. For example, due to the difficulties in obtaining live adult *P. univalens*, only three individuals were used per biological replicate in the study, compared to 300 worms for *C. elegans*. In addition, the unnatural *in vitro* culture environment may influence undesired behavioral changes or gene expression in *P. univalens* worms (29). Furthermore, only 1.4% of RNAseq-derived DEGs from *P. univalens* were used as candidate genes in this study, of which some transcripts (splice variants) also showed varying expression patterns. Together, this limits the ability to draw firm conclusions regarding comparability between these two nematode species. However, with comparative genomics, using RNAseq data from IVM exposed *C. elegans* and *P. univalens*; it is plausible to find potential drug targets with similar expression in both nematodes. This could provide a better understanding of drug response mechanisms to further investigate and combat drug resistance.

Abbreviations

IVM: ivermectin; BZ: benzimidzoles; THP: tetrahydropyrimidines; ML: macrocyclic lactones; GluCl_s: Glutamate-gated ion channels; DEGs: differentially expressed genes; DMSO: dimethyl sulfoxide; PCA: principal component analysis; PC: principal component; ID: identification; NaOCl: sodium hypochlorite; KOH: potassium hydroxide; NaCl: sodium chloride; NGM: nematode growth media; N2-mr: adult *Caenorhabditis elegans* population at maximum reproduction stage; CDS: coding sequences; PCR: polymerase chain reaction; RT-qPCR: reverse transcriptase quantitative polymerase chain reaction; ANOVA: analysis of variance; SEM: standard error of the mean; RIN: RNA integrity number; GABA: γ-aminobutyric acid; SDR: short chain dehydrogenases; MFS: major facilitator superfamily; Pgp: p-glycoprotein

Declarations

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9.3 Availability of data and materials

Nucleotide sequence data reported in this paper are available in the European Nucleotide Archive (ENA) under the accession number PRJEB37010 (<https://www.ebi.ac.uk/ena>).

9.4 Authors' contributions

FD, AH, MA, SS and ET designed the study. FD, FM, ET and SR collected parasite material. FD and SR performed the experiments. FD, ET, AH, MA, and SS analyzed the data. FD wrote the manuscript. FM, AH, MA, SS, ET and SR reviewed the manuscript. All authors read and approved the final manuscript.

9.5 Ethics approval and consent to participate

Not applicable.

9.6 Consent for publication

Not applicable.

9.7 Competing interests

The authors declare that they have no competing interests.

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Figures

Figure 1

Illustration of the study design. Section **a)** depicts experimental setup of *Parascaris univalens*. Adult worms were exposed to IVM drug concentrations, 10^{-13} , 10^{-11} , 10^{-9} M and Media^{+DMSO} (control). After viability scoring, RNA was sequenced, candidate genes selected and quantitative RT-PCR was performed on the selected candidate genes. Section **b)** depicts experimental setup of *Caenorhabditis elegans*. Worm pools of adults at maximum reproduction were exposed to IVM drug concentrations, 10^{-9} , 10^{-8} , 10^{-7} M and Media^{+DMSO} (control). Quantitative PCR was performed on the *P. univalens* homologues of selected candidate genes. Relative gene expression of the candidates was compared between nematode species.

Figure 2

Principal component analysis plot of *Parascaris univalens* based on scaled read counts of 10830 genes showing variance among individual worms after exposure to three IVM drug concentrations (10^{-13} M, 10^{-11} M and 10^{-9} M) and Media^{+DMSO} (control). PC1 and PC2 represent the largest variances in the data, further portrayed by red and black dotted eclipses, respectively.

Figure 3

Behavioral assays for *Caenorhabditis elegans*. **a)** Pharyngeal pumps per minute, **b)** Body thrashes per minute and **c)** Dispersal after IVM exposure, 10^{-9} , 10^{-8} , 10^{-7} M and control (Media^{+DMSO}). Mean number ($\pm$ standard error, SE) of viability scores in treatments were compared to those of the control in a one-way ANOVA (Dunnett's multiple comparisons test) to elucidate significant differences.

Figure 4

Scatter plot comparing relative gene expression (Log2Foldchange) of *Parascaris univalens* candidate genes between two methods (qPCR (orange) and RNAseq (blue)) after exposure to 10^{-13} , 10^{-11} and 10^{-9} M IVM for 24h.

Figure 5

Relative gene expression (log2foldchange) of the GABA receptor subunit *lgc-37* in **(a)** *Parascaris univalens* after exposure to 10^{-13} , 10^{-11} and 10^{-9} M IVM for 24h and **(b)** *Caenorhabditis elegans* after exposure to 10^{-9} and 10^{-8} M IVM for 4h.

Figure 6

Relative gene expression (log2foldchange) of Phase I and II metabolic genes in *Parascaris univalens* **(a and c)** after exposure to 10^{-13} , 10^{-11} and 10^{-9} M IVM for 24h. Relative gene expression (log2foldchange) of Phase I and II metabolic genes in *Caenorhabditis elegans* **(b and d)** after exposure to 10^{-9} and 10^{-8} M IVM for 4h.

Figure 7

Relative gene expression (log2foldchange) of transport protein genes in *Parascaris univalens* **(a)** after exposure to 10^{-13} , 10^{-11} and 10^{-9} M IVM for 24h. Relative gene expression (log2foldchange) of transport protein genes in *Caenorhabditis elegans* **(b)** after exposure to 10^{-9} and 10^{-8} M IVM for 4h.

Figure 8

Relative gene expression (log2foldchange) of *nhr-3* transcription regulator in *Parascaris univalens* **(a)** after exposure to 10^{-13} , 10^{-11} and 10^{-9} M IVM for 24h. Relative gene expression (log2foldchange) of *nhr-3* transcription regulator in *Caenorhabditis elegans* **(b)** after exposure to 10^{-9} and 10^{-8} M IVM for 4h.

Supplementary Files

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