

# Biomechanical and morphological changes of rabbit corneas under collagenase type II and negative pressure: three months follow-up observation

**Xinyan Chen**

Capital Medical University

**Xiao Qin**

Capital Medical University

**Mengyao Yu**

Capital Medical University

**Haixia Zhang**

Capital Medical University

**Lin Li** (✉ [lil@ccmu.edu.cn](mailto:lil@ccmu.edu.cn))

Capital Medical University <https://orcid.org/0000-0002-9190-5475>

---

## Research article

**Keywords:** biomechanical property, negative pressure, collagenase, ectatic corneas

**Posted Date:** January 31st, 2020

**DOI:** <https://doi.org/10.21203/rs.2.22389/v1>

**License:**  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

---

1        **Biomechanical and morphological changes of rabbit**  
2                **corneas under collagenase type II and negative**  
3                **pressure: three months follow-up observation**  
4  
5

6 Xinyan Chen<sup>1</sup>, Xiao Qin<sup>1</sup>, Mengyao Yu<sup>1</sup>, Haixia Zhang<sup>1,2\*</sup>, Lin Li<sup>1\*</sup>

7 1. School of Biomedical Engineering, Capital Medical University, Beijing Key Laboratory of  
8 Fundamental Research on Biomechanics in Clinical Application, Capital Medical University,  
9 Beijing, China.

10 2. School of Engineering, University of Liverpool, Liverpool, UK

11 \* HZ and LL contributed equally to this work and should be assigned as equal Corresponding  
12 authors

13  
14 Corresponding authors : Lin Li , Haixia Zhang  
15 No.10 youanmen street, 100069, Beijing, China  
16 Tel: 086-13021121625  
17 Email: [lil@ccmu.edu.cn](mailto:lil@ccmu.edu.cn); [zhanghx@ccmu.edu.cn](mailto:zhanghx@ccmu.edu.cn)

18  
19  
20  
21 ***Abstract***

22 ***Background:*** To investigate biomechanical and morphological changes of rabbit  
23 cornea ectasia induced by collagenase type II and negative pressure during 3 months  
24 after treatment.

25 ***Method:*** Eighteen New Zealand white rabbits were randomly and evenly arranged  
26 into three groups. In group NP, the corneas were continuously attracted by negative  
27 pressure with 500 mmHg for 30 min, treated by every other day, three times in total.  
28 In group CII, the corneal central zone was soaked in the collagenase type II solution  
29 (200 µL of 3 mg/ml) for 30 min. In group CP, the corneas were disposed as group CII  
30 firstly, then applied neg  
31 ative pressure as group NP for once after 5 days. All right eyes were treated as control  
32 eyes. Corneal morphology and biomechanical related parameters were observed in

1 vivo once a week for three weeks after treatment and before execution. Histology and  
2 biomechanics were tested in vitro at the third month after treatment.

3 **Results:** Corneal diopter and corneal central thickness (CCT) changed to some extent  
4 after treatment immediately as a result of negative pressure in group NP. Three  
5 months after treatment, their elastic modulus increased and the relaxation degree  
6 decreased compared with the control one. In Group CII, corneal diopter increased,  
7 CCT and CH decreased at the second week after treatment, which showed the  
8 characters of ectatic corneas. At the third month after treatment, elastic modulus ( $E_L$ )  
9 of the experimental eyes decreased slightly compared with the control eyes. Due to  
10 the large individual differences, there were no regular changes on experimental  
11 corneas in Group CP.

12 **Conclusions:** Cornea soaked with collagenase type II showed the obvious  
13 characteristics of ectatic cornea at the second week after treatment, but the  
14 characteristics disappeared gradually. Negative pressure can result in the change of  
15 corneal thickness and diopter in a short period of time, and the degree of swelling and  
16 duration are far less than Group CII, but the changes of biomechanical parameters are  
17 more obviously than Group CII.

18  
19 **Keywords:** biomechanical property; negative pressure; collagenase; ectatic corneas

20

## 21 **Background**

22 The cornea is the transparent front part of the eye, and plays an important part in

1 the ophthalmic refractive system. Corneal refractive index is closely related to the  
2 corneal morphology, which is determined by intraocular pressure, corneal  
3 biomechanical properties and so on [1]. Corneal ectasia is a disease of refractive  
4 instability with progressive destruction of corneal structure [2], characterized by  
5 central and paracentral corneal stroma thinning and dilation, corneal protrusion,  
6 irregular astigmatism and myopia [3,4]. Keratoconus (KC) and ectasia after laser  
7 corneal refractive surgery is two common diseases of corneal ectasia. KC is reported  
8 approximately 1/2000 in the world, with no sex or race predilection [5]. Since the  
9 corneal refractive surgery was carried out, ectasia has gained more attention [6].  
10 Patients with severe corneal dilatation need corneal transplantation or even blindness,  
11 and the pathogenesis of corneal dilatation is still unclear.

12 An animal model with corneal anatomy and physiology similar to human being is a  
13 valuable and indispensable tool in basic research. It provides a possibility for better  
14 studying the pathophysiology, observe the pathogenesis and explore treatment  
15 methods for corneal ectasia. However, due to the complex etiology, there is no reliable  
16 and stable corneal ectasia animal model, and no evaluation criteria for the successful  
17 animal model [7]. Tachibana et al. [8] constructed spontaneous mutated mice with  
18 keratoconus appearance (conical cornea exhibiting apoptosis and elevated expression  
19 of c-fos protein in keratocytes) by the genetic tendency of keratoconus. While that  
20 kind of corneal ectasia is secondary caused by keratitis, which is caused by genetic  
21 mutation. This model demonstrates androgen dependency and its pathogenesis and  
22 corneal mechanical properties are different from keratoconus. The post-LASIK (Laser

1 Assisted In-situ Keratomi) corneal ectasia model is constructed by cutting the corneal  
2 matrix [9, 10], but not commonly used due to the high modeling cost. Jing Q [11] made  
3 the corneal ectasia rabbit model by degrading corneal collagen, and got the same  
4 change of mechanical properties and morphology as keratoconus. But only 14 days in  
5 vivo observation was reported. The longer period validity of animal models remain to  
6 be further explored.

7 Corneal thickness thinning and local mechanical property weakening are two  
8 important factors leading to the cornea ectasia, which have been studied previously [12,  
9 13]. Additionally, cornea is also usually subjected to external forces, such as eyes  
10 rubbing [14], abnormal changes of intraocular pressure. Negative pressure suction is  
11 widely used in phacoemulsification, Laser in situ Keratomileusis (LASIK), would  
12 drainage and so on. As an external factor, negative pressure attraction may directly  
13 cause changes in tissue growth state. Therefore, in this study, we considered two kinds  
14 of treatments on cornea, namely negative pressure and collagenase type II solution  
15 treatments, to observe the corneal morphological and biomechanical related  
16 parameters for three months after treatment.

## 17 **Methods**

### 18 **Animals**

19 Eighteen healthy New Zealand rabbits aged 7 months were selected from the  
20 animal department of Capital Medical University. During the observation of the  
21 testing machine, all animals were kept in the SPF class animal room of capital

1 medical university. The protocol for experimental animal was approved according to  
2 relevant laws and institutional regulations. All rabbit eyes were examined by slit lamp  
3 to exclude anterior segment lesions. The healthy rabbits were randomly divided into  
4 three groups, six rabbits for each group. Group NP and CII were treated with negative  
5 pressure, and collagenase type II solution, respectively. Group CP were treated with  
6 collagenase type II solution and negative pressure in turn. In view of no determined  
7 evaluation criteria for the success of corneal ectasia animal model [7], we focus on  
8 diopter changes, corneal thickness and biomechanical related parameters reduction,  
9 which are regard as the sign of cornea ectasia. After in-vivo observation, the animals  
10 were euthanized by overanesthetic injection of 30mL 25% uratan solution with  
11 normal saline through the ear vein for follow-up experiments.

## 12 **Morphology and biomechanical related parameters**

13 Keratometer (TOPCON, Japan) and Optical Coherence Tomography (OCT,  
14 TOPCON, Japan) were used to get corneal surface curvature along horizontal and  
15 vertical meridians. Handheld ophthalmotonometer (iCare, Finland) was used to  
16 measure intraocular pressure (IOP). Ultrasound Pachymetry (TOMEY, Japan) was  
17 used to get central cornea thickness (CCT). Ocular Response Analyzer (ORA,  
18 Reichert Inc., Depew, NY) was used to measure biomechanical related parameters:  
19 Corneal Resistant Factor (CRF) or Corneal Hysteresis (CH). All left and right corneas  
20 of rabbit of three groups were measured on the first, second, third week and third  
21 month after treatment.

## 22 **Negative pressure adsorption process**

1 A self-made negative pressure device was shown in Fig. 1, which was constructed  
2 with the suction pump, 50 mL needle tubing, pressure sensor, hard connection  
3 pipeline and suction catheter. The air in the suction catheter was pumped out by the  
4 suction pump, and its value of pressure was measured by the pressure sensor.  
5 According to the ideal gas state equation,  $PV = nRT$ , the theoretical value of pressure  
6 in the suction catheter was calculated, in which the temperature  $T$  was the room  
7 temperature. By comparing the theoretical and experimental values, the experimental  
8 value of pressure in the negative pressure device was calibrated.

9 The anesthetized rabbits were put on the experiment table. 500 mmHg pressures  
10 (260 mmHg less than one atmosphere pressure) were applied to the left cornea with  
11 the negative pressure device for 30 minutes. Repeat the same experiment three days in  
12 a row. After the experiment, the anti-infection treatment was applied on the eye of  
13 rabbit.

#### 14 **Collagenase solution treatment process**

15 After anesthesia and epithelial debridement, the corneal central zone of left eyes  
16 were soaked in the collagenase type II solution (3 mg/ml, 200  $\mu$ L). PBS liquid was  
17 used afterwards to treat the anti-infection treatment during postoperative 1 week.

#### 18 **Histology**

19 After 3 months of treatment, 3 rabbits in each group were taken out and their  
20 corneas were fixed with 4% paraformaldehyde for 24 hours, and then embedded with  
21 paraffin and stained with hematoxylin-eosin.

#### 22 **Biomechanical measurements**

1 Three months after treatment, three rabbits of each group were taken out and their  
2 corneas were cut into a 3 mm-wide strip by a double-edged knife along the  
3 nasal-temporal direction. The uniaxial tensile test was performed on the  
4 Care-IBTC-50 Testing System (CARE Measurement & Control Corp, Tianjin, China)  
5 in normal saline bath apparatus at room temperature with 25°C. After preconditioned,  
6 the stress-strain test with the tensile rate of 0.02 mm/s was carried out, and the  
7 stretching amplitude is 115% of original length. After a five-minute recovery, a  
8 10-minute stress-relaxation test was performed afterwards.

9 The stress and strain data of corneal strips were calculated by Eqs. (1-2) from the  
10 loading and displacement data obtained in the uniaxial tensile test.

$$11 \quad \sigma = F/A_0 \quad (1)$$

$$12 \quad \varepsilon = \Delta l/l_0 \quad (2)$$

13  $\sigma$  is the stress,  $F$  is the load in uniaxial stretching, and  $A_0$  is the initial cross-sectional  
14 area of the cornea strip.  $\varepsilon$  is strain,  $\Delta l$  is the displacement of the corneal strips,  $l_0$  is the  
15 initial length of the corneal strips.

16 According to the literature <sup>[15]</sup>, the stress-strain curves obtained from the uniaxial  
17 tensile test in vitro were divided into three regions: low strain region, nonlinear region  
18 and high strain region. The nonlinear regions of strain-stress curves were fitted  
19 exponentially using Eq. (3), and the low and high strain regions were fitted linearly,  
20 respectively.

$$21 \quad \sigma = A(e^{B\varepsilon} - 1) \quad (3)$$

22 where  $A$  and  $B$  are model parameters. The tangent modulus is

1 
$$\frac{d\sigma}{d\varepsilon} = B\sigma + AB, \quad (4)$$

2 where  $B$  is the slope of tangent modulus with stress, we used it to indicate the change  
3 of mechanical properties of cornea. The stress relaxation curves were fitted by the  
4 second order Prony series model, namely

5 
$$G(t) = 1 - a_1(1 - e^{-t/\tau_1}) - a_2(1 - e^{-t/\tau_2}) \quad (5)$$

6 where  $G(t) = \sigma(t)/\sigma(0)$  is the normalized stress, relaxation function,  $\sigma(t)$  is the  
7 stress at time  $t$ ,  $\sigma(0)$  is the initial stress.  $a_1$ ,  $a_2$ ,  $\tau_1$  and  $\tau_2$  are stress relaxation  
8 parameters.

## 9 **Result**

### 10 **In vivo tests**

11 We got corneal surface curvature along horizontal and vertical directions (CC\_H  
12 and CC\_V), CCT, biomechanical related parameters (CH and CRF) of three groups at  
13 the first, second, third week and third month after treatment. To better describe the  
14 changes of the measurement quantities, we defined the change of them. For example,  
15 the change of CCT ( $\Delta\text{CCT}$ ) is as follows:

16 
$$\Delta\text{CCT} = \text{CCT}_{\text{post-treatment}} - \text{CCT}_{\text{pre-treatment}} \quad (6)$$

17  $\Delta\text{CCT}$  of these three groups were showed in Fig. 2.  $\Delta\text{CCT}$  of experimental eyes  
18 in Group NP (Negative pressure group) increased at the first week after treatment, and  
19 then decreased slightly (Fig. 2a). The results of the paired T test showed no significant  
20 difference between experimental eyes before and after treatment ( $p = 0.063 > 0.05$ ),  
21 and between experimental eyes and control eyes ( $p = 0.198 > 0.05$ ) after three months.

1 The peripheral corneal thickness (PCT) of experimental eyes had the same trend with  
2 CCT.

3 In Group CII, from Fig. 2b,  $\Delta$ CCT of experimental eyes obviously decreased at  
4 the second week after treatment, which was statistically significant compared with  
5 pre-treatment and the control group ( $p = 0.003 < 0.05$ ;  $p = 0.01 < 0.05$ ). After 3 weeks,  
6  $\Delta$ CCT was recovered gradually, and there was no significant difference between the  
7 experimental and control one ( $p = 0.468 > 0.05$ ) at the third month after treatment.

8 In Group CP, from Fig. 2c, the mean values of CCT for experimental eyes were  
9 slightly thicker during 1 to 3 weeks and then recovered. But the changes were not  
10 statistically significant compared with pre-treatment and control group ( $p = 0.507 >$   
11  $0.05$ ;  $p = 0.748 > 0.05$ ). The large standard deviation for each experimental time point  
12 showed that the individual difference was significant.

13 The variations of corneal surface curvature along horizontal and vertical  
14 directions ( $\Delta$ CC<sub>H</sub> and  $\Delta$ CC<sub>V</sub>) were shown in Fig. 3. We used  $D_H$  and  $D_V$  to  
15 represent the diopter of cornea along horizontal and vertical direction respectively.

16 In the Group NP, from Fig. 3a and 3d,  $\Delta$ CC<sub>H</sub> and  $\Delta$ CC<sub>V</sub> fluctuated slightly  
17 after the treatment.  $CC_H$  was significantly different between pre- and post-treatment  
18 at the third week ( $p = 0.039 < 0.05$ ), while  $CC_V$  was not ( $p = 0.078 > 0.05$ ). We  
19 noted that the diopter of cornea along horizontal and vertical directions ( $D_H$  and  $D_V$ )  
20 decreased by 1.42D and 1.91D respectively at the third month after treatment.

21 In Group CII, from Fig. 3b and 3e, the mean values of  $\Delta$ CC<sub>H</sub> showed an  
22 obviously decrease at the first week, and then recovered at the third week after

1 treatment.  $D_H$  at the first week increased by 4.38D compared with pre-treatment, and  
2 4.10D compared with control group. As to  $CC_V$ , there was no obvious change after  
3 treatment.

4 In the Group CP, from Fig. 3c and 3f,  $\Delta CC_H$  and  $\Delta CC_V$  increased at the first  
5 week and then showed a trend of gradual growth. After three months, the diopter of  
6 the experimental eye ( $D_H$  and  $D_V$ ) was larger than those of the control eye by 1.83D  
7 and 2.21D. Paired T test shows that there was no significant difference between pre-  
8 and post-treatment of experimental eyes ( $p = 0.533 > 0.05$  of  $CC_H$ ,  $p = 0.739 > 0.05$   
9 of  $CC_V$ ), as well as experimental eyes and control eyes ( $p = 0.511 > 0.05$  of  $CC_H$ ,  $p$   
10  $= 0.811 > 0.05$  of  $CC_V$ ) at the third month after treatment.

11 Corneal Hysteresis (CH) and Corneal Resistance Factor (CRF), the output  
12 parameter of ORA, were used to observe the changes of corneal mechanical  
13 properties in vivo after treatment. The changes of CH and CRF for three experimental  
14 groups were shown in Fig. 4.

15 For these three groups,  $\Delta CH$  and  $\Delta CRF$  fluctuated around zero, the mean  
16 values of variation were lower than 1 mmHg. In Group NP,  $\Delta CH$  increased slightly  
17 after treatment, and then recovered, while  $\Delta CRF$  decreased slightly and then  
18 recovered. In Group CII, as shown in Fig. 4b, the mean values of  $\Delta CH$  for  
19 experimental cornea were essentially unchanged, except at the second week after  
20 treatment, which significantly decreased compared with that of pre-treatment ( $p =$   
21  $0.021 < 0.05$ ), but there are no significant difference with control eye ( $p = 0.345 >$   
22  $0.05$ ).

## 1 **Uniaxial tensile tests**

2       The uniaxial stretching experiment was carried out at the third month after  
3 treatment. The stress-strain curves and stress relaxation curves obtained from uniaxial  
4 stretch test of corneal strips were shown in Fig 5. The mechanical parameters gained  
5 by curves fitting were shown in Fig. 6.  $E_L$  and  $E_H$  were the elastic modulus of cornea  
6 at the low- and high-strain region, parameter  $B$  were obtained by exponential fitting of  
7 stress-strain curves in its nonlinear region. Stress relaxation time ( $\tau$ ) was defined as  
8 the time over which the stress was relaxed halfway between its initial and equilibrium  
9 value [165], and relaxation limit  $G(\infty)$  was the normalized stress as time was infinity.  
10 The results showed that the partition fitting method can better describe the  
11 stress-strain curve ( $R^2 > 0.98$ ), and the second order Prony model gave a good fit to  
12 the stress relaxation data ( $R^2 > 0.99$ ). Moreover, biomechanical parameters of control  
13 corneas were basically consistent with the previous literature on healthy rabbit  
14 corneas [17].

15       From Fig. 6, as to the cornea treated with negative pressure (group NP), we noted  
16 that their elastic modulus at low and high stress region ( $E_L$  and  $E_H$ ) increased  
17 obviously, while conversely in parameter  $B$ , the slope of tangent modulus with stress.  
18 The stress relaxation time increased compared with its controls, it means that the  
19 relaxation stress of cornea treated with negative pressure became slow down.

20       In both Group CII and CP, all the mechanical parameters only showed slightly  
21 differences between experimental and control corneas. Further Fig. 6 shows that the  
22 differences of mechanical parameters between experimental and control corneas were

1 larger in Group CP than those in Group CII.

## 2 **Histology**

3 In addition, HE staining was performed to observe the changes in tissue state,  
4 and to further confirm the biomechanical change of tissue. As shown in Fig. 7, the  
5 structure of each layer was intact both in experimental and control corneas, no  
6 obvious abnormality in the cell morphology and no inflammatory cell infiltration was  
7 observed, and some epithelial cells were lost due to sectioning. In Group NP, there  
8 was no significant difference between the experimental cornea and its control. In  
9 Group CII and Group CP, compared with control corneas, the experimental corneas  
10 tissue sections showed loose and disordered collagenous fibers, widened interlamellar  
11 clefts, curled fibers and some fibers were broken.

## 12 **Discussion**

13 Corneal ectasia leading to a decline in quality of life <sup>[18]</sup>, and it is a leading  
14 indication for corneal transplantation <sup>[17]</sup>. Although many efforts has been paid to find  
15 suitable in vivo corneal ectasia animal model which can deepen the understanding of  
16 this disease recent years, there still no uniform direction and method due to its  
17 complex pathogenesis. Clinical manifestation of corneal ectasia include thinning,  
18 lordosis and ectasia of the central or paracentral corneal stroma, irregular astigmatism,  
19 myopia and so on. Corneal thickness, weaken of corneal mechanical properties and  
20 external factors are believed to play an important role in the development of ectatic  
21 diseases like keratoconus and post-refractive surgery ectasia. In this study, three

1 treatments (negative pressure adsorption, collagenase type II solution, and used both)  
2 were performed in rabbits of three groups respectively. Then experimental rabbits  
3 were observed for three months, in order to explore whether treatments could result in  
4 and maintain corneal ectasia.

5 Negative pressure will cause the increase of IOP, and its fluctuation value can be  
6 up to 80~230 mmHg [19,20]. High IOP can cause swelling of corneal epithelial edema  
7 and matrix, and lead to increased corneal thickness [21]. This may be the reason for the  
8 immediately significant increase of CCT in the experimental eyes after treatment at  
9 the first week. The subsequent trend of decrease may be due to the gradual recovery  
10 of stromal edema.

11  $D_H$  and  $D_V$  of experimental corneas in Group NP decreased 1.42D and 1.91D  
12 respectively at the third month after treatment, and the control corneas decreased  
13 1.30D in both two directions. Studies have shown that the radius of curvature of  
14 rabbits increases with the growth and development [22], nearly 0.015 mm per week [23],  
15 namely the corneal diopter of normal rabbits will decrease about 1.00D after three  
16 months, which is basically consistent with the changes in control corneas. It indicated  
17 that the negative pressure has a little effect on corneal geometry after a three-month  
18 recovery. However, the result of mechanical test of corneal strip in vitro showed that  
19 the elastic modulus and relaxation behavior of experimental corneas have a great  
20 changes. Therefore, the effect of negative pressure on cornea deserves further  
21 attention.

22 In this study, different degrees of swelling were observed in experimental eyes

1 after treatment with Collagenase type II. At the second week after treatment, an  
2 increase of 4.38D in  $D_H$  and decrease of CCT and CH were observed in experimental  
3 corneas, which is consistent with the clinical manifestations of corneal ectasia, and  
4 these results were also consistent with Yan's result [11]. It indicated that Collagenase  
5 type II does cause temporary changes in the morphological and mechanical properties  
6 of the cornea. However, we noticed that CCT, CC\_H, CC\_V and CH showed a trend  
7 of recovery, and the characteristics of ectatic corneas were not observed from the third  
8 week to the third month after treatment. Moreover, mean values of elastic modulus  $E_L$   
9 and  $E_H$  of experimental cornea were slight smaller than that of the controls. Therefore,  
10 we believe that the treatment with Collagenase type II can result in ectatic corneas in  
11 a short time, and it cannot last a long term.

12 Stroma is the main bearing part of corneal mechanics, and the damaged cornea  
13 may protrusion under intraocular pressure. Collagenase type II is a nonspecific  
14 collagenase, which dissolved a part of corneal stroma and resulted in the thinning of  
15 the corneal matrix [24]. This may explain why the character of ectatic corneas were  
16 observed in the short term after treatment. At third month after treatment, HE staining  
17 results of corneal sections showed loose and disordered collagenous fibers, widened  
18 interlamellar clefts, curled fibers and some fibers were broken, which were consistent  
19 with previous literatures [11]. The change in fiber structure was consistent with the  
20 slightly decreased elastic modulus  $E_L$  and  $E_h$ . Therefore, we can deduce that although  
21 treated with collagenase might not be an effective way to establish a stable animal  
22 model with corneal ectasia, it can lead to weakening tensile mechanical properties of

1 the cornea.

2 Experimental corneas in Group CP showed increased curvature, decreased CRF  
3 at the first week after treatment.  $D_H$  and  $D_V$  also decreased 0.83D and 1.55D in their  
4 control corneas at the third month after treatment respectively, while curvature of  
5 experimental corneas did not increase actually as Group CII did. Due to the large  
6 individual differences, there were no regular changes on experimental corneas in  
7 Group CP. Contrary to cornea treated by collagenase type II, the elastic moduli of  
8 corneas treated by negative pressure (Group NP and CP) increased, and its relaxation  
9 was slow down. It indicated that the negative pressure on either the collagenase  
10 treated or normal corneas would increase the tensile mechanical properties of the  
11 cornea.

12 One limitation of this study was that the negative pressure adsorption modeling  
13 method of Group NP only considers the external factors, did not combine with the  
14 clinic. The other was the number of specimens used for uniaxial stretching was  
15 relatively small.

## 16 **Conclusion**

17 In conclude, Collagenase type II results in ectatic corneas around two weeks after  
18 treatment, but the degree of swelling decreased with time, and there was no  
19 significant difference after three months. Negative pressure results in corneal  
20 thickness and diopter change in short time, while the degree of swelling and duration  
21 are far less than collagenase type II. The production of cornea ectasia by protease  
22 and/or negative pressure adsorption still needs longer observation and further study.

1

## 2 **Abbreviations**

3 **Group NP:** Group treated with negative pressure

4 **Group CII:** Group treated with collagenase type II

5 **Group CP:** Group treated with collagenase type II and negative pressure

6 **CCT:** Corneal central thickness

7 **KC:** Keratoconus

8 **LASIK:** Laser Assisted In-situ Keratomi

9 **CRF:** Corneal Resistant Factor

10 **CH:** Corneal Hysteresis

11 **CC\_H:** Curvature along horizontal directions

12 **CC\_V:** Curvature along vertical directions

13 **PCT:** The peripheral corneal thickness

14 **D<sub>H</sub>:** Diopter of cornea along horizontal direction

15 **D<sub>V</sub>:** Diopter of cornea along vertical direction

16 **E<sub>L</sub>:** Elastic modulus of cornea at the low-strain region

17 **E<sub>H</sub>:** Elastic modulus of cornea at the high-strain region

18

## 19 **Declarations**

### 20 **Ethics approval and consent to participate**

21 This study was approved by the Ethics Committee at animal department of capital  
22 medical university. All the procedures adhered to the regulations of the science and

1 technology commission of China, the regulations on the control of experimental  
2 animals and the ARVO statement on animal experiments in international ophthalmic  
3 and visual science research.

#### 4 **Consent for publication**

5 Not applicable.

#### 6 **Availability of data and materials**

7 The datasets used and/or analyzed during the current study are available from the  
8 corresponding author on reasonable request.

#### 9 **Competing interests**

10 The authors declare that they have no competing interests.

#### 11 **Funding**

12 This study was supported by National natural science foundation of China  
13 (No.31470914, 31370952). The funding agencies had no role in the research design or  
14 conduct.

#### 15 **Authors' contributions**

16 XC acquired, analyzed data, was a major contributor in writing the manuscript. XQ  
17 performed the ORA examination. MY performed the histological examination of  
18 experimental animals. HZ and LL designed the work, interpreted the data and revised  
19 the manuscript. All authors read and approved the final manuscript.

#### 20 **Acknowledgements**

21 Not applicable.

## 1 **References**

- 2 [1] Edmund C. Corneal elasticity and ocular rigidity in normal and keratoconic eyes.  
3 *Acta Ophthalmologica*. 2010; 66(2):134-140.
- 4 [2] Dupps DJ Jr. Biomechanical modeling of corneal ectasia. *Journal of Refractive*  
5 *Surgery*. 2005; 21(2):186-190.
- 6 [3] Krachmer JH, Feder RS, Belin MW. Keratoconus and related noninflammatory  
7 corneal thinning disorders. *Surv Ophthalmol*. 1984; 28(4):293-322.
- 8 [4] Binder PS, Lindstrom RL, Stulting RD, Donnenfeld E, Wu H, McDonnell P,  
9 Rabinowitz Y. Keratoconus and corneal ectasia after LASIK. *Journal of Cataract*  
10 *& Refractive Surgery*. 2005; 31(11):2035-2038.
- 11 [5] Ambekar R, Toussaint KC, Wagoner JA. The effect of keratoconus on the  
12 structural, mechanical, and optical properties of the cornea. *J Mech Behav*  
13 *Biomed Mater*. 2011; 4(3):223–36.
- 14 [6] Binder PS. Ectasia after laser in situ keratomileusis. *Journal of Cataract &*  
15 *Refractive Surgery*. 2003; 29(12):2419-2429.
- 16 [7] Roy S, Yadav S, Dasgupta T , Chawla S, Tandon R, Ghosh S. Interplay between  
17 hereditary and environmental factors to establish an in vitro disease model of  
18 keratoconus. *Drug Discovery Today*. 2018; 24(2):403-416.
- 19 [8] Tachibana M, Adachi W, Kinoshita S, Kobayashi Y, Honma Y, Hiai H,  
20 Matsushima Y. Androgen-dependent hereditary mouse keratoconus: linkage to an  
21 MHC region. *Invest Ophthalmol Vis Sci*. 2002; 43(1):51-57.
- 22 [9] Seiler T, Koufala K, Richter G. Iatrogenic keratectasia after laser in situ

- 1 keratomileusis. *Journal of Refractive Surgery*. 1998; 27(4):312-317.
- 2 [10]Thomas K. Iatrogenic keratectasia: current knowledge, current measurements.  
3 *Journal of Cataract & Refractive Surgery*. 2002; 28(12):2065-2066.
- 4 [11]Qian J, Li H, Tang Y, Song W, Rong B, Yang S, Wu Y, Yan X. A rabbit model of  
5 corneal Ectasia generated by treatment with collagenase type II. *Bmc*  
6 *Ophthalmology*. 2018; 18(1):94-100.
- 7 [12]Estrada AV, Díez PS, Alió JL. Keratoconus Grading and Its Therapeutic  
8 Implications. 2017; 177-184.
- 9 [13]Bernardo MD, Rosa N. Re: Hersh et al. U.S. multicenter clinical trial of corneal  
10 collagen crosslinking for treatment of corneal ectasia after refractive surgery.  
11 *Ophthalmology*. 2017; 124:1475-1484.
- 12 [14]Bawazeer AM, Hodge WG, Lorimer B. Atopy and keratoconus: A multivariate  
13 analysis. *Br J Ophthalmol*. 2000; 4(8):834-836.
- 14 [15]Wang X, Li X, Chen W, He R, Gao Z, Feng P. Effects of ablation depth and repair  
15 time on the corneal elastic modulus after laser in situ keratomileusis. *BioMedical*  
16 *Engineering OnLine*.2017; 16(1): 20
- 17 [16]Kymes SM, Walline JJ, Zadnik K, Sterling J, Gordon MO. Changes in the  
18 quality-of-life of people with keratoconus. *Am J Ophthalmol*. 2008;145:611-617.
- 19 [17]Zhang H, Xiao Q, Cao X, Zhang D, Lin L. Age-related variations of rabbit  
20 corneal geometrical and clinical biomechanical parameters. *BioMed Research*  
21 *International*. 2017; Article ID 3684971.
- 22 [18]Sarezky D, Orlin SE, Pan W, VanderBeek BL. Trends in corneal transplantation

1 in keratoconus. *Cornea*. 2017; 36:131-137.

2 [19]Farash SG, Azar DT, Gurdal C, Johnny W. Laser in situ Keratomileusis: literature  
3 review of a developing technique. *J cataract surg.*1998; 24:989-1006.

4 [20]Ytteborg J, Dohlman CH. Corneal edema and intraocular pressure. II.  
5 Clinicalresults. *Archives of Ophthalmology*. 1965; 74(4):477-484.

6 [21]Mackiewicz ZM, Maatta M, Stenman L, Konttinen T, TervoY, Konttinen.  
7 Collagenolytic proteinases in keratoconus. *American Journal of Ophthalmology*.  
8 2006; 142(6):1096-1097.

9 [22]Roth N. Relationship between corneal radius of curvature and age in rabbits.  
10 *British Veterinary Journal*. 1969; 125(11):560-563.

11 [23]Wachtlin J, Sehrunder S, Pham DI, Hoffmann F, Hartmann C. Rise in intraocular  
12 tension in microkeratome sections (LASIK) and with the GTS trephine system for  
13 keratoplasty. *Ophthalmologe*. 1998; 95:137-141.

14 [24]Hatami MH, Jayaram SM. UVA/riboflavin collagen crosslinking stiffening effects  
15 on anterior and posterior corneal flaps. *Experimental Eye Research*. 2018;  
16 176:53-55.

17

18

19

20

21

22

# 1 Figures

2

3 Fig. 1 Diagram of the negative pressure device. The device is made up of the suction pump, 50 mL  
4 needle tubing, pressure sensor, hard connection pipeline and suction catheter. The air inside the  
5 device can be sucked out by suction pump to create a negative pressure environment.

6

7 Fig. 2 The change of CCT ( $\Delta$ CCT). (a) is negative pressure group (Group NP),  $\Delta$ CCT of  
8 experimental eyes increased and then decreased slightly, which was no statistically significant  
9 compared with pre-treatment and the control group ( $p = 0.063 > 0.05$ ;  $p = 0.198 > 0.05$ ) after three  
10 months. (b) is group treated with collagenase type II (Group CII),  $\Delta$ CCT of experimental eyes  
11 obviously decreased at the second week after treatment, and significantly different from  
12 pre-treatment and the control group ( $p = 0.003 < 0.05$ ;  $p = 0.01 < 0.05$ ). Then  $\Delta$ CCT of  
13 experimental eyes recovered gradually and no significant differ from the control one ( $p = 0.468 >$   
14  $0.05$ ) at the third month after treatment. (c) is group treated with such two disposals, the mean  
15 values of CCT for experimental eyes were slightly thicker and then recovered, not statistically  
16 significant was observed compared with pre-treatment and control group ( $p = 0.507 > 0.05$ ;  $p =$   
17  $0.748 > 0.05$ ). The solid points are experimental data, and the hollowed points are control one.

18

19 Fig. 3 The change of corneal surface curvature for three groups. (a-c) and (d-f) are CC along the  
20 horizontal and vertical direction ( $\Delta$ CC\_H and  $\Delta$ CC\_V), respectively. In the Group NP, CC\_H (a)  
21 was slight fluctuation post-treatment, and significantly differ from the pre-treatment at the third  
22 week ( $p = 0.039 < 0.05$ ), while CC\_V (d) was not ( $p = 0.078 > 0.05$ ). In Group CII, the mean

1 values of  $\Delta CC_H$  (b) obviously decreased at the first week and then recovered, no obvious  
2 change on  $CC_V$ (e). In the Group CP,  $\Delta CC_H$  (c) and  $\Delta CC_V$  (f) increased immediately and  
3 then gradual growth, there was no significant difference between pre- and post-treatment of  
4 experimental eyes ( $p = 0.533 > 0.05$  of  $CC_H$ ,  $p = 0.739 > 0.05$  of  $CC_V$ ), as well as experimental  
5 eyes and control eyes ( $p = 0.511 > 0.05$  of  $CC_H$ ,  $p = 0.811 > 0.05$  of  $CC_V$ ) at the third month  
6 after treatment.

7

8 Fig. 4 The changes of corneal mechanical properties in vivo for three groups. (a-c) and (d-f) are  
9  $\Delta CH$  and  $\Delta CRF$ , respectively. In Group NP,  $\Delta CH$  (a) increased slightly and then recovered, while  
10  $\Delta CRF$  (d) first decreased slightly. In Group CII, the mean values of  $\Delta CH$  (b) for experimental  
11 cornea were significantly decreased compared with that of pre-treatment ( $p = 0.021 < 0.05$ ), while  
12 no significant difference with control eye ( $p = 0.345 > 0.05$ ) at the second week after treatment.

13

14 Fig. 5 Stress-strain curves (a) and normalized stress relaxation curves (b) of corneal strips. These  
15 curves will be fitting to obtain mechanical parameters,  $E_L$ ,  $E_H$  and parameter  $B$  were obtained by  
16 stress-strain curves. Stress relaxation time ( $\tau$ ) and relaxation limit  $G(\infty)$  were obtained by  
17 normalized stress relaxation curves.

18

19 Fig. 6 The biomechanical parameters of cornea in three groups. (a) and (c) are the results of the  
20 elastic modulus in the low and high stress region of strain-stress curves, (b) is the results of  
21 parameter  $B$  in the nonlinear region of strain-stress curves, (d) and (e) are the results of stress  
22 relaxation limit and relaxation time of corneas. In group NP, elastic modulus at low and high stress

1 region ( $E_L$  and  $E_H$ ,  $a$  and  $c$ ) increased obviously, while conversely in parameter  $B$  ( $b$ ). And the  
2 stress relaxation time ( $e$ ) increased, which indicated that the relaxation stress of experimental  
3 corneas became slow down. In both Group CII and CP, no significant difference was observed in  
4 all the mechanical parameters between experimental and control corneas, and the differences in  
5 Group CP were slightly larger than those in Group CII.

6

7 Fig. 7 Hematoxylin-eosin stained corneal sections. The structure of each layer was intact and no  
8 obvious abnormality in the cell morphology was observed in all group. Some epithelial cells were  
9 lost due to sectioning. No significant difference between the experimental and control corneas in  
10 Group NP (the first row), while the experimental corneas tissue sections showed loose and  
11 disordered collagenous fibers, widened interlamellar clefts compared with control corneas in  
12 Group CII and Group CP (the second and third row).

13

14

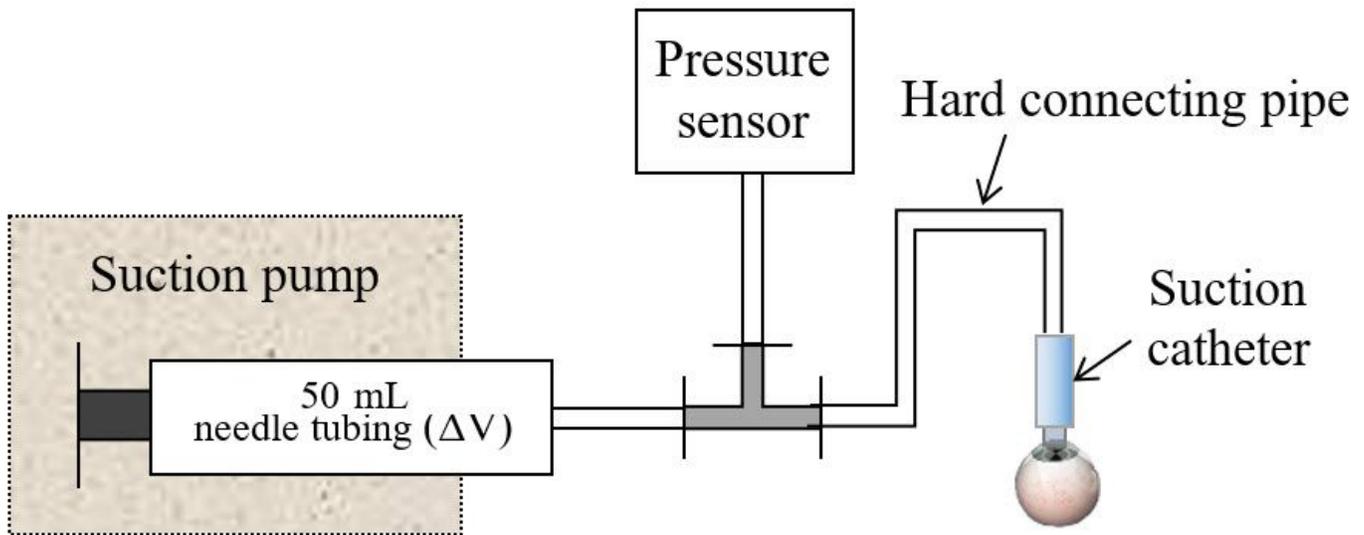
15

16

17

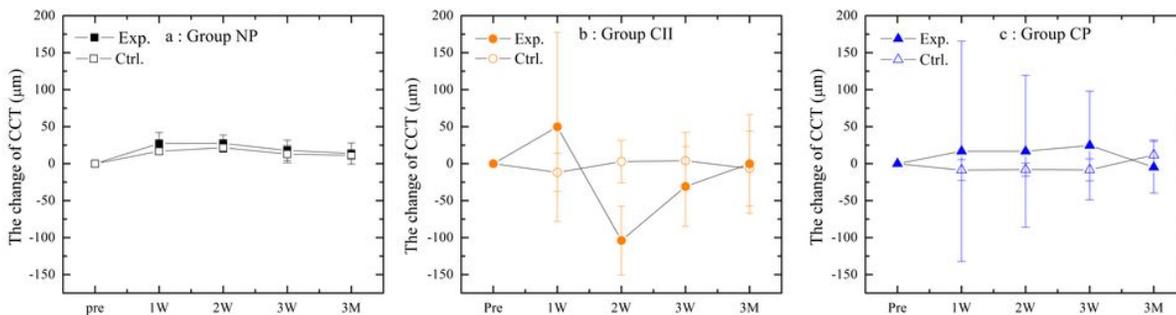
18

# Figures



**Figure 1**

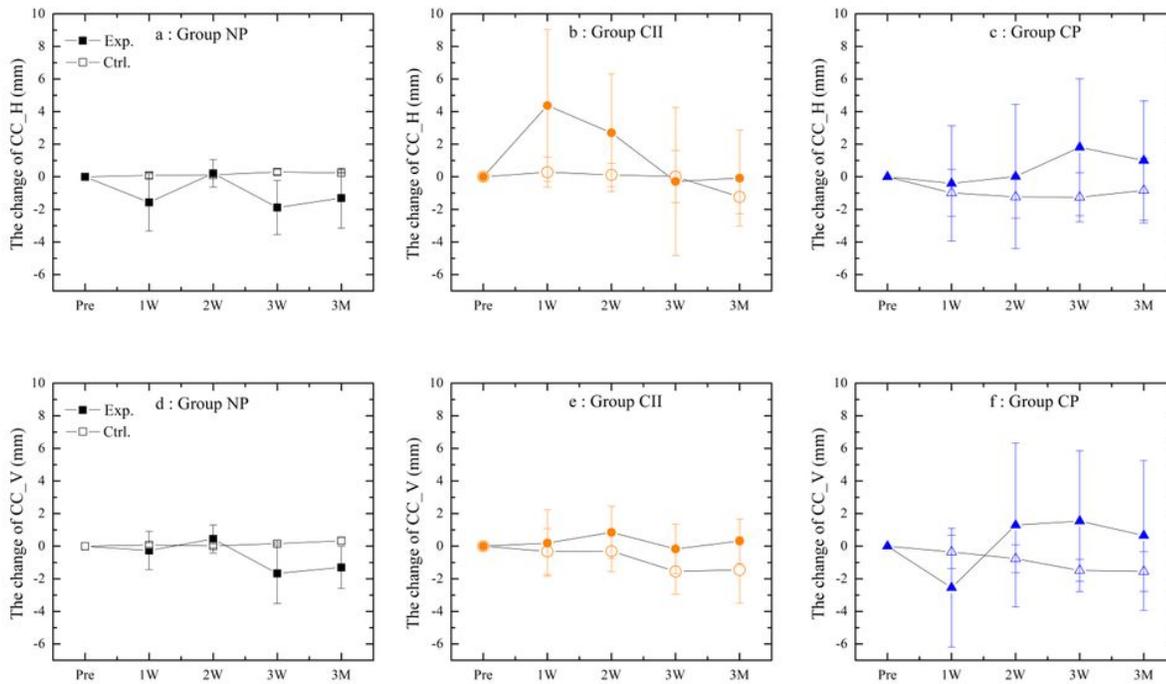
Diagram of the negative pressure device. The device is made up of the suction pump, 50 mL needle tubing, pressure sensor, hard connection pipeline and suction catheter. The air inside the device can be sucked out by suction pump to create a negative pressure environment.



**Figure 2**

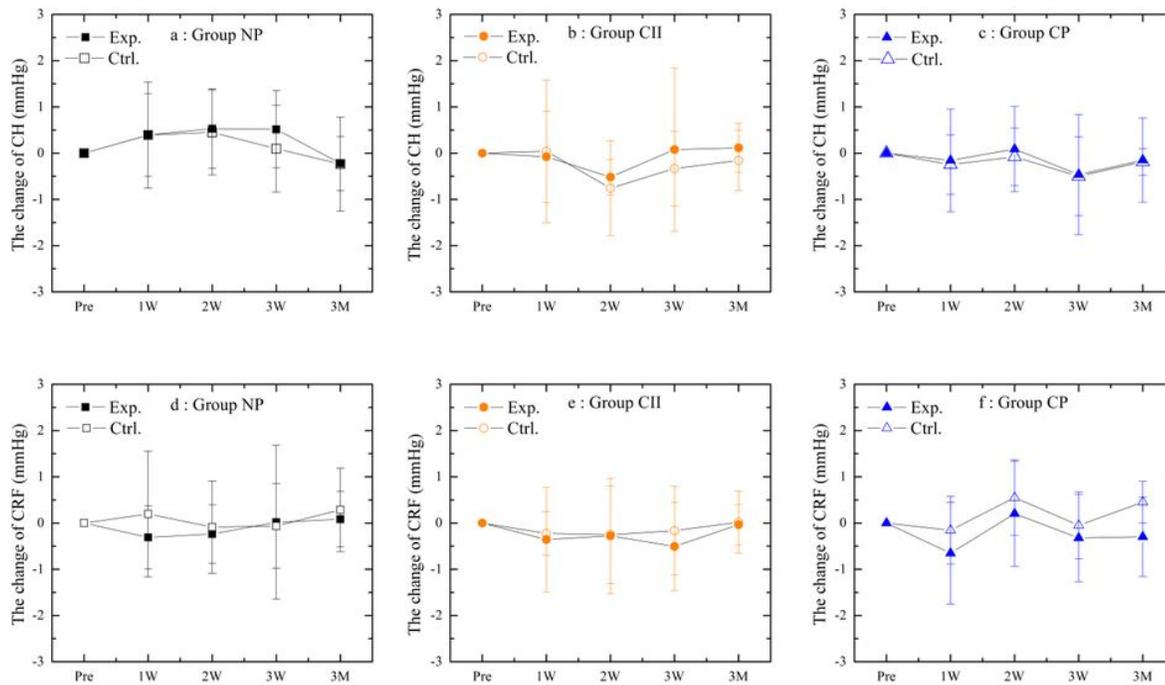
The change of CCT ( $\Delta$ CCT). (a) is negative pressure group (Group NP),  $\Delta$ CCT of experimental eyes increased and then decreased slightly, which was not statistically significant compared with pre-treatment and the control group ( $p = 0.063 > 0.05$ ;  $p = 0.198 > 0.05$ ) after three months. (b) is group treated with collagenase type II (Group CII),  $\Delta$ CCT of experimental eyes obviously decreased at the second week after treatment, and significantly different from pre-treatment and the control group ( $p = 0.003 < 0.05$ ;  $p = 0.01 < 0.05$ ). Then  $\Delta$ CCT of experimental eyes recovered gradually and no significant difference from the control one ( $p = 0.468 > 0.05$ ) at the third month after treatment. (c) is group treated with such two disposals, the mean values of Exp. of CCT for experimental eyes were slightly thicker and then recovered, not statistically

significant was observed compared with pre-treatment and control group ( $p = 0.507 > 0.05$ ;  $p = 0.748 > 0.05$ ). The solid points are experimental data, and the hollowed points are control one.



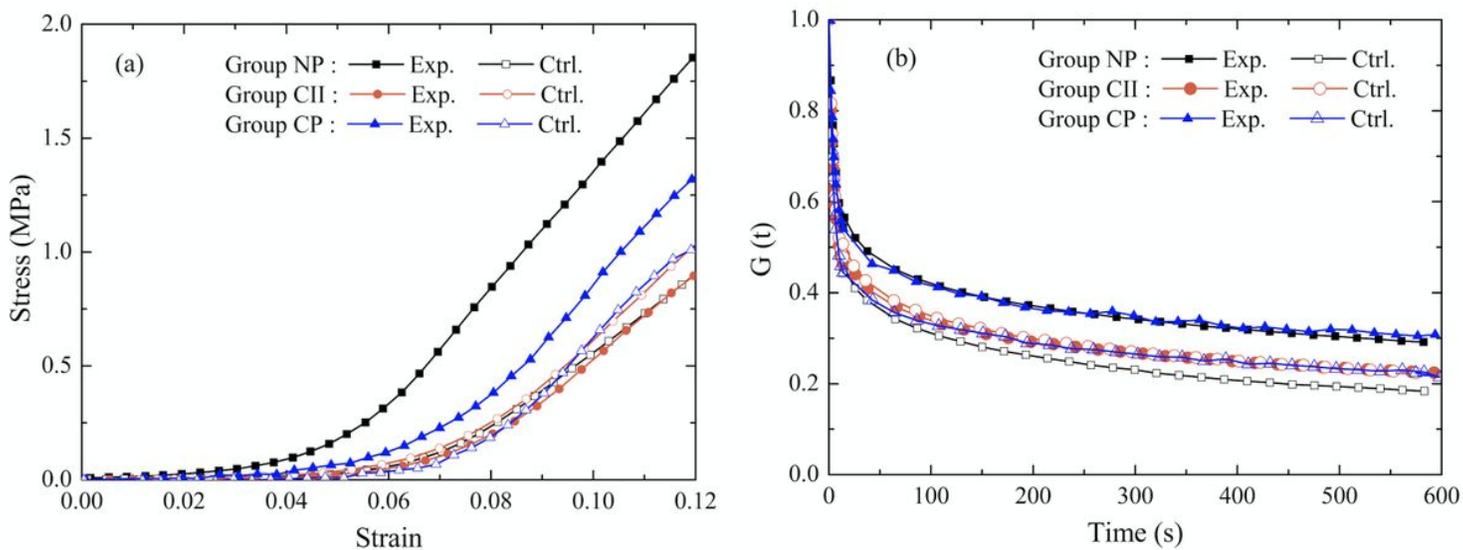
**Figure 3**

The change of corneal surface curvature for three groups. (a-c) and (d-f) are CC along the horizontal and vertical direction ( $\Delta CC_H$  and  $\Delta CC_V$ ), respectively. In the Group NP,  $CC_H$  (a) was slight fluctuation post-treatment, and significantly differ from the pre-treatment at the third week ( $p = 0.039 < 0.05$ ), while  $CC_V$  (d) was not ( $p = 0.078 > 0.05$ ). In Group CII, the mean values of  $\Delta CC_H$  (b) obviously decreased at the first week and then recovered, no obvious change on  $CC_V$ (e). In the Group CP,  $\Delta CC_H$  (c) and  $\Delta CC_V$  (f) increased immediately and then gradual growth, there was no significant difference between pre- and post-treatment of experimental eyes ( $p = 0.533 > 0.05$  of  $CC_H$ ,  $p = 0.739 > 0.05$  of  $CC_V$ ), as well as experimental eyes and control eyes ( $p = 0.511 > 0.05$  of  $CC_H$ ,  $p = 0.811 > 0.05$  of  $CC_V$ ) at the third month after treatment.



**Figure 4**

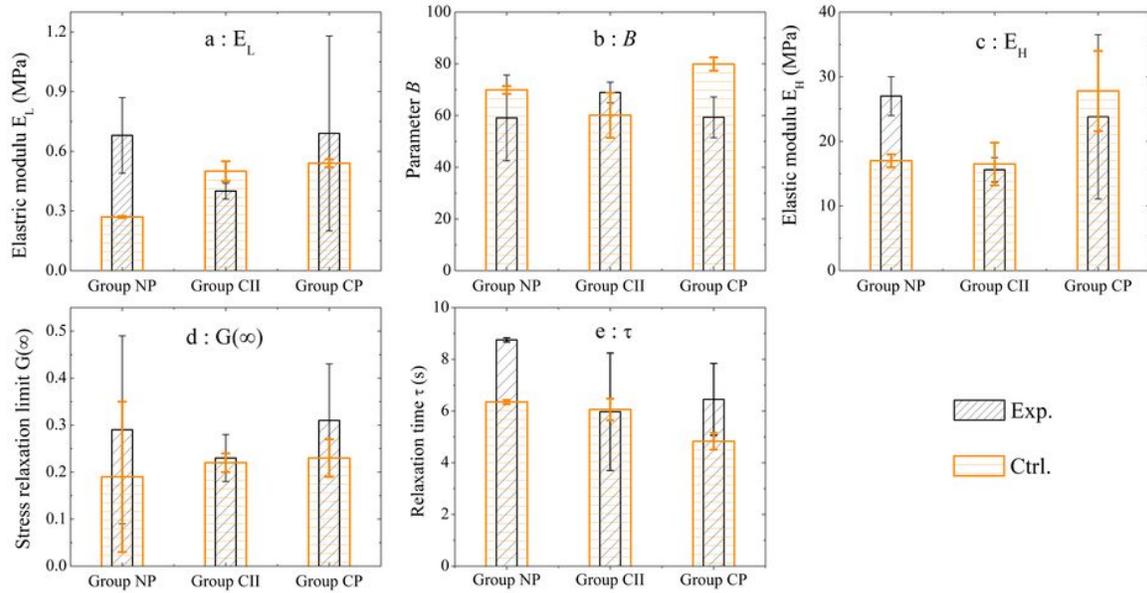
The changes of corneal mechanical properties in vivo for three groups. (a-c) and (d-f) are  $\Delta$ CH and  $\Delta$ CRF, respectively. In Group NP,  $\Delta$ CH (a) increased slightly and then recovered, while  $\Delta$ CRF (d) first decreased slightly. In Group CII, the mean values of  $\Delta$ CH (b) for experimental cornea were significantly decreased compared with that of pre-treatment ( $p = 0.021 < 0.05$ ), while no significant difference with control eye ( $p = 0.345 > 0.05$ ) at the second week after treatment.



**Figure 5**

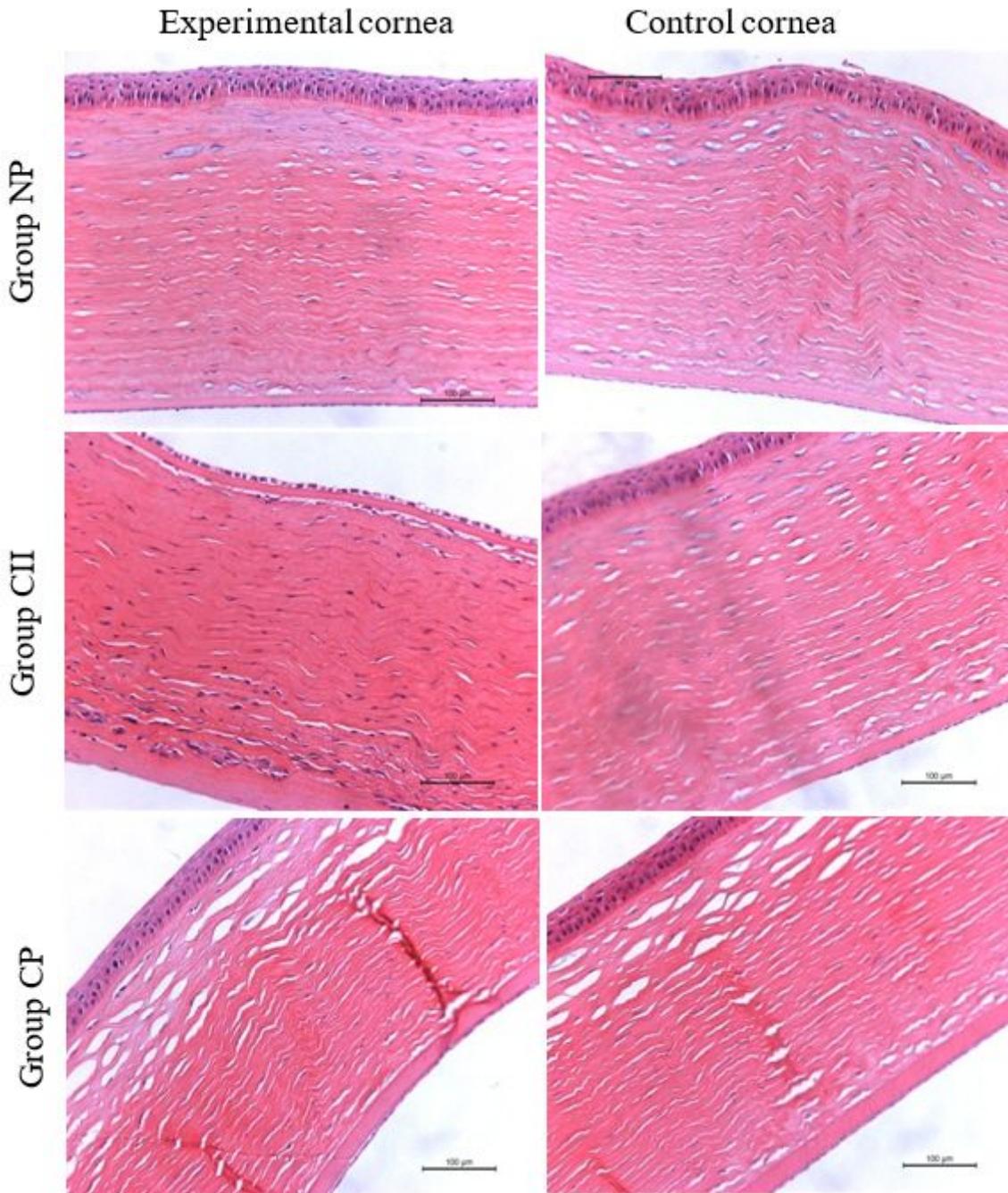
Stress-strain curves (a) and normalized stress relaxation curves (b) of corneal strips. These curves will be fitting to obtain mechanical parameters, EL, EH and parameter B were obtained by stress-strain curves.

Stress relaxation time ( $\tau$ ) and relaxation limit  $G(\infty)$  were obtained by normalized stress relaxation curves.



**Figure 6**

The biomechanical parameters of cornea in three groups. (a) and (c) are the results of the elastic modulus in the low and high stress region of strain-stress curves, (b) is the results of parameter B in the nonlinear region of strain-stress curves, (d) and (e) are the results of stress relaxation limit and relaxation time of corneas. In group NP, elastic modulus at low and high stress region ( $E_L$  and  $E_H$ , a and c) increased obviously, while conversely in parameter B (b). And the stress relaxation time (e) increased, which indicated that the relaxation stress of experimental corneas became slow down. In both Group CII and CP, no significant difference was observed in all the mechanical parameters between experimental and control corneas, and the differences in Group CP were slightly larger than those in Group CII.



**Figure 7**

Hematoxylin-eosin stained corneal sections. The structure of each layer was intact and no obvious abnormality in the cell morphology was observed in all group. Some epithelial cells were lost due to sectioning. No significant difference between the experimental and control corneas in Group NP (the first row), while the experimental corneas tissue sections showed loose and disordered collagenous fibers, widened interlamellar clefts compared with control corneas in Group CII and Group CP (the second and third row).

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [NC3RsBMC.2.pdf](#)