

# New Semi-Quantification Approach for Dopamine Transporter Scan: Quantification of Accumulation by Examining the Approximate Image

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## Original research

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1 **Title**

2 New Semi-quantification Approach for Dopamine Transporter Scan: Quantification of  
3 Accumulation by Examining the Approximate Image

4  
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31 **Abstract**

32 **Background**

33 Semi-quantitative analysis is used to evaluate the degree of tracer binding to the striatum in  
34 dopamine transporter (DaT) single-photon emission computed tomography (SPECT). In DaT  
35 SPECT, it is difficult to evaluate the accurate tracer accumulation due to the partial volume  
36 effect (PVE). In this study, we propose a novel semi-quantitative approach for measuring the  
37 amount of accumulation by examining the approximate image. Using the striatal phantom,  
38 we verified the validity of a newly proposed method that can accurately evaluate the tracer  
39 accumulations in the caudate and putamen, separately.

40 **Methods**

41 The left and right caudate/putamen regions, and the whole brain region as the background  
42 (BG) region were identified in computed tomography (CT) images obtained by SPECT/CT  
43 imaging, and the positional information of each region was obtained. The SPECT-like images  
44 were generated by assigning assumed accumulation amounts to the left and right  
45 caudate/putamen and BG regions based on the positional information. By changing the  
46 assumed accumulation amounts assigned to each region, the SPECT-like image, which was  
47 approximated to the image obtained by the SPECT imaging, was examined. The accumulation  
48 amounts assumed, when the generated SPECT-like image approximated the most to the  
49 image actually obtained by the SPECT imaging, were determined as the accumulation  
50 amounts in each region. We evaluated the correlation between the count density calculated  
51 by the proposed method and the actual count density of  $^{123}\text{I}$  solution filled into the striatal  
52 phantom, and verified the validity of the proposed method. In addition, the specific binding  
53 ratio (SBR) and caudate-putamen ratio (CPR) computed by the proposed method were  
54 compared with the theoretical SBR and CPR calculated by the count density of the  $^{123}\text{I}$  solution  
55 filled into the striatal phantom.

56 **Results**

57 The count density calculated by the proposed method and the count density of  $^{123}\text{I}$  solution  
58 filled in the striatal phantom had an extremely strong positive correlation ( $r=0.997$ ,  $p < 0.001$ ).  
59 The SBRs computed by the proposed method were overestimated. However, the obtained  
60 CPRs were very similar to the theoretical CPRs.

61 **Conclusions**

62 The proposed method was able to compute the accurate accumulation amounts in the  
63 caudate and putamen, considering the PVE.

64

65 **Keywords**

66 FP-CIT, Specific binding ratio, Quantification, Dopamine transporter, SPECT

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93 **1. Background**

94 Dopamine transporter (DaT) scintigraphy with  $^{123}\text{I}$ -N- $\omega$ -fluoropropyl-2 $\beta$ -carboxymethoxy-3 $\beta$   
95 -(4-iodophenyl)nortropane ( $^{123}\text{I}$ -FP-CIT) is useful in the diagnosis of Parkinson's disease (PD)  
96 and dementia with Lewy bodies (DLB) [1-3]. The specific binding ratio (SBR) is widely used to  
97 evaluate the degree of tracer binding to the striatum. However, with the spatial resolution of  
98 single-photon emission computed tomography (SPECT), it is difficult to accurately evaluate  
99 the accumulation amount of the tracer owing to small structures such as the striatum, which  
100 are affected by the partial volume effect (PVE) [4]. The Southampton method [5], which is  
101 widely used in Japan, can calculate the SBR considering the PVE by using a large volume of  
102 interest (VOI) - including the entire striatum. However, this method cannot calculate the SBR  
103 for the caudate and putamen, separately. It has been reported that in PD, the ventrolateral  
104 region of the substantia nigra is selectively impaired, resulting in severe dopamine loss in the  
105 dorsal putamen compared to the caudate [6]. Therefore, in PD, accumulation of  $^{123}\text{I}$ -FP-CIT is  
106 especially reduced in the dorsal putamen. Moreover, in DLB, accumulation of  $^{123}\text{I}$ -FP-CIT is  
107 uniformly reduced in the caudate and putamen [7, 8]. Furthermore, it has been reported that  
108 the caudate is related to the cognitive function and the putamen is related to the motor  
109 function, and it is suggested that the accumulation amount of  $^{123}\text{I}$ -FP-CIT is also related to  
110 this [6, 7]. Based on this information, evaluation of the accurate accumulation amount in the  
111 caudate and putamen is anticipated to lead to improved diagnostic accuracy for PD.  
112 Furthermore, it is considered that the measurements of the accumulation in the caudate and  
113 putamen, separately, may lead to evaluation of their respective functions, that is, the caudate  
114 and cognitive function, and putamen and motor function. In this study, we propose a novel  
115 semi-quantitative approach of measuring the accumulation amount by examination of the  
116 approximate image. The study aimed to verify, using the striatal phantom, a newly proposed  
117 method that can accurately evaluate the accumulation amounts in the caudate and putamen.

118

119 **2. Methods**

120

121 **2-1. SPECT imaging**

122 SPECT data were acquired using Symbia T (Siemens, Erlangen, Germany), equipped with a  
123 low-medium energy general purpose (LMEGP) collimator. Ninety projections over 360° orbit

124 with two detectors were acquired on a  $128 \times 128$  matrix with a zoom of 1.45, giving a pixel  
125 size of 3.3 mm and acquisition time of 28 min. The main energy window was  $159 \text{ keV} \pm 10\%$   
126 and two subwindows were set at 8% at both ends of the main window. Images were  
127 reconstructed using a three-dimensional ordered subset expectation maximization method  
128 (3D-OSEM) (iteration, 6; subset, 8) and Gaussian filter full width at half maximum (FWHM) 6  
129 mm with attenuation correction (AC) by computed tomography (CT) and scatter correction  
130 (SC) using the triple energy window (TEW) method.

131

## 132 **2-2. Phantom data**

133 The striatal phantom DaT1308 (NMP Business Support Co., Ltd., Hyogo, Japan) was used. We  
134 filled  $^{123}\text{I}$  solution into the right caudate and left caudate regions of the striatal phantom at a  
135 scheduled radioactivity concentration ratio of 1.80:1.00, and water into the right and left  
136 putamen regions, and the whole brain region which considered as background (BG). A  
137 phantom created by this condition of radioactivity concentration ratio was defined as  
138 "Phantom1." Then, we filled  $^{123}\text{I}$  solution into the right caudate, right putamen, left caudate,  
139 left putamen, and BG regions of the striatal phantom at a scheduled radioactivity  
140 concentration ratio of 9.00:9.00:5.00:4.00:1.00. A phantom created by this condition was  
141 defined as "Phantom2." We measured the actual count densities of the  $^{123}\text{I}$  solution filled into  
142 "Phantom1" and "Phantom2" by an auto-well counter (ARC-7001, Hitachi Aloka Medical, Ltd.,  
143 Tokyo, Japan) and computed the actual count density ratio. The data of the created  
144 "Phantom1" and "Phantom2" are shown in Table 1.

145

## 146 **2-3. Calculation process by the proposed method**

147 The definitions of the terms used in the calculation process of the proposed method are  
148 shown in Table 2. Moreover, an overview of the calculation process of the proposed method  
149 is shown in Fig. 1.

150

### 151 **2-3-1. Process No. 1 of the proposed method: Setting volume of interests (VOIs) and** 152 **creating mask images (Fig. 2)**

153 Using the PMOD software version 3.903 (PMOD Technologies LCC, Zurich, Switzerland), we  
154 manually established the VOIs for the left and right caudate/putamen, and BG regions along

155 the morphology in the CT images obtained by SPECT/CT. These VOIs on CT images were  
156 applied to the SPECT images. The mask images, which extracted the positional information  
157 of the left and right caudate /putamen, and the BG regions on SPECT images, were created  
158 according to the VOIs on the SPECT images, thereby obtaining the anatomical positions for  
159 each region.

160

161 **2-3-2. Process No. 2 of the proposed method: Generating “assigned image” and “blurred**  
162 **image” (Fig. 3)**

163 Process No. 1 was processed using PMOD software, and Process No. 2 or later was processed  
164 on our own program built using the programming language Python version 3.7.3.

165 Based on the positional information of the left and right caudate/putamen BG  
166 regions obtained by Process No. 1, five values of 0, 0.5, 1.0, 1.5, and 2.0, as assumed  
167 accumulation amounts assigned to each region. Since five values (i.e., 0, 0.5, 1.0, 1.5, 2.0) were  
168 assigned to the five regions (the left and right caudate/putamen and BG regions), five to the  
169 fifth power (3125) images with no blur (we defined these images as “assigned images”) were  
170 generated. Three-dimensional (3D) Gaussian filter equivalent to SPECT spatial resolution in  
171 clinical conditions (FWHM:  $x = 11.52$  mm,  $y = 11.89$  mm,  $z = 11.71$  mm) was applied to 3125  
172 “assigned images.” Therefore, the SPECT-like spatial resolution images (we defined these  
173 images as “blurred images”) generated the same number as the “assigned image.”

174

175 **2-3-3. Process No. 3 of the proposed method: Generating the “difference image” (Fig. 4)**

176 We defined the image, obtained by the actual SPECT imaging, and normalized it with the  
177 maximum value, as the “real image.” The voxel-by-voxel differences between the “blurred  
178 image” generated in Process No. 2 and the “real image” were calculated. Thereby, the  
179 subtracted images between the “real image” and the “blurred image” (we defined these  
180 subtracted images as the “difference image”) generated the same number as the “blurred  
181 image.”

182

183 **2-3-4. Process No. 4 of the proposed method: Extracting the “difference image” with the**  
184 **minimum summed value and determining the accumulation amounts in each region (Fig.**  
185 **5)**

186 The "difference image" with the minimum summed value was extracted from 3125 "difference  
187 images" obtained by Process No. 3. In other words, the "difference image" was extracted  
188 when the "blurred image" was approximated most to the "real image." We defined the  
189 assumed accumulation amounts, assigned to the "blurred image" used when the extracted  
190 "difference image" was created, as the accumulation amounts in the left and right  
191 caudate/putamen and the BG regions.

192

193 **2-3-5. Process No. 5 of the proposed method: Updating the assumed accumulation**  
194 **amounts (Fig. 6)**

195 The accumulation amounts in the left and right caudate/putamen, and BG regions obtained  
196 by Process No. 4 were obtained from the approximately assumed accumulation amounts, 0,  
197 0.5, 1.0, 1.5, and 2.0 (the range of the assumed accumulation amounts was 0–2.0, and the  
198 interval of the assumed accumulation amounts was 0.5). Therefore, the assumed  
199 accumulation amounts were updated in detail to examine the "blurred image" that  
200 approximated more to the "real image." In this update, the range and interval of the assumed  
201 accumulation amounts assigned in the second step were updated to half of the range and  
202 interval of the assumed accumulation amounts assigned in the first step of Process No. 2. For  
203 example, it hypothesizes that the "blurred image" is approximated most to the "real image"  
204 when 1.0 as the assumed accumulation amount is assigned to a certain region. Among the  
205 assumed accumulation amounts (0, 0.5, 1.0, 1.5, 2.0) assigned in the first step, the values  
206 before and after the 1.0, which is the value when the "blurred image" is approximated most  
207 to the "real image" are determined as the range of the assumed accumulation amounts  
208 assigned in the second step. In other words, the range of the assumed accumulation amounts  
209 assigned in the second step is 0.5 to 1.5. Moreover, although the interval of the assumed  
210 accumulation amounts 0, 0.5, 1.0, 1.5, and 2.0 is 0.5 in the first step, the interval is 0.25 in the  
211 second step, which is half of the interval in the first step. Therefore, in the second step, the  
212 five values 0.50, 0.75, 1.00, 1.25, and 1.50, as the assumed accumulation amounts are  
213 reassigned to the same region. We performed an exception process in case the "blurred  
214 image" was approximated most to the "real image," when the assumed accumulation amount  
215 was zero, since the process in the proposed method was performed so that the assumed  
216 accumulation amount was not negative. In case the "blurred image" is approximated most to

217 the "real image" when the assumed accumulation amount is 0, the range of the assumed  
218 accumulation amount assigned in the second step is not the value before and after 0, which  
219 is assigned in the first step, and as an exception, only the value after the 0 is used. In other  
220 words, the range of the assumed accumulation amounts assigned in the second step will be  
221 from 0 to 0.50 in this update. However, the interval of the assumed accumulation amounts is  
222 0.25, as with the usual process. Therefore, three values, 0, 0.25, 0.50, as the assumed  
223 accumulation amounts are assigned again in the second step in case the "blurred image" is  
224 most approximated to the "real image" when the assumed accumulation amount is 0. This  
225 update of the assumed accumulation amounts was performed in all the five regions (the left  
226 and right caudate/putamen and BG regions). Subsequently, the process from No. 2 to No. 5  
227 was iterated. This series of Process No. 2–No. 5 was performed 10 times.

228

### 229 **2-3-6. Process No. 6 of the proposed method: Determining the final accumulation** 230 **amounts for each region and calculation of indices (Fig. 7)**

231 After Process No. 2–No. 5 was performed 10 times, the final "blurred image," which used for  
232 generating the "difference image" indicated the minimum summed value, was extracted. In  
233 other words, the "blurred image," which was approximated most to the "real image" in all  
234 generated "blurred images," was extracted. We subsequently defined this final extracted  
235 "blurred image" as the "generated image." This obtained "generated image" was undone  
236 before a 3D Gaussian filter was applied, i.e., the "assigned image" corresponded to the  
237 "generated image," was extracted. This "assigned image" obtained by this process was the  
238 ideal image with no blur reflecting the accumulation amounts in the left and right  
239 caudate/putamen and BG regions, and we defined this image as the "ideal assigned image."  
240 We finally determined the assumed accumulation amounts, assigned to the left and right  
241 caudate/putamen and the BG regions when the "ideal assigned image" was created, as the  
242 accumulation amounts for each region. The final accumulation amount obtained by the  
243 proposed method was determined as SPECT count density<sub>by the proposed method</sub>. We computed the  
244 SBR and caudate-putamen ratio (CPR) following the formula using SPECT count density<sub>by the</sub>  
245 <sub>proposed method</sub>.

$$246 \text{SBR} = \frac{\text{Specific binding-BG binding}}{\text{BG binding}} \quad (1)$$

247 
$$\text{CPR} = \frac{\text{Caudate binding} - \text{BG binding}}{\text{Putamen binding} - \text{BG binding}} \quad (2)$$

248

#### 249 **2-4. Comparison method**

250 To represent the validity of the proposed method, as a comparison with the proposed method,  
251 we computed the SPECT count density using the comparison method. In the comparison  
252 method, the SPECT count density was calculated using the VOIs for the left and right  
253 caudate/putamen and BG regions, which were applied from the CT images to the SPECT  
254 images in Process No. 1. Since Process No. 2 and the subsequent in proposed methods were  
255 not performed in the comparison method, PVE correction (PVC) was not performed in the  
256 SPECT count density calculated by the comparison method, which was different from the  
257 proposed method. Therefore, we defined the SPECT count density calculated by the  
258 comparison method as SPECT count density<sub>without PVC</sub>.

259

#### 260 **2-5. Evaluation method**

261 We measured the actual count density (count/s·g) of the <sup>123</sup>I solution filled into the striatal  
262 phantom by an auto-well counter. The correlation between the actual count density and the  
263 SPECT count density<sub>by the proposed method</sub> was evaluated using the Pearson's correlation coefficient.  
264 In addition, the correlation coefficient between the actual count density and the SPECT count  
265 density<sub>without PVC</sub> was also calculated. The difference between these two correlation coefficients  
266 was tested using the Meng-Rosenthal-Rubin method [9].

267 In "Phantom2," we defined SBR and CPR, calculated by the actual count density of  
268 <sup>123</sup>I solution filled into the striatal phantom, as each theoretical value. The absolute errors  
269 between the SBR calculated by the proposed method and the theoretical value were  
270 calculated using the following formula (the absolute errors for CPR were also calculated):

271 *The absolute error = The value calculated by the proposed method – theoretical value*(3)

272

### 273 **3. Results**

274 The comparison between the "real image" acquired by the actual SPECT imaging and the  
275 "generated image" obtained by the proposed method is represented in Fig. 8. The images  
276 shown in Fig. 8 were in the same display condition, and the counts and contrasts of the

277 caudate, putamen and the BG in the “generated image” were visually similar to them in the  
278 “real image.”

279 The correlation coefficient between the actual count density filled into the phantom  
280 and the SPECT count density<sub>by the proposed method</sub> was 0.997 ( $p < 0.001$ ), which showed a strong  
281 positive correlation (Fig. 9a). In addition, the correlation coefficient between the actual count  
282 density and the SPECT count density<sub>without PVC</sub> was 0.973 ( $p < 0.001$ ), which also showed a  
283 strong correlation (Fig. 9b). Upon significant difference testing between the two correlation  
284 coefficients, the correlation of the proposed method was significantly higher than that of the  
285 comparison method ( $p < 0.001$ ).

286 In “Phantom2,” the errors between the SBR calculated by the proposed method and  
287 the theoretical SBR, calculated by the actual count density of  $^{123}\text{I}$  solution filled into the  
288 phantom are shown in Table 3. The SBR calculated by the proposed method was  
289 overestimated in the left and right caudate/putamen regions, that is, all the regions. In  
290 addition, the absolute errors between the CPR calculated by the proposed method and the  
291 theoretical CPR were approximately 0.1, and the CPRs calculated by the proposed method  
292 approached the theoretical CPRs (Table 4).

293

#### 294 4. Discussion

295 From a pathological viewpoint in PD, it is desirable to evaluate the accumulation amounts in  
296 the caudate and putamen through DaT SPECT. However, it is difficult to separate the caudate  
297 and putamen owing to the low spatial resolution of the SPECT device. It is also difficult to  
298 accurately evaluate the accumulation amounts due to PVE. Therefore, this study aimed to  
299 verify whether the newly proposed method could accurately evaluate the accumulation  
300 amounts in the caudate and putamen, with reduced PVE.

301 As shown in Fig. 8, the counts and contrasts of the caudate, the putamen, and the  
302 BG in the “generated image” were visually similar to those in the “real image” for the same  
303 display condition. In addition, the SPECT count density<sub>by the proposed method</sub> strongly correlated  
304 with the actual count density of the  $^{123}\text{I}$  solution filled into the phantom (Fig. 9a). This finding  
305 of the correlation between true values and measured values was comparable to other  
306 previously reported study [10]. Similarly, there was a strong correlation between the actual  
307 count density and the SPECT count density<sub>without PVC</sub> calculated by the comparison method (Fig.

308 9b). However, the correlation coefficient of the proposed method was significantly higher  
309 than that of the comparison method ( $p < 0.001$ ). The SPECT count density by the proposed method  
310 was an obtained value that considered the influence of PVE. As a result, it approached an  
311 accurate accumulation amount, and thus, a stronger correlation in the proposed method was  
312 considered. However, the SBRs calculated by the proposed method were overestimated in all  
313 the regions (Table 3). This overestimation is considered since the contrast between the  
314 striatum and the BG was overemphasized due to over-correction produced by SC, AC, and  
315 PVC. It has been reported that the SC and the AC improve the quantitative evaluation  
316 compared to no corrections [11-14]. In addition to the SC and AC, PVC also has been reported  
317 to be valuable in quantitative evaluation [13,14]. Although the SBR calculated by the  
318 proposed method is overestimated by the over-correction, it is considered since the SBRs  
319 calculated by the proposed method did not indicate the absolute theoretical SBRs calculated  
320 by the actual count density of  $^{123}\text{I}$  solution filled into the phantom. However, since the SPECT  
321 count density by the proposed method strongly correlated with the actual count density of  $^{123}\text{I}$  solution  
322 filled into the phantom, it seems that the SBRs calculated by the proposed method represent  
323 not the absolute theoretical SBR, but the relative theoretical SBR on SPECT image. In support  
324 of this, the CPRs obtained by the proposed method were extremely close to the absolute  
325 theoretical CPR calculated by the actual count density of the  $^{123}\text{I}$  solution filled in the phantom  
326 (Table 4). In the proposed method, the SPECT count densities of the caudate and the putamen  
327 were increased by the corrections; however, the caudate was divided by the putamen in the  
328 CPR, which indicates that the influence of the corrections was canceled. Therefore, the CPRs  
329 calculated by the proposed method were nearing the absolute CPRs calculated by the actual  
330 count density of the  $^{123}\text{I}$  solution filled into the phantom. In PD, the accumulation begins to  
331 decrease from the putamen [6]. Hence, for evaluating the accurate CPR, the proposed method  
332 can detect the accumulation reduction in the putamen with high sensitivity, which is expected  
333 to be beneficial in clinical practice.

334 In the present study, when examining the "blurred image" approximated to the "real  
335 image," the process where the assumed accumulation amounts were updated in detail to  
336 examine the "blurred image" approximated more to the "real image," was performed. This  
337 update process was performed 10 times. The variability rate of SBR with the number of  
338 processes was calculated using the following formula:

339 
$$\text{Variability rate (\%)} = \frac{\text{SBR}_{n+1} - \text{SBR}_n}{\text{SBR}_n} \times 100 \quad (4)$$

340  $\text{SBR}_n$  represents the SBR in the  $n$ th process. The variability rate of CPR was also calculated  
341 using Eq. (4). The variability rate of SBR and CPR with the number of processes is shown in  
342 Fig.10. We considered SBR and CPR to converge when the number of processes was over  
343 seven since the variability rates of both SBR and CPR were less than 5%. Therefore, 10 times,  
344 which was the number of processes used in the present study, was sufficient to converge.

345 In the present study, we only examined the use of a phantom. Therefore, it is  
346 necessary to apply the proposed method in clinical practice and examine the utility of this  
347 method in further research. By using the anatomical position information obtained from the  
348 morphological image, such as the MR image and applying the proposed method, it is  
349 possible to calculate the accumulation amounts in each region of the brain considering the  
350 PVE. It has been reported that the regions of  $^{123}\text{I}$ -FP-CIT low accumulation, such as the  
351 cerebral ventricle, have an effect on the SBR calculated by the method using the large VOI,  
352 as in the Southampton method [15,16]. In the proposed method, it is possible to exclude the  
353 influence on the SBR of the cerebral ventricle by performing a calculation process that uses  
354 multiple regions such as specific regions (the caudate and putamen), non-specific regions  
355 (the occipital lobe, cerebellum, and whole brain), and the region of  $^{123}\text{I}$ -FP-CIT low  
356 accumulation (the cerebral ventricle). In addition, the influences of the mutual counts  
357 between the regions i.e. spill-in and spill-out was considered in the proposed method. To  
358 date, various methods have been reported to calculate the semi-quantification index by  
359 processing images obtained by the SPECT imaging or devising analysis method. Template-  
360 base method [17,18] or CT/MR-guide method [19] can compute semi-quantification index  
361 considering with the morphology of the caudate and putamen. However, these methods  
362 cannot eliminate the PVE. In addition, it is difficult to grasp the individual patient morphology  
363 in the method using only SPECT images such as template-base method. It is desirable to use  
364 an anatomical scan (CT or MR) especially in the case of severe loss of accumulation [20]. A  
365 previous study has reported that striatal volume reduces with ageing [21]. Fixed-VOI method  
366 (the methods calculate semi-quantification index using fixed striatal volume) such as  
367 Southampton method tend to be overestimated especially in older age [22,23]. Therefore, it  
368 is important to include the individual patient morphology. The difficulty in evaluating the

369 caudate and putamen, separately owing to the low spatial resolution of the SPECT images  
370 makes the border between the caudate and putamen vague. Even when the caudate and  
371 putamen can be separated by the combined use of the morphological images, the counts  
372 leaking from the caudate and putamen (spill-out) enter each other's region (spill-in), making  
373 the accumulation amounts in each region ambiguous. Moreover, the counts leaking from the  
374 regions other than the caudate and putamen also enter the caudate and putamen regions  
375 (spill-in). Therefore, it is extremely difficult to calculate the accurate accumulation amounts in  
376 the caudate and putamen using SPECT images obtained by imaging. The BasGan method  
377 [10] can compute the accumulation of the caudate and putamen separately with  
378 consideration of PVE. The PVC method used in this method has been previously reported [24].  
379 This PVC method only uses the information included in the VOIs. The calculation process of  
380 the proposed method uses reverse direction approach, which is different from the calculation  
381 process that is normally used. In the proposed method, based on the anatomical position  
382 information by a morphological image and the spatial resolution information of the SPECT  
383 device, the calculation process involves examining the assumed accumulation amounts in  
384 each region of the brain to approach the SPECT image obtained by actual imaging, and uses  
385 this assumed accumulation amount to calculate the SBR. Therefore, different from the PVC  
386 method using in BasGan method, the proposed method uses the all information of SPECT  
387 images obtained by actual imaging for performing PVC. Therefore, since the proposed  
388 method examines the realistic accumulation using the all information of SPECT images  
389 obtained by actual imaging, leading to consideration of the counts leaked from the caudate  
390 and putamen (spill-out), and the counts entered into the caudate and putamen from regions  
391 other than the caudate and putamen (spill-in). Thus, it is expected that the proposed method  
392 can evaluate the accumulation amounts in the caudate and putamen more accurately.

393         This study has some limitations. The primary limitation of this study is that it  
394 examined only the phantom data in this study. In the present study, the image, which was  
395 approximated most to the SPECT image obtained by imaging, was examined by generating  
396 tens of thousands of SPECT-like images. As a result, the process took a few hours, which is  
397 not suitable for clinical practice. Moreover, the accumulation amounts in the VOI were  
398 assumed to be uniform in the proposed method. The voxel-by-voxel process allows the  
399 consideration of the non-uniformity of the accumulation amounts. However, since an

400 enormous amount of processing is required, it was not performed in the present study. By  
401 using an optimization method that minimizes the difference between the SPECT image  
402 obtained by imaging and the generated SPECT-like image, the process takes lesser time, and  
403 it is possible to apply the proposed method in clinical practice. In the present study, the  
404 reason for not using the optimization method was that it was expected that the differences  
405 in the optimization method or the parameter would lead to different results. Hence, an  
406 exhaustive method was implemented to examine the usefulness of the proposed method in  
407 a pure state without any influence other than the calculation process. If the process takes  
408 lesser time by using the optimization method in the future, it is expected possible to perform  
409 the voxel-by-voxel process and calculate the accumulation amounts in the detailed regions  
410 of the brain. We consider that in the future this leads in the computation of more accurate  
411 accumulation in detailed regions of the brain, considering the non-uniformity.

412

## 413 **5. Conclusion**

414 Using the newly proposed method, the accumulation amounts in the caudate and the  
415 putamen separately with the consideration of PVE could be calculated. We were able to prove  
416 the validity of the proposed method. However, in this study, the validity of the proposed  
417 method only examined on the phantom data. Therefore, further research involving  
418 examination in clinical practice is warranted.

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429 **List of abbreviations**

430 DaT: Dopamine transporter; <sup>123</sup>I-FP-CIT: <sup>123</sup>I-N-ω-fluoropropyl-2β-carboxymethoxy-3β-(4-  
431 iodophenyl)nortropine; PD: Parkinson disease; DLB: Dementia with Lewy bodies; SBR: Specific  
432 binding ratio; SPECT: Single photon emission computed tomography; PVE: Partial volume  
433 effect; VOI: Volume of interest; LMEGP: Low medium energy general purpose; 3D-OSEM: 3-  
434 dimensional ordered subset expectation maximization method; FWHM: Full width at half  
435 maximum; AC: attenuation correction; CT: Computed tomography; SC: scatter correction;  
436 TEW: triple energy window; BG: Background; CPR: Caudate-putamen ratio; PVC: PVE  
437 correction

438

#### 439 **Ethics approval and consent to participate**

440 Not applicable

441

#### 442 **Consent for publication**

443 Not applicable

444

#### 445 **Availability of data and material**

446 The datasets used and/or analysed during the current study are available from the  
447 corresponding author on reasonable request.

448

#### 449 **Competing interests**

450 The authors declare that they have no competing interests.

451

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454

#### 455 **Authors' contributions**

456 KK is the guarantor of integrity of the entire study. YI, NF, and KK contributed to the  
457 conception and design of the study. YI, NF, TT, SA and KK contributed to the acquisition of  
458 data. YI contributed to the analysis of data. YI, NF and KK contributed to the manuscript  
459 preparation. NF, KH, SA, MK, SN and KK contributed to the manuscript editing. All authors  
460 read and approved the final manuscript.

461

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466 for his valuable support.

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540 study. *Computerized Medical Imaging and Graphics*. 2001;25:165-72.

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550 Fig. 1 Flow of the calculation process by the proposed method  
551 The positional information of the left and right caudate/putamen, and the background (BG)  
552 regions is obtained on computed tomography images. Based on this positional information,

553 the assumed accumulation amounts are assigned into each region, and the images with no  
554 blur, called the "assigned image," were generated. A three-dimensional Gaussian filter  
555 equivalent to SPECT spatial resolution in clinical condition was applied to the "assigned  
556 image," and the single-photon emission computed tomography (SPECT)-like images, called  
557 the "blurred image," was generated. The voxel-by-voxel differences between the actual image  
558 obtained by imaging ("real image") and "blurred image" were calculated, and the "difference  
559 image" was generated. The "difference image" with the minimum summed values was  
560 extracted, and the assumed accumulation amounts, assigned into the "blurred image" used  
561 when generating the extracted "difference image," were determined as the accumulation  
562 amounts in the left and right caudate/putamen and BG regions. The assumed accumulation  
563 amounts assigned to each region were updated in detail, and Process No. 2 was performed  
564 again. After all the 10 times of a series of Process No. 2–No. 5 were performed, the final  
565 accumulation amounts in the left and right caudate/putamen and BG regions were  
566 determined, and specific binding ratio (SBR) and the caudate-putamen ratio (CPR) were  
567 calculated.

568

569 Fig. 2 Process No. 1 of the proposed method: Extracting the positional information of each  
570 region

571 Using the PMOD software, the volume of interests (VOIs) were manually established along  
572 the morphology of the left and right caudate/putamen and background (BG) regions on the  
573 computed tomography (CT) images. The VOIs established on the CT images were applied to  
574 the single-photon emission computed tomography (SPECT) images. Using the VOIs on SPECT  
575 images, the mask images extracted the positional information of the left and right  
576 caudate/putamen and BG regions.

577

578 Fig. 3 Process No. 2 of the proposed method: Generating the "assigned image" and "blurred  
579 image"

580 Based on the mask images obtained from Process No. 1, the assumed accumulation amounts  
581 (0, 0.5, 1.0, 1.5, and 2.0) were assigned to the five regions (i.e., the left and right  
582 caudate/putamen and background [BG] regions). Since the five values (0, 0.5, 1.0, 1.5, 2.0)  
583 were assigned to the five regions (the left and right caudate/putamen and BG regions), 5<sup>5</sup>

584 (=3125) images with no blur (these images are called the "assigned image") were generated.  
585 A three-dimensional Gaussian filter equivalent to single-photon emission computed  
586 tomography (SPECT) spatial resolution in clinical condition was applied to the "assigned  
587 image." Thus, 3125 SPECT-like spatial resolution images (these images were called the  
588 "blurred image") were generated.

589

590 Fig. 4 Process No. 3 of the proposed method: Generating the "difference image"

591 The image obtained by actual single-photon emission computed tomography (SPECT)  
592 imaging and normalized with the maximum value was defined as the "real image." The voxel-  
593 by-voxel differences between the "real image" obtained by actual imaging and the "blurred  
594 image" obtained by Process No. 2 were calculated, and 3125 "difference images" were  
595 generated.

596

597 Fig. 5 Process No. 4 of the proposed method: Determining the accumulation amounts in each  
598 region

599 The "difference image" with the minimum summed values was extracted among 3125  
600 "difference images" obtained by Process No. 3. The "difference image" with the minimum  
601 summed values means that "blurred image" is most approximated to the "real image." The  
602 assumed accumulation amounts in the left and right caudate/putamen and background (BG)  
603 regions, assigned to the "blurred image" used when generating the extracted "difference  
604 image," were determined as the accumulation amounts in each region.

605

606 Fig. 6 Process No. 5 of the proposed method: Updating the assumed accumulation amounts

607 The accumulation amounts obtained from Process No. 4 were calculated from the roughly  
608 assumed accumulation amounts. Therefore, the assumed accumulation amounts were  
609 updated in more detail to examine the image that was more approximated to the "real image."  
610 For example, it hypothesizes that the "blurred image" was most approximated to the "real  
611 image" when the assumed accumulation amount in a certain region was 1.0. Then, among  
612 the assumed accumulation amounts (0, 0.5, 1.0, 1.5, and 2.0) assigned in the first step, the  
613 values before and after the 1.0, which is the value when the "blurred image" was most  
614 approximated to the "real image," are determined as the range of the assumed accumulation

615 amounts assigned in the second step. In other words, the range of the assumed accumulation  
616 amounts assigned in the second step is 0.5 to 1.5. Moreover, although the interval of the  
617 assumed accumulation amounts 0, 0.5, 1.0, 1.5, and 2.0 is 0.5 in the first step, the interval is  
618 0.25 in the second step, which is half of the interval in the first step. Therefore, in the second  
619 step, the five values 0.50, 0.75, 1.00, 1.25, and 1.50, as the assumed accumulation amounts  
620 are assigned to the same region again. This update of the assumed accumulation amount  
621 was performed in all the five regions (the left and right caudate/putamen and background  
622 [BG] regions). Subsequently, the process from No. 2 to No. 5 was iterated. This series of  
623 Process No. 2-No. 5 was performed 10 times.

624

625 Fig. 7 Process No. 6 of the proposed method: Determining the final accumulation amounts  
626 and calculating indices

627 After performing the series in Process No. 2–No. 5 10 times, the “blurred image” finally most  
628 approximated to the “real image” was defined as the “generated image.” The “generated  
629 image” was returned to the image which was before the three-dimensional Gaussian filter  
630 was applied in Process No. 2. This ideal image with no blurs was defined as the “ideal assigned  
631 image.” The assumed accumulation amounts in the left and right caudate/putamen and  
632 background (BG) regions assigned into the “ideal assigned image” were determined as the  
633 accumulation amounts in each region. Using these values, the specific binding ratio (SBR) and  
634 the caudate-putamen ratio (CPR) were calculated.

635

636 Fig. 8 Comparison between the “real image” and “generated image”

637 The “Real image” was the image obtained by the actual imaging and the “generated image”  
638 was the final image obtained by the proposed method. (a) shows the “real image” and  
639 “generated image” obtained from “Phantom1” and (b) shows the image obtained by  
640 “Phantom2.” These images were in the same display condition for comparison between the  
641 “real image” and the “generated image.” The counts and contrasts of the caudate, putamen,  
642 and background (BG) in the “generated image” were visually similar to those in the “real  
643 image.”

644

645 Fig. 9 Correlation between the actual count density and the single-photon emission  
646 computed tomography (SPECT) count density

647 This figure shows the correlation between the actual count density of the  $^{123}\text{I}$  solution filled  
648 in the phantom and the SPECT count density. (a) shows the correlation between the actual  
649 count density and SPECT count density<sub>by the proposed method</sub>. The SPECT count density<sub>by the proposed</sub>  
650 <sub>method</sub> significantly correlated with the actual count density ( $r=0.997$ ,  $p < 0.001$ ). (b) shows the  
651 correlation between the actual count density and SPECT count density<sub>without PVC</sub> obtained by  
652 the comparison method. The SPECT count density<sub>without PVC</sub> significantly correlated with the  
653 actual count density ( $r=0.973$ ,  $p < 0.001$ ).

654

655 Fig. 10 The relationship between the number of processing times and the variability rate  
656 (a) shows the relation between the number of processing times and the variability of specific  
657 binding ratio (SBR). When the number of processing times was low, SBRs were greatly variable.  
658 However, SBRs were converged as the processing was repeated. When the number of  
659 processing times was more than seven, the variability rates of the SBR were less than 5%. (b)  
660 shows the relation between the number of processing times and the variability of  
661 caudate/putamen ratio (CPR). This relation showed a similar trend to the SBR.

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673 Table 1 Phantom data

674 The scheduled ratios show the radioactivity ratios of the  $^{123}\text{I}$  solution scheduled before  
675 creating the phantom. The actual count densities show that the  $^{123}\text{I}$  count density actually

676 filled in the phantom was measured by an auto-well counter. The actual ratios show the ratio  
 677 calculated using the actual count density. In "Phantom1",  $^{123}\text{I}$  solution was only filled in the  
 678 left and right caudate, and the other regions (the left and right putamen, and background  
 679 [BG] regions) were filled with water. In "Phantom2", the  $^{123}\text{I}$  solution was filled in all regions.  
 680

Phantom1					
	Right caudate	Right putamen	Left caudate	Left putamen	BG
Scheduled ratio	1.80	0.00	1.00	0.00	0.00
Actual count density (count/s·g)	25123.21	0.00	14156.71	0.00	0.00
Actual ratio	1.77	0.00	1.00	0.00	0.00
Phantom2					
	Right caudate	Right putamen	Left caudate	Left putamen	BG
Scheduled ratio	9.00	9.00	5.00	4.00	1.00
Actual count density (count/s·g)	23777.24	23777.24	13398.26	11187.14	2337.02
Actual ratio	10.17	10.17	5.73	4.79	1.00

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Table 2 Terms used in the proposed method

696 The "assigned image," "blurred image," "real image," "difference image," "generated image,"  
 697 and "ideal assigned image" were coined words that were created to describe the proposed  
 698 method. The descriptions of these terms used in the proposed method are presented.  
 699

Term	Definition
Assigned image	"Assigned image" is the ideal image with no blur that assumed accumulation amounts is assigned to each region (the left and right caudate/putamen, and BG regions).
Blurred image	"Blurred image" is the SPECT-like image that 3D Gaussian filter equivalent to SPECT spatial resolution under clinical condition is applied to "assigned image".
Real image	"Real image" is the image obtained by actual SPECT imaging and normalized with the maximum value.
Difference image	"Difference image" is the image that the voxel-by-voxel difference between "blurred image" and "real image" is calculated.
Generated image	"Generated image" is the "blurred image" that is approximated most to the "real image."
Ideal assigned image	"Ideal assigned image" is the "assigned image" which is before 3D Gaussian filter applied to "generated image".

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713 Table 3 Comparison between the theoretical specific binding ratio (SBR) and SBR obtained by  
714 the proposed method

715 Theoretical SBRs are calculated by the actual count density of the  $^{123}\text{I}$  solution filled in the  
716 phantom. Calculated SBRs were calculated using the proposed method. Absolute errors  
717 between the theoretical SBR and calculated SBR were positive in all regions, and the  
718 calculated SBRs were overestimated in all regions.

719

	Right caudate	Right putamen	Left caudate	Left putamen
Theoretical SBR	9.17	9.17	4.73	3.79
Calculated SBR	11.83	11.07	5.92	4.36
Absolute error	2.65	1.89	1.19	0.57

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722 Table 4 Comparison between the theoretical caudate-putamen ratio (CPR) and CPR obtained  
723 by the proposed method

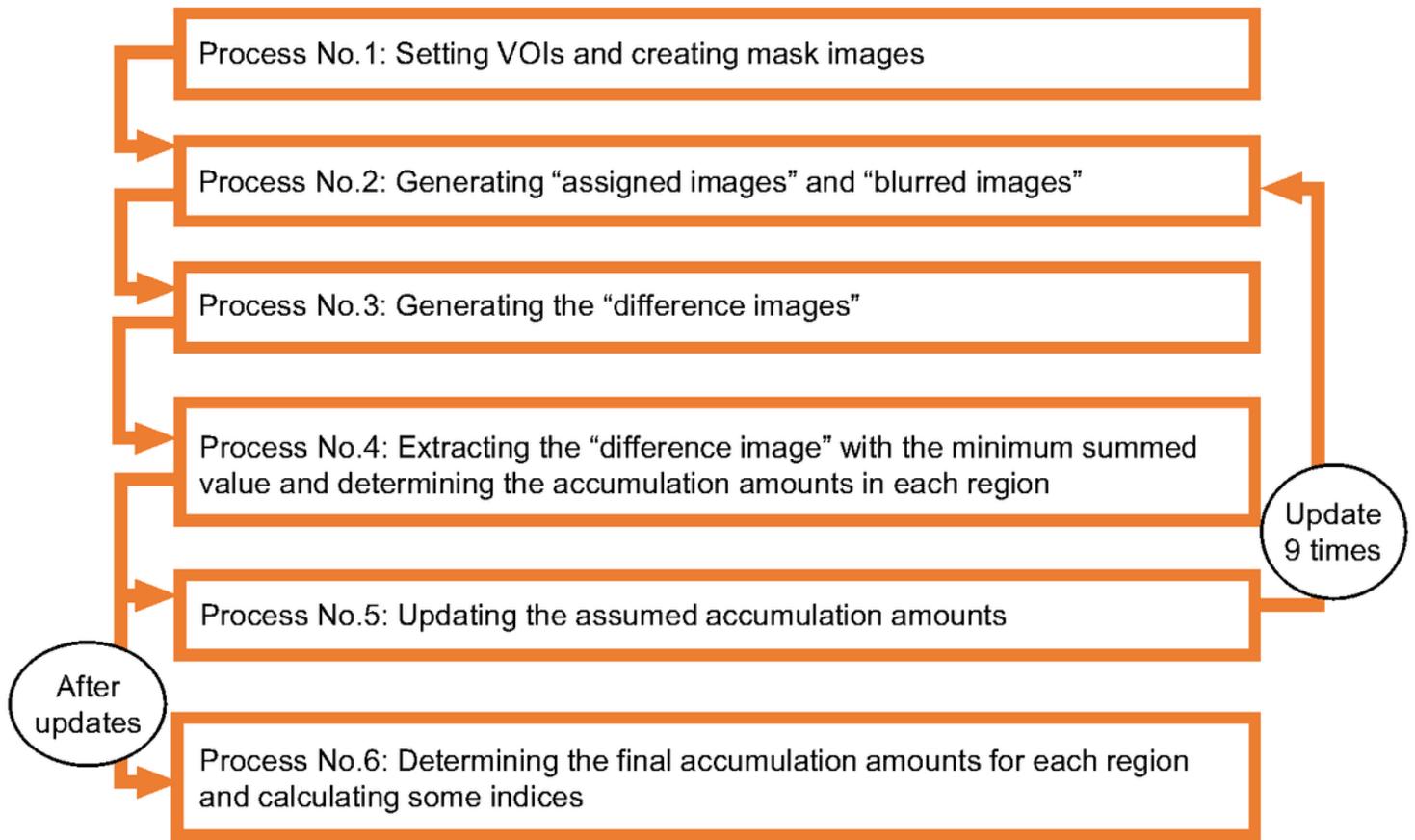
724 Theoretical CPRs were calculated by the actual count density of the  $^{123}\text{I}$  solution filled in the  
725 phantom. Calculated CPRs were calculated using the proposed method. Absolute errors  
726 between the theoretical CPR and calculated CPR were almost 0, and the calculated CPRs were  
727 close to the theoretical CPR.

728

	Right striatum	Left striatum
Theoretical CPR	1.00	1.25
Calculated CPR	1.07	1.36
Absolute error	0.07	0.11

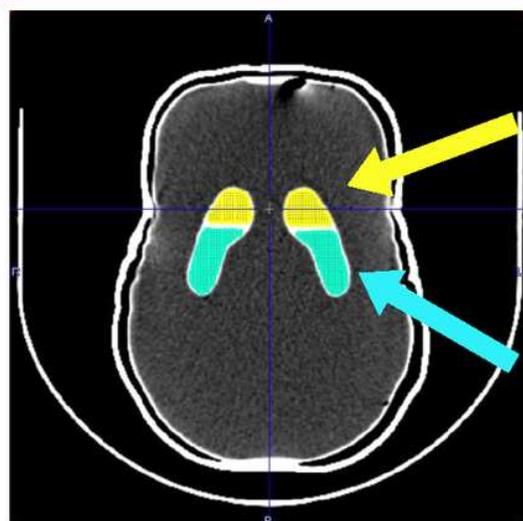
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# Figures



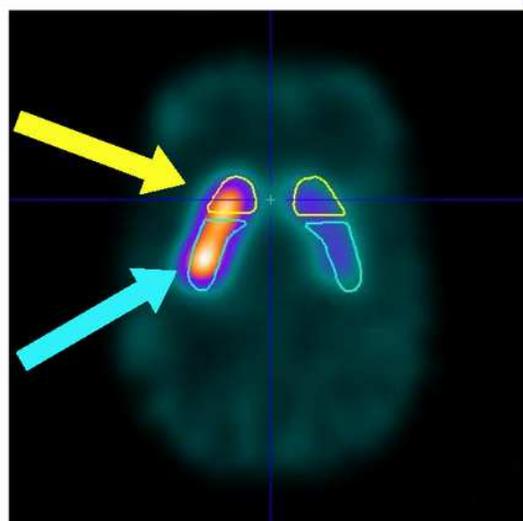
**Figure 1**

Flow of the calculation process by the proposed method The positional information of the left and right caudate/putamen, and the background (BG) regions is obtained on computed tomography images. Based on this positional information, the assumed accumulation amounts are assigned into each region, and the images with no blur, called the "assigned image," were generated. A three-dimensional Gaussian filter equivalent to SPECT spatial resolution in clinical condition was applied to the "assigned image," and the single-photon emission computed tomography (SPECT)-like images, called the "blurred image," was generated. The voxel-by-voxel differences between the actual image obtained by imaging ("real image") and "blurred image" were calculated, and the "difference image" was generated. The "difference image" with the minimum summed values was extracted, and the assumed accumulation amounts, assigned into the "blurred image" used when generating the extracted "difference image," were determined as the accumulation amounts in the left and right caudate/putamen and BG regions. The assumed accumulation amounts assigned to each region were updated in detail, and Process No. 2 was performed again. After all the 10 times of a series of Process No. 2–No. 5 were performed, the final accumulation amounts in the left and right caudate/putamen and BG regions were determined, and specific binding ratio (SBR) and the caudate-putamen ratio (CPR) were calculated.

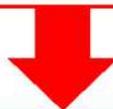


Caudate

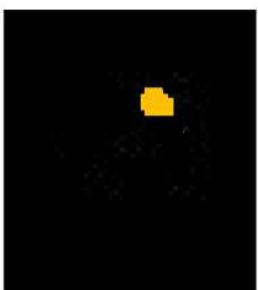
Putamen



The VOIs for the left and right caudate/putamen and BG regions were established on CT image and applied to SPECT image.



Right caudate  
mask



Left caudate  
mask



Right putamen  
mask



Left putamen  
mask



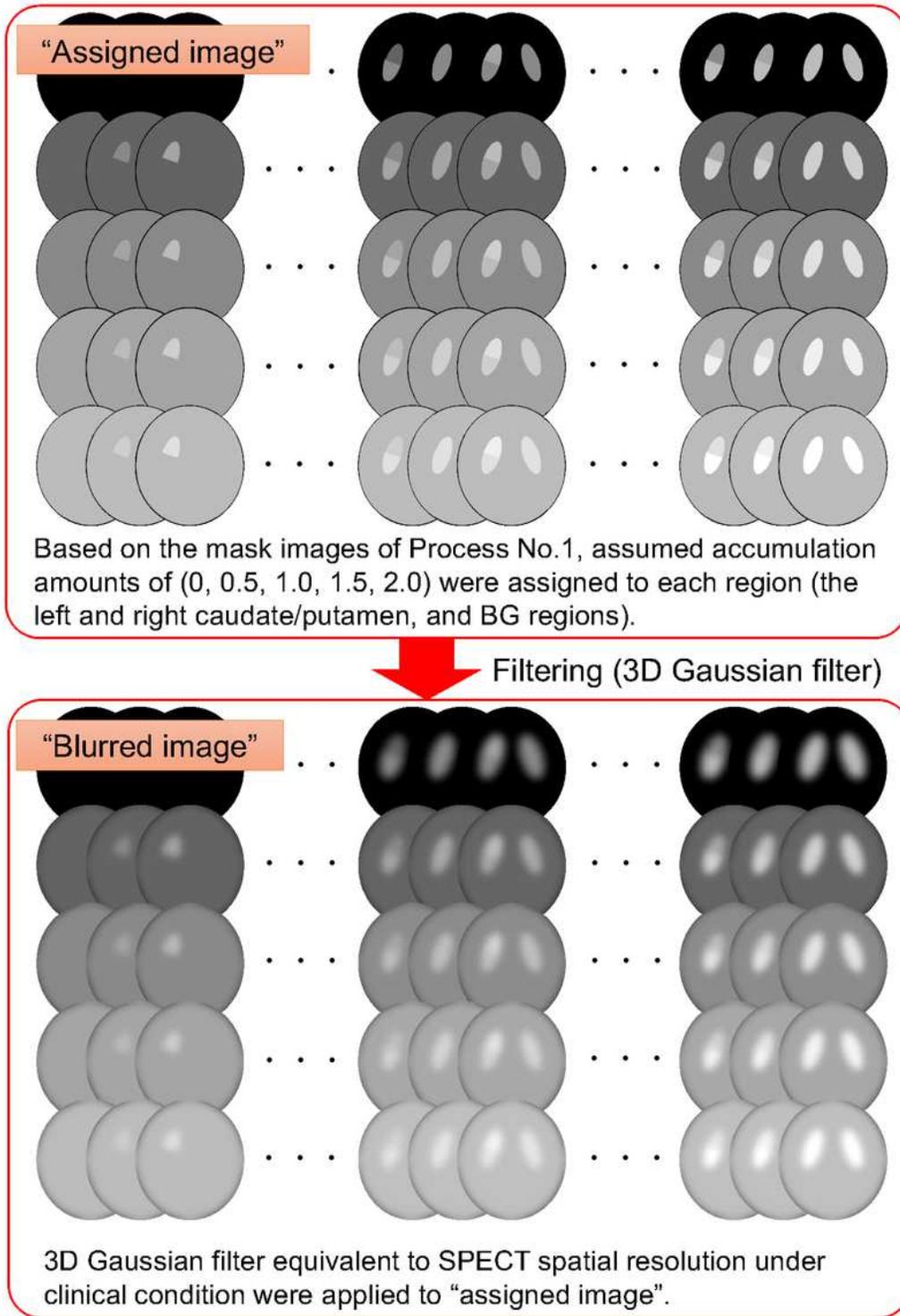
BG mask

The mask images of 5 regions (i.e. the left and right caudate/putamen and BG regions) were created using VOIs on SPECT image.

The positional information of the left and right caudate/putamen and BG regions were extracted from these mask images.

Figure 2

Process No. 1 of the proposed method: Extracting the positional information of each region Using the PMOD software, the volume of interests (VOIs) were manually established along the morphology of the left and right caudate/putamen and background (BG) regions on the computed tomography (CT) images. The VOIs established on the CT images were applied to the single-photon emission computed tomography (SPECT) images. Using the VOIs on SPECT images, the mask images extracted the positional information of the left and right caudate/putamen and BG regions.



**Figure 3**

Process No. 2 of the proposed method: Generating the "assigned image" and "blurred image" Based on the mask images obtained from Process No. 1, the assumed accumulation amounts (0, 0.5, 1.0, 1.5, and 2.0) were assigned to the five regions (i.e., the left and right caudate/putamen and background [BG] regions). Since the five values (0, 0.5, 1.0, 1.5, 2.0) were assigned to the five regions (the left and right caudate/putamen and BG regions), 55 (=3125) images with no blur (these images are called the

“assigned image”) were generated. A three-dimensional Gaussian filter equivalent to single-photon emission computed tomography (SPECT) spatial resolution in clinical condition was applied to the “assigned image.” Thus, 3125 SPECT-like spatial resolution images (these images were called the “blurred image”) were generated.

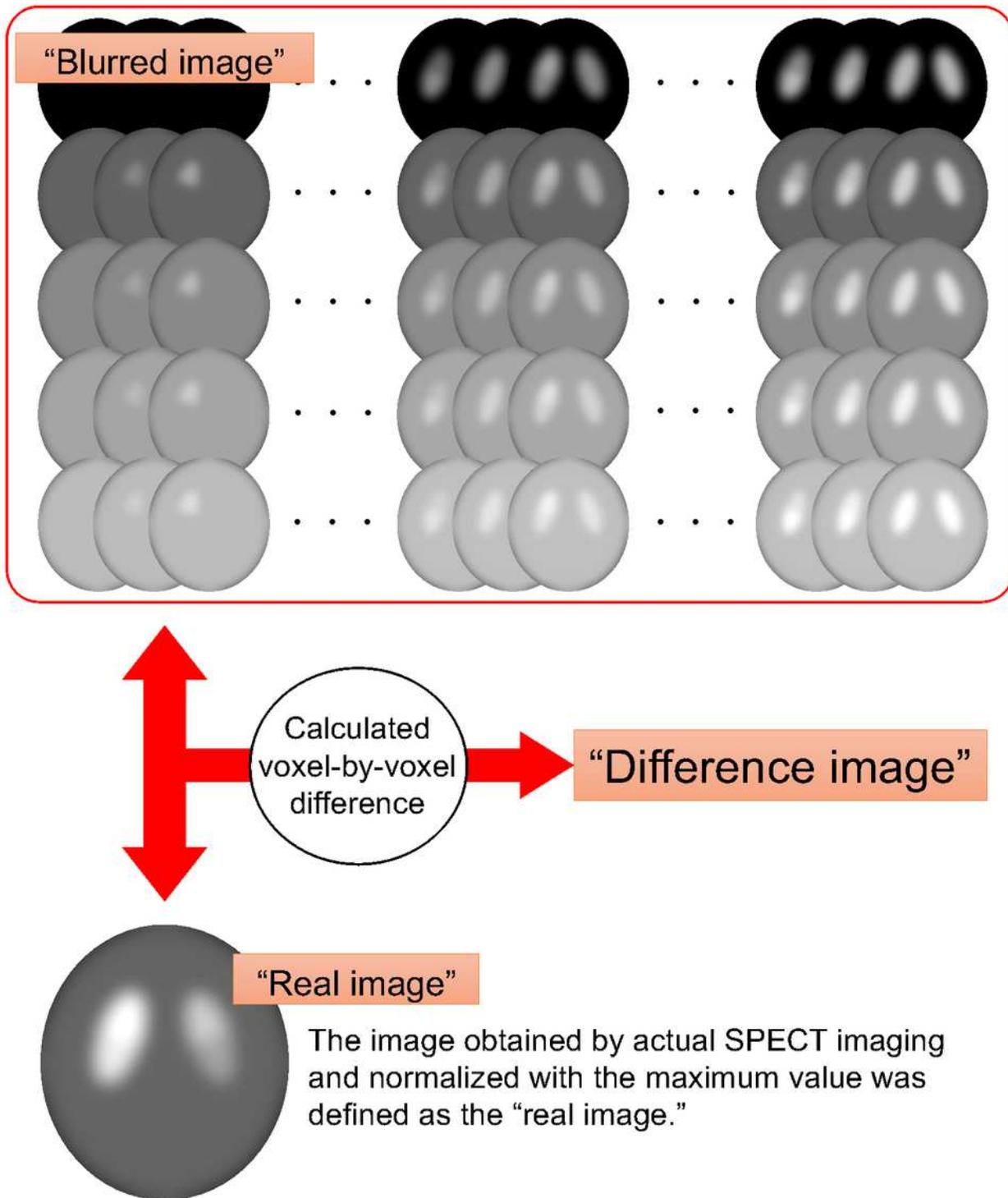
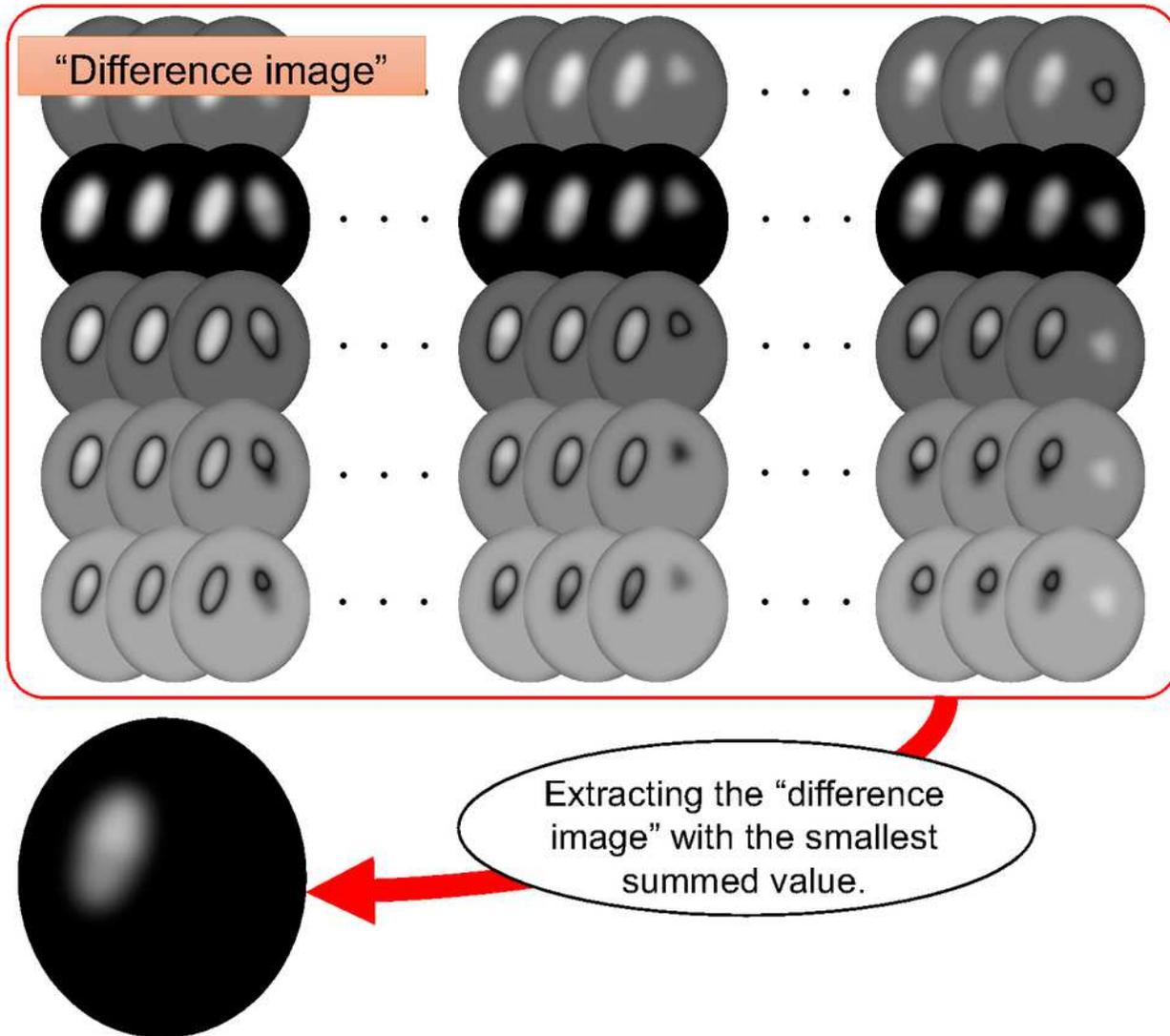


Figure 4

Process No. 3 of the proposed method: Generating the “difference image” The image obtained by actual single-photon emission computed tomography (SPECT) imaging and normalized with the maximum value was defined as the “real image.” The voxel-by-voxel differences between the “real image” obtained by actual imaging and the “blurred image” obtained by Process No. 2 were calculated, and 3125 “difference images” were generated.



The assumed accumulation amounts, assigned to the “blurred image” used when generating the extracted “difference image,” were determined as the accumulation amounts in the each region (the left and right caudate/putamen, and BG regions).



These accumulation amounts obtained in this process were updated in detail in Process No. 5.

Figure 5

Process No. 4 of the proposed method: Determining the accumulation amounts in each region The “difference image” with the minimum summed values was extracted among 3125 “difference images” obtained by Process No. 3. The “difference image” with the minimum summed values means that “blurred image” is most approximated to the “real image.” The assumed accumulation amounts in the left and right caudate/putamen and background (BG) regions, assigned to the “blurred image” used when generating the extracted “difference image,” were determined as the accumulation amounts in each region.

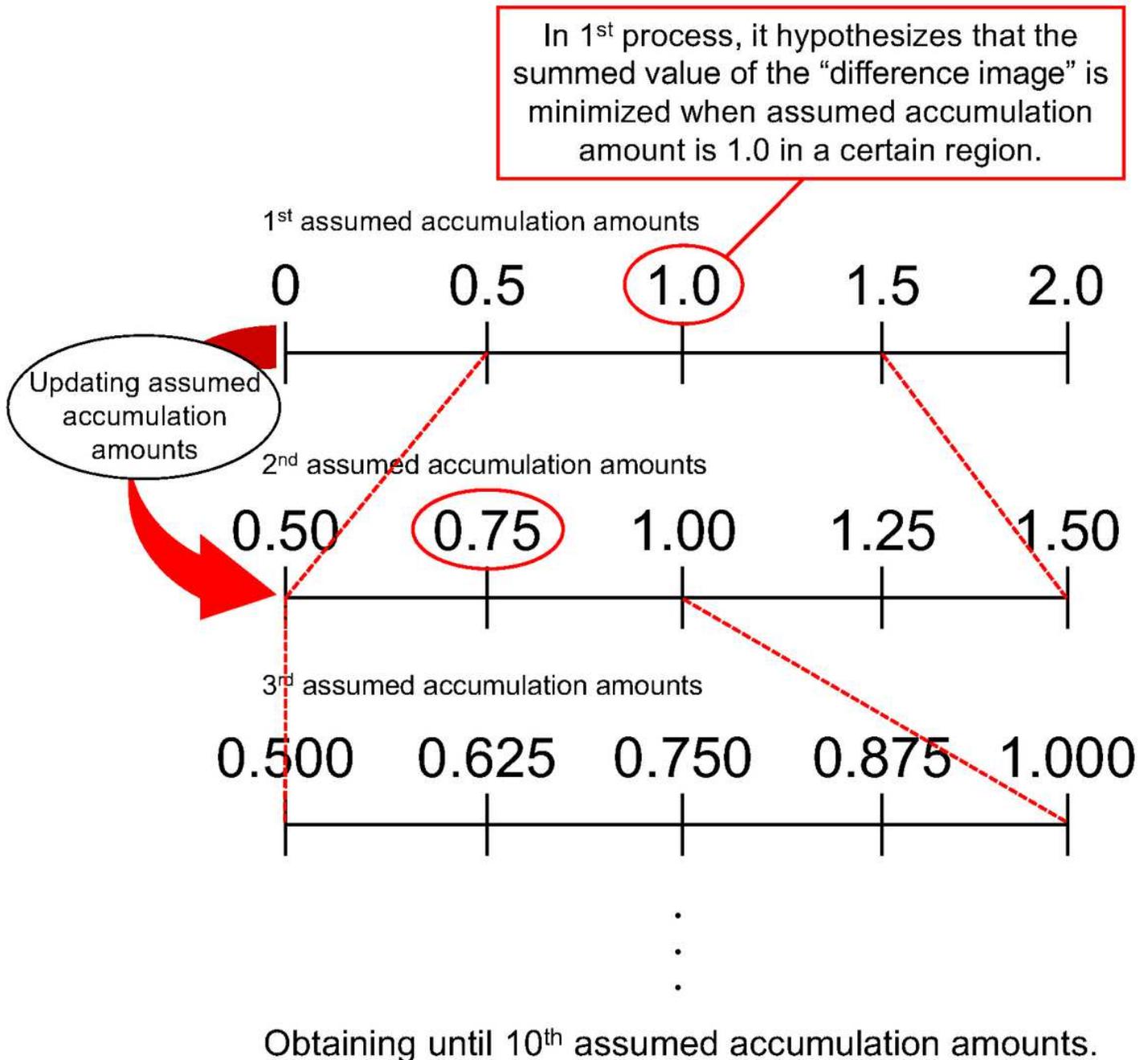
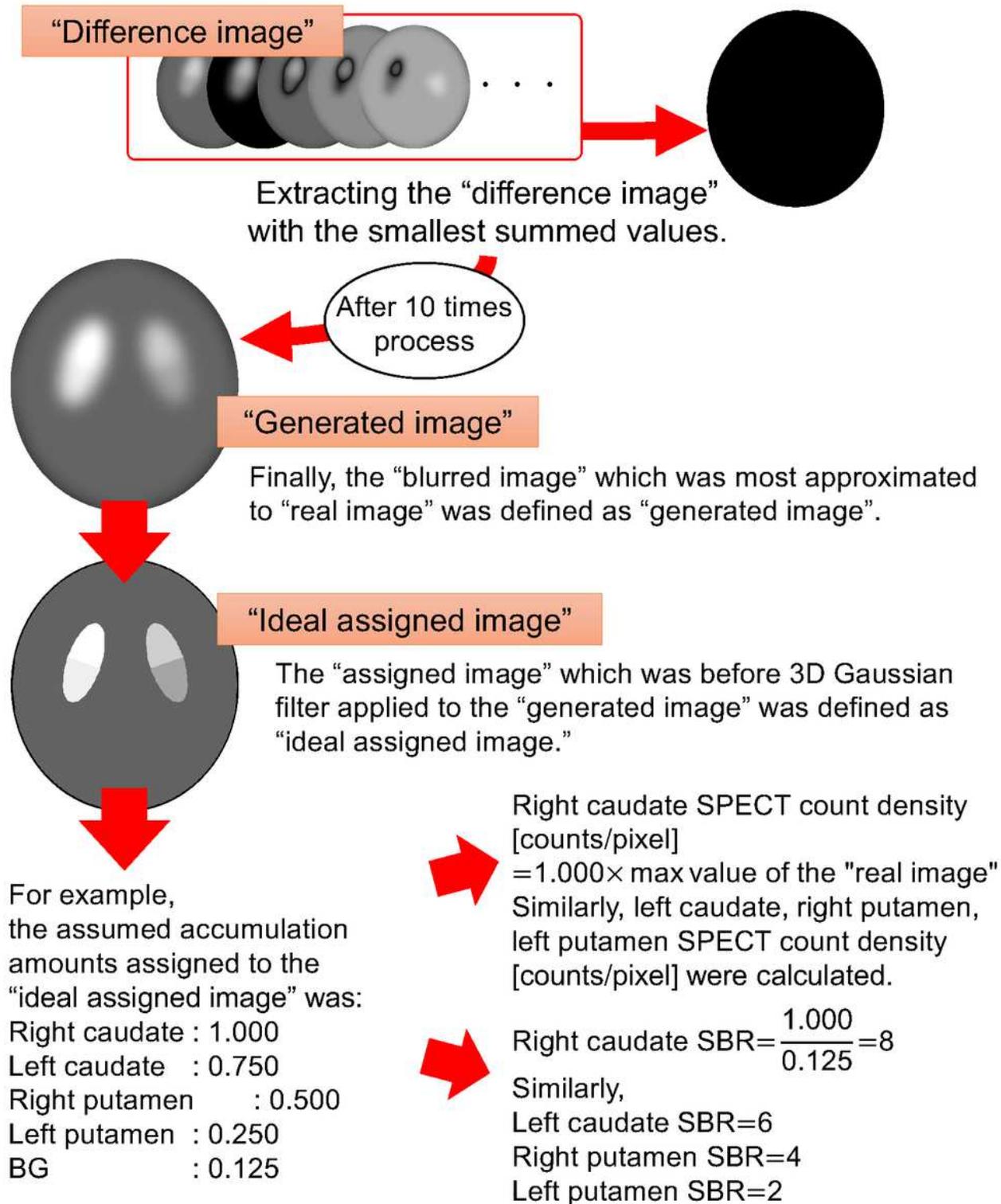


Figure 6

Process No. 5 of the proposed method: Updating the assumed accumulation amounts The accumulation amounts obtained from Process No. 4 were calculated from the roughly assumed accumulation

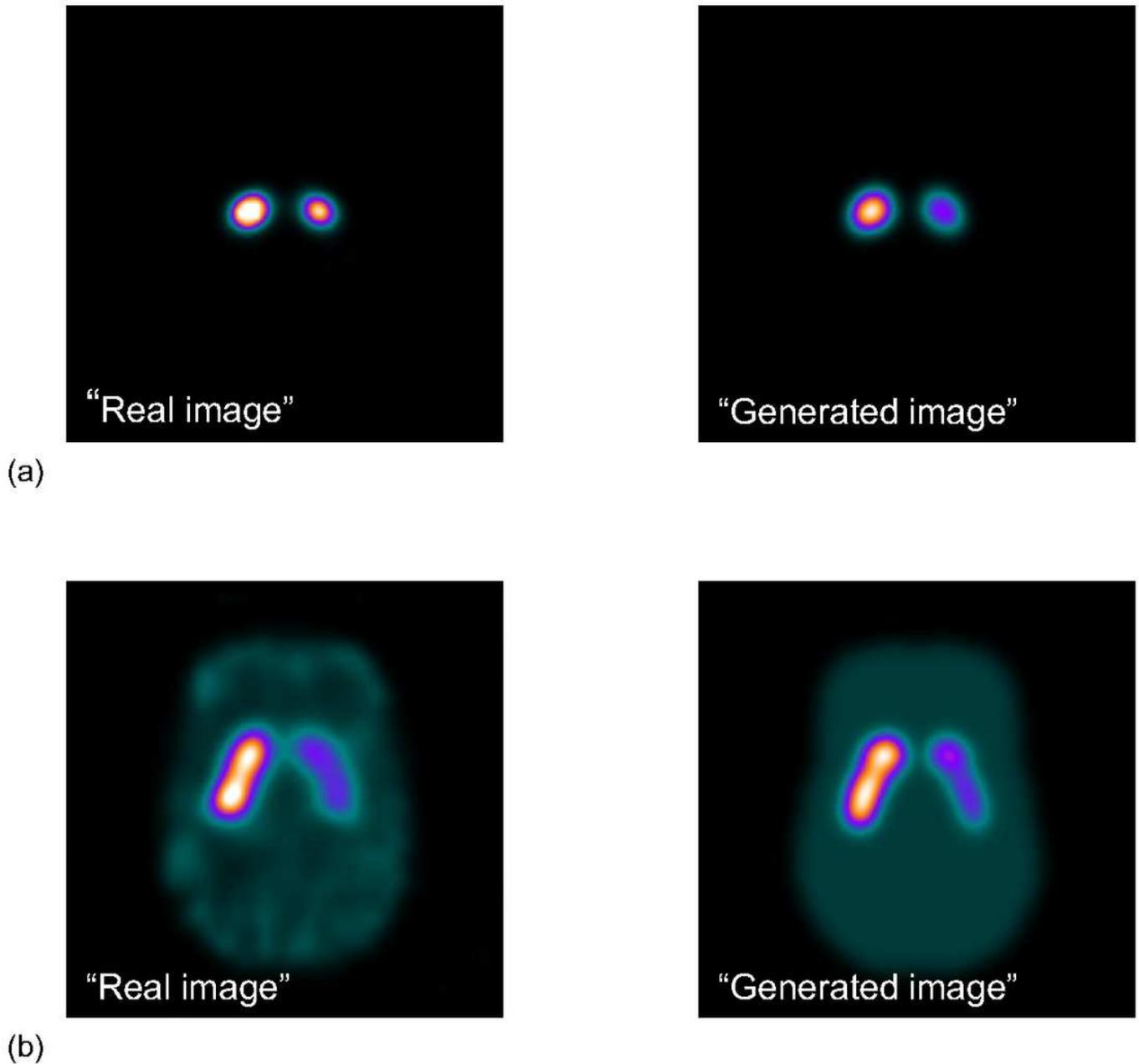
amounts. Therefore, the assumed accumulation amounts were updated in more detail to examine the image that was more approximated to the "real image." For example, it hypothesizes that the "blurred image" was most approximated to the "real image" when the assumed accumulation amount in a certain region was 1.0. Then, among the assumed accumulation amounts (0, 0.5, 1.0, 1.5, and 2.0) assigned in the first step, the values before and after the 1.0, which is the value when the "blurred image" was most approximated to the "real image," are determined as the range of the assumed accumulation amounts assigned in the second step. In other words, the range of the assumed accumulation amounts assigned in the second step is 0.5 to 1.5. Moreover, although the interval of the assumed accumulation amounts 0, 0.5, 1.0, 1.5, and 2.0 is 0.5 in the first step, the interval is 0.25 in the second step, which is half of the interval in the first step. Therefore, in the second step, the five values 0.50, 0.75, 1.00, 1.25, and 1.50, as the assumed accumulation amounts are assigned to the same region again. This update of the assumed accumulation amount was performed in all the five regions (the left and right caudate/putamen and background [BG] regions). Subsequently, the process from No. 2 to No. 5 was iterated. This series of Process No. 2-No. 5 was performed 10 times.



**Figure 7**

Process No. 6 of the proposed method: Determining the final accumulation amounts and calculating indices After performing the series in Process No. 2–No. 5 10 times, the “blurred image” finally most approximated to the “real image” was defined as the “generated image.” The “generated image” was returned to the image which was before the three-dimensional Gaussian filter was applied in Process No. 2. This ideal image with no blurs was defined as the “ideal assigned image.” The assumed accumulation

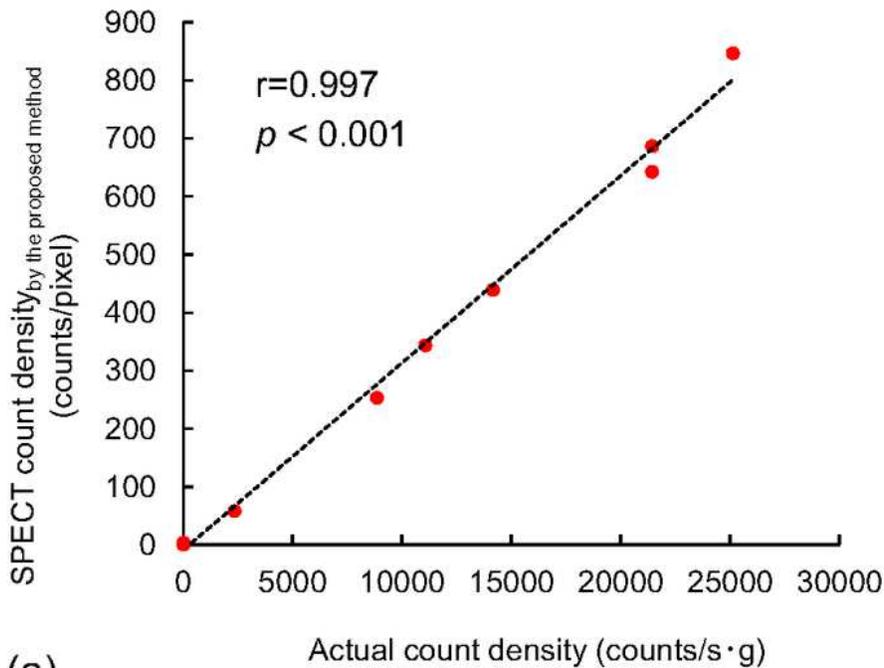
amounts in the left and right caudate/putamen and background (BG) regions assigned into the “ideal assigned image” were determined as the accumulation amounts in each region. Using these values, the specific binding ratio (SBR) and the caudate-putamen ratio (CPR) were calculated.



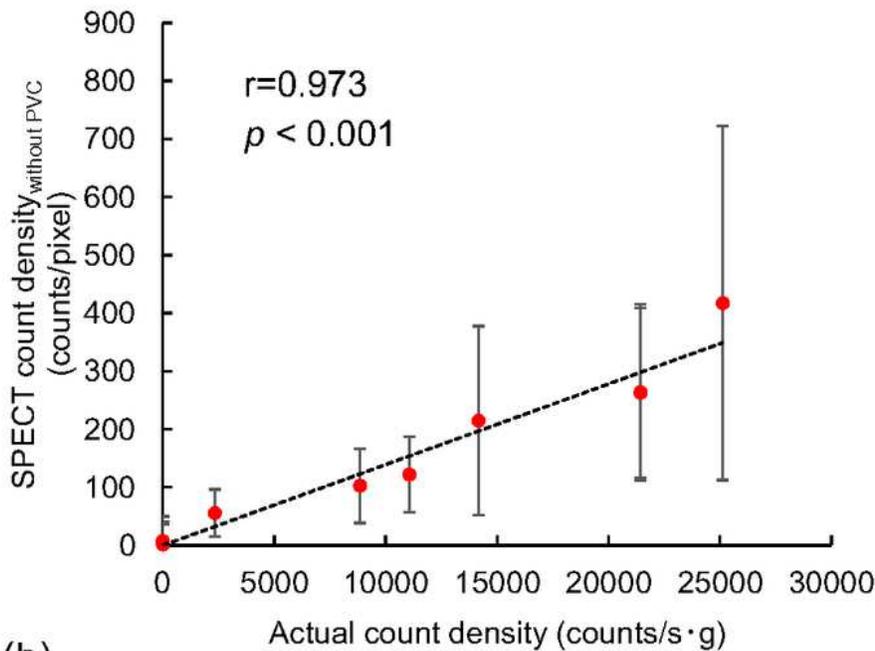
**Figure 8**

Comparison between the “real image” and “generated image” The “Real image” was the image obtained by the actual imaging and the “generated image” was the final image obtained by the proposed method. (a) shows the “real image” and “generated image” obtained from “Phantom1” and (b) shows the image obtained by “Phantom2.” These images were in the same display condition for comparison between the

“real image” and the “generated image.” The counts and contrasts of the caudate, putamen, and background (BG) in the “generated image” were visually similar to those in the “real image.”



(a)

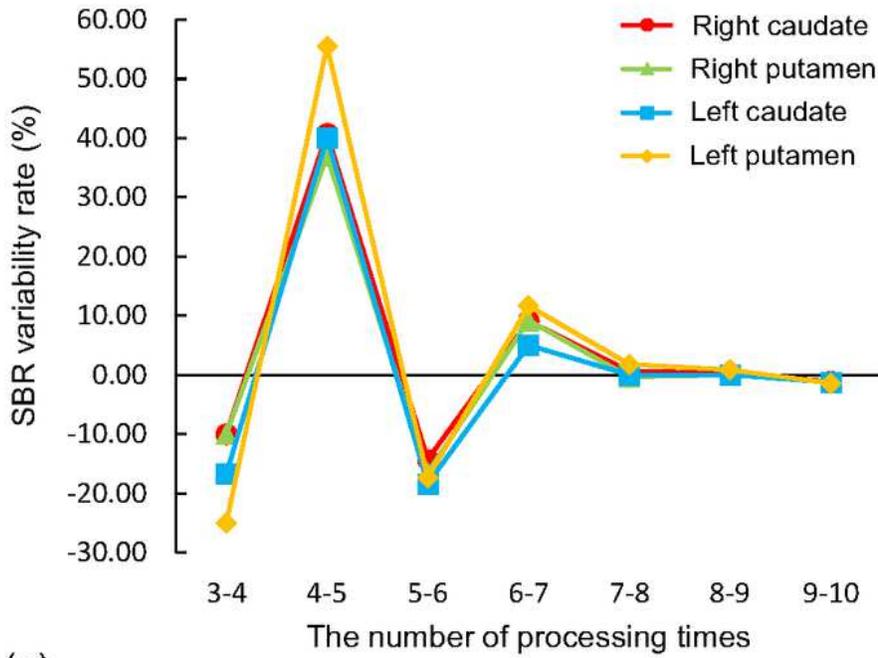


(b)

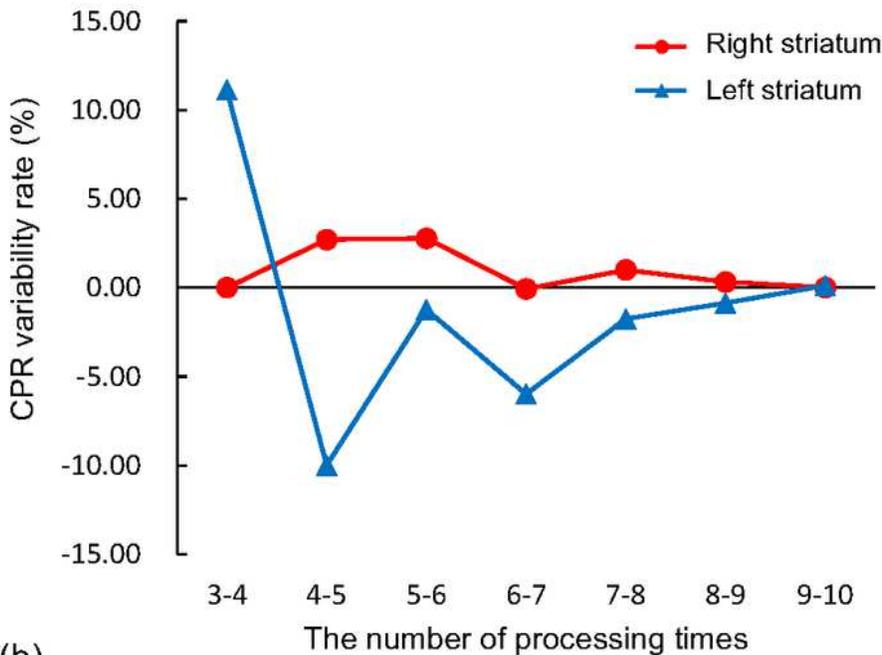
**Figure 9**

Correlation between the actual count density and the single-photon emission computed tomography (SPECT) count density. This figure shows the correlation between the actual count density of the  $^{123}\text{I}$  solution filled in the phantom and the SPECT count density. (a) shows the correlation between the actual

count density and SPECT count density by the proposed method. The SPECT count density by the proposed method significantly correlated with the actual count density ( $r=0.997, p < 0.001$ ). (b) shows the correlation between the actual count density and SPECT count density without PVC obtained by the comparison method. The SPECT count density without PVC significantly correlated with the actual count density ( $r=0.973, p < 0.001$ ).



(a)



(b)

Figure 10

The relationship between the number of processing times and the variability rate (a) shows the relation between the number of processing times and the variability of specific binding ratio (SBR). When the number of processing times was low, SBRs were greatly variable. However, SBRs were converged as the processing was repeated. When the number of processing times was more than seven, the variability rates of the SBR were less than 5%. (b) shows the relation between the number of processing times and the variability of caudate/putamen ratio (CPR). This relation showed a similar trend to the SBR.