Hazard and risk assessment for early phase road planning in Norway.

Anders Solheim (✉ anders.solheim@ngi.no)

Norwegian Geotechnical Institute: Norges Geotekniske Institutt

Kjetil Sverdrup-Thygeson

Norwegian Geotechnical Institute: Norges Geotekniske Institutt

Bjørn Kalsnes

Norwegian Geotechnical Institute: Norges Geotekniske Institutt

Research Article

Keywords: Natural hazards, risk, roads, Norway, climate

Posted Date: February 8th, 2022

DOI: <https://doi.org/10.21203/rs.3.rs-1313827/v1>

License: © ⓘ This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Abstract

Road construction in rugged terrain and variable, partly harsh climate is challenging. Proper assessment of natural hazards at an early planning stage can give large cost savings and safer roads. In assessing natural hazards along 720 km of planned roads in Norway, a GIS based tool was developed to utilize publicly available data and dynamic runout models. The output is a definition of the most critical locations and serves to limit the extent of necessary field work. The Norwegian national susceptibility maps are generally conservative and using only these in the planning would give unrealistically high hazard levels. Various optimizing techniques were therefore implemented in the GIS tool and the outputs further calibrated against existing detailed hazard maps in selected locations and further validated during field work at the defined 'hotspots'. The field work comprised assessing return periods of unwanted events, probable closure time, and relevant mitigation measures, all within sets of pre-defined ranges of values. The following consequence evaluation quantified the indirect economic consequences of closed road and assessed the consequence for emergency preparedness qualitatively. Other consequences were not considered in the study. Climate change was considered and evaluated to increase the probability for flooding and debris flows, whereas the link between climate change and the other assessed hazards were considered too uncertain to impact on the risk estimates. Results of the study were communicated through an interactive map solution, with key results presented as fact sheets activated in the map for each risk section of the roads.

Introduction

The rugged Norwegian terrain poses challenges to road planning and construction, including threats from several different natural hazards. By performing risk and vulnerability analyses at the earliest planning phase, before the final routing is done, large savings can be achieved through early-stage planning of mitigation measures or re-routing to avoid the most challenging segments if possible.

Norway, as many other countries, has abundant publicly available data on natural hazards at a regional level, in addition to a high-resolution digital terrain model (DEM) from LiDAR scanning of the whole country. Utilizing all available data in an optimal way has the potential of limiting the need for extensive field work, and to make field campaigns more pin-pointed and efficient.

Several previous studies focus on the consequences of natural hazards to roads, both for the vehicle itself (Voumard et al. 2013) and for costs related to road closure, as a function of the road's importance (Tacnet et al. 2012). Other studies focus on sustainable and cost-effective mitigation measures at already defined hazardous spots (Zumbrunnen et al. 2017). Chamorro et al. (2020) propose a methodology to allow road agencies and municipalities to design sustainable mitigation and recovery strategies by incorporating dimensions such as social vulnerability, probability of failure of road links and their impacts on road accessibility and mobility, using an example of volcanic hazards in Colombia. Doll et al. (2013) discuss the costs of adapting railroads and roads to weather extremes, using examples from Germany and Austria. Mejia-Navarro and Garcia (1996) made a decision support system for the road

sector, which took local geology and precipitation patterns, as well as vulnerability aspects into account to predict hazards in an area in the US. Such elements are partly embedded in national Norwegian susceptibility and hazard maps, which form a base for the assessment methods presented in this paper. Several studies also focus on recovery after natural hazards events. Zamanifar and Seyedhoseyni (2017) propose a model for prioritizing the recovery operations after an event, whereas others, such as Muriel-Villegas et al. (2016), focus on the reliability and vulnerability of transportation systems, within and between urban areas.

Few studies seem to assess how existing inventories, data and maps can be combined in a smart way and used in the planning of new roads, thereby planning the necessary mitigation measures at an early stage, as well as avoiding the most hazardous locations through alternative routing.

The study presented in this paper is carried out for a state-owned Norwegian road company, Nye Veier AS, to assess potential natural hazards and their consequences along ca. 720 km of planned roads (Figure 1) at the early planning stage, before the final route is fixed. In addition to an analysis of the hazard and risk along the planned routes, the project also developed a new hazard assessment tool, which is based on publicly available data, is easy to update as new data become available and runs on a GIS platform. The output from the tool forms the base for planning field inspections of identified 'hotspot' locations and further assessment of the consequences and costs in case of unwanted events.

Hazards analysed and discussed here comprise snow avalanches, rockfall and rockslides, debris slides and debris flows, landslides in sensitive clay (quick clay), flooding and strong winds with snow drift. These are the most common natural hazards in Norway, threatening both buildings and infrastructure in large parts of the country. Hence, the methods developed in this project are highly relevant as first assessment tools for any natural hazard assessment project in Norway, not only along linear infrastructures, such as roads, but also for municipal land use planning, etc.

This paper focuses on the GIS based tool for early assessment of hazards, and the methods of optimizing the available data, as well as how the results were communicated to the client, an important part of the project. Field validations and the analyses of consequences, for a full risk assessment, are briefly described, but not discussed in detail.

Methods

Data sources and optimization of data

Susceptibility maps covering the entire country for snow avalanches, rockfall, debris slides- and flows, and flooding, are publicly available from the Norwegian Water Resources and Energy Directorate (NVE), on <https://kartkatalog.nve.no/#kart>. These maps constitute the main background for the initial GIS-based assessment of these hazards. The susceptibility maps are based on a relatively coarse DTM and numerical run-out analyses, without field inspection, and are conservative in nature (Figure 2). They are commonly used by Norwegian municipalities to identify areas where more detailed investigations of

hazard and risk are required, and they do not include probability in the form of return periods. To produce more realistic hazard assessments along the planned road routes, various steps were taken to optimize the outputs from the GIS tool. Details on the optimization are described below for each of the hazard types.

During the GIS analysis performed in the present project, three hazard levels were classified, 1 (low), 2 (medium) and 3 (high). This is to be considered a rough semi-quantitative assessment of the hazard, without embedded return periods for the hazard levels. The output of the analyses provides the necessary background for further assessments and analyses, including field inspections of the identified hazardous sections of the routes and assessment of return periods.

Table 1

Indicators to define the hazard classes (3: high, 2: medium, 1: low) in the GIS tool. Explanations of the indicators are given in the text sections for each of the hazard types (below)

Indicators						
Hazard class	Snow avalanches	Rockfall	Debris flows	Quick clay	Flooding	Wind w/ snow drift (days above critical limit)
3	Model threshold return period 10 years	Reach probability (RP) > 70% or RP 40-70 % and mapped landslide deposit or Slope angle > 44°	Susceptibility zone and relevant recorded event in or within 50m from zone	Quick clay hazard zone with hazard class 2 or 3	Within hazard zone or susceptibility zone and max. water level rise 5-8 m	> 10 per year
2	Model threshold return period 30 years	RP 40-70% or RP 20-40% and mapped landslide deposit	Susceptibility zone and susc. 3 or 4*	Quick clay hazard zone with hazard class 1 or Marine / fluvial deposits and slope > 1:5	Susceptibility zone and max. water level rise 2.5 - 5m	6 – 10 per year
1	Model threshold return period 100 years	RP 20-40%	Susceptibility zone and susc. 1 or 2*	Below marine limit and marine/fluvial deposits and slope < 1:5	Susceptibility zone and max. water level rise 2 – 2.5m	2 – 6 per year
* Susceptibility zones are based on Devoli et al. (2019) and briefly explained in the section on debris slides and debris flows, below.						

Snow avalanches

The publicly available national snow avalanche susceptibility maps (www.skrednett.no) are based on a DEM covering the entire country with a resolution of 25 m x 25 m. Release areas are defined from the terrain slope angle. Run-out is estimated using the empirical alpha-beta model (Lied and Bakkehøi 1980; Bakkehøi et al. 1983), which estimates the run-out from each cell in the release area (Derron and Sletten 2016). The maps, showing potential release- and runout areas are adapted for use in a map scale of 1:50.000, and the level of detail is limited by the grid cell size of 25 m. Climatic differences and vegetation are not considered, and small-scale topographic features are not detected because of the relatively coarse resolution.

NGI has developed an improved model, NAKSIN, which runs on a DEM with 10 m resolution, takes local climate and vegetation conditions into account and simulates run-out using the program MoT-Voellmy to estimate avalanche probabilities above a set threshold probability (Issler et al. 2020). To speed up the processing time in this project, NAKSIN was run with fewer simulations (up to 100.000) than would have been the case for more detailed investigations tuned to the regulations set by the Norwegian Planning and Building Act, and a return period of 1/1000 (1.000.000 simulations). The three semi-quantitative hazard classes for the present study are defined as described in Table 1 and shown in Figure 2.

Rockfall

The publicly available susceptibility maps show both release and run-out areas for rockfall. These maps are also based on a DEM with 25x25m spatial resolution and made for a map scale of 1:50.000. This means that small scale topography with rock ledges of up to 50m height may not be detected as potential release areas.

To optimize the rockfall assessment, the modelling tool RockyFor3D (RF3D) (<https://www.ecorisq.org/ecorisq-tools>) was run along the given corridors. This is a deterministic, stochastic model, which estimates the run-out of individual blocks (Dorren 2015). To simplify and speed up the process the model was run with 'Rapid Automatic Simulation (RAS)', on a DEM with 10 m resolution. The user must set certain values, and for the present study these were rock density set to 2700 kg/m³, block dimension 1x1x1m, and a rectangular block shape. Then RF3D estimates a set of other parameters, such as release areas, type of ground and rugosity, automatically from the DEM. One of the outputs from RF3D is Reach Probability (RP, in %), and the three hazard levels were determined according to Table 1. After field validation a cut-off RP was set to 20%, as the longest runouts from the program were considered unrealistic.

Debris slides and debris flows.

The national susceptibility maps for debris slides and debris flows show affected areas for all types of soil landslides in steep terrain, except quick clay slides and minor detachments. The maps are based on a digital elevation model with 10 m resolution, and the level of detail is for a map scale of 1:50 000. The maps are constructed by the Geological Survey of Norway, NGU (2014), and are based on slope angle, planar curvature, size of water supplying catchment, the shallow geological conditions (taken from the maps of Quaternary deposits), and identifiable historic landslide activity. The landslide runout is

estimated using a 'multiple flow direction' model in the 'FlowR' tool, which uses a probabilistic method to estimate the direction of flow (<https://www.terrannum.ch/en/products/flow-r/>). The model does not account for vegetation, buildings or other human interventions or other features with a relief lower than that of the DEM resolution. Further details on the construction of the susceptibility maps are reported by NGU (2014).

In the assessment performed in the present GIS analysis (Table 1), we used a combination of the susceptibility zones for debris flows, classified susceptibility zones per catchment, from 1 (low) to 4 (high), and data on historic, relevant events (Figure 3). The historic events are taken from the national landslide inventory (<https://temakart.nve.no/tema/SkredHendelser>). The landslide susceptibility zones (1-4) are based on work done by Bell et al. (2014) and Devoli et al. (2019), in which they used the national landslide inventory in combination with the map of Quaternary deposits, land cover, average yearly rainfall, various water runoff variables, and derivatives such as slope and aspect from the 15x15m DEM, modelled at catchment level (Devoli et al. 2019).

Quick clay slides

Very sensitive clay, or quick clay, with a brittle behaviour, is a common problem in many low-lying parts of Norway. Quick clay is developed in marine clays, deposited shortly after the retreat of the last glaciation in Norway, when the relative sea level was higher than at present. Hence, the quick clay can only be developed in areas below the Holocene marine limit, which in Norway varies from zero in the southwest, to about 220 m elevation north of Oslo. Large areas in the central southeast and in the middle parts of Norway have stability problems related to occurrence of quick clay, and the problems are also widespread along the coasts of Norway. Many parts of the country have been mapped, based on topographic criteria (<https://temakart.nve.no/tema/kvikkleire>) and the presence of quick clay has been confirmed by geotechnical borings, but the maps do not cover the entire country. Where they exist, the defined hazard zones are classified as low, intermediate or high hazard, 1, 2 or 3, respectively.

The present assessment (Table 1) is based on the existing hazard maps (<https://temakart.nve.no/tema/kvikkleire>), Quaternary maps issued by the Geological Survey of Norway (<http://geo.ngu.no/kart/losmasse/>), DEM with 10m resolution, and the elevation of the marine limit, also published in NGU's maps of Quaternary deposits. The main areas of quick clay hazard are mapped as marine deposits in the Quaternary geological maps. Fluvial deposits are included in the assessment because these are often shown to stratigraphically overlay marine clays near river mouths.

Flooding

The available data which have been used comprise:

- Susceptibility maps for flooding (Map scale 1:50.000, without estimated return period)
- Hazard zones (return period 200 years, mainly produced for large rivers)
- Maximum flood water elevation (estimated using 25m raster)

The susceptibility maps, produced by NVE, are based on DEMs, the areal extent of the catchments, and information from >300 hydrological stations spread over Norway. No detailed hydraulic calculations are performed, and the areal extent of the catchment is the only variable used for producing the susceptibility maps. For practical reasons, the maximum water elevation is set to 2 m and 8 m for catchments smaller than 1 km² and larger than 500 km², respectively. For catchments in the range 1-500 km², the following empirical equation is used:

$$dH(m) = 0,965 \cdot \ln(\text{Area}) + 2$$

For more detailed assessments of water level elevation, the lake percentage and the runoff are also used (NVE 2011).

As for the other hazards assessed in the GIS tool, the flood hazard is divided in three classes (Table 1), based on maximum flood water rise, but again without introducing probability (return period) at this level in the study.

Wind and snow drift

Strong wind combined with snow drift is a challenge particularly at some high mountain roads, but strong side-wind can also cause problems at exposed locations, such as bridges and tunnel entrances. The analysis of wind used in the present study is based on simulations using the numeric Weather Research and Forecasting model (WRF) (Michalakes et al. 2001). One year of simulations for Norway in 1x1 km grid is combined with a 40-year (1979-2018) model simulation in a 4x4 km grid, using the long-term correction method 'quantile-regression' of Liléo et al. (2013). This gives hourly values in a 1x1 km grid. Based on model outputs and wind profiles at different times, the wind speed at 10 m height is estimated, and this is interpolated to a 500x500 m grid.

We have used the following data to estimate hazard classes (Table 1):

- Snow drift: Number of days with wind > 12 m/s, and snow fall during the last 3 days
- Wind: Number of days with wind > 15 m/s, and no snow fall during the last 3 days

The 12 m/s limit for snow drift is set relatively high, as the method does not differentiate between dry and wet snow, nor does it take rain following snow into account. The two values are then summed for each 500x500 pixel to set the hazard classes according to Table 1.

The GIS analysis

The analysis is performed within a given polygon, defined by the user. In the present project the polygon is a corridor following the planned roads (Figure 4). In addition, one may define a buffer zone to catch potential release areas located outside of the defined road polygon, but which still may threaten the road. The size and shape of the polygon, as well as the need for a buffer zone, are defined by the user, based on the topography and the expected hazards in the area.

The tool has a simple interface, where the user selects the analysis polygon, indicates the buffer distance, and chooses one or more hazards to be included. The analysis is primarily executed in Python, but for snow avalanche and rockfall external programs are started automatically from within Python. Processing time depends on several factors: the size of the given polygon, the number of hazard types chosen, and the number of release areas found for snow avalanche and rockfall. The tool is implemented as a script tool in ArcGIS Pro 2.4.

Validation of the GIS tool output

The validation of the results from the GIS analyses was done by a) comparing with areas where hazard maps had been made previously, and b) field inspection of areas identified as hazardous in the GIS analyses.

Comparison with hazard maps for landslides and avalanches.

Norway is fully covered by susceptibility maps for snow avalanches, rock fall, debris flows and flooding. The maps form the background for identifying areas where more detailed hazard maps are needed if the area is to be developed or to assess potential mitigation needs for existing buildings or infrastructure. Landslide hazard mapping has been done at a scale of 1:10.000 for a number of municipalities in Norway, where the prioritizing of individual areas to map is based on risk estimates. These mapping projects involve extensive field work, in addition to analyses of terrain, vegetation, local geology and historical events. Generally, the hazard maps come out less conservative (more restricted 'red zones') than the susceptibility maps. The hazard maps for landslides and avalanches define the boundaries for events with return periods of 100, 1000, and 5000 years, affecting a 'normal' property width of 30m, reflecting the acceptance criteria set by the Norwegian Planning and Building Act (Direktoratet for Byggkvalitet 2017). For flooding, the equivalent return periods are 20, 200 and 1000 years.

The results of the GIS analyses in the present project should be considered as a significantly improved version of the susceptibility maps and should resemble the hazard maps with a return period of 1000 years. The difference being that the return period is estimated per 1km of road rather than 30m.. To test the results of the GIS analysis against hazard maps, two areas where hazard mapping had been performed were selected, in different parts of the country, with different types of hazards, and in different climate zones. The outcome of this gave acceptable, although still somewhat conservative results compared to the hazard maps. The comparison resulted in the RP cut-off for rock fall at 20%, described above. Other than that, the hazard maps resulting from the GIS analyses were considered fully adequate as a base for field inspections of selected locations.

Field work

Field inspections were carried out for all the roads in the project. The hazards identified in the GIS analysis were assessed and plotted individually. Hence a hazardous section could be exposed to more than one hazard. Focus was placed on identified locations with hazard level 2 and 3 (Table 1), but also several locations with hazard level 1 were inspected. In addition, some locations where no hazards were

identified by the GIS analysis were visited, to verify the negative result. The field inspections verified that the output from the GIS analysis was realistic, although often a bit on the conservative side. Hence, the field inspections served to reduce the extent of the hazardous areas further. Some identified hazard locations were classified as zero-hazard after field inspections. This had various reasons, such as inaccuracies in the Quaternary geology maps. Another effect was that close inspection of the local topography revealed natural barriers for debris flows, with the result that the problematic area could be greatly limited or eliminated.

The field work comprised assessment of the likely probability for the events identified from the GIS analyses, following a pre-defined set of return periods. In addition, the potential closure time in the case of an event, the most relevant mitigation measures, and a rough estimate of the mitigation costs were all parts of the field assessments. All these parameters were assessed using standardized forms with pre-set value classes. This made the assessment quick and effective, but also relatively coarse. However, these assessments were only meant to be first indications to serve in a first cost-benefit analysis of the road project. The analyses carried out in this early planning stage are meant to point out where mitigation measures or re-routing should be considered, and where more detailed investigations are necessary to provide base data for detailed design of the road and the mitigation measures. The field inspections in some cases also resulted in re-routing of the planned road, with large potential economic savings as a result.

Consequence assessment

As for the hazard analysis, the consequence analysis also had to be simplified and efficient. It was therefore concentrated to two measures, a) the quantified indirect economic consequence (IEC) of a closed road, and b) the qualitatively assessed consequence for emergency preparedness. Both were based on the estimated closure time in case of an event. In locations susceptible to more than one hazard, which is often the case, the hazard resulting in the longest closure time was considered, as this meant the most severe consequence. IEC was analysed for each of the hazard points and based on the estimated closure time. The analyses included a map analysis of detour possibilities, the type, length, capacity and quality of the alternative road, and the traffic density of the road, estimated as average daily traffic through one year. Any effect of queues along the alternative road was also included, and the distribution between goods transport and private cars was included where information existed.

Direct economic consequences, such as costs for repair or rebuilding of the road after an event, has not been included, as these costs will need to be assessed for each individual event and would introduce more uncertainty to the estimates. Similarly, consequences for human life and health are not assessed. The probability for direct hits on vehicles, leading to injuries or loss of life is low. Estimating probability for loss of lives is complicated and would need detailed information, which this early planning phase estimates do not comprise (driving speed, width of landslide, intensity of event, type of vehicle, etc.).

The assessment of consequence for emergency preparedness includes the location of critical infrastructure like hospitals, fire stations, airports, military facilities, etc, and the estimated closure time

and detour possibilities. Whether the road is of local, regional, or national importance, as well as the population density in the region, are also considered.

Climate change effects.

As the expected service time for roads in Norway is between 40 and 60 years (Simonsen 2010), climate change throughout this century have been assessed with regards to potential change in risk. The analysed road sections are located in different parts of the country. Norway has large regional variations in climate, and we have therefore based the climate assessments on data from selected weather stations, relevant for the location and the climatic conditions of the specific road.

Precipitation and wind have been considered the most important weather elements for the analysed hazards. The Norwegian Meteorological Institute has calculated Intensity-Duration-Frequency (IDF) curves for precipitation based on data from the weather stations, both for the present and for projections until year 2100. We have used data from the IDF curves to estimate the effects of climate change on debris slides- and flows and flooding. For debris slides and debris flows, most often along small streams or ravines with small catchments and short response time, we have used the prognosis for 90 minutes precipitation. For flooding in rivers in small to moderate catchments with longer response time, we have used 24 hours precipitation, whereas for the main, large rivers in Norway, we have added a climate factor of 20% in accordance with recommendations from the Norwegian Water and Energy Resources Directorate (NVE 2016).

Using Figure 6, the return period in year 2100 for a given precipitation event is given where the 'future' stippled curve crosses the same precipitation level (Figure 6). This approach is simplified and will contain several uncertainties. Despite this, it provides an indication of the expected development.

Only climate related effects on the probability, i.e., the return period of the potential hazards, have been assessed. The reasoning behind this simplification is that there are numerous factors in addition to climate, such as demographic development, type of vehicles, traffic density, etc., which will affect the consequences and lead to unacceptable uncertainty.

River floods and debris flows have the most direct link to precipitation, and the prognosed increase in precipitation towards year 2100 (Hanssen-Bauer et al. 2015) affects the estimated probability for these events at several locations. Other hazards, however, may also be affected by precipitation, but with a more uncertain link. Rockfall can be triggered by intense precipitation, but other causes, including freeze-thaw cycles, wind, roots, etc. are also important. Flooding with increased erosion in riverbanks is the most common natural trigger of quick clay slides, and hence increased precipitation may have an effect. However, there are several other factors, such as local ground conditions, depth to the sensitive clay, etc., which affects the hazard and hence make a climate related assessment uncertain for quick clay hazard.

Although the records of historic wind data are fewer and shorter than for precipitation, there are tendencies towards increased wind fields over Norway. Stronger winds may lead to increased wind

related problems at locations such as bridges and tunnel portals. Increased wind may also lead to an increase in problems related to snow drift. On the other hand, the warming trend will lead to decreased areas with snow on the ground in winters, and to shorter periods with snow accessible for snow drift. Higher elevation of the treeline also adds to this development. This all leads to large uncertainty regarding wind related problems, and we have therefore kept the return period at the present level for wind related events through this century.

For snow avalanches, the increased precipitation may lead to larger avalanche hazard on the short term. However, seen through to the end of this century, the development in snow cover, combined with a climbing treeline, will, eventually lead to a reduction in the frequency of snow avalanches. Snow avalanches form a problem only at very few locations in the present study.

Results

The combination of the initial assessment using the GIS tool, and field work in the identified 'hot spots' resulted in well documented hazard sections along the planned roads. These were coded according to return period (Figure 7). The results were presented in an interactive map solution, again using ArcGIS Pro. Upon zooming up the map, one gets more information (Figure 7), and by clicking one of the hazard lines, a fact sheet with more information appears (Figure 8).

The GIS analyses identified a total of 795 segments with potential risk. However, these represent individual types of hazards, and several of the segments are overlapping, as more than one hazard may be present many places, causing different levels of risk. All hazards described in this paper constitute challenges for the planning and construction of the roads. We have indicated relevant mitigation measures, but many of the sites will have to be revisited and re-evaluated in higher degree of detail for the detailed design phase.

The combination of probability, in this case represented by one of five pre-set return periods, and consequence defines the risk, which is split in low, medium, and high, as shown in the lower right of Figure 8. In the same diagram (Figure 8) we have also indicated the potential shift in risk towards the end of this century (red dot). This shift will only be along the probability (return period) axis, as we consider the uncertainties in the consequence assessment for year 2100 too large to include them at this early planning stage. The shift in probability towards the end of the century in some cases bring the risk up one class, mostly from low to medium, but also in some cases from medium to high.

The identified hazards reflect to a large degree the terrain and the geological conditions they are located in. Snow avalanche hazard is mainly restricted to roads at high altitudes and / or high latitudes. In the national susceptibility maps, snow avalanche hazard was widespread along the investigated routes. The optimization performed with the GIS tool limited this hazard to only very few locations in the lowlands, and after field inspection of these, the hazard at most of them was eliminated, with no need for further consideration in the final road design. River floods constitute a challenge all over the country, whereas quick clay slides cause the largest risk in areas below the marine limit in SE Norway and in low-lying

regions of mid Norway. The probability of naturally triggered quick clay slides is low and primarily connected to erosion in streams and rivers. The consequences can, however, be large and lead to closures lasting for months because of complete destruction of the road in case of an event, and the need for extensive mitigation in a large area around the road. In areas of steep terrain, rock fall and debris flows and slides can be frequent, but usually cause relatively little damage and short downtime for the road, and consequently mostly low to medium risk.

Discussion

The present study represents the first project in Norway to streamline early phase natural hazard assessment for road planning by combining available public data with run-out modelling on a GIS platform. Whereas there are many studies assessing the socioeconomic consequences of road closures, in Norway and internationally (e.g., Dalziell and Nicholson 2001; Tacnet et al. 2012; Toma-Danila et al. 2020; Norwegian Public Roads Administration - NPRA 2020) similar studies focusing on the hazard assessment are few, and lacking in Norway. As economic consequences of natural hazard events on roads can be huge, investing in proper planning at an early stage can be profitable.

The method presented in this article is relatively coarse and certainly has a potential for further development. Combining the analyses with a more automated analysis of the consequences of road closures, such as that developed by Toma-Danila et al. (2020) and tested for seismic hazard in Bucharest, would be of interest. However, in the present work we had to balance the need for detail with processing speed and user friendliness, as the tool was to be installed at the client's systems for their own use in later road planning projects.

The main uncertainties and limitations in the method result from inaccurate input data and limitations in the methods used, such as RF3D and NAKSIN for optimization of the hazard assessments. Furthermore, the choice of criteria for classifying the hazards is based on experience, which also introduces uncertainties. However, for the purpose of this study, early-phase planning of road construction, the assessments are considered to be of sufficient quality and accuracy.

The assessment of effects of climate change towards the end of this century has been a challenge and poses another uncertainty in both the method and the results. There is of course an uncertainty embedded in the emission scenarios and the climate models themselves. In Norway the emission scenario recommended by the Norwegian Climate Service Centre, and adopted in most climate adaptation work, is RCP 8.5, the 'business as usual' scenario (IPCC 2014; Hanssen-Bauer et al. 2015). Following this, adding a 'climate factor' of 20-50% has been recommended to estimate possible future events caused by precipitation (<https://klimaservicesenter.no/kss/laer-mer/klimapaslag>). For precipitation events with short duration, up to 3 hours, Førland et al. (2015) recommended a factor of 40%, whereas Dyrørdal and Førland (2019) suggest more differentiated estimates, in which more precise estimates of expected design precipitation are included.

As there is a direct link between precipitation and floods as well as between precipitation and debris flows, these were the two hazard types for which we introduced a change in probability from now and until 2100. For the other hazards included in the study, the triggering factors are more diverse, and the probability was therefore kept as of today throughout this century, which certainly introduces additional uncertainties.

Concluding Remarks

The present study is on the development of a quick and easy-to-use tool for an early assessment of potential natural hazards along at an early planning stage for new roads in Norway. The goal was the ability to select the optimal routing and minimize the need for costly mitigation measures. Norway has background data for all relevant hazards, from susceptibility maps covering the entire country and hazard maps in key areas. These data are utilized and optimized in a GIS- based assessment tool developed for the project and utilized on ca. 720 km of planned new highway routes. Main outcomes of the work include:

- Various optimizing techniques, combining data from various sources, were used to produce more realistic hazard zones than those that could be extracted from national susceptibility maps, which are conservative in nature. For snow avalanches and rockfall, this also included in-house developed and commercially available dynamic modelling tools.
- Validation through field inspections of 'hotspots' mostly verified the hazard areas identified from the GIS analyses. Where modified, the hazard zones were usually more restricted after the field work.
- A rough climate analyses for the time until 2100, based on data from stations near the planned roads, resulted in increased probability for some hazards. Uncertainty was considered too high to change the consequences in 2100 compared to the present situation.
- A simplified consequence analysis, only comprising quantified indirect economic consequences and a qualitative assessment of consequence for emergency preparedness of a closed road, was combined with the hazards to constitute the risk analyses for each identified hazard segment.
- The deliverable was designed in close dialogue with the client and comprised a GIS tool with mapped elements and detailed interactive information as 'fact sheets' for each hazard segment.
- The GIS tool is relevant for all infrastructure, which can be delimited by a polygon, but is particularly well designed for linear infrastructure.

Declarations

ACKNOWLEDGEMENTS

This work is based on a study carried out for the company 'Nye Veier AS', and their support and funding are greatly appreciated. Part funding for the final preparation of the manuscript came from two projects funded by NordForsk and the Research Council of Norway, Respectively; 'NordicLink' (NordForsk project

no. 98335) and 'KlimaVei', (RCN project no. 321042). Dr. Dieter Issler provided valuable input on the NAKSIN model for snow avalanches.

Funding

This work was supported by Nye Veier AS, NordForsk (project no. 98335) and the Research Council of Norway (RCN project 321042)

Competing interests

The authors have no relevant financial or non-financial interests to disclose.

Author contributions

All authors contributed equally to the final manuscript. The GIS tool was developed by Kjetil Sverdrup-Thygeson, in collaboration with Anders Solheim and Bjørn Kalsnes. Anders Solheim wrote the first draft of the manuscript and both co-authors commented on previous versions of the manuscript. All authors read and approved the final version.

References

1. Bell R, Cepeda J, Devoli G (2014) Landslide susceptibility modeling at catchment level for improving of the landslide early warning system in Norway. Proceedings of the Third World Landslide Forum, Beijing, China, 3-6 June, 2014
2. Bakkehøi S, Domaas U, Lied K (1983) Calculation of snow avalanche runout distance. *Ann Glaciol* 4:24–29. DOI 10.3189/S0260305500005188
3. Chamorro A, Echaveguren T, Allen E, Contreras M, Dagá J, de Solminihac H, Lara LE (2020) Sustainable risk management of rural road networks exposed to natural hazards: Application to volcanic lahars in Chile. *Sustainability* 12(17):6774. <https://doi.org/10.3390/su12176774>
4. Dalziell E, Nicholson A (2001) Risk and impact of natural hazards on a road network. *J Transp Eng* 127(2):159–166
5. Derron M-H, Sletten K (2016) Method for the susceptibility mapping of snow avalanches in Norway, Technical report. Geological Survey of Norway, NGU, Report no. 2016.032. ISSN: 2387-3515 (online)
6. Devoli G, Bell R, Cepeda J (2019) Susceptibility map at catchment level, to be used in landslide forecasting, Norway. NVE Report no. 1/2019, 209pp
7. Direktoratet for Byggkvalitet (2017) *TEK17*. <https://dibk.no/byggereglene/byggteknisk-forskrift-tek17/10/10-2/> (in Norwegian)
8. Doll C, Trinks C, Sedlacek N, Pelikan V, Comes T, Schultmann F (2013) Adapting rail and road networks to weather extremes: case studies for southern Germany and Austria. *Nat Hazards* 72:63–85. DOI 10.1007/s11069-013-0969-3

9. Dorren LKA (2015) Rockyfor3D (v5.2) revealed – Transparent description of the complete 3D rockfall model. EcorisQ paper (www.ecorisq.org): 32 p
10. Dyrødal AV, Førland EJ (2019) Klimapåslag for korttidsnedbør. Anbefalte verdier for Norge. NCCS rapport 5/2019. (in Norwegian)
11. Førland E, Mamen J, Dyrødal AV, Grinde L, Myrabbø S (2015) Dimensjonerende korttidsnedbør. Naturfareprosjektet Dp 5 Flom og vann på avveie. NVE rapport 134/2015 (in Norwegian)
12. Hanssen-Bauer I, Førland EJ, Haddeland I, Hisdal H, Mayer S, Nesje A, Nilsen JEØ, Sandven S, Sandø AB, Sorteberg, Ådlandsvik A (2015) B. Klima i Norge 2100 Kunnskapsgrunnlag for klimatilpasning oppdatert i 2015. Oslo: Hentet fra: https://cms.met.no/site/2/klimaservicesenteret/rapporter-og-publikasjoner/_attachment/6616?_ts=14ff3d4eeb8
13. IPCC (2014) AR5 Synthesis Report: Climate Change 2014. Intergovernmental Panel on Climate Change
14. Issler D, Gleditsch Gisnås K, Domaas U (2020) Approaches to including climate and forest effects in avalanche hazard indication maps in Norway. NGI Technical Note 20150457-10-TN, Norwegian Geotechnical Institute, Oslo, Norway. <https://www.nve.no/media/10589/20150457-10-tn.pdf>
15. Lied K, Bakkehøi K (1980) Empirical calculations of snow-avalanche run-out distance based on topographic parameters. J Glaciol 26(94):165–177
16. Lileo S, Berge E, Undheim O, Klinkert R, Bredesen RE (2013) Long-term correction of wind measurements - State-of-the-art, guidelines and future work. Elforsk AB, Sweden, Technical Report, ELFORSK-13-18
17. Michalakes J, Chen S, Dudhia J, Hart L, Klemp J, Middlecoff J, Skamarock W (2001) Development of a next generation regional weather research and forecast model. In Developments in Teracomputing: Proceedings of the Ninth ECMWF Workshop on the Use of High Performance Computing in Meteorology, Zwiefelhofer W, Kreitz N(eds). World Scientific: Singapore; 269–276
18. Mejia-Navarro M, Garcia LA (1996) Natural hazard and risk assessment using decision support systems, Application: Glenwood Springs, Colorado. Environmental and Engineering Geoscience, II(3), 299-324. <https://doi.org/10.2113/gsegeosci.ii.3.299>
19. NGU (2014) Aktsomhetskart jord- og flomskred: metodeutvikling og landsdekkende modellering. Rapport nummer 2014.019. (in Norwegian)
20. Norwegian Public Roads Administration (2020) Economic benefits of climate change adaptation measures; Assessing the knowledge base. NPRA Report No 659:47
21. NVE (2011) Preliminary Flood Risk Assessment in Norway. Report no. 7-2011
22. NVE (2016) *Klimaendring og framtidige flommer i Norge*. https://publikasjoner.nve.no/rapport/2016/rapport2016_81.pdf, (in Norwegian)
23. Simonsen M (2010) Levetid og lengde for vei og jernbane. Vestlandsforskning Notat 10:24 (in Norwegian)

24. Tacnet J-M, Mermet E, Maneerat S (2012) Analysis of importance of road networks exposed to natural hazards. Proceedings of the AGILE'2012 International Conference on Geographic Information Science, Avignon, April, 24-27, 2012. ISBN: 978-90-816960-0-5, Editors: J. Gensel, D. Josselin and D. Vandenbroucke
25. Toma-Danila D, Armas I, Tiganeşcu A (2020) Network-risk: an open GIS toolbox for estimating the implications of transportation network damage due to natural hazards, tested for Bucharest, Romania. Nat Hazards Earth Syst Sci 20:1421–1439. <https://doi.org/10.5194/nhess-20-1421-2020>
26. Voumard J, Caspar O, Derron MH, Jaboyedoff M (2013) Dynamic risk simulation to assess natural hazards risk along roads. Nat Hazards Earth Syst Sci 13:2763–2777.
27. Zamanifar M, Seyedhoseyni S (2017) Recovery planning model for roadways after natural hazards. Nat Hazards 87(2):699–716
28. Zumbunnen T, Thuro K, König S (2017) Dealing with natural hazards along federal and state roads in Bavaria. Geomechanics and Tunneling 10:1. DOI: 10.1002/geot.201600072

Figures

Figure 1

Map of Norway showing the roads (red lines) assessed in the present study. Numbers on the map and the table show weather stations used in the analyses of climate and prognosed climate change

Figure 2

Example of a GIS analysis for snow avalanches along a part of planned road E39 (black line) in SW Norway. The red pattern is snow avalanche hazard from the national susceptibility maps (www.skrednett.no). The yellow and orange fields show the avalanche hazard classes after analysing with the new GIS tool

Figure 3

Example of hazard classification of debris flows, in hazard class high: 3 (red) and medium: 2 (orange). The classification of the middle area sa 3, high, is due to the recorded historic event (triangle).

Figure 4

Example of polygon around one of the planned routes, with a 1km wide buffer zone (red line) around it

Figure 5

Assessment of snow avalanche hazard along a planned route along road E6 in northern Norway. This is the same section as figure 4

Figure 6

Return periods for 90 minutes precipitation for the present situation (unbroken line) and for year 2100 (stippled line), based on IDF curves from selected stations used for assessment of debris flows. The curves for 'present' are taken from the IFD curved from the stations listed in the upper left corner, whereas the stippled 'future' curves represent the projected 90 min return period in year 2100. As an example of use, the 90-min rain at station Hamar corresponding to a 100-year event today is 25mm. This is estimated to represent 1 10-year event in 2100

Figure 7

Example showing the crossing of a large river, with potential hazards from flooding (green line) and wind w/ snowdrift (orange line). Since the wind hazard has the highest probability, it leads to the highest risk (medium) in this example (brown line). The blown-up figure to the right also exemplifies how explanatory text appears when the map is zoomed

Figure 8

Example of a 'fact sheet'. The text is in Norwegian, but the content is explained to the right