

Correlations between Cortical and Locomotor Muscle in the Seven Gait Phases during Treadmill Walking

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Research

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1 **Correlations between Cortical and**
2 **Locomotor Muscle in the Seven Gait Phases**
3 **during Treadmill Walking**

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23

24 **Abstract**

25 Background: Previous researchers have found that cortex is involved in the
26 regulation of treadmill walking. However, cortico-muscular interaction
27 analysis in a ‘fine’ gait phase (such as seven phases of the gait cycle)
28 remains lacking in the time-frequency domain.

29 Methods: In this investigation, we used beta band electroencephalogram
30 (EEG) data to find that eight muscle-related cortices are inconsistent at the
31 end of the swing and stance phases. The eight muscle-related cortices differ
32 at each phase according to gamma band EEG data. Firstly, slope sign
33 change (SSC) and mean power frequency (MPF) features of EEG and
34 surface electromyography (sEMG) were used to recognize the seven gait
35 phases, which are loading response (LR), mid-stance (MST), terminal
36 stance (TST), pre-swing (PSW), initial swing (ISW), mid-swing (MSW)
37 and terminal swing (TSW). Following this, the time-frequency cross
38 mutual information (TFCMI) method, a novel time-frequency analysis
39 method, was applied to examine the eight muscle-related cortices in seven
40 gait phases using beta and gamma band EEG data.

41 Results: We firstly found that the feature set comprising SSC of EEG as
42 well as SSC and MPF of sEMG was available for seven gait phases
43 recognition, and secondly that TFCMI values between each sEMG channel
44 and EEG differed significantly in the seven gait phases.

45 Conclusions: This suggests that analysis of the seven gait phases is

46 beneficial. These insights enrich previous findings from authors carrying
47 out cortico-muscular interaction analysis as well as providing critical
48 information for rehabilitation physicians.

49 **Keywords**

50 Electroencephalogram (EEG); Surface electromyography (sEMG); Gait
51 phases; Time-frequency cross mutual information (TFCMI)

52 **Introduction**

53 Human locomotor disorder seriously affects quality of life. Nontraumatic
54 gait disorder is caused by brain damage and occurs in many neurological
55 disorders such as stroke, cerebral palsy and Parkinson's disease[1][2][3].

56 Human bipedal walking is a complex activity which requires collaboration
57 between the cerebral cortex, spinal cord and locomotor muscles. Several
58 research groups have observed significant cortical activation (for example,
59 in the premotor, supplementary motor and primary sensorimotor regions)
60 during walking[4][5][6][7][8]. Additionally, the lower limb related cortical
61 area precisely controls lower limb muscles during walking[9][4][10].

62 In 2018, Peter and colleagues found a significant increase in the central
63 common drive through tibialis anterior - tibialis anterior and soleus -
64 medial gastrocnemius EMG-EMG (electromyography) coherence analysis
65 in beta and gamma frequencies during visually guided walking compared

66 with typical walking[11]. The next year, the same group found that the
67 motor cortex contributes to both ankle plantar flexor muscle activity and
68 forward propulsion during gait[12]. However, cortico-muscular
69 connectivity remains unclear.

70 It is therefore crucial that the mechanisms of gait control in the cerebral
71 cortex are understood. Authors of recent studies have found that gait phase
72 is associated with cortical activity modulations[13][14][5][15]. Various
73 researchers have used either coherence or correlation analysis methods to
74 measure cortico-muscular coherence[12][4]. However, coherence and
75 correlation analysis are suitable for linear signals, while both
76 electroencephalogram (EEG) and surface electromyography (sEMG)
77 signals are non-linear[16]. The time-frequency cross mutual information
78 (TFCMI) method can be utilized to calculate mutual information between
79 two time-frequency domain signals[17][18][19]. We used TFCMI to
80 estimate the interaction between EEG and sEMG channels.

81 Every moment of the gait cycle activates the flexibility of several muscles
82 to meet the needs of the environment. It is, therefore, necessary to explore
83 the cortico-muscular connection of each gait phase. Despite this, most
84 research groups have focused on the cortical activation of the two or four
85 gait phases which can be detected by four footswitches attached to shoe
86 soles[4]. Additionally, cortical activation in the fine gait phase has received
87 little research attention. Therefore, we set out to investigate gait phase

88 recognition methods.

89 The human gait cycle is divided into stance and swing
90 phases[20][21][22][23]. The stance phase is then sub-divided into loading
91 response (LR), mid-stance (MST), terminal stance (TST) and pre-swing
92 (PSW). Similarly, the swing phase is divided into initial swing (ISW), mid-
93 swing (MSW) and terminal swing (TSW). More information about gait
94 partitioning methods is available elsewhere in the literature[20]. However,
95 recognition methods for the seven gait phases have received little research
96 information due to the uniqueness of each individual's gait[24].
97 Additionally, gait phase recognition methods are incomplete, meaning they
98 cannot identify all seven gait phases. For instance, Li and colleagues
99 utilized EMG signals to recognize five gait phases[24], while Wei et al.
100 applied sEMG and kinematic data from both legs to recognize five gait
101 phases[25].

102 In this study, we used sEMG, EEG signals and 3D motion trajectory data
103 for lower limbs to identify all seven gait phases. EEG and sEMG signals,
104 generated before movement, are the bioelectrical signals of the human
105 body[2][26][27]. We have previously used these signals to identify the
106 movement intentions of the human body and have applied them to
107 rehabilitation devices[28][29]. In this study, we calculated TFCMI values
108 between EEG and sEMG channels in the seven gait phases, using both beta
109 and gamma band EEG data, following previous research[30][31].

110 **Materials and methods**

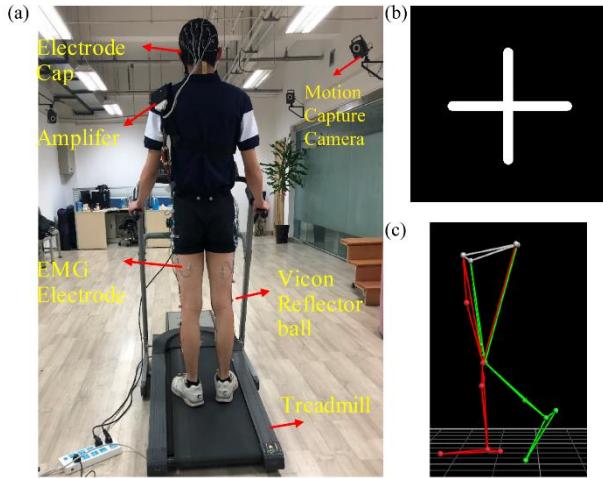
111 **Participants and ethical approval**

112 Nine healthy participants (seven males and two females, aged 23 to 26)
113 were recruited from Xi'an Jiaotong University. All had medical certificates.
114 All study procedures performed were approved by the Institutional Review
115 Boards of Xi'an Jiaotong University and carried out according to the
116 Helsinki Declaration of 1975.

117 **Experimental device**

118 The custom-designed equipment used in this experiment included: a
119 treadmill, an electrode cap, an amplifier with a built-in 3-axis acceleration
120 sensor, an sEMG electrode, a motion capture camera and a Vicon reflector
121 ball, as shown in Figure 1 (a). We utilized a 32ch LiveAmp Cap with
122 multitrodes to record EEG and sEMG signals during treadmill walking.
123 This LiveAmp Cap was a customized, wireless, lightweight, wearable
124 device, meaning it caused minimum disturbance to participants' movement
125 while EEG and sEMG were recorded. The technical specifications of this
126 device enable outstanding signal quality. A gaze screen with a cross symbol
127 was used to focus participants, as shown in Figure 1 (b). Figure 1 (c) shows
128 the lower limb model in a motion capture system. All participants walked
129 on the treadmill using their typical walking style. Participants choose a

130 comfortable treadmill speed of 2.0 km/h during the experiment. The speeds
131 of 1.4 km/h and 2.6 km/h were also selected to simulate slow and fast
132 walking speeds in everyday life.



133

134 Fig. 1 (a) Experimental device, (b) cross symbol, (c) lower limb model in
135 motion capture system.

136 Data collection

137 All participants walked on a treadmill at three speeds (1.4 km/h, 2.0 km/h
138 and 2.6 km/h) respectively in 15 30-second time blocks. There was a break
139 between any two training speeds. The initial 1-minute length of walking on
140 the treadmill was adapted according to the experimental conditions.
141 Participants were asked to walk as usual, as well as to relax and minimize
142 eye blinks, head rotation and swallowing during the study. Participants
143 were also asked to fix their gaze to the cross symbol, as shown in Figure 1
144 (b). Experiments were conducted in a quiet room and participants were
145 asked to move as lightly as possible to reduce noise.

146 EEG, sEMG signals and lower limb trajectory data were simultaneously
147 recorded. Some 24-channel EEG signals and eight muscles of the lower
148 limb sEMG signals – that is, biceps femoris (BF), vastus medialis (VM),
149 tibialis anterior (TA) and gastrocnemius medialis (GM), bilaterally – were
150 collected by the 32Ch LiveAmp Cap with multitrodes at a sampling rate of
151 500Hz; 24 unipolar channels were collected in the cap and electrodes for
152 eight bipolar sEMG were recorded at the legs. BF, VM, TA and GM were
153 selected as they are related to the entire gait cycle[32][33]. Locations for
154 sEMG electrodes were selected based on the SENIAM guidelines
155 (www.seniam.org), while EEG electrodes were placed in accordance with
156 the international 10-20 electrode system. Impedance of EEG and sEMG
157 electrodes were kept under 20 kΩ throughout the experiment.

158 Signals were amplified using a wireless LiveAmp amplifier (Brain
159 Products Inc., Gilching, Germany). The 16 positions (anterior superior iliac,
160 posterior superior iliac, thigh, knee, tibia, ankle, heel and toe, bilaterally)
161 of the lower limbs were acquired by 16 reflective markers, using a 10-
162 camera motion capture system Nexus 2.6 (VICON T40S, UK) at a
163 sampling rate of 100Hz. Reflective markers' positions are presented in
164 Figure 1 (c). The motion capture system was synchronized with the
165 wireless LiveAmp amplifier via the LiveAmp sensor and trigger extension.
166 3-D marker data were resampled and aligned to EEG and sEMG data using
167 a Matlab (Mathworks Natick, MA, USA) script, based on the EEGLAB

168 toolbox[34] before further preprocessing.

169 **Data processing**

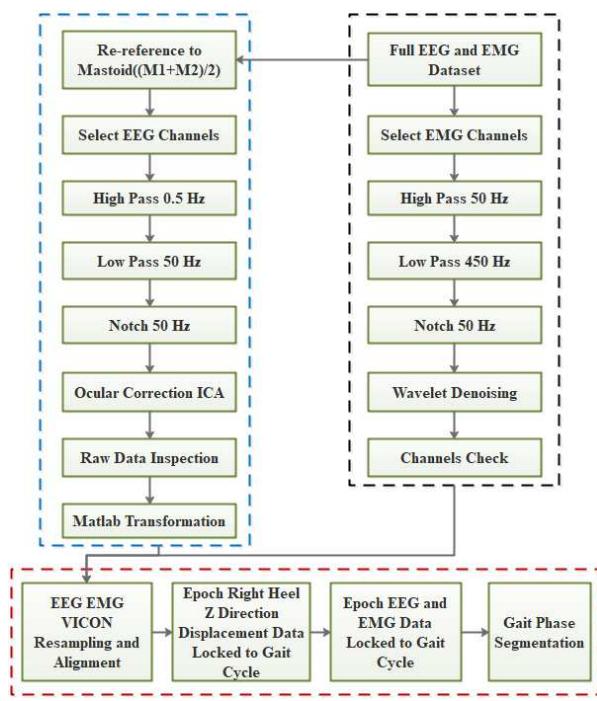
170 **EEG and sEMG data processing**

171 Raw EEG data were pre-processed using Brain Vision Analyzer 2.1 (Brain
172 Products Inc., Gilching, Germany) and a Matlab (Mathworks Natick, MA,
173 USA) script based on the EEGLAB toolbox, thus minimizing motion and
174 other artifacts[35]. The raw signal processing flow can be seen in Figure 2.

175 The default reference position of the electrode cap is FCz. However, FCz
176 is too close to other EEG electrodes. Therefore, raw data were re-
177 referenced to the mastoid, and the mean of the left and right mastoid signal
178 was calculated as a new reference. The EEG, EOG and 3-axis acceleration
179 channels of the amplifier were selected. EEG signals were then high-pass
180 filtered using a zero-phase 0.5 Hz low cutoff and second-order Butterworth
181 filter before being low-pass filtered using a zero-phase 50 Hz high cutoff
182 and second-order Butterworth filter to remove high- and low-frequency
183 noise, respectively. A zero-phase 50 Hz, second-order Butterworth filter
184 was used to remove power frequency from the raw EEG signals.

185 The EEG data were resampled to 1000 Hz and independent component
186 analysis (ICA)[36] was used to decompose EEG signals so they were
187 maximally independent. The ICs which most correlated to lateral and
188 vertical eye movement were marked and removed. sEMG signals were

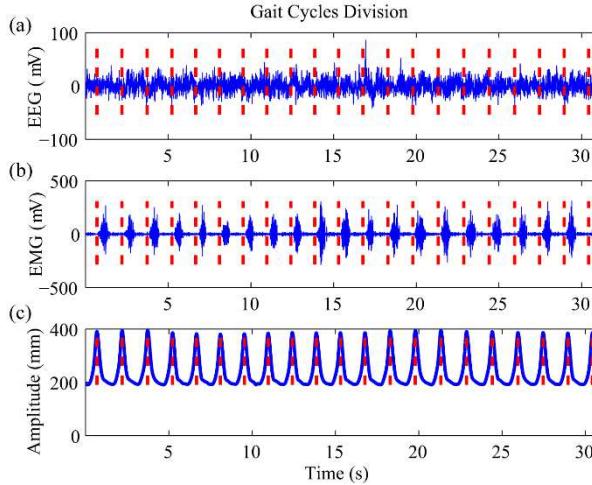
189 passed through an elliptic bandpass filter of 50-450 Hz bandwidth while an
 190 FIR least-square bandstop notch filter of 50 Hz was used to acquire useful
 191 information from raw sEMG signals. Wavelet denoising technology with
 192 ‘wden’ and ‘db4’ basis functions was used to eliminate noise in sEMG
 193 signals. EEG and sEMG channels with obvious artifacts were removed
 194 following visual inspection.



195
 196 Fig. 2 Outline of data processing steps.

197 Gait cycle segmentation

198 3-D marker data from the 16 positions were used to divide the gait cycle
 199 during treadmill walking. Displacement in z direction of the right heel with
 200 a more obvious periodicity of the gait cycle than in other marker data was
 201 applied to divide EEG and sEMG signals into a single gait cycle. Results
 202 can be seen in Figure 3.



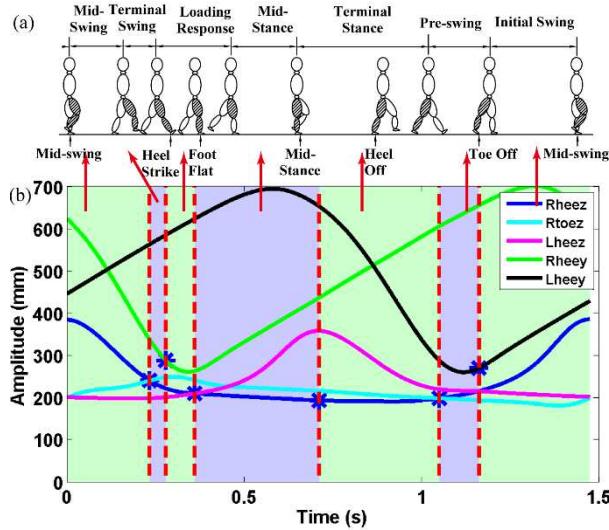
203

204 Fig. 3 (a) EEG signals, (b) sEMG signals, (c) displacement in z direction
205 of right heel.

206 **Gait phase segmentation**

207 The seven gait phases are demarcated according to 3-D marker data from
208 the 16 positions. The gait phase segmentation result can be seen in Figure
209 4. In Figure 4 (b), the first vertical red line – the first intersection of the z-
210 direction trajectory data of right heel (Rheez) and the z-direction trajectory
211 data of right toe (Rtoez) – indicates the endpoint of the middle swing. The
212 second vertical red line – the point with the largest difference between the
213 y-direction trajectory data of right heel (Rheey) plus the y-direction
214 trajectory data of left heel (Lheey) – indicates the endpoint of the terminal
215 swing. The third vertical red line – the first intersection of the Rheez and
216 the z-direction trajectory data of left heel (Lheez) – indicates the endpoint
217 of the loading response. The fourth vertical red line – the maximum point
218 of the Lheez – indicates the endpoint of the middle stance. The fifth vertical

219 red line – the second intersection of the Rheeze and the Rtoez – indicates
 220 the endpoint of the terminal stance. Finally, the sixth vertical red line – the
 221 point with the smallest difference between the Rheey and the Lheey –
 222 indicates the endpoint of the pre-swing.



223
 224 Fig. 4 Gait phase division results. (a) Seven gait phases of the gait cycle,
 225 (b) trajectory data.

226 Feature extraction

227 Feature extraction is a technique used to draw representation information
 228 from pre-processed input data. For analysis of sEMG and EEG signals,
 229 feature extraction methods tend to include time domain (TD), frequency
 230 domain (FD) and time-frequency (TFD) domain[37][38][39]. In this study,
 231 slope sign change (SSC) and mean power frequency (MPF) were utilized
 232 to classify the seven gait phases. SSC is a time domain feature which also
 233 reflects signal frequency information. It is defined as follows:

234

$$SSC = \sum_{j=2}^{m-1} [G[(y_j - y_{j-1}) \times (y_j - y_{j+1})]]; \quad (1)$$

$$G(x) = \begin{cases} 1, & \text{if } y \geq threshold \\ 0, & \text{otherwise} \end{cases}$$

235 where y_j is the signals at time point j and m is the length of the signals.
 236 MPF is a mean frequency which is expressed as the sum of sEMG and EEG
 237 power frequency, divided by the total sum of the spectrum intensity:

238

$$MPF = \frac{\int_0^N f \cdot S(f) df}{\int_0^N S(f) df} \quad (2)$$

239 where f is frequency of the spectrum and N is the length of the frequency
 240 bin. These extract features were inputted into LIBSVM for classification.
 241 LIBSVM parameters were optimized using the particle swarm
 242 optimization (PSO) method.

243 **Time-frequency analysis using TFCMI**

244 TFCMI values were calculated to estimate the time-frequency correlation
 245 between EEG and sEMG channels. EEG and sEMG signals were
 246 normalized due to their large power difference before calculating TFCMI.
 247 The calculation process can be seen below.

248 Morlet wavelet transformation, which contains both time and frequency
 249 domain information, was utilized to transform the EEG signal into the
 250 time-frequency domain[40]. Time-frequency power maps of each channel
 251 for beta (16-25 Hz) and gamma (30-45 Hz) data were created. Therefore,
 252 the two maps were 10×2000 and 16×2000 respectively. Ten and 16

253 represent the frequency from 16 to 25 Hz and 30 to 45 Hz while 2000
 254 represents the sample points. The mean of beta and gamma band powers
 255 were calculated respectively before two 1×2000 power curves were
 256 created in each channel. The Morlet wavelet transformation can be
 257 calculated as:

$$258 \quad W(a,b) = \int_{-x}^x x(t) \frac{1}{\sqrt{a}} \psi\left(\frac{t-b}{a}\right) dt,$$

$$\psi(x) = e^{-x^2} \cos\left(\pi \sqrt{\frac{2}{\ln 2}} x\right)$$

259 where a is scaling factor, b is translation factor, $\psi(x)$ is a mother (or
 260 Morlet) wavelet of wavelet transform.

261 Cross mutual information (CMI) between any two channels was calculated
 262 using the mean power signals. CMI maps were created by computing the
 263 entropy and mutual information, which can be expressed as:

$$264 \quad H(F_i) = -\sum_{b=1}^{40} p(F_{i,b}) \log_2 p(F_{i,b})$$

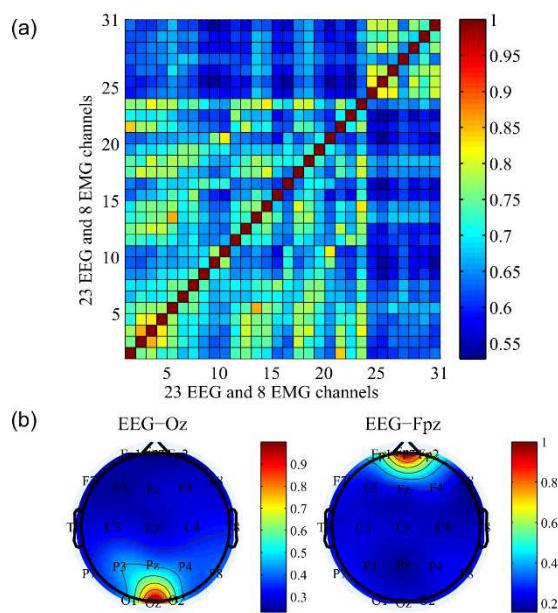
$$265 \quad TFCMI(F_i, F_j) = H(F_i) + H(F_j) - H(F_i, F_j)$$

$$= \sum_{b=1}^{40} p(F_{i,b}, F_{j,b}) \log_2 \frac{p(F_{i,b}, F_{j,b})}{p(F_{i,b}) p(F_{j,b})}$$

266 where $H(F_i)$ denotes entropy, F_i is mean power signals at the i th
 267 channel, $p(F_{i,b})$ is the probability density function (PDF) of F_i , $p(F_{i,b}, F_{j,b})$
 268 is the joint probability density function (JPDF) of F_i while F_j ,
 269 $b = 1, 2, \dots, 40$ is the bin number of the histogram used to construct the
 270 approximated PDF. Some 40 bins were selected based on both previous
 271 research and our data[41][42].

272 Finally, TFCMI values between any two channels were obtained to create

273 a 31×31 (23 EEG and eight sEMG channels) TFCMI map (Fig. 5 (a)).
 274 Each entry of the 31×31 matrix is the value of mutual information from
 275 TF power between a pair of channels. TFCMI values from the i th to the
 276 j th as well as the j th to the i th are the same. Therefore, the TFCMI
 277 map is symmetrical. Since TFCMI values were normalized, the diagonal
 278 TFCMI value (self-relevance) is equal to one. TFCMI values from the 13
 279 EEG (lower limb movement-related electrodes: F3, F4, C3, C4, P3, P4, F7,
 280 F8, P7, P8, Fz, Cz, Pz) and eight sEMG channels were extracted from the
 281 31×31 matrix. We then calculated the mean of TFCMI values between 13
 282 EEG and eight sEMG channels in all trials, which were illustrated as a 13
 283 channel topographic map. The map of mean coupling strength from Oz
 284 channel to all EEG channels as well as Fpz channel to all EEG channels
 285 can be seen in Figure 5 (b).



286
 287 Fig. 5 TFCMI computation. (a) TFCMI map, (b) topographic map of mean
 288 coupling strength from Oz channel to all EEG channels and Fpz channel to

289 all EEG channels.

290 **Results**

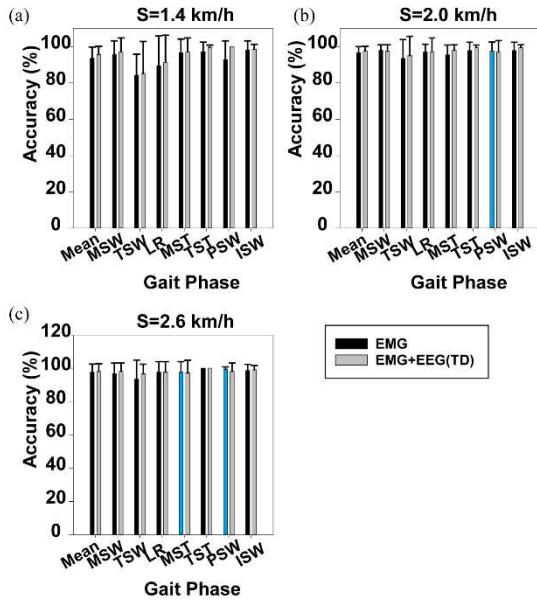
291 **Gait phase recognition results**

292 It should be noted that the sEMG data of three participants are unavailable
293 due to surface electrode malfunction. Therefore, this analysis is based on
294 seven participants. Gait phase recognition was based on the SSC and MPF
295 features of the sEMG and EEG signals. Firstly, we compared gait phase
296 recognition based on the SSC and MPF features of sEMG with the SSC
297 and MPF features of sEMG as well as the SSC feature of EEG. Secondly,
298 gait phase recognition using the SSC and MPF features of sEMG and the
299 SSC feature of EEG was compared with recognition using the SSC and
300 MPF features of sEMG and the MPF feature of EEG.

301 **Difference between sEMG and EEG signal features**

302 Gait phase identification based on the feature set comprising SSC and MPF
303 features of sEMG and identification based on the feature set comprising
304 SSC and MPF features of sEMG as well as SSC feature of EEG are shown
305 in Figure 6. Gait phase identification in the seven gait phases and three
306 speeds (1.4 km/h, 2.0 km/h and 2.6 km/h) can be seen in Figures 6 (a), (b)
307 and (c) respectively. Figure 6 (a) demonstrates that the mean accuracy of
308 recognition of the seven gait phases using the two feature sets (SSC and

309 MPF of sEMG vs SSC and MPF of sEMG as well as SSC of EEG) were
310 93.47% and 95.58%. There was a slight increase when the SSC of EEG
311 was applied, when the standard deviation (SD) decreased from 0.062 to
312 0.045. The same can be observed in Figures 6 (b) and (c). In Figure 6 (b),
313 the mean accuracy of identifying the seven gait phases increased from
314 96.69% to 97.63%, while the SD decreased from 0.032 to 0.025. In Figure
315 6 (c), the mean accuracy of identifying the seven gait phases increased from
316 97.62% to 98.10%, while the SD decreased from 0.048 to 0.044.
317 A paired samples test was used to analyze whether the difference in gait
318 phase recognition between the two feature sets was significant. We found
319 that the difference between the two feature sets was significant at 1.4 km/h
320 ($p=0.017$), but not at 2.0 km/h ($p=0.085$) or 2.6 km/h ($p=0.279$). Results
321 for each participant based on the SSC and MPF features of sEMG, as well
322 as the SSC and MPF of sEMG and SSC of EEG, can be seen in
323 supplementary material, Figures S1, S2 and S3.



324

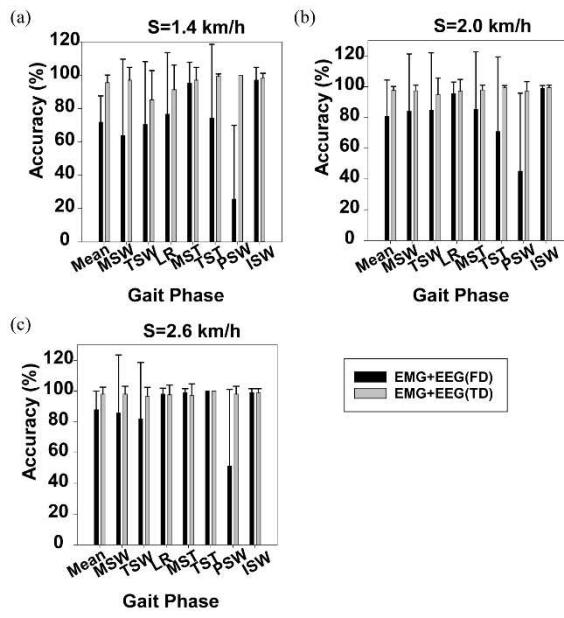
325 Fig. 6 Gait phase recognition results based on the SSC and MPF features
 326 of sEMG as well as results based on the SSC and MPF features of sEMG
 327 and SSC feature of EEG. (a) Walking speed 1.4 km/h, (b) walking speed
 328 2.0 km/h, (c) walking speed 2.6 km/h. The blue column indicates that
 329 results based on sEMG features are better than sEMG features combined
 330 with the EEG feature of SSC.

331 Difference between the EEG features of the SSC and MPF

332 Figure 7 displays gait phase recognition based on the SSC and MPF of
 333 sEMG and the SSC of EEG as well as gait phase recognition based on the
 334 SSC and MPF of sEMG and the MPF of EEG. Gait phase recognition in
 335 seven phases at three speeds (1.4 km/h, 2.0 km/h and 2.6 km/h) can be seen
 336 in Figures 7 (a), (b) and (c) respectively. These figures demonstrate that the
 337 mean accuracy of recognition of the seven gait phases decreased from
 338 95.58% to 71.90%, 97.63% to 80.63% and 98.10% to 87.89% respectively

339 while the SD increased from 0.045 to 0.158, 0.025 to 0.23 and 0.044 to
340 0.121, respectively when the SSC of EEG was replaced by the MPF of
341 EEG. Some gait phase recognition results based on the SSC and MPF of
342 sEMG and the MPF of EEG were below 60%, for example, PSW in Figures
343 7 (a), (b) and (c). Using statistical analysis, we found that the gait phase
344 recognition difference between the two sets was significant at 1.4 km/h
345 ($p=0.000$), 2.0 km/h ($p=0.003$) and 2.6 km/h ($p=0.023$).

346



347

348 Fig. 7 Gait phase recognition based on the SSC and MPF of sEMG and the
349 SSC of EEG as well as gait phase recognition based on the SSC and MPF
350 of sEMG and the MPF of EEG. (a) Walking speed 1.4 km/h, (b) walking
351 speed 2.0 km/h, (c) walking speed 2.6 km/h.

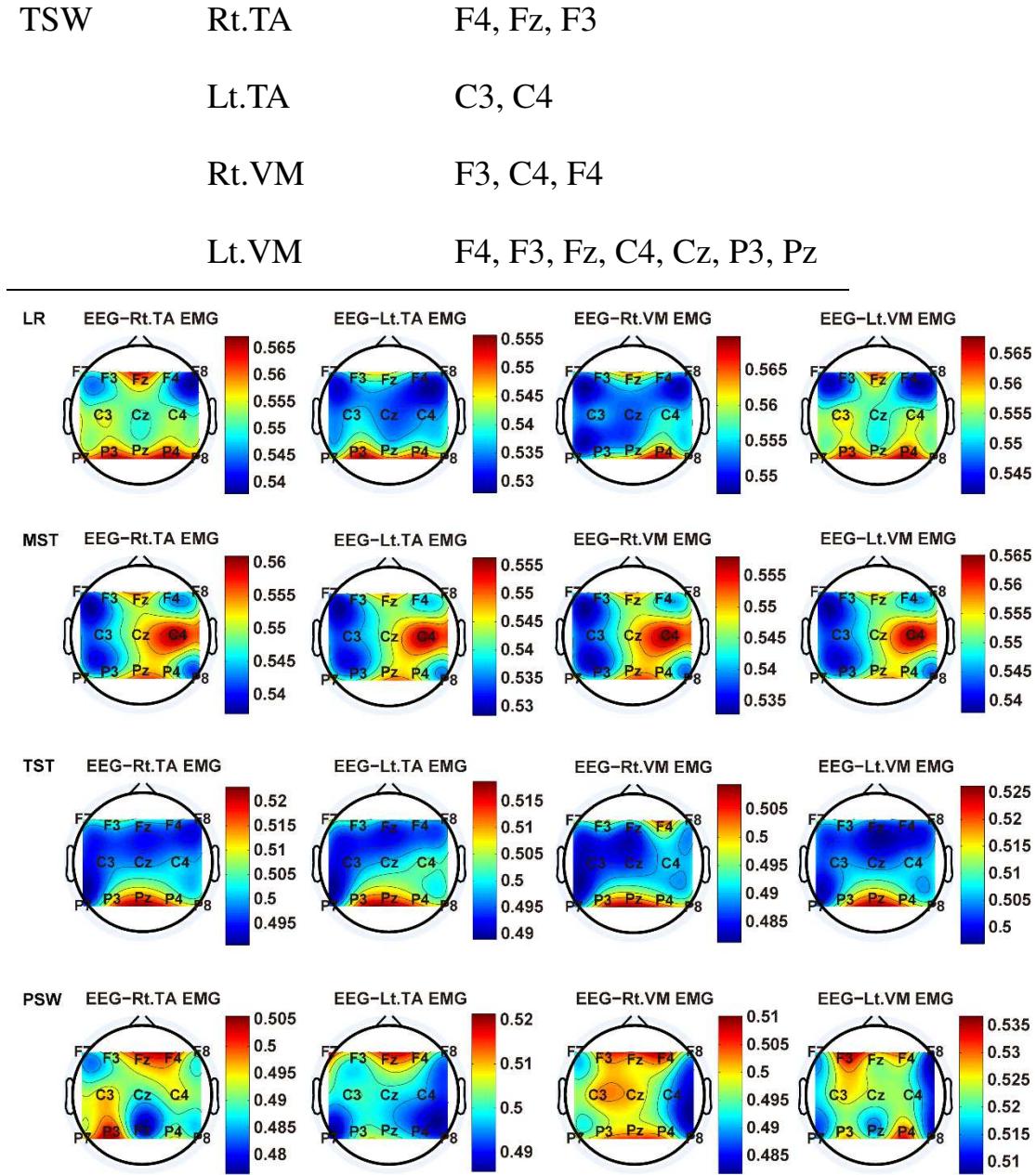
352 **Time-frequency analysis of EEG and sEMG signals using**
353 **TFCMI**

354 We carried out a time-frequency analysis of the EEG and sEMG signals
355 using TFCMI at a comfortable speed (2.0 km/h). TFCMI topography
356 between EEG data (beta band) and sEMG of both the stance (LR, MST,
357 TST, PSW) and swing phases (ISW, MSW, TSW) can be seen in Figures 8,
358 9, 10 and 11. Results in Figures 8 and 9 are based on the sEMG channels
359 of right (Rt.) and left (Lt.) TA as well as right and left VM. Results in
360 Figures 10 and 11 are based on the sEMG channels of BF and GM of both
361 legs. In Figures 8 and 9, we can observe that the distribution of TFCMI
362 values between EEG and TA differs from those between EEG and VM in
363 PSW and TSW. Table 1 shows the sEMG channels most related to the EEG
364 channels in PSW and TSW. TFCMI topography for gamma band is shown
365 in the supplementary material; Figures S4, S5, S6 and S7.

366

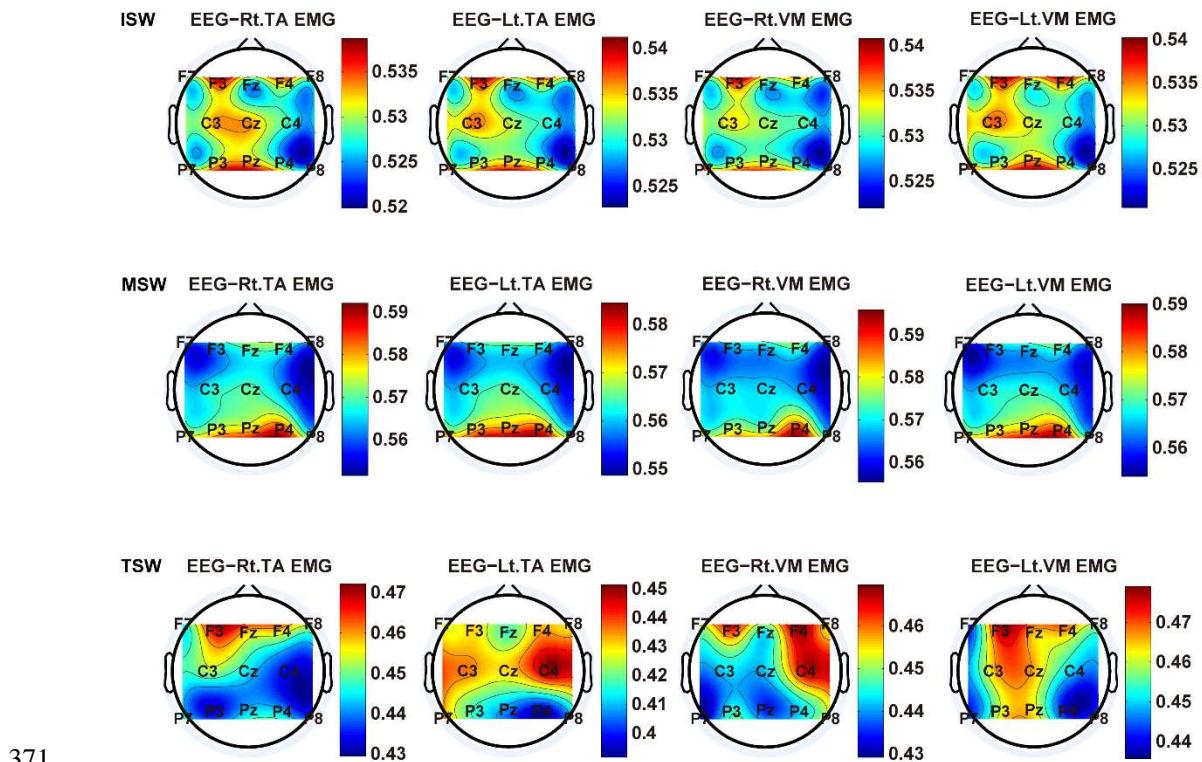
367 Table 1 sEMG channels most related to EEG channels in PSW and TSW

Gait phases	sEMG channels	Most related EEG channels
PSW	Rt.TA	F4, Fz, P3
	Lt.TA	F4, Fz
	Rt.VM	F4, Fz, F3, C4 and Pz
	Lt.VM	F4, F3, P4



368

369 Fig. 8 The TFCMI topography between EEG (beta band) and sEMG (TA,
370 VM) of the stance phase (LR, MST, TST, PSW).



371

372 Fig. 9 The TFCMI topography between EEG (beta band),
 373 VM) of the swing phase (ISW, MSW, TSW).

374

375 In Figures 10 and 11, we can observe that the distribution of TFCMI values
 376 between EEG and BF differs from that between EEG and GM in PSW and
 377 TSW. Table 2 shows the sEMG channels most related to the EEG channels
 378 in PSW and TSW.

379

380 Table 2 sEMG channels most related to EEG channels in PSW and TSW

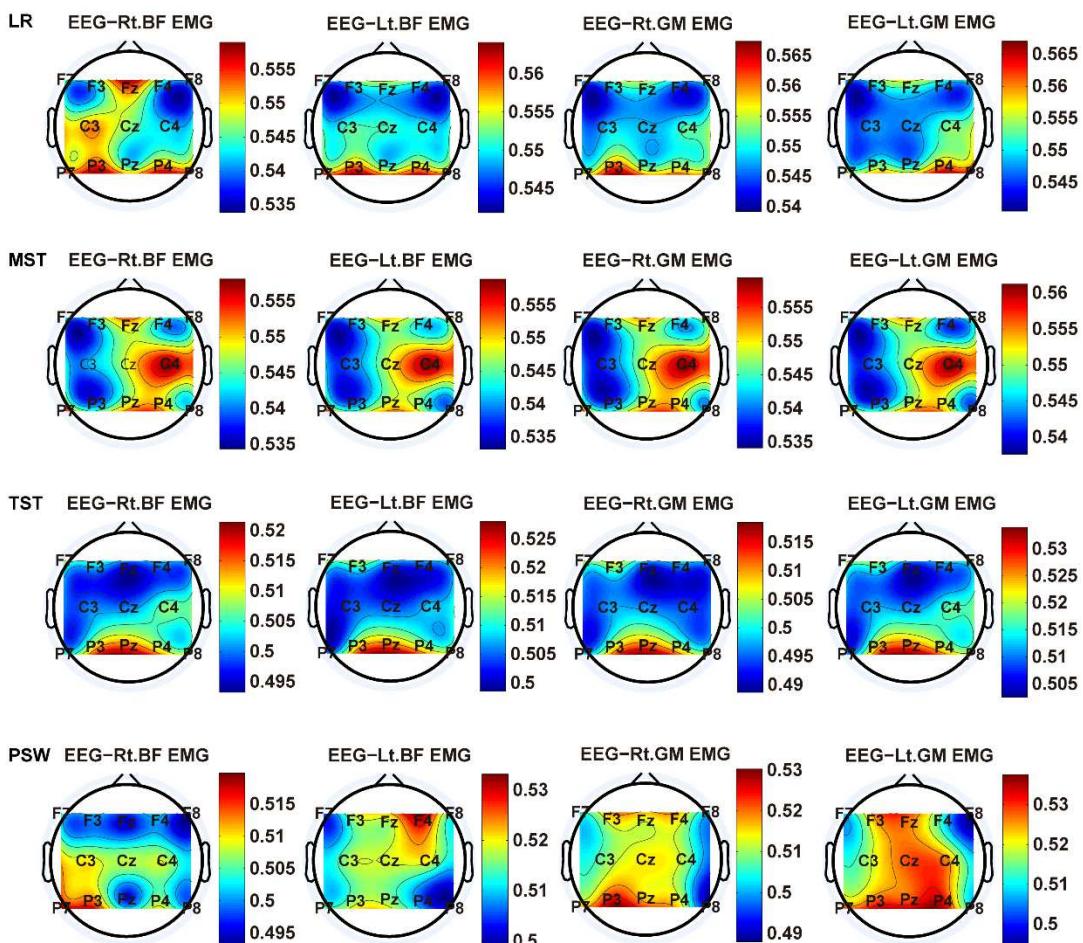
Gait phases	sEMG channels	Most related EEG channels
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PSW	Rt.BF	P3, P7
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Lt.BF	F4
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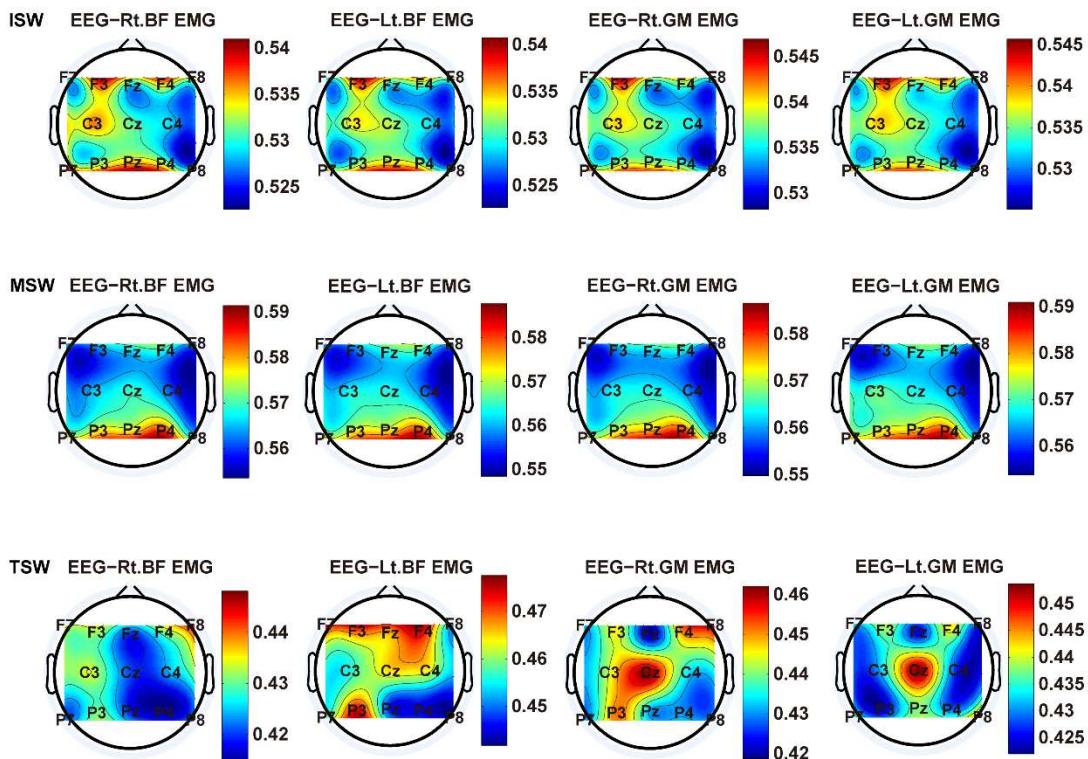
	Rt.GM	P3, Pz
	Lt.GM	F3, Fz, Cz, P3, P4, Pz
TSW	Rt.BF	F8
	Lt.BF	F3, Fz, F4, P3
	Rt.GM	F4, F8, Cz, P3
	Lt.GM	Cz

381



382

383 Fig. 10 TFCMI topography between EEG (beta band) and sEMG (BF, GM)
 384 of the stance phase (LR, MST, TST, PSW).



385

386 Fig. 11 TFCMI topography between EEG (beta band) and sEMG (BF, GM)
 387 of the stance phase (ISW, MSW, TSW).

388

389 TFCMI values of the frontal, central and parietal lobes were calculated and
 390 can be seen in Figures 12 (beta band EEG signals) and 13 (gamma band
 391 EEG signals). One-Way ANOVA was utilized to explore whether the
 392 difference between TFCMI values for the seven gait phases was significant.
 393 Figure 12 displays the results of the One-Way ANOVA, where we can see
 394 that the difference between TFCMI values for the seven gait phases was
 395 significant: Lt.TA: $F=405.155, p <0.05$; Lt.VM: $F=375.047, p <0.05$; Lt.BF:
 396 $F=325.468, p <0.05$; Lt.GM: $F=458.239, p <0.05$; Rt.TA: $F=503.750, p$
 397 <0.05 ; Rt.VM: $F=522.972, p <0.05$; Rt.BF: $F=671.044, p <0.05$; Rt.GM:

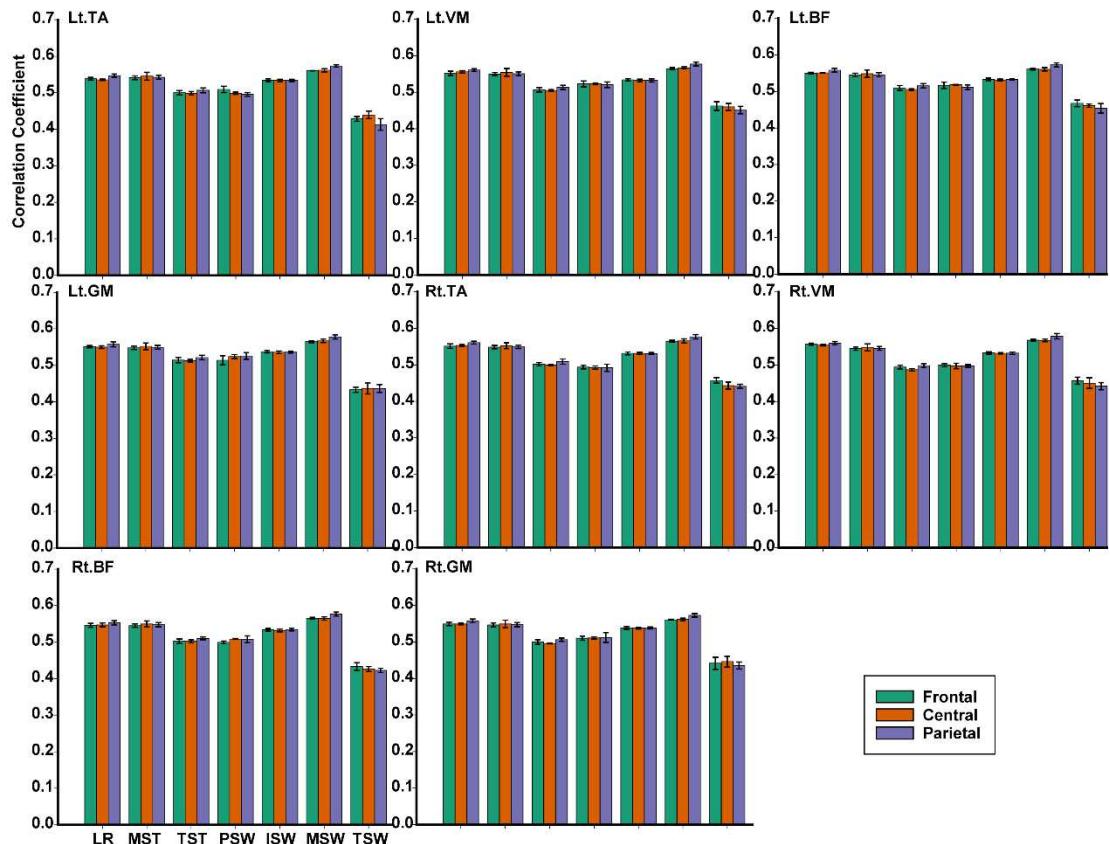
398 F=401.992, $p <0.05$).

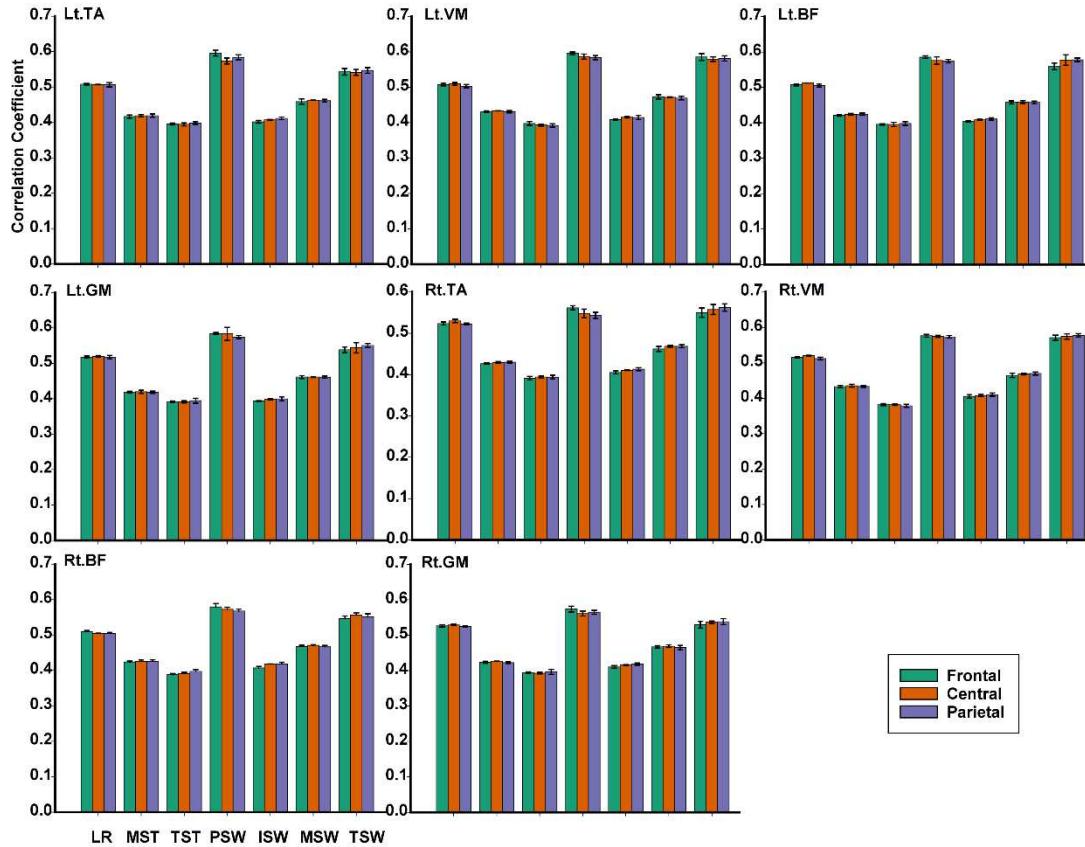
399 Use of post hoc analysis showed that TFCMI value differences between
400 LR and MST, TST and PSW were not significant ($p =0.668$, $p =0.802$) for
401 Lt.TA. TFCMI value differences between LR and MST were also not
402 significant ($p =0.075$) for Lt.VM. TFCMI value differences between TST
403 and PSW were not significant ($p =0.126$) for Lt.BF, nor were they
404 significant between LR and MST, TST and PSW ($p =0.214$, $p =0.172$) for
405 Lt.GM. TFCMI value differences between TST and PSW were not
406 significant ($p =0.135$) for Rt.VM, nor for LR and MST, TST and PSW (p
407 $=0.475$, $p =0.763$) in Rt.BF. TFCMI value differences between LR and
408 MST were not significant ($p =0.095$) for Rt.VM. However, the remaining
409 TFCMI values differed significantly from other gait phases ($p <0.05$) in all
410 sEMG channels.

411 Figure 13 demonstrates that the One-Way ANOVA results for the gamma
412 band were the same as in the beta band.

413

414 Fig. 12 TFCMI values (beta band) of the frontal, central and parietal lobes
 415 in the seven gait phases for the eight muscles.





416

417 Fig. 13 TFCMI values (gamma band) of the frontal, central and parietal
 418 lobes in the seven gait phases for the eight muscles.

419

420 **Discussion**

421 Gait phase recognition based on the feature set comprising SSC and MPF
 422 features of sEMG and SSC feature of EEG were better than recognition
 423 based on the feature set comprising SSC and MPF features of sEMG, as
 424 shown in Figure 6. Use of near-infrared spectroscopy (NIRS)[43] and
 425 functional magnetic resonance imaging (fMRI)[44] have shown that cortex
 426 is involved in human walking. This suggests that the addition of SSC
 427 features of EEG will enrich gait information contained in sEMG features

428 and improve the accuracy of gait phase recognition. However, the
429 difference in gait phase recognition between the two feature sets was
430 significant ($p=0.017$) only at the lowest speed (1.4 km/h). This may be
431 because faster walking speeds reduced alpha and beta band power[45].
432 Therefore, the contribution of the EEG features to gait phase recognition
433 was reduced at faster rather than slower speeds.

434 Gait phase recognition for seven participants using SSC and MPF features
435 of sEMG signals as well as SSC feature of EEG signals can be seen in the
436 supplementary material, Figures S1, S2 and S3. Gait phase recognition
437 based on the feature set comprising SSC and MPF features of sEMG were
438 better than that based on the feature set comprising SSC and MPF features
439 of sEMG and SSC feature of EEG in certain gait phases. For instance, gait
440 phase recognition based on the feature without EEG was better than that
441 with EEG in LR for Subject 1 at 2.0 km/h. This suggests a small difference
442 in individuals' level of cortex participation during walking. The feature set
443 comprising SSC and MPF features of sEMG and MPF feature of EEG
444 performed poorly, although the set comprising SSC and MPF features of
445 sEMG as well as SSC features of EEG achieved accurate gait recognition
446 (Fig. 7). This demonstrates that gait phase information weakened when
447 combining sEMG features with MPF of EEG. Therefore, the MPF of EEG
448 is not suitable for fusing with sEMG features to identify gait phase.
449 Cortical activation is locked to gait cycle, as has been demonstrated by

several researchers[46][47][4]. However, leg muscles control leg movement directly, while cortico-muscular interaction analysis in a fine gait phase was not investigated in the time-frequency domain. The latter is critical for the rehabilitation of gait disorders, especially nontraumatic gait disorders. By computing TFCMI values between EEG and sEMG using beta band EEG data, we found that cortico-muscular connectivity differed between the 13 EEG and the eight sEMG channels at the PSW and TSW gait phases (Figs. 8, 9, 10, 11). Additionally, similar cortex areas were activated in the eight muscles at other gait phases. Previous authors have suggested that cortico-muscular connectivity was stronger in the muscles of swing legs than those of stance legs[4]. Other authors have found that we carry out complex control for keeping our bodies in an upright, dynamic posture during the stance phase[48][49]. These findings do not contradict ours, since in the PSW and TSW gait phases, the end of stance phase and the swing phase respectively, the broader cerebral cortex area is more involved in the regulation of gait than in other gait phases. Muscle-related cortex was identical at other gait phases.

In contrast, the premotor area (PMA) and supplementary motor area (SMA) plan the movement sequence, while the next gait phase is planned by the PMA and SMA at the end of both stance and swing phases[50]. TFCMI topography for gamma band is shown in the supplementary material, Figures S4, S5, S6 and S7. Using gamma band EEG data, we found that

472 the activated cerebral cortex area crossed with the eight muscles were not
473 the same at each gait phase. This is consistent with previous results. For
474 example, it has been shown that using gamma band features to decode
475 attention during gait is feasible[51].

476 Cortico-muscular interaction analysis for the seven gait phases has not
477 been previously investigated in the time-frequency domain using TFCMI
478 method. However, it could be asked whether our research is instructive for
479 gait rehabilitation? Additionally, are the differences in TFCMI values
480 significant in the seven gait phases? We calculated TFCMI values for the
481 frontal, central and parietal lobes, results of which can be seen in Figures
482 12 (beta band EEG signals) and 13 (gamma band EEG signals). We found
483 that TFCMI value differences among the seven gait phases were significant.
484 Our results can guide rehabilitation physicians as they develop a
485 rehabilitation plan for each phase of a patients' gait.

486 **Conclusion**

487 It is necessary to research cortico-muscular interaction in the seven gait
488 phases. We achieved two goals towards addressing this problem: (1) the
489 feature set comprising SSC and MPF features of sEMG and SSC feature of
490 EEG is recommended for identifying the seven gait phases for seven
491 individuals; (2) use of TFCMI analysis proved that BF, VM, TA and GM
492 correlated to different cortices in PSW and TSW, which is a novel finding.

493 TFCMI values for frontal, central and parietal lobes were calculated and
494 displayed in Figures 12 and 13. We found that the difference between
495 TFCMI values among the seven gait phases was significant. The finding of
496 this study proved that a ‘fine’ gait phase control during treadmill walking
497 by motor cortex is available. This can be applied to a gait rehabilitation
498 device for people with gait disorders.

499 **Declarations**

500 **Ethics approval and consent to participate**

501 All study procedures performed were approved by the Institutional Review
502 Boards of Xi'an Jiaotong University and carried out according to the
503 Helsinki Declaration of 1975. All participants approved the study
504 procedures.

505 **Consent for publication**

506 All authors consent for publication.

507 **Availability of data and material**

508 The research data is not available due to further analysis of the data is
509 needed for us.

510 **Competing interests**

511 Declarations of interest: none.

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516 **Author Contributions**

517 PW designed the study, conducted and supervised the experimental process,
518 analyzed the experimental data and wrote this paper. JZ guided the
519 experimental process. BW co-designed the study. JH supervised data
520 analysis. All authors revised and approved the manuscript.

521 **Acknowledgments**

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- 697

Figures

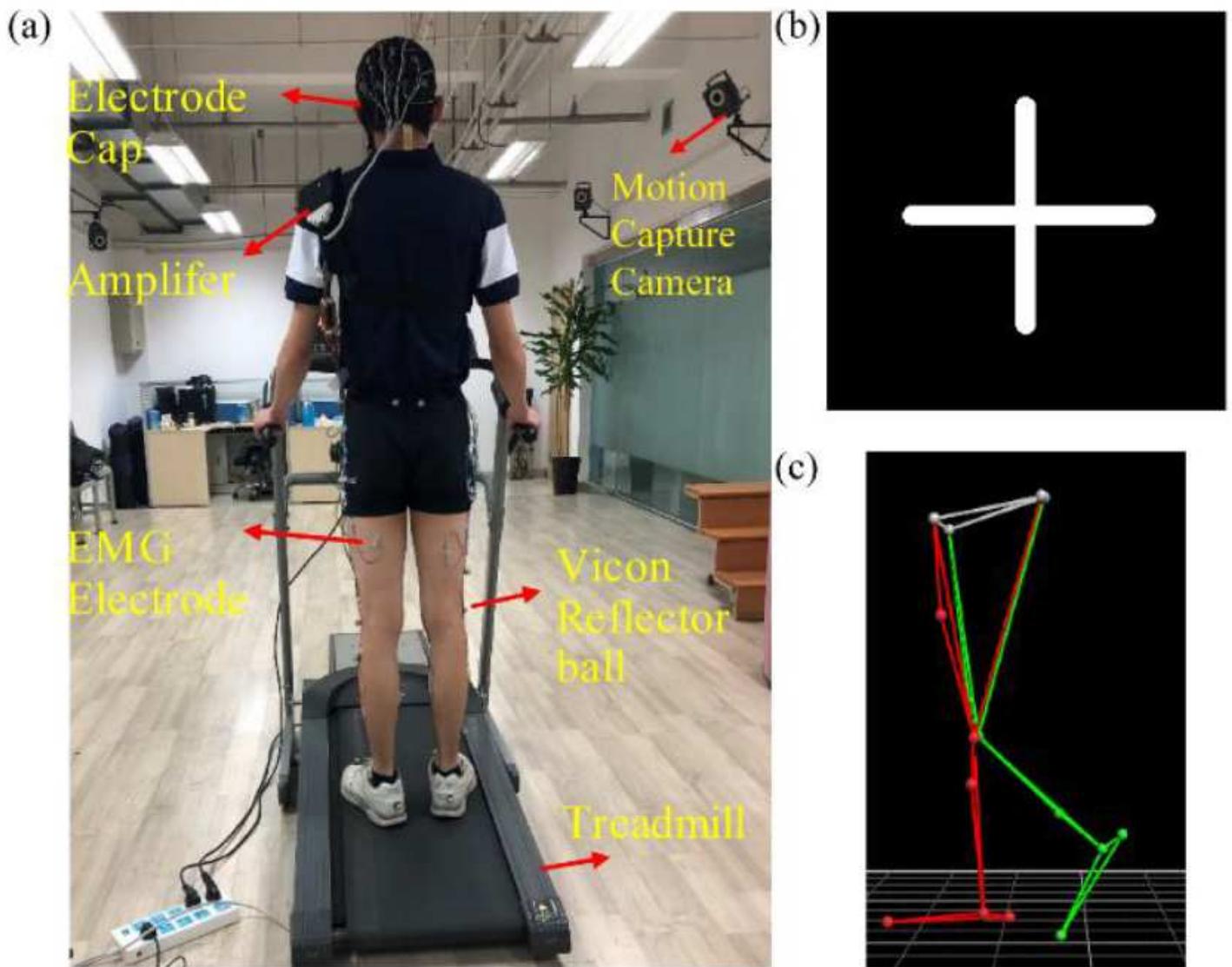


Figure 1

Experimental device, (b) cross symbol, (c) lower limb model in motion capture system .

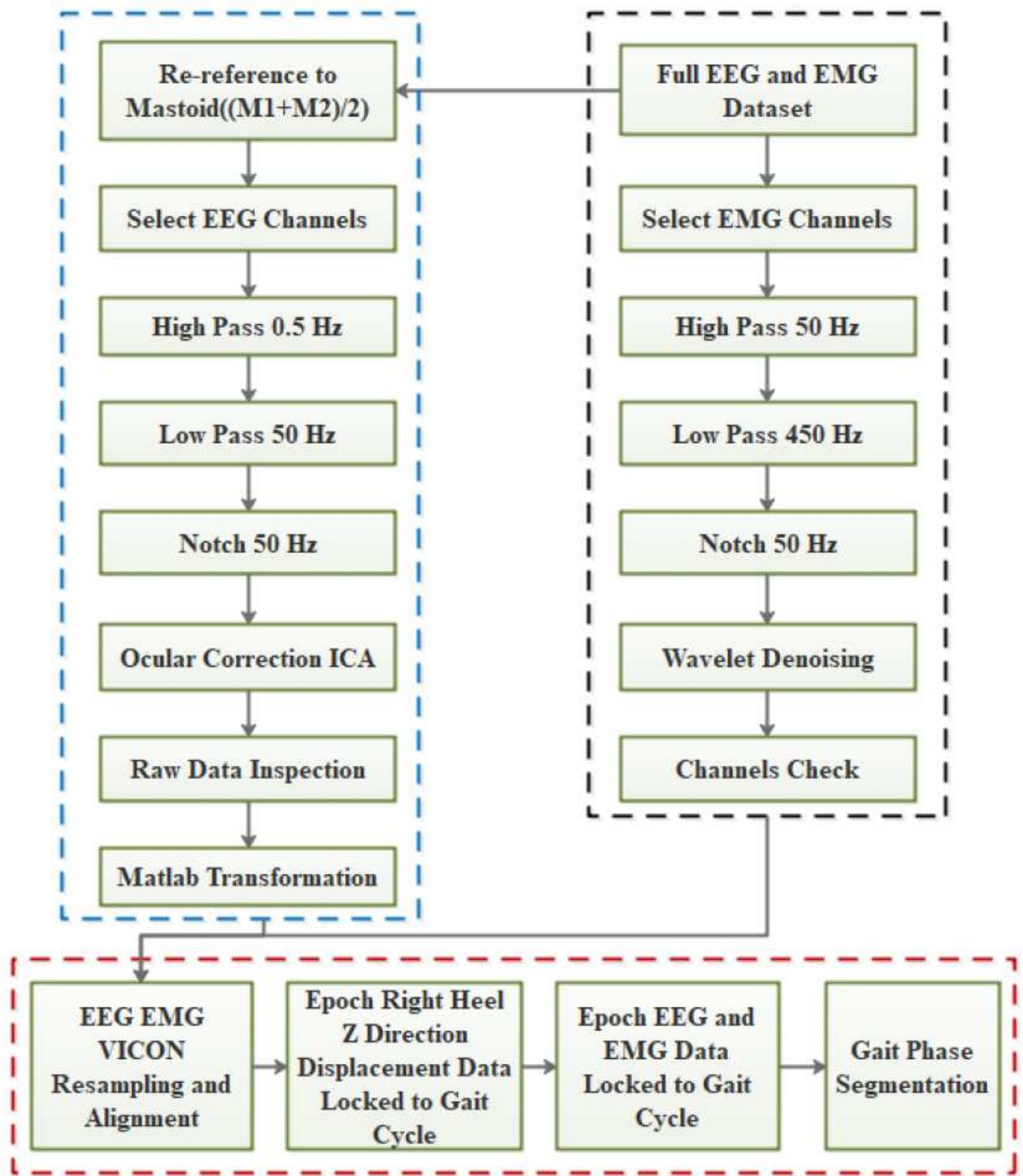


Figure 2

Outline of data processing steps.

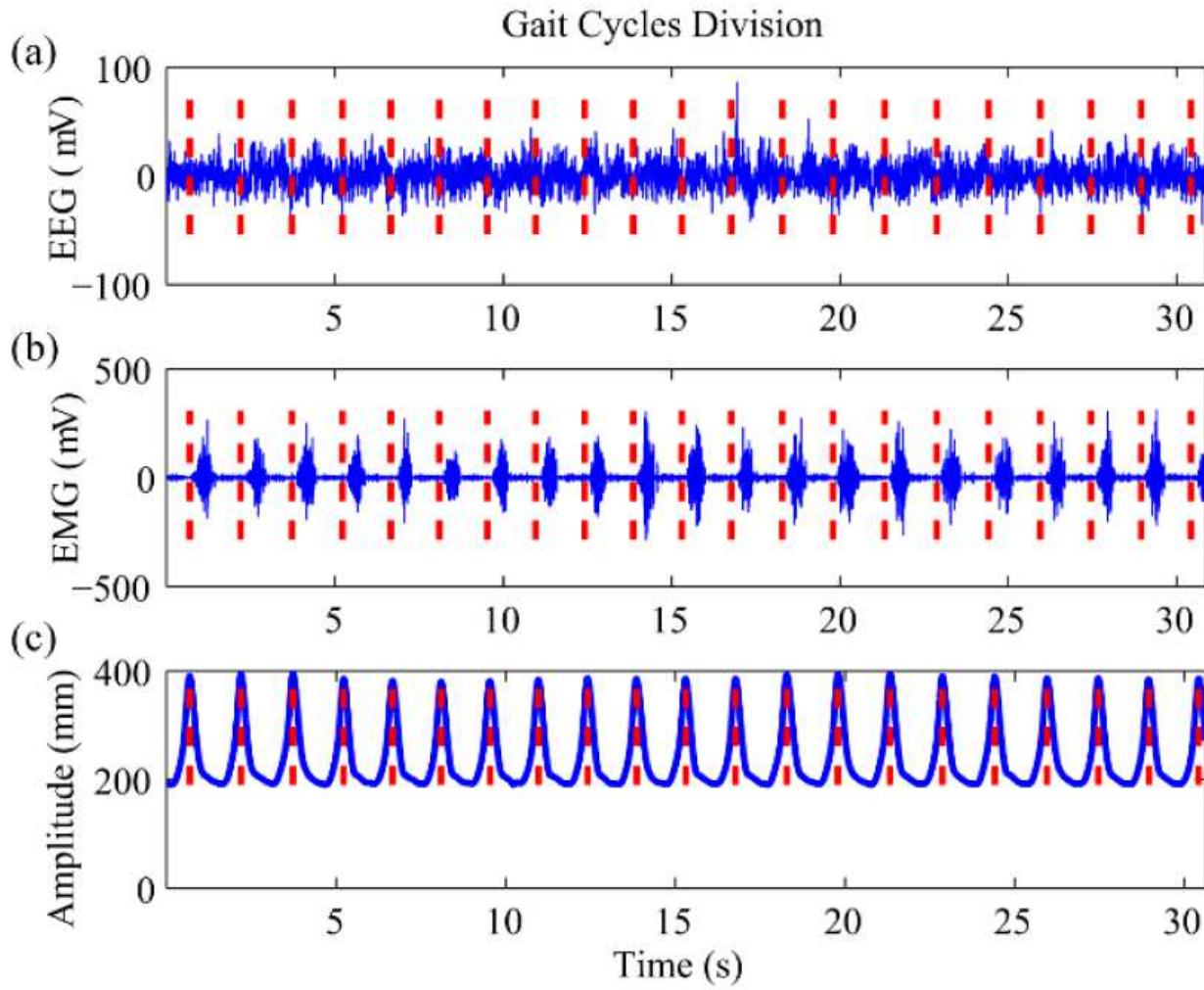


Figure 3

(a) EEG signals, (b) sEMG signals, (c) displacement in z direction of right heel.

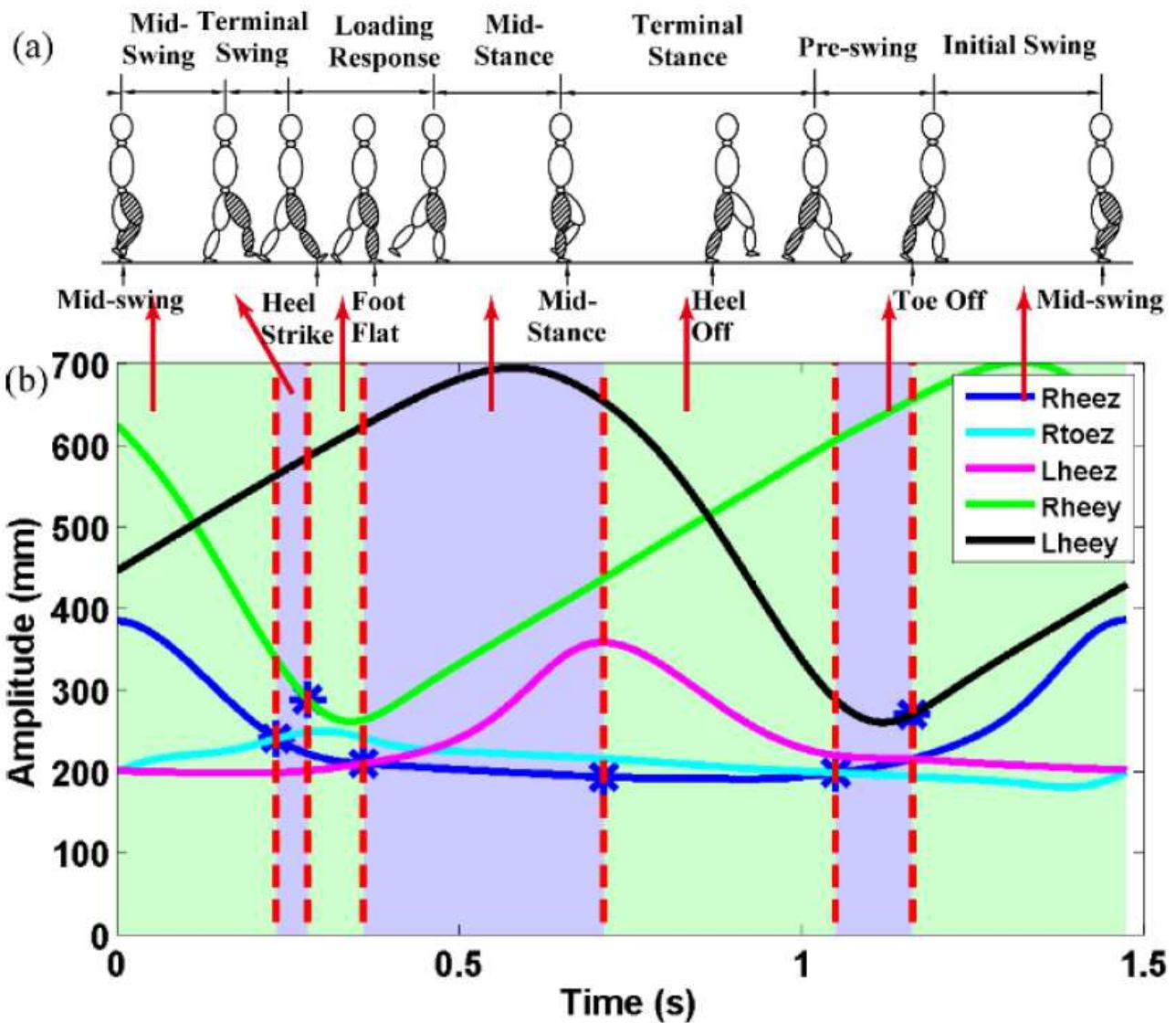


Figure 4

Gait phase division results. (a) S even gait phases of the gait cycle (b) trajectory Feature extraction

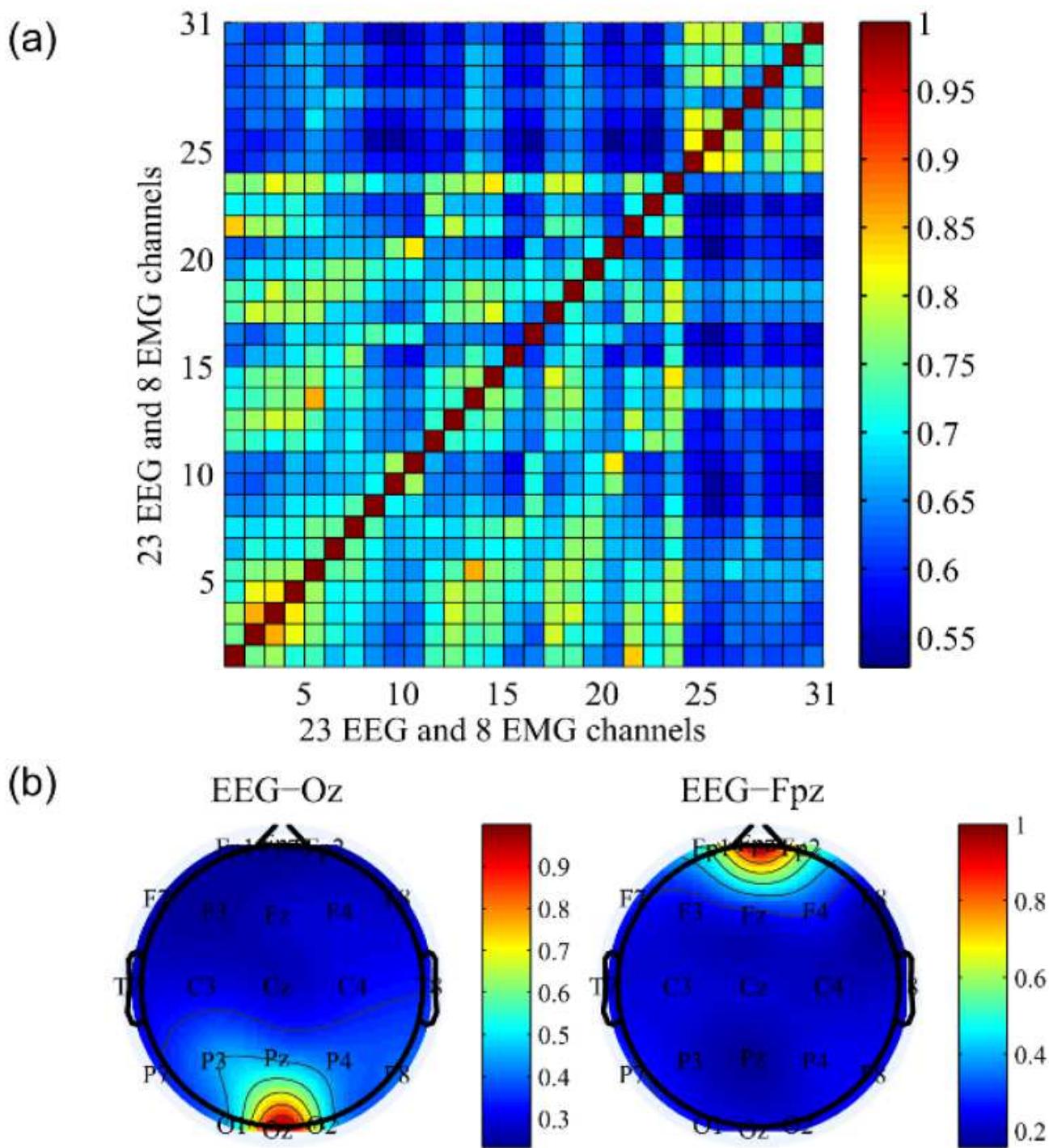


Figure 5

TFCMI computation. (a) TFCMI map, (b) topographic map of mean coupling strength from Oz channel to all EEG channels and Fpz channel to all EEG channels

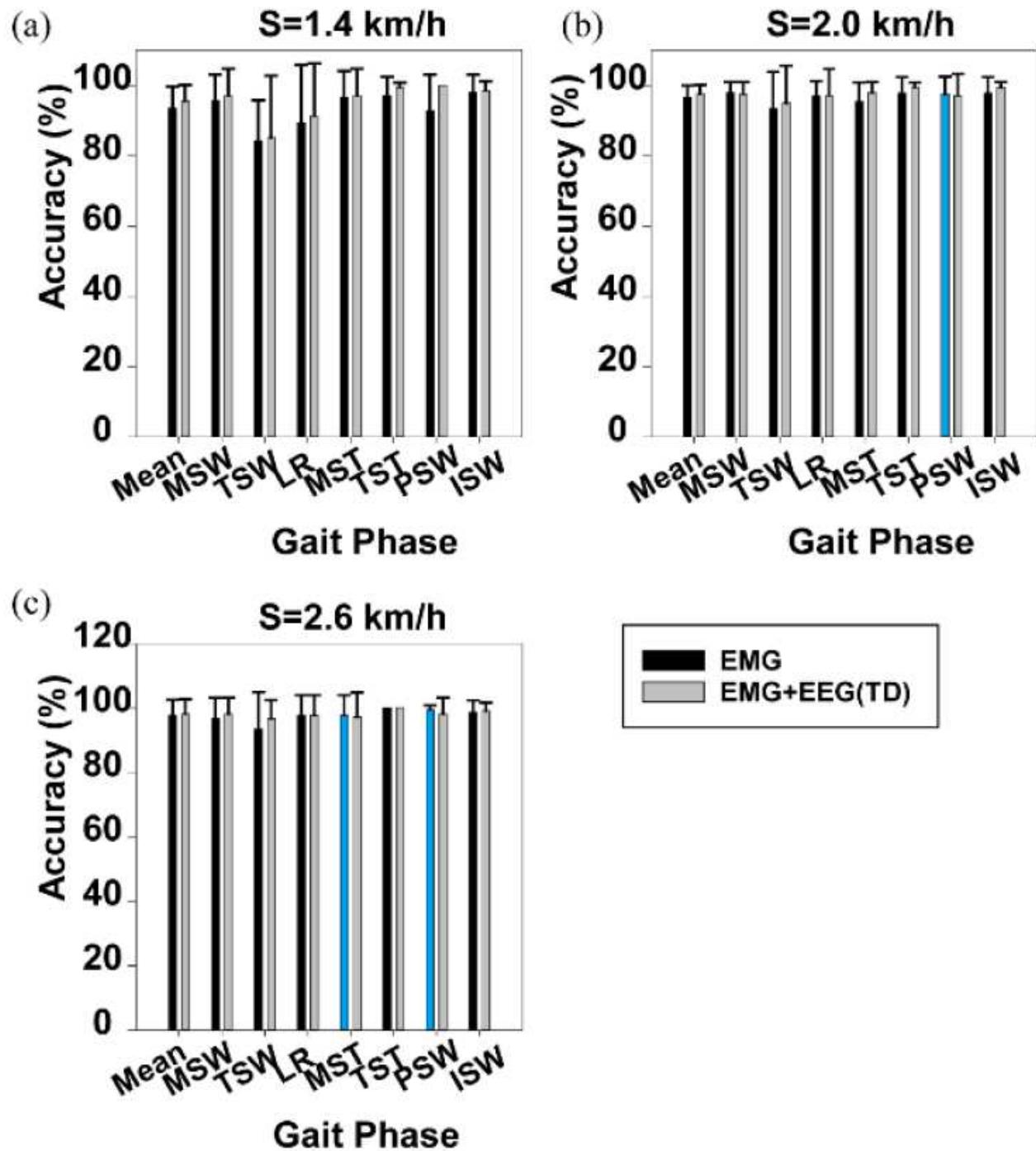


Figure 6

Gait phase recognition results based on the SSC and MPF features of sEMG as well as results based on the SSC and MPF features of sEMG and SSC feature of EEG. (a) Walking speed 1.4 km/h (b) walking speed 2.0 km/h (c) walking speed 2.6 km/h. The blue column indicate cases where results based on s EMG features are better than sEMG features combined with the EEG feature of SSC.

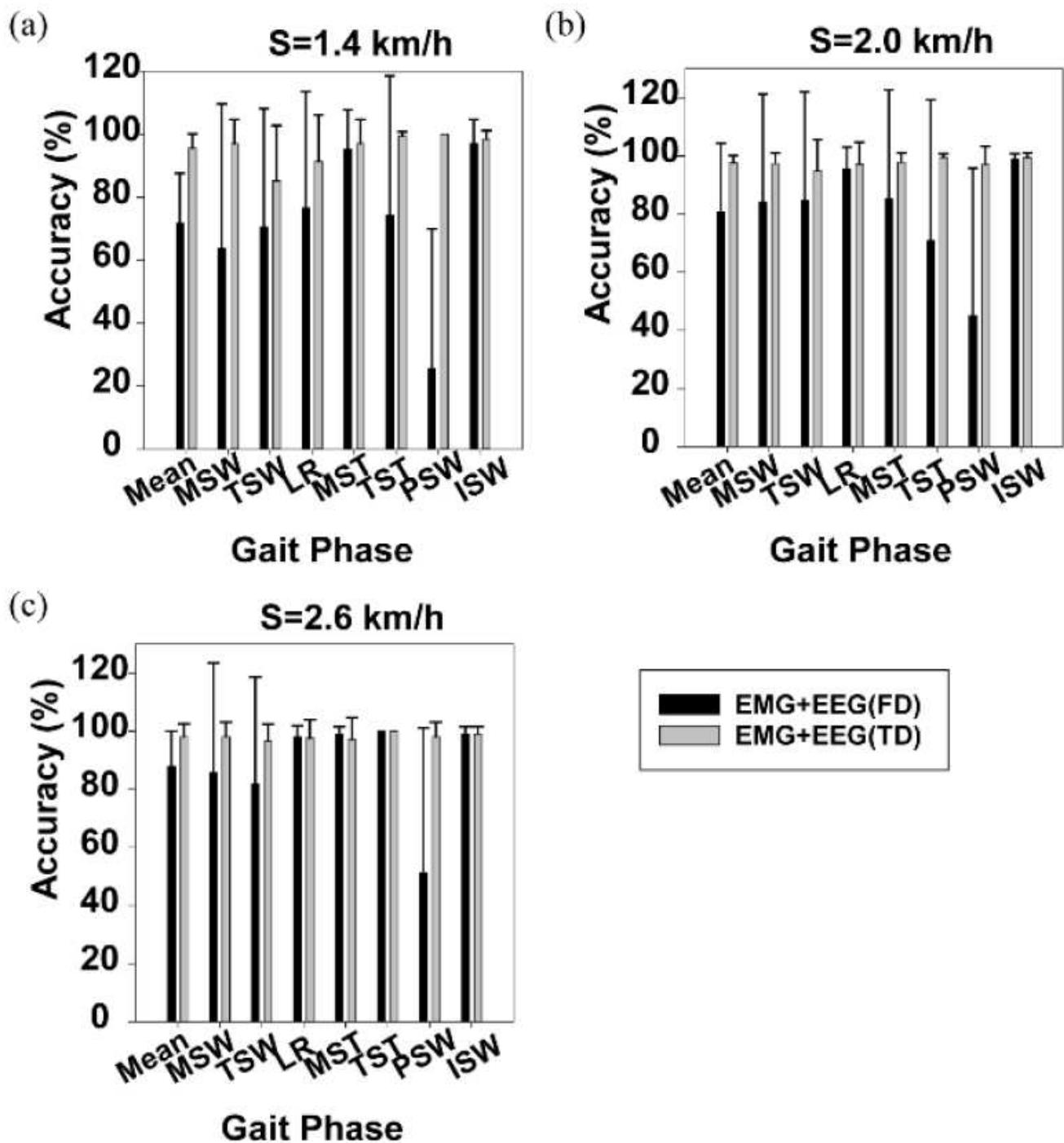


Figure 7

Gait phase recognition based on the SSC and MPF of sEMG and the SSC of EEG as well as gait phase recognition based on the SSC and MPF of sEMG and the MPF of EEG. (a) Walking speed 1.4 km/h (b) walking speed 2.0 km/h (c) walking speed 2.6 km/h

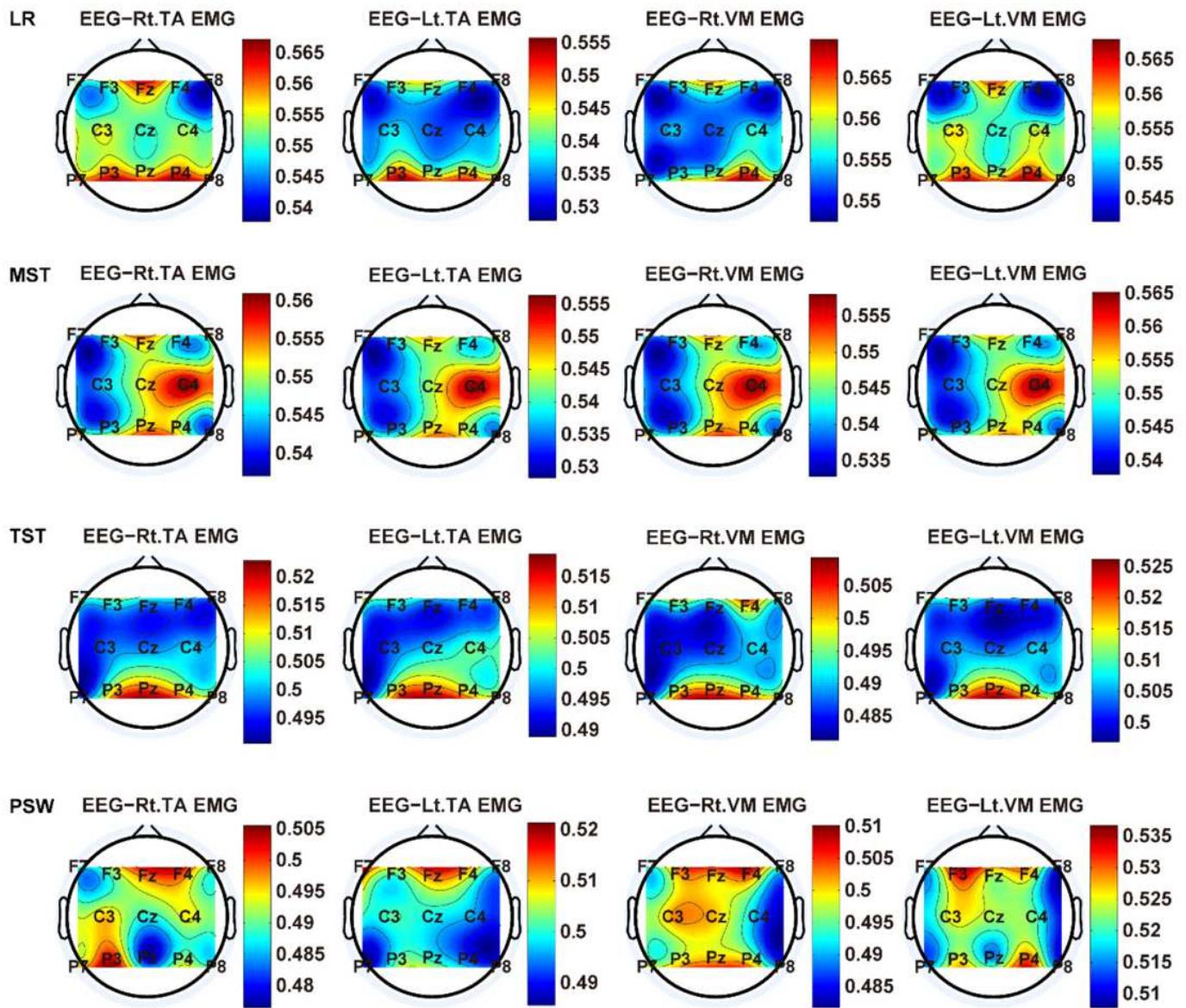


Figure 8

The TFCMI topography between EEG (beta band) and sEMG (TA, VM) of the stance phase (LR, MST, TST, PSW).

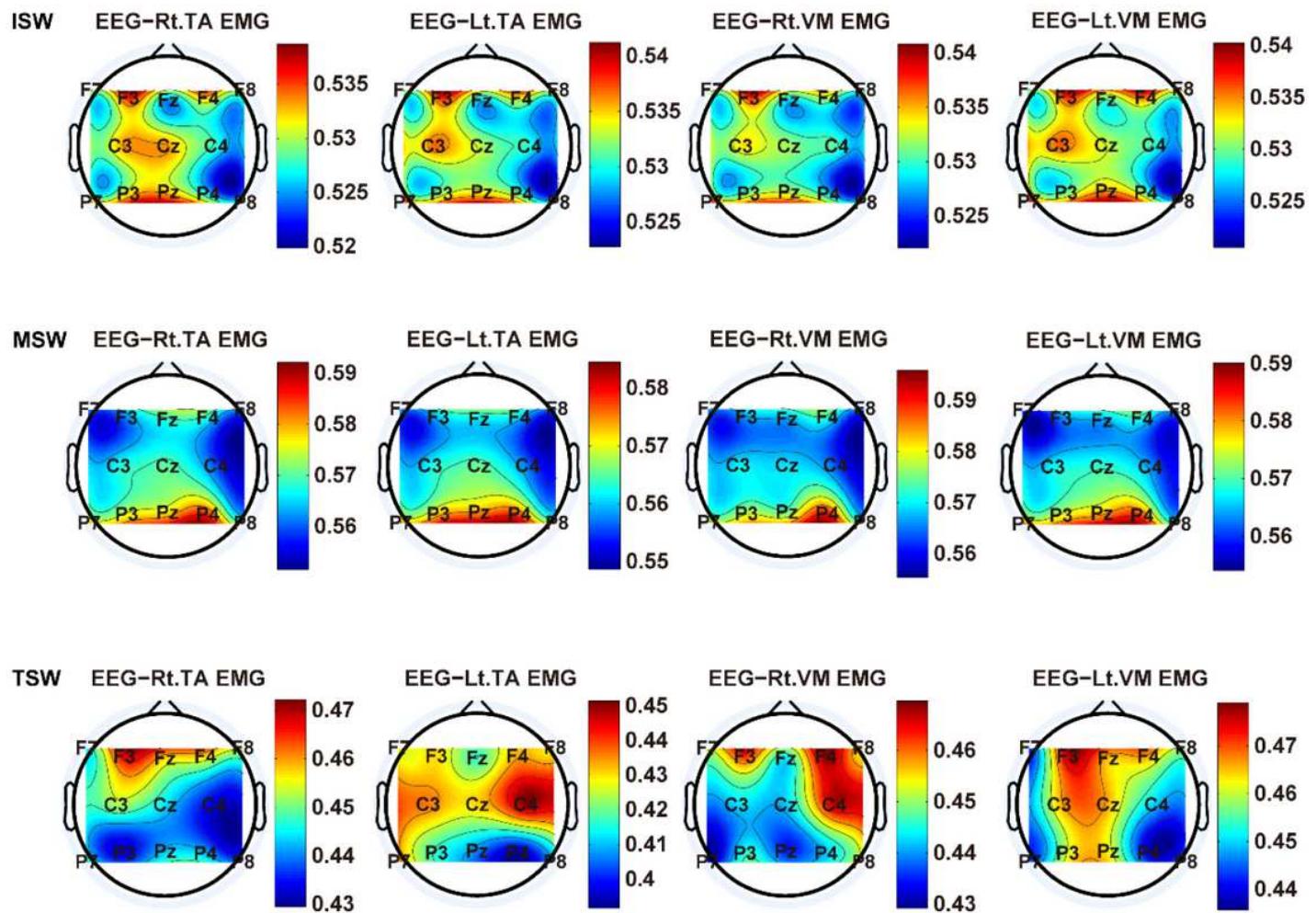


Figure 9

The TFCMI topography between EEG (beta band) and sEMG (TA, VM) of the swing phase (ISW, MSW, TSW).

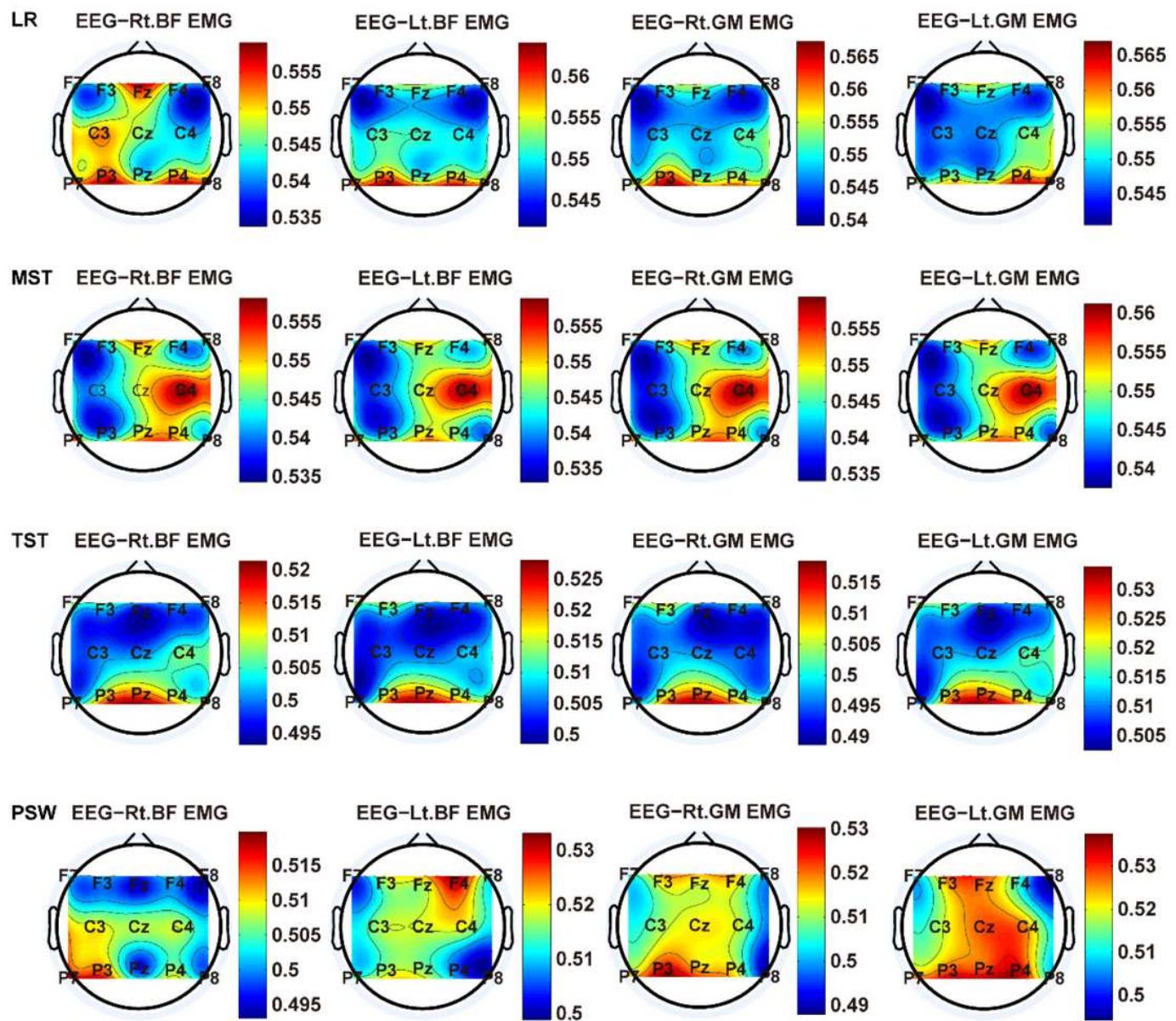


Figure 10

TFCMI topography between EEG (beta band) and sEMG (BF, GM) of the stance phase (LR, MST, TST, PSW).

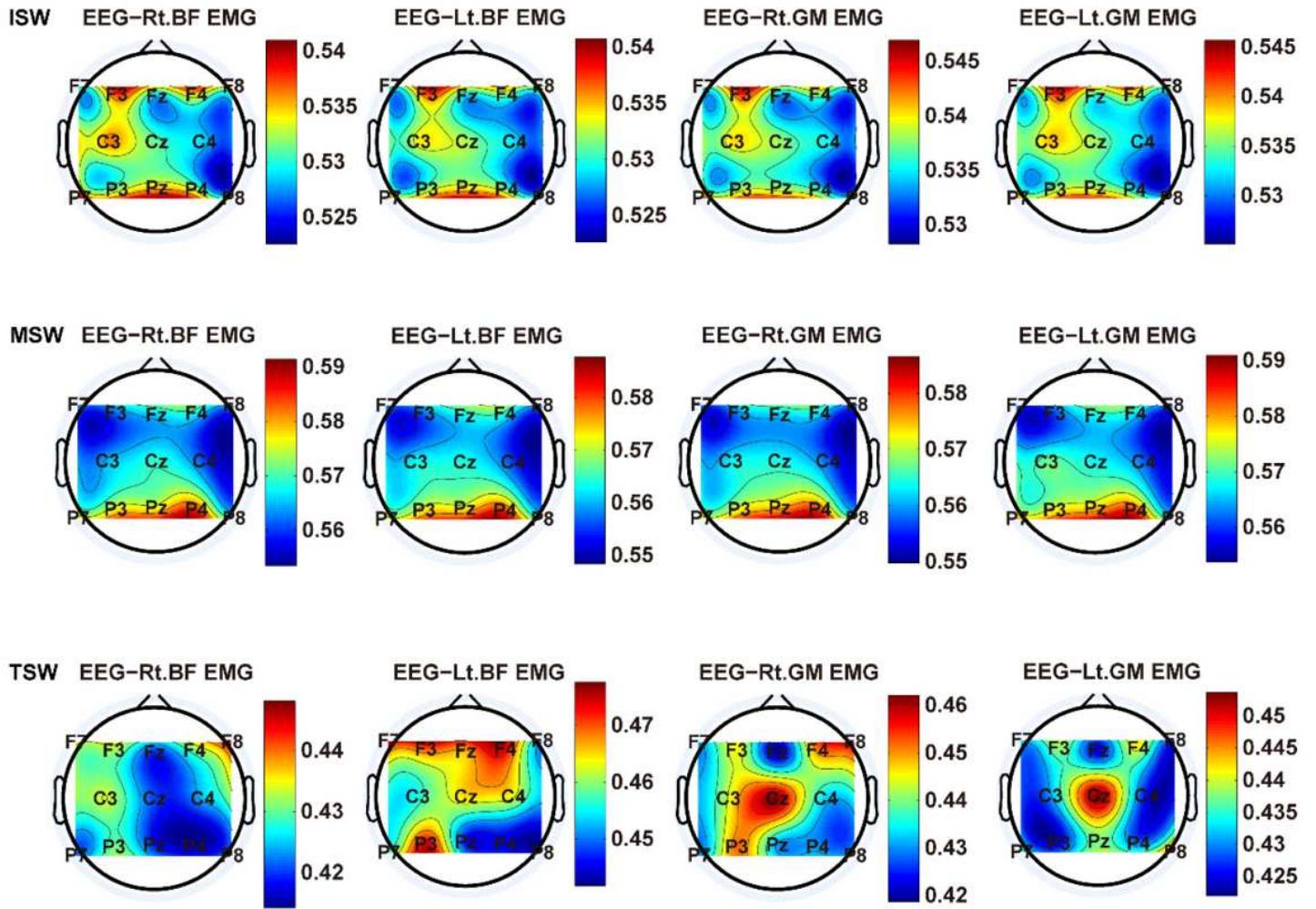


Figure 11

TFCMI topography between EEG (beta band) and sEMG (BF, GM) of the stance phase (ISW, MSW, TSW).

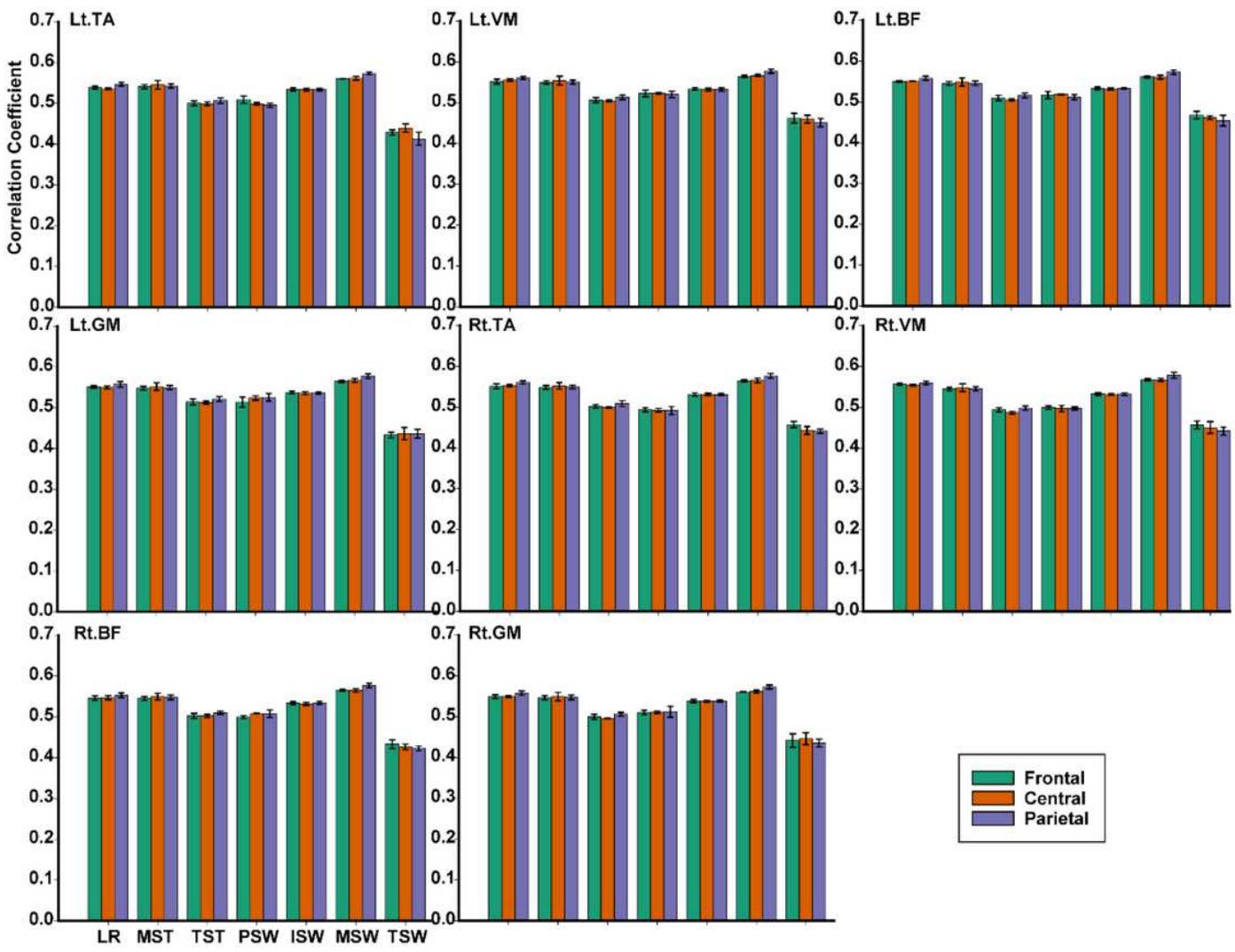


Figure 12

TFCMI values (beta band) of the frontal, central and p arietal lobes in the seven gait phases for the eight muscles.

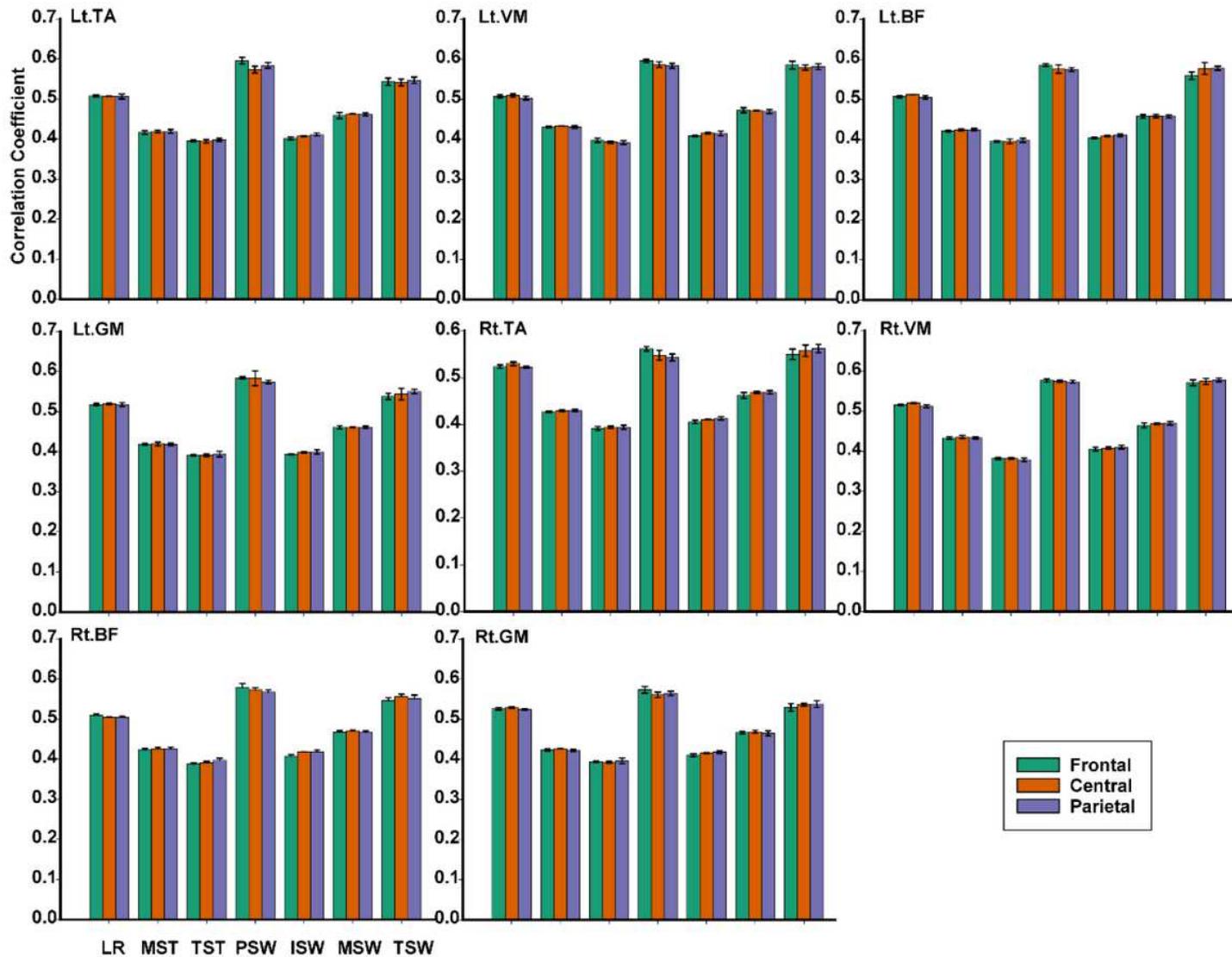


Figure 13

TFCMI values (gamma band) of the frontal, central and parietal lobes in the seven gait phases for the eight muscles.

Supplementary Files

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- FigS4.tif
- FigS3.tif
- FigS7.tif
- FigS1.tif

- FigS2.tif
- FigS5.tif
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