

Vaccine monitoring shows that focused immunization with SARS-CoV-2 receptor-binding domain provides a better neutralizing antibody response than full-length spike protein

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Article

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1 **Vaccine monitoring shows that focused immunization with SARS-CoV-2 receptor-binding domain**
2 **provides a better neutralizing antibody response than full-length spike protein**

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15
16 **Abstract**

17 Effective tools to monitor SARS-CoV-2 transmission and humoral immune responses are highly needed.
18 Protective humoral immunity involves neutralizing antibodies and will be a hallmark for the evaluation
19 of a vaccine response efficacy. Here we present a sensitive, fast and simple neutralization ELISA method
20 to determine the levels of antibody-mediated virus neutralization. We can show that it is strongly
21 correlated with the more elaborate plaque reduction neutralization test (PRNT) ($\rho = 0.9231$, $p < 0.0001$).
22 Furthermore, we present pre-clinical vaccine models using recombinant receptor binding domain (RBD)
23 and full-length spike antigen as immunogens showing a profound antibody neutralization capacity that
24 exceeds the highest neutralization titers from convalescent individuals. Using a panel of novel high-
25 affinity murine monoclonal antibodies (mAbs) we also show that majority of the RBD-raised mAbs have
26 inhibitory properties while only a few of the spike-raised mAbs do. In conclusion, the ELISA-based
27 viral neutralization test offers a time- and cost-effective alternative to the PRNT. The immunization
28 results indicate that vaccine strategies focused only on the RBD region may have major advantages over
29 those based on the full spike sequence.

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31
32

33 **Introduction**

34

35 COVID-19 has within a short time become a worldwide health crisis and the scientific community has
36 stepped up in earnest to this unprecedented challenge to develop diagnostic and therapeutic tools to
37 contain and treat the pandemic. As of September 2020, there were 231 vaccine candidates in the pipeline
38 and more than 30 in clinical trials¹. Apart from vaccines to prevent SARS-CoV-2 infection, passive anti-
39 SARS-CoV-2 antibody therapy to treat COVID-19 patients has emerged as a treatment possibility².
40 Studies have reported that the majority of COVID-19 patients develop neutralizing antibodies targeting
41 the spike glycoprotein within the first two weeks after symptom onset³⁻⁷, and that SARS-CoV-2-derived
42 antibodies have a protective effect in COVID-19 animal models such as rhesus macaques⁸ and rodents⁹⁻
43 ¹². Overall, current reports support the idea that antibody-based immunotherapy in the form of
44 monoclonal antibodies (mAbs) is beneficial in the treatment of COVID-19 patients. At the same time,
45 the use of convalescent plasma therapy has become debated^{13,14}. Nevertheless, protective humoral
46 immunity involves neutralizing antibodies and will be a hallmark for the evaluation of a vaccine response
47 efficacy.

48

49 The current standard method to evaluate the presence of neutralizing antibodies in the blood is the plaque
50 reduction neutralization test (PRNT). While it remains the gold standard due to its specificity and
51 sensitivity¹⁵⁻¹⁸, the PRNT is labour- and time-intensive, difficult to standardize, and requires highly
52 specialized personnel in high biosafety levels laboratories. Thus, it is of critical importance to develop
53 reliable and convenient methods to assess the virus-neutralizing capacity of patient- or animal-derived
54 antibodies to select convalescent plasma donors, develop mAbs-based therapeutics, and evaluate the
55 efficacy of vaccination strategies.

56

57 Here we describe the development of a quick, sensitive, and easy-to-operate neutralization ELISA-based
58 test for the determination of neutralizing antibodies based on the interaction between recombinant human
59 ACE-2 ectodomain and the SARS-CoV-2 RBD. We benchmarked our assay with the PRNT and two
60 commercially available tests. Using a previously described cohort of PCR-confirmed COVID-19
61 convalescent patients¹⁹, we measured the neutralization potency and the relative titers of IgG, IgM, and
62 IgA against the RBD, spike, and protein N. Furthermore, we evaluated the vaccine responses in pre-
63 clinical vaccine animal models using our antibody neutralization ELISA and generated high-affinity
64 monoclonal antibodies against the RBD and full-length spike protein. Finally, we performed binding
65 kinetic characterization, epitope binning, and determined their neutralization potency in our antibody
66 neutralization ELISA and the PRNT.

67

68

69 **Materials and methods**

70 **Buffers**

71 The following buffers were used: PBS (10.1 mM disodium phosphate, 1.5 mM monopotassium
72 phosphate, 2.7 mM potassium chloride, 137 mM sodium chloride), PBS-T (PBS + 0.05 % Tween-20),
73 PBS-T-EDTA (PBS-T + 5 mM EDTA), sample buffer (PBS-T-EDTA + 5% skim milk [70166 Merck,
74 New Jersey, USA]).

75

76 **Production and purification of recombinant ACE-2 ectodomain and SARS-CoV-2 viral**
77 **proteins**

78 The nucleotide sequence corresponding to the human ACE-2 receptor (aa. 17–740) with an N-
79 terminal CD33 signal peptide and a dual C-terminal 10xHis-AviTag (HHHHHHHHHH-
80 GLNDIFEAQKIEWHE) was ordered from Twist Biosciences and subcloned into a pTT5 expression
81 vector. Recombinant ACE-2 protein was produced by transient transfection using the Expi293™
82 Expression System Kit (Gibco, Thermo Fisher Scientific, Massachusetts, USA) according to the
83 manufacturer's recommendations and grown shaking in suspension in a humidified incubator at 37 °C
84 and 8% CO₂. On day five after transfection, AmMag™ Ni Magnetic Beads (GenScript, New Jersey,
85 USA) were added to the cell culture, followed by 2 h of additional incubation. The magnetic beads were
86 subsequently removed from the cell culture, washed, and eluted according to the manufacturer's
87 instructions. The purified protein was buffer exchanged into 50 mM Hepes, pH 7.4, 150 mM NaCl. The
88 nucleotide sequence for the trimeric prefusion-stabilized spike protein ectodomain (QIC53204, aa. 1–
89 1208) was optimized in terms of the codon adaptation index, high 5' mRNA folding energy, and repeated
90 adjacent codons. The coding sequence was modified by including two stabilizing proline substitutions in
91 positions 986–987, a GSAS substitution at the furin cleavage site (aa. 682–685), and a C-terminal
92 trimerization domain-8xHis (YIPEAPRDGQAYVRKDGWVLLSTFL-HHHHHHHH)²⁰. All DNA
93 manipulations were done in Visual Gene developer 1.9²¹. The nucleotide sequence was synthesized by
94 GeneArt (Thermo Fisher Scientific) and subcloned into a pcDNA3.4 expression vector. The production
95 and purification of SARS-CoV-2 protein N, RBD, and trimeric prefusion-stabilized spike protein
96 ectodomain used for immunization¹⁹. Purified RBD was biotinylated with a biotin ligase kit (Avidity,
97 Colorado, USA) according to the manufacturer's instructions. The plasmid used for synthesizing the
98 SARS-CoV-2 RBD polypeptide was made and kindly contributed by the International AIDS Vaccine
99 Initiative ("IAVI") and provided by the responsible IAVI employee, Joseph Jardine (Scripps Institute, La
100 Jolla, California, USA). The nucleotide sequence for the monomeric prefusion-stabilized spike protein
101 ectodomain (aa. 16–1208), modified with an N-terminal BiP signal peptide, two proline substitutions (aa.
102 967, 987) an AARA substitution at the furin cleavage site, and a C-terminal Capture select C tag (Thermo
103 Fisher Scientific), was synthesized and subcloned into a pExpres2-1 (Expres²ion
104 Biotechnologies) vector by GeneArt. Transiently transfected *Drosophila melanogaster* S2 cells
105 (Expres² Cells, Expres²ion Biotechnologies) were grown shaking in suspension at 25 °C for three days
106 after which the supernatant was harvested by centrifugation, concentrated and buffer exchanged
107 approximately 10-fold. The protein was purified on a Capture Select C-tag XL column (Thermo Fisher

108 Scientific) eluted using MgCl₂ (0.5–1 M), followed by size exclusion chromatography using a
109 Superdex200 column (Cytiva, Massachusetts, USA) equilibrated in PBS.
110 Protein purity was confirmed by SDS gel electrophoresis and Instant Blue total protein stain (Abcam,
111 Cambridge, UK), while the identity of the purified proteins was confirmed by blotting onto Invitrolon
112 PVDF membranes (Invitrogen, Thermo Fisher Scientific) and detecting either with anti-hACE-2 goat
113 IgG (AF933 R&D systems, Minnesota, USA) followed by anti-sheep-HRP conjugate polyclonal rabbit
114 1.3 g/L (P0163 Dako, Agilent, California, USA) or with streptavidin-HRP conjugate (RPN131V
115 Amersham, Sigma-Aldrich, Missouri, USA).

116

117 **Serum and plasma samples**

118 A total of 310 serum and plasma samples from recovered individuals with a previous SARS-CoV-2
119 infection confirmed by qPCR were included in the study. The participants have been described
120 elsewhere¹⁹. Serum and plasma samples from healthy blood donors collected before December 2019
121 were used as negative control.

122

123 **Development of an ELISA-based SARS-CoV-2 neutralization assay**

124 The assay was optimized by sequentially assessing the effect of detection reagents, i.e. high
125 sensitivity streptavidin-HRP (HS-strep-HRP, 21130 Pierce, Wisconsin, USA) or streptavidin-
126 HRP conjugate (RPN131V Amersham); convalescent serum pre-incubation times (0–60 min);
127 ACE-2 coat concentration (0.5–8 µg/ml); RBD:ACE-2 binding times (15–90 min); and sample
128 choice (serum, heat-inactivated serum, plasma). In the final setup, ACE-2 (1 µg/ml) was coated
129 in MaxiSorp microtiter plates (Thermo Fisher Scientific) overnight in PBS at 4 °C. The day after,
130 biotinylated RBD (4 ng/ml) was incubated with HS-strep-HRP (1:16,000 dilution) and
131 convalescent serum dilutions (6-point 4-fold dilution starting at 20%) for 60 min in low-binding
132 polypropylene round-bottom plates (Thermo Fisher Scientific). Next, the serum:RBD mix was
133 transferred to ACE-2 coated plates and allowed to bind for 15 min, before detection with TMB
134 One (KemEnTec Diagnostics, Taastrup, Denmark). The reaction was stopped with 0.3 M H₂SO₄ and the
135 optical density (OD) measured at 450 nm. Microtiter plates were washed thrice with PBS-T between
136 steps and all incubations took place at room temperature (RT) in an orbital shaker unless otherwise stated.

137 The neutralization index was calculated as: $Neutralization (\%) = \left(1 - \frac{sample\ OD}{control\ OD}\right) * 100$.

138 Negative neutralization indexes were normalized to 0. A serum pool ($n = 3$) collected before the
139 emergence of SARS-CoV-2 was used as control. The matrix effects are represented as the ratio
140 between each dilution of serum/plasma/non-specific mAbs and the blank (RBD:HS-strep-HRP in
141 PBS-T) * 100. The intra-assay coefficient of variation was calculated on the estimated IC₅₀ values
142 of a serum pool from six convalescent patients with high anti-RBD IgG titers measured 8 times
143 in a single plate. The inter-assay coefficient of variation (CV) was calculated on the average IC₅₀
144 of a serum sample with high anti-RBD IgG titers from at least three independent plates run in
145 three different days ($n = 12-15$).

146

147 **Plaque reduction neutralization test (PRNT)**

148 SARS-CoV-2, Freiburg isolate, FR-4286 (kindly provided by Professor Georg Kochs, University of
149 Freiburg) was propagated in VeroE6 cells expressing human TMPRSS2 (VeroE6-hTMPRSS2) (kindly
150 provided by Professor Stefan Pöhlmann, University of Göttingen²²) with a multiplicity of infection
151 (MOI) of 0.05 in DMEM (Gibco, Thermo Fisher Scientific) + 2% FCS (Sigma-Aldrich) + 1% Pen/Strep
152 (Gibco) + L-Glutamine (Sigma-Aldrich) (from here, complete medium). Supernatant from 72 h post-
153 infection containing new virus progeny was harvested and concentrated on 100 kDa Amicon
154 ultrafiltration columns (Merck) by centrifugation at 4,000 x g for 30 min. Virus titer was determined by
155 TCID_{50%} assay and calculated by Reed-Muench method²³. Sera from convalescent COVID-19 patients
156 (15 representative samples with low, intermediate, and high RBD-specific IgG titers) was heat-
157 inactivated (30 min, 56 °C), and prepared in 2-fold serial dilutions in complete medium. MAbs raised
158 against SARS-CoV-2 RBD, or prefusion-stabilized spike protein were prepared in complete medium at
159 100 µg/ml and subsequent 3-fold serial dilution. Serum or antibody dilutions were mixed with SARS-
160 CoV-2 at a final titer of 100 TCID₅₀/well and incubated at 4 °C overnight. "No serum" and "no virus"
161 (uninfected) samples were included as controls. The following day virus:serum or virus:antibody
162 mixtures were added to 2 x 10⁴ Vero E6-hTMPRSS2 cells seeded in flat-bottom 96-well plates, and
163 incubated for 72 h in a humidified CO₂ incubator at 37 °C, 5% CO₂. The neutralization assay was stopped
164 by fixing with 5% Formalin (Sigma-Aldrich) and staining with crystal violet solution (Sigma-Aldrich).
165 The plates were read using a light microscope (Leica DMI1, Leica, Wetzlar, Germany) with a camera
166 (Leica MC170 HD) at 10x magnification, and the cytopathic effect scored.

167

168 **Determination of IgG, IgM, and IgA titers against RBD, spike, and protein N**

169 Microtiter 384-well plates were coated with 1 µg/ml of RBD, monomeric full-length spike, or
170 protein N in PBS overnight at 4 °C. Serum samples from COVID-19 convalescent patients were
171 applied in a 3-point 3-fold serial dilution starting at 1:400 in sample buffer. A serum sample from
172 a COVID-19 patient with high IgG, IgM, and IgA titers against RBD was used as a calibrator.
173 HRP-conjugated polyclonal rabbit antibodies against human IgG (P0214), IgM (P0215), and IgA
174 (P0216) (0.5 µg/ml, all from Agilent Technologies, Santa Clara, CA) were used as detection antibodies.
175 Unless otherwise stated, all incubation steps were performed for 1 h at RT in a shaking platform, and the
176 plates were washed between steps with PBS-T. Plates were developed with TMB One for 7 min for IgG,
177 and 10 min for IgM and IgA, and the reaction was stopped with 0.3 M H₂SO₄, and the OD measured as
178 described previously. Antibody titers against RBD have previously been reported by our group¹⁹.

179

180

181 **Mice immunization and generation of mAbs against SARS-CoV-2 RBD and spike protein**

182 Four groups ($n = 4$ per group) of outbred NMRI mice were immunized against SARS-CoV-2 RBD or
183 trimeric prefusion-stabilized spike protein ectodomain. The mice used for mAb generation received three
184 subcutaneous injections with 20 μg of either recombinant RBD or trimeric prefusion-stabilized spike
185 protein ectodomain adsorbed to GERBU P adjuvant (Gerbu, Heilderberg, Germany) as recommended by
186 the manufacturer. Four days before the fusions, the mice received an intravenous boost of 15 μg antigen
187 without adjuvant. Spleenocytes were collected and the fusion was done essentially as described
188 previously²⁴. Hybridomas were screened by direct ELISA using MaxiSorp microtiter plates (Thermo
189 Fisher Scientific) coated with 0.5 $\mu\text{g}/\text{ml}$ of recombinant monomeric full-length spike protein or RBD and
190 cloned by limiting dilution. Positive clones were purified with HiTrap Protein G columns connected to
191 an Äkta Pure system (both from Cytiva).

192 For the pre-clinical vaccine strategy, the two other groups ($n = 4$) of mice received in total four doses of
193 20 μg recombinant RBD or trimeric full-length spike protein ectodomain as above, and polyclonal
194 antisera were collected seven days after each immunization.

195

196 **Determination of antibody titers in immunized mice and COVID-19 convalescent patients**

197 Mice sera were applied to microtiter plates coated with RBD or monomeric full-length spike
198 protein (both 1 $\mu\text{g}/\text{ml}$) in a 9-point 4-fold serial dilution starting at 1:100. Human convalescent
199 sera were applied in an 8-point 4-fold serial dilution starting at 1:25. The samples were incubated
200 for 80 min, followed by a 45 min incubation with rabbit anti-mouse-HRP conjugate (1:2000
201 dilution, P0260) or polyclonal rabbit antibodies against human IgG (0.5 $\mu\text{g}/\text{ml}$, P0214) (both
202 from Agilent Technologies). Development was performed as described previously.

203

204 **Evaluation of the neutralization potency of mouse serum and mouse-derived mAbs**

205 The neutralization potency was calculated on a 9-point 4-fold serial dilution of serum (starting
206 at a 1:40 dilution) or a 6-point 4-fold dilution of purified mAbs (starting at 24 $\mu\text{g}/\text{ml}$), but
207 otherwise, as described before. Plates were washed between steps with PBS-T and all incubations
208 took place at RT.

209

210 **Epitope binning and affinity determination of RBD and spike mAbs by Bio-Layer
211 Interferometry (BLI)**

212 Binning experiments were performed using an Octet system (HTX, Red384) (ForteBio,
213 California, USA), based on the principle of BLI, equipped with anti-mouse IgG Fc capture
214 (AMC) sensors (Pall Life Sciences, California, USA), and using the 8-channel mode. The binning

215 assays were performed using a sandwich setup, and tips were regenerated between each cycle.
216 Antibodies were captured directly from supernatants. Briefly, (1) antibodies were loaded on
217 AMC tips (150 s); (2) AMC tips were blocked with 2 μ M of a mix of mouse IgG2a, IgG2b and
218 IgG1 (300s); (3) association with 100 nM spike protein (150s); and (4) competition with second
219 antibodies (150s). Unspecific binding was evaluated by including mouse IgG1 antibody as a first
220 and second antibody control. Response values from the second antibodies from step (4) were
221 used as basis for the binning data, in addition to visual inspection of individual binding curves
222 for all antibody competitions. Running/neutralization buffer was composed of 20 mM Hepes, 150
223 mM NaCl, 5 mM CaCl₂, 0.1% BSA (IgG free), 0.03% Tween-20, pH 7.4. Regeneration buffer
224 was 10 mM glycine-HCl, pH 1.5.

225 Affinity determination experiments were performed on the same Octet fortebio system instrument
226 as used for the binning experiment. Briefly, (1) antibodies were captured directly from
227 supernatant on AMC sensors (150s), (2) association to serial dilutions of RBD (10-point 2-fold
228 dilution starting at 200 nM) (300s), and (3) a dissociation phase (300s). Reference AMC sensors,
229 loaded with the same specific antibodies as subjected to the RBD concentrations series, were
230 subtracted for each specific antibody before data analysis. Global analysis of association and
231 dissociation phases fitted to a 1:1 binding model were employed.

232

233 **Ethics**

234 The use of convalescent donor blood sample in this study have been approved by the Regional Ethical
235 Committee of the Capital Region of Denmark with the approval ID: H-20028627.

236 The animal experimental procedures described in this study have been approved by the Danish
237 Animal Experiments Inspectorate with the approval ID: 2019-15-0201-00090.

238

239 **Statistics**

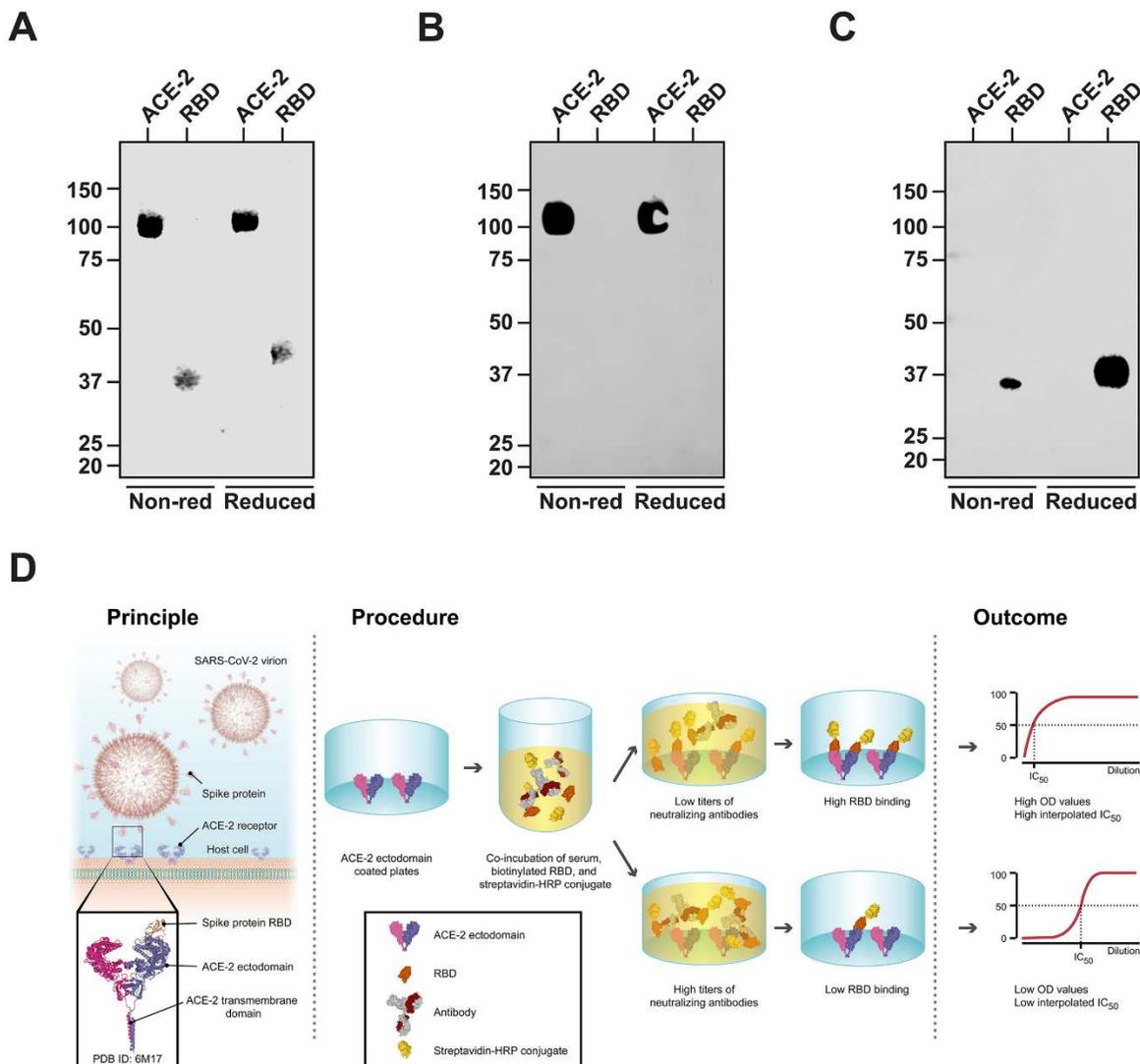
240 All analyses were performed with GraphPad Prism 8 (GraphPad Software, California, USA). IC₅₀
241 values were calculated using the equation [inhibitor] vs normalized response with variable slope.
242 IC₅₀ values from non-neutralizing serum samples were normalized to 1, and mAbs to 100. The
243 relationship between serum and plasma neutralization index, PRNT vs antibody neutralization
244 ELISA log(IC₅₀), neutralization vs IgG/M/A titers, and neutralization vs RBD affinity was
245 estimated linear regression analyses (goodness of fit reported as R^2) and two-tailed Spearman
246 rank correlation tests. IgG, IgM, and IgA titers were interpolated from a calibrator curve using a
247 four-parameter non-linear curve fitting and reported as AU/ml as described elsewhere¹⁹. P values < 0.05
248 were considered statistically significant.

249

250 **Results**

251 **Development of an ELISA-based surrogate virus neutralization test**

252 We synthesized recombinant human ACE-2 ectodomain (aa 17–740) and SARS-CoV-2 RBD (aa
253 319–591) and purified them by immobilized metal ion chromatography via their C-terminal
254 10xHis followed by size exclusion chromatography (Figure 1). To avoid steric hindrance between
255 RBD-bound, non-neutralizing antibodies in the analyte and detection antibodies when
256 determining the interaction between ACE-2 and RBD, we biotinylated the latter via a C-terminal
257 AviTag.



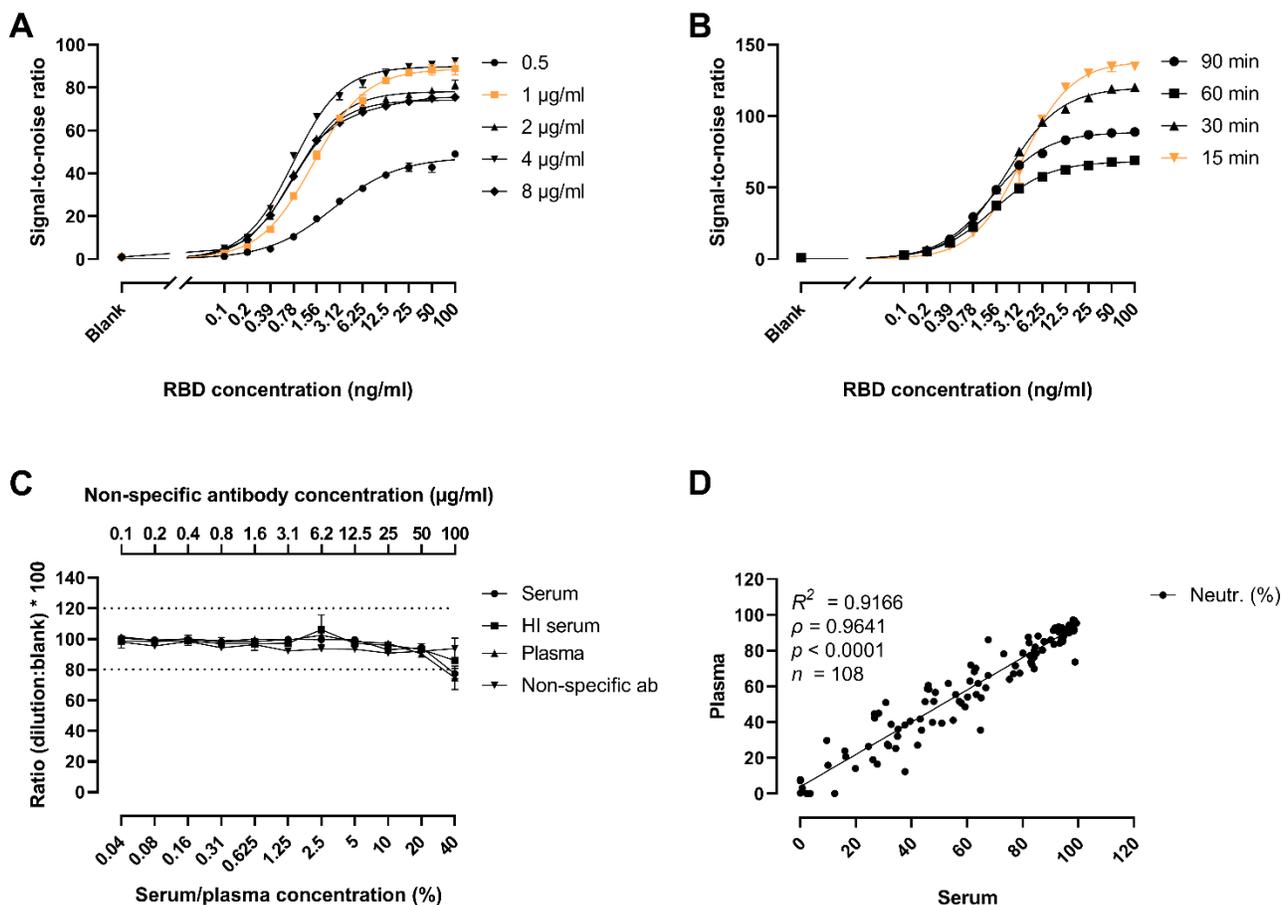
258

259

260 **Figure 1. Production of recombinant human ACE-2 and SARS-CoV-2 RBD.** Purified ACE-
261 2 and biotinylated RBD are shown by SDS-PAGE total protein stain (A) and western
262 immunoblotting using an anti-ACE-2 antibody (B), or streptavidin-HRP conjugate (C).
263 Schematic representation of the assay setup (D). Virion and protein models were generated with
264 PDBe Molstar from a coarse grain model of the virion²⁵, the crystal structures of the RBD/ACE-
265 2/B0AT1 complex (PDB ID: 6M17)²⁶, and a human IgG1 (PDB ID: 1HZH)²⁷.

266

267 Using the recombinant ACE-2 ectodomain and biotinylated SARS-CoV-2 RBD, we developed
268 an ELISA-based neutralization assay defining the reduction of the binding of RBD to coated
269 ACE-2 as a measure for the neutralization potency of sera from convalescent patients or
270 vaccinated mice. Aiming at making this assay as flexible and applicable by other laboratories
271 and testing platforms, we screened the effects of the detection reagents, coat density, assay time,
272 sample matrix, and sample type (Figure 2). Briefly, the ACE-2 coat was titrated and evaluated in
273 terms of the signal-to-noise ratio and total intensity, and a low-density coat of 1 µg/ml was used
274 for further assay development (Figure 2A). Shortening the RBD:ACE-2 incubation time to 15
275 minutes resulted in the best signal-to-noise ratio, mostly due to a reduction in the background
276 (Figure 2B). Matrix effects were evaluated on serial dilutions of serum, plasma, and non-specific
277 mAb dilutions with acceptable variation (< 20%) over a broad range of concentrations (below
278 40% serum/plasma and 100 µg/ml mAbs) (Figure 2C). The neutralization potency of matched
279 serum and plasma samples correlated highly ($\rho = 0.9641$, $p < 0.0001$, $n = 108$) (Figure 2D). Heat
280 inactivation of serum and addition of EDTA had no significant effect (Supplementary Figure 1).
281 The intra- and inter-assay CV were found to be satisfactory (4.21% and 12.95%, respectively).
282 Altogether, these results demonstrate that the ELISA-based neutralization test is robust, time-
283 effective, and suitable for the assessment of the neutralization potency in clinical samples.



284

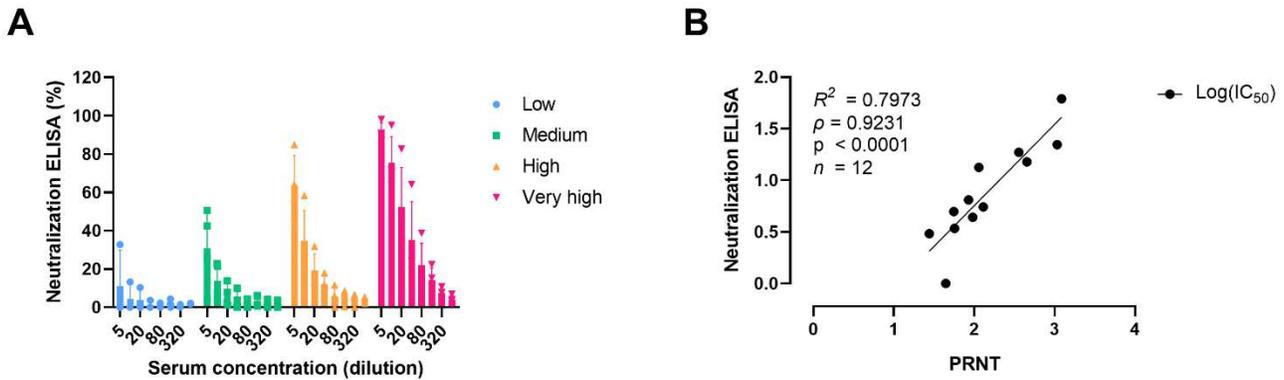
285 **Figure 2. Assay development.** Signal-to-noise ratio, calculated as the ratio between a given
 286 dilution and the blank, of the binding of serial dilutions of RBD on a 2-fold titration of coated
 287 ACE-2 (0.5–8 µg/ml) (A). Signal-to-noise ratio of the binding of serial dilutions of RBD
 288 incubated for 15 to 90 min on plates coated with 1 µg/ml of ACE-2 (B). Yellow lines highlight
 289 the parameters selected for the final assay setup. The matrix effects were analyzed by co-
 290 incubating RBD:HS-strep-HRP in increasing concentrations of a control serum, plasma, or non-
 291 specific antibody pools (C). Horizontal dashed lines delimit the 100 ± 20% acceptable recovery
 292 range. Spearman rank correlation coefficient between the neutralization (Neutr.) (%) in 20%
 293 serum and plasma (D). Trend line represents the linear regression ($R^2 = 0.9166$).

294

295 Assay validation

296 We compared the performance of the developed antibody neutralization ELISA to an authentic
 297 SARS-CoV-2 viral neutralization assay, the PRNT. When categorizing the samples on low,
 298 medium, high, and very high neutralization potencies, as calculated by the PRNT, we observed

299 that the antibody neutralization ELISA results match those obtained by the PRNT ($n = 15$), with
300 estimated IC_{50} values showing a strong correlation with the PRNT ($\rho = 0.9231$, $n = 12$) (Figure
301 3). Additionally, we benchmarked our antibody neutralization ELISA with two commercially
302 available ELISA-based kits ($n = 52$). A similarly satisfactory association was observed with two-
303 commercially available neutralization tests ($\rho = 0.9263$ – 0.9562 , $R^2 = 0.8445$ – 0.9232 , $n = 52$)
304 (Supplementary Figure 2).



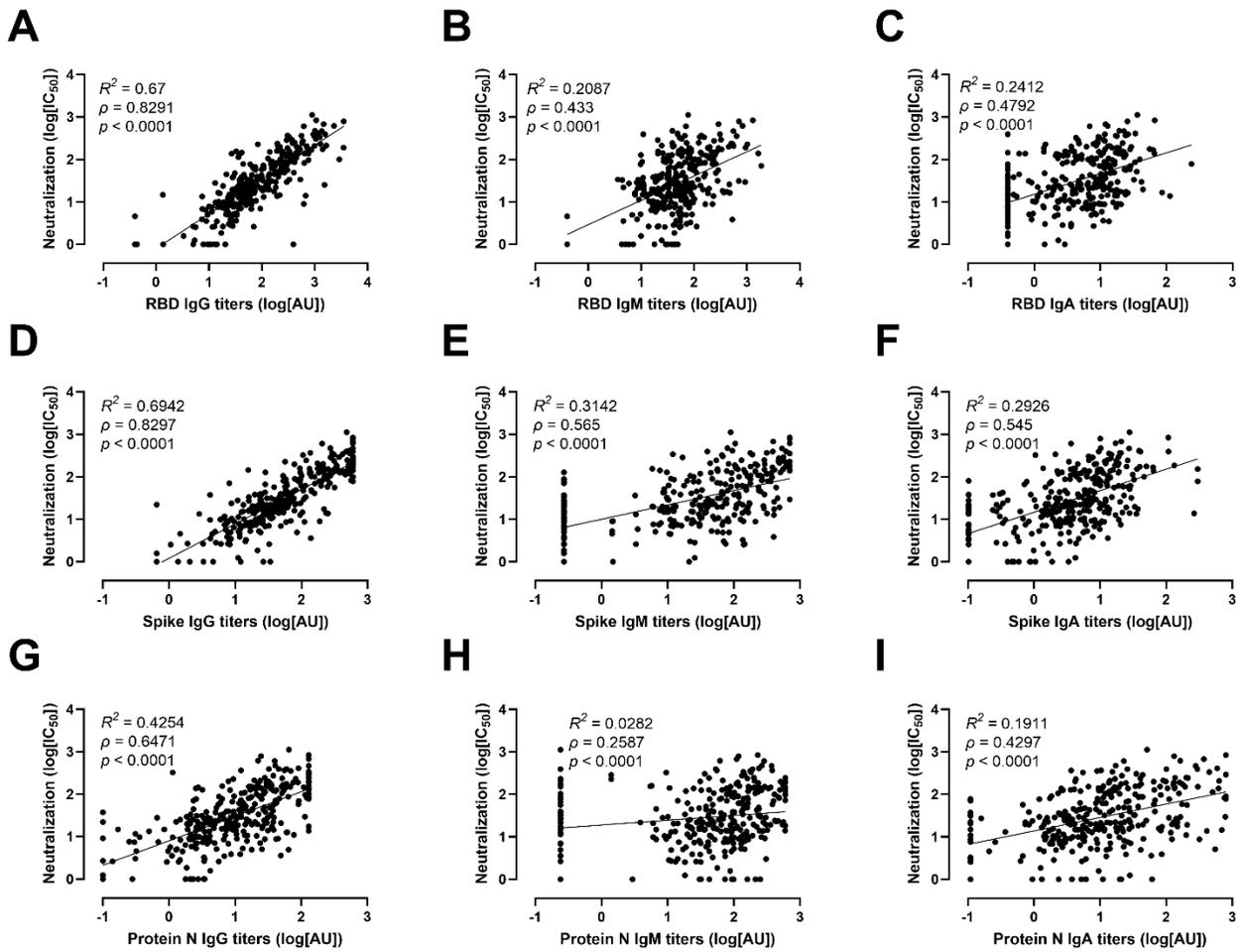
305

306 **Figure 3. Assay validation.** The neutralization potency of COVID-19 convalescent patient sera
307 ($n = 15$) was determined using the PRNT, classified by low, medium, high, and very high
308 neutralization potency, and analyzed using the developed antibody neutralization ELISA (A).
309 IC_{50} values were calculated from the neutralization indexes obtained from both tests using the
310 equation [inhibitor] vs. normalized response with variable slope, and their relationship was
311 analyzed using linear regression ($R^2 = 0.7973$) and Spearman rank correlation coefficient ($\rho =$
312 0.9231 , $p < 0.0001$) (B). IC_{50} values could not be interpolated with confidence from "low
313 potency" samples, and as such, were excluded from the correlation.

314

315 Measurement of neutralization potency and antibody titers in COVID-19 convalescent 316 patient sera

317 Using our ELISA neutralization test, we measured the neutralization potency of serum samples
318 from a cohort of convalescent patients with a confirmed COVID-19 diagnosis by qPCR ($n = 310$).
319 In parallel, we measured the titers of IgG, IgM, and IgA against RBD, spike, and protein N using
320 a direct ELISA approach (Figure 4), which was published recently¹⁹. The neutralization potency,
321 expressed as the IC_{50} , was strongly correlated to the IgG titers against RBD and spike ($\rho = 0.8291$
322 and 0.8297 respectively, $p < 0.0001$) and to a lower extent with the IgG titers against protein N
323 ($\rho = 0.6471$, $p < 0.0001$). Weaker correlations, albeit statistically significant, were found for the
324 IgM and IgA titers against all three viral antigens.



325

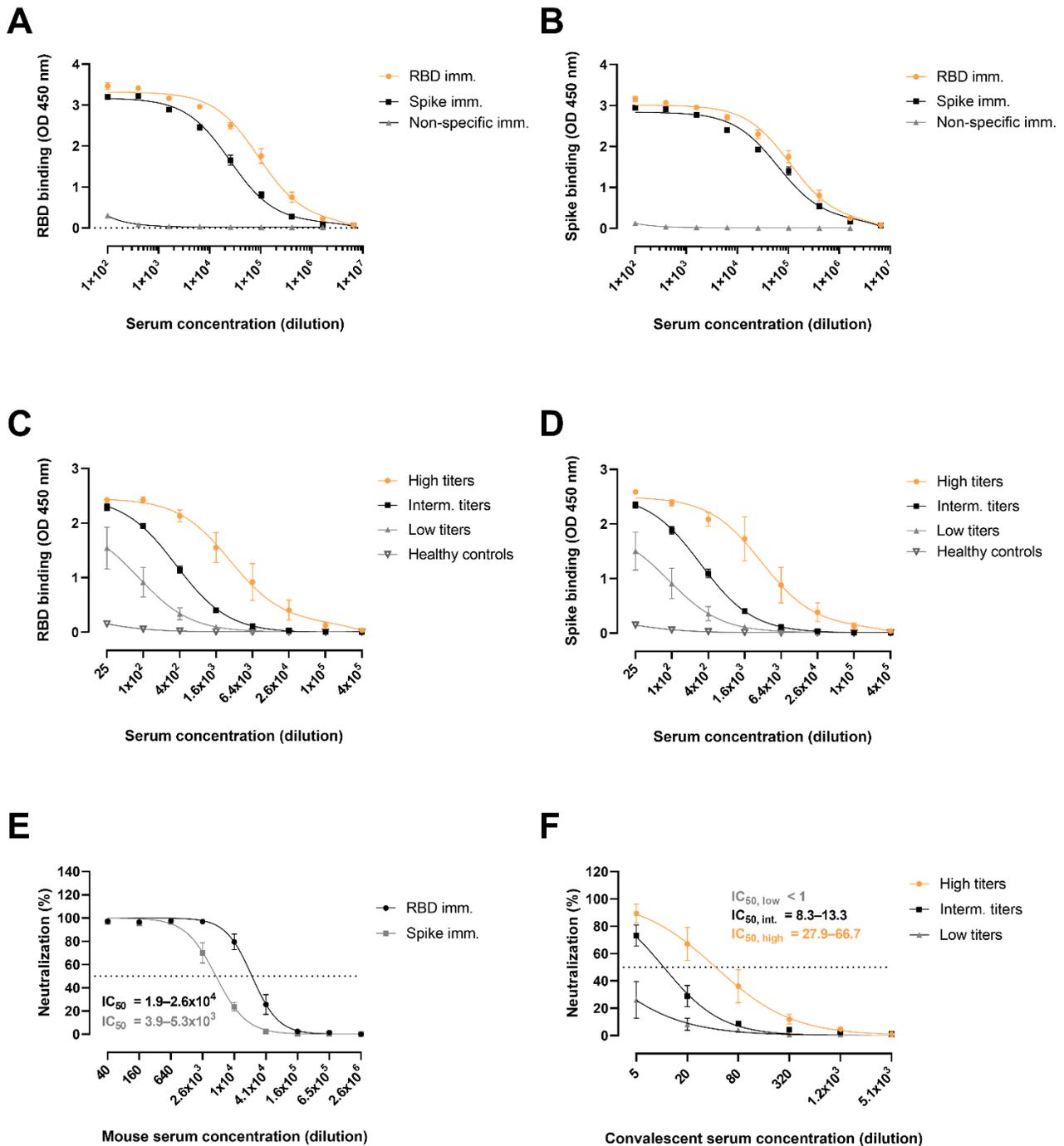
326 **Figure 4. Neutralization potency and antibody titers in COVID-19 convalescent patient**
 327 **sera.** Spearman rank correlation and linear regression analyses of the neutralization potency of
 328 sera and titers of IgG (A, D, G), IgM (B, E, H), and IgA (C, F, I) against RBD (A–C), spike (D–
 329 F), and protein N (G–I). Trend lines represent linear regression.

330

331 Mice immunizations

332 Next, we evaluated the antibody response in a pre-clinical animal vaccine model. Mice were
 333 immunized four times subcutaneously with either the RBD or trimeric spike ectodomain. Each
 334 mouse was bled seven days after the second, third and fourth immunization followed by an
 335 assessment of the polyclonal response against the antigen. The polyclonal antibody titers against
 336 RBD and spike from the third immunization round using a direct ELISA are shown in Figure 5
 337 A and B. The spike-specific antibodies in the RBD and spike immunized mice groups mirrored
 338 each other, while the RBD-specific antibody levels were lower in the spike-immunized group. A

339 SARS-CoV-2 non-related immunized mouse group was used as a negative control. Compared to
340 the antibody titers developed in COVID-19 convalescent individuals—grouped into high,
341 intermediate, and low titers (as determined by our direct RBD ELISA described above) (Figure
342 5 C, D)—the immunized mice developed in the order of 9–32-fold and 20–35-fold higher RBD
343 and spike titers, respectively, than any of the convalescent patient groups. Next, we assessed the
344 neutralization potency of immunized mice and convalescent patient sera in our antibody
345 neutralization ELISA (Figure 5 E, F), showing that immunized mice, particularly in the RBD
346 group, developed a robust neutralizing response, which was 500-fold more potent than the highest
347 titer response group of convalescent individuals. Antibody titers and neutralization potency of
348 mouse sera reached a maximum already after the second round of immunization. The presented
349 data was generated from sera collected after the third immunization.



350

351 **Figure 5. Antibody titers and neutralization potency of polyclonal mouse and convalescent patient**
 352 **sera.** Plates coated with recombinant RBD (A, C) or spike ectodomain (B, D). Mouse sera from mice
 353 immunized with RBD (RBD imm.), spike (Spike imm.), or a SARS-CoV-2 non-related antigen (Non-
 354 specific imm.) applied in a 4-fold dilution ($n = 4$ per group) (A, B). Human convalescent sera with high,

355 intermediate, and low RBD-specific IgG titers were applied in a 4-fold dilution ($n = 4$ per group) (C, D).
356 Serum samples from healthy blood donors were used as negative controls ($n = 4$). Neutralization potency
357 of polyclonal sera from mice immunized with RBD (RBD. imm.) or spike ectodomain (Spike imm.)
358 using our antibody neutralization ELISA ($n = 4$ per group) (E). Connecting lines represent a non-linear
359 fit using the equation one site – total binding. Neutralization potency of human convalescent sera grouped
360 by RBD-specific IgG titers ($n = 5$ per group) (F). Connecting lines represent a non-linear fit using the
361 equation [inhibitor] vs normalized response with variable slope. Data are presented as mean \pm SEM. IC₅₀
362 values are reported as 95% asymmetrical confidence intervals.

363

364 **Development of potent neutralizing mAbs**

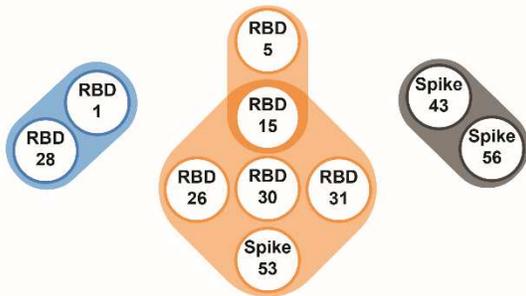
365 In the light of the promising results with the polyclonal mice sera, we sought to isolate and characterize
366 potent murine mAbs. We selected and characterized 17 mAbs immunized with RBD and 32 mAbs using
367 trimeric full-length trimeric spike protein ectodomain as antigens and a selected group of these were
368 purified by affinity chromatography. All clones isolated from RBD-immunized mice reacted with RBD
369 and spike to the same extent. However, for the clones that originated from spike immunized mice only 3
370 out of 32 mAbs showed full RBD:ACE2 inhibition, whereas 9 out of 17 of the mAbs from the RBD
371 immunization showed a strong inhibition profile. In the neutralization assessment, we selected mAbs
372 with a high binding capacity to the RBD (a total of three mAbs from the spike and seven from the RBD
373 immunization). These were further characterized in terms of biochemical and neutralization properties
374 (Figure 6). First, we studied their binding properties using biolayer interferometry (BLI) (Figure
375 6 A, B). The mAbs were immobilized onto biosensor tips and dipped into wells containing two-
376 fold dilution series of RBD (200–0.4 nM). All antibodies bound to RBD with low nM ($n = 4$) or
377 sub nM affinity ($n = 5$). Epitope binning experiments revealed several epitope hotspots within
378 the RBD, one recognized by RBD clones 1 and 28, and a second recognized by four RBD (15,
379 26, 30, 31) and one spike clones (53), with RBD clone 5 partially overlapping the same region
380 of RBD clone 15 (Figure 6 B). A third region was located in the spike protein and did not appear
381 to be involved in the interaction with the ACE-2 receptor. Next, we assessed the neutralization
382 potency of the mAbs both in the PRNT (Figure 6 C) and in the antibody neutralization ELISA
383 (Figure 6 D). In Figure 6 C and D, 3 mAbs are illustrated, i.e. two targeting a common epitope
384 within the RBD, and one outside. All seven RBD mAbs were neutralizing, with IC₅₀ values
385 ranging from 2 to 20 μ g/ml. Of the three spike mAbs, the two mapping outside the RBD were
386 non-neutralizing, while spike clone 53 outperformed all others with an estimated IC₅₀ of 0.2–0.3
387 μ g/ml. Binding affinities towards RBD (KD) were not directly correlated with neutralization
388 potency (Figure 6 D).

389

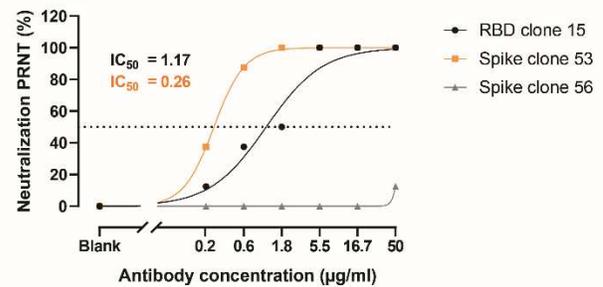
A

MAb clone	KD (M)	Ka (1/Ms)	Kdis (1/s)
RBD 1	1.36×10^{-10}	6.19×10^6	8.4×10^{-4}
RBD 5	3.36×10^{-9}	1.05×10^6	3.53×10^{-3}
RBD 15	2.36×10^{-9}	7.23×10^5	1.71×10^{-3}
RBD 26	7.19×10^{-10}	4.34×10^5	3.12×10^{-4}
RBD 28	1.44×10^{-10}	2.2×10^6	3.17×10^{-4}
RBD 30	5.29×10^{-10}	1.69×10^6	8.95×10^{-4}
RBD 31	1.84×10^{-9}	1.86×10^5	3.42×10^{-4}
Spike 43	-	-	-
Spike 53	2.8×10^{-10}	3.66×10^5	1.04×10^{-4}
Spike 56	2.06×10^{-9}	1.31×10^5	2.70×10^{-4}

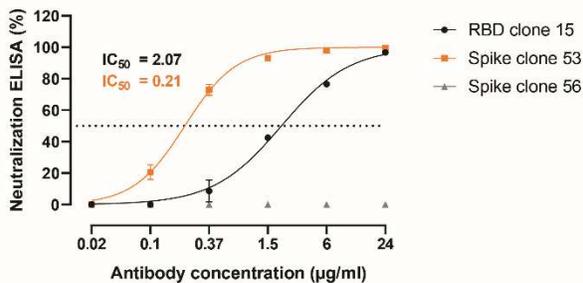
B



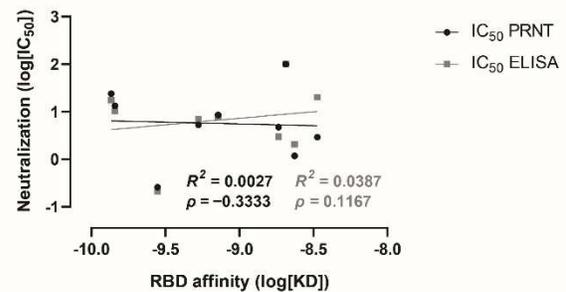
C



D



E



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Figure 6. Characterization of SARS-CoV-2 neutralizing mAbs. Binding kinetics of selected mAbs isolated from RBD or spike immunized mice were determined by BLI (A). The antibodies were immobilized in AMC sensors and dipped into serial dilutions of RBD (10-point 2-fold dilution starting at 200 nM). Epitope binning experiments on monomeric spike protein via BLI identified two noncompeting epitopes for both RBD and spike (B). The neutralization potency of three representative high-affinity mAbs was estimated by the PRNT (C) and the antibody neutralization ELISA (D). Correlation between the neutralization potencies calculated by the

399 PRNT and the antibody neutralization ELISA-based test and the dissociation constant (KD)
400 calculated by BLI (E). IC₅₀ values from non-neutralizing mAbs were normalized to 100. Non-
401 neutralizing spike clone 43 was excluded from the analysis. Trend line represents the linear
402 regression.

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408 **Discussion**

409

410 There is an urgent need for relevant serological assays to measure the protective effects of the
411 emerging SARS-CoV-2 vaccines. To monitor the prevalence of SARS-CoV-2 exposed
412 individuals in a population, many assays are based either on direct detection of anti-viral
413 antibodies or employing an indirect principle using viral antigens both for capture and
414 detection²⁸⁻³². However, none of these assays measures whether an exposed individual has
415 developed neutralizing protective antibodies. A good alternative would be the PRNT assays.
416 They are considered the gold standard for the evaluation of neutralizing antibody titers. However,
417 PRNT assays are time-consuming, requires high-class biosafety laboratories, have low output
418 and are expensive to perform¹⁵⁻¹⁸. Because the viral entry of the SARS-CoV-2 to the target cell
419 requires interaction between the RBD domain and the host ACE-2 receptor³³⁻³⁵, we have
420 developed an fast and simple antibody neutralization ELISA as a proxy for viral neutralization.
421 Using recombinant ectodomains of ACE-2 as capture and biotinylated RBD for detection we
422 were able to establish an antibody inhibition ELISA that correlated highly ($\rho = 0.9231$, $p <$
423 0.0001) with the PRNT assay using COVID-19 convalescent sera with a titer spectrum of anti-
424 SARS-CoV-2 antibodies. This suggests that the newly developed antibody neutralization ELISA
425 could replace PRNT and similar assays as a proxy tool to measure antibody-dependent SARS-
426 CoV-2 neutralization. The assay was robust and could be used with various types of analytes
427 (plasma, serum, heat-inactivated serum and purified mAb preparations). Moreover, we could
428 show that the antibody neutralization ELISA correlated well with similar newly launched
429 commercial assays to evaluate viral neutralization. Interestingly, the antibody neutralization
430 ELISA showed a good correlation with IgG antibody levels against SARS-CoV-2 RBD, full-
431 length spike, and protein N, but only a modest correlation with IgA and IgM levels, in agreement
432 with other reports³⁶.

433 To mimic a vaccine situation, we tested the ability of the antibody neutralization ELISA to assess
434 the inhibition capacity of sera from mice vaccinated with either recombinant trimeric spike
435 ectodomain or RBD. We performed four rounds of immunization and observed that antibody
436 levels against RBD and spike, as well as neutralization potency, reached a maximum already
437 after the second round, indicating that two immunizations with 14 days interval are sufficient to
438 develop a potent adaptive humoral response. We observed a clear difference in terms of IC_{50}
439 between the neutralization potency of RBD and full-length spike protein immunized mice sera;
440 a much better neutralizing capacity was observed when RBD was used as the immunogen. The
441 reason for this is at present unknown. However, it could imply that the B-cell receptor epitope
442 repertoire is highly distributed on the spike protein surface and that a focused immunization
443 approach using only the RBD might be relevant to consider in future vaccine development
444 strategies. Compatible with such notion is our observation that sera from convalescent

445 individuals that have been exposed to the whole virus had several hundredfold less neutralizing
446 capacity than the mice immunized with RBD. However, some caution should be taken since we
447 do not know whether the mice response can be directly translated to the human situation.
448 Nevertheless, the results suggest that the RBD without any carrier or other specific formulations
449 could be an excellent vaccine candidate.

450 To further address whether the antibody neutralization ELISA could be used to monitor
451 monoclonal antibody therapeutics, we developed a panel of mAbs raised against either trimeric
452 ectodomain spike or RBD. These results show that most of the mAbs raised against RBD were
453 indeed virus neutralizing (9 out of 17 with a full inhibition and 5 showed a partial inhibition
454 profile), while a minority of the total number of spike-raised mAbs neutralized the virus (3 out
455 of 32). The mAbs that proved to be of very high affinity (low nM to sub nM KDs) could
456 furthermore be a relevant therapeutic platform to pursue as a neutralizing engineered single-chain
457 variable fragment (scFv) mAb pool capable of inhibiting viral entry without exacerbating the
458 inflammatory response. Moreover, cocktails of noncompeting mAbs can broaden the efficacy of
459 antibody-based treatments by preventing the appearance of potential escape variants, as seen in other
460 viral diseases such as SARS-CoV-1³⁷ and HIV³⁸, and providing universal coverage of circulating
461 SARS-CoV-2 isolates.

462 In conclusion, we have developed a platform to monitor the neutralizing capacity of convalescent
463 plasma meant for COVID-19 therapy, that due to its ease-of-use and safety, could be used in
464 hospitals without access to biosafety level 3 laboratories as a routine test before and after
465 plasmapheresis. Moreover, it can be used as an easy screening platform for selecting the most
466 suitable mAbs for therapeutic development. Probably more important, our platform can be used
467 to monitor the neutralizing humoral vaccine responses towards SARS-Cov-2 safely and on a large
468 scale. Moreover, our data suggest that using RBD as an immunogen compared with full-length
469 spike protein might be a better strategy in creating a robust neutralization antibody response.
470 This should be considered when developing next-generation vaccines against SARS-CoV-2 or
471 related escape strains that could potentially emerge after the present pandemic.

472

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479 **Authors' contributions**

480 RB-O, M-OS and PG conceived and designed the study; RB-O, CH, MS, TB-T, JGJ enabled
481 recombinant protein production; RB-O, MI, AR, LP-A, CBH, CH, LBJ, SRP, MOS performed
482 experiments; RB-O, MI, LP-A, LBJ, SRP analyzed the data; RB-O, MOS and PG wrote the paper
483 with inputs from all co-authors. All authors approved the final version of the manuscript.

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Figures

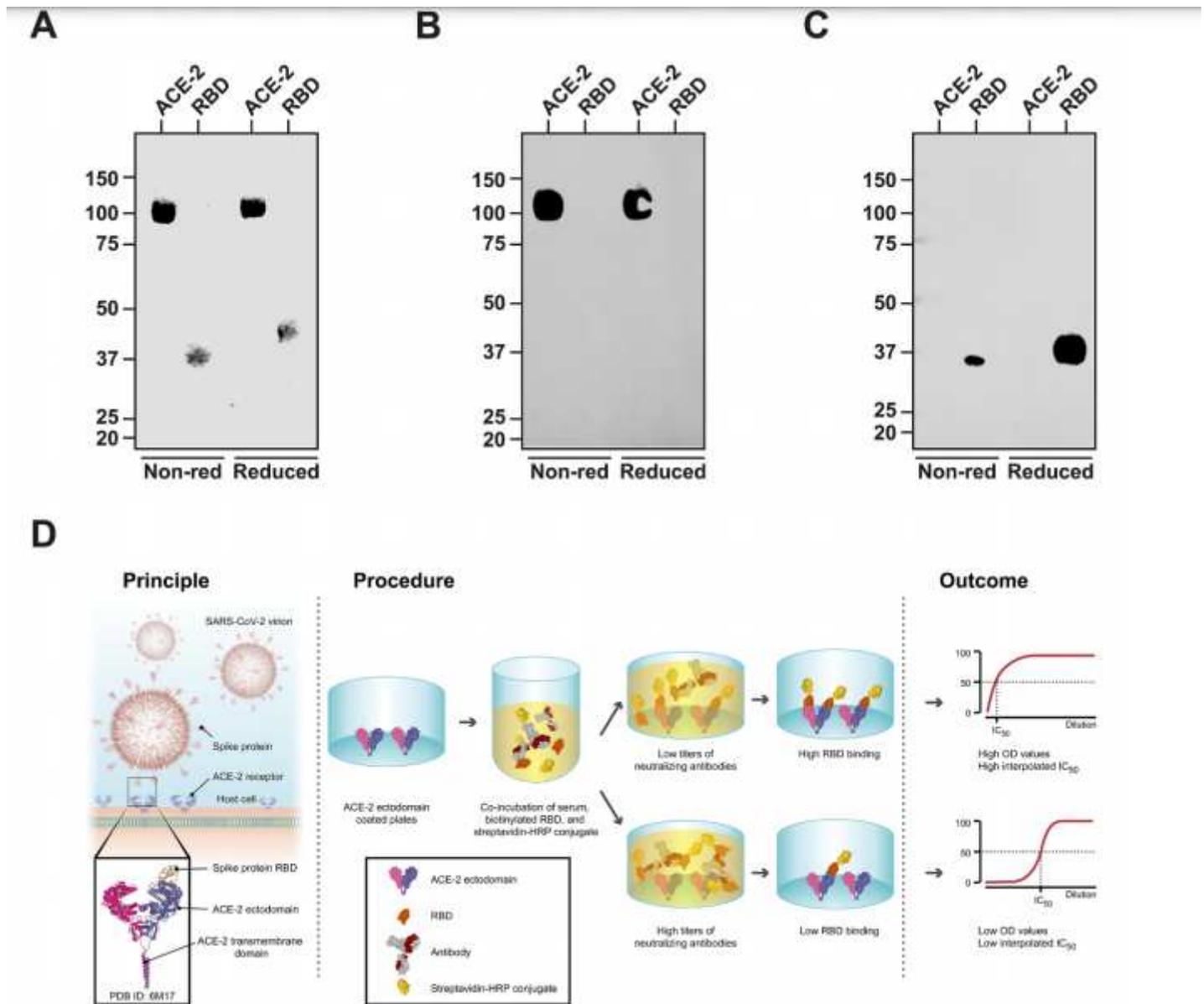


Figure 1

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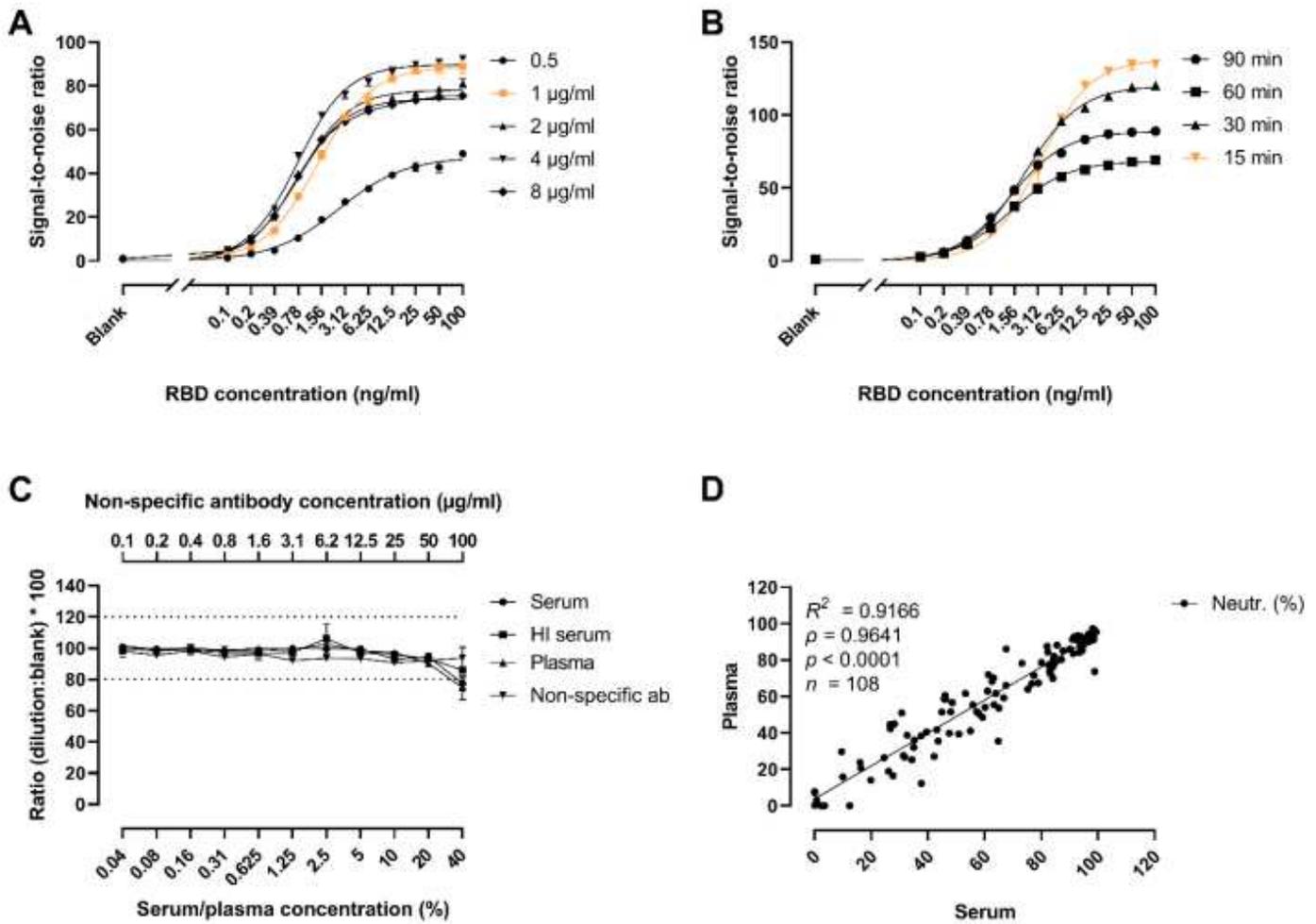


Figure 2

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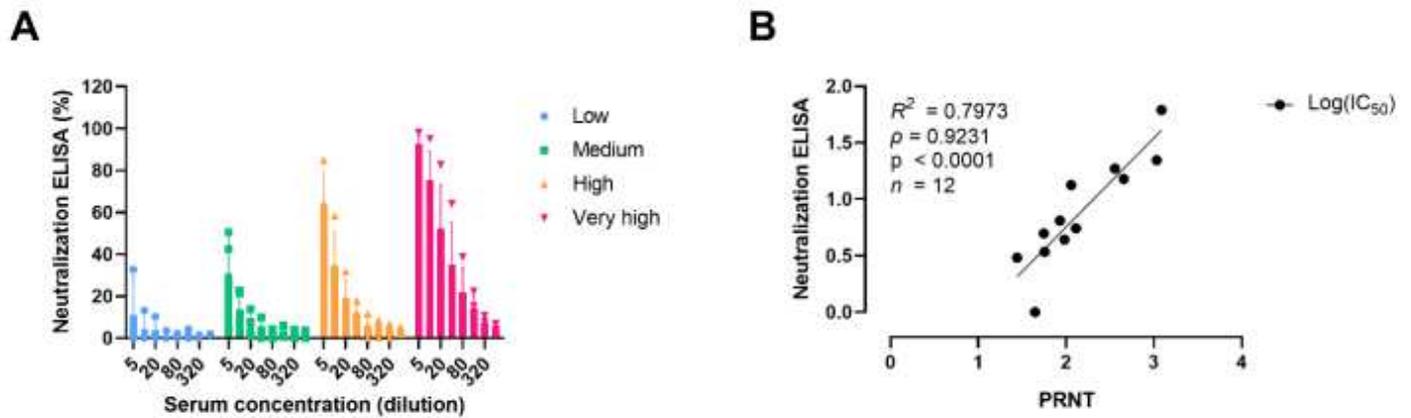


Figure 3

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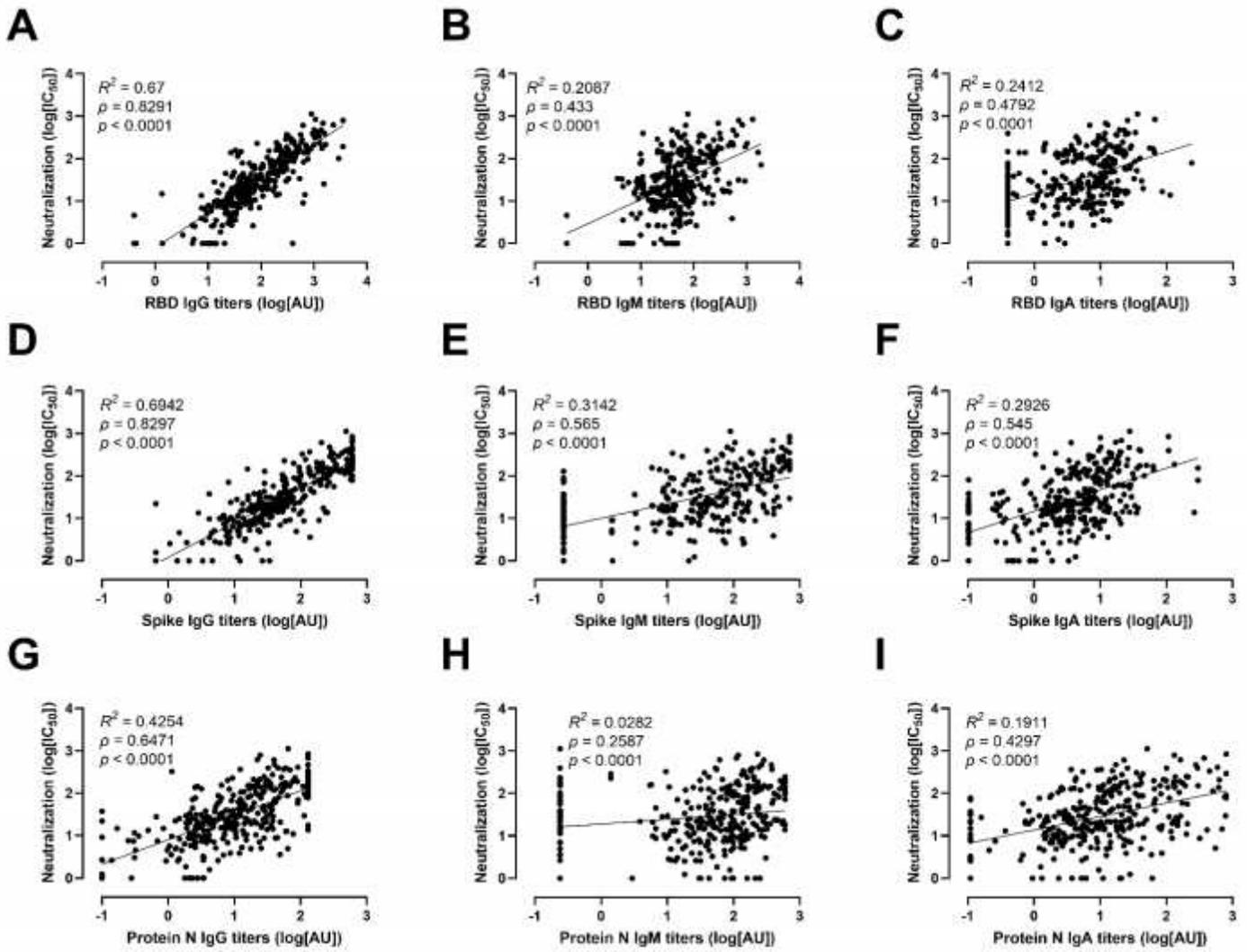


Figure 4

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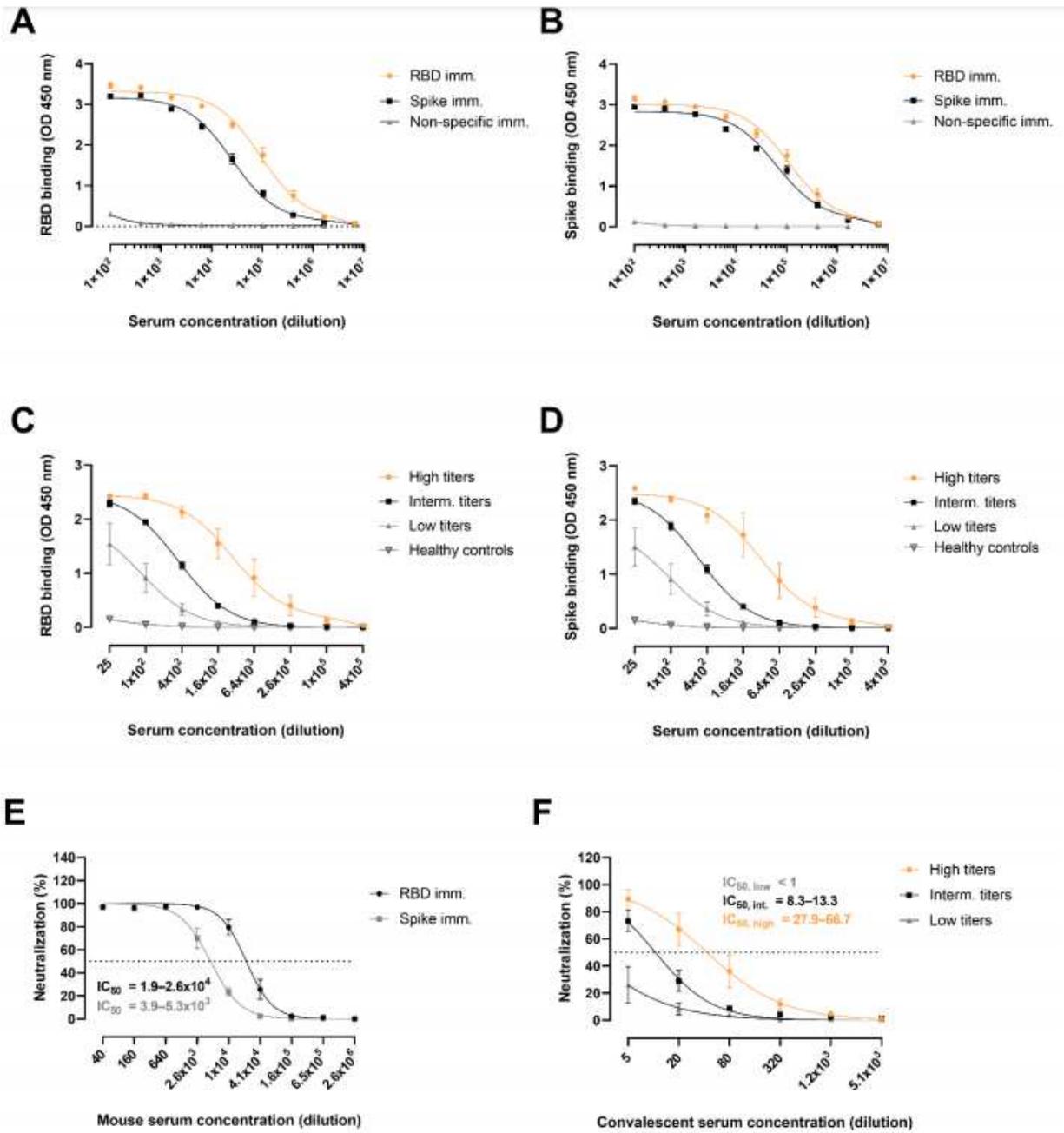


Figure 5

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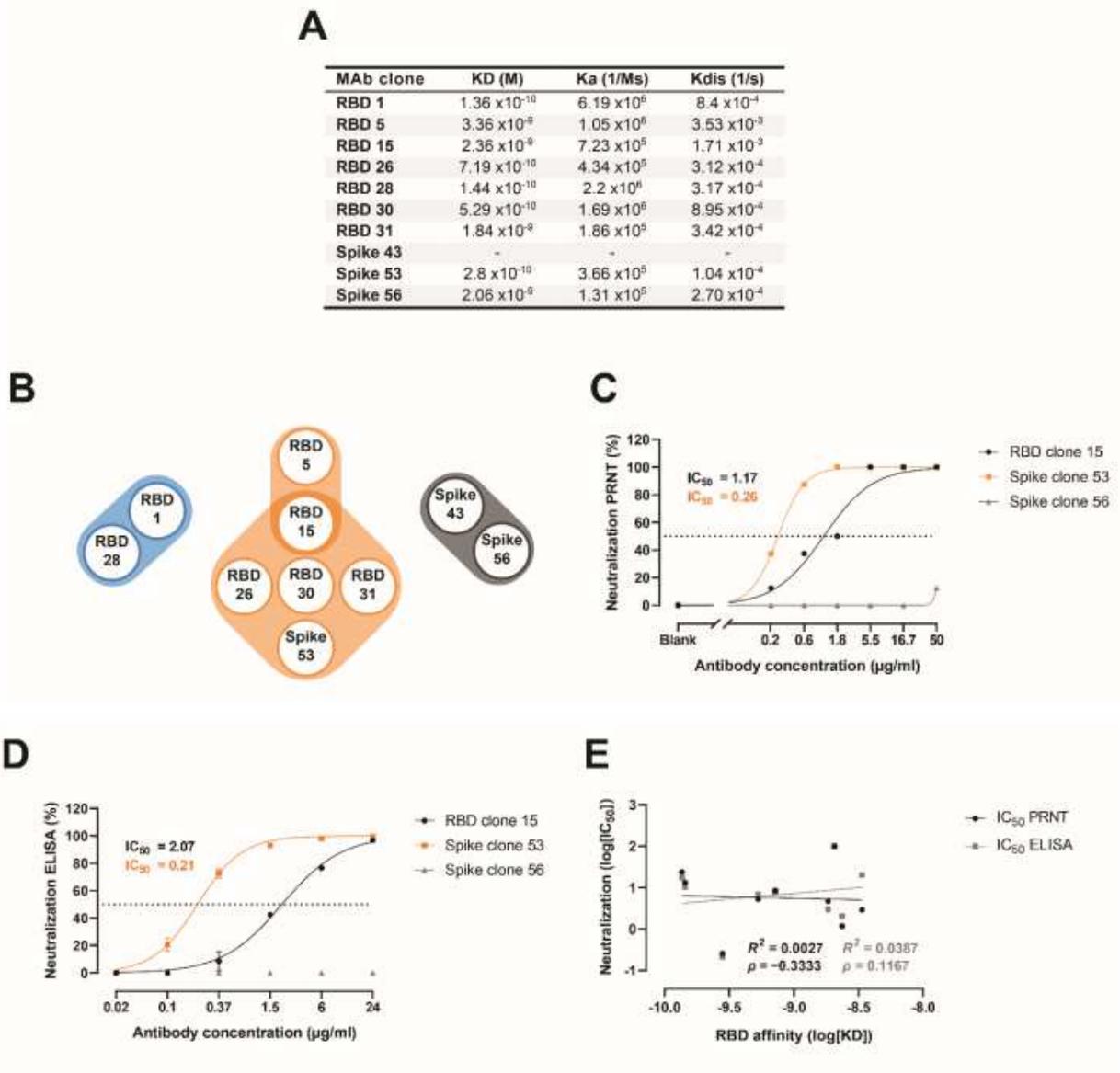


Figure 6

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