

# Construction of an m6A-related lncRNA model for predicting prognosis and immunotherapy in patients with lung adenocarcinoma

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## Research Article

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1 **Construction of an m6A-related lncRNA model for predicting prognosis and**  
2 **immunotherapy in patients with lung adenocarcinoma**

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20  
21 **Abstract**

22 **Purpose:** This study aimed to explore the role of N6-methyladenosine (m6A)-related  
23 lncRNAs in lung adenocarcinoma (LUAD).

24 **Methods:** Gene expression data and clinical data of LUAD patients were acquired  
25 from The Cancer Genome Atlas (TCGA) Database. Combined with clinical  
26 information, the prognostic m6A-related lncRNAs were identified through differential  
27 lncRNA expression analysis and Spearman correlation analysis. Next, the least  
28 absolute shrinkage and selection operator (LASSO) regression was used to establish  
29 the prognostic risk model. We evaluated and validated the predictive performance of  
30 this model via survival analysis and receiver operating characteristic (ROC) curve  
31 analysis. The expression of immune checkpoints, immune cell infiltration and drug  
32 sensitivity of patients in different risk groups were analyzed separately.

33 **Results:** A total of 19 prognostic m6A-related lncRNAs were identified and then the  
34 prognostic risk model was well established. The patients were divided into high- and  
35 low-risk group based on the median value of the risk scores. Compared with the  
36 patients in the low-risk group, the prognosis of the patients in the high-risk group was  
37 relatively poor. The ROC curves showed that this model had excellent sensitivity and  
38 specificity. Multivariate Cox regression analysis indicated that the risk score could be  
39 used as an independent prognostic risk factor. The expression levels of immune  
40 checkpoint *CD276*, *PVR*, and *VTCNI* were significantly increased in the high-risk  
41 group. Finally, we found that the risk scores were correlated with immune cell  
42 infiltration and drug sensitivity.

43 **Conclusion:** We constructed a prognostic risk model in LUAD patients based on

1 m6A-related lncRNAs. This model was also associated with the expression of  
2 immune checkpoints, immune cell infiltration and drug sensitivity, which will provide  
3 new insights into immunotherapy for LUAD patients in the future.

4 **Keywords:** m6A · lncRNA · LUAD · prognosis · immunotherapy

## 6 **Background**

7 Lung adenocarcinoma (LUAD) accounts for 40% of all lung cancer patients,  
8 becoming the main subtype of non-small cell lung cancer (NSCLC) (Denisenko et al.  
9 2018). LUAD occurs in people of all ages, and is not correlated with gender or  
10 smoking (Couraud et al. 2012). Although most LUAD patients have received  
11 systematic clinical techniques, such as surgery, chemotherapy, radiotherapy and  
12 targeted therapy, their 5-year survival rate is less than 15% (Ettinger et al. 2015).  
13 Unfortunately, there are still a large number of patients who were diagnosed at  
14 advanced stages, thus losing the opportunity for surgery, having limited treatment  
15 options and causing low survival rates (Rodriguez-Canales et al. 2016). Therefore,  
16 uncovering new diagnostic and prognostic indicators is urgently needed for the early  
17 detection and treatment of LUAD.

18 N6-Methyladenosine (m6A), first reported in the 1970s (Desrosiers et al. 1974), is the  
19 most abundant modification in eukaryotic messenger RNAs (mRNAs), microRNAs  
20 (miRNAs) and long non-coding RNAs (lncRNAs), playing an indispensable role in  
21 RNA splicing, stability, export and translation (Dai et al. 2018). The m6A methylation  
22 is a dynamic and reversible process, which is intimately mediated by regulators,  
23 including “writers” (m6Amethylases), “erasers” (m6A demethylases), and “readers”  
24 (signaltransducers) (Zaccara et al. 2019). Abnormal m6A methylation occurs  
25 frequently in both normal biological processes and tumorigenesis (Chen et al. 2018b).  
26 Recent studies have shown that m6A modification regulates lung tumorigenesis. For  
27 example, downregulation of the m6A reader *YT521*-Bhomology domain containing 2  
28 (*YTHDC2*) in LUAD is associated with poor clinical outcome. *YTHDC2* decreases  
29 tumorigenesis both in LUAD mouse models and in human LUAD cells (Ma et al.  
30 2021). Additionally, it is identified that the m6A writer methyltransferase-like 3  
31 (*METTL3*) promotes *YAP* translation and increases *YAP* activity through  
32 the *MALAT1-miR-1914-3p-YAP* axis to induce drug resistance and metastasis in  
33 NSCLC (Jin et al. 2019). Moreover, studies have also revealed that m6A  
34 modifications are involved in cell infiltration, tumor microenvironment,  
35 immuneactivity, and response to immune checkpoint inhibitors (Zhou et al. 2021).  
36 However, the relationship of m6A modification to cancer progression and immune  
37 response remains elusive in LUAD.

38 lncRNAs have received great attention in elucidating the complex mechanisms in  
39 malignant tumors such as occurrence, recurrence, metastasis and drug resistance  
40 (Statello et al. 2021). Increasing studies proposed a new regulatory mechanism in  
41 which lncRNAs may act as competing endogenous RNAs (ceRNAs) and engage in  
42 crosstalk with mRNAs by using miRNA response elements (MREs) to competitively  
43 sponge their miRNAs (Lei et al. 2019). For instance, lncRNA *DGCR5* promotes  
44 LUAD progression via inhibition of hsa-mir-22-3p (Dong et al. 2018). Likewise,

1 *FOXP4-AS1* post-transcriptionally regulated *FOXP4* by sponging to miR-3184-5p in  
2 prostate cancer (Wu et al. 2019). Meanwhile, other studies have also suggested a  
3 crucial role of m6A modifications in the dysregulation of lncRNAs during the  
4 development and progression of tumors (Dai et al. 2020). Nevertheless, the specific  
5 role and the mechanisms underlying m6A modification in lncRNAs in LUAD have not  
6 been clarified.

7 The emergence of immune checkpoint inhibitors (ICIs) has driven a revolutionary  
8 shift in traditional cancer treatment. Recent preclinical and clinical trials have  
9 supported that ICIs are also promising approaches for the treatment of LUAD  
10 (Marinelli et al. 2020). For example, in the global KEYNOTE-042 study, compared  
11 with chemotherapy, pembrolizumab significantly improved overall survival (OS) in  
12 patients with previously untreated programmed death ligand 1 (*PD-L1*) -positive  
13 locally advanced/ metastatic NSCLC without *EGFR/ALK* alterations (Wu et al. 2021).  
14 Depending on the results of the KEYNOTE-189 study, pembrolizumab combined  
15 with pemetrexed and platinum is currently NMPA-approved for previously untreated  
16 metastatic nonsquamous NSCLC (Gadgeel et al. 2020). In the present study, we  
17 aimed to describe the landscape of m6A-related lncRNAs in the TCGA LUAD cohort.  
18 Furthermore, we constructed an m6A-related lncRNA prognostic model via the least  
19 absolute shrinkage and selection operator (LASSO) regression. The expression of  
20 immune checkpoints, immune cell infiltration and drug sensitivity were also  
21 systematically analyzed in the high-risk and low-risk subgroups.

## 22 **Materials and methods**

### 23 **LUAD dataset acquisition**

24 RNA sequencing data of 526 LUAD samples and 59 normal paired tissues were  
25 acquired from The Cancer Genome Atlas (TCGA) database  
26 (<https://portal.gdc.cancer.gov/>). Clinical data (including age, sex, differentiation grade  
27 and TNM stage) of patients were directly downloaded from TCGA. The lncRNAs in  
28 the TCGA dataset were identified based on the annotation of Genome Reference  
29 Consortium Human Build 38 (GRCh38), and a total of 5,606 lncRNAs were obtained  
30 in the TCGA-LUAD transcriptome matrix for further analysis.

### 31 **Differential lncRNA expression analysis**

32 We employed the differential lncRNA expression analysis based on EdgeR (Robinson  
33 et al. 2010) package to retrieve differentially expressed lncRNAs (DELncRNAs) from  
34 TCGA-LUAD. DELncRNAs were considered as significantly different if they meet  
35 the conditions of fold change > 1.5 and  $p < 0.05$ .

### 36 **Extraction of m6A-related lncRNAs**

37 According to previous publications (Han et al. 2021; Wang et al. 2021), the  
38 expression levels of 23 m6A-related genes were extracted from the TCGA-LUAD,  
39 including expression data on writers (*CBL11*, *METTL3*, *METTL14*, *WTAP*, *VIRMA*,  
40 *RBM15*, *RBM15B*, and *ZC3H13*), readers (*ELAVL1*, *FMRI*, *YTHDC1*,  
41 *YTHDC2*, *YTHDF1*, *YTHDF2*, *YTHDF3*, *HNRNPC*, *HNRNPA2B1*, *IGF2BP1*,  
42 *IGF2BP2*, *IGF2BP3*, and *LRPPRC*), and erasers (*FTO* and *ALKBH5*). The Spearman  
43 correlation analysis was applied to screen m6A-related lncRNAs with the criterion of  
44  $p < 0.05$  in the TCGA-LUAD dataset. Combined with the DELncRNAs selected above,

1 totally 742 differentially expressed m6A-related lncRNAs (DEm6A-lncRNAs) were  
2 identified. The network of m6A-related genes and m6A-related lncRNAs was  
3 visualized by Cytoscape software 3.6.0 (Shannon et al. 2003). Based on LUAD  
4 clinical data, we conducted receiver operating characteristic (ROC) curves with  
5 “survivalROC” package (Heagerty and Zheng 2005) and calculated the area under the  
6 curve (AUC) value of each lncRNA at 7 years. Finally, a total of 26  
7 DEm6A-lncRNAs with AUC>0.6 were selected for subsequent analysis.

### 8 **Construction and validation of the m6A-related lncRNAs prognostic risk model**

9 First, the TCGA LUAD patients with complete survival information were randomly  
10 divided into training cohort (n=251) and testing cohort (n=251) using the R caret  
11 package (Hengl et al. 2017), with each cohort consisting of 50% of cases. The training  
12 cohort was utilized to construct an m6A-related lncRNA prognostic model, and the  
13 entire cohort (n=502) and the testing cohort were applied to validate this established  
14 model. Subsequently, totally 26 DEm6A-lncRNAs selected above and LUAD clinical  
15 data were further analyzed through the LASSO regression analysis using the  
16 minimum lambda value with the R glmnet package (Friedman et al. 2010). Finally,  
17 the regression coefficients corresponding to each gene were calculated, and the  
18 marked 19 lncRNAs were determined according to the criterion of coefficient  $\neq 0$ .  
19 We calculated the risk score of each sample with the formula: risk score =  $\sum_{i=1}^n(\text{coef}_i$   
20  $\times \beta_i)$ , where coef represents the regression coefficient, and  $\beta$  represents the  
21 m6A-related lncRNA expression value. Then, the patients were divided into high- and  
22 low-risk group using the median value of the risk scores. Univariate Cox regression  
23 analysis was performed to sort the m6A-related lncRNAs with significant prognostic  
24 value ( $p < 0.05$ ). The R survival package (Heagerty and Zheng 2005) was used for  
25 Kaplan–Meier analysis based on the risk score and clinical characteristics (T stage, N  
26 stage, M stage, and TNM stage). The log-rank test was used to compare the overall  
27 survival rates between the high- and low-risk group. ROC curve was generated to  
28 analyze the 7 year survival rate of patients and assess the accuracy of survival  
29 prediction of the gene signature. Univariate and multivariate Cox regression analysis  
30 were used to evaluate whether the risk score is an independent prognostic factor.

### 31 **Correlation analysis between m6A-related lncRNAs and immune cell infiltration**

32 lncRNAs are involved in the regulation of the tumor microenvironment (TME) and  
33 signaling transduction in tumor cells (Li et al. 2019). To better understand the  
34 correlation between m6A-related lncRNAs and TME, the fraction of 22 immune cell  
35 types for each sample was scored through cell type identification by estimating  
36 relative subsets of RNA transcripts. We used the CIBERSORT (Chen et al. 2018a)  
37 algorithm to evaluate the immune cell infiltration in different LUAD clusters. A  
38 comparison of the fraction of 22 immune cells in the high- and low-risk group was  
39 produced with “limma” (Ritchie et al. 2015) packages in R.

### 40 **Correlation analysis between m6A-related lncRNAs and immune checkpoints**

41 To explore the correlation between m6A-related lncRNAs and immune checkpoints,  
42 we selected 21 critical immune checkpoints (*HAVCR2*, *CD274*, *CD86*, *LAG3*, *LAIR1*,  
43 *PVR*, *IDO1*, *CD80*, *CTLA4*, *PDCD1*, *TIGIT*, *CD200R1*, *CEACAM1*, *CD276*, *CD200*,  
44 *KIR3DL1*, *BTLA*, *ADORA2A*, *LGALS3*, *VTCN1* and *CLEC4G*), which are associated

1 with currently used tumor immune checkpoint inhibitors (Hu et al. 2020).The  
2 expression of checkpoint members were estimated in the high- and low-risk group  
3 using the “limma” (Ritchie et al. 2015) package and Wilcoxon test.

#### 4 **Correlation analysis between m6A-related lncRNAs and therapeutic drugs**

5 We predicted the therapeutic response for each sample based on the largest publicly  
6 available pharmacogenomics database [the Genomics of Drug Sensitivity in  
7 Cancer(GDSC), <https://www.cancerrxgene.org/>] (Jiang et al. 2021). The half-maximal  
8 inhibitory concentration (IC50) of therapeutic drugs was estimated using the R  
9 package pRRophetic (Geeleher et al. 2014), and the differences of IC50 values  
10 between the high- and low- risk group were then compared.

#### 11 **Tissue samples and real-time quantitative PCR (RT-qPCR) Assay**

12 A total of 20 tumor tissues and adjacent normal tissues were collected from LUAD  
13 patients who underwent surgery at Geriatric Hospital of Nanjing Medical University.  
14 According to the TNM Staging System for LUAD and histopathological evaluation,  
15 all patients were confirmed. No neoadjuvant therapy was performed on these patients  
16 before surgery. Prior to RT-qPCR analysis, all tissues were stored at  $-80^{\circ}\text{C}$ . All  
17 human tissue samples were obtained with written informed consent from all subjects,  
18 and this project was approved by the Research Ethics Committee of Geriatric Hospital  
19 of Nanjing Medical University.

20 Total RNA was isolated using TRIzol reagent (Invitrogen) from tissues. Total RNA (1  
21  $\mu\text{g}$ ) was reverse transcribed by using BuSuperScript RT Kit (Biouniquer Technology,  
22 Nanjing, China) following the manufacturer’s instruction. For detection of lncRNA  
23 expression levels, RT-qPCR was then performed on LightCycler®480 (Roche,  
24 Switzerland) with SYBR Green PCR Master Mix (Roche, Australia). Before  
25 calculation using the  $\Delta\Delta\text{Ct}$  method, the levels of GAPDH were used to normalize the  
26 relative expression levels of lncRNA.

#### 27 **Statistical Analysis**

28 All analyses were performed on R software 3.6.3. The related R codes and original  
29 data were uploaded to the GitHub page (<https://github.com/njmushy/LUAD-lncRNA>).  
30 The significance of the two groups of samples passed the Wilcoxon test, and the  
31 significance of the three groups and above passed the Kruskal-Wallis test.  
32 Kaplan-Meier curves were plotted to compare the OS among various subgroups and  
33 the logrank  $p < 0.05$  was recognized as statistically significant. Univariate Cox  
34 regression analysis and multivariate Cox regression analysis were conducted to  
35 evaluate the predictive performance of the risk model based on m6A-related lncRNAs.  
36  $p < 0.05$  was considered statistically significant.

37

## 38 **Results**

### 39 **Screening for significant DElncRNAs in LUAD**

40 We downloaded the RNA-seq data of 585 samples (tumor samples, 526; normal  
41 samples, 59) from TCGA, and obtained 5,606 lncRNAs according to the annotation of  
42 GRCh38 from GENCODE. The study flowchart was shown in Figure 1. The  
43 expression of totally 5,606 lncRNAs was displayed in Figure 2A and Figure 2B.  
44 Differential lncRNA expression analysis was performed by EdgeR package, with the

1 criteria of fold change  $> 1.5$  and  $p < 0.05$ . As shown in Figure 2C, a total of 1,770  
2 up-regulated significant DElncRNAs and 376 down-regulated significant DElncRNAs  
3 in LUAD were confirmed. Figure 2D showed the expression of the top 20  
4 up-regulated and down-regulated DElncRNAs through heatmap. Subsequently, these  
5 significant DElncRNAs were subject to further analysis.

#### 6 **Identification of m6A-related lncRNAs associated with LUAD**

7 A total of 5,606 lncRNAs and expression matrixes of 23 m6A-related genes were  
8 extracted from TCGA-LUAD RNA sequencing dataset. Then Spearman correlation  
9 analysis was conducted for preliminarily selecting m6A-related lncRNAs. With a  
10 threshold of  $p < 0.05$ , totally 2,322 lncRNAs were significantly correlated with  
11 m6A-related genes, called m6A-lncRNAs. We further searched some significant  
12 DEm6A-lncRNAs which were simultaneously appeared in the DElncRNAs and  
13 m6A-lncRNAs datasets. Figure 2E showed that 742 DEm6A-lncRNAs were observed  
14 by Venn diagrams, selecting for in-depth analysis. Clinical data of LUAD patients  
15 were also downloaded from TCGA. To further narrow the DEm6A-lncRNAs, we  
16 conducted ROC curves and calculated the AUC value of each lncRNA at 7 years.  
17 Finally, a total of 26 DEm6A-lncRNAs with the criterion of  $AUC > 0.6$  was selected  
18 for subsequent analysis (Table S1). The co-expression network between  
19 DEm6A-lncRNAs and m6A-related genes was visualized by Cytoscape in Figure 2F.

#### 20 **Construction and validation of the prognostic risk model for patients with LUAD 21 based on m6A-related lncRNAs**

22 The LUAD patients in the TCGA database were randomly divided into a training  
23 cohort ( $n=251$ ) and a testing cohort ( $n=251$ ). There was no significant difference in  
24 the clinical baseline characteristics between the training cohort and the testing cohort  
25 ( $p > 0.05$ ; Table S2). To identify the most powerful prognostic m6A-related lncRNAs,  
26 DEm6A-lncRNAs and coefficient of each lncRNA were finally identified through  
27 LASSO regression analysis using the minimum lambda criterion based on the training  
28 cohort (Figure 3A, B). Eliminating lncRNAs with regression coefficient = 0, 19  
29 DEm6A-lncRNAs were obtained: *ACOXL-AS1*, *AF131215.2*, *EXOSC10-AS1*, *HCG18*,  
30 *LARGE-AS1*, *LINC01011*, *LINC01224*, *LINC01266*, *LINC02147*, *LINC02321*,  
31 *LINC02802*, *LMO7DN-IT1*, *MIR133A1HG*, *NKILA*, *OGFRP1*, *PPP1R14B-AS1*,  
32 *STRA6LP*, *ZNF252P-AS1* and *ZNF516-DT* (Table S3). These lncRNAs were used to  
33 construct a prognostic risk model according to the formula mentioned in the Materials  
34 and methods. Among them, 3 up-regulated lncRNAs and 1 down-regulated lncRNA  
35 were verified with the most significantly differential expression, and then proceeded  
36 to RT-qPCR experimental verification. Compared with the paired adjacent normal  
37 tissues, the expression of PPP1R14B-AS1, LINC01224 and LINC02321 in LUAD  
38 tissue samples were increased, on the contrary, the expression of AF131215.2 was  
39 decreased (Figure S1). These results were consistent with their expression in TCGA,  
40 and also in agreement with some reported literatures (Yang et al. 2020; Xiao et al.  
41 2021). The distributions of risk score and survival status of all LUAD patients were  
42 shown in Figure 3C and 3D. The figures indicate that as the risk score increased,  
43 mortality increased and survival time decreased. As assessed through the univariate  
44 Cox regression analysis, 10 of the 19 DEm6A-lncRNAs were found to be

1 significantly associated with prognosis (Figure 3E).  
2 Based on the median value of the risk scores, patients with LUAD were divided into  
3 high- and low-risk group for further analysis. Next, we performed Kaplan–Meier  
4 analysis to evaluate the prognostic value of the prognostic model. In the training  
5 cohort, Figure 4A showed that the overall survival of patients in the high-risk group  
6 was lower than that of patients in the low-risk group ( $p < 0.001$ ). Similar results were  
7 displayed in the testing cohort and the entire cohort ( $p < 0.001$ , Figure 4B and 4C). The  
8 predictive performance of the risk model was evaluated with ROC curves. The AUCs  
9 at 7 years for OS were 0.73 in the training cohort (Figure 4D), 0.713 in the testing  
10 cohort (Figure 4E), and 0.735 in the entire cohort (Figure 4F), respectively. These  
11 results suggest that the prognostic model has excellent sensitivity and specificity. In  
12 summary, the risk scores based on 19 m6A-related lncRNAs have the best predictive  
13 power for the prognosis of LUAD patients.

#### 14 **Prognostic risk score correlates with clinicopathological characteristics**

15 We assessed the association between the risk model and clinical factors such as age,  
16 sex, T stage, N stage, M stage and pathological stage by univariate and multivariate  
17 Cox regression analyses. Univariate Cox regression analysis indicated that T stage, N  
18 stage, pathological stage, and risk score were correlated with the prognosis of LUAD  
19 patients (Figure 5A). Multivariate Cox regression analysis suggested that the  
20 pathological stage and risk score could be used as independent prognostic risk factors  
21 (Figure 5B).

22 Next, we performed Wilcoxon test to evaluate the differences between the risk scores  
23 and other clinicopathological characteristics of the prognostic model. Risk scores for  
24 patients in T2 vs T1, T3 vs T1, N1 vs N0, N2 vs N0, Stage II vs I, Stage III vs I, and  
25 Stage IV vs II were statistically significant ( $p < 0.05$ , Figure 5C–F). The worse the  
26 clinical pathological characteristic was, the higher the risk score was. However, there  
27 was no statistical significance among the remaining T/N/M/Stage groups.  
28 Furthermore, we assessed ROC curves of the risk score and different  
29 clinicopathological characteristics. The AUC of the risk score was also higher than the  
30 AUCs of clinicopathological characteristics (age, sex, T, N, M and pathological  
31 features) (Figure 5G), indicating that the risk score could better predict the occurrence  
32 and development of LUAD and this prognostic model of the 19 m6A-related  
33 lncRNAs may be relatively reliable.

#### 34 **Prognostic risk score partly correlates with immune cell infiltration**

35 In order to determine whether our risk model is related to the immune  
36 microenvironment and provide guidance for immunotherapy response, we analyzed  
37 the relationship of risk score to immune cell infiltration. We used the CIBERSORT  
38 algorithm to calculate the tumor infiltrating cells in different LUAD groups. Figure  
39 6A displayed the fraction of 22 immune cell types in all LUAD patients. The  
40 differences of immune cell infiltration between the high- and low-risk group were  
41 shown using a heatmap in Figure 6B. In addition, the fraction of 22 immune cell types  
42 between the high- and low-risk group was also analyzed using Wilcoxon test. The  
43 high-risk group showed significant higher infiltration levels of macrophages M1,  
44 activated mast cells, neutrophils, resting NK cells, activated memory CD4 T cells, and

1 Tregs, whereas the low-risk group was more correlated with resting mast cells and  
2 monocytes infiltration ( $p < 0.05$ , Figure 6C).

### 3 **Prognostic risk score correlates with the expression of immune checkpoints**

4 Due to the importance of checkpoint inhibitor-based immunotherapy, the differences  
5 in immune checkpoint gene expression between the two groups were also explored.  
6 Here we systematically investigated the expression of 21 immune checkpoint genes,  
7 including *HAVCR2*, *CD274*, *CD86*, *LAG3*, *LAIR1*, *PVR*, *IDO1*, *CD80*, *CTLA4*,  
8 *PDCD1*, *TIGIT*, *CD200R1*, *CEACAM1*, *CD276*, *CD200*, *KIR3DL1*, *BTLA*, *ADORA2A*,  
9 *LGALS3*, *VTCN1* and *CLEC4G*. Among them, the expression of 3 immune  
10 checkpoints, that is, *CD276*, *PVR*, and *VTCN1*, were significantly increased in the  
11 high-risk group (Figure 7A, B, C). The others were decreased or not statistically  
12 significant (Figure S2). The results indicate that immune checkpoint inhibitors may be  
13 sensitive in LUAD patients receiving a specific immunotherapy.

### 14 **Prognostic risk score correlates with the sensitivity of therapeutic drugs**

15 To determine potential drugs to treat LUAD patients based on our lncRNA model, we  
16 used the pRRophetic algorithm to estimate the IC50 from the GDSC database. Table  
17 S4 reflected that 64 of the 94 drugs showed significant differences between the high-  
18 and low-risk group. Figure 7D displays the top 50 therapeutic drugs with significantly  
19 different IC50s between the two groups, and the high-risk group is more sensitive to  
20 the drugs marked in blue in the figure.

## 22 **Discussion**

23 LUAD is universally acknowledged as the leading cause of cancer-related deaths  
24 worldwide. Due to considerably high morbidity and mortality, an increasing attention  
25 is paid to the field of LUAD annually (Tang et al. 2020). m6A modification, the most  
26 common epigenetic methylation modification in mammalian mRNA and lncRNA,  
27 participates in almost every process of RNA metabolism (Dai et al. 2018). Convincing  
28 evidence indicated that m6A modification of lncRNAs plays a critical regulatory role  
29 in the development and progression of lung cancer (Teng et al. 2021). For example,  
30 *ALKBH5*-mediated m6A demethylation of lncRNA *RMRP* promotes tumorigenesis of  
31 LUAD in vitro and in vivo (Yu and Zhang 2021). Moreover, m6A modification  
32 mediated by *METTL3* leads to the upregulation of *LCAT3* and promotes the  
33 proliferation and invasion of LUAD through the *LCAT3-FUBP1-MYC* axis (Qian et al.  
34 2021). Integrating above evidence, we were confident that m6A modification of  
35 lncRNAs could affect both occurrence and progression of LUAD. However, whether  
36 and how m6A-modified lncRNAs function in the prognosis and immunity of LUAD  
37 have yet to be fully explored.

38 In our present study, we acquired 59 paracancerous and 526 cancerous LUAD  
39 samples from TCGA dataset. Combined differential lncRNA expression analysis and  
40 the Spearman correlation analysis with m6A-related genes, a total of 742  
41 DEM6A-lncRNAs were identified. With the criterion of the AUC of ROC of 7  
42 years  $> 0.6$ , 26 DEM6A-lncRNAs were finally determined to be related to the  
43 prognosis. Through the LASSO regression analysis, the m6A-related lncRNAs  
44 prognostic risk model was constructed based on 19 m6A-related prognostic lncRNAs.

1 Several of the 19 lncRNAs were reported to be associated with lung cancer  
2 progression. For instance, LINC01224 can enhance tumor progression and cisplatin  
3 resistance via sponging miR-2467 and promote irradiation resistance by regulating the  
4 stem cell-like properties of NSCLC cells through the transcription of *ZNF91* (Fu et al.  
5 2021; Xiao et al. 2021). In contrast, *NKILA* inhibits proliferation or migration of  
6 NSCLC through *IL-11/STAT3* pathway or *NF-kappaB/Snail* pathway (Lu et al. 2017;  
7 Liu and Shi 2019). *HCG18*, as an oncogene in LUAD, contributes to LUAD  
8 progression via miR-34a-5p/*HMMR* axis (Li et al. 2020). Similarly, *OGFRP1*  
9 accelerates progression of NSCLC partly through upregulating *LYPD3* expression by  
10 sponging miR-124-3p (Tang et al. 2018). Nevertheless, investigations on how  
11 lncRNAs interact with m6A-related genes are rare. Therefore, we focused on their  
12 interactions to explore potential prognostic biomarkers or therapeutic targets for  
13 LUAD.

14 The 19 m6A-related lncRNAs prognostic risk model was well established and then  
15 further prognostic analysis was conducted based on the risk score of each patient. We  
16 found that the overall survival of patients in the high-risk group was lower than that of  
17 patients in the low-risk group in both the training cohort and the testing cohort  
18 through Kaplan–Meier curves, suggesting that poor prognosis might be related to the  
19 high risk score. The AUCs of ROC of 7 years were 0.73 and 0.713, respectively in the  
20 training cohort and the testing cohort, which indicated that this model had superior  
21 accuracy. Clinically, pathological stage is a key factor for the prognosis of LUAD  
22 (Jurisic et al. 2018). However, due to tumor heterogeneity, the clinical outcomes of  
23 patients with the same stage are always different, indicating that the current staging  
24 system for predicting prognosis is not accurate (Arbour et al. 2018). Furthermore, we  
25 assessed the relationship of the risk model to clinical factors. On the one hand,  
26 multivariate Cox regression analysis showed that the pathological stage and the risk  
27 score could be used as independent prognostic risk factors. On the other hand, the  
28 AUC of the risk score was also higher than that of clinicopathological characteristics,  
29 indicating that the risk score could better predict LUAD tumorigenesis.

30 Emerging evidence has demonstrated that several components of the TME, including  
31 immune cells, chemokines, cytokines, inhibitory receptors and ligands, play an  
32 irreplaceable role in tumorigenesis and progression (Bujak et al. 2020). Multitudes of  
33 reports have also verified that m6A modification is of undeniable importance in the  
34 immune system (Shulman and Stern-Ginossar 2020). However, whether m6A  
35 regulators and lncRNAs can synergistically affect the immune landscape in LUAD  
36 has not yet been recognized. In the present study, the risk score based on the 19  
37 m6A-related lncRNAs was significantly correlated with the expression level of  
38 immune checkpoints (*CD276*, *PVR*, and *VTCNI*), suggesting that poor prognosis  
39 might be associated with the induction of high immune checkpoint expression. This  
40 finding was consistent with a previous study, which indicated that the prognosis of  
41 patients with high *PD-L1* level is worse than that of patients with low *PD-L1* level  
42 (Boscolo et al. 2020). Risk scores were negatively correlated with the infiltration  
43 levels of resting mast cells and monocytes, but were positively correlated with the  
44 infiltration levels of macrophages M1, activated mast cells, neutrophils, resting NK

1 cells, activated memory CD4 Tcells, and Tregs. These findings indicated that  
2 m6A-related lncRNAs are involved in TME regulation of LUAD to a certain extent.  
3 In addition, the drugs marked in blue in Figure 7D differed between high-risk and  
4 low-risk groups and may have better efficacy in high-risk groups. Taken together,  
5 given the superior ability of our m6A-related lncRNA risk model in predicting  
6 therapeutic effect, it might be better applied to immunotherapy.

7 In conclusion, our study systematically identified m6A-related lncRNAs and  
8 established a new prognostic model of LUAD based on these lncRNAs. Meanwhile,  
9 we also explored the association between this model and the immune  
10 microenvironment status of LUAD, providing a new direction for the immunotherapy  
11 of LUAD patients. Supplementally, we investigated the expression of 4 of 19  
12 significant DElncRNAs through preliminary RT-qPCR validation in LUAD tissue  
13 samples. However, our current research has some limitations. First, the risk model  
14 constructed in this study is based on the public clinical database TCGA. The results  
15 were confirmed in the TCGA cohort, but external verification was lacking. Therefore,  
16 we should include more prospective real-world data to verify the clinical utility of this  
17 model we have established. Second, the current study is insufficiency in experimental  
18 validation. Although we performed RT-qPCR assay to confirm 4 identified  
19 m6A-related lncRNAs in LUAD tissue samples, the other m6A-related lncRNAs  
20 remain unexplored. Third, nor have there been studies to evaluate how m6A  
21 modifications function on the identified m6A-related lncRNAs. Fourth, although  
22 multiple clinical trials have confirmed the role of immunotherapy in lung cancer, this  
23 model is only associated with some immune infiltrating cells and immune checkpoints.  
24 Overall, more experimental and clinical studies are needed in the future to fully  
25 elucidate the specific regulatory mechanism of m6A-related lncRNAs, which will  
26 help to develop better strategies for the diagnosis and therapy of LUAD.

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### 32 **Conflict of Interest**

33 The authors declare that they have no conflict of interest.

### 34 **Abbreviations:**

35 LUAD, lung adenocarcinoma; m6A, N6-methyladenosine; TCGA, The Cancer  
36 Genome Atlas; LASSO, least absolute shrinkage and selection operator; ROC,  
37 receiver operating characteristic; NSCLC, non-small cell lung cancer; mRNAs,  
38 messenger RNAs; miRNAs, microRNAs; lncRNAs, long non-coding RNAs;  
39 YTHDC2, YT521-B homology domain containing 2; METTL3,  
40 methyltransferase-like 3; ceRNAs, competing endogenous RNAs; MREs, miRNA  
41 response elements; ICIs, immune checkpoint inhibitors; PD-L1, programmed death  
42 ligand 1; GRCh38, Genome Reference Consortium Human Build 38; DElncRNAs,  
43 differentially expressed lncRNAs; DEM6A-lncRNAs, differentially expressed  
44 m6A-related lncRNAs; AUC, the area under the curve; TME, tumor

1 microenvironment; GDSC, the Genomics of Drug Sensitivity in Cancer; IC50, the  
2 half-maximal inhibitory concentration; OS, overall survival.

3  
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15  
16

## 17 **Figure legends**

18 **Fig. 1 The flowchart of the whole study based on TCGA database.**

19 **Fig. 2 Screening for DEm6A-lncRNAs in LUAD.** (A-B) The heatmap and scatter  
20 plot of totally 5,606 lncRNAs obtained from TCGA. (C) Volcano plots from TCGA.  
21 X axis represents the average gene expression differences between LUAD samples  
22 and normal samples, and Y axis represents the p-value of the logarithmic  
23 transformation. Red dots and blue dots represent the up-regulated and down-regulated  
24 lncRNAs in LUAD samples, respectively; black dots represent genes that are not  
25 differentially expressed between LUAD samples and controls. Fold change > 1.5 and  
26  $p < 0.05$  were set as the cut-off criteria. (D) The heatmap of the top 20 up-regulated  
27 and down-regulated DElncRNAs. (E) Venn diagrams of DEm6A-lncRNAs from the  
28 DElncRNAs and m6A-lncRNAs datasets. (F) The co-expression network between  
29 DEm6A-lncRNAs and m6A-related genes.

30 **Fig. 3 Construction of the prognostic risk model for patients with LUAD based**  
31 **on m6A-related lncRNAs.** (A-B) LASSO regression was performed. The penalization  
32 coefficient  $\lambda$  in the LASSO model was tuned using 10-fold cross-validation and  
33 minimum criterion for the selection of m6A-related lncRNAs. (C-D) The distributions  
34 of risk score and survival status of all LUAD patients. (E) Univariate Cox regression  
35 analysis of the lncRNAs in the risk model.  $p < 0.05$  was considered statistically  
36 significant.

37 **Fig. 4 Validation of the prognostic risk model for patients with LUAD based on**  
38 **m6A-related lncRNAs.** (A-C) Kaplan-Meier analysis showed that the high-risk  
39 group exhibited worse survival outcome than the low-risk group in the training (A),  
40 testing (B) and entire (C) cohort (HR =2.84, 95% confidence interval (CI) = 1.86 -  
41 4.33; HR =2.17, 95% CI= 1.38 - 3.41; HR =2.62, 95% CI = 1.92 - 3.58, respectively).  
42  $p < 0.05$  was considered statistically significant. (D-F) Receiver operating  
43 characteristic (ROC) curves of the risk model for predicting prognosis in the training  
44 (D), testing (E) and entire (F) cohort.

1 **Fig. 5 Prognostic risk score correlates with clinicopathological characteristics.**  
2 (A-B) Univariate and multivariate Cox regression analyses between the risk model  
3 and clinical factors showed that the risk score calculated by LASSO model was an  
4 independent prognostic predictor. (C-F) Wilcoxon test was performed to evaluate the  
5 differences between the risk scores and clinicopathological characteristics (T stage, N  
6 stage, M stage, and TNM stage). (G) ROC curves of the risk score and different  
7 clinicopathological characteristics showed that the risk score could better predict  
8 prognosis of LUAD.  $p < 0.05$  was considered statistically significant.

9 **Fig. 6 Prognostic risk score correlates with immune cell infiltration.** (A) The  
10 barplot of the fraction of 22 immune cell types in all LUAD patients. (B) The heatmap  
11 of immune cell infiltration between the high- and low-risk group. (C) Wilcoxon test  
12 was performed to analyze the difference in the fraction of 22 immune cell types  
13 between the high- and low-risk group.  $p < 0.05$  was considered statistically significant.

14 **Fig. 7 Prognostic risk score correlates with the expression of immune checkpoints  
15 and the sensitivity of therapeutic drugs.** (A-C) The expression of 3 immune  
16 checkpoints (*CD276*, *PVR*, and *VTCN1*) were significantly increased in the high-risk  
17 group compared with the low-risk group.  $p < 0.05$  was considered statistically  
18 significant. (D) The top 50 therapeutic drugs with significantly different IC50s  
19 between the high- and low-risk group.

20 **Fig. S1 Preliminary validation of the lncRNA expression in LUAD tissue samples.**  
21 The expression of totally 4 lncRNAs in LUAD tissues ( $n = 20$ ) compared with normal  
22 tissues ( $n = 20$ ) were examined by qRT-PCR and normalized to GAPDH expression.  
23 The expression of PPP1R14B-AS1, LINC01224 and LINC02321 were up-regulated,  
24 but the expression of AF131215.2 was down-regulated.  $p < 0.05$  was considered  
25 statistically significant.

26 **Fig. S2 The expression of other 18 immune checkpoint genes were decreased or  
27 not statistically significant.**

28

# Figures

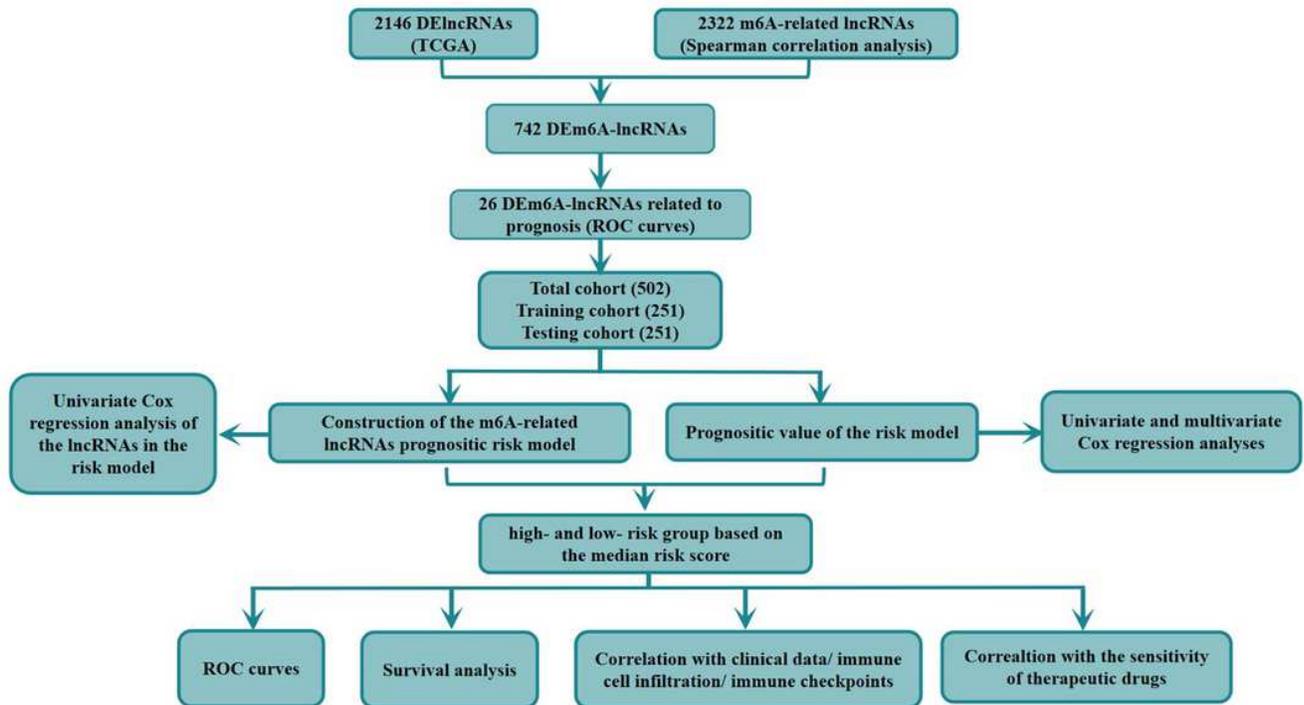
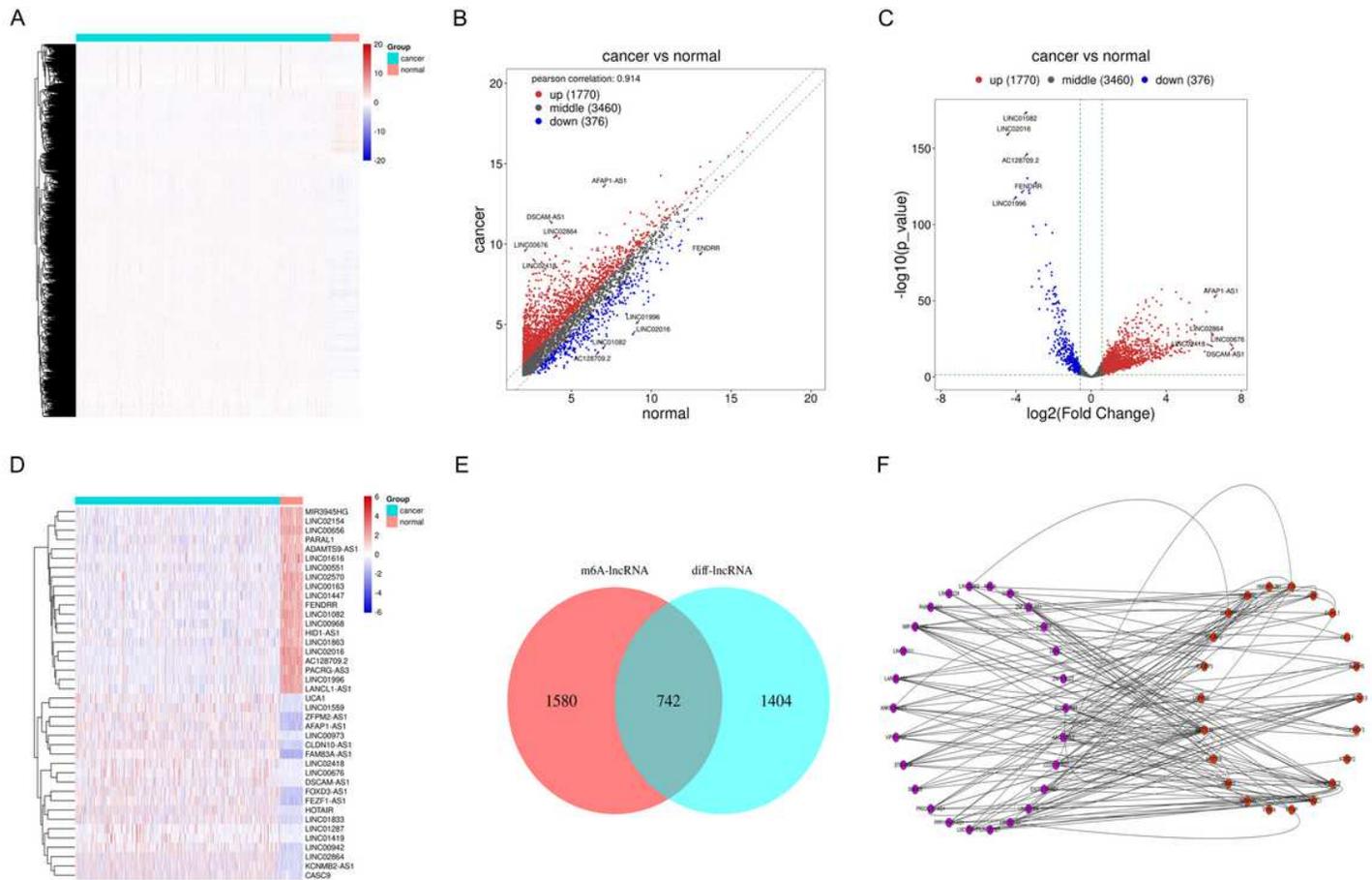


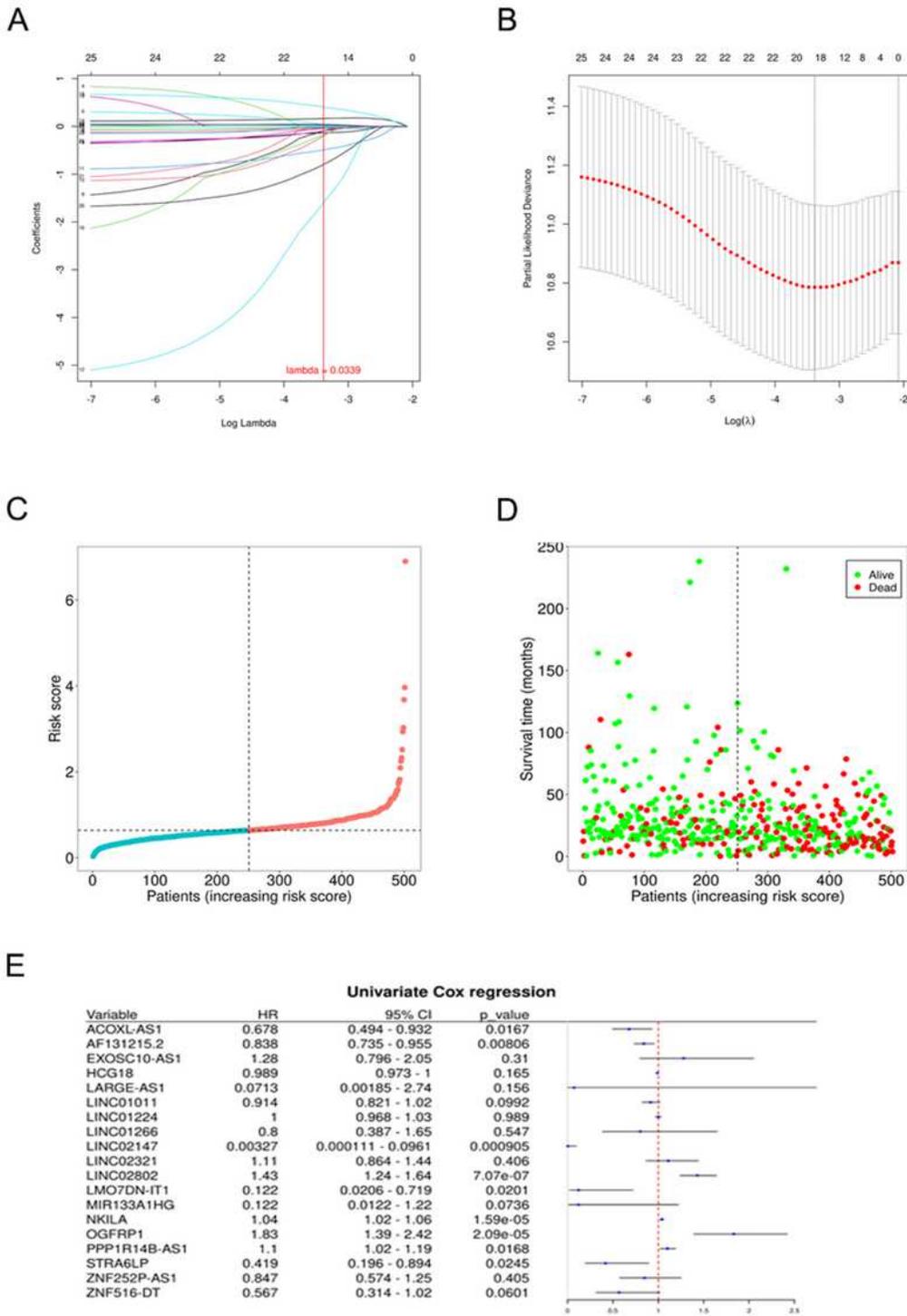
Figure 1

The flowchart of the whole study based on TCGA database



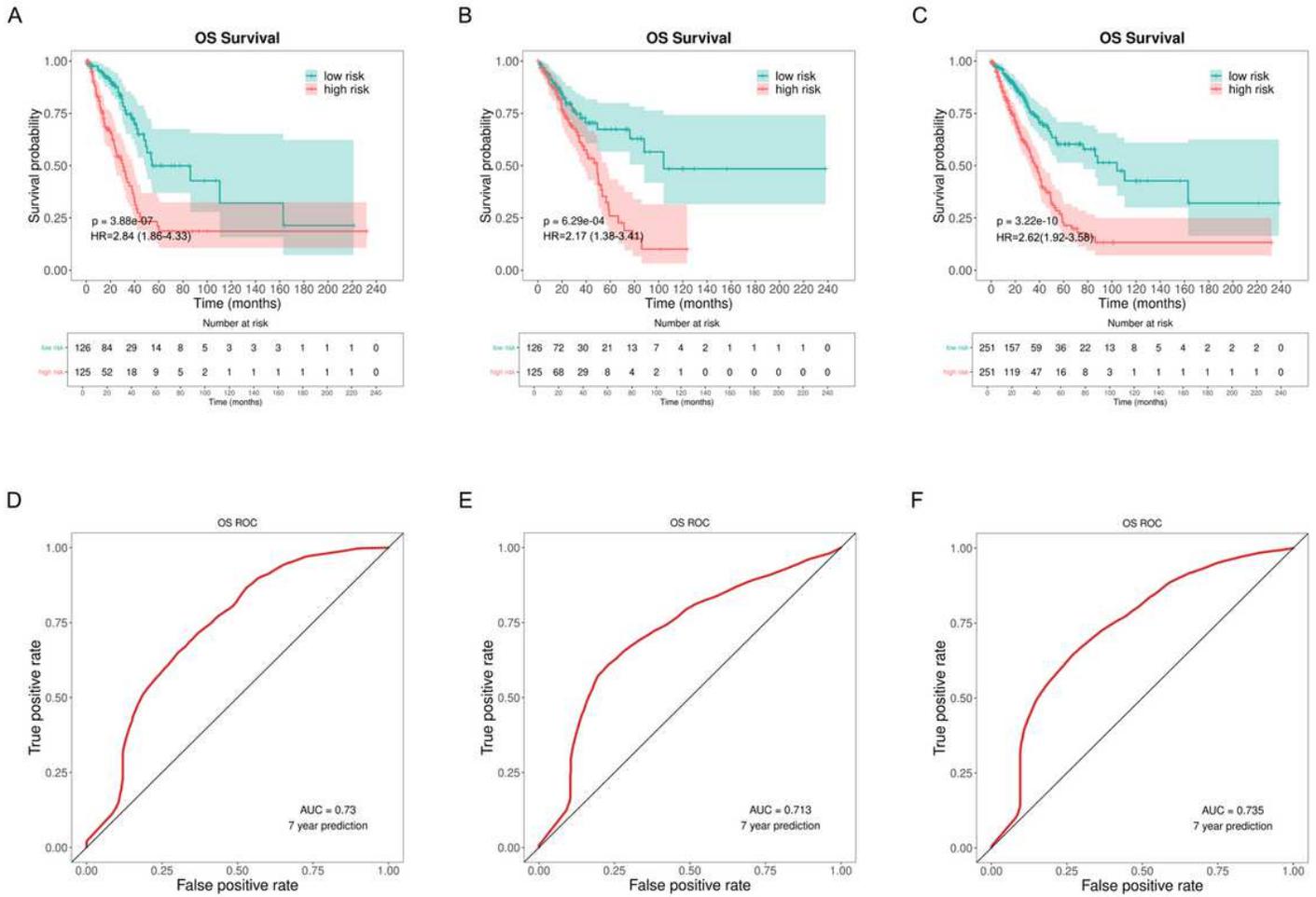
**Figure 2**

Screening for DEm6A-lncRNAs in LUAD. (A-B) The heatmap and scatter plot of totally 5,606 lncRNAs obtained from TCGA. (C) Volcano plots from TCGA. X axis represents the average gene expression differences between LUAD samples and normal samples, and Y axis represents the p-value of the logarithmic transformation. Red dots and blue dots represent the up-regulated and down-regulated lncRNAs in LUAD samples, respectively; black dots represent genes that are not differentially expressed between LUAD samples and controls. Fold change > 1.5 and  $p < 0.05$  were set as the cut-off criteria. (D) The heatmap of the top 20 up-regulated and down-regulated DElncRNAs. (E) Venn diagrams of DEm6A-lncRNAs from the DElncRNAs and m6A-lncRNAs datasets. (F) The co-expression network between DEm6A-lncRNAs and m6A-related genes.



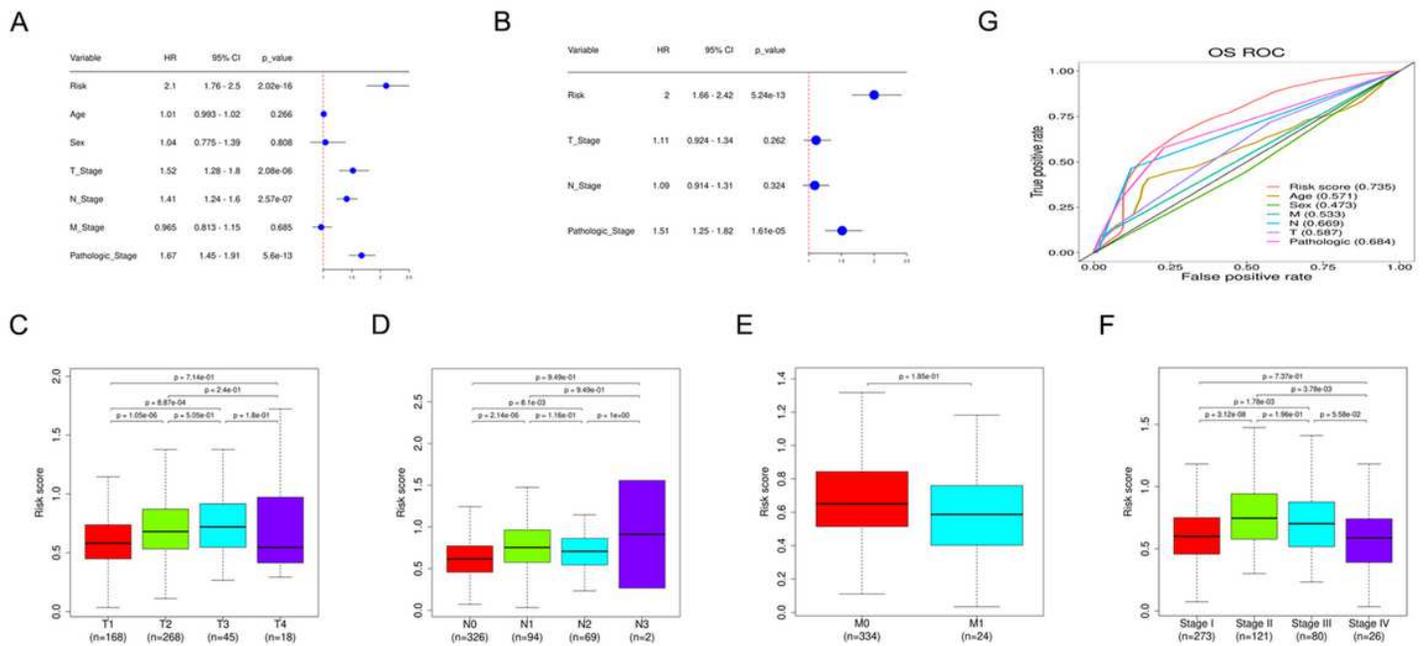
**Figure 3**

Construction of the prognostic risk model for patients with LUAD based 31 on m6A-related lncRNAs. (A-B) LASSO regression was performed. The penalization coefficient  $\lambda$  in the LASSO model was tuned using 10-fold cross-validation and minimum criterion for the selection of m6A-related lncRNAs. (C-D) The distributions of risk score and survival status of all LUAD patients. (E) Univariate Cox regression analysis of the lncRNAs in the risk model.  $p < 0.05$  was considered statistically significant.



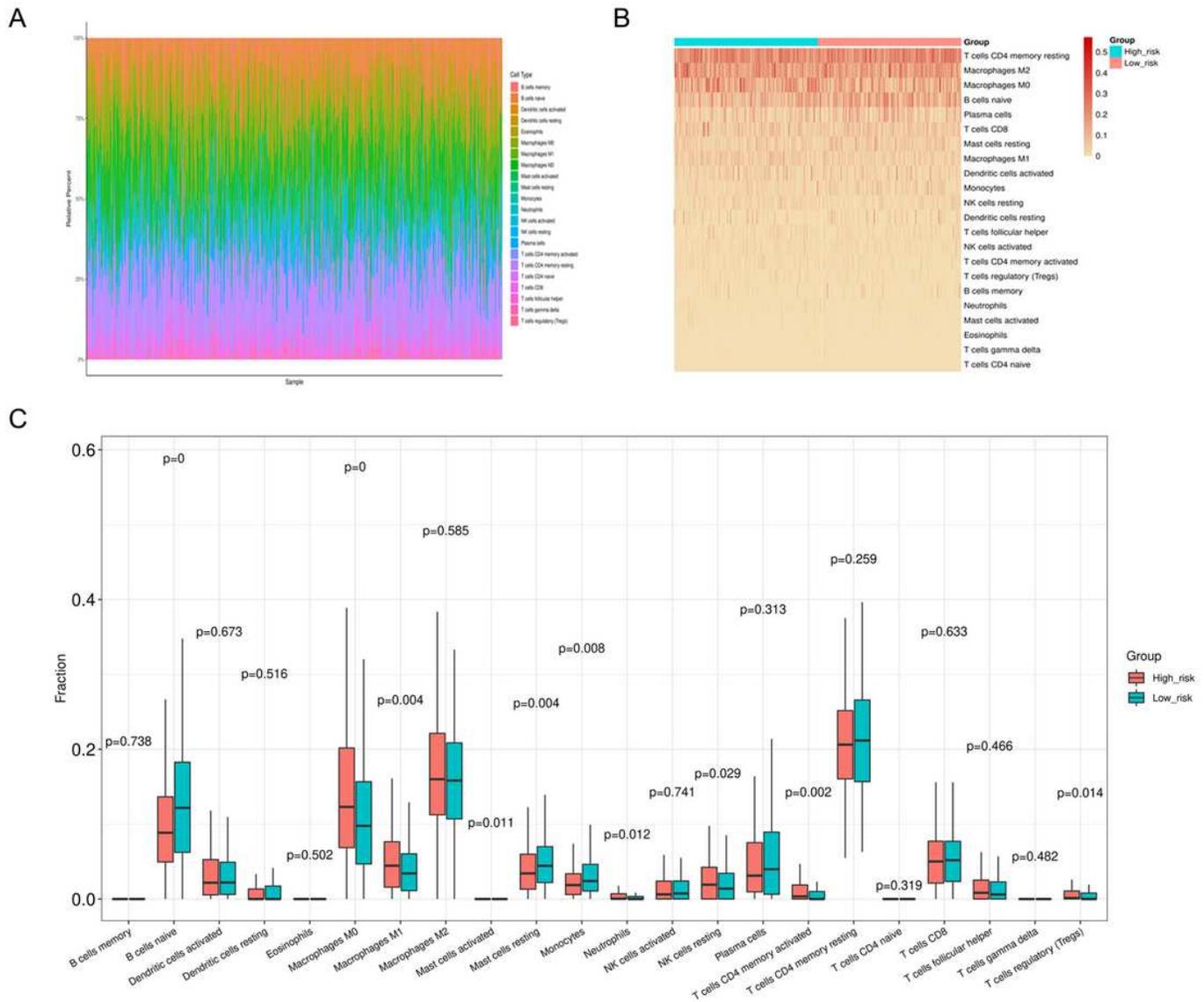
**Figure 4**

Validation of the prognostic risk model for patients with LUAD based on m6A-related lncRNAs. (A-C) Kaplan-Meier analysis showed that the high-risk group exhibited worse survival outcome than the low-risk group in the training (A), testing (B) and entire (C) cohort (HR =2.84, 95% confidence interval (CI) = 1.86–4.33; HR =2.17, 95% CI= 1.38–3.41; HR =2.62, 95% CI = 1.92–3.58, respectively).  $p < 0.05$  was considered statistically significant. (D-F) Receiver operating characteristic (ROC) curves of the risk model for predicting prognosis in the training (D), testing (E) and entire (F) cohort



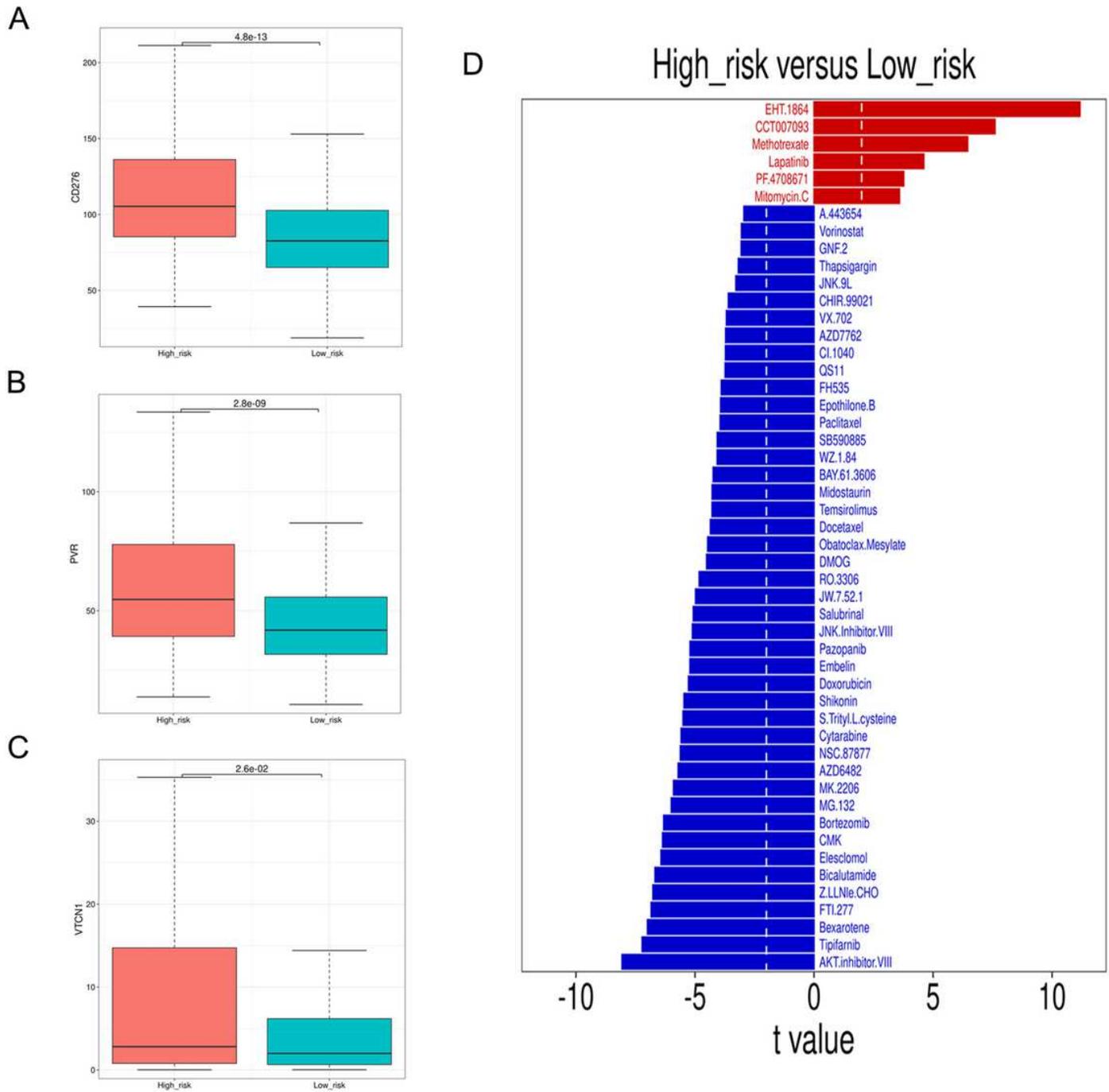
**Figure 5**

Prognostic risk score correlates with clinicopathological characteristics. (A-B) Univariate and multivariate Cox regression analyses between the risk model and clinical factors showed that the risk score calculated by LASSO model was an independent prognostic predictor. (C-F) Wilcoxon test was performed to evaluate the differences between the risk scores and clinicopathological characteristics (T stage, N stage, M stage, and TNM stage). (G) ROC curves of the risk score and different clinicopathological characteristics showed that the risk score could better predict prognosis of LUAD.  $p < 0.05$  was considered statistically significant.



**Figure 6**

Prognostic risk score correlates with immune cell infiltration. (A) The barplot of the fraction of 22 immune cell types in all LUAD patients. (B) The heatmap of immune cell infiltration between the high- and low-risk group. (C) Wilcoxon test was performed to analyze the difference in the fraction of 22 immune cell types between the high- and low-risk group.  $p < 0.05$  was considered statistically significant.



**Figure 7**

Prognostic risk score correlates with the expression of immune checkpoints and the sensitivity of therapeutic drugs. (A-C) The expression of 3 immune checkpoints (CD276, PVR, and VTCN1) were significantly increased in the high-risk group compared with the low-risk group.  $p < 0.05$  was considered statistically significant. (D) The top 50 therapeutic drugs with significantly different IC50s between the high- and low-risk group.

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