

Whole exome sequencing and gene expression analysis of canine osteosarcomas identify mutant TP53 and enriched immune pathways associated with longer survival

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24 **ABSTRACT**
25
26 Osteosarcoma affects about 2.8% of dogs with cancer, with a one-year survival rate of
27 approximately 45%. The purpose of this study was to characterize the mutation and expression
28 profiles of canine osteosarcoma associated with outcome in samples from dogs treated by
29 amputation and chemotherapy.
30 The number of somatic variants identified across 26 samples ranged from 145 to 2,697 with top
31 recurrent mutations observed in *TP53* (82% of the samples) and *SETD2* (22%). Additionally, 47
32 cancer genes were identified with copy number variations in at least 58% of the samples. We
33 observed transcriptional down-regulation of myogenesis genes and up-regulation of
34 extracellular matrix genes in tumors compared to normal bone. Patients with longer disease-
35 free intervals (DFI) showed increased transcript levels of anti-tumor immune response genes.
36 Wild-type/NULL *TP53* mutation status and high pre-treatment blood monocyte counts were
37 associated with a shorter DFI. Immune cell infiltration was quantified via
38 immunohistochemistry and gene expression profiling. CD3+ and MAC387+ myeloid cell
39 quantifications were not significantly associated with outcome. Expression of immune related
40 genes *PDL-1*, *CD160* and *ICOS* were correlated with T-cell abundance.
41 Overall, the association of gene expression and mutation profiles to outcome provides insights
42 into pathogenesis and therapeutic interventions in osteosarcoma patients.

43
44 **BACKGROUND**
45
46 Osteosarcoma (OSA) is characterized as a neoplasm of bone (mesodermal origin) and occurs
47 spontaneously in a wide spectrum of mammals including humans and dogs [1]. Most

48 commonly, OSAs arise in the metaphysis of long bones in both dogs and humans, and
49 histologically these malignant tissues produce an extracellular matrix called tumor osteoid. This
50 malignant cancer is the most common type of bone cancer in children, adolescents, and to a
51 lesser extent in the aging population (> 60 yrs old), but not in adults. In comparison, 80% of
52 OSA occurs in dogs >7 years of age and rarely in juveniles (6-8%) [2, 3]. In a study conducted in
53 Norway, the authors concluded that OSA risk was breed dependent with increased incidence in
54 large and giant breed dogs [4]. According to the Surveillance, Epidemiology, and End Results
55 (SEER; <https://seer.cancer.gov/>) database, the five-year survival rate in humans is 66% but is
56 only 27% in patients with measurable metastatic disease at diagnosis. Current treatments in
57 humans include limb sparing surgery and neoadjuvant or adjuvant chemotherapy (using
58 doxorubicin, cisplatin, methotrexate, and ifosfamide) [5]. This has led to an increase in the five-
59 year survival rate from 20% to 70%. Osteosarcoma in dogs is typically treated by amputation of
60 the affected limb followed by chemotherapy with doxorubicin and/or platinum-based
61 therapies. A 2014 study of 470 dogs treated for OSA with amputation and chemotherapy found
62 that the median disease-free interval (DFI) was 291 days, and was not statistically different
63 based on type of chemotherapy administered [6].

64

65 The discovery of genomic modifications that lead to malignancies and the subsequent targeting
66 of these genes or pathways is possible due to major advancements in sequencing technology
67 and resources for computational analysis. Recent articles have been published detailing the
68 genomic drivers of OSA in both humans and dogs [7-12]. The key discovery in human OSA is
69 that these cancers bear large numbers of structural and copy number variations, with little to

70 no presence of activating mutations in oncogenes and infrequent point mutations in protein
71 coding genes. One of the first papers to conduct whole genome sequencing in human OSA
72 identified *TP53* structural variants (SV) and single nucleotide variants (SNV) in 95% of their
73 samples [7]. The other tumor suppressor genes with recurrent somatic variants were *RB1*,
74 *ATRX*, and *DLG2* in 29% - 53% of the patients. Perry et al., in 2014 reported similar variants;
75 however, the authors identified the PI3K/MTOR pathway as a therapeutic target, as this
76 pathway was altered in 24% of patients [8]. Further studies have used whole genome and RNA-
77 sequencing technologies in patient tumors and patient-derived xenografts to demonstrate that
78 genes with somatic copy number alterations can be targeted to reduce tumor burden [10]. To
79 date, there have been two reports of genome and exome wide variant analyses in canine OSA
80 [11, 12]. In addition to recurrent *TP53* point mutations and copy number variations, these
81 studies identified two additional recurrently mutated genes: *SETD2* (histone lysine
82 methyltransferase) and *DMD* (dystrophin) that have not been previously identified in human
83 OSA. However, it is not clear if these genes represent cancer drivers in dogs. Similar to human
84 OSA, the short variant mutational burden was very low in comparison to structural (SV) and
85 copy number variants (CNV) in canine bone tumors.

86

87 Apart from surgical intervention and chemotherapy, immunotherapy is emerging as an
88 alternative form of treatment for many forms of cancer. Although, there is relatively little
89 success of immunotherapy in OSA patients to date, based on the tumor microenvironment
90 profile it is suggested that OSA might be receptive to immune-therapies [13]. Recent articles on
91 the immuno-genomic landscape in human OSA have sought to identify prognostic markers and

92 genomic targets for immune therapy [14, 15]. It has been shown that expression of *PD-L1* in
93 human OSA was significantly associated with number of immune infiltrates such as T cells,
94 dendritic cells, and natural killer cells [16]. However, the relatively low numbers of these
95 infiltrates could be one reason for low success in treating OSA patients with immunotherapy
96 [15, 17].

97 In this paper, we have conducted multi-platform analysis of 26 canine OSA samples, including
98 whole exome sequencing, microarray analysis, and immune cell profiling. Similar to previous
99 published work, we report a prevalence of copy number variants in comparison to short
100 variants (SNVs and INDELs). The top two recurrently mutated cancer genes with short variants
101 were *TP53* and *SETD2*. Using GISTIC2 to identify CNV, we identified a large portion of genes in
102 deleted regions of the genome and fewer amplified regions. Additionally, we identified
103 differentially expressed genes between tumors and normal metaphyseal bone based on
104 Affymetrix Canine 2.0 microarrays. The observed variant and gene expression data were
105 correlated with patient outcome data following treatment with limb amputation and
106 doxorubicin and/or platinum-based therapies. The disease-free interval (DFI) was used to
107 categorize the patients in the first (DFI <123 days) and fourth (DFI >395 days) quartiles. Tumors
108 from the first quartile group were enriched for genes in immune-related pathways. In summary,
109 the current work explores the relationship between the canine OSA mutational spectrum and
110 associated changes in gene expression to identify pathways that contribute to cancer
111 progression and therapeutic sensitivity.

112 **RESULTS**

113

114 **Profiling the genomic landscape of canine osteosarcoma**

115

116 The whole exome sequencing data from 26 primary tumors and 26 matched normals were
117 bioinformatically analyzed to identify somatic short variants, i.e., single nucleotide variants
118 (SNVs) and insertion and deletions (INDELs), and copy number variations (CNVs). In addition to
119 26 matched normal samples, 17 additional normal samples were sequenced to generate a
120 panel of normals for identification of somatic variants (**Additional File 1; Table S1**). To further
121 filter out germline mutations, the SNVs and INDELs reported from 722 dogs was used as a
122 germline resource during variant calling [18]. The median depth of sequencing for normal and
123 tumor samples was 247X (range: 88X – 578X) and 295X (range: 126X – 453X), respectively
124 (**Additional File 2, Figure S1**). The total number of somatic short variants identified across 26
125 primary tumor samples ranged from 145 (T-1247) to 2,697 (T-153) (**Fig. 1A**). Of these variants,
126 6.9% (T-1272) to 25.9% (T-554) were annotated as protein coding variants. The protein-coding
127 mutations per megabase ranged from 0.25 (T-1272) to 7.39 (T-153) (**Additional File 2, Figure**
128 **S2**). There was no significant correlation between disease free interval (in days) and mutations
129 per megabase (Hazard Ratio: 1.095, p = 0.5). Within the protein somatic variants identified as
130 SNVs and INDELs, an average of 80% were missense mutations (range 64% to 92%) (**Fig. 1B**).
131 Overall, there were 739 deleterious and 889 tolerated missense mutations as identified by SIFT
132 scoring. We also sequenced a single metastatic tumor sample (M-1166). This sample had a total
133 of 746 somatic variants of which 10.9% were located within protein-coding regions of genes. In
134 comparison, the corresponding primary tumor (T-1166) had 908 somatic short variants and
135 14.6% of these variants were located in the gene coding regions.
136

137 The CNVs were analyzed using Sequenza and GISTIC to identify significantly amplified and
138 deleted regions. The median number of genes with significant copy number alterations was
139 1,468 with a range from 749 (T- 1247) to 1,630 (T-153). The majority of CNVs among the 26
140 samples were gene deletions with the percentage of significantly deleted genes ranging from
141 81% (T-1247) to 90% (T-458) of CNVs (**Additional File 2, Figure S3**). The number of genes with
142 CNVs in the metastatic tumor, M-1166, was 1,356, of which 91.5% were located in significantly
143 deleted regions of chromosomes. In comparison, the primary tumor, T-1166, had 1,156 CNV
144 genes with 98.6% located within the significantly deleted regions of the genome. Additionally,
145 there was no significant correlation between DFI and total CNVs for 26 samples (Hazard Ratio:
146 1.001, p=0.4).

147

148 The distribution of six types of single nucleotide substitutions (C·G → A·T, C·G → G·C, C·G → T·A,
149 T·A → A·T, T·A → C·G, and T·A → G·C) for all 26 samples revealed C·G → T·A transition mutations
150 as the most frequent substitution (**Additional File 2, Figure S4A**). There are 30 COSMIC
151 mutational signatures (v2, https://cancer.sanger.ac.uk/cosmic/signatures_v2.tt) that were
152 developed based on the distribution of the six single nucleotide substitutions within 96
153 trinucleotide contexts and pathogenesis [19]. To further delineate the mutational signatures of
154 individual samples, the non-negative matrix factorization (NMF) approach was used, and three
155 top-ranked *de novo* signatures (Signatures A, B, and C) were generated from the frequency of
156 those 96 types of SNVs within trinucleotide context among the 26 osteosarcoma samples (**Fig.**
157 **1C**). Signature A was most similar to COSMIC signature 9 (cosine similarity=0.72) which is
158 characterized by mutations attributed to DNA polymerase η. DNA Polymerase η is recruited to

159 sites lacking bases during the repair of U:G mismatches generated by activation-induced
160 cytidine deaminase through somatic hypermutation and is often found in chronic lymphocytic
161 leukemias and malignant B-cell lymphomas [20]. Signature B was similar to COSMIC signature 1
162 (cosine similarity=0.89) which is characterized by spontaneous deamination of 5-
163 methylcytosine resulting in C→T transitions. This signature correlates with age of cancer onset
164 in humans. Signature C was most similar to COSMIC signature 5 which is characterized by
165 transcriptional strand bias for T>C substitutions at ApTpN context and is found in most cancer
166 types. The relative contribution of trinucleotide context of *de novo* signatures attributed to
167 each sample indicated that 38%, 24%, 38% of samples resembled A, B, and C, respectively (Fig.
168 **1D**). Additionally, we have compared distribution of the trinucleotide context of 26 tumor
169 samples to the 30 known COMIC signatures. Similar to the *de novo* analyses, the majority of the
170 OSA samples were most similar to either signature 1 or 5; however, there was one sample with
171 highest similarity to signature 9 (T-1247). The hierarchical clustering of 30 COSMIC mutational
172 signatures suggests that the profile of tumors with signature 9 was similar to signature 5. There
173 were four samples with highest cosine similarity for signature 6 (T-1192, T-907, T-992, T-999);
174 and one each most similar to signature 17 (T-276) and signature 19 (T-1272) (**Additional File 2,**
175 **Figure S4B**).

176
177 **Short somatic variants with SNVs and INDELS**
178 As mentioned earlier 6.9% - 25.9% of somatic short variants were identified in protein coding
179 genes. A total of 1,579 protein coding genes had a variant in at least one of the 26 samples for a
180 total of 1,934 protein coding variants (**Additional File 1, Table S2**). Although there was a range

181 of 321 (T-153) to 11 (T-1272) genes with variants across 26 samples, only 14 genes were
182 recurrently mutated in at least 15% of the samples (**Additional File 2, Figure S5**). There were
183 1,100 genes that were mutated in only one sample and 129 genes mutated in a maximum of
184 two samples. Using DAVID (<https://david.ncifcrf.gov/>), we functionally characterized the genes
185 with protein coding variants to identify enriched pathways and domains (**Additional File 1,**
186 **Table S3**). The significantly enriched (Benjamini FDR <0.05) KEGG pathways included ECM-
187 receptor interaction (cfa04512), and Focal adhesion (cfa04510). There were two signal
188 transduction pathways enriched at p-value <0.05, PI3K-Akt signaling (cfa04151) and Calcium
189 signaling (cfa04020), in addition to cell cycle (cfa04110) and pathways in cancer (cfa05200)
190 KEGG terms. Some significantly enriched PFAM domains and Interpro classifications (Benjamini
191 FDR <0.1) were Laminin G, Spectrin repeat, Dynein heavy chain, Fibronectin type III, Laminin B,
192 and Immunoglobulin domains (see **Additional File S1, Table S3** for details on genes).

193
194 The protein coding somatic variants were also filtered for known cancer genes using the
195 curated dataset from the COSMIC Cancer Gene Census (v91, 570 genes). On average across the
196 26 samples, known cancer genes with short variants represented 5.9% ($\pm 3.3\%$) of coding
197 mutations. There were 62 cancer genes with at least one variant among the 26 samples. The
198 top four genes (mutated in at least in 12% of samples) with short variant mutations were *TP53*,
199 *SETD2* (SET domain containing 2, histone lysine methyltransferase), *HSP90AA1* (heat shock
200 protein 90kDa alpha, member A1), and *DNMT3A* (DNA-methyltransferase 3A) (**Fig. 2A**). The
201 variants identified in *TP53* were primarily located within the DNA binding domain and are
202 considered to be driver mutations in human cancers (**Additional file 2, Figure S6, Additional**

203 **File 1, Table S4).** *SETD2* mutations included 3 samples with both frameshift and stop-gained
204 mutations and three samples with either a missense (variant =S1658P in T-134), frameshifts
205 (variants =P1158Lfs in T-544 and T-399; L124Yfs in T-25; Y1033Ifs in T-1087), or stop-gained
206 mutations (variant =R396* in T-544 and T-399; Q1546* in T-25; Q1431* in T-149). Homologous
207 frameshift and stop gained mutations of the *SETD2* tumor suppressor gene in human cancers
208 are considered to be likely oncogenic. The missense mutation, however, identified here in
209 *SETD2* is not considered to be a cancer hotspot as reported by pan-cancer analysis in cBioPortal.
210 The *HSP90AA1* gene was mutated in 12% of the samples and all three samples carried the same
211 missense mutation (A149D in T-346, T-153, T-25). Sample T-346 also carried an additional stop-
212 gained mutation (E530*). The only other recurrently mutated gene, *DNMT3A*, carried two
213 different missense mutations in three samples (N597S in T-346 and T-856; W738R in T-1023).
214 Of the 62 cancer genes, 58 genes were mutated in only one or two of the samples, limiting
215 further analysis regarding their impact on clinical outcome. By binning the tumors based upon
216 the *TP53* mutation with the highest allelic frequency, we identified missense *TP53* mutations in
217 65% of the tumors, with frameshift or stop-gained (null) mutations identified in an additional
218 23%, and wildtype (WT) *TP53* present in 15% of tumors (**Additional File S1, Table S4**). The next
219 most recurrently mutated gene, *SETD2*, was mutated in approximately 23% of canine OSA
220 samples. Finally, the primary tumor T-1166 had 3 different *TP53* variants (D13Afs*4 at 4.5%
221 allelic frequency, L184P at 16.67%, G234E at 7.5%) while the subsequent metastatic lesion, M-
222 1166 had only the L184P variant (68.2%). Coding sequence variants in the cancer genes *RANBP2*
223 (*RAN* binding protein 2), *DGCR8* (DiGeorge syndrome critical region gene 8), and *FAM135B*
224 (family with sequence similarity 135 member B) were also lost in the metastatic lesion, while

225 variants in *DEK* (DEK proto-oncogene), *FBXO11* (F-box protein 11), *NOTCH2* (neurogenic locus
226 notch homolog protein 2), *XPC* (xeroderma pigmentosum, complementation group C), and
227 *ZNF521* (zinc finger protein 521) were gained.

228
229 **Copy number variants**

230 In comparison to short variants, there were significantly more genes with somatic CNVs
231 (**Additional File 1; Table S5**). The copy number analysis was conducted using the Sequenza tool
232 to calculate gain or loss in comparison to matched normal and significantly amplified and
233 deleted genes were identified by GISTC2.0 [21]. A total of 1,662 genes were significantly
234 altered across 26 samples. The median number of genes with significant amplifications and
235 deletions was 169 (range: 114: T-1166 to 205: T-346) and 1,295 (range: 607: T-1247 to 1460: T-
236 458), respectively (**Additional File 2; Figure S3**). We analyzed the functional significance of
237 these genes using Enricher KEGG pathways category (<https://amp.pharm.mssm.edu/Enrichr/>).
238 KEGG pathways that were enriched at a q-value of 0.1 included MAPK signaling, Autophagy,
239 PI3K-AKT, p53 signaling and FOXO signaling (**Additional File 1; Table S6A**).

240
241 A total of 47 cancer genes (COSMIC Cancer Gene Census, v91) were identified to have
242 significantly deleted or amplified copy number aberrations (**Fig. 2B**). Six cancer genes were
243 significantly amplified, including, *CCND3* (cyclin D3), *CUX1* (cut like homeobox 1), *EXT1*
244 (exostosin glycosyltransferase 1), *MYC* (MYC proto-oncogene), *RAD21* (RAD21 cohesin complex
245 component), and *TFEB* (transcription factor EB). Most of the remaining 41 genes were
246 significantly deleted. *FOXO1* was considered to be both significantly deleted and amplified in
247 individual samples. We also functionally annotated the significantly amplified and deleted

248 genes separately to identify pathways that might be altered by mis-regulation of these genes.
249 The two KEGG pathways enriched for the genes that were significantly amplified were cell cycle
250 and cellular senescence (adjusted p-value<0.05; **Additional File 1, Table S6B**). The top signaling
251 KEGG pathways enriched for the significantly deleted genes were p53, FoxO, PI3K-Akt, MAPK,
252 ErbB, TNF, mTOR, Wnt, Hippo, and JAK-STAT pathways (**Additional File 1, Table S6C**).

253

254 **Correlation of copy number variation index with gene expression**

255 The functional effect of copy number variations was evaluated through correlations with gene
256 expression using Pearson correlations. We correlated the gene amplitude values obtained from
257 Gistic2.0 “all_data_by_gene.txt” output to Z-scored gene expression values obtained from the
258 Affymetrix Canine 2.0 microarray data. Of the 1,662 genes with recurrent copy number
259 alterations, 1,471 genes had gene expression values from microarray data for all 26 samples.
260 There were 256 genes with significant correlations between CNV amplitude and expression
261 (**Additional File 1 Table S7**). The 12 cancer genes (COSMIC v91) that had significant correlation
262 were *BRCA2*, *BUB1B*, *CCND1*, *CCND3*, *FLCN*, *FOXO1*, *MAP2K4*, *NCOR1*, *PTEN*, *RABEP1*, *SDHAF2*,
263 and *TFEB*. There was a linear relationship between copy number changes and expression levels
264 of corresponding gene transcripts, which included significantly amplified genes (*CCND3* and
265 *TFEB*) as well as significantly deleted genes including *BRCA2*, *BUB1B*, *PTEN* (**Additional File 2,**
266 **Figure S7**). These data suggest that although there might be a plethora of CNV changes in the
267 genome, the functional impact as evaluated by gene expression is far more limited.

268

269 **Over-representation of extracellular matrix components in canine osteosarcoma samples**

270 Using microarray analysis, we compared the transcriptome profiles of 26 canine OSA samples
271 and eight normal bone samples. Following RMA (robust multi-array average) normalization of
272 microarray data from tumor and normal bone samples, the expression data were processed to
273 identify differentially expressed genes using limma [22]. The number of up- and down-
274 regulated probes (adjusted p-value <0.05 and \log_2 fold change $>\pm 2$) were 201 and 721,
275 respectively (**Additional File 2; Figure S8**). These probes were collapsed to retain probes with
276 maximum variance for each gene and those expression values were plotted as a heatmap in **Fig.**
277 **3A**. There were 585 differentially expressed genes (DEGs) between normal bone and OSA
278 samples.

279

280 The DEGs were analyzed using pre-ranked Gene Set Enrichment Analysis (GSEA) to identify
281 enriched up- and down-regulated pathways and GO terms in the tumor samples (**Additional**
282 **File 1; Table S8**). There were 187 pathways or terms enriched at an FDR q-value of 0.05, using
283 the following MSigDB Collections: Hallmark, Canonical pathways, GO biological process, GO
284 molecular function, oncogenic signatures, immunologic signatures, and chemical and genetic
285 perturbations. The results identified enrichment of multiple gene sets associated with
286 extracellular matrix (ECM), cell proliferation, epidermal to mesenchymal transition, glycolysis,
287 and metastasis genes (**Fig. 3B-C, Additional File 1 Table S8**). These genes were significantly
288 upregulated in the tumor samples when compared to normal bone (positive NES score). The
289 genes downregulated in tumors were enriched for functional pathways (negative NES score)
290 including: myogenesis, innate and humoral immune response, paracrine hedgehog signaling,
291 and drug transport (**Fig. 3D, Additional File 1; Table S8**). The enrichment analyses also showed

292 upregulation in tumors of genes that are increased in response to the loss of RB1 (Oncogenic
293 signature: RB_P107_DN.V1_UP). Similar to human OSA, there is loss of RB1 in canine tumors, as
294 evidenced by down-regulation of *RB1* gene expression (Affymetrix Canine 2.0 microarray),
295 although copy number loss was not observed.

296

297 **Immune response pathways were enriched in osteosarcomas from dogs with a longer
298 disease-free interval**

299 For identification of pathways enriched in tumors from long disease-free interval (DFI) and
300 short DFI patients, we eliminated two of the 26 samples (T-474 and T-1192) due to lack of
301 follow up and sorted the remaining samples into more highly defined DFI bins based on the 25th
302 (short DFI, <123 days) and 75th (long DFI >395 days) percentiles of DFI across the 26 samples.
303 This placed six samples in each of these two bins. The gene expression within these two bins
304 was analyzed via GSEA to identify enriched pathways. Using the same MSigDB collections used
305 for tumor vs normal analyses, 83 pathways/terms were enriched in long DFI patients. Of these
306 83, 80 gene sets were enriched in long DFI patients and only three gene sets were enriched in
307 short DFI patients (**Table 1 and Additional File 1; Table S9**). The three gene sets that were
308 enriched in short DFI patients were 1) KRAS.50_UP.V1_UP: genes upregulated in epithelial cell
309 lines due to over-expression of KRAS (**Additional File 2; Figure S9A**); 2) BCAT_GDS748_UP:
310 genes upregulated in kidney fibroblast cell line as a result of constitutively active β-catenin
311 (CTNNB1); and 3) SINGH_NFE2L2_TARGETS: electrophile and drug detoxification genes that are
312 down-regulated in *Nrf2* knocked-down cell lines (**Additional File 2; Figure S9B**). These selected
313 drug detoxification genes are upregulated in short DFI patient tumors, suggesting that these

314 patients' tumors might be more efficient in clearing chemotherapy drugs. The Hallmark gene
315 sets upregulated in long DFI patient tumors included several immune response datasets:
316 Interferon alpha and gamma response, inflammatory response, and allograft rejection along
317 with ECM gene sets (**Additional File 1; Table S9, Additional File 2; Figure S10**).

318

319 **Table 1. Summarization of gene sets and pathways that are enriched in short or long DFI**
320 **patients. See Additional File 1, Table S9 for details on enriched gene sets.**

| Biological process or gene sets | Number of gene sets | Average NES | Enrichment sample set |
|---------------------------------|---------------------|-------------|-----------------------|
| Immune system | 36 | -1.88 | Long DFI patients |
| Extracellular matrix | 5 | -2.04 | Long DFI patients |
| BCAT_GDS748_UP | 1 | 1.79 | Short DFI patients |
| KRAS.50_UP.V1_UP | 1 | 1.92 | Short DFI patients |
| SINGH_NFE2L2_TARGETS | 1 | 1.98 | Short DFI patients |

321

322

323 **Immune cell profiling in osteosarcoma**

324 To analyze the immune infiltrate in these tumors, those samples with available tissue blocks
325 were labeled with the pan-T cell marker CD3 and the myeloid cell marker MAC387 (S100A9) via
326 immunohistochemistry (**Fig. 4A-D**). Whole slide images were captured and immune cell density
327 as a percentage of total tumor area was determined using ImageJ software. The percent tumor
328 area positive for CD3+ T cells ranged from 0.002% (T-25, DFI – 372 days) to 4.87% (T-29C, DFI –
329 1197 days as of July 23rd, 2020) (**Fig. 4E**). The percent tumor area positive for MAC387+ myeloid
330 cells ranged from 0% (T-2013, DFI – 216 days) to 3.7% (T-74, DFI – 406 days) (**Fig. 4F**). Survival
331 analysis using DFI as the time event showed no statistically significant difference when the

332 patients were stratified by high and low levels of either CD3 or MAC387 staining (**Additional**
333 **File 2, Figure S10).**

334

335 We also used the gene expression data for more comprehensive immunogenomic profiling of
336 the immune infiltrates in the tumor samples, given the lack of available canine-specific
337 antibodies for immune phenotyping. This analysis was performed using three different
338 methods: a) gene expression profiling for immune cell types as previously described, b)
339 CIBERSORT tool, and c) ESTIMATE R package [23-25]. As described in the Methods section, the
340 gene profiles in Rooney *et al.* were used to categorize immune cell subsets using signature gene
341 sets for each cell type and immune responses (**Additional File 1, Table S10**). Using Pearson
342 correlations, we created a matrix to identify significant associations of immune cell scores
343 (derived from gene expression), DFI, mutations per megabase and immunostaining
344 quantification of T cells (IHC T-cells) and macrophages (IHC-Macs). Statistically significant
345 positive correlations were observed between IHC T-cells and gene expression scores for
346 cytolytic activity, co-stimulation T-cell, co-inhibition T-cell, CD8+ T-cell, MHC class I, and NK cell.
347 However, IHC-Macs did not correlate with the gene expression score for macrophages (**Fig. 5A**).
348 Interestingly, the macrophage score was inversely correlated with CD4+ regulatory T-cells, but
349 positively correlated with neutrophils and MHC Class I. This positive correlation with
350 neutrophils is not unexpected, as MAC387 is ubiquitously expressed by all myeloid cells in the
351 dog, including neutrophils [26]. In addition, the IHC-Macs score was positively correlated with
352 co-inhibition T-cells and co-stimulation APCs. Neither DFI nor tumor mutational burden

353 (mutations per MB) were significantly correlated with these IHC staining scores or immune cell
354 gene expression signatures (**Figure 5A**).
355 We also took the 85 genes used for generating immune signatures and correlated them with
356 both IHC staining for T-cell and macrophage infiltration in tumors (**Table 2**). There were 18
357 genes that significantly correlated with T-cell infiltration at a false discovery rate of 0.05,
358 including the known co-inhibitory immune checkpoint molecules *PDL1* (*CD274*), *CD160* (ligand
359 for Herpesvirus entry mediator), as well as the cytotoxic T cell co-receptor *CD8A* (cell surface
360 marker for cytotoxic T-lymphocytes) (**Table 2A**). Only one gene (*TNFSF4*) was negatively
361 correlated with T-cell infiltration. Five genes, including *PRF1*, *SLAMF1*, OX40, TNFRSF9 and
362 CXCR3 were positively correlated with MAC387 staining. (**Table 2B**). The cytolytic activity
363 (derived as geometric mean of perforin and granzyme A transcript expression) in OS tumors
364 was significantly correlated with 21 immune response genes that are markers for T-cells,
365 macrophages, MHC Class I, dendritic cells, Type I and II IFN Response, B cells, and natural killer
366 cells (**Additional file 1, Table S11**).

367 **Table 2. Correlation of immune gene expression and immunohistochemical staining of T-cell**
368 **and macrophage infiltration in the tumors.**

369
370 **2A.** Gene expression correlation with CD3+ T cells in tumors.

| Gene name | Associated immune cell type | Correlation coefficient | p-value | q-value |
|----------------------|---|-------------------------|---------|---------|
| CD8A | CD8+ T cells | 0.94 | 0 | 0 |
| CD160 | Co-inhibition, T cell | 0.86 | 0 | 0 |
| CD274 or PDL1 | Co-inhibition, APC; Co-inhibition, T cell | 0.86 | 0 | 0 |
| GZMA | Cytolytic Activity | 0.75 | 0.000 | 0.003 |
| CD2 | Co-stimulation, T cell | 0.7 | 0.001 | 0.010 |
| ICOS | Co-stimulation, T cell | 0.68 | 0.002 | 0.015 |
| CLEC5A | Macrophages | 0.67 | 0.002 | 0.016 |
| TNFRSF4 | Co-stimulation, T cell | 0.65 | 0.003 | 0.020 |

| | | | | |
|---------------|-----------------------|-------|-------|-------|
| KLRF1 | NK cells | 0.65 | 0.003 | 0.020 |
| AHR | Type II IFN Response | 0.64 | 0.003 | 0.021 |
| ISG20 | Type I IFN Response | 0.63 | 0.004 | 0.022 |
| HAVCR2 | Co-inhibition, T cell | 0.63 | 0.004 | 0.023 |
| IRF8 | pDCs | 0.6 | 0.007 | 0.031 |
| CXCR3 | pDCs | 0.59 | 0.008 | 0.035 |
| TAP1 | MHC Class I | 0.58 | 0.009 | 0.036 |
| CD79B | B cells | 0.58 | 0.010 | 0.039 |
| KDM6B | Neutrophils | 0.56 | 0.012 | 0.046 |
| TNFSF4 | Co-stimulation, APC | -0.61 | 0.006 | 0.028 |

371

372 **2B.** Gene expression correlation with MAC387+ myeloid cells in tumors.

373

| Gene name | Associated immune cell type | Correlation coefficient | p-value | q-value |
|---------------------|---|-------------------------|---------|---------|
| PRF1 | Cytolytic Activity | 0.74 | 0.000 | 0.008 |
| SLAMF1 | Co-stimulation, APC; Co-stimulation, T cell | 0.71 | 0.000 | 0.011 |
| CD40 or OX40 | Co-stimulation, APC | 0.68 | 0.001 | 0.020 |
| TNFRSF9 | Co-stimulation, T cell | 0.65 | 0.002 | 0.028 |
| CXCR3 | pDCs | 0.62 | 0.003 | 0.042 |

374

375 To further delineate the immune cell profiling with a larger dataset of genes, we used the
 376 CIBERSORT deconvolution tool [24, 27]. The results of this analysis indicate a higher prevalence
 377 of both resting/uncommitted M0 and alternatively activated M2-polarized macrophages in all
 378 the tumor samples and a lower prevalence of M1-polarized pro-inflammatory macrophages
 379 (**Fig. 5B**). However, there was no correlation between the abundance of these three types of
 380 macrophages and clinical outcome. The immunohistochemical staining for CD3+ T cells
 381 positively correlated with the CIBERSORT score for four different cell types including: CD8 T
 382 cells (R^2 : 0.91), activated mast cells (R^2 : 0.98), plasma cells (R^2 : 0.77), and gamma delta T cells

383 (R²: 0.73). Significant correlation of MAC387 positive cells with CIBERSORT immune scores were
384 limited to follicular helper T cells (R²: 0.67).

385
386 A third method used in this study to determine levels of immune infiltrates was the ESTIMATE
387 algorithm [25]. The ESTIMATE immune score ranged from -83.61 (T-1087) 2110.4 (T-74)
388 (Fig.6C). ESTIMATE scores significantly correlated with IHC staining for both T cells (Pearson R² –
389 0.58, p value – 0.008) and macrophages (Pearson R² – 0.43, p value – 0.04). However, the
390 ESTIMATE scores did not correlate with genomic parameters like mutational burden, and
391 number of deleted and amplified genes. Additionally, there was no association between
392 ESTIMATE immune score and DFI.

393
394 **Association of TP53 mutation status with clinical outcome**
395 We observed that missense mutations in *TP53* were associated with a longer disease-free
396 interval (DFI) than either the *TP53* WT or null tumors following treatment by amputation of the
397 affected limb and chemotherapy with doxorubicin and/or carboplatin (**Figure 6A**). The median
398 DFIs for patients with mutant and WT/NULL *TP53* were 296 and 95 days, respectively [HR (95%
399 CI) = 0.21 (0.08 to 0.58), p = 0.002]. Along with *TP53* mutation status, we also evaluated six
400 clinical co-variates that included age at diagnosis, tumor location (humerus versus other
401 locations), sex, pre-treatment peripheral blood monocyte count, serum alkaline phosphatase
402 levels and body weight to identify their association with DFI via univariate Cox proportional
403 hazards (COXPH) regression analysis. Two additional variables used in this analysis were tumor
404 immunohistochemical staining scores for CD3+ T cells and MAC387+ myeloid cells. Significantly

405 increased risk was associated with two of the nine covariates, including *TP53* mutation status
 406 and tumor location (**Table 3A**). Although overall survival among these 26 canine OS patients
 407 was not significantly reduced by increased numbers of peripheral blood monocytes (**Fig. 6B**),
 408 using five co-variates, *TP53* mutation status, tumor location, peripheral monocyte count, and
 409 alkaline phosphatase level (univariate COXPH p <0.2), the final model for forward stepwise
 410 COXPH regression analysis indicated that wildtype/Null *TP53* mutation status and elevated
 411 peripheral blood monocyte counts were predictive of a shorter DFI (**Table 3B**).
 412

413 Table 3. Univariate and stepwise cox proportional hazard modelling.

414 **Table 3A.** Results from univariate COXPH regression analysis using six clinical and one genomic
 415 parameter. The factors with a p-value <0.2 were used for the stepwise multivariate model.

| Variable | Group | Median survival time | Percent survival - 1 year | Percent survival - 2 years | HR | 95% CI | p value |
|---|---------|----------------------|---------------------------|----------------------------|------|---------------|---------|
| TP53 mutation status | Mut | 296 | 47 | 12 | 0.21 | 0.08 to 0.58 | 0.002 |
| | WT/NULL | 95 | 14 | 0 | | | |
| Tumor location - Humerus | Yes | 114 | 12.5 | 0 | 4.05 | 1.51 to 10.84 | 0.005 |
| | No | 372 | 50 | 13 | | | |
| Pre-treatment peripheral blood monocytes (>or< 0.04*10³ cells/μl) | High | 216 | 20 | 0 | 2.12 | 0.87 to 8.14 | 0.091 |
| | Low | 309 | 50 | 14 | | | |
| Alkaline phosphatase levels (>or< 140 units/liter) | High | 216 | 29 | 0 | 1.93 | 0.74 to 4.99 | 0.176 |
| | Normal | 246 | 35 | 6 | | | |
| IHC CD3 staining | NA | NA | NA | NA | 0.56 | 0.23 to 1.33 | 0.191 |
| Age | NA | NA | NA | NA | 1.13 | 0.93 to 1.36 | 0.224 |
| Sex | Male | 296 | 42 | 8 | 0.75 | 0.33 to 1.72 | 0.504 |
| | Female | 142 | 33 | 8 | | | |
| Weight | NA | NA | NA | NA | 1 | 0.97 to 1.04 | 0.846 |
| IHC MAC387 staining | NA | NA | NA | NA | 1.02 | 0.61 to 1.69 | 0.954 |

416
 417 **Table 3B.** Results from stepwise COXPH multivariate analysis using four parameters for subset
 418 selection. The two significant co-variates reported here were associated with poor prognosis.

| Factors selected in stepwise model | HR | 95% CI | p value |
|------------------------------------|----|--------|---------|
|------------------------------------|----|--------|---------|

| | | | |
|---|------|----------------|--------|
| TP53 mutation status (WT/NULL) | 6.39 | 2.12 to 19.209 | 0.0009 |
| Monocyte count (>0.04 *10³ cells/µl) | 2.83 | 1.09 to 7.33 | 0.032 |

419

420

421 **DISCUSSION**

422 The current whole exome sequencing and microarray data analyses of 26 canine OSA samples
 423 combines the identification of somatic variants that could drive cancer with an analysis of genes
 424 with altered expression in those tumors compared to normal bone. Additionally, the clinical
 425 outcome data was used to identify the pathways and somatic variants that were modulated
 426 based on disease-free intervals (DFI). The mutational burden in OSA for short somatic variants
 427 is usually low in both canine and pediatric cancers. The protein coding mutations per Mb in
 428 most of the 26 canine OSA samples were similar to those reported in human OSA (less than 2
 429 mutations per Mb, across 42 samples) [28]. There was only one sample (T-153) with a high
 430 mutational burden, greater than 10 mutations per Mb, which could be considered
 431 hypermutable as per criteria established by Gröbner et al. 2018. In this study, neither
 432 mutational burden or number of CNVs were correlated with DFI, suggesting that the absolute
 433 numbers of tumor mutations were not the primary predictors of disease progression or
 434 response to therapy in canine OSA.

435

436 Similar to previous WES and WGS studies of canine OSA [11, 12], we identified C>T transitions
 437 as the primary point mutation type in these canine tumors. Within the context of the 96
 438 trinucleotide combinations, we identified 3 *de novo* signatures with similarity to COSMIC
 439 signatures 9, 1, and 5. Sakthikumar et al. identified COSMIC signatures 1 and 17 and found a

440 greater representation of COSMIC signature 1, associated with aging, in Rottweilers and
441 Greyhounds, while COSMIC signature 17 and a novel signature were more common in Golden
442 Retrievers. Like the Gardner et al. study, we identified high similarity to COSMIC signature 17 in
443 only one sample (**Additional Figure S4B**). This sample was from a female mixed breed dog that
444 fell within the DFI <100-day group, had 1.91 protein coding mutations per megabase, and is
445 *TP53* wildtype. Given the rarity of this signature in our samples, this sample was binned as a
446 signature A tumor with overall similarity to COSMIC signature 9. Another tumor, sample 1247,
447 with a high contribution of signature A was also *TP53* WT, but had a DFI >300 days. While only
448 identified in the WGS analysis, the Gardner et al study also identified a group of tumors with
449 the COSMIC signature 9.

450

451 Similar to human OSA variant types, the samples in this study had more copy number variants
452 than SNVs and INDELs [7]. Over 80% of the samples had at least one *TP53* short variant and/or
453 CNV which is similar to previous reports in both human and canine studies [8, 11, 12]. We
454 identified missense *TP53* mutations in 70% (18) of the canine OSAs with nonsense or frameshift
455 variants identified in 15% (4) of tumors and the remaining 15% (4) being *TP53* wildtype. In
456 comparison, Chen *et al.* identified *TP53* pathway mutations in each of 20 tumors examined [7].
457 This study, with only 2 somatic missense mutations, one frameshift variant, and 55% (11/20)
458 bearing structural variants in the first intron, suggests that for human OSA, *TP53* missense
459 variants are comparatively rare. One of the surprising results from the current study was the
460 correlation of increased DFI and tumors bearing missense mutations in *TP53*. Similar to the
461 current association with outcome, a previous study showed that there was no significant

462 difference in DFI between tumors with *TP53* mutant and wildtype variants [29], but did identify
463 a longer overall survival in dogs with wildtype *TP53*. However, that study reported *TP53*
464 variants in only 40.7% of cases, included both missense mutations and frameshift or nonsense
465 mutations in the *TP53* mutant category for DFI analysis, and not all the dogs included in these
466 analyses received chemotherapy. In addition, survival times can be confounded by the use of
467 euthanasia in companion animals, making DFI a potentially more biologically relevant measure
468 of outcome. In contrast, each of the dogs included in this study received 1 to 5 doses of
469 doxorubicin and/or 1 to 5 doses of carboplatin. A targeted exome sequencing study in human
470 small-cell lung cancer identified *TP53* mutations in 54% of patients, where it was reported that
471 the patients with mutant *TP53* had longer relapse free intervals as compared to patients with
472 wild type *TP53* [30]. Similarly, *TP53* mutant human cancers including breast, are significantly
473 more likely to achieve pathological complete responses to chemotherapy [31-35]. Examination
474 of this phenomenon has shown that tumors from mice with the murine Tp53 R172H variant
475 exhibit greater sensitivity to doxorubicin, and fail to exit the cell cycle following treatment,
476 resulting in aberrant mitosis, and cell death [36]. Gain of function *TP53* mutants, including
477 R175H, R248W, and R273H, inactivate the ATM-dependent DNA damage response leading to
478 chromosomal translocations and a defective G2/M checkpoint, and improved treatment
479 responses [37]. This suggests that a similar phenomenon may occur in *TP53* mutant canine OSA.
480 The multivariate COXPH analysis with *TP53* and relevant clinical factors indicated that both high
481 numbers of pre-treatment blood monocytes and wild type/null *TP53* may be poor prognostic
482 markers in canine OSA treated by amputation and doxorubicin and/or carboplatin. The higher

483 pre-treatment monocyte counts were previously reported to be associated with a shorter DFI in
484 OSA patients [38].

485

486 The second most frequently mutated gene with short variants was *SETD2*. This gene is a
487 potential tumor suppressor and is mutated in several human solid tumors [39], but not in
488 human OSA. Although the majority of the *SETD2* variants resulted in frameshifts, mutation
489 status did not impact transcript expression based on Canine 2.0 Affymetrix microarray analysis
490 in this study. The significance of these alterations at the transcript and protein level is unknown
491 at this time.

492

493 As reported in the results, a majority of genes with short variants were mutated in only one of
494 the samples, suggesting that these are passenger mutations. In addition, we did not observe
495 much overlap between the less frequently mutated genes in our study and the two other
496 canine studies [11, 12]. The functional annotation of genes with short somatic variants showed
497 enrichment of PI3K-AKT signaling and cell cycle pathways. This is similar to functional
498 annotation of somatic variants previously identified in pediatric OSA [8].

499 As mentioned earlier, copy number variations are more prevalent in both canine and human
500 OSA. Perry et al. 2014, identified 850 genes that had significant copy number alterations in
501 pediatric OSA. Upon comparing the CNV genes with those (1,662) identified here, we found 77
502 genes that overlapped, including *MYC*, *CCND3*, and *TFEB*. However, there were only 38 CNV
503 genes that were common between this study and another canine whole exome sequencing

504 study [11]. This could be due to variation across canine breeds for OSA samples and/or
505 differences in the protocols used for bioinformatics analyses.

506

507 We also identified significant correlations between the transcript levels of 257 genes (13 cancer
508 genes and 244 additional genes) and altered copy number variation amplitude, showing that
509 changes in gene copy number effects the transcription of these genes. This suggests that only
510 about 15% of CNV genes might have altered expression that is biologically relevant. Despite
511 CNVs being identified in both human samples and the current study, the amplification of *MYC*
512 was not significantly correlated with increased expression within the Canine 2.0 microarray
513 data. Similarly, array CGH analysis has identified recurrent *MYC* amplifications in canine OSA
514 that were confirmed by fluorescent *in situ* hybridization but were not associated with
515 elevations in *MYC* transcript expression [40]. Like the functional annotation of small somatic
516 variants, pathway analysis of genes with CNV showed enrichment of MAPK signaling pathway,
517 Autophagy, PI3K-Akt signaling pathway and p53 signaling pathway. All of these signaling
518 pathways are known to be altered in both canine and human OSA.

519

520 The differential expression analysis between normal metaphyseal bone and tumor samples
521 identified dysregulated pathways in canine OSA. Although the samples used here were primary
522 tumors, several pathways that pertain to metastatic cancer were identified. One of the major
523 gene sets up-regulated in the tumors included extracellular matrix components which included
524 integrins, collagen, proteoglycan, and glycoprotein genes. ECM dysregulation leads to
525 progression of osteosarcoma and promotes metastasis [41]. When an OSA cell line was cultured

526 in the presence of ECM, the cells exhibited doxorubicin resistance [42] and *TP53* protein levels
527 were decreased, suggesting a mechanism for drug resistance.

528 We also observed downregulation of several gene sets including myogenesis, immune
529 response, and drug transport in OSA compared to normal samples. A
530 component of the myogenesis gene set, *Duchenne muscular dystrophy* or *dystrophin* (*DMD*),
531 was shown to have major structural rearrangements resulting in deletion in about 50% of
532 canine OSA samples [12] analyzed using whole genome sequencing. Although these
533 rearrangements could not be detected in our WES analysis, the average expression of tumor
534 *DMD* was significantly lower than in normal metaphyseal bone samples (Student T-test p value
535 = 0.006). Loss of *DMD* in *mdx* mice is associated with reduced life span and these mice are
536 prone to spontaneously develop rhabdomyosarcomas [43]. However, the effect of *DMD*
537 deletion in OSA is still unknown. The other major player in pediatric OSA development is
538 deletion of *RB1*, which occurs in 29% of patients [7]. Although we did observe copy number
539 variation within the chromosomal region of *RB1*, significant transcript loss was not observed.
540 However, the loss of *RB1* in tumor samples may have led to identification of an enriched gene
541 set (RB_P107_DN.V1_UP) which is comprised of genes that are upregulated due to loss of *RB1*.
542 Based on the core enriched genes identified as RB_P107_DN.V1_UP components, canine OSA
543 had an upregulation of genes that dysregulate cell migration (*SLIT3*, *slit guidance ligand 3*),
544 extracellular matrix remodeling (*COL6A1*, *COL5A1*, *COL16A1*, *PCOLCE*), cell proliferation and
545 differentiation (*FSTL1*, *follistatin like 1*) and protein folding (*FKBP10*, *FKBP prolyl isomerase 10*).
546

547 The availability of outcome data associated with each canine patient allowed for the analysis
548 and identification of pathways enriched in patients in the 25th (short) and 75th (long) percentiles
549 of DFI. In other words, comparison between patients that took less than 123 days or more than
550 395 days to develop metastasis identified pathways associated with outcome. One of the three
551 gene sets upregulated in short DFI patients included oncogenic signature gene set,
552 KRAS.50_UP.V1_UP, which includes upregulated genes due to over-expression of oncogenic
553 KRAS. Although we did not identify a mutant variant of KRAS in the tumor samples, significant
554 upregulation of transcription factors downstream of KRAS signaling like *HEY1* and *ETV1* was
555 observed in short DFI patients. *ETV1* is known to promote metastasis in several cancer types
556 [44-46], hence elevated levels of *ETV1* in short DFI patients might play a role in accelerating
557 metastasis. In gastric cancer cell lines, upregulated *ETV1* induces epithelial to mesenchymal
558 transition and increased invasiveness [47]. Similarly, mouse models of KRAS-driven pancreatic
559 cancer exhibit elevated expression of *Hey1* associated with tumor progression [48, 49].
560 Although we did not observe an increase in mesenchymal markers like VIM (vimentin) and
561 CDH2 (N-cadherin), possibly due to the small sample size (N=6), the EMT gene set was enriched
562 in tumor samples when compared to normal bone samples. Additionally, another gene set
563 comprised of drug detoxification genes (ABC transporters: ABCC1, ABCC2 and NRF2 pathway
564 genes: GSR, NQO1, HMOX1, GCLC, ME1) was upregulated in short DFI patients. The *ABCC* genes
565 are associated with multi-drug resistance [50] and the NRF2 pathway genes play a role in
566 reducing oxidative stress, thus providing defense against exogenous and endogenous stressors
567 and a survival advantage for cancer cells [51]. Drugs such as AEM1 and ML385 that disrupt the

568 activated Nrf2 pathway, have been tested in pre-clinical cancer studies [52]. Taken together,
569 the study of NRF2 pathway in aggressive OSA can provide us with new avenues of treatment.

570

571 Clinical trials over the past decade have dramatically increased the relevance of cancer
572 immunotherapy, demonstrating immune checkpoint inhibitors (ICI) to be an effective
573 treatment modality in multiple human tumor types [53-57]. Despite a high degree of genomic
574 instability in OS, this unfortunately has not translated to observable clinical benefit of ICI
575 therapy in these patients, underscoring an immediate need for additional pre-clinical models
576 that allow investigation of novel therapeutic combinations that could this paradigm [7, 58-60].

577 The negative results of ICI trials in OS are surprising, given the prior clinical success of the
578 macrophage-activating innate immune stimulant Liposomal-Muramyl TriPeptide-
579 PhosphatidylEthanolamine (L-MTP-PE) immunotherapy in this disease. L-MTP-PE was
580 developed to stimulate the antitumor effects of monocytes and macrophages, and has resulted
581 in longer overall survival in both canine and human osteosarcoma patients in combination with
582 chemotherapy [61, 62]. Due to the extensive comparative similarities between canine and
583 human OS [63, 64]; it is very likely that clinical studies in dogs with OS also hold significant
584 potential to inform novel combination approaches for human immunotherapy studies in this
585 tumor type. To this end, we sought to characterize the immune landscape of canine OS as a
586 necessary prerequisite to informed immunotherapy trial design in this species. In this study, we
587 have identified several immune response related gene sets, primarily associated with an
588 effector\cytotoxic T cell response, which are upregulated or enriched in patients with long DFI,
589 or stated another way, decreased immune response was associated with poor outcome. Similar

590 to our findings, a cross-species (human, dog, and mouse) transcriptome comparison conducted
591 by Scott et al. 2018, revealed a common gene cluster profiles across the three species
592 modulated in osteosarcoma tumors and cell lines [65]. Similar to the findings in this study, the
593 authors identified an association between lower transcript levels of immune-related genes and
594 poor clinical outcome. Their data further suggests that there is an association between
595 increased immune cell infiltration, namely T cells, that may be indicative of more effective
596 immune surveillance associated with prolonged impedance of progression to metastasis.
597 Due to a lack of comprehensive immunophenotyping antibody reagents in dogs, multiple
598 methods were used to comprehensively assess tumor immune cell infiltration in this study,
599 including: 1) quantitative IHC analysis of intra-tumor T cell and myeloid cell densities, and 2)
600 transcriptomic profiling of previously identified immune cell subsets by two separate methods
601 [23, 24]. The IHC staining scores for CD3+ T cells significantly correlated with transcriptomic
602 scores for CD8 T-cells and cytolytic activity, but surprisingly, there was no correlation between
603 mutational burden and the composition of the immune landscape of our canine OSA tumors,
604 specifically with regard to T cell density and cytolytic activity. However, these findings are
605 consistent with those observed in a recently published study on the immune landscape of OSA
606 human patients [15], and suggest that, in contrast to other tumor types, the degree of
607 mutational burden is likely to be a poor indicator for the presence of pre-existing anti-tumor
608 immunity or immune therapy response in OSA.
609
610 Importantly, the significant positive correlation between transcriptomic CD8 T cell and cytolytic
611 activity with CD3 immunolabeling suggests that when present, T cell infiltrates in canine OSA

612 tumors are primarily of an effector CD8 cytotoxic T cell phenotype, similar to their human
613 counterparts. These data also demonstrate that while comprehensive immunophenotyping
614 reagents for prospective immunotherapy trial design and correlative studies in dogs may be
615 limited, CD3 IHC is a feasible and cost-effective surrogate for baseline anti-tumor immune
616 response assessment in dogs. Furthermore, we observed significant associations between CD3
617 T cell infiltration and co-inhibitory immune checkpoint expression, including PD-L1, suggesting
618 that when immune responses are present, similar mechanisms of T cell immune exhaustion and
619 adaptive immune resistance are at play between human and canine tumors. While our study
620 did not find any association between increased cytolytic activity score and prolonged disease-
621 free interval in dogs with OSA, this could possibly be due to low sample size, as previous studies
622 demonstrating this association in human patients were done in high-dimensional data [23, 66].
623 Additionally, Wu et al. 2020 reported that the ESTIMATE immune score is negatively correlated
624 with the total number of deleted genes in human OS patients [15]. However, in our data we did
625 not observe this association. This could be due to the method for identification of deleted
626 genes in our study (whole exome sequencing) when compared to the human OSA study (whole
627 genome sequencing). Nonetheless, taken together, results of our gene set enrichment analysis
628 and immunogenomic profiling suggest the presence of both distinct subsets of so-called
629 immunologically “cold” and “hot” tumors in canine OSA, and utilization of similar mechanisms
630 of adaptive immune resistance in these tumors, providing an important foundation and
631 rationale for designing novel combination immunotherapy studies in dogs as a translational
632 strategy to improve solid tumor immunotherapy.

633

634 **CONCLUSIONS**

635 This study analyzed the genomic and transcriptomic profiles of 26 tumors from canine OSA
636 patients and associated them with outcome data. Similar to other canine OSA studies, we have
637 identified *TP53* and *SETD2* as the most recurrently mutated genes. We found that in dogs
638 treated with doxorubicin and/or carboplatin, wild type/NULL *TP53* mutation status was
639 associated with short DFI. The transcriptome profile of normal and tumor samples identified
640 significant upregulation of extracellular matrix genes while myogenesis was downregulated in
641 tumors. Additionally, there was decreased expression of immune response genes and higher
642 expression of KRAS and NFE2L2 targets in tumors from patients with a short DFI. The immune-
643 genomic profiling of tumors showed association of immune checkpoint genes and T cell
644 infiltration, but no association with genomic criteria such as mutational burden.

645

646 **METHODS**

647 **Sample processing - Bone tumors and matched normals**

648 Tumors from previously untreated dogs with OSA were collected along with blood, peripheral
649 blood mononuclear cells (PBMC) or stroma as matched normal samples (**Additional File 1;**
650 **Table S1A**). The samples were flash frozen and kept at -80° C until processed for whole exome
651 sequencing and microarray analysis. Following the manufacturer's protocol for TRIzol
652 (Invitrogen), genomic DNA was extracted from 26 primary tumors, one metastatic tumor, and
653 26 matched canine blood, PBMC or stromal samples, and DNA was cleaned using DNeasy or
654 QiaAMP DNA Blood mini kits (Qiagen). RNA was extracted from the same 26 tumor samples and
655 8 normal canine bone samples using TRIzol (Invitrogen) followed by RNeasy cleanup (Qiagen)

656 for microarray analysis (**Additional File 1; Table S1B**). Both RNA and DNA were quantified on a
657 NanoDrop Microvolume Spectrophotometer and quality was assessed by TapeStation or
658 Bioanalyzer (Agilent).

659
660 The whole exome DNA library was created and genomic exonic regions were captured using the
661 Agilent SureSelect XT All Exon Canine V2 (part number: 931198, Santa Clara, CA) capture kit.
662 This capture encompasses 43.45 Mb of canine exonic regions. The SureSelectXT Target
663 Enrichment System for Illumina Paired-End Multiplexed Sequencing Library kit was used to
664 create the genomic DNA library. The resultant library was sequenced on an Illumina HiSEQ4000
665 sequencer generating 151 bp paired end reads.

666 RNA samples with a RIN value >8 were analyzed on GeneChip Canine 2.0 Genome Arrays
667 (Affymetrix) in five separate batches.

668
669 **Whole exome sequence data processing**
670 *Mapping Illumina reads with BWA*
671 The Illumina reads were processed to identify somatic single nucleotide variants (SNVs),
672 insertions and deletions (INDELS), and copy number variations (CNVs). The 150 bp reads were
673 trimmed to eliminate low-quality (phred score <20) and adapter sequences by using the
674 Trimmomatic tool (v0.36) [67]. Both the raw and trimmed/high-quality reads were assessed by
675 FastQC (v0.11.5) [68]. The reads were then mapped against the canine genome (CanFam3.1)
676 using the BWA (v0.7.15-r1140) tool [69]. The binary alignment files (BAM) were processed to
677 mark duplicates and recalibrate bases prior to variant calling as per GATK (v4.1.2.0) best-
678 practices [70].

679 *Indel and SNV calling using Mutect2 and post-processing of somatic variants*

680 The short somatic variants, SNVs and INDELs, were called from the BAM files using Mutect2. In
681 addition to using a matched normal sample for each tumor, we created a panel of normals
682 using 43 in-house samples (**Additional File 1; Table S1A**). We also used 90 million population
683 variants that were called from 722 dogs as the germline resource option within Mutect2 for
684 calling somatic variants [18]. The variants were filtered using filterMutectCalls and variants
685 with a PASS notation in the FILTER column were characterized as somatic variants. The variants
686 were annotated using Ensembl Variant Effect Predictor (VEP, Ensembl version 99) and the VCF
687 file was converted to MAF (Mutation annotation file) format using the perl code: vcf2maf.pl
688 (<https://github.com/mskcc/vcf2maf>).

689

690 The mutational signature of each sample was deduced by using the MutationalPatterns R
691 package (v1.12.0) [71]. Using the mut_mat function the count matrix of 96 trinucleotide
692 changes was derived from sample VCF files. To estimate the factorization rank, the non-
693 negative matrix factorization (NMF) algorithm (NMF R package) was used. The *de novo*
694 mutational signature was derived using the extract_signature function within the
695 MutationalPatterns package. This resulted in decomposition of the mutation count matrix into
696 three top ranked signatures and estimated the relative contribution within each sample. The
697 sample signatures were compared to 30 known COSMIC signatures by calculating the cosine
698 similarity between the NMF signatures and known cancer signatures.

699

700 The somatic variants were also processed to bin protein coding and cancer gene variants. The
701 gene variants with HGVS.p variable notations were selected as protein coding genes. From this
702 list, COSMIC (version 91) was used to identify variants within known cancer genes [72]. The
703 oncplots were plotted using R package ComplexHeatmap (v2.2.0). Pathway analysis of the
704 mutated genes was conducted using DAVID Functional Annotation Bioinformatics Microarray
705 Analysis (v6.8) tool and Enrichr to functionally annotate genes against Gene Ontology and KEGG
706 databases [73, 74].

707

708 *Copy number variant calling using Sequenza*

709 The allele-specific copy number variants were assessed using the Sequenza (v2.1.9999b1) tool
710 [75]. Briefly, the sequenza-utils bam2seqz function was used along with paired BAM files
711 (tumor and normal) to extract loci with A (major) and B (minor) allele frequencies. The
712 sequenza R package used the output of bam2seqz function for GC content normalization of
713 tumor normal depth ratio, allele-specific segmentation using the copynumber R package, model
714 fitting to infer cellularity and ploidy parameters, and copy number profiles of tumors. The
715 resulting segmentation file was annotated using the default options in the GISTIC2.0 tool to
716 identify genes in the recurrently copy number altered regions of the tumor genome. A q-value
717 cut-off of 0.01 was used to generate the final list of amplification and deletion peaks. The genes
718 associated with these peaks were identified and cancer genes (COSMIC v91) were selected for
719 plotting and cross-species comparison.

720

721 **Microarray data processing**

722 *Normalization and differential expression analysis using RMA and limma*
723 Following the manufacturer's standard protocol, RNA from 26 tumor and 8 normal bone
724 samples were transcribed to cDNA, labelled and hybridized onto GeneChip™ Canine Genome
725 2.0 Array (ThemoFisher Scientific, catalog number: 900727), and scanned on an Affymetrix
726 Scanner 3000. The data files (CEL) were processed in R for normalization of probes and
727 differential expression of genes. Using simpleaffy R package (v2.62.0), the CEL files for both
728 normal and tumor samples were normalized by Robust Multi-Array Average (RMA) method,
729 which generated \log_2 expression values for 43,035 probes. The differential expression analyses
730 between normal and tumor expression were carried out using limma (v3.42.2), which used the
731 Empirical Bayes method for model fitting [22]. The significantly differentially expressed genes
732 (DEGs) were selected using a q-value (false discovery rate corrected p-value) of <0.05 and \log_2
733 fold change of >2 for upregulated and <(-2) for downregulated genes, respectively.

734

735 *GSEA analysis*

736 Identification of enriched gene sets and pathways were conducted using the Gene Set
737 Enrichment Analysis (GSEA, v3.0) tool [76]. The genes sets used for this analysis were part of
738 MSigDB database, which included Hallmark (50 gene sets), Canonical pathways (2199 gene
739 sets), GO biological process (7350 gene sets), GO molecular function (1645 gene sets),
740 oncogenic signatures (189 gene sets), immunologic signatures (browse 4872 gene sets), and
741 chemical and genetic perturbations (3302 gene sets) [77]. Functional annotation of
742 differentially expressed genes from tumor and normal bone comparison was done using the
743 GSEAPreranked tool within GSEA program (FDR <0.05). In addition, we ran GSEA analysis on

744 samples (n=6) in the 25th (T-856, T-907, T-1087, T-458, T-276, T-1097) and 75th (T-74, T-1166, T-
745 346, T-399, T-29C, T-1246) percentile of DFI. Transcript expression of 15,563 genes were used
746 as input for this analysis and enriched genes sets were identified in short (25th percentile) and
747 long (75th percentile) DFI patients (FDR <0.05). The visualization of core enriched gene
748 expression from selected significant gene sets were plotted as heatmaps using pheatmap
749 (v1.0.12) R package [78].

750

751 **Immune cell characterization**

752 *Immunohistochemistry*

753 Archived, formalin-fixed, paraffin embedded (FFPE) tissue samples were obtained from the
754 Colorado State University Flint Animal Cancer Center Tissue Archive. Available paraffin blocks
755 were routinely processed for hematoxylin and eosin (H&E) staining, as well as
756 immunohistochemistry. The H&E stained slides were evaluated by a board-certified pathologist
757 (DPR) to confirm diagnosis and the presence of adequate viable tumor sample for IHC analysis.
758 Immunohistochemistry was performed via routine, automated methods on the Leica Bond Max
759 autostainer (Leica Biosystems Inc.), with the following panel of previously published canine
760 cross-reactive antibodies: rabbit polyclonal anti-human CD3 (pan T lymphocyte marker; Dako,
761 clone A0452), and monoclonal mouse anti-human Myeloid/Histiocyte antigen (MAC387;
762 monocytes/macrophages; Dako, clone MAC387). Antigen retrieval was performed using Leica
763 Epitope Retrieval 2 (Tris-EDTA buffer, pH 9) for 20 min. Detection was performed with
764 PowerVision IHC detection systems (Leica Biosystems, Inc.), using a polymeric alkaline
765 phosphatase anti-mouse IgG (MAC387) or anti-rabbit IgG (CD3) and Fast Red chromogen.

766

767 Whole slide brightfield images of IHC stained slides were digitally captured using an Olympus
768 IX83 microscope at 10x magnification and fixed exposure times for all samples. Quantitative
769 image analysis was performed using open-source ImageJ software (National Institutes of
770 Health). Parent images were converted to gray scale .tiff images for analysis. Tumor tissue
771 regions-of-interest (ROIs) were segmented from adjacent normal tissue by manual outlining in
772 ImageJ in blinded fashion by a board-certified veterinary pathologist. Following determination
773 of the ROI, positively labeled infiltrating immune cells were counted using the color
774 deconvolution algorithm. Briefly, a positive pixel threshold for all immune cell markers was
775 determined visually by a veterinary pathologist using appropriate isotype-stained control slides.
776 Images were subjected to color deconvolution, followed by global, automated application of
777 this intensity threshold to all images. Following automated image analysis, positive pixel masks
778 of each image were blindly evaluated by a pathologist to ensure thresholding accuracy. Data
779 was analyzed and the number of infiltrating immune cells was expressed as a percentage of
780 total tumor tissue area.

781

782 *Gene expression profiling of immune cells*

783 In the last few years, multiple groups have characterized immune cell types using gene
784 expression profile. Using the gene sets for immune cell types provided by Rooney et al 2015, we
785 have calculated a score for immune cell types in each sample [23]. A score was calculated as
786 geometric mean of gene expression for signature genes of each cell type (**Additional File 1,**
787 **Table S10**). These scores were used to assess associations with DFI, mutations per megabase

788 (tumor burden), and immune staining quantification data for T-cells and myeloid cells using
789 Pearson correlation. The significance of multiple correlations was corrected for false discovery
790 rates using Benjamini-Hochberg method (R package fdrtool, v1.2.15). The immune cell profiling
791 for tumor microenvironment was also carried out by using CIBERSORT tool [24]. Using
792 transcript expression data as input, deconvolution of 22 functionally defined immune cell types
793 was carried out [27]. A third method to calculate immune cell infiltration was done by using the
794 ESTIMATE (v1.0.13) R package for each tumor samples [25].

795

796 **Survival analyses and graphical plots**

797 The association of *TP53* mutation status, monocyte counts, and IHC staining scores with patient
798 DFI was assessed using Kaplan-Meier survival analyses (R packages used: survival, v.3.2-3 and
799 survminer, v.0.4.8). The univariate Cox proportional hazard regression (R package: survival,
800 v.3.2-3) was used to identify significant association of clinical parameters and *TP53* mutation
801 status to patient outcome. A p value cut-off of 0.2 was used to select factors for running the
802 stepwise algorithm. The stepwise forward multivariate COXPH regression was carried out by R
803 package My.stepwise (v0.1.0). The significance level for model entry and exit was 0.1. The final
804 model was comprised of factors what were significantly associated with outcome at a p-value
805 less than 0.05.

806 **Data processing and availability**

807 The whole exome data was processed on the RMACC Summit supercomputer [79]. The Illumina
808 raw fastq files were submitted to NCBI Short Read Archive (SRA) database. The canine
809 osteosarcoma and normal samples have been submitted to Bioproject PRJNA613479 and

810 PRJNA503860, respectively. The microarray data can be downloaded from Gene Expression
811 Omnibus (GEO) database using accession number GSE76127. The tools, databases, and their
812 versions, in addition to the bioinformatic pipeline used in this study can be accessed here:
813 <https://github.com/sdas2019/Canine-Osteosarcoma-Whole-Exome-Sequencing-Pipeline>.

814 **Figure legends.**

815 Fig. 1. Mutational signature profile in canine osteosarcoma. A. Distribution of total short
816 variants (SNVs and INDELS) across 26 samples. The samples were sorted in ascending order of
817 disease-free interval (DFI) and binned in three discrete groups. B. Distribution of mutation types
818 as identified by VEP across 26 samples. Missense mutations were the most common type of
819 short variant in this dataset. C. Mutation signature analyses showing the relative distribution of
820 six single nucleotide changes in 96 different trinucleotide contexts across three *de novo*
821 signatures. D. Relative contribution of three predominant signatures across 26 samples.

822

823 Fig. 2. Oncoplot of cancer census genes with variants in canine osteosarcoma samples. A. The
824 genes with short variants (SNVs and INDELS) are plotted along with sample characteristics like
825 disease free interval (DFI) and tumor location. The cancer genes were categorized as oncogenes
826 and tumor suppressor genes in accordance to the Cancer Gene Census. B. The genes with
827 recurrent copy number variations (amplifications and deletions) were plotted. The samples
828 were sorted in ascending order of DFI in both plots. Gene names colored red indicate significant
829 correlation of copy number amplitude and transcript expression.

830

831 Fig.3. Graphical representation of differentially expressed genes (DEG). A. Heatmap of DEGs
832 between normal bone (N-) and canine osteosarcoma samples (T-). B-D. The heatmap of core-
833 enriched genes associated with three significantly enriched Hallmark gene sets in tumor
834 samples in comparison to normal bone, as identified by GSEA analysis.

835

836 Fig.4. Association of TP53 mutation status and clinical parameters with outcome. A. Kaplan
837 Meier plots for disease free interval (DFI) in dogs with osteosarcoma stratified by TP53
838 mutation status. The dogs with wild type/null TP53 status had a shorter DFI compared to dogs
839 with TP53 missense mutations. B. Kaplan Meier plots for dogs with osteosarcoma stratified by
840 pre-treatment monocyte count ($>$ or $<$ 0.04×10^3 cells/ μ l). Higher monocyte counts were
841 associated with short DFI patients.

842

843 Fig 5. Representative figures of immunohistochemical staining of canine osteosarcoma samples.
844 A-B. These samples were stained for CD3+ T-cell infiltration in A (low levels – T-1023) and B
845 (high levels – T-1162). C-D. These samples were stained with the MAC387 antibody against
846 S100A9 to distinguish macrophage infiltration. C represents low levels (T-1162) and D
847 represents high levels (T-856). E-F. Quantification of immunohistochemical labelling of T-cell
848 (CD3+ cells) and Macrophages (MAC387 cells) across 19 and 22 samples, respectively.

849

850 Fig.6. Profiling of immune cell infiltration using gene expression data. A. Pearson correlation
851 matrix of immune cell expression score and immune staining levels of T-cell (IHC percent CD3+
852 cells) and macrophages (IHC percent MAC387 cells). We also correlated mutations per
853 megabases (MB) and disease-free interval (DFI) with other variables. Only the significant (FDR
854 <0.05) correlation values are plotted. B. Quantification of 22 immune cell types using
855 CIBERSORT. The samples are sorted by DFI. The most prevalent cell type in the tumor
856 microenvironment were M0 and M2 macrophages. C. Immune score quantification using
857 ESTIMATE R package.

858

859 References

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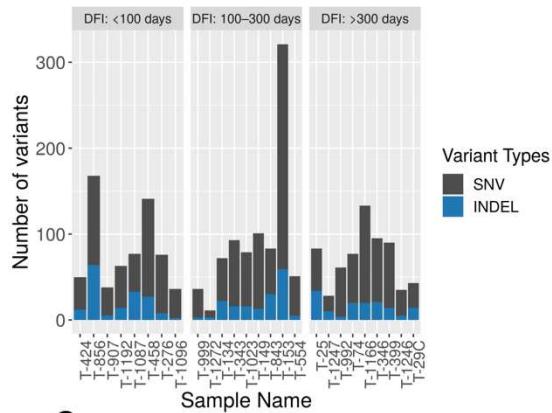
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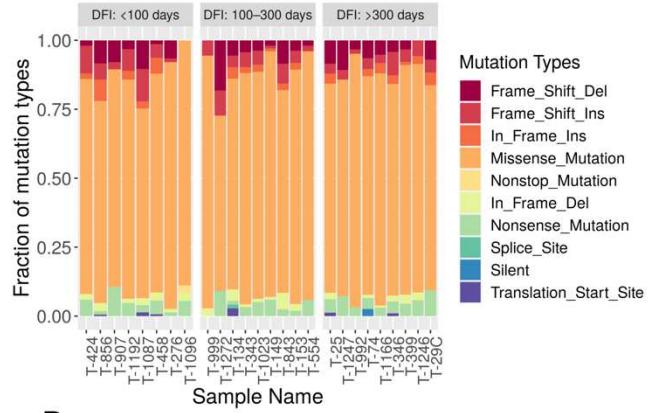
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Fig. 1

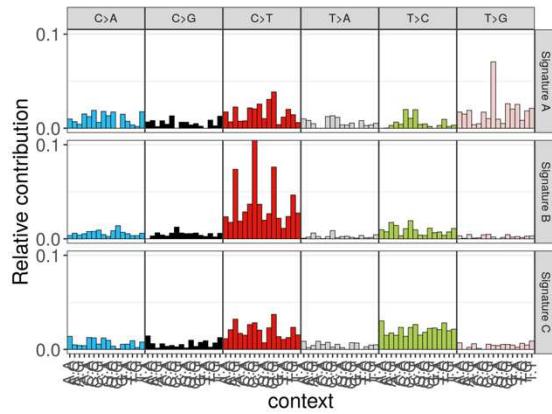
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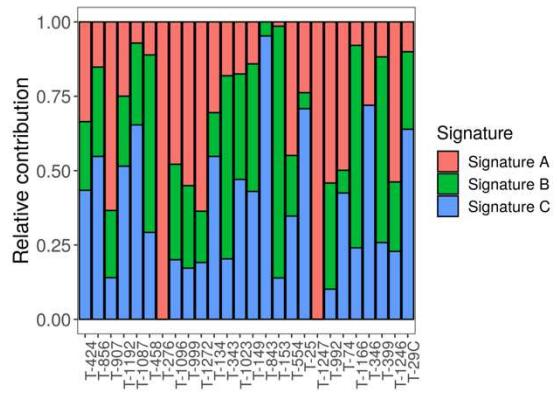
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1113

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1118 short variant in this dataset. C. Mutation signature analyses showing the relative distribution of
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1120 signatures. D. Relative contribution of three predominant signatures across 26 samples.

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Fig. 2

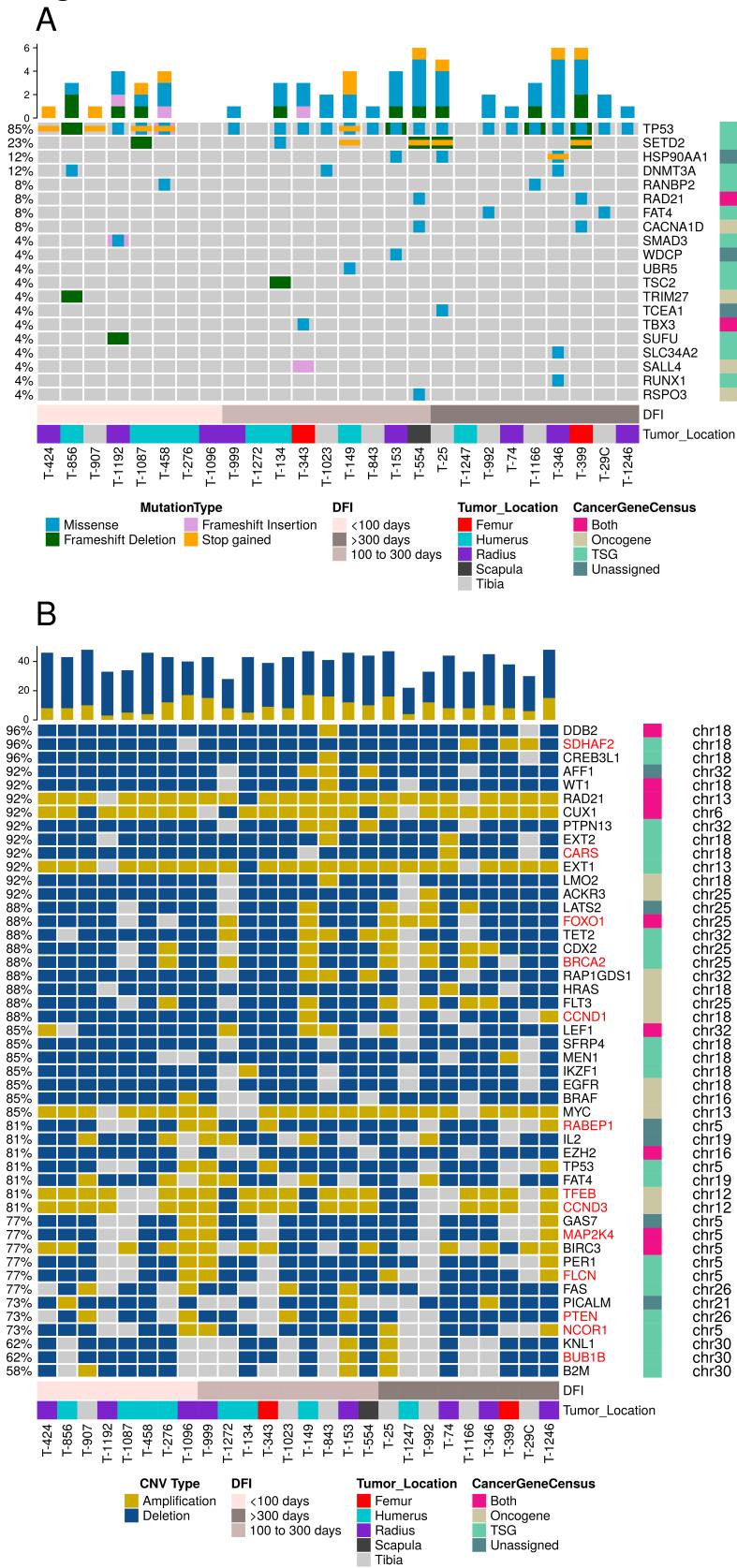
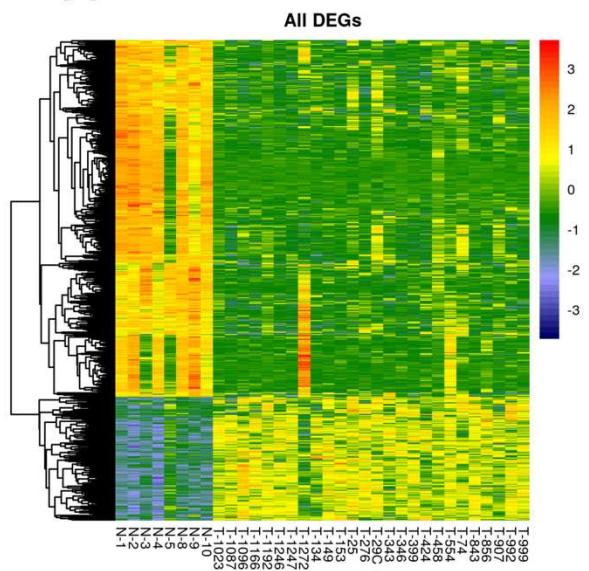


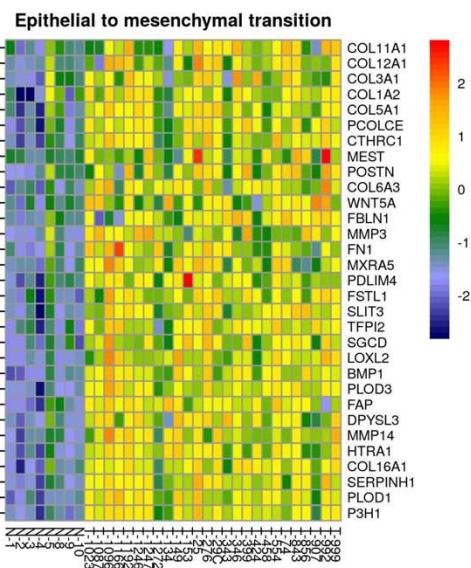
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Fig. 3

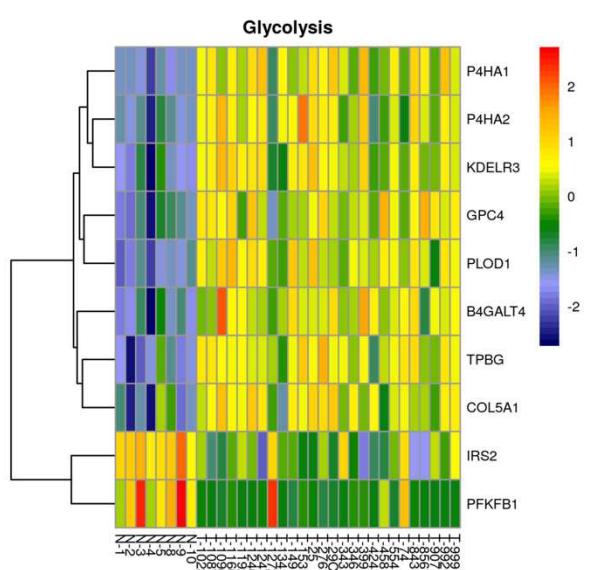
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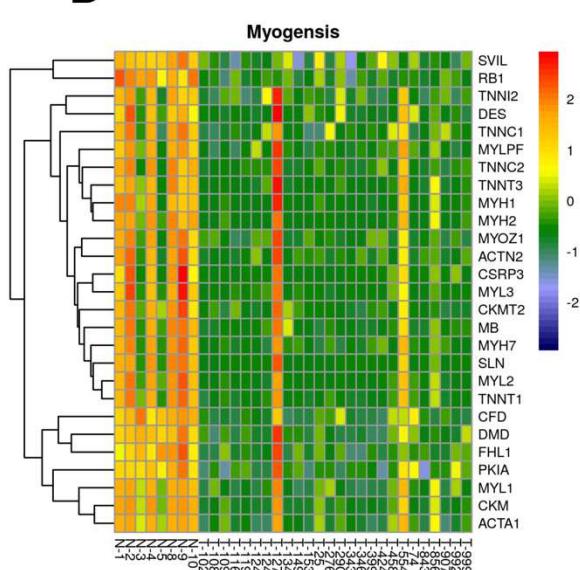
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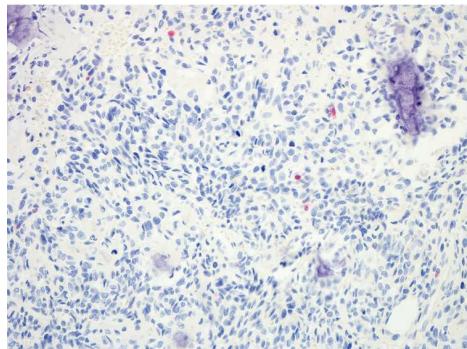
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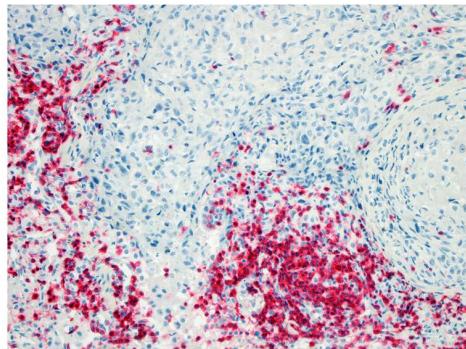
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Fig. 4

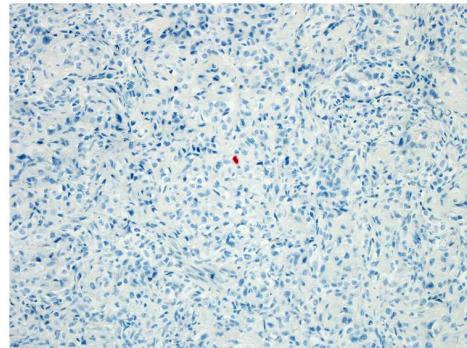
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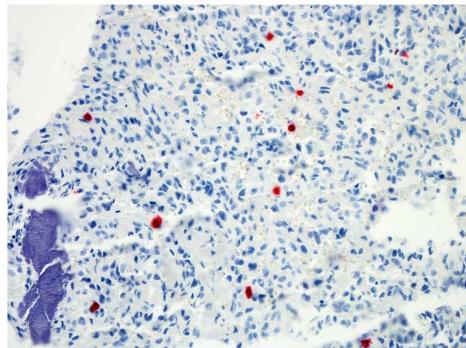
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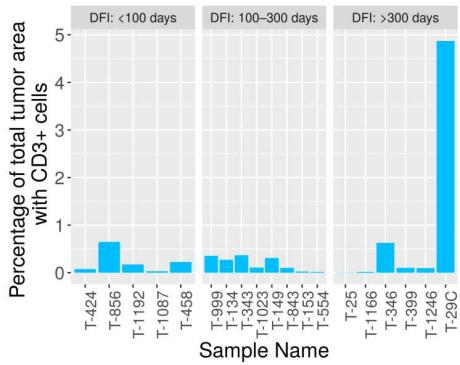
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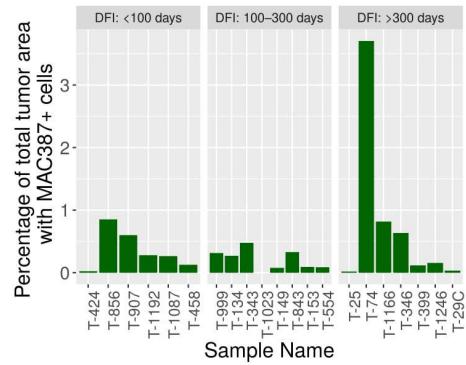
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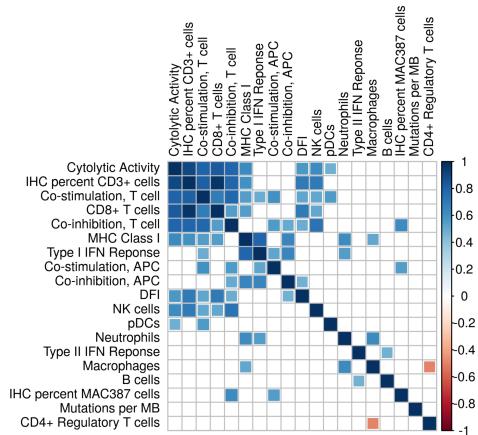
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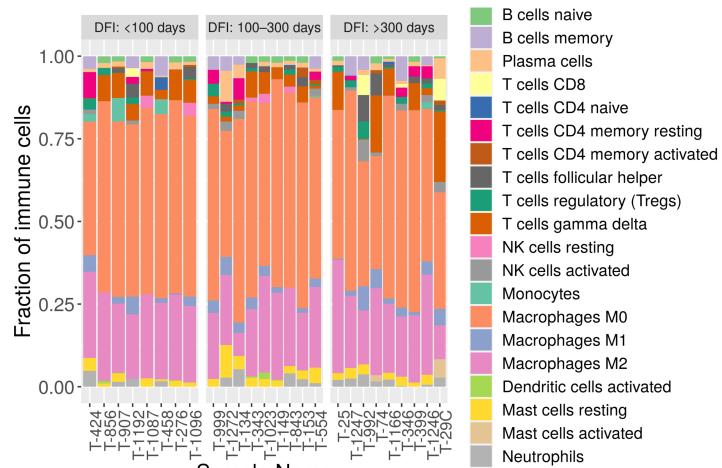
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Fig. 5

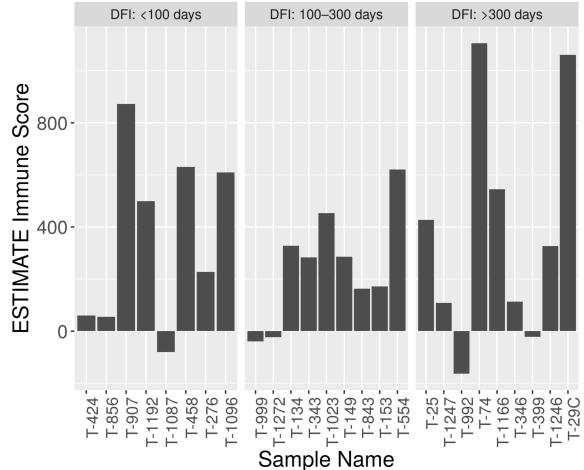
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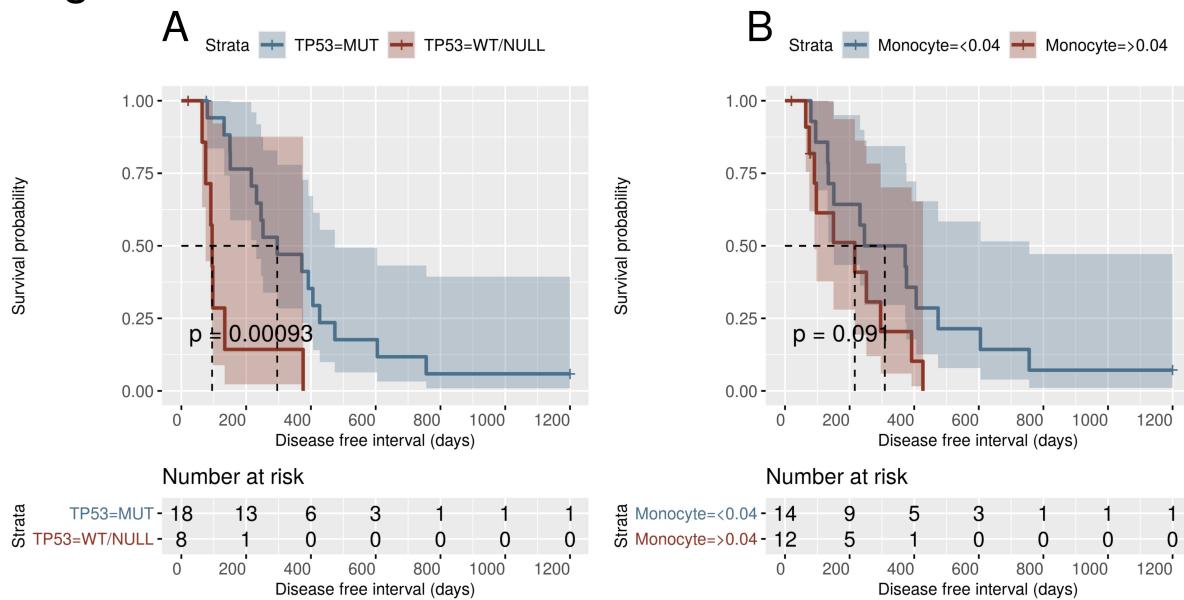


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1192

Fig. 6



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1194 Fig.6. Profiling of immune cell infiltration using gene expression data. A. Pearson correlation
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1215 **DECLARATIONS**
1216 **Ethics approval and consent to participate**
1217 The animal study was reviewed and approved by Colorado State University Animal Care and
1218 Use Committee. Written informed consent was obtained from the owners for the participation
1219 of their animals in this study.
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1221 Funding was provided by Anschutz Foundation (DLD, DLG and DHT), P30 CA046934 (University
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1231 Irene Mok for her help with sample curation. The authors gratefully acknowledge the efforts of
1232 Mary Lafferty for collection of clinical outcome data.

1233 **Competing interests**

1234 There are no competing interests.

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1237 **Availability of data and materials**

1238 The Illumina raw fastq files were submitted to NCBI Short Read Archive (SRA) database. The
1239 canine osteosarcoma and normal samples have been submitted to Bioproject PRJNA613479
1240 and PRJNA503860, respectively. The microarray data can be downloaded from Gene Expression
1241 Omnibus (GEO) database using accession number GSE76127. The tools, databases, and their
1242 versions, in addition to the bioinformatic pipeline used in this study can be accessed here:
1243 <https://github.com/sdas2019/Canine-Osteosarcoma-Whole-Exome-Sequencing-Pipeline>.

1244 **Author contribution**

1245 **Sunetra Das:** Contributed towards design of the work, analysis and interpretation of data,
1246 drafting of the manuscript.

1247 **Rupa Idate:** Contributed towards sample preparation used for whole exome sequencing.

1248 **Daniel P. Regan:** Contributed towards immunohistochemical sample processing and drafting
1249 the manuscript.

1250 **Jared S. Fowles:** Contributed towards microarray sample processing.

1251 **Susan E. Lana:** Contributed towards curations of tumor samples and is the Director of FACC
1252 tissue archive.

1253 **Douglas H. Thamm:** Contributed towards analysis and interpretation of survival analysis and
1254 revision of the draft.

1255 **Daniel L. Gustafson:** Contributed towards providing resources for gene expression analysis and
1256 interpretation.

1257 **Dawn L. Duval:** Contributed towards conception and design of the work, interpretation of data,
1258 and drafting and revisions of the manuscript.

Figures

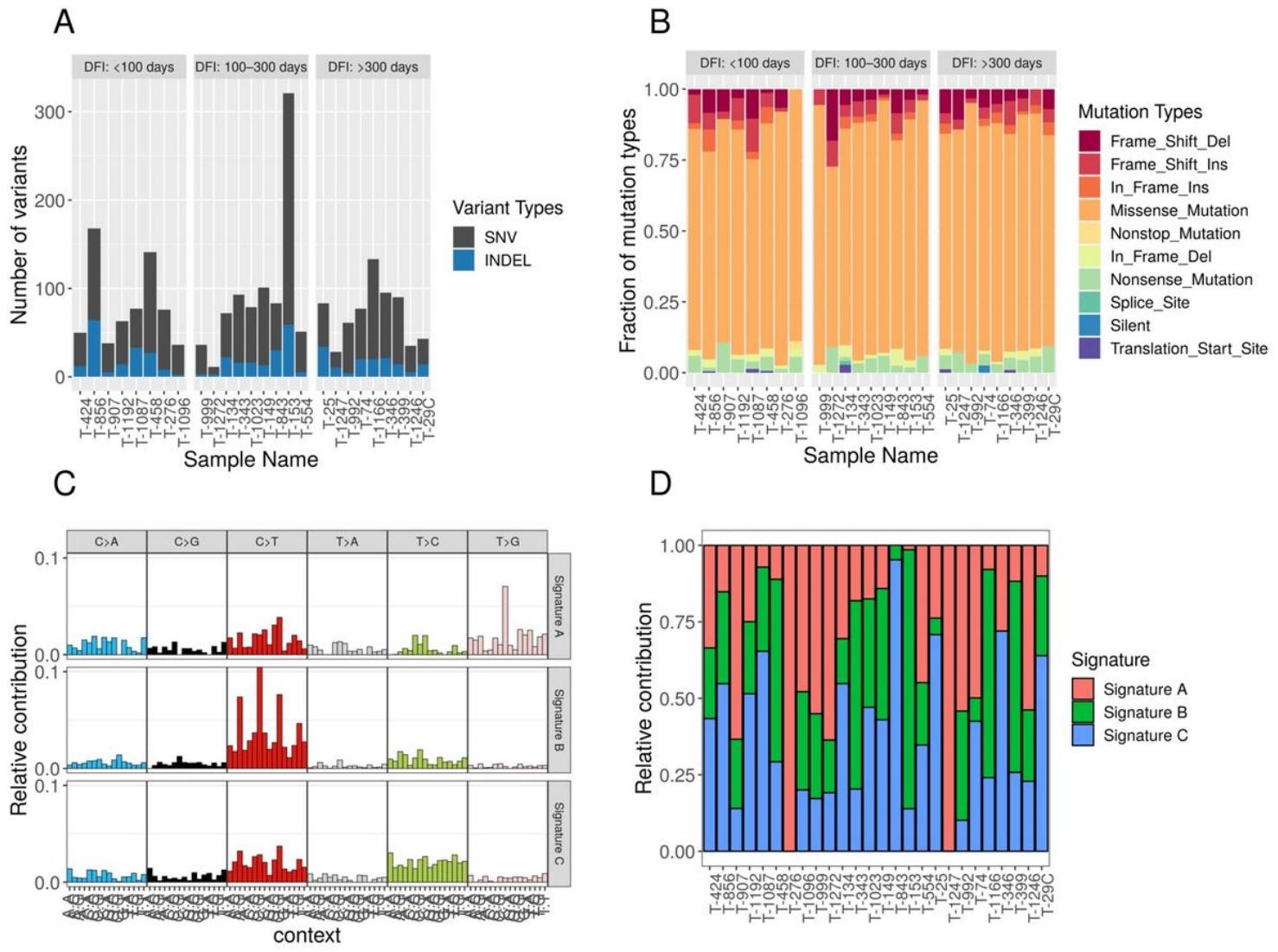


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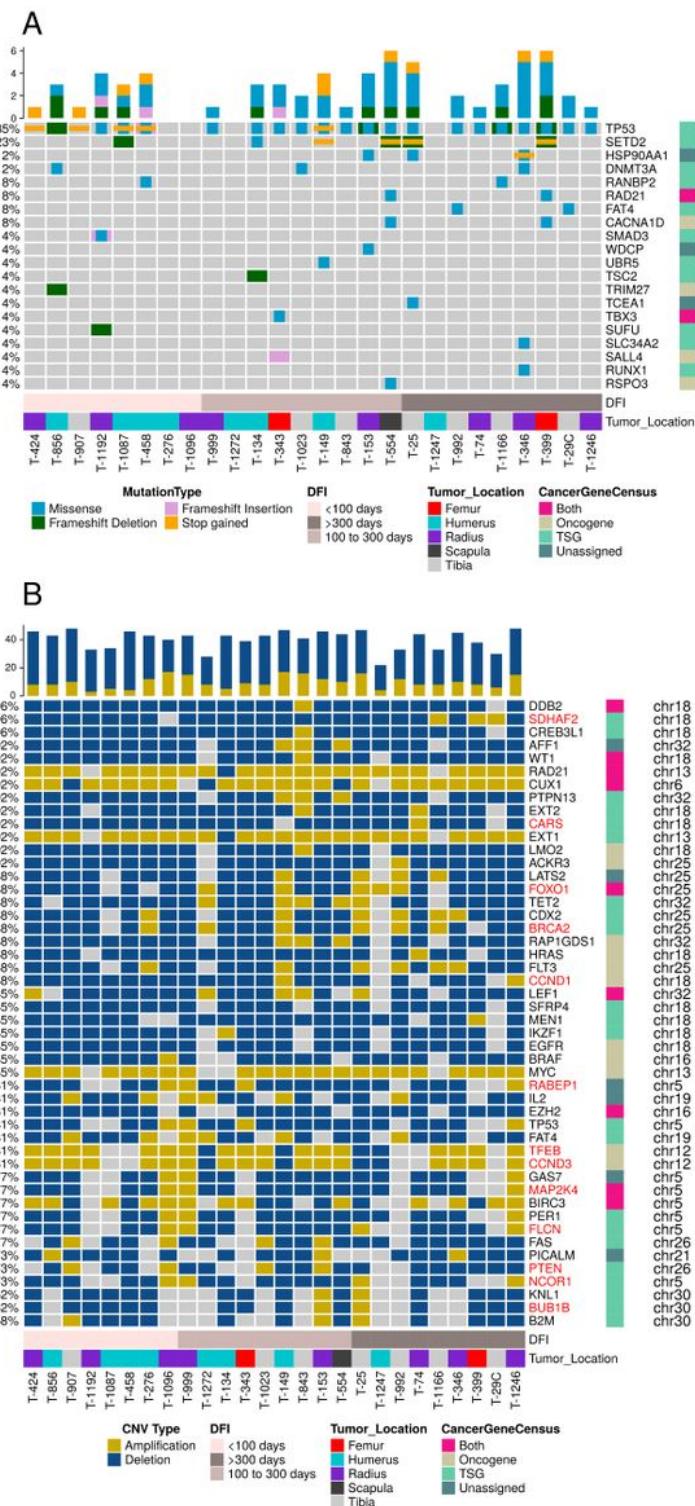


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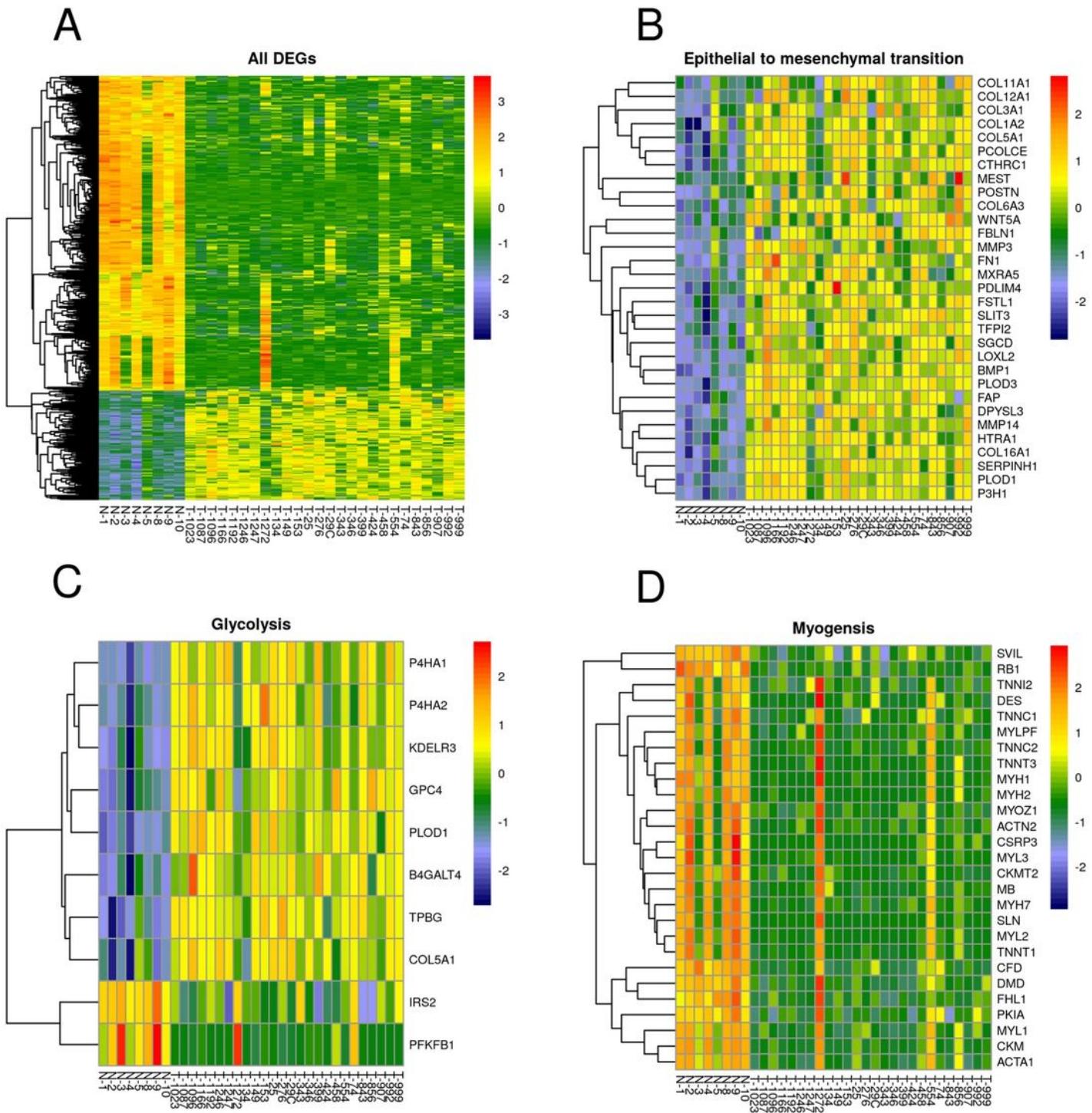


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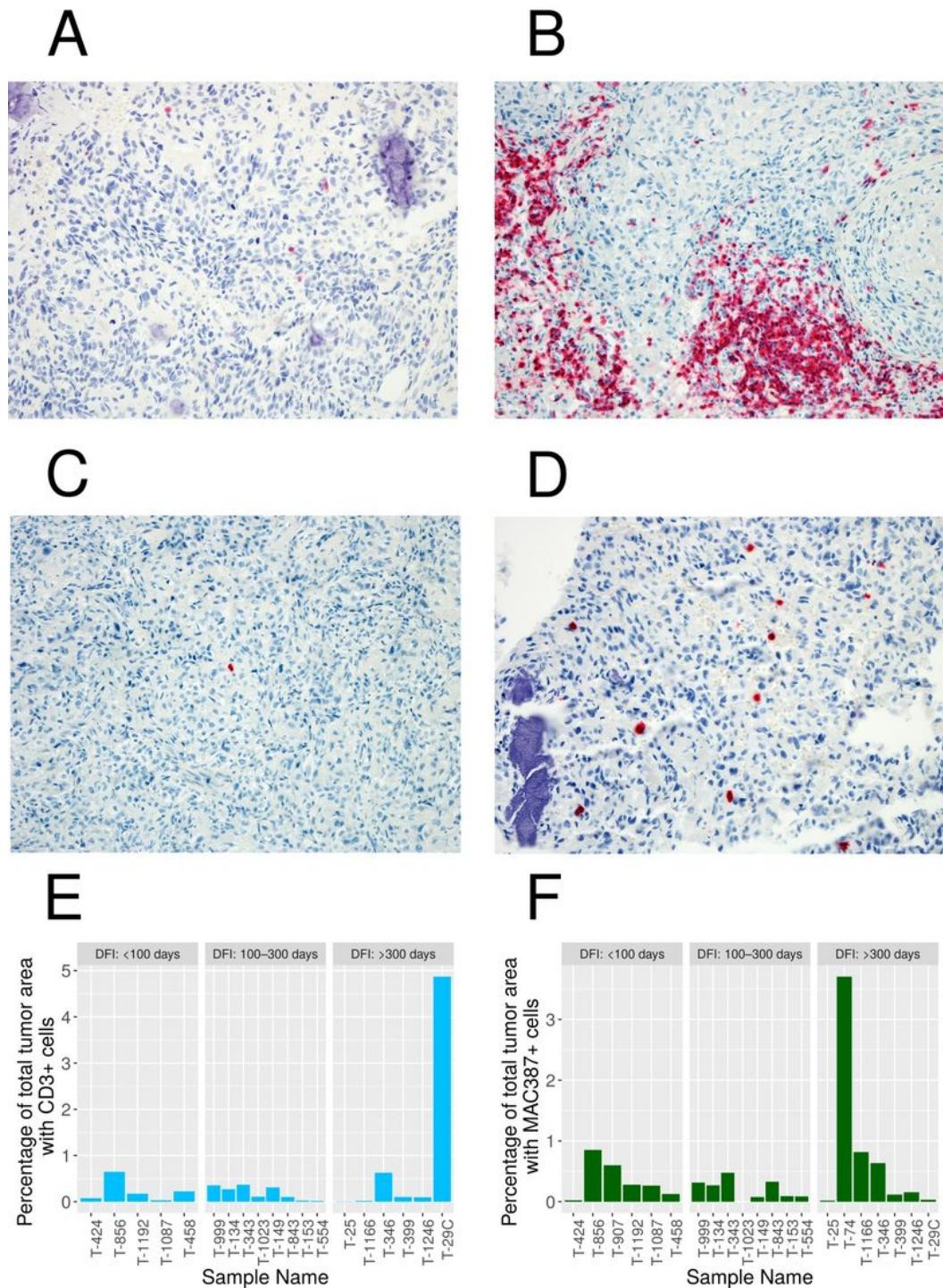


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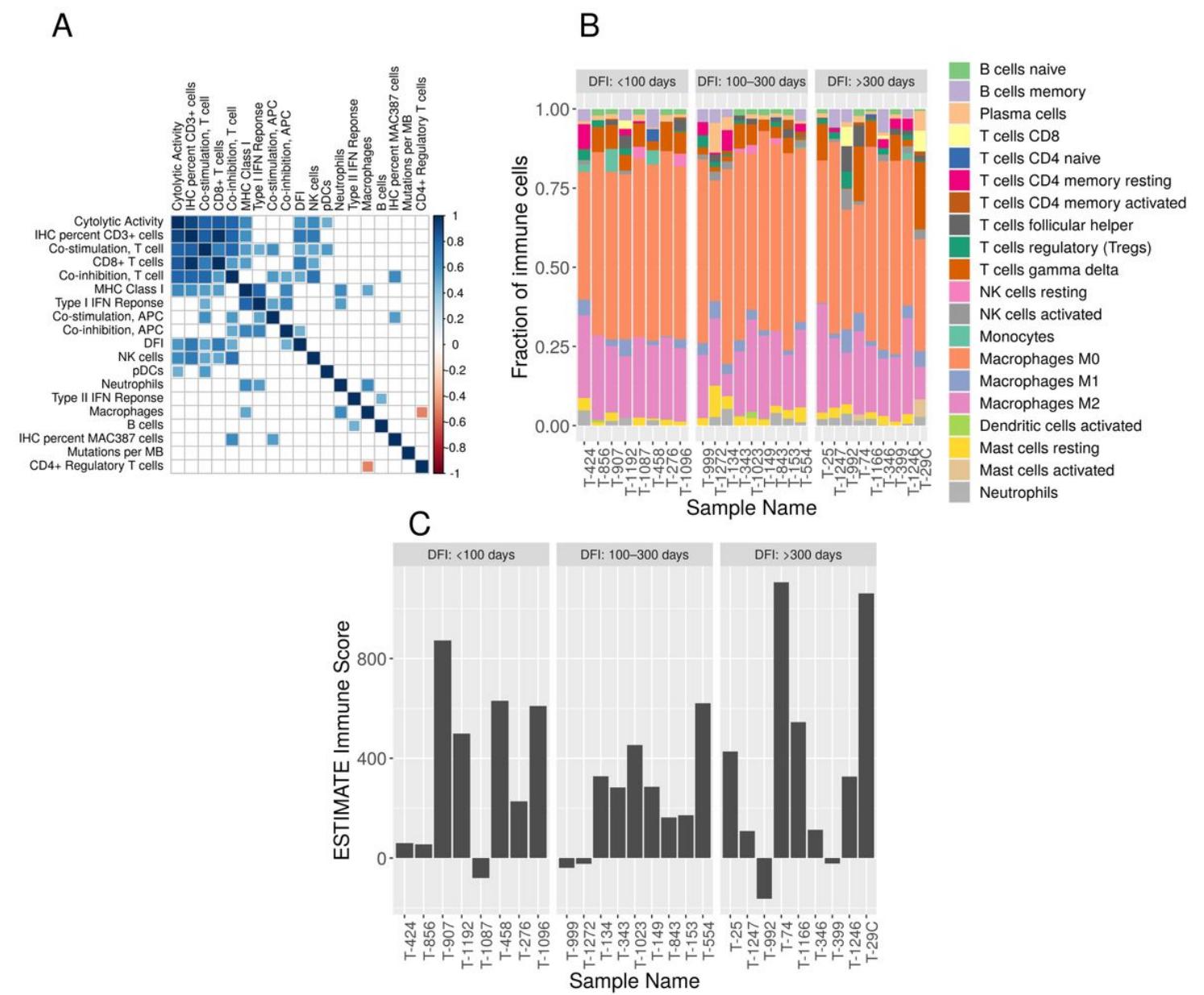


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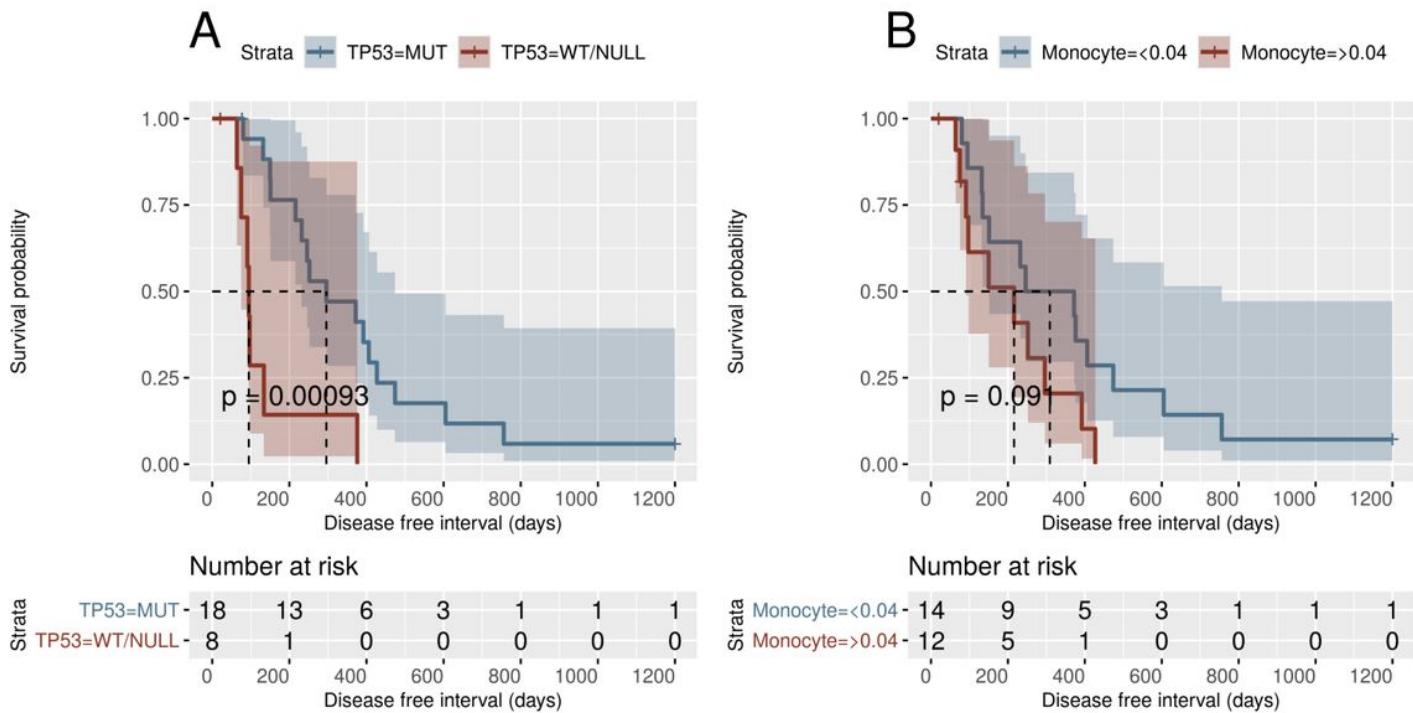


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