

Relationship Between Patellofemoral Finite Helical Axis and Femoral Trans-Epicondyle Axis Using a Static Magnetic Resonance-Based Methodology

Zhenguo Yu

Peking University Third Hospital

Hong Cai

Peking University Third Hospital

Bin Yang (✉ yangbin@pkuih.edu.cn)

Peking University International Hospital <https://orcid.org/0000-0001-7231-6678>

Jie Yao

Beihang University

Ke Zhang

Peking University Third Hospital

Hua Tian

Peking University Third Hospital

Zhongjun Liu

Peking University Third Hospital

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4 **Author name:** Zhenguo Yu¹, MD, Hong Cai¹, MD, Bin Yang², MD & PhD, Jie Yao³,
5 PhD, Ke Zhang¹, MB, Hua Tian¹, MD, Zhongjun Liu¹, MD

6

7 Address 1: Department of Orthopedics, Peking University Third Hospital, No.49 North
8 Garden Road, Haidian District, Beijing 100191, China

9 Address 2: Department of Orthopedics, Peking University International Hospital, Life
10 Park 1, Zhongguancun Life Science Park, Changping District, Beijing 102206, China

11 Address 3: Key Laboratory for Biomechanics and Mechanobiology of Ministry of
12 Education, School of Biological Science and Medical Engineering, Beijing Advanced
13 Innovation Centre for Biomedical Engineering, Beihang University, No.37 Xueyuan
14 Road, Haidian District, Beijing 100191, China

15

16 **E-mail address:**

17 Zhenguo Yu: yzguo3634@163.com

18 Hong Cai: hongcai@bjmu.edu.cn

19 Bin Yang: yangbin@pkuih.edu.cn

20 Jie Yao: yaojie@buaa.edu.cn

21 Ke Zhang: ZHANGKE@pkuih.edu.cn

22 Hua Tian: tianhua@bjmu.edu.cn

23 Zhongjun Liu: puthztlzj@163.com

24

25 **Corresponding authors:** Hong Cai, Bin Yang, Jie Yao

26 Hong Cai:

27 E-mail: hongcai@bjmu.edu.cn

28 Fax: 010-82265201

29 Tel: 86-13501226970

30

31 Bin Yang:

32 E-mail: yangbin@pkuih.edu.cn

33 Tel: 86-13810803698

34

35 Jie Yao:

36 E-mail: yaojie@buaa.edu.cn

37 Tel: 86-13811034632

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45 **Declarations:**

46 **Ethics approval and consent to participate**

47 The protocol for the study project has been approved by the Ethics Committee of Peking
48 University International Hospital (Approval number: YJ2017-020). All subjects
49 received an oral and written explanation of the study and signed the informed consent.

50

51 **Consent for publication**

52 Not applicable.

53

54 **Availability of data and materials**

55 The datasets used and/or analysed during the current study are available from the
56 corresponding author on reasonable request.

57

58 **Competing interests**

59 The authors declare that they have no competing interests.

60

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67

68 **Authors' contributions**

69 (1) conception and design: BY, JY, HC and ZY; (2) algorithm establishment: JY; (3)
70 imaging reconstruction and data analysis: ZY; (4) manuscript writing and final approval
71 of manuscript: all authors. All authors read and approved the final manuscript.

72

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75 of femoral trans-epicondyle axis.

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89 **Abstract**

90 *Background:* To manage patellofemoral joint disorders, a complete understanding of
91 the *in vivo* patellofemoral kinematics is critical. However, as one of the parameters of
92 joint kinematics, the location and orientation of patellofemoral finite helical axis (FHA)
93 remains unclear. The purpose of this study is to quantify the location and orientation of
94 the patellar FHA both *in vivo* and non-invasively at various flexion angles and to relate
95 the FHA to the trans-epicondyle axis (TEA).

96

97 *Methods:* The Magnetic resonance (MR) images of 18 unilateral knees were collected
98 at full extension and at 30°, 60°, 90°, and maximum angle of knee flexion. Three-
99 dimensional models of knee joint at different flexion angles were developed with the
100 MR images, and were used to calculate the patellar tracking and FHA with a spline
101 interpolation algorithm. By using a coordinate system based on the TEA, the FHA
102 tracking was quantified. Six parameters concerning the location and orientation of the
103 patellar FHA were analyzed.

104

105 *Results:* The average patellar FHA of 18 knees drew an L-shaped tracking on the
106 midsagittal plane moving from the posteroinferior side of the TEA to the anterosuperior
107 with knee flexion. Before 90° flexion, the patellar rotational radius decreased slightly,
108 with an average value of 5.65 ± 1.09 cm. During 20° to 90° knee flexion, the average
109 angle between the patellar FHA and TEA was approximately 10° and that between the
110 FHA and coronal plane was maintained at about 0°, while that between the FHA and

111 level plane fluctuated between -10° and 10° .

112

113 *Conclusions:* Patellar FHA was not fixed during flexion, which showed a close
114 relationship with femoral TEA in both location and orientation. The results could help
115 us better understand the patellofemoral joint kinematics and further deal with
116 troublesome patellofemoral disorders.

117

118 **Key Words:** patellofemoral joint, finite helical axis, trans-epicondyle axis, knee

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120

121 **Introduction**

122 Patellar maltracking is a common concern for managing disorders of the patellofemoral
123 joint with high morbidity [1, 2]. Because of many causes and subtle clinical features,
124 patellar maltracking can be challenging to diagnose [3]. If focus merely on patellar
125 tracking, there could be some inevitable disadvantages. High dependence on the
126 coordinate system of patellar tracking has made the comparison among research results
127 difficult, which led to the ambiguity to consensus of normal patellar tracking [4, 5]. To
128 deal with patellofemoral disorders, it is crucial to fully understand the *in vivo*
129 patellofemoral kinematics.

130 As one of the parameters of joint kinematics, the finite helical axis (FHA) of rotation
131 has been used to describe joint motion for decades, especially in describing the motion
132 of tibiofemoral joints [6] and ankle joints [7]. Unlike patellar tracking, the FHA is

133 independent of the established coordinate system embedded in the “moving body”
134 which can be directly applied to the analysis of joint forces and moments [8]. In the
135 tibiofemoral joint, a close relationship between the FHA and femoral trans-epicondyle
136 axis (TEA) was demonstrated [8]. There is relatively inadequate attention in relation
137 with the patellofemoral FHA, however, in consideration for the coupled motion of the
138 tibiofemoral and patellofemoral articulations, a similar relationship between patellar
139 FHA and TEA might exist. Using a kinematics rig, Iranpour et al. [3] stated that the
140 patella moved in a circle around the trochlear axis almost parallel to the femoral
141 epicondylar and condylar axes. Another study by Coughlin et al. [9] showed that the
142 patellar motion followed *by* a nearly perfect circular arc in the midsagittal plane of the
143 femur, with an average best-fit radius of 30.6 mm during 0° to 90° knee flexion. They
144 also calculated the origin of this arc, that is the average patellar FHA, at 9.6 mm anterior
145 and 11.6 mm proximal to the femoral TEA [9]. Unfortunately, the previous studies used
146 invasive measurement techniques with *in vitro* knee, which might differ from the actual
147 joint kinematics under physiological conditions.

148 Of particular importance, there were no descriptions about the location and
149 orientation of patellar FHA at various knee angles, which was typically displayed as a
150 series of straight lines encompassed by arcuate patellar tracking. Moreover, although
151 the studies above measured the patellofemoral kinematics dynamically with optical
152 trackers or position sensors [3, 9], quantitative results about the patellar FHA are still
153 lacking. Thus, the primary purpose of this study was to quantify the patellar FHA and
154 relate the FHA to the femoral TEA with a non-invasive and *in vivo* methodology based

155 on static magnetic resonance (MR), whose accuracy to reconstruct continuous patellar
156 motion has been corroborated [10].

157

158 **Subjects and methods**

159 **Subjects**

160 Eighteen healthy subjects participated in this study (Table 1). The study was approved
161 by the Ethics Committee of Peking University International Hospital. All subjects
162 received an oral and written explanation of the study and signed the informed consent
163 form. Neither subjects had history of knee problems or pain, nor had clinically
164 diagnosed knee pathology, previous knee joint surgery or contraindications to MR scan.

165 **Table 1** Demographic characteristics of the subjects

Characteristics	Value
Gender (male/female)	9/9
Age ^a (years)	26.6 ± 4.9
Height ^a (cm)	170.0 ± 6.7
Weight ^a (kg)	61.1 ± 9.2
BMI ^a (kg/m ²)	21.1 ± 2.5

166 ^aThe values are given as the mean and the standard deviation.

167

168 **MR scanning**

169 The unilateral knee of each subject was scanned with the MR machine (Siemens/Verio
170 3.0T, Germany) at full extension and at 30°, 60°, 90°, and maximum angle of knee

171 flexion. To accommodate the flexed knee in the magnetic field, subjects were positioned
172 laterally. A thermoplastic knee fixator was designed to keep the target knee at the
173 required angle and immobilized during scanning. The other leg was remained no
174 contact with the target knee to avoid artifacts in the MR image. The following scanning
175 parameters were used: fat-suppression T2-weighted image; slice increment = 0.999 mm;
176 slice thickness = 1 mm; resolution = 512pxl × 512pxl; pixel size = 0.352 mm. The MR
177 images of the knee's sagittal section at five angles of knee flexion are shown in Fig. 1A.

178

179 **Geometry reconstruction and register**

180 Based on the MR images, three-dimensional (3D) models of the femur, patella, and
181 tibia were developed by using the medical image processing software Mimics (version
182 16.0 Materialise, Inc., Belgium) (Fig. 1B). By applying the inverse engineering
183 software, Rapidform (version 2006, 3D Systems, Inc., Korea), placing the femur
184 models at various flexion angles in a fixed position, the patella and tibia of each position
185 were registered to the femur models (Fig. 1C). The knee flexion angles were
186 recalculated with reconstructed models. The process of knee flexion was divided into
187 three stages: early stage (0°-45°), middle stage (45°-90°), and late stage (>90°).

188

189 **Calculation of patellar tracking and FHA**

190 Continuous patellar tracking was calculated with the above knee models at five angles
191 of knee flexion. The motion process is shown in Additional file 1. The calculation
192 method was developed and validated in our previous studies [10, 11]. In brief, m non-

193 collinear reference points of the patella were determined ($m \geq 3$). The tracking of the
 194 reference points were derived from their coordinates at five angles of knee flexion with
 195 the order-three spline algorithm. Let radius vector $P_i(\theta)$ denotes the tracking of the
 196 reference points (for $i=1, 2, \dots, m$), and θ is the knee flexion angle. The patellar motion
 197 is characterised by an orthogonal rotation matrix $R(\theta)$, and a translation vector $v(\theta)$.

198 The Lagrangian function f was defined as:

$$199 \quad f(R(\theta), v(\theta)) = \frac{1}{n} \sum_{i=1}^m [R(\theta) * P_i(0) + v(\theta) - P_i(\theta)]^T * [R(\theta) * P_i(0) + v(\theta) -$$

$$200 \quad P_i(\theta)] \quad (1)$$

201 The Lagrangian multiplier theorem was used to determine the $R(\theta)$ and $v(\theta)$ that
 202 minimise f under the constraint condition of rigid motion:

$$203 \quad R^T(\theta) * R(\theta) = I \quad (2)$$

204 where I is the identity matrix [12]. The accuracy of the calculated patellar tracking was
 205 validated with the precise tracking which was obtained from the motion capture
 206 experiment in our previous *in vitro* study[10].

207 Then, the FHA of the patellar motion was derived from patellar tracking with a 1°
 208 increment of knee flexion angle. Each FHA is represented as a function:

$$209 \quad Y(\theta) = s(\theta) + x * n(\theta) \quad (3)$$

210 where n is the unit vector along the FHA, and s is the radius vector of a point on the
 211 FHA. $s(\theta)$ and $n(\theta)$ can be calculated from $R(\theta)$, $R(\theta+1^\circ)$, $v(\theta)$, and $v(\theta+1^\circ)$ by
 212 satisfying equations (4–6):

$$213 \quad R' = R(\theta + 1^\circ)R^T(\theta) \quad (4)$$

$$214 \quad v' = v(\theta + 1^\circ) - R'v(\theta) \quad (5)$$

$$R'w + v' = w + tn(\theta) + (1 - \cos\varphi)n(\theta) * (n(\theta) * (w - s(\theta))) + \sin\varphi n(\theta) * (w - s(\theta)) \quad (6)$$

where R' and v' are the rotational matrix and translation vector representing the patellar motion from θ to $\theta+1^\circ$ knee flexion. t is the patellar translation along the FHA, and φ is the patellar rotation angle around the FHA. Equation (6) holds for any vector of w .

220

221 **Configuration of coordinate system**

222 A coordinate system based on the femur was established to facilitate the normalisation
 223 and inter-subject comparison of FHA tracking (Fig. 2). First, the sulcus of the medial
 224 epicondyle (point M in Fig. 2) and the prominence of the lateral epicondyle (point L in
 225 Fig. 2) were selected to form the femoral TEA [13], defined as the x-axis, with the
 226 midpoint of the TEA as the origin (point O in Fig. 2) and the direction from medial to
 227 lateral as positive. Second, the y-axis was established as the line passing through the
 228 origin and perpendicular to the TEA and the femoral shaft axis, with the direction from
 229 posterior to anterior as positive. As shown in Fig. 2A, the femoral shaft axis was formed
 230 by two section centres of the femoral shaft. Due to the limitation of the imaging size,
 231 only part of the distal femur can be identified through MR images (the length of the
 232 distal femur was less than 200 mm). Therefore, shaft sections, 0.7- and 0.8- times the
 233 TEA length away from the origin, were automatically extracted to form the femoral
 234 shaft axis. Finally, the z-axis was perpendicular to the x-axis and y-axis through the
 235 origin, and the direction from distal to proximal was positive.

236 To evaluate the influence of TEA determination on the subsequent calculation of

237 patellar FHA parameters, the intra- and inter-rater intraclass correlation coefficients
238 (ICCs) of these parameters were computed with the assistance of two clinical
239 orthopedic doctors who were repeatedly invited to determine the TEAs on the 18 knee
240 MR images with an interval time of two weeks or more.

241

242 **Parameters of patellar FHA**

243 To analyse the characteristics of FHA tracking, six parameters of location and
244 orientation were quantified. (1) The intersection position (IP) between the FHA and
245 midsagittal plane ($IP_y = y$ coordinate of IP, $IP_z = z$ coordinate of IP); (2) patellar
246 rotational radius (PRR): the distance between the patellar centroid and its FHA [3]; (3)
247 spatial angles between the FHA and femoral TEA (A_{F-T}); (4) angles between the FHA
248 and coronal plane (A_{F-C}): it was set positive when the FHA was located from
249 posteromedial to anterolateral (Fig. 3A); (5) angles between the FHA and level plane
250 (A_{F-L}): it was set positive when the FHA was located from superolateral to inferomedial
251 (Fig. 3B). All parameters of the FHA location were normalised with the TEA length.

252

253 **Results**

254 The patellar FHA curves with knee flexion are shown in Additional file 2, and the 3D
255 tracking of average patellar FHA is depicted in Fig. 4. Intra- and inter-rater ICCs of all
256 aforementioned parameters of the FHA exceeded 0.95 (Table 2). The average length of
257 femoral TEA was 7.85 ± 0.53 cm (mean \pm standard deviation).

258 **Table 2** Intra- and inter-rater reliability of patellar FHA parameters

	Intra-Rater		Inter-Rater	
	ICC	(95% CI)	ICC	(95% CI)
IP _y	0.997	(0.997 to 0.997)	0.995	(0.994 to 0.995)
IP _z	0.989	(0.988 to 0.990)	0.941	(0.936 to 0.946)
PRR	0.997	(0.997 to 0.997)	0.996	(0.996 to 0.997)
A _{F-T}	0.981	(0.980 to 0.983)	0.964	(0.960 to 0.967)
A _{F-C}	0.979	(0.978 to 0.981)	0.965	(0.962 to 0.968)
A _{F-L}	0.990	(0.989 to 0.991)	0.983	(0.981 to 0.984)

259

260 **Tracking of patellar FHA**

261 With the knee flexing, the patellar FHA moved forward after shifting upward from the
262 posterosuperior position about TEA. Specifically, the average IP moved backward and
263 upward from the position of 0.1 behind and 0.5 below the TEA, reaching positions of
264 0.2 behind and 0.05 above the TEA at 10° knee flexion. Subsequently, it moved forward
265 and upward of the TEA, reaching 0.25 right above the TEA at 60° flexion. During 60°-
266 90° knee flexion, the average IP continued to move forward to the position of 0.1 in
267 front of the TEA (Fig. 5A-B, Fig. 6). During 0°-90° knee flexion, the trajectory of the
268 average IP was roughly L-shaped (Fig. 6).

269

270 **PRR**

271 In the first 20° of the early stage, the PRR fluctuated between 0.4 and 1.5, and varied
272 to the range of 0.4-0.9 during 20°-45° flexion. In the middle stage, PRR fluctuated

273 within the range of 0.45-0.9. The average PRR increased during 0°-10° flexion and then
274 gradually decreased (Fig. 5C). Regardless of the real-time change of PRR, the average
275 PRR in the early and middle stages was 0.72 ± 0.14 times the TEA length (5.65 ± 1.09
276 cm).

277

278 **Orientation of patellar FHA**

279 In the first 20° of the early stage, the A_{F-T} changed from 10°-80° to 0°-30°. During 20°-
280 90° knee flexion, the A_{F-T} of 16/18 subjects fluctuated between 0° and 20°, and the
281 average A_{F-T} was maintained at approximately 10° (Fig. 5D). In the late stage, A_{F-T}
282 tended to increase as the knee flexed along with the increase of individual discrepancy.

283 In the first 20° of the early stage, the individual differences of A_{F-C} reached 80°, and
284 the A_{F-C} of 16/18 subjects changed from (-30°)-20° to (-10°)-10°. During 20°-90° knee
285 flexion, the A_{F-C} of every subject continued to fluctuate within the range of -10°-10°.

286 In the late stage, the patellar FHA of four subjects deviated from the coronal plane, and
287 the others roughly maintained the previous direction. The average A_{F-C} was less than 5°
288 in the early and middle stages, and less than 2° during 20°-90° of knee flexion (Fig.
289 5E).

290 In the early stage, the A_{F-L} of 17/18 subjects changed from (-80°)-20° to 0°-20°, and
291 the average A_{F-L} changed from -25° to 10° (Fig. 5F). In the middle stage, this angle
292 gradually changed to the range of (-20°)-0°, with an average A_{F-L} changing to about -
293 10°, and the A_{F-L} in 13/18 subjects equalled 0° at 50°-70° knee flexion. In the late stage,
294 the individual differences of A_{F-L} tended to be greater than that in the former stage.

295

296 **Discussion**

297 Patellofemoral pain is a common and refractory disease; however, its pathogenesis is
298 debatable [14]. Considering that joint kinematics is the mechanistic link between
299 musculoskeletal anatomy and joint function, a complete understanding of the physical
300 patellofemoral dynamics is critical in clinical practice and trial studies. FHA is an
301 essential functional property of a joint [15]; however, its real-time location and
302 orientation in the patellofemoral joint remains unknown. With the leverage of the static
303 MR methodology, we calculated the continuous patellar FHA during knee flexion and
304 found that patellar FHA was not fixed, exhibiting a close relationship with femoral TEA
305 in both location and orientation.

306 To the best of our knowledge, this is the first study in which patellar FHA was
307 quantitatively demonstrated *in vivo* with a relatively large normal population. All the
308 intra- and inter-rater ICCs of the FHA parameters above were greater than 0.95 (Table
309 2), indicating an excellent repeatability of the TEA determination and the establishment
310 of its coordinate system which has minor impact on the description of location and
311 orientation of FHA. Our previous study confirmed the reliability of the static MR
312 methodology in calculating the patellar trajectory and FHA [11]. Moreover, the current
313 study was an *in vivo* study involving all soft tissues, which was closer to the
314 physiological state than the two cadaver studies involving patellar FHA available to
315 date [3, 9]. The 3D average tracking of FHA and its intersections with medial, central,
316 and lateral sagittal planes were presented, and six meaningful parameters regarding the

317 location and orientation of the patellar FHA were obtained. These parameters exhibited
318 different changes in the early, middle, and late stages.

319 The variation range and standard deviation of the FHA varied with knee flexion
320 angles. In the early stage of knee flexion, especially the stage before 20° flexion, the
321 variation range of all six parameters tended to be larger than that in the middle stage,
322 and with a larger standard deviation among subjects. This might be because the patella
323 had not been captured by the trochlear groove and was only regulated by the soft tissue,
324 which made the patella unstable and most patella dislocation and subluxation occurred
325 in this stage [16]. The variation range and standard deviation of the patellar FHA
326 became smaller in the middle stage, resulting from the enhancement of femoral
327 condyles and soft tissues on patellar movement after entering the trochlear groove [16].
328 During 20°-90° flexion, the osseous restriction of the trochlear groove guided the
329 patella to follow a uniplanar and circular path [3]. In the late stage, however, the
330 fluctuation of the FHA increased again. This was likely to attribute to the transformation
331 that the patella might move away from the circular pathway in deep flexion when it
332 moves onto the bilateral femoral condyles, as Iranpour et al. speculated [3]. In addition,
333 a higher percentage error beyond 90° flexion was observed in the tibiofemoral
334 kinematics [13], verifying that knee kinematics in deep flexion could no longer be
335 represented as a rotation around a relatively fixed axis. Therefore, designing a longer
336 and wider proximal femoral component would be conducive to capturing the patella
337 especially for those with trochlear dysplasia, apart from that the enhancement of the
338 restriction of the trochlear groove could ensure patellofemoral stability in the middle

339 stage. To restore the standard FHA tracking in the late stage, proper transition between
340 the femoral condyles and curvature of the trochlear groove is essential.

341 The current study indicated that patellar FHA was not fixed during knee flexion,
342 presenting an overall L-shaped tracking in the midsagittal plane of the femur.
343 Specifically, the average patellar FHA moved to the position of 0.1 (7.9 mm) anterior
344 and 0.25 (19.6 mm) above the TEA from the position of 0.1 (7.9 mm) posterior and
345 0.05 (4.0 mm) below the TEA in the early and middle stages. However, Coughlin et al.
346 [9] calculated that the origin of the patellar tracking arc was 9.6 mm (7.0-12.5 mm)
347 anterior and 11.6 mm (8.3-15.6 mm) proximal to the TEA on average, without adequate
348 attention to the real-time nature of its changes. The FHA translation was mainly caused
349 by the diminishing radius of the trochlear groove curvature [17]. The decrease of
350 patellar rotational radius during knee flexion increased additional superior motion of
351 the patella, which caused the FHA shift anteriorly. This phenomenon is similar to the
352 motion of tibiofemoral FHA previously reported by Sheehan et al. [8], who exemplified
353 that an extra 1.1mm of superior motion of the tibia during extension would result in a
354 25.0 mm posterior displacement of the tibiofemoral FHA. In addition, the tibial plateau
355 moved anteriorly relative to the femoral condyles during knee flexion and was more
356 significant especially beyond 45° flexion, called rollback of the femur [15, 18].
357 Meanwhile, the patella might display an additional anterior shift with traction from the
358 patellar tendon, resulting in the inferior displacement of the FHA (Fig. 5B).

359 In the early and middle stages, the PRR fluctuated between 0.6 and 0.8 times the
360 TEA length, averaging 56.5 ± 10.9 mm, larger than that in previous studies (26.4-46.9

361 mm) [3, 9]. This is due to the different methods studied. For example, Coughlin et al.
362 [9] chose the most prominent point on the dorsal ridge of the patella to fit the arc motion,
363 differing from the patellar geometric center selected in our study. From 20° of knee
364 flexion when the patella was captured by the trochlear groove, the average rotational
365 radius showed a slight decrease, which was related to the slight diminishing radius of
366 curvature of the trochlear groove [17]. Thus, to normalise the PRR, it is important to
367 restore the trochlear groove's geometry when designing prostheses and selecting
368 appropriate sizes for total knee arthroplasty. If an oversized femoral component with an
369 increased radius of the trochlear groove curvature was installed, the range of motion
370 would be restricted [19]. By contrast, extension lag and weakness would occur if the
371 femoral component was undersized due to the smaller moment arm of the
372 patellofemoral joint.

373 In this study, the orientation of the patellar FHA was predominantly in a mediolateral
374 direction, with an average angle between the FHA and TEA not exceeding 10° during
375 most phases of knee flexion. However, at the initial stage of flexion, there were larger
376 angles and deviations between the FHA and TEA, level plane, and coronal plane, which
377 were caused by the fact that the patella did not follow a circular path [3]. From full
378 extension, the patella shifted medially with the guidance of the medial retinaculum [20],
379 so that its FHA seemed to be oblique relative to the TEA, with the FHA orientation
380 from superomedial to inferolateral. That is, medial shift of the patella increased A_{F-T}
381 and A_{F-L} . As the patellar shift slowed down, both A_{F-T} and A_{F-L} tended to decrease as
382 well. Beyond 20° knee flexion, the patella shifted laterally in the trochlear groove [20,

383 21], and made the FHA orientation posterolateral-anteromedial. In the middle stage, A_{F-L}
384 L tended to decrease again with the patellar shift slowing down. As the knee flexed,
385 however, the effect of patellar shift on A_{F-L} gradually reduced, while that on A_{F-C}
386 increased. In the late stage, the A_{F-C} transferred to be posterolateral-anteromedial,
387 possibly due to the slight medial shift of the patella [22].

388 To facilitate clinical application, we depicted the relationship between the FHA and
389 femoral TEA. Because the TEA is an anatomic marker which is easy to identify and
390 widely used clinically, and the present results showed that the patellar FHA was close
391 to the TEA, it would be reliable to assess the FHA with the TEA as a reference. The
392 insertion point of the medial and lateral retinaculum of the patellofemoral joint was near
393 the sulcus of the medial epicondyle and the prominence of the lateral epicondyle,
394 respectively [16], which was speculated as one of the causes of why the FHA was close
395 to the TEA. To date, the TEA has been regarded as an essential reference when installing
396 the trochlear prosthesis in patellofemoral arthroplasty, and relatively successful clinical
397 results have been achieved [23], which might be related to the close relationship
398 between the patellar FHA and TEA. Nevertheless, the FHA was nonoverlapping with
399 TEA even if in the middle stage. This could be explained by the fact that the patella did
400 not follow a complete circle that is influenced by the morphology of the trochlear
401 groove, which has resulted in the ever-changing FHA with knee flexion.

402 There were some limitations to this study. First, the patellar FHA was obtained under
403 non-weight-bearing conditions without muscle loads, which might differ from that
404 under weightbearing conditions. Therefore, further studies about the effect of loading

405 on the FHA are necessary. Second, the patellar motion calculated from finite static MR
406 images was not completely congruent with the realistic one, but it was helpful for us to
407 understand the spatial distribution of the patellar FHA, and additionally the accuracy of
408 this method has been confirmed in our previous study [10]. Third, due to the limited
409 space of the MR machine, the maximum knee flexion angles of half of the 18 subjects
410 were less than 110° , which could be improved by further equipment updates.

411

412 **Conclusions**

413 The patellar FHA was not fixed with knee flexion, showing a close relationship with
414 the femoral TEA in both location and orientation. The tracking of the intersections
415 between the FHA and midsagittal plane was roughly “L-shaped”, moving from the
416 posteroinferior aspect of the TEA to the anterosuperior of TEA. During 20° - 90° knee
417 flexion, the angle between the FHA and TEA was approximately 10° and the FHA was
418 approximately parallel to the level plane. The results may help us better understand the
419 motion of the patellofemoral joint and further deal with troublesome patellofemoral
420 disorders.

421

422 **List of abbreviations**

423 patellar finite helical axis (FHA)

424 trans-epicondylar axis (TEA)

425 magnetic resonance (MR)

426 three-dimensional (3D)

427 intraclass correlation coefficient (ICC)
428 the intersection position between FHA and midsagittal plane (IP)
429 the intersection position between FHA and medial sagittal plane (IP_M)
430 the intersection position between FHA and lateral sagittal plane (IP_L)
431 y coordinate of IP (IP_y)
432 z coordinate of IP (IP_z)
433 patellar rotational radius (PRR)
434 angles between patellar FHA and TEA (A_{F-T})
435 angles between patellar FHA and coronal plane (A_{F-C})
436 angles between patellar FHA and level plane (A_{F-L})

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438

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515 **Figure legends**

516 **Fig. 1** Geometry reconstruction of the knee joint. (A) The knee joint is scanned with
517 the MR machine at full extension and at 30°, 60°, 90°, and maximum angle of knee
518 flexion. (B) Based on the MR images, the 3D models of the femur, patella, and tibia
519 are developed with the medical image processing software, Mimics. (C) Patellar
520 tracking is calculated from the above knee models at five angles of knee flexion.

521

522 **Fig. 2** A coordinate system is established based on the femur. (A) The fovea of the
523 medial epicondyle (point M) and the highest point of the lateral epicondyle (point L)
524 are selected to form TEA, which is defined as the x-axis, with the midpoint of TEA as
525 the origin (point O); two virtual balls—with the origin as their centres and with 0.7-
526 and 0.8- times of the TEA length as their radius respectively—are crossed with the
527 femur to obtain two section surfaces, whose centroids are linked to determine the
528 femoral shaft axis. (B) The y-axis is defined as the line passing through the origin and
529 perpendicular to the TEA and the femoral shaft axis meanwhile. (C) The z-axis is
530 perpendicular to the x-axis and y-axis through the origin. (D) Three planes are
531 determined by x-, y- and z-axis (red: level plane; yellow: coronal plane; blue:
532 midsagittal plane).

533

534 **Fig. 3** Definition of the orientation of the patellar FHA. (A) With respect to the angles
535 between the patellar FHA and coronal plane (A_{F-C}), it is set positive when the FHA is
536 located from posteromedial to anterolateral (line α) and negative when the FHA is

537 located from posterolateral to anteromedial (line β). (B) With respect to the angles
538 between the FHA and level plane (A_{F-L}), it is set positive when FHA is located from
539 superolateral to inferomedial (line γ) and negative when the FHA is located from
540 superomedial to inferolateral (line δ).

541

542 **Fig. 4** Three-dimensional tracking of the average patellar FHA. The average patellar
543 FHA is continuously changed with knee flexion, with a gradient of colour from purple
544 to red.

545

546 **Fig. 5** Average FHA parameters during knee flexion. (A, B) The average IP moves
547 backward and upward from the position of 0.1 behind and 0.5 below the TEA, reaching
548 the position of 0.2 posterior and 0.05 above the TEA at 10° knee flexion; subsequently,
549 it turns to move forward and upward of the TEA, reaching 0.25 right above the TEA
550 at 60° flexion. During 60° - 90° knee flexion, the average IP continues to move forward
551 to the position of 0.1 front the TEA. (C) The average PRR increases during 0° - 10°
552 flexion and then gradually decreases. (D) During 20° - 90° knee flexion, average A_{F-T}
553 maintains at about 10° . (E) The average A_{F-C} is less than 5° in early and middle stages
554 and is less than 2° during 20° - 90° knee flexion. (F) In the early stage, the average A_{F-L}
555 changes from -25° to 10° ; and in the middle stage, the average A_{F-L} changes to about -
556 10° .

557

558 **Fig. 6** The intersection position between the FHA and midsagittal plane. During 0° - 90°

559 knee flexion, the trajectory of the average intersection position is roughly L-shaped.

560

561

562 **Additional files**

563 The continuous motion process of the patella and patellar FHA is shown in the form of

564 animation (Additional file 1). The curves of the six parameters of the FHA with knee

565 flexion are displayed in Additional file 2.

Figures

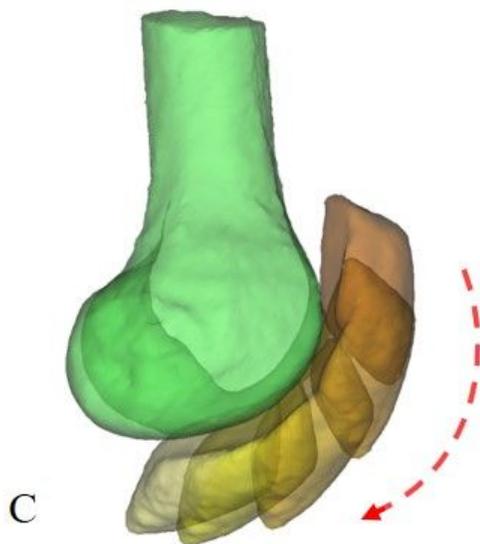
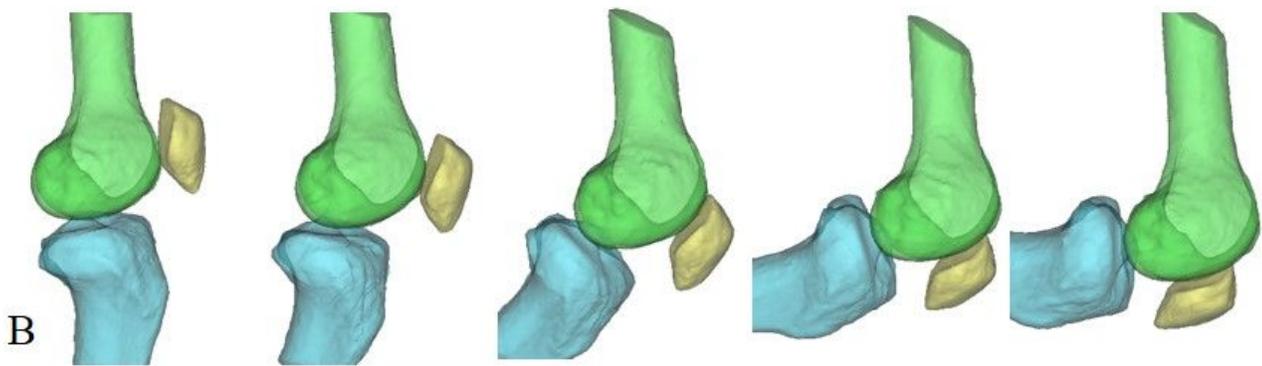
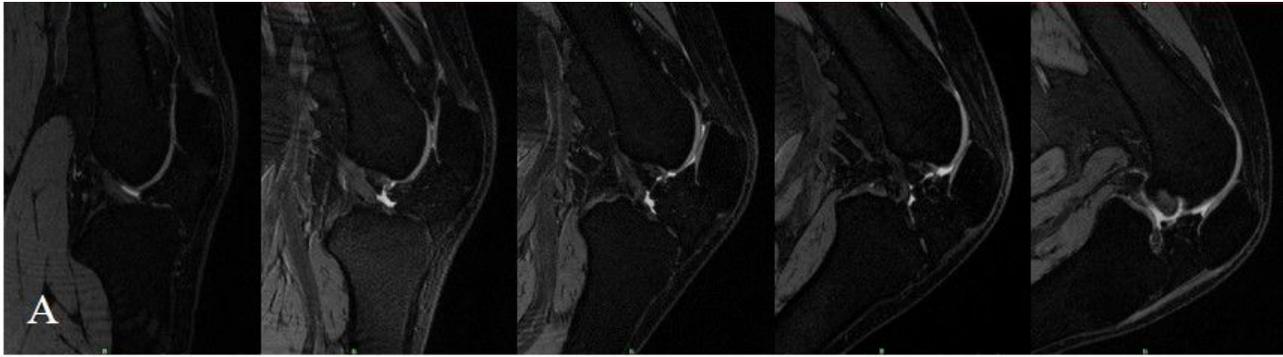


Figure 1

Geometry reconstruction of the knee joint. (A) The knee joint is scanned with the MR machine at full extension and at 30°, 60°, 90°, and maximum angle of knee flexion. (B) Based on the MR images, the 3D

models of the femur, patella, and tibia are developed with the medical image processing software, Mimics. (C) Patellar tracking is calculated from the above knee models at five angles of knee flexion.

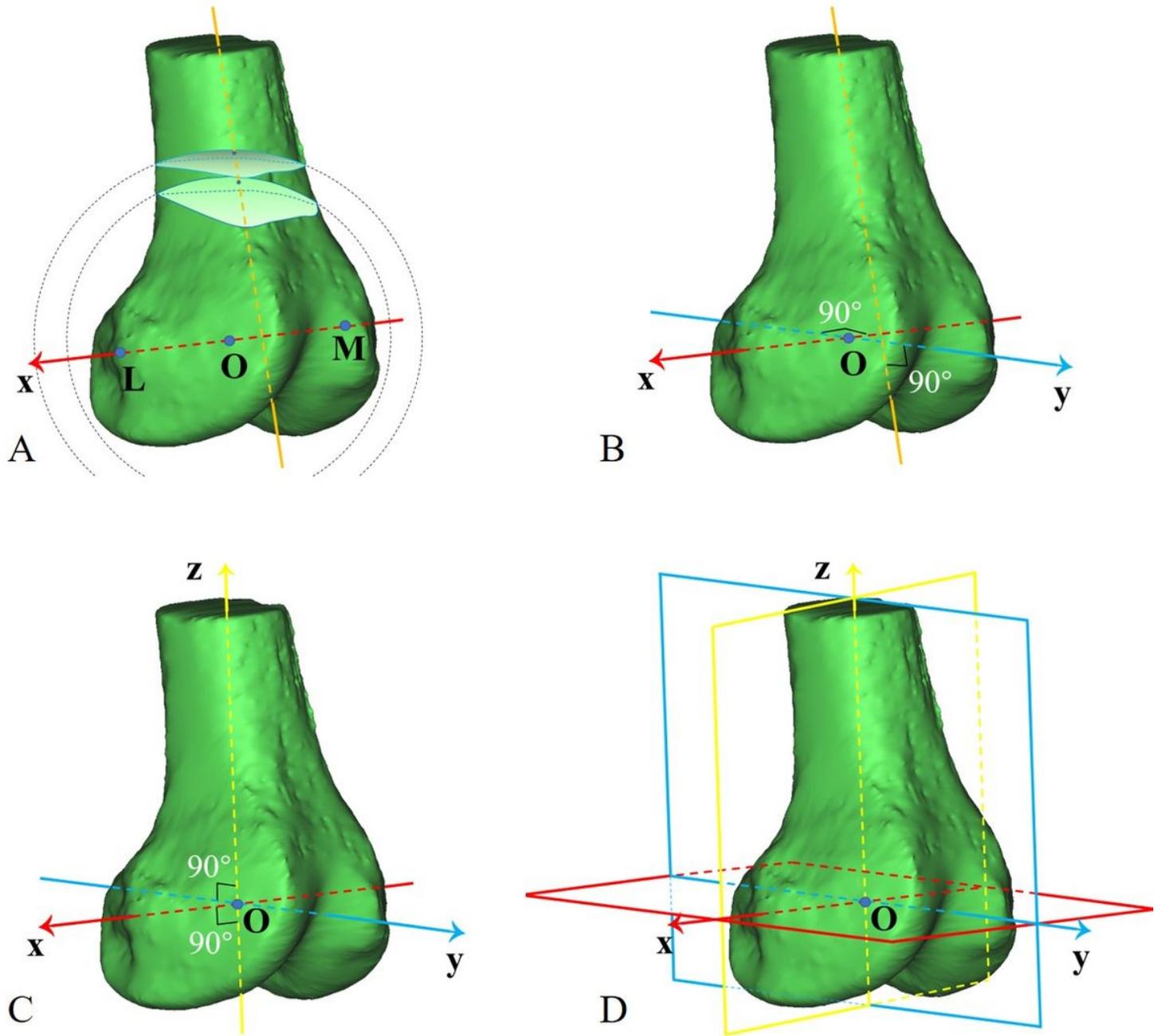


Figure 2

A coordinate system is established based on the femur. (A) The fovea of the medial epicondyle (point M) and the highest point of the lateral epicondyle (point L) are selected to form TEA, which is defined as the x-axis, with the midpoint of TEA as the origin (point O); two virtual balls—with the origin as their centres and with 0.7- and 0.8- times of the TEA length as their radius respectively—are crossed with the femur to obtain two section surfaces, whose centroids are linked to determine the femoral shaft axis. (B) The y-axis is defined as the line passing through the origin and perpendicular to the TEA and the femoral shaft

axis meanwhile. (C) The z-axis is perpendicular to the x-axis and y-axis through the origin. (D) Three planes are determined by x-, y- and z-axis (red: level plane; yellow: coronal plane; blue: midsagittal plane).

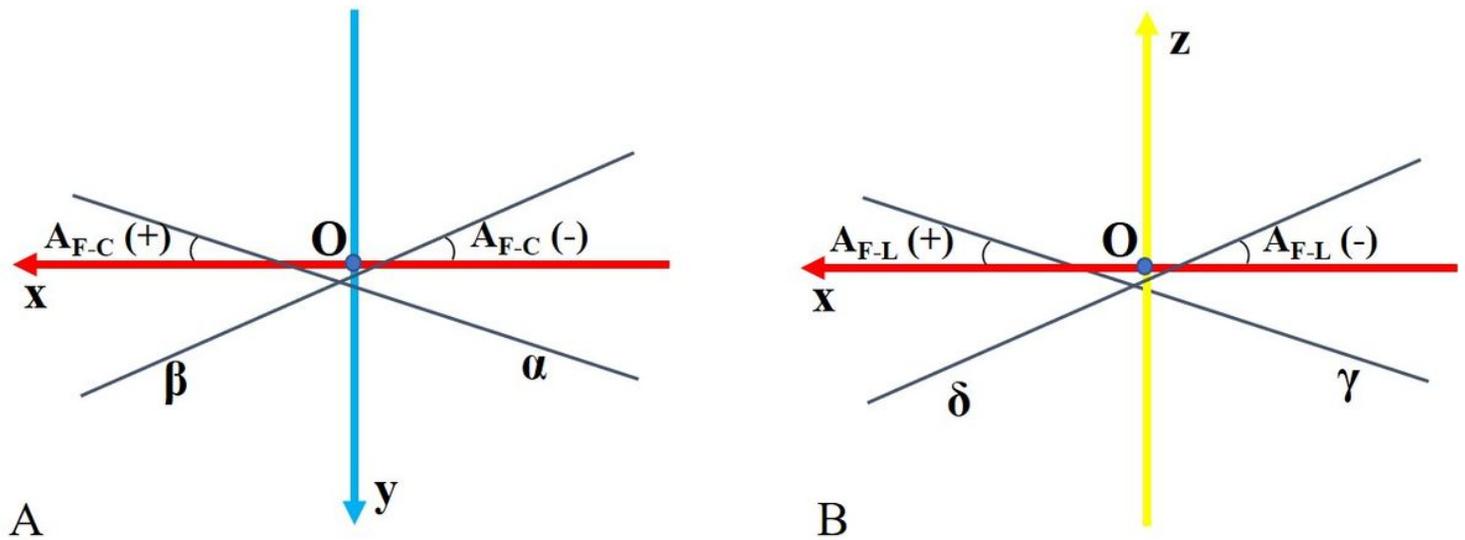


Figure 3

Definition of the orientation of the patellar FHA. (A) With respect to the angles between the patellar FHA and coronal plane (AF-C), it is set positive when the FHA is located from posteromedial to anterolateral (line α) and negative when the FHA is located from posterolateral to anteromedial (line β). (B) With respect to the angles between the FHA and level plane (AF-L), it is set positive when FHA is located from superolateral to inferomedial (line γ) and negative when the FHA is located from superomedial to inferolateral (line δ).

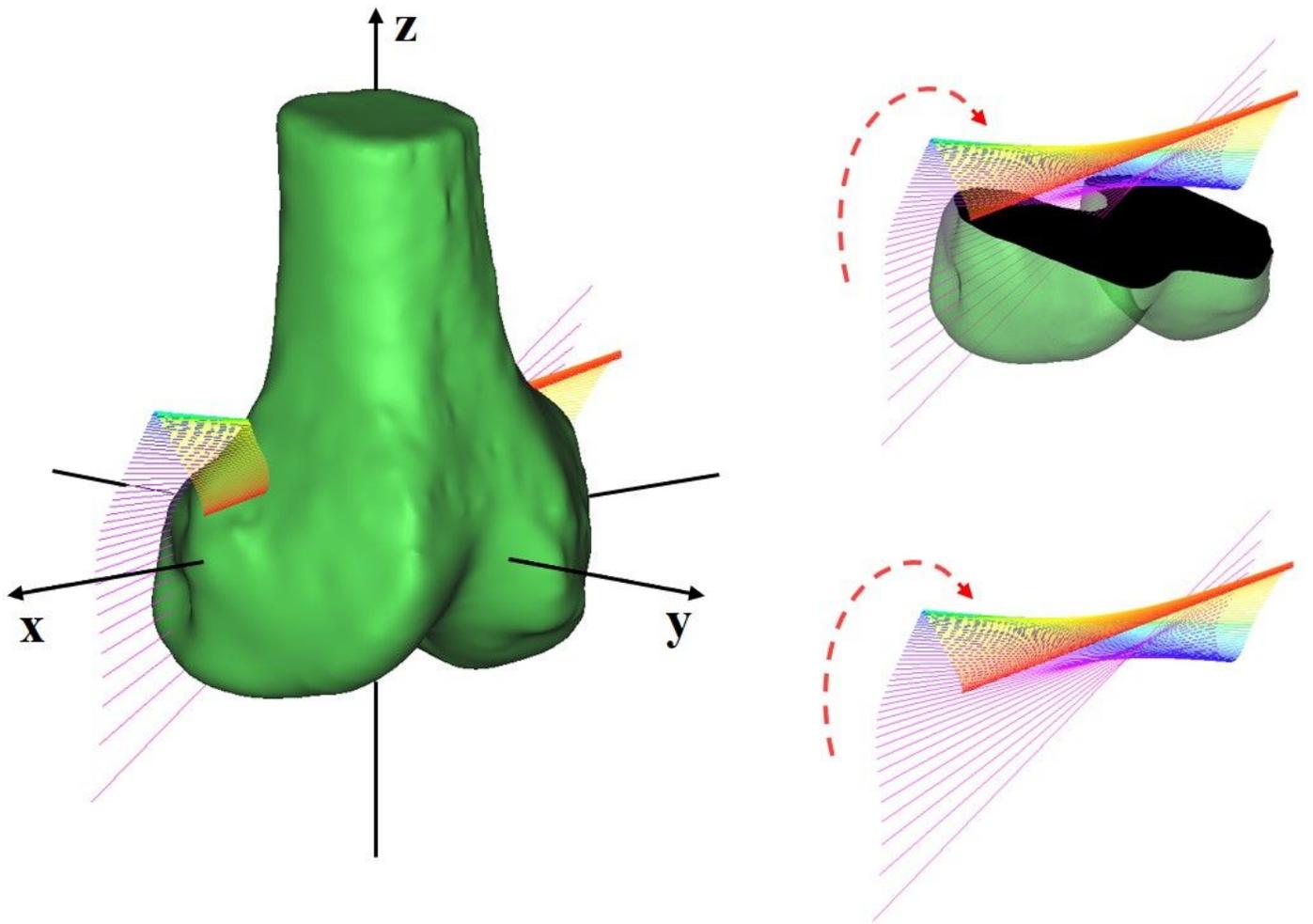


Figure 4

Three-dimensional tracking of the average patellar FHA. The average patellar FHA is continuously changed with knee flexion, with a gradient of colour from purple to red.

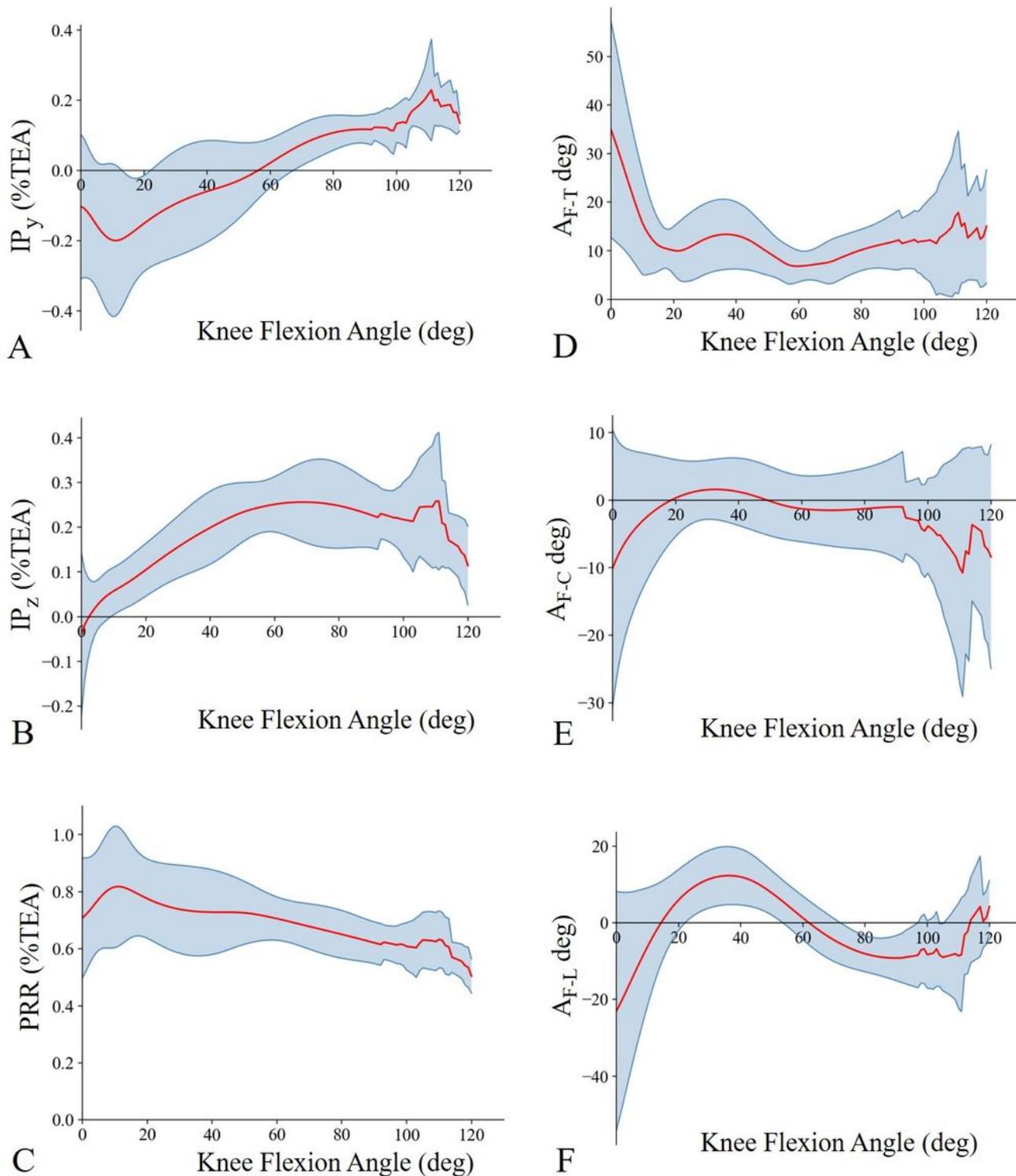


Figure 5

Average FHA parameters during knee flexion. (A, B) The average IP moves backward and upward from the position of 0.1 behind and 0.5 below the TEA, reaching the position of 0.2 posterior and 0.05 above the TEA at 10° knee flexion; subsequently, it turns to move forward and upward of the TEA, reaching 0.25 right above the TEA at 60° flexion. During 60°-90° knee flexion, the average IP continues to move forward to the position of 0.1 front the TEA. (C) The average PRR increases during 0°-10° flexion and then

gradually decreases. (D) During 20°-90° knee flexion, average AF-T maintains at about 10°. (E) The average AF-C is less than 5° in early and middle stages and is less than 2° during 20°-90° knee flexion. (F) In the early stage, the average AF-L changes from -25° to 10°; and in the middle stage, the average AF-L changes to about -10°.

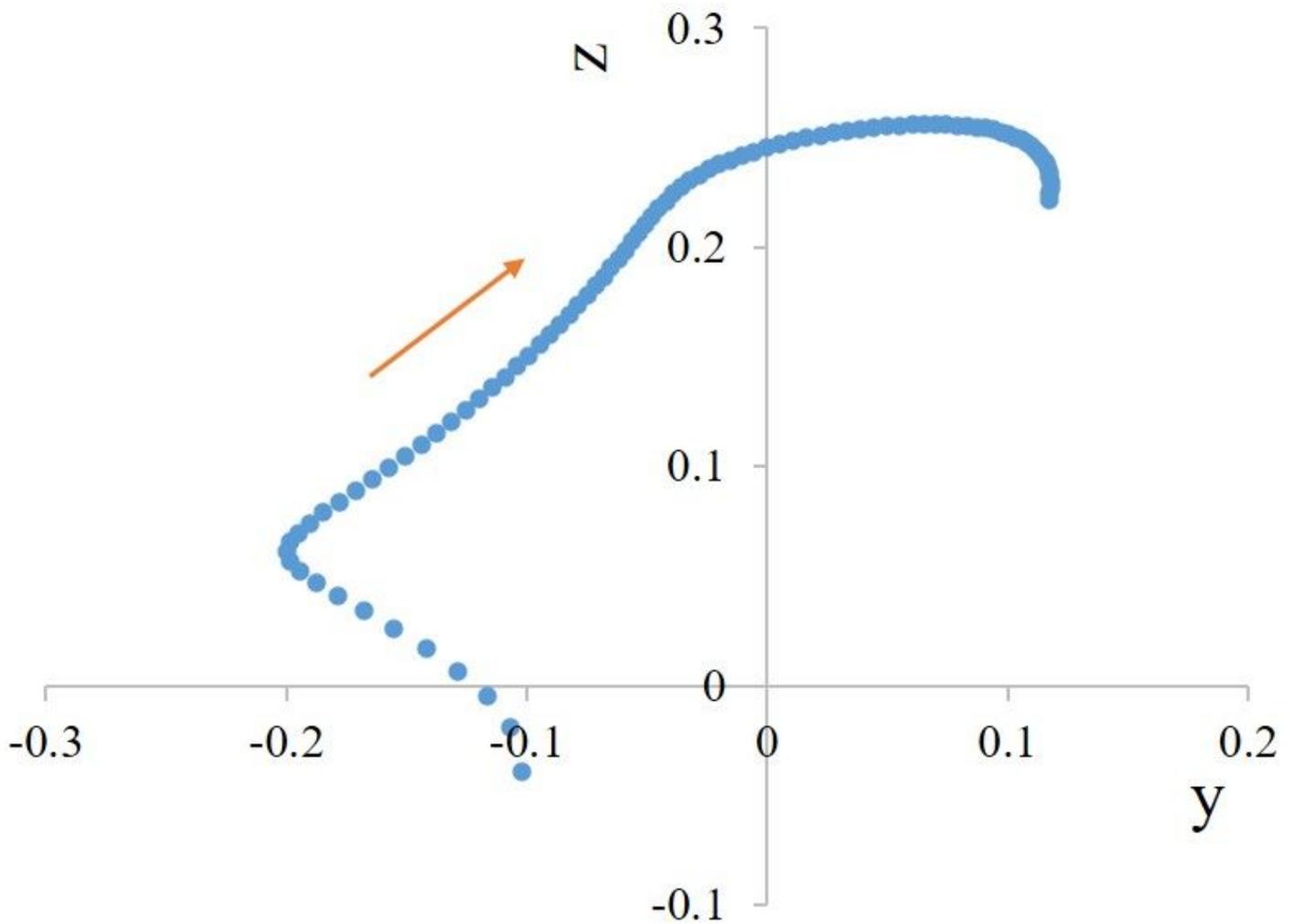


Figure 6

The intersection position between the FHA and midsagittal plane. During 0°-90° knee flexion, the trajectory of the average intersection position is roughly L-shaped.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Additionalfile1.mp4](#)
- [Additionalfile2.jpg](#)