

# Identification of the active sites of Cu-ZnO catalysts for water gas shift and CO hydrogenation reactions

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# 1 Identification of the active sites of Cu-ZnO catalysts for 2 water gas shift and CO hydrogenation reactions

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26

27 **Abstract:** Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalysts are used as the industrial catalysts for water gas shift  
28 (WGS) and CO hydrogenation to methanol reactions. Herein, via a comprehensive  
29 experimental and theoretical calculation study of a series of ZnO/Cu nanocrystals inverse  
30 catalysts with well-defined Cu structures, we report that the Cu-ZnO catalysts undergo  
31 Cu structure-dependent and reaction-sensitive in situ restructuring during WGS and CO  
32 hydrogenation reactions under typical reaction conditions, forming the active sites of  
33 Cu<sub>Cu(100)</sub>-hydroxylated ZnO ensemble and Cu<sub>Cu(611)</sub>Zn alloy, respectively. These results  
34 conclude the long-existing debates and provide the feasible guideline for optimizing the  
35 structures of Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalysts.

36

37 Since the postulation of the “active site” concept,<sup>1</sup> identifications of the active site of  
38 a catalyst have always been the Holy Grail of heterogeneous catalysis studies.<sup>2-6</sup> The  
39 active site of a catalyst varies with the reaction being catalyzed. Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalysts,  
40 industrially used as the catalysts for the important water gas shift (WGS) reaction ( $\text{CO} +$   
41  $\text{H}_2\text{O} \rightarrow \text{CO}_2 + \text{H}_2$ )<sup>7</sup> and CO hydrogenation to methanol reaction ( $\text{CO} + 2\text{H}_2 \rightarrow \text{CH}_3\text{OH}$ ),<sup>8</sup>  
42 are a representative example. This has inspired great efforts devoted to identifying the  
43 active sites of Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalysts in both reactions. However, strong debates exist  
44 due to the lack of solid experimental evidence. In the Cu-ZnO based catalysts for the  
45 WGS reaction, it is argued whether the metallic copper phase with a unique structure  
46 dispersed or stabilized by ZnO<sup>9-11</sup> or the Cu-ZnO interface capable of facilely  
47 dissociating H<sub>2</sub>O<sup>12-15</sup> acts as the active structure. Moreover, different reaction  
48 mechanisms were put forward in DFT calculations due to the lack of accurate active  
49 sites.<sup>11-13</sup> In the Cu-ZnO based catalysts for the CO hydrogenation to methanol reaction,  
50 the in situ formed CuZn alloy via the reduction of partial ZnO has been proposed as the  
51 active phase,<sup>16, 17</sup> whereas an intimate synergy between Cu and ZnO at the Cu-ZnO  
52 interface with ZnO as a structural modifier, hydrogen reservoir, or direct promoter for  
53 bond activation was highlighted in the CO<sub>2</sub> hydrogenation to methanol reaction with the  
54 unavoidable presence of CO.<sup>18-20</sup> Meanwhile, the active structures of Cu in both types of  
55 Cu-ZnO-Al<sub>2</sub>O<sub>3</sub> catalysts are not established.

56 Uniform nanocrystals (NCs)-based catalytic materials with well-defined structures  
57 have demonstrated the successful applications in both fundamental catalysis studies under  
58 working conditions and efficient catalyst explorations.<sup>21-25</sup> For examples, the use of  
59 uniform cubic, octahedral and rhombic dodecahedral Cu<sub>2</sub>O NCs that selectively expose

60 the {100}, {111} and {110} facets, respectively, enabled the identification of the active  
61 sites of Cu-based catalysts in CO oxidation,<sup>26, 27</sup> propylene oxidation,<sup>28</sup> low-temperature  
62 WGS reaction<sup>29</sup> and (photo)catalytic CO<sub>2</sub> hydrogenation.<sup>30</sup> Herein, via a combined  
63 experimental and theoretical study of various ZnO/Cu-NCs inverse catalysts, we have  
64 successfully identified the active sites of Cu-ZnO catalysts for WGS and CO  
65 hydrogenation reactions respectively as the Cu<sub>Cu(100)</sub>-hydroxylated ZnO ensemble and  
66 Cu<sub>Cu(611)</sub>Zn alloy and elucidated the reaction mechanisms, which nicely exemplify the  
67 concept of reaction-dependent restructuring and active site of a catalyst.

68 A series of ZnO/Cu-NCs catalysts were prepared from the corresponding  
69 ZnO/Cu<sub>2</sub>O-NCs catalysts via a morphology-preserved reduction strategy. Uniform  
70 capping ligands-free Cu<sub>2</sub>O NCs, including cubic Cu<sub>2</sub>O NCs (c-Cu<sub>2</sub>O) enclosed with {100}  
71 crystal planes with different size distributions of 682±92 (denoted as c-Cu<sub>2</sub>O-682),  
72 109±10 (denoted as c-Cu<sub>2</sub>O-109), and 34±4.5 (denoted as c-Cu<sub>2</sub>O-34) nm, octahedral  
73 Cu<sub>2</sub>O NCs enclosed with {111} crystal planes with size distribution of 583±74 nm  
74 (denoted as o-Cu<sub>2</sub>O), and rhombic dodecahedral Cu<sub>2</sub>O NCs enclosed with {110} crystal  
75 planes with size distribution of 550±93 nm (denoted as d-Cu<sub>2</sub>O) (Figure 1 A1-E1,  
76 Figure S1 and Figure S2), were prepared according to well established procedures<sup>26-29</sup>  
77 and then used as the supports to synthesize a series of ZnO/Cu<sub>2</sub>O-NCs catalysts with  
78 preserved morphologies of corresponding Cu<sub>2</sub>O NCs supports (Figure 1 A2-E2, Table S1,  
79 and Figure S3-Figure S7). The Cu<sub>2</sub>O NCs and ZnO/Cu<sub>2</sub>O-NCs catalysts were reduced in  
80 5% CO/Ar at appropriate temperatures chose from CO-TPR results (Figure S8) to acquire  
81 corresponding Cu NCs and ZnO/Cu-NCs catalysts that preserve the original  
82 morphologies (Figure 1 A3-E3, A4-E4, and Figure S4-Figure S7). Only the metallic Cu

83 phase was observed on Cu NCs and ZnO/Cu-NCs catalysts (Figure S9), but the presence  
84 of surface Cu(I) species was identified by XPS (Figure S10).

85 As measured by the in-situ diffuse reflectance infrared Fourier transformed  
86 spectroscopy (DRIFTS) (Figure S11), ZnO barely adsorbs CO, while Cu<sub>2</sub>O NCs exhibit  
87 two vibrational bands at 2108 and 2120-2145 cm<sup>-1</sup> assigned to CO adsorbed respectively  
88 at the terrace and defective Cu(I) sites.<sup>27, 31</sup> c-Cu NCs show two vibrational bands at 2085  
89 and 2101-2106 cm<sup>-1</sup> arising from CO adsorbed respectively at the terrace and defective  
90 sites of Cu{100} facets (Figure 1F and Figure S12).<sup>29, 32</sup> Among all c-Cu NCs, the finest  
91 c-Cu-34 NCs exhibit the highest density of defective sites, while c-Cu-109 NCs finer than  
92 c-Cu-682 NCs exhibit a lower density of defective sites, which can be associated with  
93 different synthesis methods of various c-Cu<sub>2</sub>O NCs and a lower reduction temperature  
94 adopted for the reduction of c-Cu<sub>2</sub>O-109 NCs. o-Cu NCs show two vibrational bands at  
95 2075 and 2107 cm<sup>-1</sup> arising from CO adsorbed respectively at the terrace and defective  
96 sites of Cu{111} facets (Figure 1H and Figure S13).<sup>29, 32</sup> d-Cu NCs show one vibrational  
97 band at 2093 cm<sup>-1</sup> arising from CO adsorbed at the terrace sites of Cu{110} facets  
98 (Figure 1I and Figure S13).<sup>32</sup> The absence of vibrational features for CO adsorbed at the  
99 Cu(I) site suggests that the surface Cu(I) species on Cu NCs is O-terminated Cu suboxide  
100 (Cu<sub>x</sub>O, x ≥ 10).<sup>29</sup> In addition to the vibrational features of CO adsorbed on the Cu surface,  
101 all ZnO/Cu-NCs catalysts exhibit a weak vibrational feature at 2130-2137 cm<sup>-1</sup>,  
102 characteristic for CO adsorbed at the Cu(I) site (Figure 1 G-I, Figures S12 and S13). Its  
103 intensity initially increases but then decreases as the ZnO loading increasing, indicating  
104 that the Cu(I) site is located at the ZnO-Cu interface. These assignments are supported by  
105 density functional theory (DFT) calculation results of vibrational frequencies of CO

106 adsorbed on various Cu surfaces and ZnO-Cu interfaces (Figure S14). The step Cu(211)  
107 and Cu(611) surfaces were used to model the common step defects on Cu(111) and  
108 Cu(100) surfaces, respectively.<sup>16,33</sup> The calculated vibrational frequency of CO adsorbed  
109 on Cu(100) is larger than on Cu(111), and those on the step sites are larger than on the  
110 corresponding terrace sites by less than 10 cm<sup>-1</sup>. Resulting from the charge transfer, the  
111 copper atom of Cu-O-Zn interface is Cu(I) at which adsorbed CO exhibits a vibrational  
112 frequency higher than CO adsorbed on the terrace Cu site by more than 35 cm<sup>-1</sup>.

113 Catalytic performances of various Cu NCs and ZnO/Cu-NCs catalysts were  
114 evaluated in the WGS and CO hydrogenation reactions. In the WGS reaction, c-Cu NCs  
115 are more active than d-Cu and o-Cu NCs (Figure S15), agreeing with the previous  
116 report.<sup>29</sup> Their catalytic activity increases as the sizes decrease whereas the stability  
117 decreases. ZnO/Cu NCs show much enhanced catalytic activity and stability than  
118 corresponding Cu NCs (Figure 2A and Figure S16-Figure S19), and 5%ZnO/c-Cu-109  
119 and 9%ZnO/c-Cu-34 are even more active than the commercial Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> WGS  
120 catalyst below 423 K, despite of the large Cu particles. Calculated from the  
121 corresponding Arrhenius plots (Figure S16-Figure S18), all ZnO/c-Cu catalysts show  
122 similar apparent activation energies ( $E_a$ ) of 37.7±0.3 kJ/mol and thus exhibit the same  
123 type of active site, while ZnO/d-Cu, ZnO/o-Cu, Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>, c-Cu and d-Cu exhibit  
124 apparent activation energies ( $E_a$ ) of 40.7±2.6, 55.9±3.9, 51.6±3.7, 54.1±3.1 and 68.4±8.0  
125 kJ/mol, respectively (Figure 2B). Thus, the Cu{100} facets exposed on c-Cu NCs are the  
126 most active facet not only for the Cu catalysts<sup>29</sup> but also for the ZnO/Cu catalysts in the  
127 WGS reaction. These results suggest that the high apparent catalytic activity of  
128 commercial Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> WGS catalyst should result from the density of the active site

129 rather than from the intrinsic activity of the active site. The CO conversions of various  
130 ZnO/c-Cu catalysts at 423 K, at which temperature the c-Cu catalysts do not exhibit  
131 observable catalytic activity, were found proportional to the amount of CO adsorbed at  
132 the Cu(I) site of Cu-O-Zn interface (Figure 2C and Figure S20). This demonstrates that  
133 the low-temperature WGS reaction proceeds at the Cu-ZnO interface of ZnO/c-Cu  
134 catalysts.

135 The temperature-programmed surface reaction (TPSR) spectra of CO and H<sub>2</sub>O  
136 (Figure 2D and Figure S21) demonstrate the simultaneous productions of CO<sub>2</sub> and H<sub>2</sub>O  
137 over ZnO/Cu-NCs catalysts, which occurs at much lower temperatures over ZnO/c-Cu  
138 than over ZnO/o-Cu. This further supports that the ZnO/c-Cu catalysts are more  
139 intrinsically active than the ZnO/o-Cu catalysts, and meanwhile, demonstrates that the  
140 CO<sub>2</sub> and H<sub>2</sub> productions over ZnO/Cu-NCs catalysts result from the same elementary  
141 surface reaction or that neither CO<sub>2</sub> production nor H<sub>2</sub> production is the rate-limiting step.  
142 However, in the TPSR spectra of CO and H<sub>2</sub>O in our previous results over Cu NCs,<sup>29</sup> the  
143 H<sub>2</sub> production occurs at a higher temperature than the CO<sub>2</sub> production, indicating the H<sub>2</sub>  
144 production as the rate-limiting step in the Cu-catalyzed WGS reaction with the Cu-Cu<sub>x</sub>O  
145 interface as the active site.

146 Near-ambient pressure X-ray photoelectron spectroscopy (NAP-XPS) results  
147 (Figure 2E, Figures S22 and S23, Table S2) show that the Cu and Zn speciation of  
148 ZnO/Cu-NCs catalysts do not vary with the reaction condition, suggesting that the WGS  
149 reaction catalyzed by the Cu-ZnO interface should not follow the redox mechanism.<sup>7</sup>  
150 Hydroxyl and various surface oxygenates, including carboxylate, formate and carbonate,  
151 were observed as the surface intermediates. Acquired by CO reduction, 1%ZnO/o-Cu

152 shows a larger OH coverage on 9%ZnO/c-Cu-34 (Figure 2F), demonstrating the lower  
153 reactivity of OH species on 1%ZnO/o-Cu toward CO. The OH species on 9%ZnO/c-Cu-  
154 34 during the WGS reaction at 323 K increases at the expense of the  $O_{ZnO+Cu_xO}$  species,  
155 corresponding to  $H_2O$  dissociation at the c-Cu-ZnO interface to produce OH species.  
156 With the reaction temperature increasing, the OH coverage keeps decreasing while the  
157 oxygenates coverage does not vary much, suggesting that the OH species should be  
158 involved in the rate-limiting step but the oxygenates should not. The oxygenates species  
159 on 1%ZnO/o-Cu increases at the expense of OH species during the WGS reaction at 323  
160 K, corresponding to the consumption of OH species due to the reaction with gaseous CO  
161 to produce surface oxygenates. Their coverage decreases at 423 K and the OH coverage  
162 increases, demonstrating the occurrence of surface reactions of oxygenate species and  
163 water dissociation; then the coverages of both oxygenates and OH species slightly  
164 decrease at 523 K. The coverages of OH and oxygenates surface intermediates are always  
165 larger on 1%ZnO/o-Cu catalysts under WGS reaction than on corresponding 9%ZnO/c-  
166 Cu-34 catalysts; meanwhile, the concentrations of gaseous CO and  $H_2O$  of WGS reaction  
167 catalyzed by 1%ZnO/o-Cu are higher than by 9%ZnO/c-Cu-34. These observations  
168 demonstrate that the  $H_2O$  activation are more facile and the formed OH and oxygenates  
169 intermediates are more reactive on 9%ZnO/c-Cu-34 than on 1%ZnO/o-Cu, leading to its  
170 higher activity in catalyzing the WGS reaction. This is further supported by the NAP-  
171 XPS results of 1%ZnO/o-Cu and 9%ZnO/c-Cu-34 catalysts exposed firstly to water and  
172 then to CO (Figures S24 and S25, Table S3).

173 DFT calculations were carried out at the ZnO/Cu(111) and ZnO/Cu(100) surfaces  
174 (Figure 3 A1 and A2) to explore the WGS reaction mechanism catalyzed by ZnO/Cu-

175 NCs catalysts (Figures S26 and S27, Table S4). Water dissociation into  $\text{OH}_{\text{Cu}}$  and  $\text{O}_{\text{ZnO}}\text{H}$   
176 at the ZnO-Cu interfaces proceeds very facilely, consistent with previous reports.<sup>12, 34</sup> The  
177  $\text{COOH}_{\text{Cu}}$  intermediate formed by adsorbed  $\text{CO}_{\text{Cu}}$  and  $\text{OH}_{\text{Cu}}$  decomposes either to produce  
178 gaseous  $\text{CO}_2$  and  $\text{O}_{\text{ZnO}}\text{H}$ <sup>35</sup> or to produce gaseous  $\text{CO}_2$  and  $\text{H}_{\text{Cu}}$ <sup>29</sup> with activation energies  
179 below 0.65 eV. However, the subsequent  $\text{H}_2$  production either via the recombinative  
180 desorption of two  $\text{O}_{\text{ZnO}}\text{H}$  groups or via the H transfer from  $\text{O}_{\text{ZnO}}\text{H}$  group to the Cu site  
181 followed by the recombination of two  $\text{H}_{\text{Cu}}$  species need to overcome barriers larger than  
182 1.39 eV. These DFT calculation results will lead to  $\text{H}_2$  productions at a higher  
183 temperature than  $\text{CO}_2$  productions, against our TPSR experimental results of  
184 simultaneous  $\text{H}_2$  and  $\text{CO}_2$  productions. Indicated by the DFT calculation results, an  
185 accumulation of OH groups can be expected at the Cu-ZnO interface. The calculated  
186 activation energy of water dissociation was found to increase with the OH coverage at the  
187 Cu-ZnO interface while the calculated activation energy of H transfer reaction to  
188 decrease (Figure 3C, Figure S28 and Table S5). The activation energy of  $\text{H}_2\text{O}$   
189 dissociation increases to 1.05 and 0.87 eV respectively at the 0.75 ML  $\text{OH}_{\text{ZnO}}\text{-ZnO-}$   
190  $\text{Cu}(111)$  (Figure 3B1) and 0.75 ML  $\text{OH}_{\text{ZnO}}\text{-ZnO-Cu}(100)$  (Figure 3B2) interfaces, while  
191 the activation energy of subsequent H transfer reaction decreases to 0.88 and 0.76 eV,  
192 respectively, leading to  $\text{H}_2\text{O}$  dissociation as the rate-limiting step of WGS reaction.  
193 Meanwhile, all elementary steps proceed more easily at the 0.75 ML  $\text{OH}_{\text{ZnO}}\text{-ZnO-Cu}(100)$   
194 interface than at the 0.75 ML  $\text{OH}_{\text{ZnO}}\text{-ZnO-Cu}(111)$  interface (Figure 3D, Figure S29 and  
195 Table S6). The calculation results on 0.75 ML  $\text{OH}_{\text{ZnO}}\text{-ZnO/Cu}$  surfaces agree well with  
196 the above experimental observations. Thus, the Cu-hydroxylated ZnO ensemble is the  
197 active site of Cu/ZnO catalysts to catalyze the WGS reaction, and the  $\text{Cu}_{\text{Cu}(100)}$ -

198 hydroxylated ZnO ensemble is more active than the Cu<sub>Cu(111)</sub>-hydroxylated ZnO  
199 ensemble.

200 In the steady-state CO hydrogenation reaction at 523 K (Figure 4A and Table S7), o-  
201 Cu and c-Cu NCs dominantly yield CH<sub>4</sub>, agreeing with previous results of unsupported  
202 Cu catalysts for CO hydrogenation reaction,<sup>36</sup> while d-Cu NCs are inactive. The CO  
203 conversion increases as the size of c-Cu NCs decreases. ZnO/d-Cu catalysts are also  
204 inactive and ZnO/o-Cu catalysts exhibit similar selectivity to o-Cu NCs, while ZnO/c-Cu  
205 catalysts show volcano-shaped dependent CH<sub>3</sub>OH selectivity on the ZnO loading. The  
206 highest CH<sub>3</sub>OH selectivity among ZnO/c-Cu-682, ZnO/c-Cu-109 and ZnO/c-Cu-34 are  
207 23.4% for 1%ZnO/c-Cu-682, 19.8% for 5%ZnO/c-Cu-109 and 65.9% for 9%ZnO/c-Cu-  
208 34, respectively.

209 The Cu phase in all used Cu NCs and ZnO/Cu-NCs catalysts is metallic (Figure  
210 S30), but used d-Cu NCs and ZnO/d-Cu catalysts were observed to be fully covered with  
211 amorphous carbon thin film (Figure S31), demonstrating the occurrence of serious coking  
212 that results in the catalytic inactivity. In addition to originally-existing metallic Cu and  
213 ZnO components, CuZn alloy was identified on used ZnO/o-Cu and ZnO/c-Cu catalysts  
214 (Figure 4B, Figure S32-Figure S35). The percentage of CuZn alloy in Zn-contained  
215 components of selected used ZnO/Cu-NCs catalysts was acquired by counting more than  
216 100 particles in the HRTEM images (Figure 4C) and found to correlate well to the  
217 CH<sub>3</sub>OH selectivity for the ZnO/Cu-NCs catalysts with the same type of Cu NCs support,  
218 for example, ZnO/c-Cu-34. This demonstrates the in situ formation of active CuZn alloys  
219 in ZnO/Cu catalysts to catalyze CO hydrogenation to CH<sub>3</sub>OH, consistent with previous  
220 reports.<sup>16</sup>

221 The CuZn alloy formation depends on the ZnO loading of ZnO/Cu-NCs catalysts.  
222 H<sub>2</sub>-TPR profiles of various ZnO/Cu-NCs catalysts (Figures S36 and S37) show that the  
223 appearance of reduction peak for supported large ZnO particles corresponds to the  
224 decrease in the percentage of CuZn alloy. This suggests that highly-dispersed ZnO is  
225 more facile to alloy with Cu substrates than ZnO aggregates during CO hydrogenation  
226 reaction, agreeing with previous results.<sup>17</sup> The CuZn alloy formation also depends on the  
227 structure of Cu NCs supports. Not all highly-dispersive ZnO species can form the CuZn  
228 alloy. With similar ZnO loadings and Cu NCs sizes, the percentage of CuZn alloy in used  
229 1%ZnO/c-Cu-682 catalyst is much higher than in used 1%ZnO/o-Cu catalyst, suggesting  
230 more facile formation of CuZn alloy on c-Cu NCs than on o-Cu NCs. The percentage of  
231 CuZn alloy in different series of used ZnO/c-Cu catalysts does not follow an order of the  
232 surface areas of c-Cu NCs, but follows the same order of ZnO/c-Cu-34 > ZnO/c-Cu-  
233 682 > ZnO/c-Cu-109 to that of the step site density on various c-Cu NCs (Figure 1F).  
234 These observations indicate that the step sites on c-Cu surfaces are the dominant site for  
235 the CuZn alloy formation during CO hydrogenation reaction. The CuZn alloy was  
236 previously observed to preferentially form at the step sites of Cu particles in industrial  
237 Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst for CO hydrogenation to CH<sub>3</sub>OH.<sup>16</sup>

238 In the in situ DRIFTS during CO hydrogenation reaction (Figure 4D, Figure S38-  
239 Figure S41 and Table S8), 9%ZnO/c-Cu-34 with a high CH<sub>3</sub>OH selectivity exhibits  
240 strong vibrational features of adsorbed CH<sub>3</sub>O<sub>a</sub> and CH<sub>3</sub>OH<sub>a</sub>, suggesting the CH<sub>3</sub>O<sub>a</sub>  
241 hydrogenation as the rate-limiting step of CO hydrogenation to CH<sub>3</sub>OH,<sup>16, 37</sup> while c-Cu-  
242 34 with a high CH<sub>4</sub> selectivity exhibits significantly-weakened vibrational features of  
243 adsorbed CH<sub>3</sub>O<sub>a</sub> and CH<sub>3</sub>OH<sub>a</sub> but vibrational features of adsorbed CH<sub>2</sub>OH<sub>a</sub>, CH<sub>2,a</sub>, CH<sub>3,a</sub>

244 and gaseous CH<sub>4</sub>, supporting that CO hydrogenation to CH<sub>4</sub> proceeds via the CH<sub>2</sub>OH<sub>a</sub>  
245 intermediate reacting with adsorbed H<sub>a</sub> to form CH<sub>2,a</sub>.<sup>38</sup> An in situ reactor for  
246 transmission FT-IR measurements under conditions varying from high pressures and high  
247 temperatures to vacuum and low temperatures was used to firstly characterize various  
248 catalysts under CO hydrogenation reaction and then probe their structures by CO  
249 adsorption at low temperature. The acquired in situ transmission FT-IR spectra under CO  
250 hydrogenation reaction are similar to the corresponding in situ DRIFTS spectra (Figure  
251 S42). During the subsequent CO adsorption (Figure 4E and Figure S43), a vibrational  
252 feature at 2075 cm<sup>-1</sup> arising from Cu{111} facets appears on all used Cu NCs and  
253 ZnO/Cu-NC catalysts except on used d-Cu NCs which exhibits no feature due to the  
254 entire capsulation by carbon thin film; meanwhile, an additional vibrational band appears  
255 at ~2060 cm<sup>-1</sup> for used ZnO/Cu-NC, which, based on previous<sup>39</sup> and our DFT  
256 calculations of CO adsorbed on Zn-Cu(611) and Zn-Cu(211) alloy surfaces (Figure S44),  
257 can be assigned to CO adsorbed on CuZn alloy. These observations suggest that only the  
258 Cu{111} facets on bare Cu surfaces of used catalysts act to catalyze CO hydrogenation to  
259 CH<sub>4</sub> under the employed condition while other originally-existing Cu facets and defective  
260 sites with low-coordinated Cu atoms on bare Cu surfaces are poisoned by coke formation.  
261 The CH<sub>3</sub>OH formation rates over various ZnO/c-Cu catalysts were found proportional to  
262 the amount of CO adsorbed on CuZn alloy of corresponding used catalysts (Figure 4F,  
263 Figure S45); meanwhile, the CH<sub>3</sub>OH formation rate is much smaller over 1% ZnO/o-Cu  
264 catalyst than over ZnO/c-Cu catalysts with similar amounts of CO adsorbed on CuZn  
265 alloy. This directly demonstrates that CuZn alloy is the active component of ZnO/Cu-NC

266 catalysts to catalyze CO hydrogenation to CH<sub>3</sub>OH and more active CuZn alloy form on  
267 ZnO/c-Cu catalysts than on ZnO/o-Cu catalysts.

268 The activation energy for CuZn alloy formation of ZnO on various Cu surfaces was  
269 calculated to follow an order of ZnO/Cu(611) < ZnO/Cu(211) < ZnO/Cu(100) <  
270 ZnO/Cu(111) (Figure 5A and Figure S46), agreeing with the experimental results that the  
271 step sites on Cu NCs are the dominant site for CuZn alloy formation and the CuZn alloy  
272 formation is more facile on the step sites of c-Cu NCs than of o-Cu NCs. Meanwhile, the  
273 calculated reaction mechanism of CO hydrogenation to CH<sub>3</sub>OH (Figure 5B, Figure S47,  
274 and Table S9) demonstrates that adsorbed CH<sub>3</sub>O<sub>a</sub> hydrogenation is the rate-limiting step  
275 and proceeds with a smaller activation energy on Zn-Cu(611) alloy surface than on Zn-  
276 Cu(211) alloy surface, consistent with the experimental observations of CH<sub>3</sub>O<sub>a</sub> and  
277 CH<sub>3</sub>OH<sub>a</sub> as the surface intermediates and of a higher catalytic activity of CuZn alloy  
278 formed on ZnO/c-Cu than on ZnO/o-Cu. Thus, Cu<sub>Cu(611)</sub>Zn alloy is the active site of Cu-  
279 ZnO catalysts for catalyzing CO hydrogenation reaction to CH<sub>3</sub>OH.

280 Thus, the ZnO/Cu catalysts undergo different in situ restructuring processes during  
281 WGS and CO hydrogenation reactions under typical reaction conditions to form the Cu-  
282 hydroxylated ZnO ensemble and CuZn alloy active sites, respectively. These results  
283 demonstrate reaction-sensitive restructuring and active sites of Cu-ZnO catalysts.  
284 Moreover, the in situ restructuring processes are modulated by the Cu structure to form  
285 the active sites with highest intrinsic catalytic activity, Cu<sub>Cu(100)</sub>-hydroxylated ZnO  
286 ensemble and Cu<sub>Cu(611)</sub>Zn alloy for WGS and CO hydrogenation reactions, respectively.  
287 Considering the identified active sites, fabricating Cu-ZnO catalysts with Cu{100} facets  
288 as many as possible and with Cu{611} step sites as many as possible are effective

289 strategies to develop highly efficient Cu-ZnO catalysts for water gas shift and CO  
290 hydrogenation reactions, respectively.

291 **Methods**

292 All chemicals were purchased from Sinopharm Chemical Reagent Co., Ltd. and  
293 used without further purification. 5% CO/Ar, 5% H<sub>2</sub>/Ar, 66.7% H<sub>2</sub>/33.3% CO, 0.432%  
294 CO/Ar, O<sub>2</sub> (99.999%), Ar (99.999%), C<sub>3</sub>H<sub>6</sub> (99.95%), N<sub>2</sub> (99.999%), and CO (99.99%)  
295 were purchased from Nanjing Shangyuan Industrial Factory and used without further  
296 purification. Ultrapure water (>18.5 MΩ) was used.

297 Cu<sub>2</sub>O cubes with size distribution of 682±92 nm and octahedra with size distribution  
298 of 583±74 nm were synthesized according to Zhang *et al.*'s method.<sup>40</sup> Typically, 5 mL of  
299 NaOH aqueous solution (2.0 mol·L<sup>-1</sup>) was added dropwise into 50 mL of CuCl<sub>2</sub> aqueous  
300 solution (0.01 mol·L<sup>-1</sup>) at 328 K under continuous stirring (Cu<sub>2</sub>O octahedra containing  
301 4.44 g of poly(vinylpyrrolidone) (PVP)). After stirring for 0.5 h, 5 mL of ascorbic acid  
302 (AC) solution (0.6 mol·L<sup>-1</sup>) was subsequently added dropwise into the solution. The  
303 mixture was stirred at 328 K for additional 5 h. The acquired precipitate was collected by  
304 centrifugation, decanted by repeated washing with ultrapure water and absolute ethanol  
305 for several times, and finally dried in vacuum at room temperature for 12 h. The acquired  
306 cubic and octahedral Cu<sub>2</sub>O nanocrystals (NCs) were denoted as c-Cu<sub>2</sub>O-682 and o-Cu<sub>2</sub>O-  
307 PVP, respectively.

308 Cu<sub>2</sub>O cubes with size distributions of 109±10 and 34±4.5 nm were synthesized  
309 according to Chang *et al.*'s method.<sup>41</sup> Typically, 1 mL of CuSO<sub>4</sub> aqueous solution (1.2  
310 mol·L<sup>-1</sup>) was rapidly added into 400 mL ultrapure water at 298 K (Cu<sub>2</sub>O cubes with size  
311 distribution of 109±10 nm containing 0.26 g of sodium citrate). After stirring for 5 min, 1  
312 mL of NaOH aqueous solution (4.8 mol·L<sup>-1</sup>) was added into the solution. The solution  
313 color turned from clear blue solution immediately to turbid blue, indicating Cu(OH)<sub>2</sub>

314 formed. After stirring for another 5 min, 1 mL of AC aqueous solution ( $1.2 \text{ mol}\cdot\text{L}^{-1}$ ) was  
315 added as a reducer and the resulting solution was maintained for additional 0.5 h at 298 K.  
316 The solution color gradually turned from turbid blue to yellowish brown. The acquired  
317 precipitate was collected by centrifugation, decanted by repeated washing with ultrapure  
318 water and absolute ethanol for several times, and finally dried in vacuum at room  
319 temperature for 12 h. The acquired  $\text{Cu}_2\text{O}$  NCs with size distributions of  $109\pm 10$  and  
320  $34\pm 4.5$  nm were denoted as c- $\text{Cu}_2\text{O}$ -109 and c- $\text{Cu}_2\text{O}$ -34, respectively.

321  $\text{Cu}_2\text{O}$  rhombic dodecahedra with size distribution of  $550\pm 93$  nm were synthesized  
322 according to Liang *et al.*'s method.<sup>42</sup> Typically, 4 mL of oleic acid (OA) mixed with 20  
323 mL of absolute ethanol was added into 40 mL of  $\text{CuSO}_4$  aqueous solution ( $0.025 \text{ mol}\cdot\text{L}^{-1}$ )  
324 under continuous stirring at 373 K. Then, 10 mL of NaOH aqueous solution ( $0.8 \text{ mol}\cdot\text{L}^{-1}$ )  
325 was added. After stirring for 5 min, 30 mL of D-(+)-glucose aqueous solution ( $0.63$   
326  $\text{mol}\cdot\text{L}^{-1}$ ) was added. The resulting mixture were further stirred at 373 K for 1 h to acquire  
327 a brick-red precipitate. Centrifugation, decantation by repeating washing with ultrapure  
328 water and absolute ethanol for several times were performed, and the final precipitate was  
329 dried in vacuum at room temperature for 12 h. The acquired rhombic dodecahedral  $\text{Cu}_2\text{O}$   
330 NCs were denoted as d- $\text{Cu}_2\text{O}$ -OA.

331 The remove of capping ligands (PVP on o- $\text{Cu}_2\text{O}$ -PVP and OA on d- $\text{Cu}_2\text{O}$ -OA)  
332 followed a controlled oxidation procedure developed by Hua *et al.*<sup>28</sup> Typically, as-  
333 synthesized  $\text{Cu}_2\text{O}$  NCs (ca. 0.2 g) were placed in a U-shaped quartz microreactor. The  
334 atmosphere was first purged by the stream of  $\text{C}_3\text{H}_6 + \text{O}_2 + \text{N}_2$  mixture ( $\text{C}_3\text{H}_6:\text{O}_2:\text{N}_2 =$   
335  $2:1:22$ , total flow rate:  $50 \text{ mL}\cdot\text{min}^{-1}$ ) at room temperature for 30 min. Then, the sample  
336 was heated to the desirable temperature at a rate of  $5 \text{ K}\cdot\text{min}^{-1}$  (o- $\text{Cu}_2\text{O}$ -PVP: 473 K; d-

337 Cu<sub>2</sub>O-OA: 488 K) and kept for 30 min. Next, the steam was switched to high-pure Ar  
338 (flow rate: 30 mL·min<sup>-1</sup>) in which the sample was naturally cooled to room temperature.  
339 The acquired Cu<sub>2</sub>O octahedra and rhombic dodecahedra without capping ligands were  
340 denoted as o-Cu<sub>2</sub>O and d-Cu<sub>2</sub>O, respectively.

341 ZnO supported on Cu<sub>2</sub>O NCs (ZnO/Cu<sub>2</sub>O) catalysts were synthesized via incipient  
342 wetness impregnation method. Typically, 200 mg of as-synthesized Cu<sub>2</sub>O NCs were  
343 adequately incipient wetness impregnated with calculated amounts of zinc nitrate  
344 (Zn(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O) aqueous-ethanol mixture solution. The resulting sample was dried in  
345 vacuum at room temperature for 12 h and then heated at 623 K in high-pure Ar with a  
346 flow rate of 50 mL·min<sup>-1</sup> for 2 h to prepare ZnO/Cu<sub>2</sub>O catalysts. The acquired ZnO/Cu<sub>2</sub>O  
347 catalysts were further reduced in 5% CO/Ar with a flow rate of 30 mL·min<sup>-1</sup> at  
348 appropriate temperatures chose from CO-TPR results for 2 h to prepare corresponding  
349 ZnO/Cu catalysts. The reduction temperatures are 548 K for c-Cu<sub>2</sub>O-682, ZnO/c-Cu<sub>2</sub>O-  
350 682, o-Cu<sub>2</sub>O, ZnO/o-Cu<sub>2</sub>O, d-Cu<sub>2</sub>O, and ZnO/d-Cu<sub>2</sub>O, and 473 K for c-Cu<sub>2</sub>O-109, c-  
351 Cu<sub>2</sub>O-109, ZnO/c-Cu<sub>2</sub>O-34 and ZnO/c-Cu<sub>2</sub>O-34.

352 Details on structural characterizations, activity evaluations, and DFT calculations  
353 can be found in the supplementary information.

354

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361 under conditions varying from high pressures and high temperatures to vacuum and low  
362 temperatures.

363

364 **Author contributions.** W.H. designed and supervised the project. W.Z. supervised the  
365 DFT calculations. Y.W. supervised the catalytic activity evaluation in CO hydrogenation.  
366 Z.Z. carried the catalyst preparation and characterization, and catalytic activity evaluation  
367 in WGS reaction. X.C. carried out the DFT calculations. J.K carried out the catalytic  
368 activity evaluation in CO hydrogenation. Z.Y., J.T., Z.G., A.J., R.Y., K.Q., S.H., B.T. and  
369 Y.C. assisted with the experiments. All authors analyzed the data. W.H., Z.Z. and X.C.  
370 prepared the manuscript and other authors commented on the manuscript.

371

372 **Additional information.**

373 Supplementary information is available in the online version of the paper. Reprints and  
374 permission information is available online at <http://www.nature.com/reprints>.

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376

377 **Competing financial interests.** The authors declare no competing financial interests.

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474 **Figure captions**

475 **Figure 1. Structural characterizations.** TEM images of as-synthesized (A1) c-Cu<sub>2</sub>O-  
476 682, (B1) c-Cu<sub>2</sub>O-109, (C1) c-Cu<sub>2</sub>O-34, (D1) o-Cu<sub>2</sub>O, and (E1) d-Cu<sub>2</sub>O NCs; TEM  
477 images of as-synthesized (A2) 1%ZnO/c-Cu<sub>2</sub>O-682, (B2) 1%ZnO/c-Cu<sub>2</sub>O-109, (C2)  
478 1%ZnO/c-Cu<sub>2</sub>O-34, (D2) 1%ZnO/o-Cu<sub>2</sub>O, and (E2) 1%ZnO/d-Cu<sub>2</sub>O catalysts; TEM and  
479 HRTEM images of as-synthesized (A2) 1%ZnO/c-Cu-682, (B2) 1%ZnO/c-Cu-109, (C2)  
480 1%ZnO/c-Cu-34, (D2) 1%ZnO/o-Cu, and (E2) 1%ZnO/d-Cu catalysts; In situ DRIFTS  
481 with peak-fitting results of CO adsorption at 123 K on (F) various c-Cu NCs, (G) various  
482 1%ZnO/c-Cu catalysts, (H) o-Cu NCs and 1%ZnO/o-Cu catalyst, and (I) d-Cu NCs and  
483 1%ZnO/d-Cu catalyst. Lattice fringes of 1.80, 2.08, 2.50/2.51, 2.61, and 2.81 Å  
484 respectively correspond to the spacing of Cu{200}, Cu{111} (JCPDS card NO. 89-2838),  
485 hexagonal ZnO{101}, ZnO{002}, and ZnO{100} (JCPDS card NO 89-1397) crystal  
486 planes.

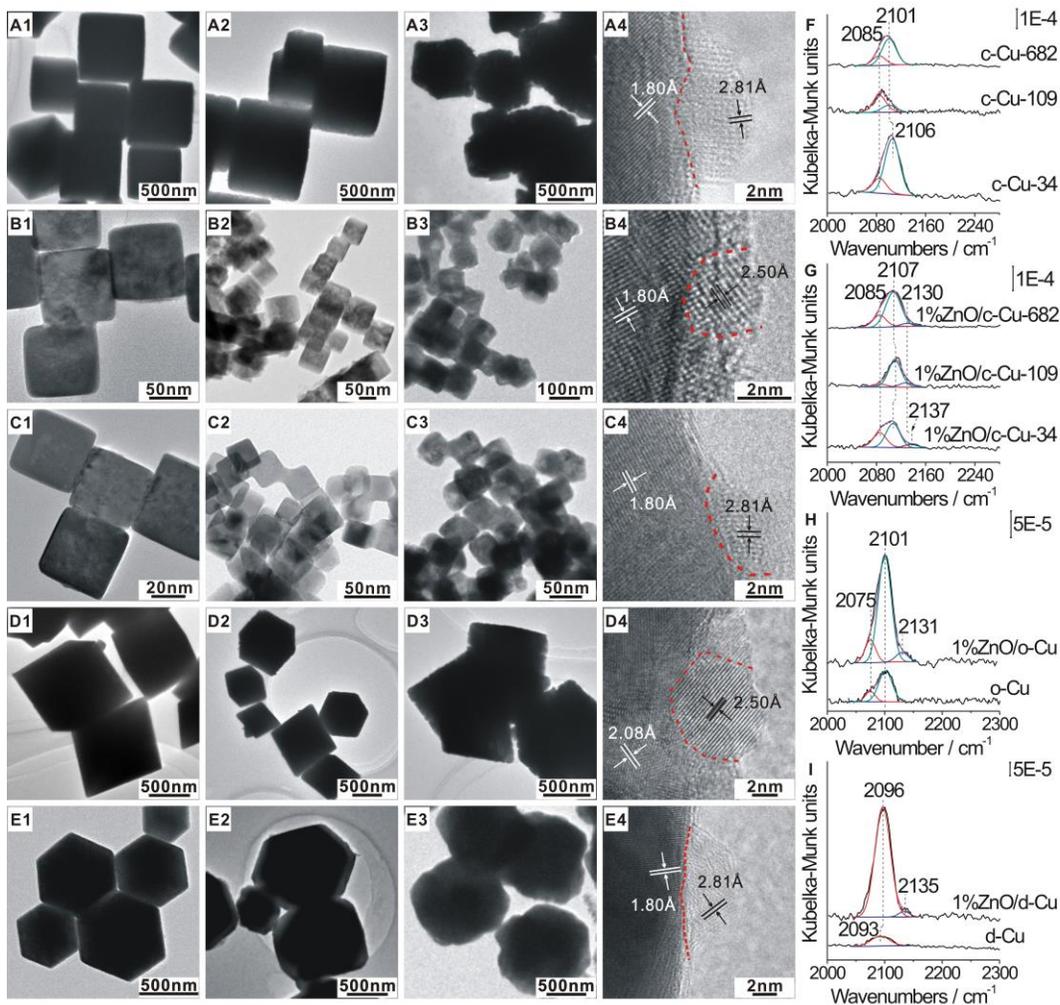
487 **Figure 2. Activity evaluations and mechanism investigations in WGS reaction.** (A)  
488 Catalytic performance of representative ZnO/Cu and commercial Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> WGS  
489 catalysts for the WGS reaction; (B) Apparent activation energies ( $E_a$ ) of various Cu-  
490 based catalysts as a function of ZnO loadings; (C) Temperature-programmed reaction  
491 spectra of WGS reaction over 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts; (D) CO  
492 conversion at 423 K as a function of the intensity of CO adsorbed on Cu(I)<sub>Cu(100)</sub>-ZnO  
493 interface derived from corresponding DRIFTS results; (E) NAP-XPS spectra with peak-  
494 fitting results of 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts under 0.33 mbar CO + 0.66  
495 mbar H<sub>2</sub>O at 423 K; (F) Variations of the intensity of oxygen-containing species on

496 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts under 0.33 mbar CO + 0.66 mbar H<sub>2</sub>O at  
497 different temperatures derived from corresponding NAP-XPS results.

498 **Figure 3. DFT calculations in WGS reaction.** Optimized surface structures of (A1)  
499 ZnO/Cu(111), (A2) ZnO/Cu(100), (B1) 0.75 ML-OH<sub>ZnO</sub>-ZnO/Cu(111) and (B2) 0.75 ML  
500 OH<sub>ZnO</sub>-ZnO/Cu(100). The reddish-orange, purple, red, and white spheres represent Cu,  
501 Zn, O, and H atoms, respectively.; (C) Calculated activation energy of H<sub>2</sub>O dissociation  
502 and OH<sub>ZnO</sub>-to-H<sub>Cu</sub> H transfer reaction at ZnO-Cu interface as a function of the OH  
503 coverage on interfacial ZnO of ZnO/Cu(111) and ZnO/Cu(100) surfaces; (D) Calculated  
504 energy profiles of WGS reaction catalyzed by 0.75 ML OH<sub>ZnO</sub>-ZnO/Cu(111) and 0.75  
505 ML OH<sub>ZnO</sub>-ZnO/Cu(100) surfaces.

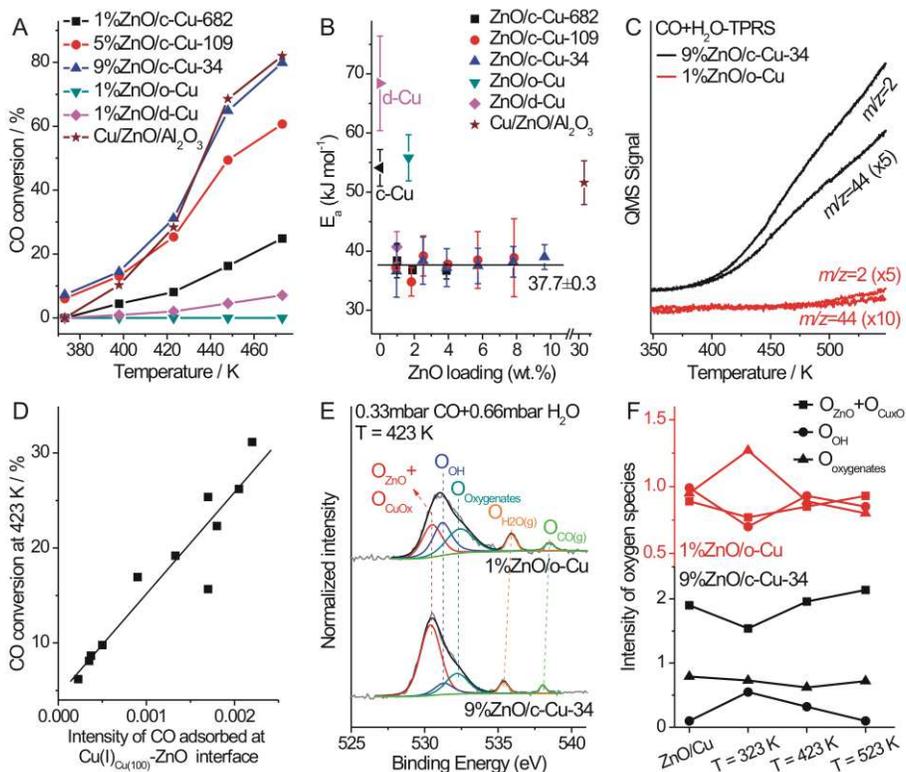
506 **Figure 4. Activity evaluations and mechanism investigations in CO hydrogenation**  
507 **reaction. (A1-A4)** Catalytic performance of various Cu and ZnO/Cu catalysts in the CO  
508 hydrogenation to methanol reaction; Representative HRTEM images of used (B1)  
509 1%ZnO/c-Cu-682 and (B2) 1%ZnO/o-Cu; Lattice fringes of 1.82, 2.08, and 2.13 Å  
510 respectively correspond to the spacing of Cu{100}, Cu{111} (JCPDS card NO. 89-2838),  
511 and Zn-Cu alloy {111} (JCPDS card NO 89-1397) crystal planes; (C) Statistical  
512 percentage of CuZn alloy nanoparticles of various ZnO/Cu catalysts derived from  
513 corresponding HRTEM images; (D) In situ DRIFTS spectra of c-Cu-34 and 9%ZnO/c-  
514 Cu-34 catalysts under 2 MPa 33.3% CO + 66.7% H<sub>2</sub> at 523 K; (E) In situ FT-IR spectra  
515 with peak-fitting results of CO adsorbed on various ZnO/Cu catalysts evaluated after CO  
516 hydrogenation to methanol reaction and (F) CH<sub>3</sub>OH productions over various ZnO/Cu  
517 catalysts as a function of the intensity of CO adsorbed on Cu<sub>defective</sub> Cu(100)-Zn alloy  
518 derived from corresponding DRIFTS results.

519 **Figure 5. DFT calculations in CO hydrogenation reaction.** (A) Calculated activation  
520 energy for CuZn alloy formation of ZnO on various Cu surfaces and (B) Calculated  
521 energy profiles of CO hydrogenation into methanol by ZnCu(211) and ZnCu(611) alloys.  
522 Insets show the optimized surface structures of ZnCu(211) and ZnCu(611) alloys. The  
523 reddish-orange and purple spheres represent Cu and Zn atoms, respectively.



524 **Figure 1. Structural characterizations.** TEM images of as-synthesized (A1) c-Cu<sub>2</sub>O-  
525 682, (B1) c-Cu<sub>2</sub>O-109, (C1) c-Cu<sub>2</sub>O-34, (D1) o-Cu<sub>2</sub>O, and (E1) d-Cu<sub>2</sub>O NCs; TEM  
526 images of as-synthesized (A2) 1%ZnO/c-Cu<sub>2</sub>O-682, (B2) 1%ZnO/c-Cu<sub>2</sub>O-109, (C2)  
527 1%ZnO/c-Cu<sub>2</sub>O-34, (D2) 1%ZnO/o-Cu<sub>2</sub>O, and (E2) 1%ZnO/d-Cu<sub>2</sub>O catalysts; TEM and  
528 HRTEM images of as-synthesized (A2) 1%ZnO/c-Cu-682, (B2) 1%ZnO/c-Cu-109, (C2)  
529 1%ZnO/c-Cu-34, (D2) 1%ZnO/o-Cu, and (E2) 1%ZnO/d-Cu catalysts; In situ DRIFTS  
530 with peak-fitting results of CO adsorption at 123 K on (F) various c-Cu NCs, (G) various  
531 1%ZnO/c-Cu catalysts, (H) o-Cu NCs and 1%ZnO/o-Cu catalyst, and (I) d-Cu NCs and  
532 1%ZnO/d-Cu catalyst. Lattice fringes of 1.80, 2.08, 2.50/2.51, 2.61, and 2.81 Å

533 respectively correspond to the spacing of Cu{200}, Cu{111} (JCPDS card NO. 89-2838),  
534 hexagonal ZnO{101}, ZnO{002}, and ZnO{100} (JCPDS card NO 89-1397) crystal  
535 planes.  
536



537

538 **Figure 2. Activity evaluations and mechanism investigations in WGS reaction. (A)**

539 Catalytic performance of representative ZnO/Cu and commercial Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> WGS

540 catalysts for the WGS reaction; (B) Apparent activation energies ( $E_a$ ) of various Cu-

541 based catalysts as a function of ZnO loadings; (C) Temperature-programmed reaction

542 spectra of WGS reaction over 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts; (D) CO

543 conversion at 423 K as a function of the intensity of CO adsorbed on Cu(I)<sub>Cu(100)</sub>-ZnO

544 interface derived from corresponding DRIFTS results; (E) NAP-XPS spectra with peak-

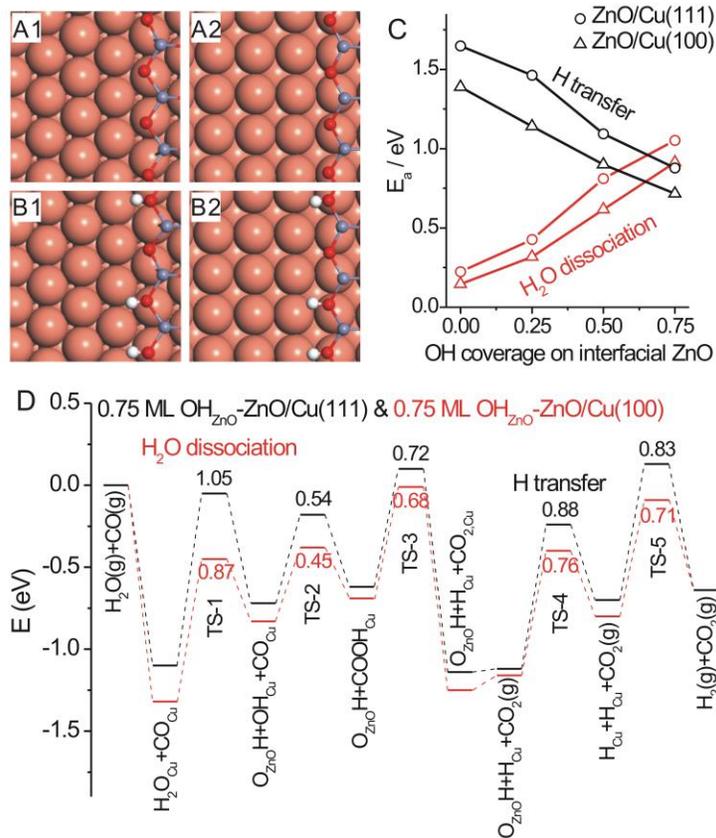
545 fitting results of 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts under 0.33 mbar CO + 0.66

546 mbar H<sub>2</sub>O at 423 K; (F) Variations of the intensity of oxygen-containing species on

547 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts under 0.33 mbar CO + 0.66 mbar H<sub>2</sub>O at

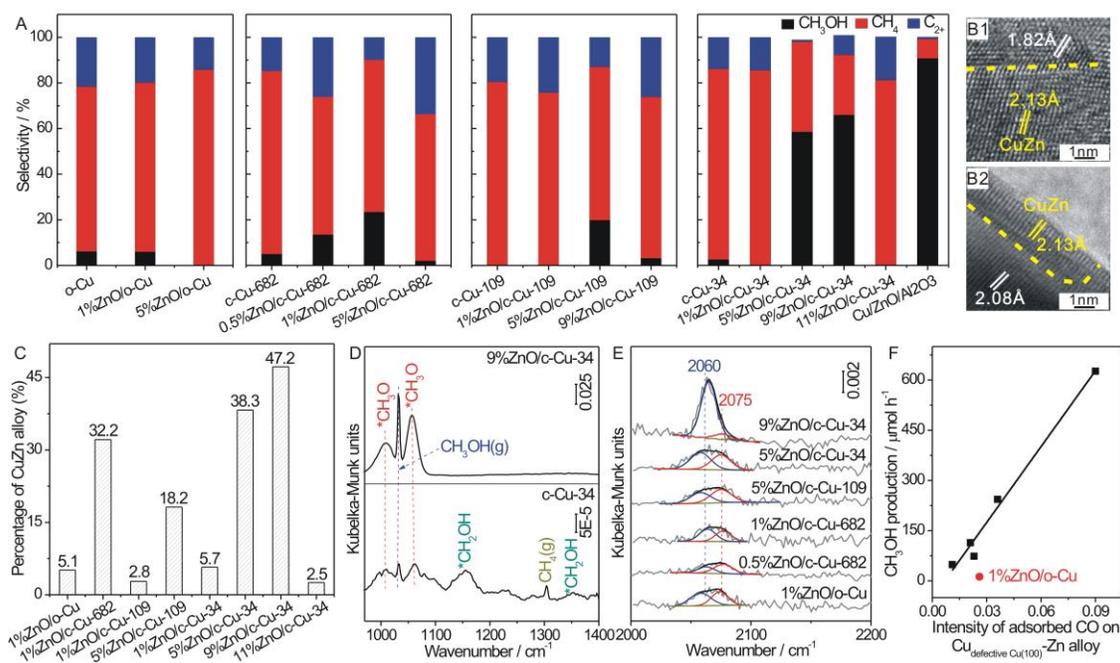
548 different temperatures derived from corresponding NAP-XPS results.

549



550

551 **Figure 3. DFT calculations in WGS reaction.** Optimized surface structures of (A1)  
 552 ZnO/Cu(111), (A2) ZnO/Cu(100), (B1) 0.75 ML-OH<sub>ZnO</sub>-ZnO/Cu(111) and (B2) 0.75 ML  
 553 OH<sub>ZnO</sub>-ZnO/Cu(100). The reddish-orange, purple, red, and white spheres represent Cu,  
 554 Zn, O, and H atoms, respectively.; (C) Calculated activation energy of H<sub>2</sub>O dissociation  
 555 and OH<sub>ZnO</sub>-to-H<sub>Cu</sub> H transfer reaction at ZnO-Cu interface as a function of the OH  
 556 coverage on interfacial ZnO of ZnO/Cu(111) and ZnO/Cu(100) surfaces; (D) Calculated  
 557 energy profiles of WGS reaction catalyzed by 0.75 ML OH<sub>ZnO</sub>-ZnO/Cu(111) and 0.75  
 558 ML OH<sub>ZnO</sub>-ZnO/Cu(100) surfaces.



559

560 **Figure 4. Activity evaluations and mechanism investigations in CO hydrogenation**

561 **reaction. (A1-A4) Catalytic performance of various Cu and ZnO/Cu catalysts in the CO**

562 **hydrogenation to methanol reaction; Representative HRTEM images of used (B1)**

563 **1%ZnO/c-Cu-682 and (B2) 1%ZnO/o-Cu; Lattice fringes of 1.82, 2.08, and 2.13 Å**

564 **respectively correspond to the spacing of Cu{100}, Cu{111} (JCPDS card NO. 89-2838),**

565 **and Zn-Cu alloy {111} (JCPDS card NO 89-1397) crystal planes; (C) Statistical**

566 **percentage of CuZn alloy nanoparticles of various ZnO/Cu catalysts derived from**

567 **corresponding HRTEM images; (D) In situ DRIFTS spectra of c-Cu-34 and 9%ZnO/c-**

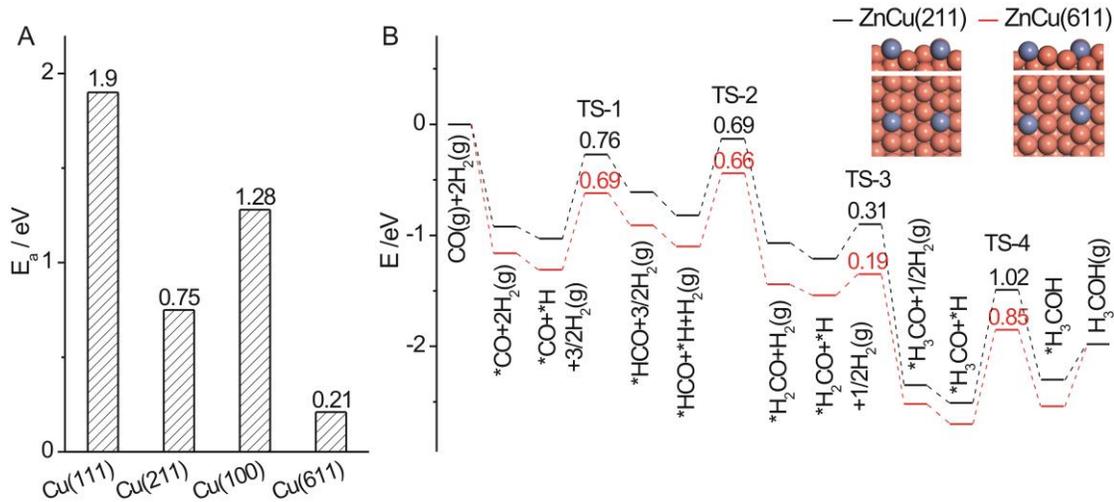
568 **Cu-34 catalysts under 2 MPa 33.3% CO + 66.7% H<sub>2</sub> at 523 K; (E) In situ FT-IR spectra**

569 **with peak-fitting results of CO adsorbed on various ZnO/Cu catalysts evaluated after CO**

570 **hydrogenation to methanol reaction and (F) CH<sub>3</sub>OH productions over various ZnO/Cu**

571 **catalysts as a function of the intensity of CO adsorbed on Cu<sub>defective</sub> Cu<sub>(100)</sub>-Zn alloy**

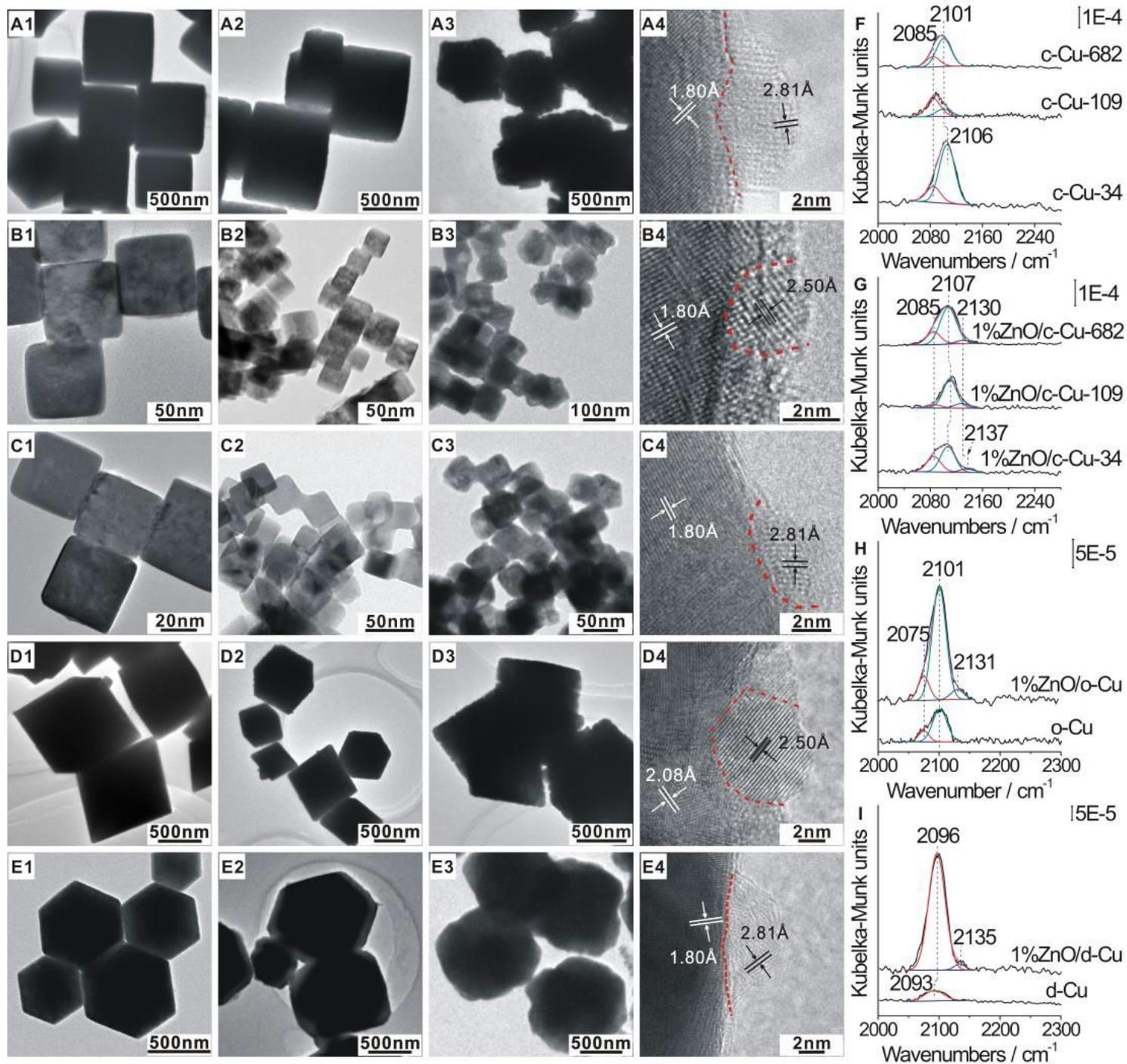
572 **derived from corresponding DRIFTS results.**



573

574 **Figure 5. DFT calculations in CO hydrogenation reaction.** (A) Calculated activation  
 575 energy for CuZn alloy formation of ZnO on various Cu surfaces and (B) Calculated  
 576 energy profiles of CO hydrogenation into methanol by ZnCu(211) and ZnCu(611) alloys.  
 577 Insets show the optimized surface structures of ZnCu(211) and ZnCu(611) alloys. The  
 578 reddish-orange and purple spheres represent Cu and Zn atoms, respectively.

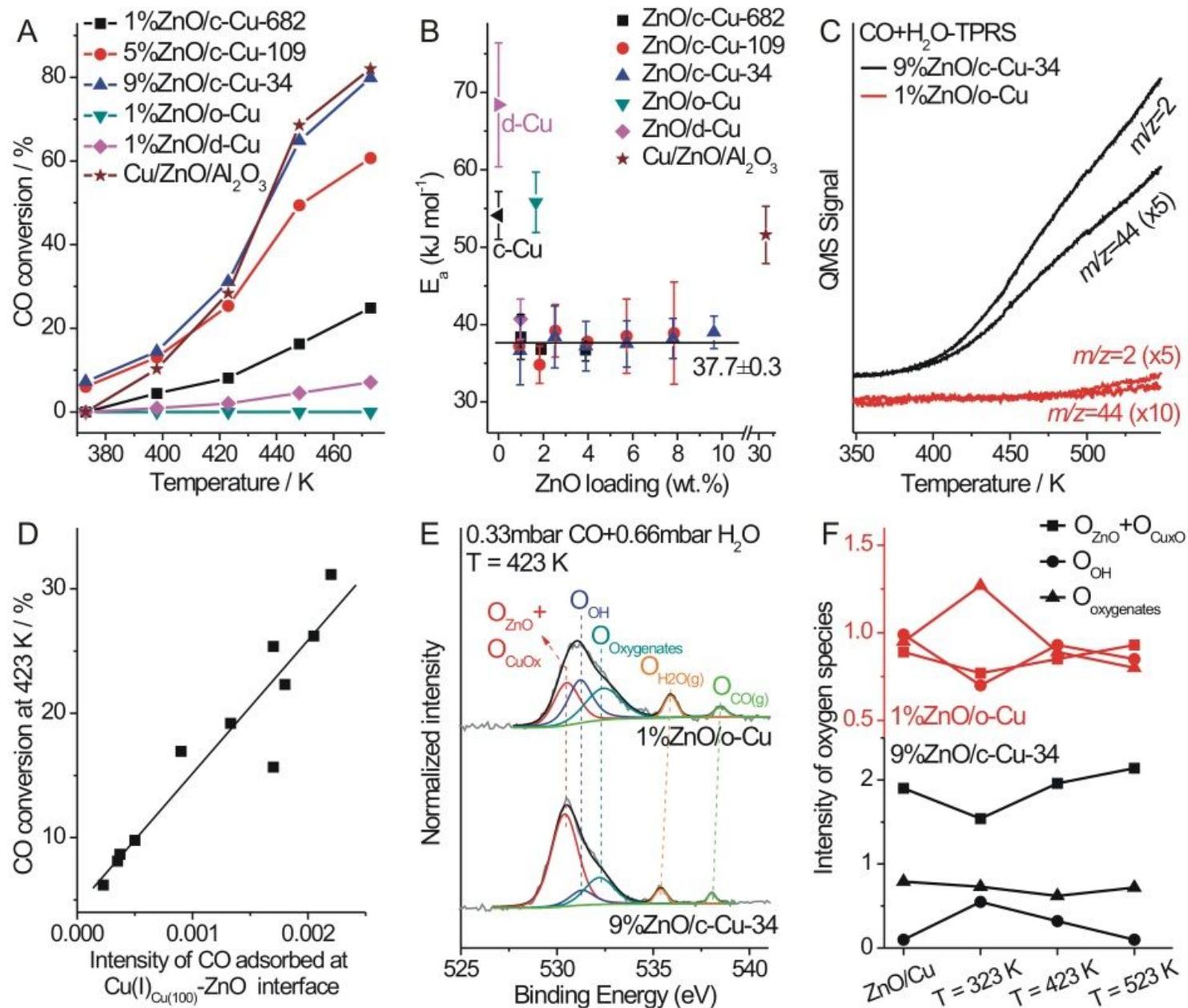
# Figures



**Figure 1**

Structural characterizations. TEM images of as-synthesized (A1) c-Cu<sub>2</sub>O-524 682, (B1) c-Cu<sub>2</sub>O-109, (C1) c-Cu<sub>2</sub>O-34, (D1) o-Cu<sub>2</sub>O, and (E1) d-Cu<sub>2</sub>O NCs; TEM images of as-synthesized (A2) 1%ZnO/c-Cu<sub>2</sub>O-682, (B2) 1%ZnO/c-Cu<sub>2</sub>O-109, (C2) 1%ZnO/c-Cu<sub>2</sub>O-34, (D2) 1%ZnO/o-Cu<sub>2</sub>O, and (E2) 1%ZnO/d-Cu<sub>2</sub>O catalysts; TEM and HRTEM images of as-synthesized (A2) 1%ZnO/c-Cu-682, (B2) 1%ZnO/c-Cu-109, (C2) 1%ZnO/c-Cu-34, (D2) 1%ZnO/o-Cu, and (E2) 1%ZnO/d-Cu catalysts; In situ DRIFTS with peak-fitting

results of CO adsorption at 123 K on (F) various c-Cu NCs, (G) various 530 1%ZnO/c-Cu catalysts, (H) o-Cu NCs and 1%ZnO/o-Cu catalyst, and (I) d-Cu NCs and 1%ZnO/d-Cu catalyst. Lattice fringes of 1.80, 2.08, 2.50/2.51, 2.61, and 2.81 Å respectively correspond to the spacing of Cu{200}, Cu{111} (JCPDS card NO. 89-2838), 533 hexagonal ZnO{101}, ZnO{002}, and ZnO{100} (JCPDS card NO 89-1397) crystal 534 planes.



**Figure 2**

Activity evaluations and mechanism investigations in WGS reaction. (A) Catalytic performance of representative ZnO/Cu and commercial Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> WGS catalysts for the WGS reaction; (B) Apparent activation energies (E<sub>a</sub>) of various Cu-based catalysts as a function of ZnO loadings; (C) Temperature-programmed reaction spectra of WGS reaction over 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts; (D) CO conversion at 423 K as a function of the intensity of CO adsorbed on Cu(I)Cu(100)-ZnO interface derived

from corresponding DRIFTS results; (E) NAP-XPS spectra with peak-fitting results of 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts under 0.33 mbar CO + 0.66 mbar H<sub>2</sub>O at 423 K; (F) Variations of the intensity of oxygen-containing species on 9%ZnO/c-Cu-34 and 1%ZnO/o-Cu catalysts under 0.33 mbar CO + 0.66 mbar H<sub>2</sub>O at different temperatures derived from corresponding NAP-XPS results.

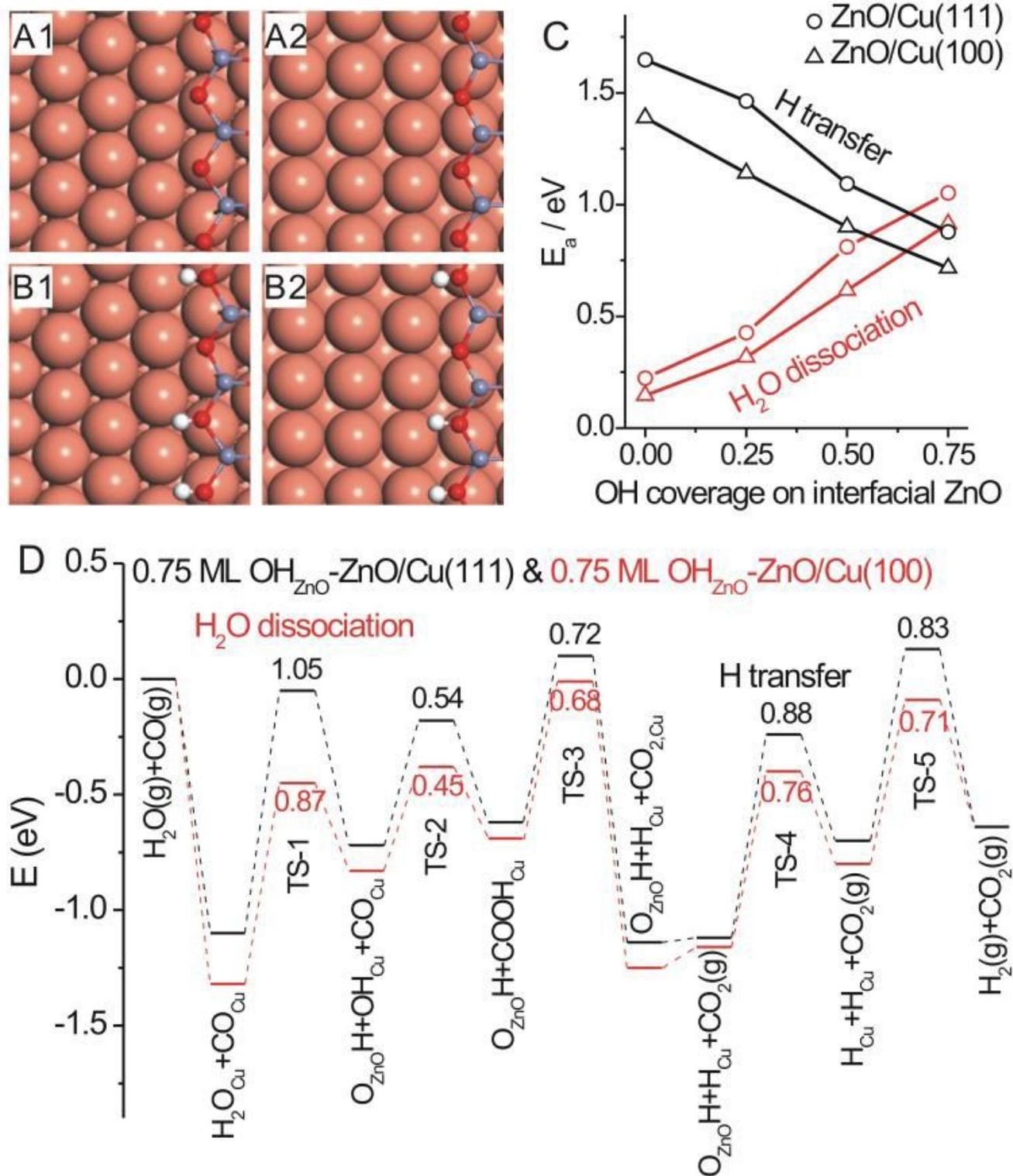
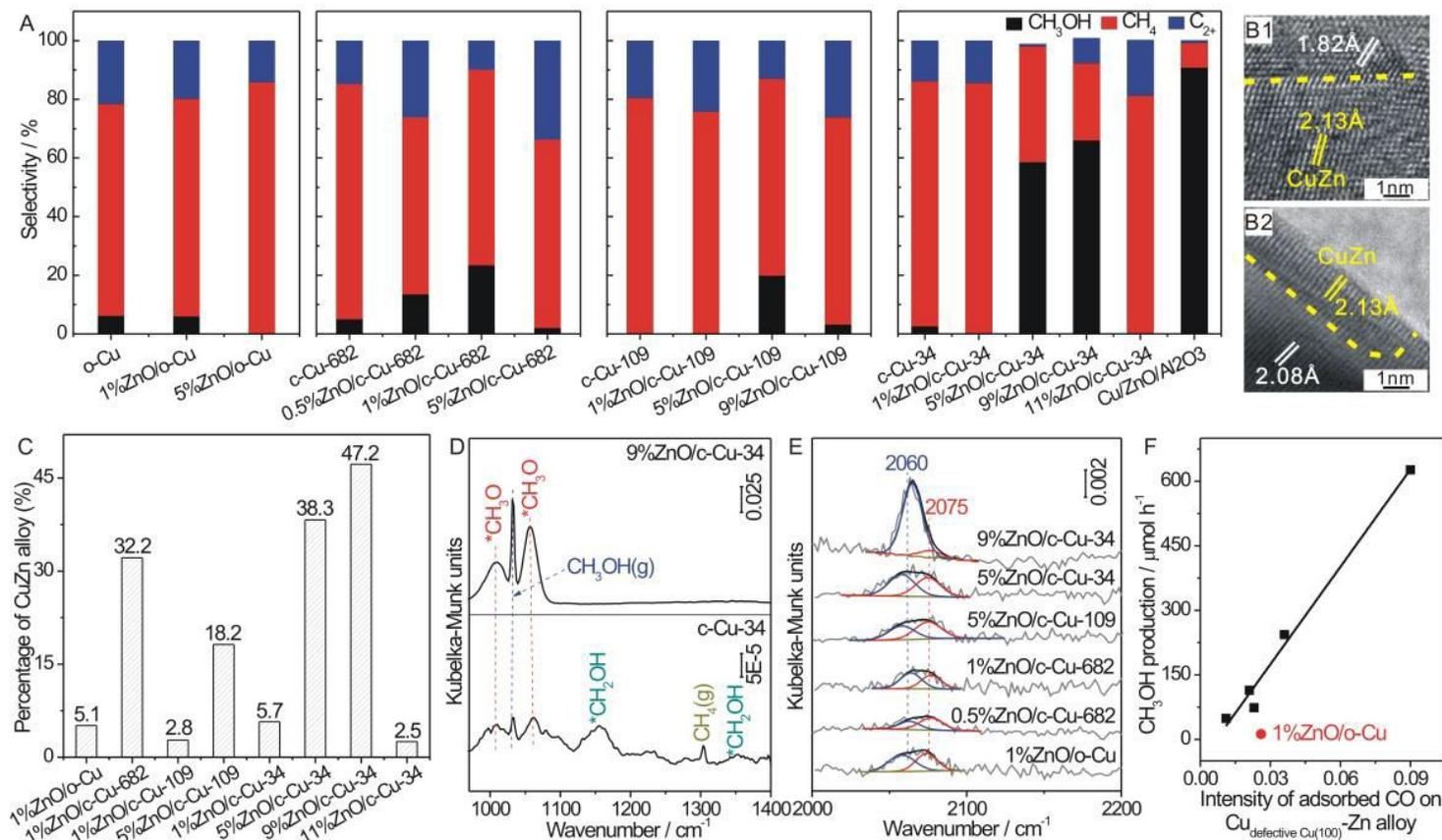


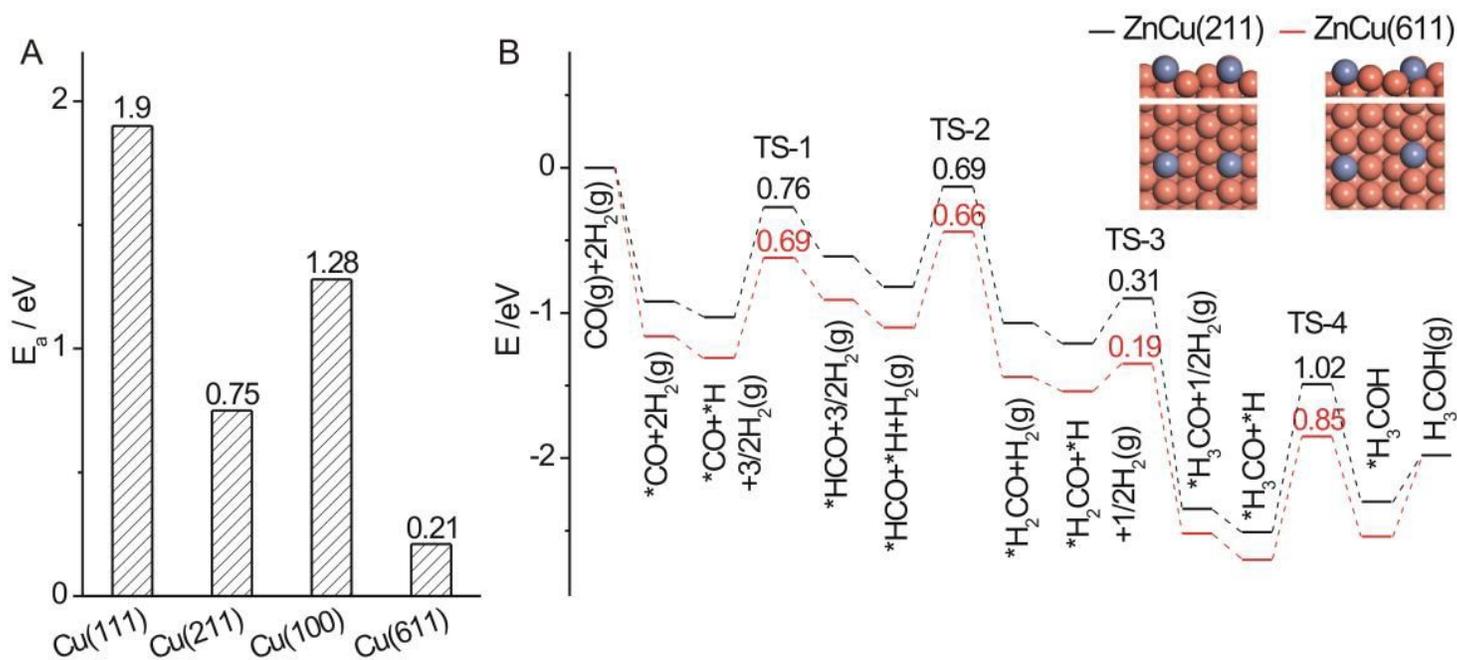
Figure 3

DFT calculations in WGS reaction. Optimized surface structures of (A1) ZnO/Cu(111), (A2) ZnO/Cu(100), (B1) 0.75 ML-OHZnO-ZnO/Cu(111) and (B2) 0.75 ML OHZnO-ZnO/Cu(100). The reddish-orange, purple, red, and white spheres represent Cu, Zn, O, and H atoms, respectively.; (C) Calculated activation energy of H<sub>2</sub>O dissociation and OHZnO-to-HCu H transfer reaction at ZnO-Cu interface as a function of the OH coverage on interfacial ZnO of ZnO/Cu(111) and ZnO/Cu(100) surfaces; (D) Calculated energy profiles of WGS reaction catalyzed by 0.75 ML OHZnO-ZnO/Cu(111) and 0.75 ML OHZnO-ZnO/Cu(100) surfaces.



**Figure 4**

Activity evaluations and mechanism investigations in CO hydrogenation reaction. (A1-A4) Catalytic performance of various Cu and ZnO/Cu catalysts in the CO hydrogenation to methanol reaction; Representative HRTEM images of used (B1) 1%ZnO/c-Cu-682 and (B2) 1%ZnO/o-Cu; Lattice fringes of 1.82, 2.08, and 2.13 Å respectively correspond to the spacing of Cu{100}, Cu{111} (JCPDS card NO. 89-2838), and Zn-Cu alloy {111} (JCPDS card NO 89-1397) crystal planes; (C) Statistical percentage of CuZn alloy nanoparticles of various ZnO/Cu catalysts derived from corresponding HRTEM images; (D) In situ DRIFTS spectra of c-Cu-34 and 9%ZnO/c-Cu-34 catalysts under 2 MPa 33.3% CO + 66.7% H<sub>2</sub> at 523 K; (E) In situ FT-IR spectra with peak-fitting results of CO adsorbed on various ZnO/Cu catalysts evaluated after CO hydrogenation to methanol reaction and (F) CH<sub>3</sub>OH productions over various ZnO/Cu catalysts as a function of the intensity of CO adsorbed on Cu<sub>defective</sub> Cu(100)-Zn alloy derived from corresponding DRIFTS results.



**Figure 5**

DFT calculations in CO hydrogenation reaction. (A) Calculated activation energy for CuZn alloy formation of ZnO on various Cu surfaces and (B) Calculated energy profiles of CO hydrogenation into methanol by ZnCu(211) and ZnCu(611) alloys. Insets show the optimized surface structures of ZnCu(211) and ZnCu(611) alloys. The reddish-orange and purple spheres represent Cu and Zn atoms, respectively.

## Supplementary Files

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- [CuZnOMsSI.pdf](#)