

# Evolution Characteristics and Simulation Prediction of Forest and Grass Landscape Fragmentation Based on the “Grain for Green” Projects on the Loess Plateau, P.R. China

Li Gu

Northwest Agriculture and Forestry University

Zhiwen Gong (✉ [gongzhiwen@nwafu.edu.cn](mailto:gongzhiwen@nwafu.edu.cn))

Northwest A&F University

Yuankun Bu

Northwest A&F University

---

## Research

**Keywords:** Land use change, Landscape fragmentation, FLUS model, “Grain for Green” Project

**Posted Date:** January 6th, 2021

**DOI:** <https://doi.org/10.21203/rs.3.rs-138854/v1>

**License:** © ⓘ This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

---



28 planning and objective evaluation of woodland and grassland spatial allocation and quality improvement,  
29 and provide an important basis for the formulation of ecological protection and land management policies.

30 **Key Words:** Land use change, Landscape fragmentation, FLUS model, “Grain for Green” Project.

## 31 **1. Introduction**

32 Forests play a vital role in the service functions of global ecosystems by providing a series of service  
33 functions such as maintaining the biodiversity, soil and water conservation, global carbon and water cycles,  
34 and climate regulation (Pan et al., 2011; Fang et al., 2014). According to the estimates of the Food and  
35 Agriculture Organization of the United Nations (FAO), the forest area in the world is about  $4.0 \times 10^7$  km<sup>2</sup>;  
36 and as the main body of the terrestrial ecosystem, it accounts for 31% of the total land area (Bonan, 2008;  
37 Keenan et al., 2015). However, with the rapid development of agriculture and cities, about 40% of the  
38 woodland has been converted to land cover types such as cropland, pastures, and other artificial building  
39 lands around the world (Achard and Hansen, 2012), and the problem of forest loss and fragmentation is  
40 increasing (Riitters et al., 2000). Forest fragmentation refers to the process in which a large continuous forest  
41 is divided into smaller, independent patches of forest (Riitters et al., 2002). At present, 70% of the forests on  
42 the five continents with forests are mainly distributed within 1 km of the edges of the woodland (Nick et al.,  
43 2015). At present, an increasing number of studies have focused on the issue of forest fragmentation.  
44 Numerous studies have shown that forest fragmentation will lead to various negative effects, such as a  
45 decrease in the regional biodiversity, increased soil erosion, increased risk of invasion by invasive species,  
46 decreased material flow in the forest ecosystem, and energy flow reduction (Cushman et al., 2012; Long et  
47 al., 2010; Riitters et al., 2000). Especially in developing countries, forest fragmentation has led to huge losses  
48 in economic, environmental, and cultural benefits (Zuidema et al., 1996). In particular, in China, the growth  
49 of forest area has attracted worldwide attention, but the problem of forest fragmentation has become  
50 increasingly prominent, which has led to low forest quality and weakened ecological service capabilities (Wu  
51 et al., 2018). These factors have made it difficult to adapt to the growing demand for ecological products in  
52 society, which is becoming one of the bottlenecks in the construction of an ecologically friendly civilization.

53 Studying the characteristics of forest fragmentation would help us understand the relationship between  
54 the landscape pattern and ecological processes and thus to determine the driving force of internal landscape  
55 fragmentation (Reddy et al., 2018; Wang et al., 2014). With the development of remote sensing (RS)

56 technology, large-scale data acquisition and dynamic monitoring capabilities can provide reliable, high-  
57 precision data (Zhao et al., 2020). The powerful spatial information processing and analysis capabilities of  
58 the geographic information system (GIS) can be used to accurately evaluate and analyze forest resources  
59 (Franklin, 2001), and the combination of GIS and RS can be used to analyze forest fragmentation and to  
60 reveal the dynamic spatial evolution (Carranza et al., 2015). Currently, traditional forest fragmentation  
61 studies have mostly used landscape pattern indexes to describe the composition and structural characteristics  
62 of landscape types. For example, using the patch size, the total patch area, the patch size variation coefficient,  
63 the shape index, the fractal dimension, and other indicators to dynamically analyze the forest landscape  
64 pattern and to reveal the important impact of human activities (D'eon and Glenn, 2015; Abdullah and  
65 Nakagoshi, 2007; Uuemaa et al., 2009). However, its main disadvantage is that the results lack a clear spatial  
66 location meaning, and therefore, they are not sufficiently practical. Clarifying the spatial process of forest  
67 fragmentation and quantitatively describing the method of forest fragmentation will help to reveal the  
68 evolution mechanism of the different forest types in the different ecological environments (Sharma et al.,  
69 2017). Based on this, a new method of landscape fragmentation process modeling based on the Forman theory  
70 was applied to the research of forest fragmentation at the national, regional, provincial, and county levels  
71 (Carranza et al., 2015). Compared with the traditional landscape index method, the landscape fragmentation  
72 model can produce a fragmentation map with a clear spatial significance, and it can reveal the type, area, and  
73 spatial distribution characteristics of the forest fragmentation in more detail (Sharma et al., 2017).

74 The Loess Plateau is one of the areas in the world with serious soil erosion and forest fragmentation  
75 (Feng et al., 2016). The coexistence of drought, water shortages, and soil erosion is a bottleneck restricting  
76 agricultural production and ecological construction (Gong et al., 2019), and extensive deforestation to expand  
77 grain planting has caused an increase in the area experiencing soil erosion to about 45.5 million ha (Zheng et  
78 al., 2005). Owing to the influence of temperature, precipitation, and altitude, the vegetation shows obvious  
79 horizontal and vertical zonal distribution differences (Gu et al., 2019). Grassland is the largest landscape type,  
80 which is widely distributed throughout the Loess Plateau and plays a vital role in the ecosystem service  
81 functions (Zhang et al., 2016). To reduce the deterioration of the ecological environment, a series of  
82 ecological protection projects have been implemented in China since 1999 (Zhang et al., 2016; Wu et al.,  
83 2014), such as The "Grain for Green" Project. The Loess Plateau took the lead as a pilot area for this project,  
84 and measures such as afforestation and the conversion of sloping cropland to forest and grassland have  
85 effectively increased the vegetation coverage (Chang et al., 2011). The total afforestation area on the Loess

86 Plateau has reached 18.906 million ha (Jiang et al., 2018), and a large amount of sloping farmland has been  
87 converted into grassland and woodland (Deng et al., 2014). Returning cropland or barren slope cropland to  
88 woodland (grassland) has been discontinued for more than 20 years (Wang et al., 2020). Land type has  
89 undergone unprecedented large scale, transformative changes, and the ecological restoration effect has been  
90 significant (Niu et al., 2019). In particular, the grassland accounts for about 40% of the total land area on the  
91 Loess Plateau (Gang et al., 2018). Grassland and woodland have contributed the most to ecosystem services  
92 on the Loess Plateau (Xu and Ding, 2018). At present, the dynamic changes in the vegetation and the  
93 ecological effects brought about by these ecological restoration projects on the Loess Plateau have attracted  
94 a great deal of attention (Kang et al., 2019; Yuan et al., 2014; Guo and Gong, 2016). However, studies have  
95 found that many ecological restoration projects simply pursue forest area growth through tree planting, or  
96 they restore the forest ecosystem to its natural state before it is disturbed (Wang et al., 2007; Chen et al.,  
97 2008), but they have not addressed the relationship between the ecosystem and the surrounding environment  
98 to achieve true rehabilitation and reconstruction (Hobbs et al., 2014). For example, the “Grain for Green”  
99 Project on the Loess Plateau has achieved initial results, but understanding the spatial distribution and  
100 landscape fragmentation is very urgent, and it needs to be improved as the ecosystem is facing huge changes  
101 (Yu et al., 2018). In particular, several studies have shown that the land use change caused by the  
102 implementation of this project has made the ecosystem more fragmented (Zhang and Yin, 2019), but there is  
103 no precedent for the combined study of the landscape fragmentation of forest and grassland ecosystems. In  
104 particular, regarding the evolution parameters of forest fragmentation, there is still a lack of clear spatial  
105 significance and a quantitative description at the regional scale. This is important for establishing regional  
106 ecological corridors, improving biodiversity, controlling soil erosion, and enhancing the continuity of the  
107 landscape.

108 In this study, we selected the Loess Plateau as the research area, and comprehensively analyzed the land  
109 use changes from 1980 to 2015. The future land use simulation (FLUS) model was used to simulate and  
110 predict the future spatial layout of the land use based on the land change influence of factors such as  
111 economics to reveal the evolution of the spatial and temporal pattern of land use on the Loess Plateau over  
112 the next 15 years. We also established a forest fragmentation model with a clear spatial meaning and  
113 quantified and analyzed the spatial distribution characteristics and the temporal evolution of the forest and  
114 grass landscape fragmentation on the Loess Plateau. The results of this study provide a reference for the  
115 spatial layout of the “Grain for Green” Project in the future and for the development of more targeted

116 strategies for forestry production and forest spatial allocation, thereby improving the implementation  
117 efficiency of the “Grain for Green” Project.

## 118 **2. Materials and Methods**

### 119 **2.1 Study Area**

120 The Loess Plateau is located in the middle and upper reaches of the Yellow River in China (33°43'-  
121 41°16'N, 100°54'-114°33'E), including the western part of the Taihang Mountains, the eastern part of  
122 Wushaoling, the northern part of Qinling, and the southern part of the Great Wall, covering 7 provinces and  
123 autonomous regions, namely, Qinghai Province, Gansu Province, the Ningxia Hui Autonomous Region, the  
124 Inner Mongolia Autonomous Region, Shaanxi Province, Shanxi Province, and Henan Province (Gang et al.,  
125 2018). The total area is about  $64 \times 10^4$  km<sup>2</sup>, accounting for 6.7% of China's land area (Figure 1). The average  
126 altitude is 1,000 to 1,500 m, and the annual precipitation is 150 to 750 mm (NDRC et al., 2010). The rainfall  
127 is generally low and unevenly distributed, and the annual average temperature is 3.6–14.3°C (Wang et al.,  
128 2020). The topography is complex, the soil nutrient content is low, and the terrain is high in the northwest  
129 and low in the southeast. Owing to long-term erosion by running water, thousands of gullies have been  
130 formed. Owing to over-exploitation of fragile ecosystems for thousands of years, the serious soil erosion, and  
131 the fragile ecological environment, the Loess Plateau has become one of the most severely eroded areas in  
132 the world.

133 The Loess Plateau is located in a transition zone between a semi-humid climate and a semi-arid and arid  
134 climate. Most of the areas are semi-arid, and the bioclimatic environment changes significantly from  
135 southeast to northwest. Except for the desert grasslands north of the Great Wall, most of the Loess Plateau is  
136 forest, forest grassland, and grassland (Chang et al., 2011). The vegetation on the Loess Plateau is dominated  
137 by forests, forest grasslands, and grasslands. Among them, the forests are mainly distributed to the south of  
138 the Pianguan-Lishi-Yan'an-Ningxian-Heshui-Gangu-Tianshui line, where the forest growth conditions are  
139 better, and to north of this line (i.e., the northwestern part of the plateau) are a variety of grassland areas, and  
140 the natural vegetation is mostly warm-temperature mesophytic shrubs and mesophytic meadows with grass  
141 and shrubs, except for the existence of forests in places with good local moisture conditions.

### 142 **2.2 Data Source and Processing**

143 The land use data for the Loess Plateau used in this study were obtained from the Geospatial Data Cloud  
144 of the Computer Network Information Center of the Chinese Academy of Sciences (<http://www.gscloud.cn>),  
145 including the three periods of 1980, 2000, and 2015, and the spatial resolution of the data is 30 m × 30 m.  
146 The land use classification was conducted using the classification system of the Chinese Academy of  
147 Sciences, and the land use types were divided into six categories: cropland, woodland, grassland, water bodies,  
148 built-up land, and unused land (Figure 1). After preprocessing the data using the ENVI 5.1 platform,  
149 including atmospheric corrections, geometric corrections, stitching, and cropping, the method of supervised  
150 classification combined with human-computer interaction visual interpretation was adopted, and Google  
151 Earth historical images were combined to perform error correction of the manually selected region of interest  
152 (ROI) after field verification. The total land use classification accuracies for 1980, 2000, and 2015 are 88.75%,  
153 89.27%, and 89.01%, respectively, and the kappa indexes are 0.85, 0.87, and 0.86, respectively, after error  
154 correction, which meets the application accuracy requirements.

155 The basic geographic information data for the Loess Plateau, including administrative boundaries, roads,  
156 railways, rivers, and rural residential areas, were obtained from the 1:1 million national basic geographic  
157 database published by the National Basic Geographic Information Center (<http://www.webmap.cn>). The data  
158 for the digital elevation model (DEM) were downloaded from the ASTER-GDEM 30 m resolution digital  
159 elevation data provided by the geospatial data cloud. The slope and aspect data were calculated based on the  
160 DEM data using the ArcGIS software. The spatial resolution of all of the data used in this study is 30 m, and  
161 the spatial coordinate system used was Krasovsky\_1940\_Albers. The meteorological data were obtained  
162 from the China Meteorological Data Network (<http://data.cma.cn/>).

## 163 **2.3 Analytical Methods**

### 164 **2.3.1 LULC change matrix**

165 The land use transfer matrix reflects the conversion directions and the conversion areas of the various  
166 land use types within the research period (Hua, 2017). Its mathematical form is

$$S_{ij} = \begin{bmatrix} S_{11} & S_{12} & \cdots & S_{1n} \\ S_{21} & S_{22} & \cdots & S_{2n} \\ \cdots & \cdots & \cdots & \cdots \\ S_{n1} & S_{n2} & \cdots & S_{nn} \end{bmatrix},$$

167

168 where  $S_{ij}$  is the area;  $n$  is the number of land use types; and  $i$  and  $j$  are the land use types at the  
169 beginning and end of the study period, respectively.

170 The annual rate of forest cover change was calculated by comparing the area under forest cover in the  
171 same region at two different times. The annual rate of change was determined using the compound interest  
172 formula (Puyravaud et al., 2003).

$$173 \quad r = \frac{1}{t_2 - t_1} \times \ln \frac{a_2}{a_1},$$

174 where  $r$  is the annual rate of change (percentage per year); and  $a_1$  and  $a_2$  are the forest cover  
175 estimates at times  $t_1$  and  $t_2$ , respectively.

### 176 **2.3.2 FLUS Models**

177 The FLUS model was established based on the system dynamics model (SDM) and the cellular automata  
178 model (CAM) by integrating the artificial neural networks (ANNs) algorithm and the roulette wheel selection  
179 (RWS) mechanism (Chen et al., 2014), which were used to deal with the uncertainty and the relative  
180 complexity of the changes in multiple types of land use under the synergy of nature, society, and economy,  
181 to create a high-precision land-use change simulation (Yang et al., 2020). Based on the analysis of the existing  
182 research on the driving factors of land use change (Gong et al., 2018; Lambin et al., 2003; Xie et al., 2017;  
183 Verburg and Overmars, 2007), we finally selected the 12 driving factors related to the natural, social, and  
184 economic aspects (Figure 1).

185 Moreover, simulation scenarios have largely been applied in the formulation and assessment of land use  
186 planning and land use policy (Cairns et al., 2016; Jenerette and Wu, 2001). In this study, based on the  
187 comprehensive consideration of the regional resource endowments and the effect and intensity of the project  
188 implementation, five simulation scenarios of returning cropland to forest and grassland were set up by  
189 adjusting the probability of the transition from cropland to woodland or grassland. These scenarios are  
190 Scenario A: keep the probability of the transition from cropland to woodland or grassland in the land use  
191 transfer matrix. Scenario B: increase the probability of the transition from cropland to woodland or grassland  
192 by 10% and 10%, respectively. Scenario C: increase the probability of the transition from cropland to  
193 woodland or grassland by 20% and 20%, respectively. scenario D: increase the probability of the transition

194 from cropland to woodland or grassland by 30% and 30%, respectively. Scenario E: increase the probability  
 195 of the transition from cropland to woodland or grassland by 40% and 40%, respectively.

196 The ANNs was based on the cellular automata model, which is composed of an input layer, a hidden  
 197 layer, and an output layer, and each neuron corresponds to a variable in the CA (Openshaw, 1998). The  
 198 essence of the simulation process is to establish the spatial relationships between the driving factors and the  
 199 initial land types (Liu et al., 2008). The specific process is described by follows:

$$200 \quad sp(p, k, t) = \sum_j w_{j,k} \times \text{sigmoid}\{net_j(p, t)\} = \frac{\sum_j w_{j,k}}{1 + e^{-net_j(p, t)}}$$

201 where  $sp(p, k, t)$  is the suitability probability of the land type  $k$  at pixel  $p$  and time  $t$ ;  $w_{j,k}$  is the weight  
 202 between the hidden layer and the output layer, which is adjusted during the training;  $net_j(p, t)$  is the signal  
 203 received by the  $j$ th hidden layer at pixel  $p$  and training time  $t$ ; and the *sigmoid* activation function is  
 204 from the hidden layer to the output layer. For the suitability probability *sigmoid()* output by the ANNs, the  
 205 sum is always 1 at iteration time  $t$  and pixel  $p$ , that is,

$$206 \quad \sum_k sp(p, k, t) = 1$$

207 The adaptive inertial competitive cellular automata based on the roulette selection is the key module of  
 208 the FLUS model, which combines the neighborhood weights, conversion rules, and suitability probability  
 209 distribution of each land type to achieve the rationalized configuration of the spatial distribution of the total  
 210 number of pixels of each land type in the future, and finally, it simulates the land use change (Li et al., 2017).  
 211 This process is essentially a loop iteration process, and it makes the output result continuously approach the  
 212 target value (Liu et al., 2017). In this study, we chose to run the iterative loop in a 9×9 Moore neighborhood,

$$213 \quad TP_{p,k}^t = P_{p,k} \times \Omega_{p,k}^t \times Inertia_k^t \times (1 - sc_{c \rightarrow k})$$

$$214 \quad \Omega_{p,k}^t = \frac{\sum_{N \times N} con(c_p^{t-1} = k)}{N \times N - 1} \times w_k$$

$$215 \quad Inertia_k^t = \begin{cases} Inertia_k^{t-1} & \text{if } |D_k^{t-1}| \leq |D_k^{t-2}| \\ Inertia_k^{t-1} \times \frac{|D_k^{t-2}|}{|D_k^{t-1}|} & \text{if } |D_k^{t-1}| < |D_k^{t-2}| < 0 \\ Inertia_k^{t-1} \times \frac{|D_k^{t-2}|}{|D_k^{t-1}|} & \text{if } 0 < |D_k^{t-2}| < |D_k^{t-1}| \end{cases}$$

216 Where  $TP_{p,k}^t$  is the comprehensive probability that the grid  $p$  changes from the initial land use type to  
 217 the land use type  $k$  at time  $t$ ;  $\Omega_{p,k}^t$  is the probability of land use type  $k$  appearing in grid  $p$ ;  $Inertia_k^t$  is the

218 inertia coefficient of ground type  $k$  at time  $t$ ;  $sc_{c \rightarrow k}$  is the conversion cost from land use type  $c$  to land use  
219 type  $k$ ;  $\sum_{N \times N} con(c_p^{t-1} = k)$  is the total number of grids occupied by land type  $k$  under the  $N \times N$  Moore  
220 window at time  $t-1$ ;  $w_k$  is the variable weight between the different land use types;  $N$  is the molar  
221 neighborhood value in the CA; and  $D_k^{t-1}$  is the difference between the macroscopic demand and the  
222 allocated amount of land use type  $k$  at time  $t-1$ .

223 The FLUS model simulation accuracy uses the kappa coefficient and the figure of merit coefficient  
224 (FOM). The kappa coefficient is between 0 and 1. Generally, when  $kappa > 0.5$ , the model's simulation  
225 accuracy is poor; when  $0.5 < kappa \leq 0.75$ , the model's simulation accuracy is general; and when  $0.75 < kappa \leq 1$ ,  
226 the model's simulation accuracy is high (Liu et al., 2017). Theoretically, for the FOM coefficient, the larger  
227 the parameter value, the better the simulation effect and the higher the accuracy. However, practical  
228 verification shows that the results are mostly within 0.3, and are most commonly 0.1 to 0.2 (Pontius and  
229 Millones, 2011).

### 230 2.3.3 Forest Fragmentation Analysis

231 Forest fragmentation model can detect landscape changes and can clarify the corresponding spatial  
232 process. It is constructed using the quantitative characteristics of the boundaries between adjacent forest  
233 pixels and a moving window algorithm (odd numbers, such as  $3 \times 3$  and  $9 \times 9$ ) (Parent, 2009). In this study, a  
234 forest fragmentation model was established to evaluate the forest and grass fragmentation on the Loess  
235 Plateau using the ArcGIS Landscape Fragmentation tool (LFT v2.0) (Vogt et al., 2007), and the land use  
236 types were reclassified as forest and non-forest using the ArcGIS spatial analysis tool (Parent, 2009). In this  
237 study, the forest class contained woodland and grassland, and non-forest class contained cropland, built-up  
238 land, water, and unused land. The forest fragmentation was divided into six categories: patch, edge,  
239 perforated, and core (small, medium, and large) using a specified edge width of 100 m (Lang and Tiede,  
240 2003), which was identified by referencing observations made during field visits.

## 241 3. Results

### 242 3.1 Temporal and Spatial Changes in the Landscape Pattern

243 Woodland, grassland, and cropland are the three main landscape types on the Loess Plateau, and their  
244 areas account for about 90% of the total area (Tables 2\_3). Among them, the area of grassland is the largest,  
245 accounting for more than 40%. Cropland and woodland are next, and the other types account for only about

246 10% of the total area, which is a small proportion and is scattered. The grassland and cropland are widely  
247 distributed throughout the Loess Plateau, while the woodland is mostly distributed in the southeast, and the  
248 unused land is concentrated in the northwest (Figure 1).

249 Land use type conversions have resulted in major changes. The changes in cropland and woodland are  
250 the most obvious. From 1980 to 2000, the area of cropland increased, and the new cropland was mainly  
251 converted from grasslands. From 2000 to 2015, the area of cropland decreased (-0.31%), and a large amount  
252 of sloping cropland was converted into grassland and woodland. Specifically, the conversion of cropland into  
253 woodland was mainly concentrated in Shaanxi Province, followed by northern Shanxi Province. The  
254 conversion of cropland to grassland was mainly concentrated at the junction of Shanxi, Shaanxi, and Inner  
255 Mongolia, and in the northeastern part of Gansu Province.

256 In contrast, the woodland and grassland area initially decreased and then increased. The woodland and  
257 grassland area decreased during 1980-2000, and the average annual rate of change of the woodland and  
258 grassland was -0.03%. From 2000 to 2015, the woodland and grassland area decreased, and the main source  
259 of increase was due to conversion from cropland, which was mainly due to increased forest protection and  
260 the vigorous implementation of policies, such as returning cropland to woodland and grassland. However,  
261 due to less conversion of woodland and more conversion of grassland, the total woodland area increased and  
262 the grassland area decreased. The conversion rate of the woodland area was 0.33%, and that of grassland was  
263 -0.06%.

264 Moreover, the expansion of built-up land was the most obvious, which was another notable feature of  
265 landscape changes on the Loess Plateau. The increase in built-up land was mainly due to the conversion of  
266 cropland. The average annual rate of change of built-up land reached 0.49% from 1980 to 2000, and then, it  
267 decreased to 3.15% after 2000. With the expansion of built-up land, the reduction in unused land area was  
268 relatively large. The average annual rate of change was up to -0.56% from 2000 to 2015.

### 269 **3.2 Restoration and Loss of Woodland and Grassland**

270 The area of woodland and grassland decreased from 365,486.72 km<sup>2</sup> to 363,448.47 km<sup>2</sup> from 1980 to  
271 2000, and the loss of woodland and grassland was relatively serious with an annual rate of change reaching  
272 -0.10% (Table 4). The implementation of the “Grain for Green” Project greatly affected the land use structure.  
273 In contrast, the land use change was dominated by the conversion of cropland into woodland and grassland  
274 from 2000 to 2015, which was a stage of forest and grass restoration. The area of woodland and grassland

275 increased by 3,543.60 km<sup>2</sup>, with an average annual rate of forest and grass restoration reaching 0.56%. The  
276 cropland used for the ecological conversion was mainly cultivated slope land and dry land in hilly areas with  
277 slopes of greater than 25°, and it was mainly distributed in semi-arid areas, followed by semi-humid areas,  
278 with a small amount distributed in arid areas.

### 279 **3.3 Accuracy Test and Prediction Simulation for the FLUS Model**

280 The total numbers of pixels of each land use type in 2015 and 2030 were predicted using the Markov  
281 matrix based on the data for 2000 and 2015 (Table 5). The simulated values for 2015 were used to test the  
282 prediction accuracy of the Markov chain, and the simulated values for 2030 were used as the total pixel  
283 numbers in the future for the FLUS model. By comparing the simulation results with the actual land use  
284 status in 2015, it was found that the kappa coefficient was 0.85 for a 1% random sampling, and the FOM  
285 coefficient was 0.12, so each test was within a reasonable range and the simulation results are in line with  
286 the objective changes in the current social and economic development.

287 Under the five different implementation scenarios for the “Grain for Green” projects, the land use  
288 structure predicted by the FLUS model continued to change in 2030, but it would still be focused on cropland,  
289 woodland, and grassland. Around residential areas, built-up land will continue to consume the cropland,  
290 grassland, and other land, but the trend will slow down. The woodland area will increase, mainly through the  
291 conversion of the surrounding cropland; and the water area will remain stable over the next ten years (Figure  
292 3, Table 5).

293 The area of cropland is the largest under scenario A by 2030, i.e., 19,927.39 km<sup>2</sup>. Owing to the impact  
294 of the different intensities of returning cropland to woodland and grassland, the area of cropland is the  
295 smallest under scenario E by 2030, i.e., 19,550.73 km<sup>2</sup>. Both woodland and grassland will continue to grow  
296 over the next 15 years. Among them, the woodland and grassland have the least areas under Scenario A., and  
297 they have the highest areas under Scenario E respectively. The built-up land will continue to grow  
298 continuously. However, overall, the amount of built-up land in 2030 does not vary significantly under the  
299 five different land use scenarios.

### 300 **3.4 Change in Forest Fragmentation**

301 The forest and grass fragmentation on the Loess Plateau is dominated by edge and core type. The core  
302 type fragmentation has a concentrated distribution and is the largest, accounting for more than 75% of the  
303 entire area of woodland and grassland. The percentage of perforated and patch type is very small, less than

304 5%. In different years, the areas of the various spatial process types transformed into each other. From a  
305 spatial perspective, except for in Inner Mongolia, the patch type fragmentation was widely distributed in the  
306 other provinces, and the forest and grass landscapes in the northwest and central areas of the Loess Plateau  
307 were most severely fragmented, and it was mostly concentrated in cities and forests, cropland, and in the  
308 transition zone between the city and the grassland. Moreover, the gravity center of the fragmentation has  
309 basically not changed, but there has been an overall westward shift. The core type fragmentation was mainly  
310 distributed in Shaanxi Province and in the Luliang Mountains and Taihang Mountains in Shanxi Province.  
311 The perforated and edge type fragmentation were relatively scattered.

312 The fragmentation degree generally initially increased in intensity and then slowed down (Figure 4),  
313 that is, the core area continued to increase, and the proportion of patch and edge areas continued to decrease.  
314 The most severe fragmentation occurred around 2000, with the patch and edge areas accounting for the  
315 highest proportions (1.30% and 23.38%, respectively) (Table 6) and the core area accounting for a relatively  
316 low proportion (67.21%). After 2000, the edge and patch areas exhibited an overall decrease. The patch area  
317 decreased from 1.28% to 1.14%, and the edge area decreased from 22.89% to 20.32%. The core area  
318 gradually increased, with a particularly large core area increase of 3.7% from 1980 to 2015, which dominated  
319 the absolute advantage. The results obtained by coupling the FLUS model and the landscape fragmentation  
320 model to predict the fragmentation of the forest and grass landscapes under different intensities of returning  
321 cropland to woodland and grassland indicate that the degree of landscape fragmentation gradually decreased.  
322 Among them, the percentage of the large core area of the returning cropland to woodland and grassland  
323 (intensity of 30%) was the highest, reaching 70.72%, and the proportion of patch area was the lowest (1.11%).

## 324 **4. Discussion and Conclusions**

### 325 **4.1 Factors Affecting Land Use Change**

326 The land use has changed dramatically in the last 35 years. The area of cropland initially increased and  
327 then decreased, and the area of woodland and grassland initially decreased and then increased, but the overall  
328 pattern of land use and land cover did not change significantly. The pattern was grassland > cropland >  
329 woodland > unused land > built-up land > water bodies. Human activities are one of the leading factors in  
330 the dynamic changes in land use patterns (Wang et al., 2010; Verburg and Chen, 2010). The Loess Plateau  
331 has also begun rapid, large-scale urbanization due to the rapid social and economic development, population  
332 growth, and the implementation of the Northwest Development Strategy (Jiang et al., 2016). This has directly

333 affected the expansion of built-up land, resulting in the encroachment of other land use types, such as  
334 cropland, woodland, grassland, and unused land, and affecting the structure, process, and functions of the  
335 forest ecosystem. At present, the built-up land on the Loess Plateau is still expanding at a relatively high  
336 speed (Wang et al., 2007). However, the “Grain for Green” Project has resulted in a typical land use change  
337 process. Since the project was implemented, a large amount of arable slope land has been converted into  
338 grassland and woodland. Before the implementation of this project, the woodland area decreased by 544.06  
339 km<sup>2</sup>, with an average annual decrease of -0.03%; and the grassland area decreased by 1494.19 km<sup>2</sup>, with an  
340 average annual decrease of -0.03% (Table 2). After the implementation of this project, the woodland area  
341 increased by 6461.12 km<sup>2</sup>, with an average annual increase of 0.33%; and the average annual decrease in the  
342 grassland area increased by -0.06% (Table 3). The effect of the vegetation restoration was significant, and  
343 soil erosion has been effectively controlled. Moreover, it had obvious effects on the social development and  
344 ecological environment. However, the area returned to grassland accounted for about 10% in the process of  
345 returning cropland to woodland (grassland), but only 1% actually stabilized (Jiang et al., 2016). In addition,  
346 it should be noted that the conversion of cropland to other land types is not entirely due to the ecological  
347 conversion of cropland (Wang et al., 2010). Cropland abandonment and the conversion of cropland into  
348 woodland and grassland also occurred, but the vegetation was degraded due to improper management  
349 (McVicar et al., 2007). Accurately identifying the spatial scope of the “grain for Green” Project and  
350 determining a way of promoting grassland construction and improving the effectiveness of grassland  
351 construction is an important issue that deserves more attention.

352 Climate is another factor affecting land use change (Zhang et al., 2009). Affected by global climate  
353 change, the temperature on the Loess Plateau has increased to a certain extent, with an average temperature  
354 increase rate of 0.033°C/a, which means that the temperature has risen by approximately 1.32°C over the last  
355 40 years. This is much higher than the average growth rate of the global temperature (0.013°C/a) and that in  
356 China (0.022°C/a), so the climate warming trend is extremely significant (Wang et al., 2013). Sun et al. (2016)  
357 found that in the central and southeastern parts, the temperature increase promotes vegetation growth, while  
358 in the northwest, it inhibits vegetation growth. In particular, after the implementation of the project, the  
359 precipitation on the Loess Plateau significantly increased at a rate of 5.16 mm·a<sup>-1</sup> (Deng et al., 2014), which  
360 was vital to the ecological restoration of the Loess Plateau since it provided water for large-scale vegetation  
361 restoration, increased the soil reservoir capacity, simultaneously relieved the drought and water shortages,  
362 and decreased soil erosion.

## 363 **4.2 Prediction of Land Use Changes**

364 An objective and reasonable simulation of future land use can not only grasp the change law and  
365 development, but it could also be used to test the rationality of the current social and economic policies  
366 concerning land use change orientation (Seto et al., 2002). Land use change is a nonlinear compound  
367 fluctuating process (Zhang et al., 2016), and the driving factors are the basis for affecting the intensity of  
368 land expansion and causing land use changes, which are becoming more and more complex with the  
369 continuous development of social and economic factors (Verburg et al., 2002). Its rationality and  
370 representativeness are important to the accuracy of the mode. The FLUS model has been used in land use  
371 simulations on the national scale. The Kappa coefficient is 0.67, the overall simulation accuracy is 0.75, and  
372 the model confidence is within a reasonable interval of 1 (Liu et al., 2017). Our results show that the Kappa  
373 coefficient reached 0.9181 at 1% random sampling. Moreover, we also used the FoM coefficient, which can  
374 describe the simulation accuracy better than the Kappa coefficient. In theory, the larger the parameter value,  
375 the better the simulation effect and the higher the accuracy. However, practical verification revealed that the  
376 results are mostly within 0.3 (Pontius et al., 2008), and the results from 0.1 to 0.2 are the most common (Chen  
377 et al., 2014). Comparing the land use simulation of the Loess Plateau in 2015 with the actual situation, the  
378 FoM coefficient is 0.12, which is within a reasonable range. Generally speaking, all of the tests are within a  
379 reasonable range, which is in line with the land use changes, and the driving factors selected in this study  
380 have a good ability to explain the spatial layout of the land use. In this study, the results have practical value  
381 and are beneficial to optimizing the spatial pattern of land use on the Loess Plateau, especially the spatial  
382 patterns of the woodland and grasslands. However, we can only verify that the method has good suitability  
383 for this research, whether it is universal or not requires additional practical demonstrations. Because this  
384 method solidifies the change direction and intensity of the various land use types to a certain extent  
385 (Meyfroidt et al., 2013), it ignores the uncertainty in and dynamic influences of the driving factors on the  
386 land expansion capacity under the temporal and spatial differences (Wang et al., 2019). In the future, the  
387 determination of the driving factors should comprehensively consider the diversity, heterogeneity, temporal  
388 and spatial differences, and dynamics.

## 389 **4.3 Fragmentation of forest and grass land**

390 The dynamic process of forest and grass fragmentation before and after the project implementation was  
391 analyzed using the landscape fragmentation model, which could make up for the shortcomings of the existing

392 forest fragmentation studies, which have mostly focused on the static spatial pattern at a certain point in time  
393 and have ignored the spatial process (Ochoa-Gaona, 2001). Fragmentation was common and severe in some  
394 areas over the last 35 years. The transformation of woodland and grassland into cropland plays a leading role  
395 in landscape fragmentation, and the rapid expansion of built-up land has also increased the extent of  
396 landscape fragmentation. However, since the implementation of the project, the proportion of the area of  
397 woodland and grassland has increased significantly, the degree of landscape fragmentation has decreased.  
398 The main feature of this change is that the core area has increased, which shows that the impact of human  
399 disturbance is very significant. In particular, the patches and edges are concentrated in the transition zones  
400 between the city and the woodland, the cropland and the woodland, and the shrub land and the woodland  
401 (Figure 4). Compared with previous research results, the degree of landscape fragmentation determined in  
402 this study is relatively low (Yang et al., 2018). The main reason for this is that the woodland and grassland  
403 were analyzed together in order to investigate the landscape fragmentation, so the core area was larger. The  
404 woodland and grassland on the Loess Plateau have unique distribution characteristics, and the grassland  
405 occupies an important position in the ecosystem service functions (Zhang et al., 2020). If the degree of forest  
406 fragmentation is analyzed separately, the fragmentation will be more severe.

407 Decreasing forest fragmentation is a long-term and complex process (Riitters et al., 2020). The  
408 relationship between social and economic development and forest fragmentation is complex and diverse  
409 rather than linear (Estoque and Murayama, 2016). The development of eco-tourism, infrastructure  
410 construction, and cropland expansion aggravate forest fragmentation; while socioeconomic development has  
411 a positive impact on alleviating forest fragmentation through forest restoration and growth (Su et al., 2012).  
412 Because of this, measures need to be taken to avoid the negative impacts of social and economic development  
413 on forest fragmentation. By coupling the FLUS model and the landscape fragmentation model, we set  
414 different intensities for the conversion of cropland, which enabled the more detailed identification and  
415 prediction of the landscape fragmentation types, areas, and spatial distributions. As time progressed, the  
416 degree of landscape fragmentation generally initially intensified and then slowed down, which indicates that  
417 project implementation could decrease forest fragmentation, especially under the 30% ratio of returning  
418 cropland to woodland and grassland. This also indicates that the process of forest fragmentation cannot be  
419 ignored and corresponding intervention measures should be taken.

420 Objectively speaking, determining a way to promote forest growth has always been the primary  
421 direction of forestry policy and research in China. However, determining a way to decrease forest

422 fragmentation has not yet attracted the full attention of scholars, and the existing studies are not sufficient to  
423 support systematic policy management of forests practices. It is expected that improving the integrity and  
424 methods of forest ecosystem management will be an important task for a long period of time in the future,  
425 which should follow internal laws and should overcome forest fragmentation in forestry policy practices and  
426 academic research.

## 427 **5. Acknowledgements**

428 This project was supported by the China Postdoctoral Fund (Grant No.2019M663843), and the Shaanxi  
429 Province Natural Science Foundation (Grant No.2013JQ5013). The authors thank all of the individuals who  
430 provided helpful suggestions and critical comments on this manuscript.

## 431 **6. Conflict of Interest**

432 The authors have no conflicts of interest to declare.

## 433 **7. Additional Information**

434 Li Gu prepared the figures and tables and wrote the main manuscript text. Zhiwen Gong was mainly  
435 responsible for the content of the thesis, revision, and language adaptation; and she is the corresponding  
436 author. All of the authors reviewed the manuscript, and Yuankun Bu prepared the figures and tables. All of  
437 the authors have reviewed the manuscript.

## 438 **References**

- 439 [1] Pan, Y.D., Birdsey, R.A., Fang, J.Y., Houghton, R., Kauppi, P.E., Kurz, W.A., Phillips, O.L., Shvidenko,  
440 A., Lewis, S.L., Canadell, J.G., Ciais, P., Jackson, R.B., Pacala, S.W., McGuire, A.D., Piao, S.L.,  
441 Rautiainen, A., Sitch, S., Hayes, D., 2011. A large and persistent carbon sink in the world's forests.  
442 *Science*, 333, 988-993. <http://dx.doi.org/10.1126/science.1201609>.
- 443 [2] Fang, J.Y., Guo, Z.D., Hu H.F., Kato, T., Muraoka, H., Son, Y., 2014. Forest biomass carbon sinks in  
444 East Asia, with special reference to the relative contributions of forest expansion and forest growth.  
445 *Global Change Biology*, 20(6), 2019-2030. <http://dx.doi.org/10.1111/gcb.12512>.
- 446 [3] Keenan, R.J., Reams, G.A., Achard, F., Freitas, J.V., Grainger, A., Lindquistf, E., 2015. Dynamics of  
447 global forest area: Results from the FAO Global Forest Resources Assessment 2015. *Forest Ecology*  
448 *and Management*, 352(7): 9-20. <http://dx.doi.org/10.1016/j.foreco.2015.06.014>.
- 449 [4] Achard, F., Hansen, M.C., 2012. *Global Forest Monitoring from Earth Observation*. CRC Press, Boca  
450 Raton.
- 451 [5] Bonan, G.B., 2008. Forests and climate change: forcing, feedbacks, and the climate benefits of forests.  
452 *Science*, 320, 1444-1449. <http://dx.doi.org/10.1126/science.1155121>.
- 453 [6] Riitters, K.H., Wickham, J.D., O'Neill, R.V., Jones, K.B., Smith, E.R., 2000. Global-scale patterns of  
454 forest fragmentation. *Conservation Ecology*, 4, 1924-1925. <http://dx.doi.org/10.5751/ES-00209-040203>.

- 455 [7] Riitters, K.H., Wickham, J.D., O'Neill, R.V., Jones, K.B., Smith, E.R., Coulston, J.W., Wade, T.G.,  
456 Smith, J.H., 2002. Fragmentation of Continental United States Forests. *Ecosystems*, 5, 815-822.  
457 <http://dx.doi.org/10.1007/s10021-002-0209-2>.
- 458 [8] Cushman, A.S., Shirk, A., Landguth, E.L., 2012. Separating the effects of habitat area, fragmentation  
459 and matrix resistance on genetic differentiation in complex landscapes. *Landscape Ecology*, 27, 369-  
460 380. <http://dx.doi.org/10.1007/s10980-011-9693-0>.
- 461 [9] Long, J.A., Nelson, T.A., Wulder, M.A., 2010. Characterizing forest fragmentation: Distinguishing  
462 change in composition from configuration. *Applied Geography*, 30, 426-435.  
463 <http://dx.doi.org/10.1016/j.apgeog.2009.12.002>.
- 464 [10] Haddad, N. M., Brudvig, L. A., Clobert, J., Davies, K. F., Gonzalez, A., Holt, R. D., Lovejoy, T. E.,  
465 Sexton, J. O., Austin, M. P., Collins, C. D., 2015. Habitat fragmentation and its lasting impact on Earth's  
466 ecosystems. *Science Advance*, 1(2), e1500052. <http://dx.doi.org/10.1126/sciadv.1500052>.
- 467 [11] Zuidema, P.A., Sayer, J.A., Dijkman, W., 1996. Forest fragmentation and biodiversity: The case for  
468 intermediate-sized conservation areas. *Environmental Conservation*, 23, 290-297.  
469 <http://dx.doi.org/10.1017/s037689290003914x>.
- 470 [12] Wang, X., Blanchet, F.G., Koper, N., 2014. Measuring habitat fragmentation: An evaluation of  
471 landscape pattern metrics. *Methods in Ecology and Evolution*, 5, 634-646.  
472 <http://dx.doi.org/10.1111/2041-210X.12198>.
- 473 [13] Zhao, Q.J., Wen, Z.M., Chen, S.L., Ding, S., Zhang, M.X., 2020. Quantifying Land Use/Land Cover  
474 and Landscape Pattern Changes and Impacts on Ecosystem Services. *International Journal of*  
475 *Environmental Research and Public Health*, 17, 126. <http://dx.doi.org/10.3390/ijerph17010126>.
- 476 [14] Franklin, S.E., 2001. *Remote Sensing for sustainable forest management*. Lewis Publishers, USA.
- 477 [15] Carranza, M.L., Hoyosc, L., Frate, L., Acosta, A.T.R., Cabido, M., 2015. Measuring forest  
478 fragmentation using multitemporal forest cover maps: Forest loss and spatial pattern analysis in the Gran  
479 Chaco, central Argentina. *Landscape and Urban Planning*, 143, 238-247.  
480 <http://dx.doi.org/10.1016/j.landurbplan.2015.08.006>.
- 481 [16] D'eon, R.G., Glenn, S.M., 2015. The influence of forest harvesting on landscape spatial patterns and  
482 old-growth-forest fragmentation in southeast British Columbia. *Landscape ecology*, 20(1), 19-33.  
483 <http://dx.doi.org/10.1007/s10980-004-0286-z>.
- 484 [17] Abdullah, S.A., Nakagoshi, N., 2007. Forest fragmentation and its correlation to human land use change  
485 in the state of Selangor, peninsular Malaysia. *Forest Ecology and Management*, 241(1-3), 39-48.  
486 <http://dx.doi.org/10.1016/j.foreco.2006.12.016>.
- 487 [18] Uuemaa, E., Antrop, M., Roosaare, J., Marja, R., Mander, Ü., 2009. Landscape metrics and indices: An  
488 overview of their use in landscape research. *Living Reviews in Landscape Research*, 3, 1.  
489 <http://dx.doi.org/10.12942/lrlr-2009-1Villard>.
- 490 [19] Sharma, M., Chakraborty, A., Garg, J.K., Josh., PK., 2017. Assessing forest fragmentation in north-  
491 western Himalaya: a case study from Ranikhet forest range, Uttarakhand, India. *Journal of Forest*  
492 *Research*, 28(2):319-327. <http://dx.doi.org/10.1007/s11676-016-0311-5>.
- 493 [20] Gong, Z.W., Yao, S.B., Gu, L., 2019. Effects of Bio-physical, Economic and Ecological policy on forest  
494 transition: A Spatial Econometric Analysis for Loess Plateau, P.R. China. *Journal of Cleaner Production*,  
495 1-16. <http://dx.doi.org/10.1016/j.jclepro.2019.118571>.
- 496 [21] Gu, L., O'Hara, K.L., Li, W.Z., Gong, Z.W., 2019. Spatial Patterns and Interspecific Associations among  
497 Trees at Different Stand Development Stages in the Natural Secondary Forests on the Loess Plateau,  
498 China. *Ecology and Evolution*, 1-12. <http://dx.doi.org/10.1002/ece3.5216>.

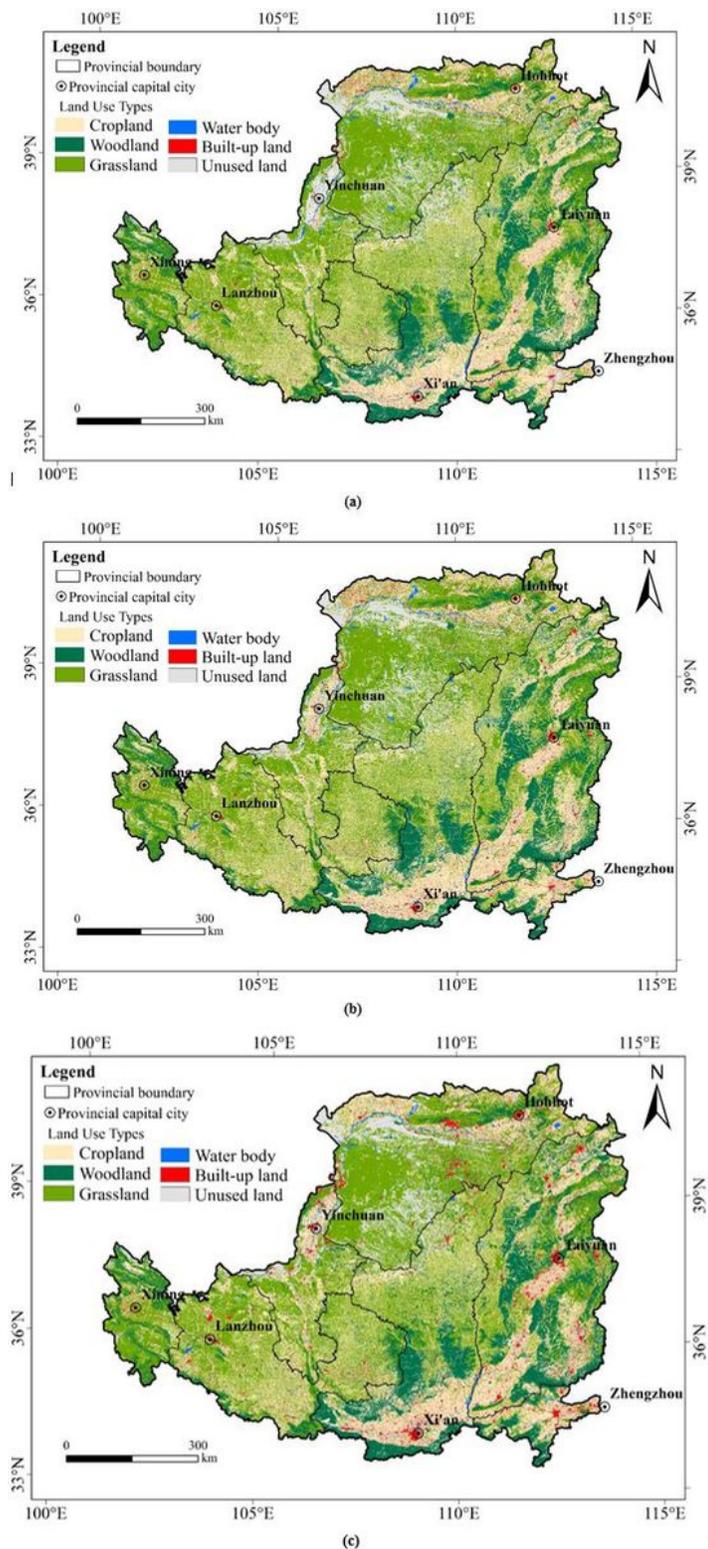
- 499 [22] Zhang, X., Zhao, W.W., Liu, Y.X., Fang, X.N., Feng, Q., 2016. The relationships between grasslands  
500 and soil moisture on the Loess Plateau of China: A review. *Catena*, 145: 56-67. [http://dx.doi.org/  
501 10.1016/j.catena.2016.05.022](http://dx.doi.org/10.1016/j.catena.2016.05.022).
- 502 [23] Wu, Z., Wu, J., He, B., Liu, J., Wang, Q., Zhang, H., Liu, Y., 2014. Drought offset ecological restoration  
503 program- induced increase in vegetation activity in the Beijing- Tianjin Sand Source Region, China.  
504 *Environmental Science & Technology*, 48, 12108-12117. [https://doi.org/10.1021/  
505 es502408n](https://doi.org/10.1021/es502408n).
- 506 [24] Chang, R.Y, Fu, B.J., Liu, G.H., Liu, S.G., 2011. Soil Carbon Sequestration Potential for "Grain for  
507 Green" Project in Loess Plateau, China. *Environment management*, 48(6): 1158-1172.  
<https://doi.org/10.1007/s00267-011-9682-8>.
- 508 [25] Wang, Y.H, Brandt, M., Zhao, M.F., Xing, K.X., Fensholt, R., 2020. Do afforestation projects increase  
509 core forests? Evidence from the Chinese Loess Plateau. *Ecological Indicators*, 117:1-11.  
510 <https://doi.org/10.1016/j.ecolind.2020.106558>.
- 511 [26] Deng, L., Shanguan, Z.P., Sweeney, S., 2014. "Grain for Green" driven land use change and carbon  
512 sequestration on the Loess Plateau, China. *Scientific Reports*, 4, 7039.  
513 <https://doi.org/10.1038/srep07039>.
- 514 [27] Niu, Q.F, Xiao, X.M., Zhang, Y., Qin, Y.W., Zhou, X.M., 2019. Ecological engineering projects  
515 increased vegetation cover, production, and biomass in semiarid and subhumid Northern China. *Land  
516 Degradation & Development*, 30(13): 1620-1630. <https://doi.org/10.1002/ldr.3351>.
- 517 [28] Gang, C.C., Zhao, W., Zhao, T., Zhang, Y., Gao, X., Wen, Z., 2018. The impacts of land conversion  
518 and management measures on the grassland net primary productivity over the Loess Plateau, Northern  
519 China. *Science of the Total Environment*, 645: 827-836. <https://doi.org/10.1016/j.scitotenv.2018.07.161>.
- 520 [29] Kang, H., Li, H.H., Zhao, S.J., 2019. Land use pattern change and forecast analysis of energy and  
521 chemical industry base in the Loss Plateau. *Fresenius Environmental Bulletin*, 28(4): 2835-2841.
- 522 [30] Hobbs, R.J., Higgs. E., Hall, C.M., Bridgewater, P., Chapin, F.S., Ellis, E.C., Ewel, J.J., Hallett, L.M.,  
523 Harris, J., Hulvey, K.B., 2016. Managing the whole landscape: historical, hybrid, and novel ecosystems.  
524 *Frontiers in Ecology and the Environment*, 12(10): 557-564. <https://doi.org/10.1890/130300>.
- 525 [31] Zhang, D.J., Yin, R.S., 2019. Spatial characteristics of degraded land and their implications to the design  
526 and implementation of landscape restoration programs: West China as an example. *Forest Policy and  
527 Economics*. 107. <https://doi.org/10.1016/j.forpol.2019.05.011>.
- 528 [32] Hua, A.K., 2017. Application of CA-Markov model and land use/land cover changes in Malacca River  
529 watershed, Malaysia. *Applied Ecology and Environmental Research*, 15(4), 605-622.  
530 [https://doi.org/10.15666/aeer/1504\\_605622](https://doi.org/10.15666/aeer/1504_605622).
- 531 [33] Puyravaud, J.P., Dufour, C., Aravajy, S., 2003. Rain forest expansion mediated by successional  
532 processes in vegetation thickets in the Western Ghats of India. *Journal of Biogeography*, 30(7): 1067-  
533 1080. <https://doi.org/10.1046/j.1365-2699.2003.00882.x>.
- 534 [34] Chen, Y.M., Li, X., Liu, X.P., Ai, B., 2014. Modeling urban land-use dynamics in a fast developing city  
535 using the modified logistic cellular automaton with a patch-based simulation strategy. *International  
536 Journal of Geographical Information Science*, 28(2), 234-255.  
537 <https://doi.org/10.1080/13658816.2013.831868>.
- 538 [35] Yang, Y.Y., Bao, W.K., Liu, Y.S., 2020. Scenario simulation of land system change in the Beijing-  
539 Tianjin-Hebei region. *Land Use Policy*, 96, 104677. <https://doi.org/10.1016/j.landusepol.2020.104677>.
- 540 [36] Gong, J., Hu, Z., Chen, W., Liu, Y., Wang, J., 2018. Urban expansion dynamics and modes in  
541 metropolitan Guangzhou, China. *Land Use Policy*, 72, 100-109.  
542 <http://dx.doi.org/10.1016/j.landusepol.2017.12.025>.

- 543 [37] Lambin, E.F., Geist, H.J., Lepers, E., 2003. Dynamics of land-use and land-cover change in tropical  
544 regions. *annual review of environment and resources*, 28 (1), 205-241.  
545 <http://dx.doi.org/10.1146/annurev.energy.28.050302.105459>.
- 546 [38] Xie, H., He, Y., Xie, X., 2017. Exploring the factors influencing ecological land change for China's  
547 Beijing–Tianjin–Hebei region using big data. *Journal of Cleaner Production*. 142, 677-687.  
548 <http://dx.doi.org/10.1016/j.jclepro.2016.03.064>.
- 549 [39] Verburg, P.H., Overmars, K.P., 2009. Combining top-down and bottom-up dynamics in land use  
550 modeling: exploring the future of abandoned croplands in Europe with the Dyna-CLUE model.  
551 *Landscape Ecology*, 24 (9), 1167. <http://dx.doi.org/10.1007/s10980-009-9355-7>.
- 552 [40] Liu, X., Li, X., Shi, X., Wu, S., Liu, T., 2008. Simulating complex urban development using kernel-  
553 based non-linear cellular automata. *Ecological Modelling*, 211, 169-181.  
554 <http://dx.doi.org/10.1016/j.ecolmodel.2007.08.024>.
- 555 [41] Openshaw, S., 1998. Neural network, genetic, and fuzzy logic models of spatial interaction.  
556 *Environment and Planning A*, 30, 1857-1872. <http://dx.doi.org/10.1068/a301857>.
- 557 [42] Li, X, Chen, G., Liu, X., 2017. A new global land-use and land-cover change product at a 1-km  
558 resolution for 2010 to 2100 based on human- environment interactions. *Annals of the American*  
559 *Association of Geographers*, 2017, 107(5): 1040-1059.
- 560 [43] Liu, X., Liang, X., Li, X., Xu, X.C., Wang, S.J., 2017. A future land use simulation model (FLUS) for  
561 simulating multiple land use scenarios by coupling human and natural effects. *Landscape and Urban*  
562 *Planning*, 2017, 168: 94-116. <http://dx.doi.org/10.1016/j.landurbplan.2017.09.019>.
- 563 [44] Pontius, O.G, Millones, M., 2011. Death to Kappa: birth of quantity disagreement and allocation  
564 disagreement for accuracy assessment. *International Journal of Remote Sensing*, 32(15), 4407-4429.  
565 <http://dx.doi.org/10.1080/01431161.2011.552923>.
- 566 [45] Parent, J., 2009. *Landscape fragmentation analysis (version 2)*. University of Connecticut Press, USA.
- 567 [46] Vogt, P., Riitters, K., Estreguil, C, Kozak, J., Wade, T.G., Wickham, J.D., 2007. Mapping spatial  
568 patterns with morphological image processing. *Landscape Ecology*, 22, 171-177.  
569 <http://dx.doi.org/10.1007/s10980-006-9013-2>.
- 570 [47] Lang, S., Tiede, D., 2003. vLATE extension fur ArcGIS – vektorbasiertes tool zur quantitativen  
571 landschaftsstrukturanalyse, ESRI Anwenderkonferenz 2003. Innsbruck, Austria.
- 572 [48] Wang, D., Fu, B.J., Lu, K.S., Zhang, Y.X., Feng, X.M., 2010. Multifractal analysis of land use pattern  
573 in space and time: A case study in the Loess Plateau of China. *Ecological Complexity*, 7, 487-493.  
574 <https://doi.org/10.1016/j.ecocom.2009.12.004>.
- 575 [49] Verburg, P.H., Chen, Y.Q., 2000. Multiscale characterization of land-use patterns in China. *Ecosystems*  
576 3, 369-385. <https://doi.org/10.1007/s100210000033>
- 577 [50] Jiang, W.Y., Yang, S.L., Yang, X.X., Gu, N., 2016. Negative impacts of afforestation and economic  
578 forestry on the Chinese Loess Plateau and proposed solutions. *Quaternary International* , 399(18), 165-  
579 173. <http://dx.doi.org/10.1016/j.quaint.2015.04.011>.
- 580 [51] National Development and Reform Commission (NDRC), Ministry of Water Resources (MWR),  
581 Ministry of Agriculture (MA), State Forestry Administration (SFA), 2010. People's Republic of China.  
582 In: *Programming for Comprehensive Management of the Loess Plateau (2010-2030)*, pp. pp4-14.
- 583 [52] Wang, G., Innes, J.L., Lei, J., Dai, S., Wu, S.W., 2007. China's forestry reforms. *Science*, 318, 1556-  
584 1557. <http://dx.doi.org/10.1126/science.1147247>.

- 585 [53] Chen, H., Shao, M., Li, Y., 2008. The characteristics of soil water cycle and water balance on steep  
586 grassland under natural and simulated rainfall conditions in the Loess Plateau of China. *Journal of*  
587 *Hydrology* 360, 242-251. <http://dx.doi.org/10.1016/j.jhydrol.2008.07.037>.
- 588 [54] McVicar, T.R., Li, L., Niel, T.G.V., Zhang, L., Li, R., Yang, Q., Zhang, X., Mu, X., Wen, Z., Liu, W.,  
589 Zhao, Y., Liu, Z., Gao, P., 2007. Developing a decision support tool for China's re-vegetation program:  
590 simulating regional impacts of afforestation on average annual streamflow in the Loess Plateau. *Forest*  
591 *Ecology and Management*, 251, 65-81. <http://dx.doi.org/10.1016/j.foreco.2007.06.025>.
- 592 [55] Wang, X.M., Zhang, C.X., Hasi, E., Dong, Z.B., 2010. Has the Three Norths Forest Shelterbelt Program  
593 solved the desertification and dust storm problems in arid and semiarid China? *Journal of Arid*  
594 *Environment* 74, 13-22. <http://dx.doi.org/10.1016/j.jaridenv.2009.08.001>.
- 595 [56] Zhang, H., Gao, X., Li, Y., 2009. Climate impacts of land-use change in China and its uncertainty in a  
596 global model simulation. *Climate Dynamics*, 32, 473-494. <https://doi.org/10.1007/s00382-008-0388-4>.
- 597 [57] Wang, H.J., Chen, Y.N., Chen, Z.S., 2013. Spatial distribution and temporal trends of mean precipitation  
598 and extremes in the arid region, northwest of China, during 1960-2010. *Hydrological Process*, 27, 1807-  
599 1818. <http://dx.doi.org/10.1016/j.quaint.2015.04.011>.
- 600 [58] Sun, W.Y., Mu, X.M., Song, X.Y., Wu, D., Cheng, A.F., Qiu, B., 2016. Changes in extreme temperature  
601 and precipitation events in the Loess Plateau (China) during 1960-2013 under global warming.  
602 *Atmospheric Research*, 168, 33-48. <http://dx.doi.org/10.1016/j.atmosres.2015.09.001>.
- 603 [59] Zhang, Y., Peng, C., Li, W., Tian, L., Zhu, Q., Chen, H., Fang, X., Zhang, G., Xiao, X., 2016. Multiple  
604 afforestation programs accelerate the greenness in the 'Three North' region of China from 1982 to 2013.  
605 *Ecological Indicators*, 61, 404-412. <https://doi.org/10.1016/j.ecolind.2015.09.041>.
- 606 [60] Verburg, P.H., Soepboer, W., Veldkamp, A., Limpiada, R., Espaldon, V., Mastura, S.S., 2002. Modeling  
607 the spatial dynamics of regional land use: The CLUE-S model. *Environmental Management*, 30, 391-  
608 405. <https://doi.org/10.1007/s00267-002-2630-x>.
- 609 [61] Yang, Z.Q., Dong, J.W., Xu, X.L., Zhao, G.S., Chen, W., Zhou, Y., 2018. Spatiotemporal pattern of  
610 forest fragmentation in the Loess Plateau. *Resources Science*, 40(6): 1246-1255.
- 611 [62] Zhang, J., Qu, M., Wang, C., Zhao, J., Cao, Y., 2020. Quantifying landscape pattern and ecosystem  
612 service value changes: A case study at the county level in the Chinese Loess Plateau. *Global Ecology*  
613 *and Conservation*, 23, e01110. <http://dx.doi.org/10.1016/j.gecco.2020.e01110>.
- 614 [63] Riitters, K., Wickham, J.D., O'Neill, R., Jones, B., Smith, E., 2020. Global-Scale Patterns of Forest  
615 Fragmentation. *Ecology and Society*, 4(2), 1924-1925. <http://dx.doi.org/10.5751/ES-00209-040203>.
- 616 [64] Estoque, R.C., Murayama, Y., 2016. Quantifying landscape pattern and ecosystem service value  
617 changes in four rapidly urbanizing hill stations of Southeast Asia. *Landscape Ecology*, 31(7), 1-27.  
618 <http://dx.doi.org/10.1007/s10980-016-0341-6>.
- 619 [65] Su, S., Xiao, R., Jiang, Z., Zhang, Y., 2012. Characterizing landscape pattern and ecosystem service  
620 value changes for urbanization impacts at an eco-regional scale. *Applied Geography*, 34, 295-305.  
621 <http://dx.doi.org/10.1016/j.apgeog.2011.12.001>.
- 622 [66] Wu, W., Li, Y.H., Hu, Y.N., Viu, C.L., Yan, X.L., 2018. Impacts of Changing Forest Management  
623 Areas on Woodlandscapes and Habitat Patterns in Northeastern China. *Sustainability*, 10(4), 1211.  
624 <http://dx.doi.org/10.3390/su10041211>.
- 625 [67] Feng, X., Fu, B., Piao, S., Wang, S., Ciais, P., Zeng, Z., Lu, Y., Zeng, Y., Li, Y., Jiang, X., 2016.  
626 Revegetation in China's Loess Plateau is approaching sustainable water resource limits. *Nature Climate*  
627 *Change*, 6, 1019-1022. <http://dx.doi.org/10.1038/nclimate3092>.

- 628 [68] Zheng, F., He, X., Gao, X., Zhang, C., Tang, K., Zheng, F., He, X., Gao, X., Zhang, C., Tang, K., 2005.  
629 Effects of erosion patterns on nutrient loss following deforestation on the Loess Plateau of China.  
630 Agriculture Ecosystems Environment, 108, 85-97. <http://dx.doi.org/10.1016/j.agee.2004.12.009>.
- 631 [69] Jiang, C., Zhang, H., Zhang, Z., 2018. Spatially explicit assessment of ecosystem services in China's  
632 Loess Plateau: patterns, interactions, drivers, and implications. Global and Planetary Change, 161, 41-  
633 52. <http://dx.doi.org/10.1016/j.gloplacha.2017.11.014>.
- 634 [70] Xu, D., Ding, X., 2018. Assessing the impact of desertification dynamics on regional ecosystem service  
635 value in North China from 1981 to 2010. Ecosystem Services, 30, 172-180.  
636 <http://dx.doi.org/10.1016/j.ecoser.2018.03.002>.
- 637 [71] Yu, Z., Liu, X., Zhang, J., Xu, D., Cao, S., 2018. Evaluating the net value of ecosystem services to  
638 support ecological engineering: framework and a case study of the Beijing Plains afforestation project.  
639 Ecological Engineering, 112, 148-152. <http://dx.doi.org/10.1016/j.ecoleng.2017.12.017>.
- 640 [72] Yuan, W.P., Li, X.L., Liang, S.L., Cui, X.F., Dong, W.J., 2014. Characterization of locations and extents  
641 of afforestation from the Grain for Green Project in China. Remote Sensing Letters, 5(3), 221-229.  
642 <https://doi.org/10.1080/2150704X.2014.894655>.
- 643 [73] Guo, J., Gong, P., 2016. Forest cover dynamics from Landsat time-series data over Yan'an city on the  
644 Loess Plateau during the Grain for Green Project. International Journal of Remote Sensing, 37, 4101-  
645 4118. <https://doi.org/10.1080/01431161.2016.1207264>.
- 646 [74] Seto, K.C., Woodcock, C.E., Song, C., Huang, X., Lu, J., Kaufmann, R.K., 2002. Monitoring land use  
647 change in the pearl river delta using landsat tm. International Journal of Remote Sensing, 23(10), 1985-  
648 2004. <https://doi.org/10.1080/01431160110075532>.
- 649 [75] Meyfroidt, P., Lambin, E.F., Erb, K.H., Hertel, T.W., 2013. Globalization of land use: distant drivers of  
650 land change and geographic displacement of land use. Current Opinion in Environmental Sustainability,  
651 5(5), 438-444. <https://doi.org/10.1016/j.cosust.2013.04.003>.
- 652 [76] Wang, B.S., Liao, J.F., Zhu, W., Qiu, Q.Y., Wang, L., Tang, L.N., 2019. The weight of neighborhood  
653 setting of the FLUS model based on a historical scenario: A case study of land use simulation of urban  
654 agglomeration of the Golden Triangle of Southern Fujian in 2030. Acta Ecologica Sinica, 39, 4284-  
655 4298.
- 656 [77] Ochoa-Gaona, S., 2001. Traditional land-use systems and patterns of forest fragmentation in the  
657 highlands of Chiapas, Mexico. Environmental Management, 27(4), 571-586.  
658 <https://doi.org/10.1007/s002670010171>.
- 659 [78] Reddy, C., Pasha, S., Vazeed, K., Saranya, V., 2018. Quantifying nationwide land cover and historical  
660 changes in forests of Nepal (1930-2014): implications on forest fragmentation. Biodiversity &  
661 Conservation, 1-32. <https://doi.org/10.1007/s10531-017-1423-8>

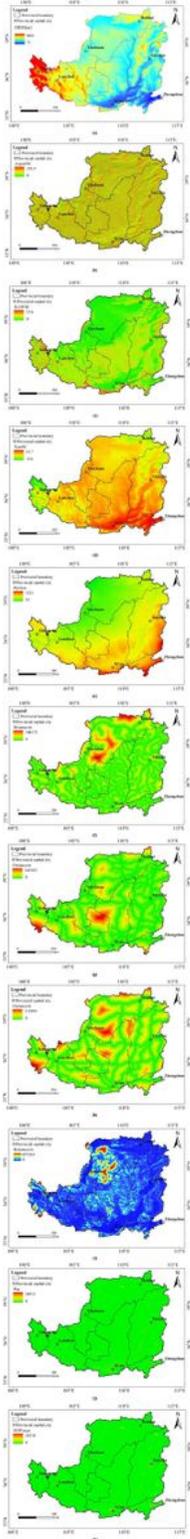
# Figures



**Figure 1**

Spatio-temporal characteristics and variation patterns of the LUC on the Loess Plateau in 1980(a), in 2000(b) and in 2015(c). Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning

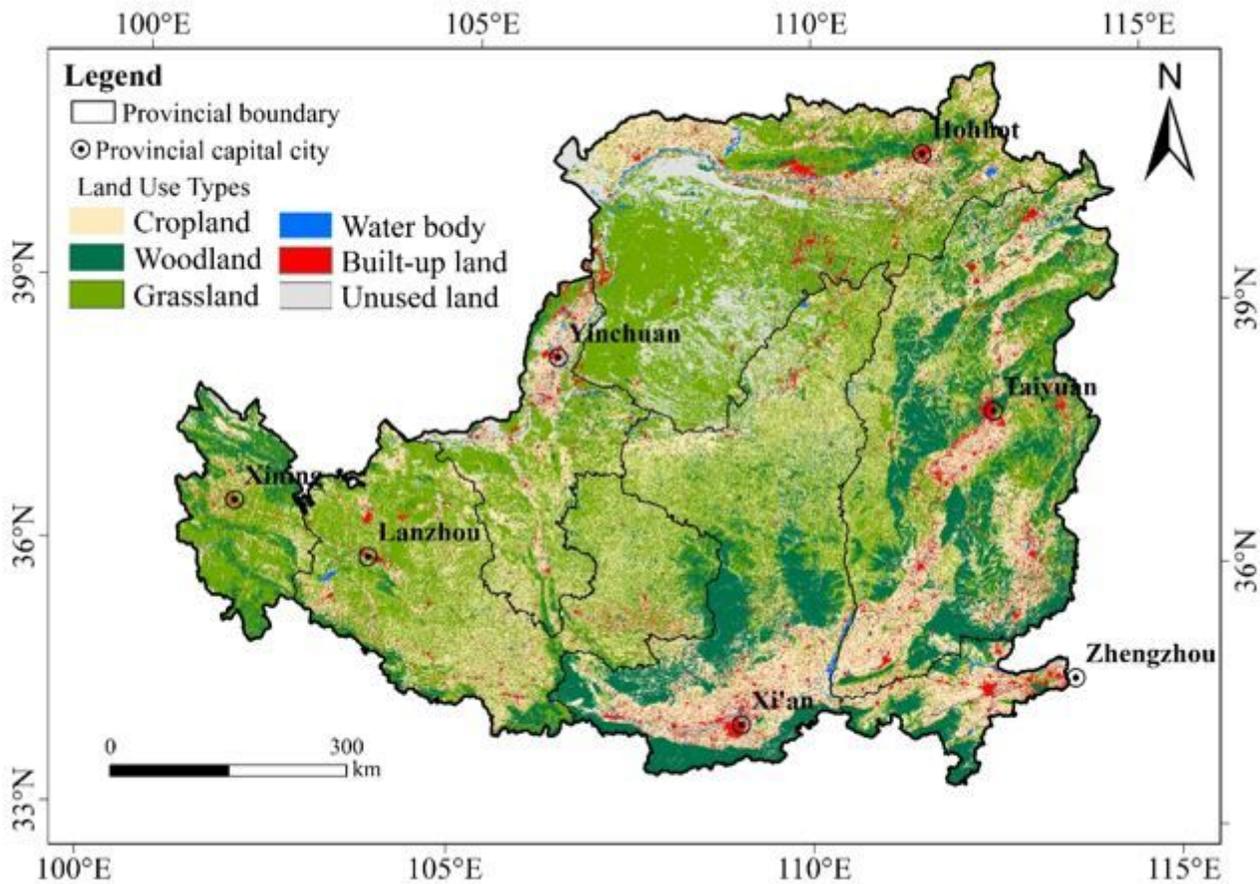
the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 2**

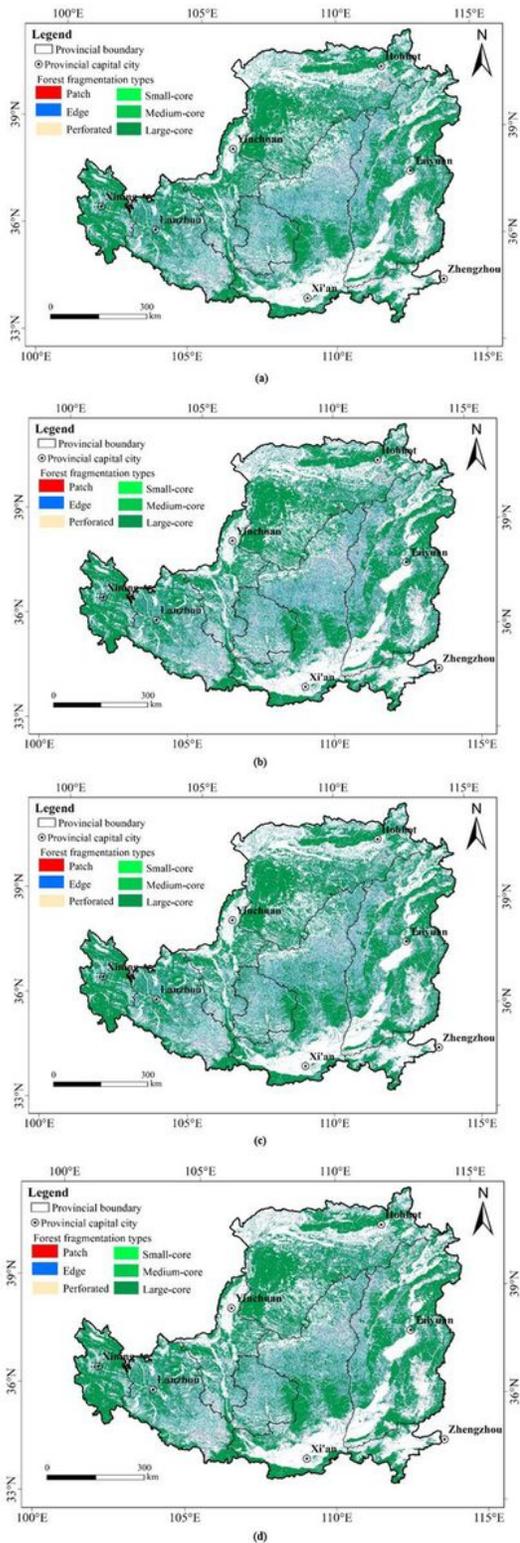
Driving trends of land use change on the Loess Plateau Note: a is DEM, b is aspect, c is slop, d is average annual temperature, e is average annual precipitation, f is the distance to river, g is distance to railway, h is distance to road, i is distance to residential area, j is population density, k is GDP. Note: The

designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 3**

Land distribution map of the Loess Plateau in 2030 based on same transfer matrix. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 4**

Spatio-temporal pattern of forest and grass fragmentation on the Loess Plateau Note: a is for 1980, b is for 2000, c is for 2015, and d is for 2030 based on same transfer matrix. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or

area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.